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PROVISIONAL APPLICATION FOR PATENT

ANTI-SPAM EMAILING SYSTEM AND METHOD

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Anti-Spam Emailing System and Method

by Stephen Martin

BACKGROUND OF THE INVENTION

5 [0001] Conventional anti-Spam techniques include content and IP based approaches. Spam filtering based on email content is known to often result in missing valid communications. Spam filtering based on blocking IP blocks sections of the internet's address space thereby effectively creating zones of information quarantine. FIG. 1 illustrates, by way of example, how the current RFC822 delivery mechanism
10 works.

[0002] In view of the foregoing, there is a need for improved techniques for blocking Spam, while significantly reducing the risk of losing valid emails.

SUMMARY OF THE INVENTION

15 [0003] A variety of improved anti-Spam techniques are described. A goal of the present invention is to prevent internet users from receiving un-solicited emails, also referred to as Spam or ube.

[0004] Unlike conventional approaches, in one aspect of the present invention, the email content is not scanned or filtered. Moreover, communications are not blocked just because they happen to be coming from a specific Internet Protocol (IP) address. The
20 present invention also renders pointless the current practice of scanning of internet world wide web content and internet news group content for email addresses to compile mailing lists that are often maliciously used for mass distribution Spamming. The present invention further substantially reduces, if not eliminates, the problem of receiving unwanted emails. Known anti-Spam techniques also suffer from falsely identifying, or
25 flagging, valid email as Spam, this problem is often referred to as "false-positives." The present invention substantially reduces, if not eliminates, the false-positive problem.

[0005] In some embodiments of the present inventions, it is possible to reduce, by up to 96-100%, the amount of un-solicited email (Spam) that the average internet user receives. By blocking Spam mail, it is expected that there may be a reduction in the overall data traffic over many of the worlds email servers.

5 [0006] Another aspect of the present invention is that it can be 100% backward compatible with practically all existing mail servers and email clients.

[0007] Other features, advantages, and object of the present invention will become more apparent and be more readily understood from the following detailed description, which should be read in conjunction with the accompanying drawings.

10 **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

[0008] The present invention is illustrated by way of example, and not by way of limitation, in the figures of the accompanying drawings and in which like reference numerals refer to similar elements. Unless otherwise indicated illustrations in the figures
15 are not necessarily drawn to scale. The present invention is best understood by reference to the detailed figures and description set forth herein.

[0009] Embodiments of the invention are discussed below with reference to the Figures. However, those skilled in the art will readily appreciate that the detailed description given herein with respect to these figures is for explanatory purposes as the
20 invention extends beyond these limited embodiments.

[0010] Certain technical terminology used herein shall be set forth as follows:

[0011] Spam: Un-solicited, unwanted and often offensive email communications.

[0012] UCE: Un-solicited, unwanted and often offensive email communications.

[0013] UBE: Un-solicited, unwanted and often offensive email communications.

25 [0014] IP Address: Every machine on the Internet has a unique identifying number, called an IP Address.

[0015] ESMTP/SMTP: Simple Mail Transfer Protocol, a protocol for sending e-mail messages between servers.

[0016] "Calico'ing": The act of extending an email address in an RFC compliant manner.

[0017] "Calico'ed" : An email address that has been extended using the present invention.

5 [0018] An enhanced Open Email Address Mechanism according to an embodiment of the present invention is illustrated by way of example in FIG. 2.

also known as "Calico'ing" utilizing a "Calico'ed" email address.

[0019] The present invention is capable of operating under a conventional computer system environment. Certain embodiments of the present invention are
10 relatively operating system independent. For example, the present invention may be successfully implemented on Microsoft Windows and Unix based operating systems. Moreover, the present invention operates within both a home Internet user and commercial environment.

[0020] By way of example, and not limitation, the present invention can exist either
15 as a component with server software (usually an SMTP server) or as a configuration change to carry out the prescribed behavior. The configuration change capability enables the present invention to operate without the need to modify any existing server software.

[0021] To effectively prevent unwanted email reaching a mailbox, one aspect of the present invention includes in the email address both the current user identification
20 information and additional authentication information.

[0022] One embodiment of the present invention is an extension to the existing RFC822 email format of someuser@somedomain.com. This extended format of the present embodiment is still RFC822 compliant, hence, these extended addresses can travel through existing mail servers and can be processed for sending by existing email
25 clients, including, for example, applications like Outlook, Netscape and Eudora.

[0023] Another aspect of the present invention is to not think of email addresses as single entities that will allow delivery of email regardless of content of solicitation. Instead, email addresses are viewed in terms of being open or closed. On a Calico'ed

system, according to an embodiment of the present invention, email addresses are divided into two modes, specified as follows:

5 closed: **someuser@somedomain.com**
 and
 open: **auth_code#someuser@somedomain.com**

10 [0024] Email under the present embodiment will not be delivered on a Calico'ed system unless the sender has obtained a predefined authorization code. The authorization code may be obtained in any number of ways. In some embodiments the authorization code will either have been verbally communicated to the sender by the recipient, or it will appear as text next to the recipient's email address (for example, displayed on a web
15 page). In alternative embodiments, the recipient may obtain a sender's authorization code when the sender sends at least one email to the recipient using the extended open format, which is RFC822 compliant. As illustrated in FIG. 2, authentication of the sender's authorization code is performed by what is herein referred to a "Calico Engine." The "Calico Engine" that authenticates the extended email address reside in any
20 convenient location in the email transport path. In many implementations, the "Calico Engine" could reside on a server. Those in the art will appreciate that the "Calico Engine" according to the present invention may be implemented in a multiplicity of known ways, including, by way of example and not limitation, specially written email server software or by configuration changes to existing email server software.

25 [0025] Those skilled in the art will know how to implement the principles of the present invention using known programming languages and operating systems. For example, in a Microsoft Windows™ operating system based mail server, the above delivery mechanism may be implemented by the following Microsoft™ Visual Basic 6.0 program code segment that implements the Calico mechanism as also illustrated by way
30 of example in the flow chart of FIG. 3.

```
<CODE>  
Private Sub ESMTPServer_OnValidateRCPTTO(ID As String, User As String, Domain As  
String, IIIToken As String, Valid As Boolean)
```

35 Dim sAccount\$, lFoundIndex&, sParam\$

```

Valid = False

sAccount = User & "@" & Domain

5
    IFoundIndex = IndexSearch(gMailboxes.Index, sAccount)
    If IFoundIndex >= 0 Then
        '-- Check III Key
        If gMailboxes.Data(IFoundIndex).UseKeyAuthenticate Then
10            sParam = modIIKey.ProcDecrypt(IIIToken,
gMailboxes.Data(IFoundIndex).PublicKey)
            If StrComp(sParam, Domain, vbTextCompare) <> 0 Then
                LogEvent ID, "WARNING Invalid IIIToken: " & IIIToken & " for " & sAccount
            Else
15                LogEvent ID, "Valid IIIToken: " & IIIToken & " for " & sAccount
                Valid = True
            End If
        Else
            Valid = True
20        End If
    Else
        LogEvent ID, "WARNING: Invalid recipient " & sAccount
    End If
End Sub

25
Public Function IndexSearch(IndexList() As Z_INDEX, FindText As String, Optional BinaryCompare As Boolean =
False, Optional ReturnInsertionPoint As Boolean = False) As Long

    Dim IIndex&, IMax&, IMin&, IMid&, iComp%, iMethod%

30
    IIndex = -1

    On Error Resume Next
    IMin = LBound(IndexList)
    IMax = UBound(IndexList)
    If Err Then
        Err.Clear
        IndexSearch = -1
        Exit Function
40    Else

        If BinaryCompare Then
            iMethod% = vbBinaryCompare
        Else
45            iMethod% = vbTextCompare
        End If

        '-- Do a Binary Search

50
        If IMax > IMin Then

            Do
                If IMax < IMin Then
                    Exit Do
55                End If

                IMid = IMin + ((IMax - IMin) \ 2)

                iComp = StrComp(IndexList(IMid).KeyValue, FindText, iMethod%)
60

```

```

        Select Case iComp
        Case 0
            ' Item found
            IndexSearch = IndexList(IMid).ArrayIndex
            Exit Function

        Case Is > 0
            ' Item is in lower half
            IMax = IMid - 1
            If IMin = IMax Then Exit Do

        Case Is < 0
            ' Item is in upper half
            IMin = IMid + 1
            If IMin = IMax Then Exit Do
        End Select
    Loop
End If

'-- Check to See if this is the one
If StrComp(IndexList(IMin).KeyValue, FindText, iMethod%) = 0 Then
    ' Item found
    IIndex = IndexList(IMin).ArrayIndex
Else
    If ReturnInsertionPoint Then
        ' Item not found, but return position to insert
        IIndex = IMid - (iComp < 0)
        IIndex = IndexList(IMid).ArrayIndex
    Else
        IIndex = -1
    End If
End If

End If

IndexSearch = IIndex

End Function
Public Function IndexSort(IndexList() As Z_INDEX, ILBound As Long, IUBound As Long, Optional
CompareMethod As VbCompareMethod = vbTextCompare) As Integer

    Dim strTemp As String
    Dim IndexBuffer As Z_INDEX
    Dim lngCurLow As Long
    Dim lngCurHigh As Long
    Dim lngCurMidpoint As Long
    Dim iCompareOption As Integer

    ' Start current low and high at actual low/high
    lngCurLow = ILBound
    lngCurHigh = IUBound

    If IUBound < (ILBound + 1) Then
        If (IUBound = ILBound) And ILBound = 0 Then
            IndexSort = 1
        Else
            IndexSort = IUBound
        End If
    End If

    Exit Function
End If

On Error Resume Next
If UBound(IndexList) < IUBound Then Exit Function

'--Find the approx midpoint of the array

```

```

IngCurMidpoint = (ILBound + IUBound) \ 2

'--Pick as a starting point (we are making
'  an assumption that the data *might* be
5  '  in semi-sorted order already!

strTemp = IndexList(IngCurMidpoint).KeyValue

10  Do While (IngCurLow <= IngCurHigh)

    Do While StrComp(IndexList(IngCurLow).KeyValue, strTemp, CompareMethod) < 0
        IngCurLow = IngCurLow + 1
        If IngCurLow = IUBound Then Exit Do
    Loop

15    Do While StrComp(strTemp, IndexList(IngCurHigh).KeyValue, CompareMethod) < 0
        IngCurHigh = IngCurHigh - 1
        If IngCurHigh = ILBound Then Exit Do
    Loop

20

    If (IngCurLow <= IngCurHigh) Then
        '--if low is <= high then swap
        IndexBuffer = IndexList(IngCurLow)
        IndexList(IngCurLow) = IndexList(IngCurHigh)
        IndexList(IngCurHigh) = IndexBuffer

        IngCurLow = IngCurLow + 1 ' CurLow++
        IngCurHigh = IngCurHigh - 1 ' CurLow--
30    End If

    Loop

35    If ILBound < IngCurHigh Then
        '-- Recurse if necessary
        IndexSort IndexList(), ILBound, IngCurHigh, CompareMethod
    End If

    If IngCurLow < IUBound Then
        '-- Recurse if necessary
        IndexSort IndexList(), IngCurLow, IUBound, CompareMethod
    End If

40

    IndexSort = IUBound - ILBound + 1

45  End Function

Public Function ProcDecrypt(Source As String, Key As String) As String

50  Dim s$, b%, k1$, k2$, k3$, k_2$, o, r1$, r2$, w2$
    Dim i%, l&, iLen%, lLen&, iChar%, sTemp$

    s = Source
    k1 = Key

55  ' $ _s = reverse($_s);
    lLen = Len(s)
    sTemp = ""
    For l = lLen To 1 Step -1
        sTemp = sTemp & Mid$(s, l, 1)
    Next
    s = sTemp

60

    ' $ _s =~ s/\.\/a/g;
    " s = Replace(s, ".", "a", , , vbBinaryCompare)
    ' $ _s =~ s/\/b/g;
    " s = Replace(s, "-", "b", , , vbBinaryCompare)

65

```



```

' $ _s = ~ s / \ + / c / g;
' s = Replace(s, "+", "c", , , vbBinaryCompare)
' $ _s = ~ s / \ ! / d / g;
5 ' s = Replace(s, "!", "d", , , vbBinaryCompare)
' $ _s = ~ s / \ = / e / g;
' s = Replace(s, "=", "e", , , vbBinaryCompare)
' $ _s = ~ s / \ ^ / f / g;
' s = Replace(s, "^", "f", , , vbBinaryCompare)

10 ' $ _b = ord(substr($ _k1, 0, 1));
' b% = Asc(Mid$(k1, 1, 1))
' $ _k2 = $ _b ** 7;
' k2 = "" & (Cdbl(b) ^ 7#)
15 ' @ _k_2 = split("/", $ _k2);
' $ _k2 = join "@", @ _k_2;

' $ _k3 = reverse($ _k1);
' $ _k3 = ~ tr / [a-m] [n-z] / [n-z] [a-m] /;
20 ' $ _k3 = ~ tr / [A-M] [N-Z] / [N-Z] [A-M] /;

'-- Reverse and transliterate key
iLen = Len(k1)
For i% = iLen To 1 Step -1
' iChar = Asc(Mid$(k1, i, 1))
25 ' If iChar >= 97 And iChar <= 109 Then
' -- [a-m] to [n-z]
' iChar = iChar + 13
' ElseIf iChar >= 110 And iChar <= 122 Then
' -- [n-z] to [a-m]
30 ' iChar = iChar - 13
' ElseIf iChar >= 65 And iChar <= 77 Then
' -- [A-M] to [N-Z]
' iChar = iChar + 13
35 ' ElseIf iChar >= 78 And iChar <= 90 Then
' -- [N-Z] to [A-M]
' iChar = iChar - 13
' End If

' k3 = k3 & Chr$(iChar)
40 Next

' for($ _d=0; $ _d < length($ _s); $ _d=$ _d+2) {
' $ _w1[$ _d]=chr(hex(substr($ _s,$ _d,2)));
' }
45 ' $ _w2=join ("", @ _w1);
' lLen = Len(s)
' For l = 1 To lLen Step 2
' w2 = w2 & Chr$(Int("&h" & Mid$(s, l, 2)))
' Next
50 ' $ _r1 = _xor($ _w2, $ _k3);
' r1 = ProcXor(w2, k3)
' $ _r2 = _xor($ _r1, $ _k2);
' r2 = ProcXor(r1, k2)
55 ' $ _o = _xor($ _r2, $ _k1);
' o = ProcXor(r2, k1)

' return $ _o;
' ProcDecrypt = o
60 End Function
Public Sub LogEvent(ID As String, Description As String)

' Dim i%, Sid$, iCount%, sEvent$, sArray(), fNum%, sFile$
65 ' If Trim(Description) = "" Then Exit Sub

```

```

5      If Trim(ID) <> "" Then
          Sid = " " & Trim(ID)
        Else
          Sid = ""
        End If

        sEvent = Replace(Description, vbCr, "")

10      '-- Ensure Log Path
        On Error Resume Next
        MkDir App.Path & "\Logs"
        On Error GoTo 0

15      fNum = FreeFile
        sFile = App.Path & "\Logs\" + Format(Now, "yyyy mmm dd.log")
        Open sFile For Append As #fNum

        If Left$(Description, 1) <> vbTab Then
20          sArray = Split(sEvent, vbCrLf)

          For i% = 0 To UBound(sArray)
              If i% = 0 Then
                  sEvent = Format(Now, "h:nn:ss am/pm") & Sid & vbTab & sArray(i)
              Else
25                  sEvent = vbTab & vbTab & sArray(i)
              End If

              Print #fNum, sEvent
              lstLog.AddItem sEvent
30          Next
        Else
            Print #fNum, sEvent
            lstLog.AddItem vbTab & sEvent
        End If
35      Close fNum

        iCount = lstLog.ListCount
        If iCount > 250 Then
40            '-- Remove oldest items
            For i% = iCount To 250 Step -1
                lstLog.RemoveItem 0
            Next
        End If
45      lstLog.ListIndex = lstLog.NewIndex
    End Sub

```

</CODE>

50 [0026] The above code segment first determines at Step 305 if the user account specified in the email address is serviced by the Mail server. If not, an error is logged and the mail is NOT delivered. If the user account is serviced, as determined at Step 310, then the authorization phrase supplied in the extended email address is decrypted, at Step 315, and compared, at Step 320, to the authorization phrase supplied by the user (account holder). If they are not equal either due to an incorrect phrase or non-existent phrase (for example, possibly because the email is an un-solicited bulk email) then the email is rejected. If the phrases match then email delivery occurs at Step 325.

[0027] In some embodiments, Windows or other GUI based operating systems would initially use an Open Email Address Generator to construct the extended open (Calico'ed) email address. FIG. 4 shows an exemplary flowchart of a MS Windows based embodiment Open Email Address Generator (OEAG) program that takes inputs via a GUI form 405 and generates an extended "Calico'ed" email address; i.e., an extended open email address. By way of further example of alternative implementation methods, the following Microsoft™ Visual Basic 6.0 code segment is intended to implement the embodiment of FIG. 4:

```

10      <CODE>
      Private Sub Command1_Click()
      On Error GoTo ERR_Command1_Click ' ~VB Crash Shield~
      Dim strUser As String
      Dim strDomain As String
      Dim strString As String
15      Dim arrClosed() As String
      Dim strAuthPhrase As String
      Dim strOpenAddr As String

20      If Me.Text1.Text <> "" Then
      arrClosed = Split(Me.Text1.Text, "@")

      strUser = arrClosed(0)
      strDomain = arrClosed(1)
25      If Me.Text2.Text <> "" Then

      strAuthPhrase = Me.Text2.Text

30      ' We have both closed address and AUTHPHRASE Present

      strOpenAddr = ProcEncrypt(strDomain, strAuthPhrase)

      strOpenAddr = strOpenAddr & "#" & LTrim(RTrim(Me.Text1.Text))
35      Me.Text3.Text = strOpenAddr

      End If

      End If

40      Exit Sub ' ~VB Crash Shield~
      ERR_Command1_Click: ' ~VB Crash Shield~
      Resume Next ' ~VB Crash Shield~
      End Sub

45      Public Function ProcEncrypt(Source As String, Key As String) As String

```

```

Dim s$, b%, k1$, k2$, k3$, k_2$, e$, r1$, r2$, r3$
Dim i%, l$, iLen%, lLen$, iChar%, stemp$

5   s = Source
    k1 = Key

    ' $ _b=ord(substr($ _k1,0,1));
    ' b% = Asc(Mid$(k1, 1, 1))
    ' $ _k2=$ _b ** 7;
10   k2 = "" & (Cdbl(b) ^ 7#)

    ' @ _k_2=split("/", $ _k2);
    ' $ _k2=join ",@ _k_2;

15   ' $ _k3=reverse($ _k1);
    ' $ _k3 =~ tr /[a-m][n-z]/[n-z][a-m]/;
    ' $ _k3 =~ tr /[A-M][N-Z]/[N-Z][A-M]/;

    '-- Reverse and transliterate key
20   iLen = Len(k1)
    For i% = iLen To 1 Step -1
        iChar = Asc(Mid$(k1, i, 1))
        If iChar >= 97 And iChar <= 109 Then
            '-- [a-m] to [n-z]
25         iChar = iChar + 13
        ElseIf iChar >= 110 And iChar <= 122 Then
            '-- [n-z] to [a-m]
            iChar = iChar - 13
30         ElseIf iChar >= 65 And iChar <= 77 Then
            '-- [A-M] to [N-Z]
            iChar = iChar + 13
        ElseIf iChar >= 78 And iChar <= 90 Then
            '-- [N-Z] to [A-M]
            iChar = iChar - 13
35         End If

        k3 = k3 & Chr$(iChar)
    Next

40   ' $ _r1 = _xor($ _s,$ _k1");
    ' r1 = ProcXor(s, k1)
    ' $ _r2 = _xor($ _r1,$ _k2");
    ' r2 = ProcXor(r1, k2)
    ' $ _r3 = _xor($ _r2,$ _k3");
45   ' r3 = ProcXor(r2, k3)

    ' for($ _d=0;$ _d < length($ _r3);$ _d++) {
    '     $ _t[$ _d]=sprintf("%02x",ord(substr($ _r3,$ _d,1)));
    ' }
50   ' $ _e = join ",@ _t;
    ' lLen = Len(r3)
    ' For l = 1 To lLen
        e = e & LCase(Right$("0" & Hex$(Asc(Mid$(r3, l, 1))), 2))
55   ' Next

    ' $ _e =~ s/a/\./g;
    ' e = Replace(e, "a", ".", , , vbBinaryCompare)
    ' $ _e =~ s/b/-/g;
    ' e = Replace(e, "b", "-", , , vbBinaryCompare)
60   ' $ _e =~ s/c/\+/g;
    ' e = Replace(e, "c", "+", , , vbBinaryCompare)
    ' $ _e =~ s/d/\!/g;
    ' e = Replace(e, "d", "!", , , vbBinaryCompare)
    ' $ _e =~ s/e/\=/g;
    ' e = Replace(e, "e", "=", , , vbBinaryCompare)
65   ' $ _e =~ s/f/\^/g;
    ' e = Replace(e, "f", "^", , , vbBinaryCompare)

```

```

    ' return reverse($_e);
    lLen = Len(e)
    stemp = ""
5    For l = lLen To 1 Step -1
        stemp = stemp & Mid$(e, l, 1)
    Next

    ProcEncrypt = stemp
10
End Function

```

</CODE>

[0028] As an example of the email server configuration aspect of the present invention as directed to the Unix™ based Operating Systems using the Open Source mail server software “SendMail” (distributed by www.sendmail.org), the following configuration modifications allow SendMail to operate in a Calico’ed mode according to the embodiment of FIG. 3:

```

20    <CODE>
    # Access list database (for spam stomping)
    Kaccess hash -T<TMPF> -o /etc/mail/access

    # Mailer table (overriding domains)
    Kmailertable hash -o /etc/mail/mailertable
25
    # Virtual user table (maps incoming users)
    Kvrtuser hash -o /etc/mail/virtusertable
    </CODE>

```

```

30    <CODE>

    R<CLOSEDADDR> $*      $#error $@ 5.2.1 $: 550 This recipient has a closed email address
    you must use an Open Email Address Generator to affect a delivery

    </CODE>

```

```

35    <CODE>
    # Billing email
    to:billing@calicomail.com      CLOSEDADDR
    to:761355f0f4254f052f14d414f6d3#billing@calicomail.com OK
    </CODE>

```

40 [0029] The ability of the present invention to be readily adapted to a Unix™ based mail server by way of relatively simple software configured significantly reduces, if not eliminates, costly code re-writes of its programming code, which advantageously are not required for the mail server to operate in Extended “Calico’ed” Email Address mode

according to the present invention. Moreover, this adaptation capability significantly enhances the RFC822 compatibility of this embodiment of the present invention.

[0030] Unix™ based systems can then generate the correct encrypted authentication sequence by using a multiplicity of know approaches including script languages such as Perl (www.perl.org).

[0031] It should be appreciated, however, that unlike the form based program exemplified in FIG. 4, the results are written in a Unix™ based mail server's configuration files. In one embodiment of the present invention, the configuration files when used in conjunction with the configuration modification lines list just above, allow the open source SendMail mail server to process extended open email requests. Those skilled in the art will readily recognize a multiplicity of equivalent or alternative approaches.

[0032] What follows is an exemplary software code segment for a command line equivalent of the Windows™ based Open Email Address Generator (OEAG) utility program implemented Perl code:

<CODE>

Open Live files for append

open(F1,">>\$livealiasfile") || die "Cannot open \$livealiasfile for appending \$!\n";
open(F2,">>\$liveaccess") || die "Cannot open \$liveaccess for appending \$!\n";
open(F3,">>\$livevirt") || die "Cannot open \$livevirt for appending \$!\n";
open(F4,">>\$ftpf") || die "Cannot open \$ftpf for appending \$!\n";

while(\$data = \$sth->fetchrow_hashref()
{
Rebuild all mail files within this loop

\$mailbox=\$data->{mb};
\$username=\$mailbox;
\$mailbox = "cm" . \$mailbox;

\$auth=\$data->{auth};

\$hash = \$y->crypt(\$domain, \$auth);

print F1 "\$hash#\$username@\$domain: \$mailbox\n";

print F2 "to:\$hash#\$username@\$domain OK\n", "to:\$username@\$domain CLOSEDADDR\n";

print F3 "\$hash#\$username@\$domain\t\$mailbox\n";

print F4 \$mailbox,"n";

}

```
$rv = $sth->finish();
```

```
# Close files
```

```
5      close(F4);  
      close(F3);  
      close(F2);  
      close(F1);  
      </CODE>
```

10 [0033] Those skilled in the art will further appreciate that extended open email address's may also be readily generated from a users form input by way of an XML web service.

[0034] It should be appreciated that any suitable authorization key generation method may be used. In one embodiment of the encryption authorization key generator
15 the encrypted authorization key is generated using a relatively simple encryption routine. Hence, the present encryption mechanism may be either strong or weak. Alternative embodiments may instead use strong 128 bit encryption instead, whereby strong 128 bit encryption is used to generate authorization phrase. However, depending on the application more simplistic approaches may be more suitable especially when the
20 resultant cipher is required to be both completely ASCII represent able and not subject to any possible export restrictions. In yet other alternative embodiments of the present invention, the encryption may be derived by any regular arithmetic algorithm, "Enigma" style rotor algorithm, logarithmic algorithm, or fractal algorithm.

[0035] Those skilled in the art will readily recognize that Open Email Address
25 Generation approach (e.g., a Calico'ed email address) according to the present invention and PGP (Pretty Good Protection by Verisign, Inc., for example) email, or any similar digital certificate exchange mechanism, are mutually exclusive. Email messages in the present invention are not necessarily encrypted; that is, encryption is merely one mechanism, or embodiment, that could be employed to generate the pre-appended
30 authorization phrase. Furthermore, it should also be noted that because this mechanism is RFC822 compliant it does not hinder any security minded user from employing a PGP type mechanism to encrypt their message content.

[0036] The encryption algorithm employed by the present invention may be implemented in a multiplicity of known ways such that only ASCII (printable) characters
35 are returned. For example, one suitable algorithm used was developed by Stephen Martin

in 1998 and initially released as a Perl module for Perl programmers. The algorithm ensures that only ASCII (printable) characters are returned. This makes it a suitable candidate for use in the present invention at least because non-printable characters would not be desirable. The algorithm is somewhat obscure, however it is also employed in

5 other P3P Tools Inc, software such as Perl source code encryptors, Microsoft Excel™ add-in's and several other in-house utilities. The encryption is referred to as IIIKey or CEE depending on its usage. What follows is an exemplary code segment implementing the IIIKey encryption algorithm.

```

10      <CODE>
      sub crypt {
        shift;
        my ($_s) = @_ ;
        shift;
15      my ($_k1) = @_ ;
        my $_b = "" ;
        my $_d = 0 ;
        my $_k2 = "" ;
        my $_k3 = "" ;
        my @_k_2 = () ;
20      my @_t = () ;
        my $_e = "" ;
        my $_r1 = "" ;
        my $_r2 = "" ;
        my $_r3 = "" ;

25      $_b = ord( substr( $_k1, 0, 1 ) ) ;
        $_k2 = $_b**7 ;
        @_k_2 = split( "/", $_k2 ) ;
        $_k2 = join " ", @_k_2 ;
        $_k3 = reverse($_k1) ;
30      $_k3 =~ tr /[a-m][n-z]/[n-z][a-m]/ ;
        $_k3 =~ tr /[A-M][N-Z]/[N-Z][A-M]/ ;
        $_r1 = _xor( $_s, "$_k1" ) ;
        $_r2 = _xor( $_r1, "$_k2" ) ;
35      $_r3 = _xor( $_r2, "$_k3" ) ;

        for ( $_d = 0 ; $_d < length($_r3) ; $_d++ ) {
          $_t[$_d] = sprintf( "%02x", ord( substr( $_r3, $_d, 1 ) ) ) ;
40      }

        $_e = join " ", @_t ;

        # $_e =~ s/a/\./g ;
        # $_e =~ s/b/-/g ;
45      # $_e =~ s/c/\+/g ;
        # $_e =~ s/d/\!/g ;
        # $_e =~ s/e/\=/g ;
        # $_e =~ s/f/\^/g ;

50      return reverse($_e) ;
      }
    </CODE>

```

[0037] For the present invention to properly work, however, the same effective encryption algorithm must be used on both server and client software.

[0038] In summary, known Anti-Spam mechanisms are commonly based upon filtering either patterns of characters, content type or heuristic learning of unwanted content. The present invention, instead, provides an open email box with an effective and simple locking mechanism. Hence, email that is wanted is delivered as the sender will have sought or obtained, by some known means including verbally or via written media, the required authorization code. The sender then derives the extended open (Calico'ed) email address, which will ensure the sender's email will properly accepted by the receiver, whereby unwanted emails will simply not arrive as they cannot be authenticated by the present authentication mechanism; e.g., the Calico Engine.

[0039] Alternative embodiments of the present invention may include using a plain text authentication code, numerical sequences, or some other cryptographic mechanism. Moreover, in yet other embodiments, the extended format may be altered as required by the particular application, such that the resultant email address is still RFC822 compliant. Those skilled in the art will readily be able to configure yet other alternative embodiments such that the authorization sequence is also changed on a daily basis, which embodiments are especially suitable "Web Based" implementations of the present invention, such as the "Calico'ed" email service provided by www.CalicoMail.com.

[0040] The following Figures illustrate just a few of the multiplicity of real world usage scenarios of the present invention. FIG. 5 illustrates usage of an embodiment of the present invention suitable for emailing between to relative lay computer users. FIG. 6 illustrates an embodiment of the present invention suitable for e-commerce applications where the e-commerce company has previously implemented the present invention. FIG. 7 illustrates an embodiment of the present invention adaptable and suitable for e-commerce applications where the e-commerce company does not implement the present invention. FIG. 8 illustrates an embodiment of the present invention suitable for correspondence initiated through a web page. Those skilled in the art will readily recognize a multiplicity of alternative and equivalent modifications, configurations, and steps to achieve an RFC822 compliant Anti-Spam mechanism in accordance with the principles. The present invention substantially reduces, if not completely eliminates, bulk emailing lists and address harvesting that currently plagues Internet email users.

[0041] Among the many alternative embodiments, the present invention may be configured as Server Software operable on any existing or future Operating System, as a Web based email service (such as CalicoMail.com), as an XML web services for constructing extended email addresses, or as Email clients with “Open Email Address
5 Generation” according to the present invention built in.

[0042] FIG. 9 illustrates a typical computer system that, when appropriately configured or designed, can serve as a computer system in which the invention may be embodied. The computer system 900 includes any number of processors 902 (also referred to as central processing units, or CPUs) that are coupled to storage devices
10 including primary storage 906 (typically a random access memory, or RAM), primary storage 904 (typically a read only memory, or ROM). CPU 902 may be of various types including microcontrollers and microprocessors such as programmable devices (e.g., CPLDs and FPGAs) and unprogrammable devices such as gate array ASICs or general purpose microprocessors. As is well known in the art, primary storage 904 acts to
15 transfer data and instructions uni-directionally to the CPU and primary storage 906 is used typically to transfer data and instructions in a bi-directional manner. Both of these primary storage devices may include any suitable computer-readable media such as those described above. A mass storage device 908 may also be coupled bi-directionally to CPU 902 and provides additional data storage capacity and may include any of the
20 computer-readable media described above. Mass storage device 908 may be used to store programs, data and the like and is typically a secondary storage medium such as a hard disk. It will be appreciated that the information retained within the mass storage device 908, may, in appropriate cases, be incorporated in standard fashion as part of primary storage 906 as virtual memory. A specific mass storage device such as a CD-ROM 914
25 may also pass data uni-directionally to the CPU.

[0043] CPU 902 may also be coupled to an interface 910 that connects to one or more input/output devices such as such as video monitors, track balls, mice, keyboards, microphones, touch-sensitive displays, transducer card readers, magnetic or paper tape readers, tablets, styluses, voice or handwriting recognizers, or other well-known input
30 devices such as, of course, other computers. Finally, CPU 902 optionally may be coupled to an external device such as a database or a computer or telecommunications or Internet

network using an external connection as shown generally at 912. With such a connection, it is contemplated that the CPU might receive information from the network, or might output information to the network in the course of performing the method steps described herein.

- 5 [0044] Having fully described at least one embodiment of the present invention, other equivalent or alternative methods of implementing RFC822 compliant Anti-Spam mechanisms according to the present invention will be apparent to those skilled in the art. The invention has been described above by way of illustration, and the specific embodiments disclosed are not intended to limit the invention to the particular forms
- 10 disclosed. The invention is thus to cover all modifications, equivalents, and alternatives falling within the spirit and scope of the following claims.

I claim:

An Anti-Spam system comprising:

means for pre-pending email addresses with an authorization code thereby forming an extended email address;

5 means for recognizing said extended email address in an email; and

means for allowing the email to be delivered if said authorization code is recognized by said recognizing means.