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A Compiled Language for Statistical Computing

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Abstract

The implementation of a statistical computing system is described, consisting of a language, an optimising compiler, a virtual machine, and its run-time environment. The language is dynamically typed with lexical scope, first-class functions, and optional type declarations. The compiler operates on a high-level intermediate representation in static single assignment form, and applies several optimisations from the literature. The virtual machine is a directly threaded interpreter with specialised arithmetic instructions for unboxed scalar values. The run-time support library supplies automatic memory management of vectors, arrays and closures.

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Chapter 1

Introduction

This document describes a compiler and run-time environment for a statistical computing language. In syntax and semantics it is substantially inspired by R (Ihaka and Gentleman, 1996) – lexical scope, first-class functions, ad-hoc polymorphism of arithmetic and collection subscript operators.

It includes an optimising compiler which performs type recovery, procedure integration, constant folding, and dead code elimination. The output code is interpreted by a directly threaded virtual machine.

It offers boolean, integer and double-precision floating-point scalar data types in machine-native formats, with a distinguished "missing" value for each. The compiler produces efficient code for operations on values of these types. Other types of object have reference semantics.

Unidimensional vectors and multidimensional arrays may contain values of scalar or reference type, and may have optional symbolic names attached.

1.1 Motivation

The S programming language (Becker and Chambers, 1984) was an early interactive statistical computing system. The R language (Ihaka and Gentleman, 1996), itself inspired by the later version of S (Becker et al., 1988), is a significant influence on this work. While popular and effective, some aspects of R's design preclude reliable compilation for efficient execution.

- Variables, function arguments and return values may take on values of any type, at any point in the program.
- Scalars are represented as vectors of length one.
- Call-by-value behaviour requires expensive copying, in the presence of subscript assignment.
- Reflective manipulation of the run-time environment can invalidate any static properties a compiler may have been able to deduce.

The system described in this document adopts much of R's syntax and some of its semantics. Others are included subject to minor constraints, or omitted entirely.

- Type declarations, and annotations for expressing that certain global variables have constant values.
- Values of scalar type, distinct from vectors.

- Call-by-reference for collections. Copying across function invocation may be explicitly requested by the user, if desired.
- Strictly lexical variable access. Local variables are only ever local; each may only be referred to within a single block of source code.

These changes are made in service of an optimising compiler: drawing on a selection of powerful algorithms from the computer science literature, it transforms a user's program to improve its efficiency while retaining its meaning.

A primary goal of this work is to expand the kinds of code that users can write without resorting to built-in functions for reasons of performance.

1.2 Examples

The code fragment below defines a function that returns the nth member of the Fibonnaci sequence $1, 1, 2, 3, 5, 8, \ldots$

```
\langle fib.src \rangle \equiv
const fib_rec = function(n)
if(n <= 2) 1 else fib_rec(n - 2) + fib_rec(n - 1)
```

The syntax and semantics are similar to R, in many respects. Literals evaluate to themselves; if evaluates to its consequent or alternative, depending on its predicate. A call expression evaluates the actual arguments and binds their values to the formal arguments of the function (as opposed to passing the expressions, as in R). The function body is evaluated in this augmented environment, yielding the result of the call (in the absence of an explicit return statement).

The "const" declaration denotes that the global "fib_rec" will always hold the given value, and may not be redefined.

Following this definition, the expression fib_rec(32) will evaluate to 2178309, as expected.

The function below directly calculates the nth term in the Fibonacci sequence (Knuth, 1997, section 1.2.8).

```
\langle \langle fib.src \rangle +\equiv \text{const fib.arith = function(n) { \text{ var sqrt5 = sqrt(5.0), } \text{ phi = (1 + sqrt5) / 2 } \text{ as_int((phi ^ n - (1 - phi) ^ n) / sqrt5) } \text{}
```

The local variables declared by "var" can have their values computed at compile time, as their initialising expressions contain only constants. The expression fib.arith(32) will evaluate to 2178309, as previously.

The expression fib.arith(1:32) invokes the function on a vector containing the integers 1 through 32. Vectors and arrays are passed to functions by reference. Arithmetic operations exhibit ad-hoc polymorphism, and they operate element-wise across vectors, as in R. The result will be a vector containing the first 32 terms in the sequence.

1.2.1 Linear Regression

The function below solves the linear least-squares approximation problem

$$\underset{\beta}{\operatorname{argmin}} ||\mathbf{y} - \mathbf{X}\beta||^2$$

1.2. EXAMPLES 3

with the modified Gram-Schmidt orthonormalization process (Björck, 1967). This kind of computation lies at the heart of many classical and modern statistical techniques.

```
\langle regression.src \rangle \equiv
  \langle lib \rangle
  \langle regression \ helpers \rangle
  const reg = function(array(double) X, vector(double) y,
                         double eps = 1e-7) : list
  {
      var p = ncol(X), R = diag(p),
           b = dbl(p), xnorm = dbl(p), s = 0.0
      for(int j = 1; j \le p; j = j+1)
           xnorm[j] = norm(X[,j])
      for(int j = 1; j \le p; j = j+1) {
           s = norm(X[,j])
           if (s/xnorm[j] < eps)</pre>
               stop("singular X matrix")
           s = 1/s
           X[,j] = colscl(s, X[,j])
           R[,j] = colscl(s, R[,j])
           if (j < p) {
               for(int k = j+1; k \le p; k = k+1) {
                    s = dotprod(X[,j], X[,k])
                    X[,k] = colswp(X[,k], s, X[,j])
                    R[,k] = colswp(R[,k], s, R[,j])
           }
           s = dotprod(X[,j], y)
           y = colswp(y, s, X[,j])
           b = colswp(b, -s, R[,j])
      vec(Q = X, residuals = y, Rinv = R, coeff = b)
  }
```

The dotprod and norm functions return the dot product and Euclidean norm of their vector arguments. colscl scales the column x by a; colswp sweeps a multiples of the vector x out of y.

Small, immutable functions such as these can be safely expanded in-line at their call sites by the compiler. The types of their formal arguments can then be recovered from the types of the actual arguments in each function call expression.

```
⟨regression helpers⟩≡
const dotprod = function(x, y) sum(x * y)
const norm = function(x) sqrt(dotprod(x, x))
const colscl = function(a, x) a * x
const colswp = function(y, a, x) y - a * x
```

nrow and ncol inspect the shape vector of the array, returning count of rows and columns, respectively. The declared return type int allows the compiler recover the type of p in the function above without the user needing to declare it.

diag creates and returns an $n \times n$ identity matrix. As i, n and 1 are integers, the loop test and iteration will compile to specialised instructions operating on unboxed scalars. The type of a is also known, so the subscripted assignment to a will store the constant scalar directly into the addressed element.

```
(regression helpers)+=
  const nrow = function(array x) : int { shape(x)[1] }
  const ncol = function(array x) : int { shape(x)[2] }
  const diag = function(int n) : array(double) {
    let array(double) a = array(type(double), vec(n,n), 0.0)
    for(int i=1; i <= n; i = i + 1)
        a[i,i] = 1.0
    return a
}</pre>
```

A random X (with n observations of k variables) and y are created, before timing the execution of reg.

```
\label{eq:constraint} \begin{split} &\langle regression.src\rangle +\equiv \\ &n = 10000 \\ &k = 100 \\ &X = array(type(double), vec(n, k), 0.0) \\ &for(i=1; i<=k; i=i+1) \\ &X[,i] = rnorm(n) + (if(i<=k/2) 1:n else 0) \\ &y = 1 + 0.1 * 1:n + rnorm(n,0.5) \\ &const test = function() : double { time(function() reg(X,y))[1] } \\ &mean(replicate(10, test)) \end{split}
```

With this test data, **reg** executes in an average of 0.53 seconds (over 10 runs) on test hardware equipped with an AMD FX-8350 CPU and 16GB PC3-10600 ECC DDR3 SDRAM.

This example function can be translated to R in a straightforward manner. var, const and type declarations are unnecessary; for has syntactic sugar for iteration over regular ranges (the former establishes necessary constraints which the compiler can use; the latter has no performance impact.)

```
\langle regression.R \rangle \equiv
  \langle R \ regression \ helpers \rangle
  reg = function(X, y, eps = 1e-7) {
      R = diag(ncol(X))
      b = rep(0, ncol(R))
      n = nrow(X)
      p = ncol(X)
      xnorm = numeric(p)
      s = 0
      for(j in 1:p)
           xnorm[j] = norm(X[,j])
      for(j in 1:p) {
           s = norm(X[,j])
           if (s/xnorm[j] < eps)</pre>
                stop("singular X matrix")
           s = 1/s
           X[,j] = colscl(s, X[,j])
           R[,j] = colscl(s, R[,j])
           if (j < p)
                for(k in (j+1):p) {
```

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The R helper functions are identical, modulo const.

```
⟨R regression helpers⟩≡
dotprod = function(x, y) sum(x * y)
norm = function(x) sqrt(dotprod(x, x))
colscl = function(a, x) a * x
colswp = function(y, a, x) y - a * x
```

Constructing random test data is simplified by the standard library function cbind. system.time takes an expression, not a function, since it can delay its argument's evaluation until a timestamp has been recorded.

```
 \langle regression.R \rangle + \equiv \\ n = 10000 \\ k = 100 \\ X = cbind(replicate(k/2,rnorm(n)+1:n), replicate(k/2,rnorm(n))) \\ y = 1 + 1:n + .1 * rnorm(n) \\ mean(replicate(10, system.time(reg(X, y))[["elapsed"]]))
```

On test hardware, R version 3.5.3 takes an average of 1.36 seconds (over 10 runs) to execute **reg** on the same test data, showing this language is performant when operating over collections in a familiar style of program.

1.2.2 Closest Points

Python (van Rossum, 1995) is a general-purpose programming language which is, like the language described here, evaluated by interpreting bytecode compiled from source. When extended with the NumPy library (van der Walt et al., 2011), it is increasingly popular for numerical computation.

The next example is a variation of the nearest neighbour problem. For purposes of comparison, it will be presented in our language, R, and Python 3.7.3, respectively.

The eucl.dist function calculates the Euclidean distance

$$\sqrt{\sum_{i=1}^{k} (x_i - y_i)^2}$$

between the k-dimensional points x and y.

```
 \langle closest\text{-}points.src \rangle \equiv \\ \langle lib \rangle \\ \text{const eucl.dist = function(x, y) : double} \\ \text{sqrt(sum(square(x - y)))} \\ \langle closest\text{-}points.R \rangle \equiv \\ \text{eucl.dist = function(x, y)} \\ \text{sqrt(sum((x - y) ^ 2))}
```

```
⟨closest-points.py⟩≡
  ⟨imports⟩
  def eucl_dist(x, y):
    return np.sqrt(np.sum(np.power(x - y, 2)))
```

Given a vector xs of such points, the index (in another vector ys) of the closest point to each can be found by computing the distance of each x to every y and recording the index of the latter where the minimum is found. This simple algorithm takes $O(n^2)$ time. ¹

closest.map.list is a straightforward translation of this algorithm. A map over the xs forms an intermediate vector of distances for each x with a nested map, from which the index of the minimum is returned by which.min.

(The brevity of the Python translation is due to its list comprehension operator, which is syntactic sugar for expressing just such an iteration.)

Built-in functions are written in C; they hold a performance advantage over interpreted code, but are less flexible – they cannot be modified or extended by the user; and user code performing equivalent computations can not match their speed.

R's colSums and NumPy's sum are built-in functions that can produce a vector of results by summing each column (or row) of a two-dimensional array.

eucl.dist.vec is a vectorised version of eucl.dist, computing the distance between a single point x and a set of points ys in the columns of such an array. All the operations (-, square, colsum, and sqrt) are vectorised - the first two element-wise, recycling x over each column of ys. The resulting intermediate values are also arrays with the same dimension as ys, and are discarded immediately after use. In the R and NumPy versions, the cost of their allocation is paid in exchange for the benefit of calling the built-in functions.

In the language described here, the colsum function is not built in.

closest.map.array takes two sets of points stored in array format and performs a
single iteration over the columns of xs, computing each index (via which.min again) of
the closest point to x with a single call to eucl.dist.vec over all the ys.

```
\langle closest-points.src\rangle +\equiv \text{const eucl.dist.vec = function(x, ys) : vector(double)} \text{ sqrt(colsum(square(x - ys)))} \text{const closest.map.array = function(array(double) xs,} \text{ array(double) ys) : integer mapcols(xs, function(x) : int \text{ which.min(eucl.dist.vec(x, ys)))} \text{}
```

¹Vaidya (1986) describes an optimal algorithm which builds and traverses a tree of boxes in $O(n \log n)$.

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```
\langle closest\text{-}points.R \rangle + \equiv
  eucl.dist.vec = function(x, ys)
      sqrt(colSums((x - ys) ^ 2))
  closest.map.array = function(xs, ys)
      apply(xs, 2, function(x) which.min(eucl.dist.vec(x, ys)))
\langle closest\text{-}points.py \rangle + \equiv
  def eucl_dist_vec(x, y):
      return np.sqrt(np.sum(np.power(x - y, 2), axis = 1))
  def closest_map_array(xs, ys):
      return np.apply_along_axis(lambda x: np.argmin(eucl_dist_vec(x, ys)), 1, xs)
In the closest.map functions, only one entry is needed from the intermediate vector
created for each x. In closest.loop.list, the explicit inner loop tracks the index idx
of the current closest y, and so avoids allocating this vector.
\langle closest\text{-}points.src \rangle + \equiv
  const closest.loop.list = function(vector(numeric) xs,
                                          vector(numeric) ys) : integer
      var lx = length(xs), ly = length(ys), res = int(lx)
      for(i = 1; i <= lx; i = i + 1) {
           let idx = -1, d = Inf, x = xs[i]
           for(j = 1; j \le ly; j = j + 1) {
               let r = eucl.dist(x, ys[j])
               if(r < d) { idx = j; d = r; }
           res[i] = idx
      }
      res
\langle closest\text{-}points.R \rangle + \equiv
  closest.loop.list = function(xs, ys) {
      res = integer(length(xs))
      i = 1
      for(x in xs) {
           d = Inf; idx = -1; j = 1
           for(y in ys) {
               r = eucl.dist(x, y)
               if(r < d) { idx = j; d = r; }
               j = j + 1
           res[i] = idx
           i = i + 1
      }
      res
  }
```

closest.loop.array takes its set of input points in the array representation instead, which is accomplished with only minor changes.

The columns of xs and ys are extracted into vectors by copying, except in the Python version – NumPy's ndarray indexing can produce an indirect 'view' of the same underlying buffer.

```
\langle closest\text{-}points.src \rangle + \equiv
  const closest.loop.array = function(array(double) xs,
                                           array(double) ys) : integer
  {
      var lx = shape(xs)[2], ly = shape(ys)[2], res = int(lx)
      for(i = 1; i \le lx; i = i + 1) {
           let idx = -1, d = Inf, x = xs[,i]
           for(j = 1; j \le ly; j = j + 1) {
                let r = eucl.dist(x, ys[,j])
                if(r < d) { idx = j; d = r; }
           }
           res[i] = idx
      }
      res
  }
\langle closest\text{-}points.R \rangle + \equiv
  closest.loop.array = function(xs, ys) {
      res = integer(ncol(xs))
      i = 1
      while(i <= ncol(xs)) {</pre>
           d = Inf; yn = -1; j = 1; x = xs[,i]
           while(j <= ncol(ys)) {</pre>
                r = eucl.dist(x, ys[,j])
                if(r < d) { idx = j; d = r; }
                j = j + 1
           res[i] = idx
           i = i + 1
      }
      res
  }
```

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These four alternative implementations are compared on test sets of size 1000, 2000 and 3000; each comprised of 100-dimensional points, generated randomly with rnorm.

```
\langle closest\text{-}points.src \rangle + \equiv
 const d = 100, r = 10
 global xs, ys, xa, ya
 const mklist = function(n) : vector(numeric)
      replicate(n, function():numeric rnorm(d))
 const mkarr = function(n) : array(double) {
      let res = array(type(double), vec(d, n))
      res[] = rnorm(n*d)
      res
 const test = function(fn)
     print(mean(replicate(r, function():double time(fn)[1])))
 for(n = 1000; n \leq 3000; n = n + 1000) {
      print(n)
      xs = mklist(n); ys = mklist(n); xa = mkarr(n); ya = mkarr(n)
      test(function() closest.map.list(xs, ys))
      test(function() closest.loop.list(xs, ys))
      test(function() closest.map.array(xa, ya))
      test(function() closest.loop.array(xa, ya))
 }
\langle closest\text{-}points.R \rangle + \equiv
 d = 100; r = 10
 prep = function(n, arr = FALSE)
      replicate(n, rnorm(d), simplify = arr)
 test = function(fn)
      cat(mean(replicate(r, system.time(fn())[["elapsed"]])), "\n")
 for(n in seq(1000, 3000, 1000)) {
      cat(n, "\n")
      xs = prep(n); ys = prep(n); xa = prep(n, T); ya = prep(n, T)
      test(function() closest.map.list(xs, ys))
      test(function() closest.loop.list(xs, ys))
      test(function() closest.map.array(xa, ya))
      test(function() closest.loop.array(xa, ya))
```

```
\langle closest\text{-}points.py \rangle + \equiv
  d = 100; r = 10
  def mklist(n):
      return [np.random.normal(size = d) for x in range(0, n)]
  def mkarr(n):
      return np.random.normal(size = (n, d))
  def test(expr):
      times = timeit.repeat(expr, 'gc.enable()', number = 1,
                             repeat = r, globals=globals())
      print(math.fsum(times) / len(times))
  for n in range(1000, 3000+1, 1000):
      print(n)
      xs = mklist(n); ys = mklist(n); xa = mkarr(n); ya = mkarr(n)
      test('closest_map_list(xs,ys)')
      test('closest_loop_list(xs,ys)')
      test('closest_map_array(xa,ya)')
      test('closest_loop_array(xa,ya)')
```

The average time taken by each function, over 10 executions, is recorded by test. Per language and input size, the results are shown in the following table (all times are in seconds, rounded to nearest 0.1s).

map.list			map.array			loop.list			loop.array			
	1k	2k	3k	1k	2k	3k	1k	2k	3k	1k	2k	3k
Ours	0.8	3.3	7.2	0.9	4.5	12.5	0.8	3.3	7.1	1.3	5.3	12.0
R	2.7	11.7	27.3	0.8	3.0	6.1	2.2	8.7	20.3	4.5	18.2	41.1
Python	12.0	47.5	110.1	1.6	6.8	14.3	12.0	48.1	108.2	12.5	50.0	113.0

From this, some conclusions may be drawn. The use of built-in functions, as noted prior, make the map.array variant most performant in R and Python.

The latter is competitive with the language described here; the former faster by over a factor of 2. Its implementation of colSums has a specialised fast path for exactly this case (two-dimensional array of double-precision floating-point values, not treating NAs specially).

However, the costs the other languages pay for data not in the preferred format, or inner loops written in user code, are significant. The language described here also exhibits format preference – the .array variants allocate large temporary intermediate values, and the garbage collector is not tuned to the same extent as comparable implementations. Still, its performance differs by less than a factor of 2 between variants, versus over 6 for R, and over 7 for Python.

For all other variants, this language is faster, sometimes by a large margin – between 2 and 4 times compared to R; 10 and 12 times compared to Python. This reflects the efficacy of our chosen design – minor constraints on system flexibility in exchange for the applicability of powerful compiler techniques is a trade-off worth making.

1.3 Related Work

1.3.1 R

R can be considered a collection-oriented language, as its fundamental data type is the vector – scalars denote vectors of length one. The pervasive notion of "missing" values enables efficient, fine-grained treatment of data which may not be complete. It is dynamically typed, and implements an object model with multiple inheritance and multiple dispatch. Function arguments are lazily evaluated, allowing user control structures to be defined. Values are immutable, necessitating a copy-on-write strategy.

1.3. RELATED WORK

Originally evaluated by a tree-walking interpreter, R has been augmented to include a bytecode compiler and associated virtual machine (Tierney, 2001, 2016). While effective, the dynamic nature of the system (with such reflective primitives as sys.frame and assign) affords few static properties for an optimising compiler to exploit. Instead, the virtual machine has specialised fast paths for certain operations (such as scalar arithmetic), taken when applicable at run time. This improves performance on programs that use these features while preserving backward compatibility, at the cost of detecting such opportunities in the 'hot' inner loop of the interpreter.

In contrast, the language described here is explicitly designed for compilation, taking advantage of generic and type-directed optimisations. The virtual machine is a thin wrapper over the physical processor, being concerned primarily with storage management, residual type checking, and the calling convention.

Eager evaluation precludes using functions as control structures. A Lisp-style macro facility was envisaged as a replacement – evaluating user functions on the abstract syntax tree produced by the parser, before compiling the result. Such an extension is left to future work.

Call-by-reference semantics are simple, efficient, and familiar to users, but require the explicit copying of collections the user wishes to preserve before they are potentially mutated.

This is particularly salient at the top-level REPL, where functions that perform statistical analyses (for example) are not expected to modify the data sets upon which they are invoked.

Rigorous adherence to convention may suffice for a standard library, but future work may consider an explicit immutable annotation, to guard user functions against unexpectedly or erroneously mutating their input arguments.

In the absence of other side effects, immutability of values entails referential transparency (Strachey, 2000), which in turn renders applicable further powerful optimisations from the functional programming literature. While this line of research leads beyond the scope of this project, it is a potentially fruitful object of further work.

1.3.2 Python

Python (van Rossum, 1995) is a general-purpose interpreted programming language. It is dynamically typed and object-oriented, with single inheritance and single dispatch.

The language syntax provides for function parameter and return annotations, but they are not semantically meaningful in the reference implementation. Certain types of objects are inherently immutable, but there is no facility for the user to declare that certain of their functions or variables cannot be redefined or mutated. The language described here has type annotations and **const**ant declarations which are checked at compile-time (and enforced at run-time, where necessary).

The NumPy library (van der Walt et al., 2011) provides vector and array data structures for numerical and statistical programming. Specialised to machine scalar element types, these can be more efficient than the generic structures included in its standard library. The Pandas (McKinney, 2010) library provides a structure analogous to R's data frame.

CPython, the reference implementation of the language, compiles to bytecode without the benefit of any type-directed optimisations, unlike the language described in this document

The Cython implementation (Behnel et al., 2011) is an ahead-of-time compiler which adds these, as well as type declarations, in order to compile to C. The PyPy implementation (Bolz et al., 2009) is a just-in-time trace compiler which generates machine instructions directly; its performance can be comparable to C.

1.3.3 Julia

Julia (Bezanson et al., 2012) is a recently developed programming language for numerical computing. It is dynamically typed, with optional static annotations and type recovery. Its object model features single inheritance and CLOS-style multimethods. Unusually, only classes without descendents may instantiate objects.

In sematics, it is closer to Matlab than to R. In design approach, it is similar to this work – dynamically typed, with optional annotations. Without run-time reflection mechanisms to preclude efficient compilation, the static properties of user programs can be exploited to produce optimised code.

Julia leverages the LLVM framework (Lattner and Adve, 2004) to perform some of these optimisations and, significantly, generate native machine instructions instead of interpreted bytecode. This yields performance comparable to C for general user code, rendering built-in functions unnecessary for many tasks.

The machinery necessary to generate and execute machine instructions has a significant implementation cost, especially if intended to be portable between platforms. It was passed over, in the system described here, in favour of exploring the interaction of language design and higher-level optimisations. Native code would be expected to perform up to an order of magnitude faster for certain input programs (Ertl and Gregg, 2003).

1.4 Future Work

- Multiprocessing VM, with synchronised access to global structures.
- Implement a generational or concurrent garbage collector, with a write barrier for references.
- Add more builtin functions bindings for LAPACK, and more of Mathlib.
- Add heterogeneous container types: 'tuples' with ordered elements, 'structs' with named elements.
- Add an object model, with generic functions and multimethod dispatch.
- Replace the back-end of the compiler with an industrial-strength code generator, such as LLVM or GCC.
- Add macroexpansion to the compiler front-end.
- Investigate specialised optimisations for vector expressions, such as stream fusion.

1.5 Overview

The system may be decomposed into relatively independent subsystems, linked by the common data structures upon which they operate.

Parser (Chapter 4)

Parses text input according to the language grammar, creating an abstract syntax tree.

SSA Conversion (Chapters 6, 7, 8, 9)

Converts the AST to a graph-structured intermediate representation, which describes control and value flow in static single assignment form.

1.6. LICENSES

Optimisation (Chapters 10, 11, 12, 13, 14)

Analyses IR to recover types and constant values; then optimises the program by removing dead code, expanding function calls in-line, and eliminating redundant computations.

Code Generation (Chapters 15, 16, 17, 18)

Determines the lifetimes of IR values, allocates locations, generates bytecode.

Runtime (Chapters 19, 20, 21, 22, 23, 24, 25)

Provides services to the compiler, virtual machine, and user code: memory allocation, garbage collection, objects, types, builtin functions.

VM (Chapter 26)

A direct-threaded virtual machine interprets the *bytecode* instructions produced by the compiler, operating on *scalar* values and reference *objects*.

1.6 Licenses

Appendix A contains a C-program for MT19937-64 (2004/9/29 version), under the following license:

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Appendix C contains code from the Linux kernel and the Mesa 3D Graphics Library, under terms of the GNU General Public License, version 2.

The source code in this document, if not otherwise stated, is published under the terms of the GNU General Public License, version 2.

Miscellanea

```
\langle lib \rangle \equiv
 const retypeof = function(function f) ret_type(typeof(f))
 const eltypeof = function(object v) elt_type(typeof(v))
 time = function(function fn) {
      var a = rt_time(), v = fn(), b = rt_time()
 const replicate = function(int n, function fn, type etyp = retypeof(fn)) {
      let val = vector(etyp, n)
      for(i=1; i<=n; i=i+1)
          val[i] = fn()
      return val
 }
 const mean = function(vector x) sum(x) / length(x)
 const square = function(x) x * x
 const mapcols = function(array x, function fn, type etyp = retypeof(fn)) {
      var n = shape(x)[2], res = vector(etyp, n)
      for(i = 1; i \le n; i = i + 1)
          res[i] = fn(x[,i])
      res
 }
 const map = function(vector x, function fn, type etyp = retypeof(fn)) {
      var n = length(x), res = vector(etyp, n)
      for(i = 1; i \le n; i = i + 1)
          res[i] = fn(x[i])
 }
 const which.min = function(vector xs) : int {
      var n = length(xs), m = 1, v = xs[1]
      for(i = 2; i \le n; i = i + 1) {
          var x = xs[i]
          if(x < v) {
              v = x
              m = i
          }
      }
      m
 }
 const colsum = function(x) mapcols(x, sum, eltypeof(x))
\langle imports \rangle \equiv
 import gc, timeit, math, numpy as np
```

Chapter 2

Executable

The system, composed of a compiler and run-time support module, is realised as a single monolithic executable (modulo the usual dynamically-loaded platform libraries such as the libc.)

Depending how the main executable is invoked, the system runs in one of two modes, batch or interactive.

The *runtime* subsystem (Chapter 19) is initialised before anything else; parts of its functionality are used extensively by the compiler itself.

```
(main) =
  int main(int argc, char *argv[])
  {
    runtime_init();
    if(argc == 1)
        repl();
    else if(argc == 2 && argv[1][0] != '-')
        load(argv[1], true);
    else
        usage(argv[0]);
    runtime_fini();
    return 0;
}
```

If neither mode is applicable (perhaps the user has asked for --help,) a usage hint is printed.

2.1 Batch

In this mode, the system loads the given file and prints the result.

```
⟨load⟩≡
  static void load(char *filename, bool print)
{
    evaluate(source(filename), print);
}
```

source reads and parses a file to an abstract syntax tree, compiles it to a callable rclosure_t, and returns the result. c_begin and c_end delimit the lifetime of the compiler; the latter also prints any errors or warnings encountered.

```
⟨source⟩≡
  rclosure_t *source(char *filename)
{
    int r;
    rclosure_t *cl = NULL;
    ast_t ast;

    c_begin();
    r = p_source(filename, &ast);
    if(r == 0)
        cl = compile(&ast, filename);
    else if(r < 0)
        c_error("sourcing %s - %s", filename, strerror(errno));
    c_end();
    return cl;
}
</pre>
```

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2.2 Interactive

Interactive use is ideal for exploratory data analysis. Each iteration of the *read-evaluate-print loop* accepts an expression from the user. It's parsed, compiled, evaluated, and its result printed.

Before entry, the *init file*, if present, is loaded, and the readline library is initialised.

```
\langle repl \rangle \equiv
  static void repl()
  {
      ast_t ast;
      int status;
      load_init();
      readline_init();
      c_begin();
      while((status = p_readline("> ", "+ ", &ast)) != -1)
          rclosure_t *cl = NULL;
          if(status == 0)
               cl = compile(&ast, NULL);
           c_end();
           evaluate(cl, true);
           c_begin();
      }
      c_end();
      putchar('\n');
  }
```

2.3 Evaluation

Successfully executing a closure results in an object – the value computed by the compiled expression. The runtime function r-print can then be used to output its printed representation, if requested. If an error occurs during execution, an error string is returned which needs freeing after printing.

```
    static void evaluate(rclosure_t *cl, bool print)
{
        void *res = NULL;

        if(!cl)
            return;
        if(execute(cl, &res))
        {
            putchar('\n');
            printf("%s", (char *)res);
            xfree(res);
        }
        else if(print)
        {
            putchar('\n');
            r_print(stdout, res);
        }
        putchar('\n');
    }
}
```

The *virtual machine* is responsible for execution of compiled code. A <code>vm_ctx_t</code> context is initialised, passing the address and size of storage for the temporary stack. The VM (unlike the compiler) interoperates with the garbage collector, so the latter is enabled over its lifetime.

```
\langle execute \rangle \equiv
  uint8_t stack[65536];
  static int execute(rclosure_t *cl, void **pres)
      vm_ctx_t ctx;
      vm_init_ctx(&ctx, stack, sizeof(stack));
      gc_set_enabled(true);
      if(vm_execute(&ctx, cl) == 0 && pres)
          *pres = ctx.ret_val;
      vm_fini_ctx(&ctx);
      gc_set_enabled(false);
      if(ctx.err_msg)
      {
          if(pres)
               *pres = ctx.err_msg;
              xfree(ctx.err_msg);
          return -1;
      }
      return 0;
  }
```

2.4 Init File

Users may want to enrich the global environment with their own code. They can create an init file which includes these additions. Its existence is checked so **source** won't issue an error should it be absent.

```
(load_init) \( \)
    static void load_init()
    {
        char *name = init_name();
        struct stat sbuf;

        if(stat(name, &sbuf) != 0)
            return;
        load(name, false);
}
```

If the INITFILE environment variable is set, it specifies the file to (attempt to) load in lieu of the default.

```
\langle init_name \rangle =
    static const char *initvar = "INITFILE";
    static char *init_name()
    {
        char *name = getenv(initvar);
        return name ? name : init_default();
    }
```

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This is named ".maininit", in the user's home directory (in the unlikely case of running outside a login session, the current directory is used instead.)

2.5 Readline

The interactive mode integrates the GNU readline library for line editing, command history and symbol completion.

At the prompt, pressing the "TAB" key (by default) will print a list of possible completions for the identifier at the point. This list is populated with the names of the variables in the global environment Section 19.5.

```
\langle readline \rangle \equiv
  \langle compl\_ctx\_t \rangle
  \langle completions \rangle
  \langle complete\_global \rangle
  \langle readline\_init \rangle
\langle readline\_init \rangle \equiv
  #define HISTORY_MAX 500
  void readline_init()
       rl_initialize();
       rl_completion_entry_function = complete_global;
       rl_completer_quote_characters = "'';
       rl\_completer\_word\_break\_characters = " \t\n;(,)+-*/:<>={}[]";
       using_history();
       stifle_history(HISTORY_MAX);
\langle compl\_ctx\_t \rangle \equiv
  typedef struct
  {
       const char *text;
       int len;
       ARRAY(char *) strs;
  } compl_ctx_t;
```

```
\langle \mathit{complete\_global} \rangle {\equiv}
  static char *complete_global(const char *text, int state)
      static int cur;
      static compl_ctx_t ctx;
      if(!state)
          ctx = (compl_ctx_t) { text, strlen(text) };
           array_init(&ctx.strs, 1);
          hashmap_map(r_globals, completions, &ctx);
           cur = 0;
      }
      else
           cur++;
      if(cur >= alen(&ctx.strs))
      {
          array_fini(&ctx.strs);
          return NULL;
      }
      rl_completion_suppress_append = true;
      return strdup(aref(&ctx.strs, cur));
  }
\langle completions \rangle \equiv
  static void completions(const void *key, void *value, void *ptr)
  {
      compl_ctx_t *ctx = ptr;
      const rsymbol_t *name = key;
      char *str = name->string;
      if(!strncmp(str, ctx->text, ctx->len))
           array_push(&ctx->strs, str);
  }
```

Miscellanea

Part I Compiler

Chapter 3

Compiler

The compiler subsystem supplies the compile entry point, which translates an abstract syntax tree into a callable object containing bytecode, ready for execution. A relatively straightforward direct-style compiler operating on an intermediate representation in static single assignment form, it has the peculiarity that it recovers types during optimisation, as opposed to inferring them or checking them beforehand.

```
 \begin{split} &\langle compiler.c\rangle \equiv \\ &\langle includes\rangle \\ &\langle globals\rangle \\ &\langle c\_intern\rangle \\ &\langle c\_messages\rangle \\ &\langle c\_message\_va\rangle \\ &\langle c\_begin\rangle \\ &\langle c\_end\rangle \\ &\langle pass\ prototypes\rangle \\ &\langle compile\rangle \end{split}
```

3.1 Entry Point

Compilation proceeds as a sequence of *passes*, each of which examines and perhaps transforms some or all of the program being compiled. The input is an abstract syntax tree from the parser (Chapter 4); a closure (Chapter 21) is returned that can be executed by the virtual machine (Chapter 26).

```
\langle \langle compile \rangle compile \rangle compile \rangle compile (ast_t *ast, char *name) {
      cfunction_t *fn = NULL;
      rfunction_t *rfn = NULL;
      rclosure_t *cl = NULL;

      \langle passes \rangle
      fail:
        if(fn)
            cfunc_free(fn);
      return cl;
    }
}
```

The "front-end" of the compiler, ir_convert, converts the input ast into *intermediate* representation, which describes the control and data flow in the input program with a graph of pointers between compile-time objects (Chapter 5, Chapter 6). The resulting fn encapsulates the program for the remainder of the compilation process.

```
\langle passes \rangle =
fn = ir_convert(ast, name);
ast_fini(ast);
if(!fn)
    goto fail;
```

The program is cleaned, sorted and annotated by ir_prepare (Chapter 7).

```
⟨passes⟩+≡
if(failed(ir_prepare(fn)))
goto fail;
```

Most local variables are erased the during *static single assignment conversion* performed by ir_ssa_convert, becoming edges in the value flow graph (Chapter 9).

```
\langle passes \rangle + \equiv ir_ssa_convert(fn);
```

The compiler's "mid-end" is a mixture of necessary transformations and optional optimisations. The ir_optimise pass analyses the program using sparse conditional constant propagation, then uses the results to rewrite parts so that they are semantically equivalent but more efficient (Chapter 10, Chapter 11).

Lexically captured variables undergo *cell introduction* in <code>ir_cell_intro</code> (Chapter 12). Declared types are checked and any necessary conversions inserted by <code>ir_postpass</code>, which can also interpret certain complex argument lists ahead of time (Chapter 13).

A simple but effective *dominator value numbering* pass, implemented by ir_dvn, is capable of removing redundant computations (Chapter 14).

```
\langle passes\rangle +\equiv 
   if(failed(ir_optimise(fn)))
       goto fail;
   ir_cell_intro(fn);
   if(failed(ir_postpass(fn)))
       goto fail;
   ir_dvn(fn);
   if(opt.dbg_dump_ir)
       ir_dump(fn);
```

Finally, the "back end" pass gen_function generates bytecode from the optimised intermediate representation, producing an rfunction_t object which is wrapped in a callable closure by rcall_closure_create (Chapter 15).

```
\langle passes\rangle +\equiv 
rfn = gen_function(fn);
cl = rcall_closure_create(rfn->cl_type, rfn);
```

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3.2 Interface

If a pass encounters an error, compile must be informed so it can abandon the compilation. Some passes are run until convergence – they're iterated until no further changes are made. Some can avoid performing unnecessary operations if they know that a function had no effect.

To these ends, passes and their associated functions return cresult bitflags: to signal that they CHANGED something, or that they FAILED. SUCCESS is the neutral value.

```
\langle cresult \rangle \equiv typedef unsigned char cresult; enum { SUCCESS = 0, FAILED = 1<<0, CHANGED = 1<<1 };
```

cresults are bitwise-ORed together to accumulate flags across multiple callees, and tested by callers with the failed and changed predicates.

```
⟨cresult predicates⟩≡
  static inline bool failed(cresult res) { return (res & FAILED) != 0; }
  static inline bool changed(cresult res) { return (res & CHANGED) != 0; }
```

A small amount of state is kept over the lifetime of a compiler invocation – the list of diagnostic messages c_msgs, and the constant table c_consttab. Globals are bad form, but this subsystem is not intended to be re-entrant.

```
⟨globals⟩≡
static const char *lvlnames[] = { "Error", "Warning" };
static SLIST(cmessage_t) c_msgs;
static hashset_t *c_consttab;
```

They must be initialised before calling into the compiler.

```
\( \langle c_begin \rangle \)
\( \text{void c_begin()} \)
\( \text{c_msgs} = \text{NULL;} \)
\( \text{c_consttab} = \text{hashset_create(r_hash, r_equal);} \)
\( \text{}
\]
```

After compilation, any messages that were queued are printed, then state is deallocated.

```
\langle c_end \rangle \square
void c_end()
{
    hashset_free(c_consttab);
    c_messages(C_WARNING);
    assert(c_msgs == NULL);
}
```

Constant objects are built from literals in the input program, or generated by invocation of certain builtin functions with constant arguments.

They're internalised by the compiler in the same way that symbols and types are by the runtime.

```
\langle c_intern \rangle =
  void *c_intern(void *ptr)
  {
     void *obj = hashset_insert(c_consttab, ptr);
     if(obj != ptr)
          gc_release(ptr);
     return obj;
}
```

 $\langle cmessage_{-}t \rangle \equiv$

When an invocation of compile fails, an ERROR provides further information about the reason. A WARNING details an unexpected, probably undesired condition encountered during compilation.

```
\langle cmsglevel \rangle \equiv \\ typedef enum { C_ERROR, C_WARNING } cmsglevel;
```

A message is represented by an instance of the cmessage_t structure. The c_messages list is linked through the .next field.

```
typedef struct cmessage
      SLIST(struct cmessage) next;
      cmsglevel level;
      rsymbol_t *file;
      char *string;
  } cmessage_t;
Other modules will call c_message_va to append a message to the global list...
\langle c\_message\_va \rangle \equiv
  void c_message_va(cmsglevel lvl, rsymbol_t *file, char *fmt, ...)
      va_list va;
      cmessage_t *msg = xcalloc(1, sizeof(*msg));
      va_start(va, fmt);
      msg->level = lvl;
      msg->file = file;
      if(vasprintf(&msg->string, fmt, va) == -1)
           fatal("vasprintf failed.");
      slist_push(c_msgs, msg, next);
      va_end(va);
  }
... or use a shorthand macro.
\langle message\ macros \rangle {\equiv}
```

```
message macros = 
#define c_warning(fmt, args...) c_message_va(C_WARNING, NULL, fmt, ##args)
#define c_error(fmt, args...) c_message_va(C_ERROR, NULL, fmt, ##args)
```

The c_messages function prints and clears the message list. A level argument of C_ERROR will muffle any warnings.

```
\langle c\_messages \rangle \equiv
  void c_messages(int level)
  {
      if(c_msgs)
      {
           cmessage_t *msg, *tmp;
           slist_nreverse(c_msgs, next);
           slist_foreach_safe(c_msgs, msg, tmp, next)
           {
               if(msg->level <= level)</pre>
                    fprintf(stderr, "%s: %s\n", lvlnames[msg->level], msg->string);
               xfree(msg->string);
               xfree(msg);
           c_msgs = NULL;
      }
  }
```

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Miscellanea

```
⟨includes⟩≡
  #include "global.h"
  #include "ir.h"
  #include "ast.h"
```

Pass entry point prototypes are included inline, not from header files, as no other module needs to call them.

```
\langle pass \ prototypes \rangle \equiv
  cfunction_t *ir_convert(ast_t *ast, char *filename); // ir_convert.c
  cresult ir_prepare(cfunction_t *fn); // ir_pre.c
  cresult ir_postpass(cfunction_t *fn); // opt_post.c
  void ir_init_closure(cfunction_t *fn); // ir_closure.c
  void ir_ssa_convert(cfunction_t *fn); // ir_ssa.c
  cresult ir_optimise(cfunction_t *fn); // opt_sccp.c
  cresult ir_cell_intro(cfunction_t *fn); // opt_closure.c
  void ir_dvn(cfunction_t *fn); // opt_dvn.c
  rfunction_t *gen_function(cfunction_t *fn); // gen_code.c
\langle compiler.h \rangle \equiv
  \langle cmsglevel \rangle
  \langle cmessage_{-}t \rangle
  \langle cresult \rangle
  \langle cresult\ predicates \rangle
  \langle message\ macros \rangle
  \langle prototypes \rangle
\langle prototypes \rangle \equiv
  typedef struct cfunction cfunction_t;
  cfunction_t *convert(ast_t *ast, char *name);
  rclosure_t *source(char *name);
  rclosure_t *compile(ast_t *ast, char *name);
  void *c_intern(void *ptr);
  void c_begin();
  void c_end();
  void c_messages(int level);
  void c_message_va(cmsglevel lvl, rsymbol_t *file, char *fmt, ...);
```

Chapter 4

Parser

The parser subsystem is the first stage in the compiler, operating on textual input. We specify the language it is to recognise with a LALR(1) grammar; the GNU bison parser generator then gives us the yyparse function which forms the subsystem's core.

Assuming no errors are encountered, it creates from the input program an abstract $syntax\ tree.$

4.1 Abstract Syntax Tree

Each syntactic construct, when parsed, produces one or more instances of the ast_t structure. The .type tag determines which field in the union is populated.

```
\langle asttype \rangle \equiv
  typedef enum
       AST_INVALID, AST_NODE, AST_TOKEN,
       AST_INT, AST_DOUBLE, AST_STRING,
       AST_QUOTED, AST_SYMBOL, AST_NAME
Values of the asttype enumeration are interpreted as follows.
AST_NODE Internal tree node, with array of .children. All other types are leaves.
\langle ast \ union \rangle \equiv
  ast_array_t *children;
AST_TOKEN A reserved identifier recognised by the parser. A value of yytokentype
(from the generated grammar.h) is stored in the .token field.
\langle ast \ union \rangle + \equiv
  int token;
AST_INT Literal, stored in .integer field.
AST_DOUBLE Literal, stored in .dfloat field.
AST_STRING Literal, stored in .string field.
\langle ast\ union \rangle + \equiv
  int integer;
  double dfloat;
  char *string;
AST_QUOTED Literal (quoted) symbol.
AST_SYMBOL Symbolic identifier, probably a variable reference.
AST_NAME Name of a formal or actual argument.
   These are all stored in the .symbol field. Symbols are garbage-collected strings,
internalised in the runtime's symbol table (Section 19.2).
\langle ast\ union \rangle + \equiv
  rsymbol_t *symbol;
AST_INVALID Marks an omitted argument.
   ast_null is a convenient source of this.
\langle ast\_null \rangle \equiv
  ast_t ast_null = { .type = AST_INVALID };
Simple predicates are supplied for testing if a particular ast_t is the symbol "...",
used for naming a "rest vector"...
\langle ast\_is\_rest \rangle \equiv
  static inline bool ast_is_rest(ast_t *ast)
       { return (ast->type == AST_SYMBOL && ast->symbol == r_sym_rest); }
... or an omitted argument marker.
\langle ast\_is\_omitted \rangle \equiv
  static inline bool ast_is_omitted(ast_t *ast)
       { return ast->type == AST_INVALID; }
Utility functions create ASTs of various shapes.
\langle ast \ functions \rangle \equiv
  \langle ast\_va \rangle
  \langle ast\_set \rangle
  \langle ast\_append \rangle
  \langle ast\_prepend \rangle
  \langle ast\_free\_node \rangle
  \langle ast\_fini \rangle
```

ast_va creates and returns a NODE, with children copied in order from its NULL-terminated vararg list of pointers.

```
\langle ast\_va \rangle \equiv
  static ast_t ast_va(ast_t *head, ...)
      ast_array_t *arr;
      if(head)
          va_list ap;
          ast_t *ptr;
          array_alloc(&arr, 2);
          array_push(arr, *head);
          va_start(ap, head);
          while((ptr = va_arg(ap, ast_t *)))
               array_push(arr, *ptr);
          va_end(ap);
      }
      else
           array_alloc(&arr, 0);
      return (ast_t) { .type = AST_NODE, .children = arr };
ast_set copies the given ast to the ith child of expr.
\langle ast\_set \rangle \equiv
  static ast_t ast_set(ast_t *expr, ast_t *ast, int i)
      assert(expr->type == AST_NODE && expr->children);
      assert(alen(expr->children) > i);
      aset(expr->children, i, *ast);
      return *expr;
  }
ast_append and ast_prepend add the given ast to the end and beginning of expr's
.children, respectively.
\langle ast\_append \rangle \equiv
  static ast_t ast_append(ast_t *expr, ast_t *ast)
  {
      assert(expr->type == AST_NODE && expr->children);
      array_push(expr->children, *ast);
      return *expr;
  }
\langle ast\_prepend \rangle \equiv
  static ast_t ast_prepend(ast_t *expr, ast_t *ast)
      assert(expr->type == AST_NODE && expr->children);
      array_insert(expr->children, 0, *ast);
      return *expr;
  }
```

A STRING owns its .string value. A NODE owns its vector of .children...

```
\langle ast_fini\square \text{void ast_fini(ast_t *ast)} \\ if(ast->type == AST_NODE) \\ ast_free_node(ast->children); \\ else if(ast->type == AST_STRING) \\ xfree(ast->string); \\ \\ \text{... which must be recursively deallocated.} \\ \langle ast_free_node \rangle \equiv \text{static void ast_free_node(ast_array_t *arr)} \\ ast_t *ptr; \\ array_foreach_ptr(arr, ptr) \\ ast_fini(ptr); \\ array_free(arr); \\ \} \end{array_free(arr);} \]
```

A handful of AST construction and mutation macros improve concision when writing rule actions.

```
⟨ast macros⟩≡
  ⟨prototypes⟩
#define AST1(a) ast_va(&a, NULL)
#define AST2(a, b) ast_va(&a, &b, NULL)
#define AST3(a, b, c) ast_va(&a, &b, &c, NULL)
#define AST4(a, b, c, d) ast_va(&a, &b, &c, &d, NULL)
#define ENDN(1, a, i) ast_set(&1, &a, i)
#define END1(1, a) ENDN(1, a, 0)
#define PUSH(1, a) ast_append(&1, &a)
#define PREP(1, a) ast_prepend(&1, &a)
```

4.2 Declarations

The YYSTYPE macro specifies the type of semantic value which grammar rules operate upon (and so the type of object ultimately returned by the parser.)

```
\langle YYSTYPE \rangle \equiv
#define YYSTYPE ast_t
```

We use some extensions of bison over yacc. api.pure moves state from global variables to a function argument, making the generated parser re-entrant. api.push-pull is more interesting — it produces a yypush_parse function which can be invoked to parse one token at a time. This is ideal for interactive use (the original yyparse behaviour is provided via the wrapper yypull_parse.)

```
⟨declarations⟩≡
  %define api.pure
  %define api.push-pull both
```

Subsystem context is kept in a pctx_t; it's passed to the generated parser entry points, which in turn make it available to the lexer and rule actions.

```
⟨declarations⟩+≡
%parse-param {pctx_t *ctx}
%lex-param {pctx_t *ctx}
```

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When recovering from a parse error, bison discards symbols and their semantic values from its internal stack. The latter may have allocated memory, so must free it.

When a parse succeeds, the initial symbol is discarded in the same way. Since its semantic value is desired result of the parse, it should not be discarded.

4.2.1 Parser Context

```
⟨pctx_t⟩≡
  typedef struct yypstate yypstate;
  typedef struct pctx pctx_t;
  typedef struct pctx
  {
    ⟨parser context⟩
} pctx_t;
```

bison's state is stored in the .ps field, merely to keep related objects together. When the parse succeeds, .done and the .result value are set.

```
⟨parser context⟩≡
  yypstate *ps;
  bool done;
  ast_t result;
```

Interactive use requires distinguishing between partial and complete expressions. When a newline is input and the preceding expression is complete, it should be recognised and evaluated. When incomplete, a continuation prompt should be displayed so further input may be entered.

.expr is set by rules which parse complete expressions. .nest counts the depth of parenthesis, bracket and brace blocks. .brk is set when a newline is encountered in an incomplete expression.

```
⟨parser context⟩+≡
bool expr;
unsigned nest;
bool brk;
```

Interactive use also complicates solution of the "dangling else" problem. .ifexpr is set when an if expression has been parsed; .ltok and .lval then buffer a token's worth of lookahead in the case the next token lexed is not an ELSE.

```
⟨parser context⟩+≡
bool ifexpr;
int ltok;
ast_t lval;
```

.buf is a scratch buffer used by the lexer. .get, .unget and .data decouple the lexer from the details of stream access.

```
⟨parser context⟩+≡
  char *buf;
  int (*get)(pctx_t *);
  void (*unget)(int, pctx_t *);
  void *data;
```

4.2.2 Tokens

A *token* is a terminal symbol in the grammar. The name of a single character token is just that character. Tokens which match keywords or values are given names with %token and %precedence/%left/%right declarations.

```
\langle terminals \rangle \equiv \\ \text{\%token LIT_INT LIT_DBL LIT_BOOL LIT_SYM LIT_STR ID TYPE FUNCTION} \\ \text{\%token WHILE FOR BREAK CONTINUE LET VAR GLOBAL CONST INCLUDE LEXERR}
```

Some terminals require precedence to be specified, to disambiguate shift/reduce conflicts between rules in which they appear. This list is ordered from lowest to highest. Function call (parenthesised argument list) and subscript (bracketed indices) have higher precedence than any operators; and assignment, lower.

```
⟨precedence⟩≡

%precedence RET
%precedence IF
%precedence ELSE
%right '='
%left OR AND
%nonassoc '>' GE '<' LE EQ NE
%left '&' '|'
%left '+' '-'
%left '*' '/' '%'
%left ':'
%precedence '!' UMINUS UPLUS
%left '^'
%precedence '[' '('</pre>
```

4.3 Lexical Analysis

The *lexer* yylex consumes characters from an input stream, producing one token per invocation. Each token can have an AST as its *semantic value*.

 l_getc and l_ungetc wrap the input functions in the parser context to track the current line number.

Spaces and tabs are whitespace. A comment begins with a "#", extends to the end of the line (or the input), and is also considered whitespace. eat_ws consumes this until it encounters a non-whitespace character, returning the latter.

Some terminals can have more than one value – numbers, identifiers, quoted strings. To lex one of these, one or more characters are copied from the input to a temporary buffer, as long as some condition holds. The CPCHAR and CPCHARS macros encapsulate this. They use the local variables c, ptr, end, and ctx.

4.3.1 Lexer

The l_lex function is the core of the lexer. It returns one of the terminal tokens; if this has a useful semantic value, it will overwrite the ast_null initially stored at out.

Whitespace separates tokens, so eat_ws is called, and the returned character c used to distinguish what kind of token has been encountered.

```
⟨Llex⟩≡
static int l_lex(YYSTYPE *out, pctx_t *ctx)
{
    int c = eat_ws(ctx);
    *out = ast_null;
    switch(c)
    {
      ⟨match char⟩
      default:
          break;
    }
      ⟨match op⟩
      ⟨match kwd⟩
      ⟨make token⟩
}
```

End-of-input markers are recognised by the grammar. bison puns single characters as tokens, so we can return them immediately.

```
⟨match char⟩≡
  case '\0':
  case EOF:
   return c;
```

A digit means a number must follow.

```
⟨match char⟩+≡
case '0' ... '9':
    return make_number(out, ctx, c);
```

One or more newlines count as a single token, regardless of whitespace.

```
\( \text{match char} \) +=
\( \text{case '\n':} \\
\text{ while((c = eat_ws(ctx)) == '\n');} \\
\text{ l_ungetc(c, ctx);} \\
\text{ return '\n';} \end{array}\)
```

Delimited tokens are strings which start and end with the same character.

Anything surrounded by acute accents "" represents an identifier, as in R.

A string surrounded by apostrophes "," denotes a literal symbol. Two symbols with the same name are, in fact, the same object, so compare eq.

A string surrounded by quotation marks """ is a literal string. These are not, at present, very useful – mostly for printing, explaining why a program stopped, and naming files to include.

```
\(match char\) +=
    case ''':
        return make_delim(out, ctx, ''', ID, make_sym);
    case '\'':
        return make_delim(out, ctx, '\'', LIT_SYM, make_sym);
    case '\"':
        return make_delim(out, ctx, '"', LIT_STR, make_str);
```

Some operators are two characters long. If c matches the start of one, check the next character. If that matches the next character of the same one, then that operator specifies the token we've lexed.

Otherwise, put the second char back; the first character denotes a different operator, so return one of those instead.

```
(match op)=
  int i = match_kwd_char(op2, lengthof(op2), c);
  if(i != -1)
  {
    int token = c;
    c = l_getc(ctx);
    if(c == op2[i].str[1])
        token = op2[i].token;
    else
        l_ungetc(c, ctx);
    return make_tok(out, token);
}
```

If c is an underscore, period or letter, it must be part of either a keyword or an identifier. Each keyword lexes to a different terminal. Identifiers lex to ID, with a SYMBOL as semantic value.

```
(match kwd) =
  if(c == '_' | | c == '.' || isalpha(c))
  {
    char *str = lex_id(ctx, c);
    int i = match_kwd_str(kwd, lengthof(kwd), str);
    if(i != -1) // keyword
        return make_tok(out, kwd[i].token);
    return make_sym(out, str, ID);
}
```

Otherwise, c is probably some single-character operator. Return it; the grammar can complain if it isn't recognised.

```
⟨make token⟩≡
return make_tok(out, c);
```

Terminals are not exclusively the grammar's concern. They'll be used later on by ir_convert, so carry TOKENs ast_ts as semantic values.

```
\langle make_tok\)\(\sum_{\text{tok}}\) \( \text{static inline int make_tok(YYSTYPE *out, int tok)} \\ \{ \quad *out = (ast_t) \{ .type = AST_TOKEN, .token = tok \}; \\ \text{return tok;} \\ \} \end{align*}\)
```

An identifier can contain digits, as well as underscores, periods and letters.

```
⟨lex_id⟩

static char *lex_id(pctx_t *ctx, int c)
{
    char *ptr = ctx->buf, *end = ctx->buf + L_BUFSIZE - 1;

    CPCHARS(isalnum(c) || c == '_' || c == '.');
    l_ungetc(c, ctx);
    assert(ptr < end);
    *ptr = '\0';
    return ctx->buf;
}
```

4.3.2 Numbers

Literal integers and double-precision floating-point reals are represented by the tokens LIT_INT and LIT_DBL, respectively.

```
\langle numbers \rangle \equiv
\langle make\_integer \rangle
\langle make\_double \rangle
\langle lex\_number \rangle
\langle make\_number \rangle
```

Whether the digit c begins the former or the latter is determined by lex_number.

```
\langle make\_number \rangle \equiv
  static int make_number(YYSTYPE *out, pctx_t *ctx, int c)
      int tok = lex_number(ctx, c);
      if(tok == LIT_DBL)
          return make_double(out, ctx);
      else if(tok == LIT_INT)
          return make_integer(out, ctx);
      return tok;
  }
If a decimal point or exponent marker is encountered, it's a double, otherwise it's an
integer. Digits (and these markers, if present) are copied into the context's scratch
buffer .buf.
\langle lex_number \rangle \equiv
  static int lex_number(pctx_t *ctx, int c)
  {
      char *ptr = ctx->buf, *end = ctx->buf + L_BUFSIZE - 1;
      int tok = LIT_INT;
      CPCHARS(isdigit(c));
      if(c == '.')
          tok = LIT_DBL;
          CPCHAR(true);
          CPCHARS(isdigit(c));
      }
      if(c == 'e' || c == 'E')
      {
          tok = LIT_DBL;
          CPCHAR(true);
          CPCHAR(c == '+' || c == '-');
          CPCHARS(isdigit(c));
      }
      l_ungetc(c, ctx);
      assert(ptr < end);</pre>
      *ptr = '\0';
      return tok;
  }
```

From there, an integer can be lexed with strtol...

```
\langle make\_integer \rangle \equiv
 static int make_integer(YYSTYPE *out, pctx_t *ctx)
      long int val = 0;
      char *ptr;
      errno = 0;
      val = strtol(ctx->buf, &ptr, 10);
      if(ptr == ctx->buf)
      {
          yyerror(ctx, "can't parse integer literal");
          return LEXERR;
      else if(errno == ERANGE || val > INT_MAX || val < INT_MIN)</pre>
      {
          yyerror(ctx, "integer literal out of range");
          return LEXERR;
```

```
}
      *out = (ast_t) { .type = AST_INT, .integer = (int)val };
      return LIT_INT;
... or a double, with strtod.
\langle make\_double \rangle \equiv
  static int make_double(YYSTYPE *out, pctx_t *ctx)
      double val = 0;
      char *ptr;
      errno = 0;
      val = strtod(ctx->buf, &ptr);
      if(ptr == ctx->buf)
          yyerror(ctx, "can't parse floating-point literal");
          return LEXERR;
      }
      else if(errno == ERANGE)
          yyerror(ctx, "floating-point literal out of range");
          return LEXERR;
      }
      *out = (ast_t) { .type = AST_DOUBLE, .dfloat = val };
      return LIT_DBL;
```

A LEXERR is returned instead if the literal value is out of range or otherwise invalid.

4.3.3 Quotation

Quoted identifiers, and literal symbols and strings, are all delimited tokens. Assuming an end delim is found, the assign function can create an ast_t from the copied characters and return the token.

Within a delimited token, the backslash "\" introduces an escape sequence, but currently only newline is specially handled.

```
\langle lex\_quoted \rangle \equiv
  static bool lex_quoted(pctx_t *ctx, char close)
      char *ptr = ctx->buf, *end = ctx->buf + L_BUFSIZE - 1;
      int c = l_getc(ctx);
      while(1)
      {
          CPCHARS(c != close && c != '\\');
           if(c == '\\')
           {
               c = l_getc(ctx);
               if(c=='n')
                   c = ' n';
               CPCHAR(true);
               continue;
          }
          break;
      }
      if(c != close)
          l_ungetc(c, ctx);
          return false;
      assert(ptr < end);</pre>
      *ptr = '\0';
      return true;
```

A SYMBOL will probably be interpreted as a variable name, whereas when QUOTED it will denote itself, as a literal.

A STRING copies its value out of the scratch buffer to somewhere more permanent.

```
\langle make_str\rangle \infty
    static inline int make_str(YYSTYPE *out, char *str, int tok)
    {
        *out = (ast_t) { .type = AST_STRING, .string = strdup(str) };
        return tok;
    }
```

4.3.4 Keywords and Operators

```
\langle keywords \rangle \equiv
  \langle kwdspec_{-}t \rangle
  \langle op2 \rangle
  \langle kwd \rangle
  \langle match\_kwd\_char \rangle
  \langle match\_kwd\_str \rangle
  \langle make\_tok \rangle
  \langle find\_kwd \rangle
  \langle ast\_str \rangle
A keyword specifier pairs a string with a token.
\langle kwdspec_{-}t\rangle \equiv
  typedef struct
  {
       const char *str;
       int token;
  } kwdspec_t;
op2 specifies the two-character-long operators.
\langle op2 \rangle \equiv
  static const kwdspec_t op2[] = {
       { "&&", AND },
       { "||", OR },
       { "!=", NE },
       { "==", EQ },
       { ">=", GE },
       { "<=", LE },
kwd specifies the keywords.
\langle kwd \rangle \equiv
  static const kwdspec_t kwd[] = {
       { "type", TYPE },
       { "if", IF },
       { "else", ELSE },
       { "function", FUNCTION },
       { "return", RET },
       { "while", WHILE },
       { "for", FOR },
       { "break", BREAK },
       { "continue", CONTINUE },
       { "let", LET },
       { "var", VAR },
       { "global", GLOBAL },
       { "const", CONST },
       { "include", INCLUDE },
Helper functions match, against a table of keyword specifiers, a single character...
\langle match\_kwd\_char \rangle \equiv
  static int match_kwd_char(const kwdspec_t *tab, int len, int c)
  {
       for(int i=0; i<len; i++)</pre>
             if(tab[i].str[0] == c)
                  return i;
       return -1;
  }
```

... or a string. The index of the matching specifier is returned; -1 if none was found.

```
\langle match_kwd_str\subseteq 
static int match_kwd_str(const kwdspec_t *tab, int len, char *str)
{
    for(int i=0; i<len; i++)
        if(!strcmp(tab[i].str, str))
            return i;
    return -1;
}</pre>
```

It is sometimes necessary to "unlex" an ast_t back to a string — currently, this only applies to SYMBOLs, NAMEs and TOKENs. This is easy enough for the first two; the last needs a search through the specifier tables for one with a matching .token.

```
\langle ast\_str \rangle \equiv
  const char *ast_str(ast_t *ast)
      static char buf[2];
      assert(ast->type == AST_SYMBOL || ast->type == AST_NAME || ast->type == AST_TOKEN);
      if(ast->type == AST_SYMBOL || ast->type == AST_NAME)
          return r_symstr(ast->symbol);
      const char *str = find_kwd(op2, lengthof(op2), ast->token);
      if(str)
          return str:
      str = find_kwd(kwd, lengthof(kwd), ast->token);
      if(str)
          return str;
      buf[0] = ast->token;
      return buf;
The find_kwd helper performs this reverse lookup.
  static const char *find_kwd(const kwdspec_t *tab, int len, int token)
  {
      for(int i=0; i<len; i++)</pre>
          if(tab[i].token == token)
               return tab[i].str;
      return NULL;
```

4.3.5 Lexer Wrapper

}

Some 'features' of the language require collusion between the lexer and the grammar. yylex, the traditional bison entry point function, implements these workarounds.

```
 \begin{array}{l} \langle yylex\rangle \equiv \\ \text{int yylex(YYSTYPE *out, pctx_t *ctx)} \\ \{ \\ \qquad \langle lexer\ wrapper \rangle \\ \} \end{array}
```

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If a lookahead token .ltok is present, it should be consumed instead of invoking l_lex.

```
(lexer wrapper) =
  int tok;
  if(ctx->ltok != tok_empty)
{
    tok = ctx->ltok;
    *out = ctx->lval;
    ctx->ltok = tok_empty;
    ctx->lval = (ast_t){ 0 };
}
else
    tok = l_lex(out, ctx);
```

If, following an if expression and its terminating newline, an else is seen, it's passed through to the grammar. Otherwise a terminating ";" is synthesised, and the lexed token saved.

```
\left(ctx-vifexpr) +=
  if(ctx-vifexpr)
{
    if(tok != ELSE)
    {
      ctx-vltok = tok;
      ctx-vlval = *out;
      tok = ';';
    }
    ctx-vifexpr = false;
}
```

Expressions can continue over several lines. If a newline can't be interpreted as terminating a "complete" expression (as signaled by the .expr flag in collusion with rule actions,) it should be skipped; the following token is returned by the tail call. Setting the .brk flag gives the interactive parser loop the opportunity to accept further input.

```
\left(lexer wrapper\right) +\equiv if(tok == '\n')
{
     if(!ctx->expr)
     {
        ctx->brk = true;
        return yylex(out, ctx);
     }
}
ctx->expr = false;
return tok;
```

When a nonterminal that could end an expression is reduced, its rule sets .expr to signal that, should the following token be a newline, it's significant to the grammar and the lexer ought not to eat it.

Needless to say, this is frightfully ad-hoc and fragile – if bison needs a lookahead token to decide between shifting and reducing the rule, the action won't be able to write .expr until after the lexer's read it.

4.4 Grammar

The grammar is block-structured, curly-braced and expression-oriented, hewing close to R. It's fairly relaxed, in the sense that not all recognised inputs are legal programs (this is determined during conversion to IR, in Chapter 6.)

If the parser encounters an error, it notifies its caller. Otherwise, a list of expressions has been recognised. The .done flag is set and the .result updated – this NODE is the root of the tree; each child being a top-level expression.

An expression list is a sequence of declaration expressions.

They are separated by newlines or semicolons. If the former is encountered while within a nested construct, more input is solicited from the interactive parser loop with .brk.

```
⟨rules⟩+≡
eos: '\n' { if(ctx->nest > 0) ctx->brk = true; }
    ';';
```

The lexer consumes newlines only when it's certain it's safe to do so, passing them to the grammar in all other cases. If needed, they can then be ignored with the nl rule, which matches an optional newline.

```
\langle rules \rangle + \equiv
nl: { $$ = ast_null; } | '\n' { ctx->brk = true; } ;
```

Parentheses "(", brackets "[", and braces "{" surround nested constructs.

```
\langle rules\rangle +\equiv 
paren: '(' \{ ctx->nest++; \} ;
bracket: '[' \{ ctx->nest++; \} ;
brace: '\{' \{ ctx->nest++; \} ;
```

A newline appearing before the closing delimiter of a parenthesised or bracketed construct can be ignored (but not braced, as it would conflict with a trailing eos.)

A declaration expression is a declaration list introduced with one of "let", "var", "global", or "const"; or an assignment expression.

An assignment expression is just an expression; or an assignment "=", with an expression on the left-hand side and another assignment expression on the right-hand side (this is a separate rule because the same symbol is used to name actual arguments in a function call expression.)

```
\langle rules \rangle + \equiv
aexpr: expr %prec '=' { $$ = $1; }
expr '=' aexpr { $$ = AST3($2, $1, $3); };
```

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A parenthesised expression is just an aexpr inside parentheses.

```
\langle rules \rangle + \equiv pexpr: paren aexpr parclo { $$ = $2; };
```

An expression is an if expression, an if expression followed by an else expression, or a primary expression. This may end a complete expression, so the .expr flag is set upon reduction to signal the lexer.

When an if expression is followed immediately by a newline within a nested construct, the lexer is informed via the .ifexpr flag, and the newline discarded.

The value of the else expression's aexpr is just appended to the preceding AST (since it'll always be an ifexpr.)

The primary expression rule comprises most other expressions in the language.

Identifiers, literals, parenthesised expressions for overriding precedence, unary and binary operations are all fairly conventional.

```
\langle rules \rangle + \equiv
primary: ID
literal
pexpr
block
unary
binary
```

A function call inserts the expression denoting the callee as the first child of the resulting NODE, in the manner of a LISP S-expression (McCarthy, 1960).

A subscript operation inserts the expression denoting the collection being indexed into as the second child; the first will be "[" (which may be pronounced "aref".)

```
\langle rules \rangle + \equiv
| expr args { $$ = END1($2, $1); }
| expr subs { $$ = ENDN($2, $1, 1); }
```

A function expression has a list of formal arguments, an optional return type, and an aexpr body.

```
\langle rules \rangle + \equiv | FUNCTION formals rtopt nl aexpr { $$ = AST4($1, $3, $2, $5); }
```

A while loop takes a pexpr for its predicate, but a for loop requires a more complex list. Both also have aexpr bodies.

```
\langle rules \rangle + \equiv
| WHILE pexpr aexpr { $$ = AST3($1, $2, $3); }
| FOR forlist aexpr { PUSH($2, $3); $$ = END1($2, $1); }
```

break, continue and return transfer control flow, and should probably be considered statements by the grammer (IR conversion does so in its stead.)

The file named by the argument of include will be read and parsed during IR conversion, its AST taking the place of the expression in the including program.

```
\langle rules \rangle + \equiv
| INCLUDE LIT_STR { $$ = AST2($1, $2); };
```

A lexed literal token is a literal, as is a type expression – the terminal "type" followed by a type specifier in parentheses.

```
\langle rules \rangle + \equiv literal: LIT_INT | LIT_DBL | LIT_BOOL | LIT_STR | LIT_SYM | TYPE paren type parclo { $$ = AST2($1, $3); };
```

A block is a brace-delimited list of expressions. Unlike a top-level exprlist, the NODE created begins with a "{" terminal.

```
\langle rules \rangle + \equiv block: brace exprlist braclo { $$ = PREP($2, $1); } brace braclo { $$ = AST1($1); };
```

The application of a unary operator takes an expression as its operands (because bison runs before cpp, macros involving the \$n semantic variables can't be defined nicely outside.)

The operator token is placed first in the NODE, like a function call.

```
\(\rmathrm{\text{rules}}\rmathrm{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\ti}\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text
```

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Binary operators operate in a similar manner.

```
\langle rules \rangle + \equiv
 binary:
              expr ':' expr
              {
                  #define BINOP $ = AST3($2, $1, $3)
                  BINOP;
              expr '+' expr { BINOP; }
              expr '-' expr { BINOP; }
              expr '*' expr { BINOP; }
              expr '/' expr { BINOP; }
              expr '^' expr { BINOP; }
              expr '%' expr { BINOP; }
              expr '&' expr { BINOP; }
              expr '|' expr { BINOP; }
              expr '<' expr { BINOP; }
              expr LE expr { BINOP; }
              expr EQ expr { BINOP; }
              expr NE expr { BINOP; }
              expr GE expr { BINOP; }
              expr '>' expr { BINOP; }
              expr AND expr { BINOP; }
              expr OR expr { BINOP; };
```

The return type of a function is, if specified, separated from the argument list by a ":" terminal. Formal arguments themselves are optional, but the enclosing parentheses are not.

A for loop takes, in order, an optional list of declarations, a conditional, and an optional iteratior, enclosed in parentheses and separated by semicolons.

Lists of actual arguments and subscripts differ only in the brackets they're enclosed in.

The empty args and subs can't consume an optional newline without introducing ambiguity, so must mention their closing delimiter (and reduce the .nest count) explicitly.

```
\(\text{rules}\) +=
\[
\text{args:} \quad \text{paren arglist parclo } \{ $\$ = $2; } \\
\quad \text{paren ')' } \{ $\$ = AST1(\text{ast_null}); \text{ctx->nest--; } ; \\
\text{subs:} \quad \text{bracket arglist brkclo } \{ $\$ = \text{PREP($2, $1); } \\
\quad \text{bracket ']' } \{ $\$ = \text{AST2($1, ast_null); \text{ctx->nest--; } ; } \]
```

Each argument in the comma-separated list can be omitted (its element in the call's NODE will be INVALID) or preceded by a name "=" (the name likewise precedes the argument expression's element in the call.)

A name is lexed as an identifier, but parsed with a type of NAME instead of SYMBOL, so IR conversion can distinguish otherwise ambiguous AST sequences.

```
\langle rules \rangle + \equiv name: ID { $$ = $1; $$.type = AST_NAME; ctx->expr = true; } ;
```

Declaration lists are comma-separated and non-empty. A declaration comprises a name, which may be preceded by an optional type specifier and followed by an optional initial expression.

Types are either named or constructed. type and function are type names but also terminal symbols, so don't lex as names and must be matched separetely. Constructor invocation follows the name with a parenthesis-enclosed comma-separated list of type arguments.

The function type also allows specification of return type in the same manner as a function expression (it ends up the second child in the NODE, and is INVALID if omitted.)

```
\langle rules \rangle + \equiv
 type:
              name
              name typeargs { $$ = END1($2, $1); }
              TYPE
              FUNCTION
      1
              FUNCTION typeargs { $$ = PREP($2, $1); }
              FUNCTION typeargs ':' type { END1($2, $4); $$ = PREP($2, $1); };
              paren typelist parclo { $$ = $2; }
 typeargs:
     paren parclo { $$ = AST1(ast_null); };
 typelist:
              type { $$ = AST2(ast_null, $1); }
     typelist ',' type { $$ = PUSH($1, $3); };
```

4.5 Parser Interface

Connecting input sources to the lexer and generated yyparse functions is the purpose of the parser.c module.

```
\langle parser.c \rangle \equiv
      \langle parser\ includes \rangle
      \langle ast\_null \rangle
      \langle file\_getc \rangle
      \langle file\_ungetc \rangle
      \langle linedata_{-}t \rangle
      \langle line\_getc \rangle
       \langle line\_ungetc \rangle
      \langle pctx\_init \rangle
      \langle pctx\_fini \rangle
      \langle read\_line \rangle
      \langle parse\_line \rangle
      \langle p\_readline \rangle
      \langle p_{-}file \rangle
      \langle p\_source \rangle
      \langle yyerror \rangle
```

stdio handles buffering when reading from files. The FILE pointer is stashed in the parser context's .data field.

For a line read interactively, we keep the string .str, a cursor .ptr, and the limit .end.

```
⟨linedata_t⟩≡
  typedef struct
  {
    char *str, *ptr, *end;
  } linedata_t;
```

The string returned by **readline** ends in a NUL byte, although the user typed a newline. We undo this. Reading past the end of the line returns EOF.

Once this occurs, the stream has ended, and further reads will always return EOF (no error checking here; the lexer oughtn't .ungetc with a value not returned by the previous .getc.)

Parser context is initialised by setting the input fields, allocating a new bison state and temporary lexer buffer.

```
\langle pctx\_init \rangle \equiv
  static void pctx_init(pctx_t *ctx, int (*get)(pctx_t *),
                           void (*unget)(int, pctx_t *), void *data)
  {
      *ctx = (pctx_t) {
           .ps = yypstate_new(),
           .result = ast_null,
           .buf = xmalloc(L_BUFSIZE),
           .ltok = tok_empty,
           .get = get,
           .unget = unget,
           .data = data
      };
  }
(which must be deallocated when no longer needed.)
\langle pctx\_fini \rangle \equiv
  static void pctx_fini(pctx_t *ctx)
      yypstate_delete(ctx->ps);
      xfree(ctx->buf);
```

The generated parser calls yyerror when a syntax error is encountered; its message is forwarded to the compiler for later display.

```
⟨yyerror⟩≡
  void yyerror(pctx_t *ctx, const char *err)
{
    c_error("%s", err);
}
```

Parsing a file initialises the context with .nest nonzero – the newline following an if expression's consequent mustn't be interpreted as ending the if without first looking ahead for an else.

yypull_parse calls yylex repeatedly, constructs the AST and, if successful, returns zero. After extracting the desired .result, so do we.

If unsuccessful, this must be freed – it's possible for some exprlist to have reduced to start (assigning .result), then an unexpected token cause a syntax error.

```
\langle \frac{p_file} \equiv static int p_file(FILE *input, ast_t *result) {
    int status;
    pctx_t ctx;

    pctx_init(&ctx, file_getc, file_ungetc, input);
    ctx.nest = 1;
    status = yypull_parse(ctx.ps, &ctx);
    if(status == 0)
        *result = ctx.result;
    else
        ast_fini(&ctx.result);
    pctx_fini(&ctx);
    return status;
}
```

A convenience wrapper handles the FILE, allowing users to specify a filename instead.

```
(p_source) \( \)
    int p_source(char *name, ast_t *result) {
        int r;
        FILE *fp = fopen(name, "r");

        if(fp)
        {
            r = p_file(fp, result);
            fclose(fp);
        }
        else
            r = -errno;
        return r;
    }
}
```

Parsing interactive input is done a line at a time. The parser context is initialised, and an initial prompt p1 is displayed. A line is read from the terminal; if successful (i.e. the user didn't input EOF) the line is parsed and the prompt changed to the continuation p2. This goes on until the user quits, or the parser encounters a syntax error, or the start nonterminal is recognised.

```
\langle p_readline \rangle \equiv int p_readline(char *p1, char *p2, ast_t *result)
{
      linedata_t data;
      pctx_t ctx;
      int status;
      char *prompt = p1;

      pctx_init(&ctx, line_getc, line_ungetc, &data);
      do
```

```
{
    status = read_line(&ctx, prompt);
    if(status != 0)
        break;
    status = parse_line(&ctx, prompt == p1);
    prompt = p2;
} while(status == YYPUSH_MORE);
if(status == 0)
    *result = ctx.result;
else
    ast_fini(&ctx.result);
pctx_fini(&ctx);
return status;
}
```

readline prints the prompt and handles terminal input, returning NULL on EOF. If the line isn't blank, we add it to the input history. The linedata_t is initialised with the cursor at the beginning, and the limit set to encompass the length of the string plus the terminating NUL.

```
\langle read\_line \rangle \equiv
  static int read_line(pctx_t *ctx, char *prompt)
      linedata_t *data = ctx->data;
      char *line = readline(prompt);
      rl_free_undo_list();
      if(!line)
          return -1;
      if(*line)
          add_history(line);
      *data = (linedata_t) {
          .str = line,
          .ptr = line,
          .end = line + strlen(line) + 1
      };
      return 0;
  }
```

Tokens are yylexed from the line buffer and fed to yypush_parse one at a time, as it continues to return YYPUSH_MORE. .brk has the opportunity to interrupt input after either step. If the user's initial line is blank, we return with status 1 to quit the outer loop.

Whichever way the inner loop ends, the input string can now be discarded.

```
\langle parse_line \rangle =
static int parse_line(pctx_t *ctx, bool isfirst)
{
    linedata_t *data = ctx->data;
    int status = isfirst ? 1 : YYPUSH_MORE;

    ctx->brk = false;
    do
    {
        ast_t val;
        int tok = yylex(&val, ctx);
        if(!ctx->brk)
            status = yypush_parse(ctx->ps, tok, &val, ctx);
    } while(!ctx->brk && status == YYPUSH_MORE);
```

```
xfree(data->str);
return status;
}
```

Miscellanea

```
\langle grammar\ includes \rangle \equiv
  #include "global.h"
  #include "ast.h"
  #include "grammar.h"
\langle prototypes \rangle \equiv
  static ast_t ast_va(ast_t *head, ...);
  static ast_t ast_set(ast_t *expr, ast_t *ast, int i);
  static ast_t ast_append(ast_t *expr, ast_t *ast);
  static ast_t ast_prepend(ast_t *expr, ast_t *ast);
\langle tok\_empty \rangle \equiv
  const int tok_empty = YYEMPTY;
\langle lexer\ includes \rangle \equiv
  #include "global.h"
  #include "ast.h"
  #include "grammar.h"
  #include <ctype.h>
  #include <limits.h>
  #include <errno.h>
\langle parser\ includes \rangle \equiv
  #include "global.h"
  #include "ast.h"
  #include "grammar.h"
  #include <signal.h>
  #include <errno.h>
  #include <readline/readline.h>
  #include <readline/history.h>
\langle ast.h \rangle \equiv
   \langle asttype \rangle
   \langle ast_{-}t \rangle
   \langle ast\_is\_rest \rangle
   \langle ast\_is\_omitted \rangle
   \langle ast \ prototypes \rangle
\langle ast\ prototypes \rangle \equiv
  void ast_fini(ast_t *ast);
  const char *ast_str(ast_t *ast);
  int p_source(char *name, ast_t *ast);
  int p_readline(char *p1, char *p2, ast_t *result);
\langle grammar.h \rangle \equiv
   \langle YYSTYPE \rangle
   \langle pctx_{-}t \rangle
   \langle grammar\ externs \rangle
   \langle L_BUFSIZE \rangle
   \langle grammar\ prototypes \rangle
\langle grammar\ externs \rangle \equiv
  extern ast_t ast_null;
  extern const int tok_empty;
```

```
 \begin{split} \langle L\_BUFSIZE \rangle &\equiv \\ & \text{\#define L\_BUFSIZE 256} \\ \langle grammar\ prototypes \rangle &\equiv \\ & \text{int yylex(YYSTYPE *out, pctx_t *ctx);} \\ & \text{void yyerror(pctx_t *ctx, const char *err);} \end{split}
```

Chapter 5

Intermediate Representation

The abstract syntax tree has the shape of the program as written by the user. To enable further analysis, it is converted to an *intermediate representation* having the shape of the program as it will execute (although it's translated into bytecode – a more concise and efficient format – before actually being run.)

```
 \langle ir.h \rangle \equiv \\ \langle preliminaries \rangle \\ \langle cfunction\_t \rangle \\ \langle cvartype \rangle \\ \langle cvar\_t \rangle \\ \langle cblock\_t \rangle \\ \langle cnodetype \rangle \\ \langle cnode\_t \rangle \\ \langle prototypes \rangle \\ \langle inlines \rangle
```

5.1 Structures

A program in intermediate representation consists of a tree of *functions*. Each function contains a directed graph of basic *blocks*; each block, a list of *nodes*.

Control flow within a function follows the edges between blocks; the flow of computed values, edges between nodes.

Variables stand in for values supplied by actual arguments, or the global or lexical environment

These structures have compilation lifetime – they do not persist beyond a single invocation of the compiler, and it explicitly manages their storage. The runtime does not interact with them.

5.1.1 Functions

One cfunction_t is created for each "function" expression in the program source. Neither control nor value flow cross function boundaries.

```
\langle cfunction\_t \rangle \equiv
typedef struct cfunction
{
\langle function \rangle
} cfunction_t;
```

A backpointer to the (cnode_t created from the) expression is kept in the .node field. .parent points from enclosed to enclosing function in the tree induced by lexical inclusion.

An expression outside any function is at *top level*; a distinguished cfunction_t is created to contain these. It is at the root of the function tree, with .parent and .node both NULL.

```
⟨function⟩≡
  cfunction_t *parent;
  cnode_t *node;
```

When a function expression is evaluated, a closure (callable object) is created. The number, order, and declared types of the function's formal arguments, as well as its return type, determine the type of these closures, held in the .cl_type field (Chapter 21).

```
\langle function \rangle + \equiv
rtype_t *cl_type;
```

Enclosed functions are kept on a list headed by .cfunc_head in the parent and threaded through the .cfunc_list of each child. Variables which are bound by the function – locals and arguments; and globals at the top level – are on the list headed by .cfunc_head. The basic blocks comprising the function's body are on the list headed by .cblock_head. .entry points to the block which begins the function body.

```
\( \frac{function}{+=} \)
list_t cfunc_list, cfunc_head;
list_t cvar_head, cblock_head;
cblock_t *entry;
```

Each function has an .id number different from its siblings; and has .nfuncs child functions, .nblocks blocks, and .nnodes nodes in its body. When functions are inlined into this one, their nodes are counted in .ninlined.

```
⟨function⟩+≡
  unsigned id, nfuncs, nblocks, nnodes;
  unsigned ninlined;
```

Closure analysis discovers which variables free in the function body are bound by lexical ancestors. Pointers to them are stored in the .closure array.

```
⟨function⟩+≡
cvar_array_t closure;
```

5.1.2 Variables

A variable denotes a named quantity. They can be local to a function, defined at top level in the input program, or read from the global environment – a map of names to values, managed by the runtime (Section 19.5).

```
⟨cvartype⟩≡
typedef enum
{
    LEXICAL, GLOBAL_INT, GLOBAL_EXT,
} cvartype;
```

When a variable is first introduced, a cvar_t is created.

```
\langle cvar\_t \rangle \equiv
typedef struct cvar
{
\langle var \rangle
} cvar_t;
```

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If local, .cvar_list links the variable into its binding function's list; otherwise, the top-level function takes possession.

```
\langle var \rangle \equiv list_t cvar_list;
```

.name holds the symbol which names the variable. .decl holds the type present at its declaration, or NULL if none was supplied.

```
\langle var \rangle + \equiv rsymbol_t *name; rtype_t *decl;
```

A local variable may be inferred *constant* if it is never assigned to after being initialised (and a global variable declared constant with a "const" expression.) The .is_const will be set in this case.

```
\langle var \rangle + \equiv bool is_const;
```

Variables are assigned an .id number, unique for all those bound by the same function. A pass may compare .mark with a global clock to determine if it's encountered a variable before.

```
\langle var \rangle + \equiv unsigned id, mark;
```

The compiler may have more or less information about a variable, depending on how it comes to be known. The former is stored in a union, tagged with a .type representing the latter.

```
\langle var \rangle + \equiv
cvartype type;
union
{
\langle var \ union \rangle
};
```

LEXICAL variables are local to a function.

They're either formal arguments, or introduced in its body with "let" or "var" forms. Their scope is completely visible to the compiler.

.binder holds a backpointer to the function, and .cvar_list is linked into its variable list.

A formal argument has the .is_arg flag set. It can also be specified with a default expression; .is_optional is set if this is the case. When the corresponding actual argument is omitted from a call, the variable takes its value from the default expression instead (Subsection 6.6.4).

If the variable occurs free in the body of a descendent function, it will be need to be captured by at least one closure, and .is_closed will be set.

```
⟨var union⟩≡
  struct
{
    cfunction_t *binder;
    bool is_arg;
    bool is_optional;
    bool is_closed;
} local;
```

GLOBAL_INT variables are declared by the input program.

They are introduced with "global" or "const" forms. An internal global's initialising expression .set is visible to the compiler.

```
⟨var union⟩+≡
struct
{
    cnode_t *set;
} intl;
```

GLOBAL_EXT variables are part of the runtime global environment.

The compiler may not necessarily rely on any of their properties (or even their existence, in some cases.)

Such a variable may have been declared by code executed beforehand; if so, .global points to its binding. If it wasn't found at compile time, this field is NULL; it may be implicitly created by an assignment later on.

```
⟨var union⟩+≡
struct
{
    rglobal_t *global;
} extl;
```

5.1.3 Blocks

The unit of control flow within a function is the cblock_t: an extended basic block (Aho et al., 2006, Section 8.4).

```
\langle cblock\_t \rangle \equiv
typedef struct cblock
{
\langle block \rangle
} cblock_t;
```

.cblock_list links into the list of its containing function. In later passes, this is sorted in reverse-depth-first order.

```
\langle block \rangle \equiv list_t cblock_list;
```

Each block contains a list of nodes, in control-flow order from .cnode_head.

```
\langle block \rangle + \equiv list_t cnode_head;
```

Control flow within a function begins with the first node in its .entry block. Every other block has one or more predecessors, pointers to which are stored in the .pred array.

```
\langle block \rangle + \equiv cblock_array_t pred;
```

The final node in a block may return from the function. Execution may continue to another block, or branch to one of two alternatives depending on a boolean condition. In the latter cases, pointers to the successor blocks are stored in the .succ array.

```
\langle block \rangle + \equiv cblock_array_t succ;
```

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Blocks are also assigned .id numbers (as per the list, in reverse-depth-first order.) After numbering, .start and .end will contain the .ids of the first and last nodes in the block. The .mark field serves the same purpose as cvar_t's.

```
\langle block \rangle + \equiv unsigned id, start, end, mark;
```

5.1.4 Nodes

Nodes represent computations, the various kinds of which are enumerated by cnodetype.

```
⟨cnodetype⟩≡
  typedef enum
{
    CN_LAMBDA, CN_CALL, CN_CALL_FAST, CN_BUILTIN,
    CN_SET, CN_BIND, CN_REF, CN_IF, CN_RETURN,
    CN_CONST, CN_COPY, CN_PHI
} cnodetype;
```

Most expressions in a program create one or more cnode_ts. A node may produce a value that following nodes can use as input.

```
\langle cnode\_t \rangle \equiv
typedef struct cnode
{
\langle node \rangle
} cnode_t;
```

Each node is linked into its containing .block's list via the .cnode_list field.

```
⟨node⟩≡
  list_t cnode_list;
  cblock_t *block;
```

The type of value a node produces might be known ahead of time. Some nodes will be assigned a type by user declaration; as analysis proceeds, others can have theirs recovered. If .decl is non-NULL, the compiler has determined that r_subtypep(value, .decl) is a tautology.

```
\langle node \rangle + \equiv rtype_t *decl;
```

The .users array contains pointers to the nodes which depend on the value of this one. It's populated early in compilation, and kept up-to-date thereafter.

```
\langle node \rangle + \equiv cnode_array_t users;
```

Nodes are numbered along with blocks. .id is unique within the function, increases in reverse-depth-first order between blocks and control-flow (i.e. list) order within them. The .mark field is the same as for cblock_t and cvar_t.

```
\langle node \rangle + \equiv unsigned id, mark; rsymbol_t *file;
```

A node's .type determines its behaviour, and tags a branch of the union.

```
⟨node⟩+≡
  cnodetype type;
  union
  {
     ⟨node union⟩
```

LAMBDA defines a function.

Every function expression in the input program is converted to a LAMBDA node and associated cfunction_t.

Every LAMBDA has its own .lambda.function, which is a child of the function containing the node. Each element of the .lambda.closure array will supply the value of the corresponding variable in .lambda.function.closure.

The value which the node produces is a closure, the only kind of user-defined callable object (Section 21.4).

```
⟨node union⟩≡
   struct
   {
      cfunction_t *function;
      cnode_array_t closure;
   } lambda;
```

CALL/CALL_FAST invokes a function.

The node attempts to invoke the value .call.target with actual arguments .args, yielding the value returned.

CALL denotes a "universal" call (Subsection 22.2.2) – the matching of actual to formal arguments will be performed at run-time. .call.args may contain NULLs, marking omitted arguments. If .call.names has nonzero length, each element is either NULL, or supplies the name of the formal argument to which the corresponding element in .call.args should be assigned.

When this argument matching and type checking has been performed by the compiler, a CALL_FAST node stores the result (Subsection 22.2.1). Each bit in .call.argbits is set when the corresponding argument is present.

```
\( \node union \rangle + \equiv \)
    struct
{
        cnode_t *target;
        cnode_array_t args;
        union
        {
            rsymbol_array_t names;
            argbits_t argbits;
        };
    } call;
```

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BUILTIN generates specialised bytecode.

Some builtin functions (Section 21.6) can be compiled to bytecode instead of being invoked in the usual manner. Semantics and compile-time behaviour are described by the static structure referred to by .builtin.bi; its .ops callbacks (Section 10.5) will create a BUILTIN node from a CALL if they deem it desirable. If the instruction has multiple variants, .builtin.optype will be used to inform code generation of the types involved in the operation.

```
\langle (node union) +=
    struct
{
      const cbuiltin_t *bi;
      rtype_t *optype;
      cnode_array_t args;
    } builtin;
```

SET/BIND assigns a value to a variable.

An ordinary assignment of .set.value to the variable .set.var is denoted by a SET node.

Introduction of a local variable is performed with a BIND to the .set.value of its initialising expression. A formal argument is introduced by a BIND with NULL .set.value, in the .entry block of the function. The implicit argbits argument (Chapter 22) is denoted by a BIND with both fields NULL.

```
⟨node union⟩+≡
   struct
{
      cvar_t *var;
      cnode_t *value;
} set;
```

REF retrieves a variable's value.

The current value of .var is denoted by a REF node.

```
⟨node union⟩+≡
   struct
   {
      cvar_t *var;
   } ref;
```

IF branches conditional on a value.

Control flow will branch to the first element of .block.succ when the value of .ifelse.cond is true, and to the second otherwise. IF only occurs as the last node in a block.

```
⟨node union⟩+≡
struct
{
    cnode_t *cond;
} ifelse;
```

RETURN ends a function.

Control flow within a function is ended, returning to its caller with .ret.value as the result of the call. RETURN also only occurs last in a block.

```
\langle node union \rangle +\equiv struct
{
      cnode_t *value;
} ret;
```

COPY converts a value to another type.

When the compiler needs to ensure a .value has some specific type, it will insert a COPY node with its .decl set appropriately.

This could convert, box, or unbox a scalar. If a reference object is supplied, its type will be checked. Its contents will not be copied or converted.

```
\langle node union \rangle +\equiv struct
{
      cnode_t *value;
} copy;
```

CONST yields a constant value.

The .constant object is made available as a value for use by other nodes.

```
\langle node\ union \rangle + \equiv robject_t *constant;
```

PHI selects a value conditional on control flow.

The ϕ -function of static single assignment form (Cytron et al., 1991). At the beginning of a block with more than one predecessor, a contiguous sequence of PHI nodes may occur. When control flow enters the block from the ith predecessor in .block.pred, each PHI node yields the ith element of its .phi.args.

```
⟨node union⟩+≡
   struct
   {
      cnode_array_t args;
   } phi;
```

5.2 Utilities

Other modules in the compiler use the functions this module provides to manage and manipulate IR structures.

```
\langle ir.c \rangle \equiv
\langle includes \rangle
\langle functions \rangle
\langle blocks \rangle
\langle nodes \rangle
\langle use\ tracking \rangle
\langle declarations \rangle
\langle variables \rangle
```

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5.2.1 Functions

A cfunction_t maps fairly transparently to an rfunction_t and, once created created, needs little further modification.

```
\langle functions \rangle \equiv
  \langle cfunc\_create \rangle
  \langle cfunc\_free \rangle
  \langle cfunc\_type \rangle
  \langle cfunc\_map\_children \rangle
  \langle cfunc\_mapc\_children \rangle
If the function has a parent, it's added to the latter's list of children.
\langle cfunc\_create \rangle \equiv
  cfunction_t *cfunc_create(cfunction_t *parent)
  {
       cfunction_t *fn = xcalloc(1, sizeof(*fn));
       fn->parent = parent;
       list_init(&fn->cvar_head);
       list_init(&fn->cfunc_head);
       list_init(&fn->cblock_head);
       array_init(&fn->closure, 0);
       if(parent)
            list_add(&parent->cfunc_head, &fn->cfunc_list);
            list_init(&fn->cfunc_list);
       return fn;
  }
The function owns, so must free, blocks and bound variables; but not child functions
(directly, at least.)
\langle \mathit{cfunc\_free} \rangle \equiv
  void cfunc_free(cfunction_t *fn)
       cblock_t *block, *btmp;
       cvar_t *var, *vtmp;
       if(fn->parent)
            list_remove(&fn->cfunc_list);
       list_foreach_entry_safe(&fn->cblock_head, block, btmp, cblock_list)
            cblock_free(block);
       list_foreach_entry_safe(&fn->cvar_head, var, vtmp, cvar_list)
            cvar_free(var);
       array_fini(&fn->closure);
       xfree(fn);
  }
```

The type of object created by a function expression is determined by its return type and the types of its arguments (Section 21.3). These are not recovered during analysis (Section 10.3), and are assumed to be objects unless declared otherwise by the user.

LEXICAL variables with .is_arg set count as arguments (though the latter implies the former, since only the top-level function will have global variables on its .cvar_head list.)

Closure analysis (Section 7.6) will add free variables to a function's .closure. If it doesn't find any, the function won't need a closure allocated at run-time, and may be amenable to further optimisation.

```
⟨cfunc_has_closure⟩≡
static inline bool cfunc_has_closure(cfunction_t *fn)
{ return alen(&fn->closure) > 0; }
```

Since the program is a tree of cfunction_ts, to visit it in its entirety a compilation pass func will recursively descend to the children of function fn. To simplify this invocation pattern, cfunc_map_children accumulates and returns the cresult flags (Section 3.2)...

```
⟨cfunc_map_children⟩

    cresult cfunc_map_children(cfunction_t *fn, cresult (*func)(cfunction_t *))
{
        cfunction_t *child;
        cresult res = SUCCESS;

        list_foreach_entry(&fn->cfunc_head, child, cfunc_list)
            res |= func(child);
        return res;
}
```

... and cfunc_mapc_children does not.

5.2.2 Variables

Only certain types of variables remain relevant beyond SSA conversion; most code dealing with them is specialised, and resides in other modules.

```
\langle variables \rangle \equiv \langle cvar\_create \rangle \\ \langle cvar\_free \rangle \\ \langle index\_of\_var \rangle
```

It's the caller's responsibility to add the variable to its binder's list, and initialise the correct branch of the union depending on type.

Variables own no unmanaged memory. They don't keep backpointers, so it's not safe to cvar_free one should anything still refer to it.

```
\langle cvar_free \rangle =
void cvar_free(cvar_t *var)
{
    list_remove(&var->cvar_list);
    xfree(var);
}
```

 $index_of_var$ returns the index at which the element var is found in arr (or -1 if it isn't - caveat caller.)

```
(index_of_var)\(\sum_\)
  int index_of_var(cvar_array_t *arr, cvar_t *var)
{
    int i;

    array_foreach(arr, i)
    {
        cvar_t *chk = aref(arr, i);
        if(chk == var)
            return i;
    }
    return -1;
}
```

Global variables behave quite differently in some circumstances (they can't be closed over by a LAMBDA, for example,) and may be distinguished with the cvar_is_global convenience predicate.

```
⟨cvar_is_global⟩≡
static inline bool cvar_is_global(cvar_t *var)
{ return var->type != LEXICAL; }
```

A closed variable which may be assigned to (i.e. is not constant) can't be stored as-is in a closure, since we use "flat" closures. The predicate cvar_is_celled returns true for these; they'll be treated by a cell introduction pass (Chapter 12).

```
\( \cvar_is_celled \) \( \sum \)
\( \text{static inline bool cvar_is_celled(cvar_t *var)} \)
\( \text{return !cvar_is_global(var) && !var->is_const && var->local.is_closed; } \)
```

5.2.3 Blocks

Blocks contain (arrays of) mutual pointers to other blocks, which must be kept synchronised for safe traversal.

```
 \langle blocks \rangle \equiv \\ \langle cblock\_create \rangle \\ \langle cblock\_free \rangle \\ \langle index\_of\_block \rangle \\ \langle link\_blocks \rangle \\ \langle replace\_link \rangle \\ \langle remove\_link \rangle \\ \langle split\_block \rangle
```

After creation, the caller will link the cblock_t into the control-flow graph at the appropriate point with link_blocks.

```
(cblock_create) =
  cblock_t *cblock_create()
{
    cblock_t *block = xcalloc(1, sizeof(*block));
    array_init(&block->pred, 0);
    array_init(&block->succ, 0);
    list_init(&block->cnode_head);
    return block;
}
```

In general, _free functions are not sufficient to safely remove objects. The .succ and .pred arrays of others which may contain block are not updated by cblock_free.

```
\langle cblock\_free \rangle \equiv
  void cblock_free(cblock_t *block)
  {
      cnode_t *node, *tmp;
      list_remove(&block->cblock_list);
      list_foreach_entry_safe(&block->cnode_head, node, tmp, cnode_list)
           cnode_free(node);
      array_fini(&block->pred);
      array_fini(&block->succ);
      xfree(block);
link_blocks adds the edge from \rightarrow to to the graph.
\langle link\_blocks \rangle \equiv
  void link_blocks(cblock_t *to, cblock_t *from)
      array_push(&from->succ, to);
      array_push(&to->pred, from);
  }
index_of for arrays of blocks – useful during PHI argument manipulation.
\langle index\_of\_block \rangle \equiv
  int index_of_block(cblock_array_t *arr, cblock_t *block)
  {
      int i;
      array_foreach(arr, i)
           cblock_t *chk = aref(arr, i);
           if(chk == block)
               return i;
      }
      return -1;
  }
remove_link removes the first element matching from from arr...
\langle remove\_link \rangle \equiv
  void remove_link(cblock_array_t *arr, cblock_t *from)
  {
      int i = index_of_block(arr, from);
      assert(i != -1);
      array_remove(arr, i);
...and replace_link replaces it with to.
\langle replace\_link \rangle \equiv
  void replace_link(cblock_array_t *arr, cblock_t *from, cblock_t *to)
  {
      int i = index_of_block(arr, from);
      assert(i != -1);
      aset(arr, i, to);
  }
```

split_block splits the block in-place just above site, returning the upper part (splitting on a PHI shouldn't be done, as it will break an invariant.)

A new block is created for this. It replaces site.block in the .succ arrays of the former's predecessors, and is given its .pred array and all its nodes prior to the split point.

If it happens to be fn's entry block that's being split, .entry is updated appropriately.

```
\langle split\_block \rangle \equiv
 cblock_t *split_block(cfunction_t *fn, cnode_t *site)
      cblock_t *pred, *block = site->block, *above = cblock_create();
      cnode_t *node, *tmp;
      assert(site->type != CN_PHI);
      array_foreach_entry(&block->pred, pred)
          replace_link(&pred->succ, block, above);
      above->pred = block->pred;
      array_init(&block->pred, 0);
      list_foreach_entry_safe(&block->cnode_head, node, tmp, cnode_list)
      {
          if(node == site)
              break;
          list_remove(&node->cnode_list);
          node->block = above;
          list_add_before(&above->cnode_head, &node->cnode_list);
     list_add_before(&fn->cblock_head, &above->cblock_list);
      if(fn->entry == block)
          fn->entry = above;
     return above;
 }
```

5.2.4 Nodes

Nodes are the most complex kind of IR object, and nearly all passes interact with them in some way. They also contain mutual pointers, which must be managed carefully.

```
 \langle nodes \rangle \equiv \\ \langle cnode\_create \rangle \\ \langle cnode\_append \rangle \\ \langle cnode\_prepend \rangle \\ \langle cnode\_insert\_before \rangle \\ \langle cnode\_insert\_after \rangle \\ \langle cnode\_fini \rangle \\ \langle cnode\_free \rangle \\ \langle cnode\_reset \rangle \\ \langle cnode\_remove \rangle \\ \langle call\_is\_pure \rangle \\ \langle cnode\_is\_pure \rangle \\ \langle index\_of\_node \rangle
```

The caller of cnode_create invariably knows what type of node it requires, and the block to which it will be added.

```
(cnode_create) \( \)
    cnode_t *cnode_create(cblock_t *block, cnodetype type) {
        cnode_t *node = xcalloc(1, sizeof(*node));

        *node = (cnode_t) {
            .block = block,
            .type = type
        };
        list_init(&node->cnode_list);
        array_init(&node->users, 0);
        return node;
    }
}
```

The block's list of nodes is kept in control-flow order, and there are several possibilities when it comes to extending it with a freshly created node: append to the end of the block, ...

```
\langle cnode\_append \rangle \equiv
  cnode_t *cnode_append(cblock_t *block, cnodetype type)
      cnode_t *node = cnode_create(block, type);
      list_add_before(&block->cnode_head, &node->cnode_list);
      return node;
  }
... prepend to the beginning, ...
\langle cnode\_prepend \rangle \equiv
  cnode_t *cnode_prepend(cblock_t *block, cnodetype type)
      cnode_t *node = cnode_create(block, type);
      list_add(&block->cnode_head, &node->cnode_list);
      return node;
...insert it before some other node, ...
\langle cnode\_insert\_before \rangle \equiv
  cnode_t *cnode_insert_before(cnode_t *other, cnodetype type)
      cnode_t *node = cnode_create(other->block, type);
      list_add_before(&other->cnode_list, &node->cnode_list);
      return node;
  }
... or insert it after.
\langle cnode\_insert\_after \rangle \equiv
  cnode_t *cnode_insert_after(cnode_t *other, cnodetype type)
  {
      cnode_t *node = cnode_create(other->block, type);
      list_add(&other->cnode_list, &node->cnode_list);
      return node;
  }
```

The usual _free function has been decomposed. cnode_fini merely deallocates any memory owned by the node.

Since a LAMBDA owns its (unique) .function, this is the only caller of cfunc_free. A CONST's object is interned, and may be shared. Freeing it is left to the garbage collector.

```
\langle cnode\_fini \rangle \equiv
  void cnode_fini(cnode_t *node)
  {
      switch(node->type)
      case CN_CALL:
          array_fini(&node->call.names);
          /* fallthrough */
      case CN_CALL_FAST:
          array_fini(&node->call.args);
          break;
      case CN_PHI:
          array_fini(&node->phi.args);
          break;
      case CN_LAMBDA:
          cfunc_free(node->lambda.function);
          array_fini(&node->lambda.closure);
          break;
      case CN_BUILTIN:
          array_fini(&node->builtin.args);
          break;
      default:
          break;
      }
```

cnode_free, in addition, removes the node from its block's list, deallocates the array of
.users, and frees the structure itself.

Neither <code>cnode_fini</code> nor <code>cnode_free</code> should be called on a node which has registered itself as one of another node's <code>.users</code>.

cnode_reset may be called instead of the former, prior to reusing the node in question in-place as another .type...

```
\langle cnode_reset\rangle =
  void cnode_reset(cnode_t *node)
{
    node->decl = NULL;
    cnode_unuse_all(node);
    cnode_fini(node);
}
```

...and cnode_remove instead of the latter, to remove it from other node's .users, control flow, and memory.

```
\langle (cnode_remove)\lefta
  void cnode_remove(cnode_t *node)
{
    assert(alen(&node->users) == 0);
    cnode_unuse_all(node);
    cnode_free(node);
}
```

The index_of a node in an array is also useful when manipulating PHI arguments.

A pure node has no user-observable side effects and, given the same inputs, always produces the same output. cnode_is_pure is necessarily conservative, returning true only when it's certain to be the case.

When may_alias is false it's guaranteed that no aliases of the node's result value will be created as a consequence of the call to the predicate; so the latter constraint is relaxed.

```
\langle cnode\_is\_pure \rangle \equiv
  bool cnode_is_pure(cnode_t *node, bool may_alias)
  {
      switch(node->type)
      case CN_LAMBDA:
      case CN_CONST:
      case CN_REF:
      case CN_PHI:
      case CN_COPY:
          return true;
      case CN_CALL:
      case CN_CALL_FAST:
          return call_is_pure(node, may_alias);
      case CN_BUILTIN:
          return builtin_is_pure(node->builtin.bi, node, may_alias);
      default:
          return false;
  }
```

A builtin (Section 21.6) can provide a more detailed account of its functional purity at a particular node – either a BUILTIN, or a CALL of the CONST builtin itself. In the latter case, the predicate is called on the extracted value by the call_is_pure helper.

IF, RETURN and SET nodes do not yield values, and should be referred to by no other nodes. Some BUILTINs don't, either, depending on their semantics as described by .bi (assuming it's present – when IR is being built, it may not be.)

```
    static inline bool cnode_yields_value(cnode_t *node)
    {
        switch(node->type)
        {
            case CN_IF:
            case CN_SET:
                return false;
            case CN_BUILTIN:
                if(node->builtin.bi)
                     return !builtin_is_void(node->builtin.bi, node);
                      /* fallthrough */
                       default:
                      return true;
            }
        }
}
```

When a CALL has named actual arguments, they're added to its .names array.

```
⟨call_has_names⟩≡
  static inline bool call_has_names(cnode_t *node)
  { return alen(&node->call.names) > 0; }
```

5.2.5 Use Tracking

A node's union fields contain, according to its .type, pointers to the nodes that it uses to determine its value. Each of the latter also keeps a backpointer to the former, so we can efficiently follow value flow in the other direction.

cnode_map_used invokes the callback func on (a pointer to) each input used by the given node. An optional pointer to context data is also passed along.

NULLs can be present in a CALL/CALL_FAST/BUILTIN argument list or a LAMBDA closure, and are ignored if found.

```
\langle cnode\_map\_used \rangle \equiv
 #define NODE(f) func(&node->f, data)
 #define NODES(f)
      array_foreach_ptr(&node->f, ptr) {
          if(*ptr)
              func(ptr, data);
      }
 void cnode_map_used(cnode_t *node, void (*func)(cnode_t **, void *),
                      void *data)
 {
      cnode_t **ptr;
      switch(node->type)
      {
      case CN_IF: NODE(ifelse.cond); break;
      case CN_RETURN: NODE(ret.value); break;
      case CN_COPY: NODE(copy.value); break;
      case CN_CALL:
      case CN_CALL_FAST:
          NODE(call.target);
          NODES(call.args);
          break;
      case CN_BIND:
          if(!node->set.value)
              break;
          /* fallthrough */
      case CN_SET: NODE(set.value); break;
      case CN_PHI: NODES(phi.args); break;
      case CN_BUILTIN: NODES(builtin.args); break;
      case CN_LAMBDA: NODES(lambda.closure); break;
      default: break;
 }
```

A backpointer to user can be added to the .users array of node with cnode_add_user. Duplicate uses are not treated specially.

```
\langle cnode\_add\_user \rangle \equiv
  void cnode_add_user(cnode_t *user, cnode_t *node)
      array_push(&node->users, user);
  }
cnode_remove_user is the inverse, removing one use.
\langle cnode\_remove\_user \rangle \equiv
  void cnode_remove_user(cnode_t *user, cnode_t *node)
  {
      int i;
      array_foreach(&node->users, i)
      {
           if(aref(&node->users, i) == user)
                array_remove(&node->users, i);
                return;
           }
      }
  }
cnode_is_used returns true if node's value is used by any other...
\langle cnode\_is\_used \rangle \equiv
  static inline bool cnode_is_used(cnode_t *node)
      { return !array_isempty(&node->users); }
... and cnode_only_used_by returns true if node is only used by user.
\langle cnode\_only\_used\_by \rangle \equiv
  static inline bool cnode_only_used_by(cnode_t *node, cnode_t *user)
  {
      cnode_t *chk;
      if(!cnode_is_used(node))
           return false;
      array_foreach_entry(&node->users, chk)
           if(chk != user)
                return false;
      return true;
  }
cfunc_node_users initialises the .users array, which is fairly simple with the machinery
in place. Visiting each node in each block in the function, the add_one callback...
\langle cfunc\_node\_users \rangle \equiv
  void cfunc_node_users(cfunction_t *fn)
  {
      cblock_t *block;
      cnode_t *node;
      list_foreach_entry(&fn->cblock_head, block, cblock_list)
           list_foreach_entry(&block->cnode_head, node, cnode_list)
                cnode_map_used(node, add_one, node);
  }
```

```
... registers the node as a user of each of its inputs.
```

And cnode_unuse_all, called on _remove or _reset, unregisters the node...

5.2.6 Replacement

cnode_map_used also lets us replace one node with another (that computes an equivalent
value.)

```
⟨replace_ctx_t⟩≡
    typedef struct
    {
        cnode_t *from, *to, *node;
    } replace_ctx_t;
```

cnode_replace_in_users implements this functionality. It calls replace_one for each
input of each node that uses from; then the array of .users is emptied. It's now safe
for the caller to call cnode_remove.

```
\langle cnode_replace_in_users \rangle =
  void cnode_replace_in_users(cnode_t *from, cnode_t *to)
{
    replace_ctx_t ctx = { from, to };
    cnode_t *node;

    array_foreach_entry(&from->users, node)
    {
       ctx.node = node;
       cnode_map_used(node, replace_one, &ctx);
    }
    array_resize(&from->users, 0);
}
```

If the input pointer matches .from, it's replaced with .to, and the fact noted in the latter's .users.

```
(replace_one) =
  static void replace_one(cnode_t **ptr, void *data)
{
    replace_ctx_t *ctx = data;

    if(*ptr == ctx->from)
    {
        cnode_add_user(ctx->node, ctx->to);
        *ptr = ctx->to;
    }
}
```

5.2.7 Type Declarations

The language is not statically typed. However, the compiler can use the optionally declared types of variables to determine upper bounds for the types of some values, then propagate these bounds through the input program to recover the types of others.

```
\langle declarations \rangle \equiv \\ \langle nil\_init \rangle \\ \langle guard\_decl \rangle \\ \langle guard\_val \rangle \\ \langle enforce\_decl \rangle \\ \langle enforce\_val \rangle
```

An undeclared variable can contain values of any type. Its .decl is NULL, and its type is considered to be object.

decl_name is convenient when formatting diagnostic messages which mention declarations.

According to these conventions, cnode_compat tests if node's currently declared or recovered type .decl is compatible (Section 20.5) with the given type decl.

```
\langle cnode_compat\rangle \infty
    static inline compat cnode_compat(cnode_t *node, rtype_t *decl)
    { return r_type_compat(decl_type(node->decl), decl_type(decl), true); }
```

A variable which isn't explicitly initialised is given a zero value. The type of the latter must agree with the declared type of the former, if any.

```
\( nil_init \) \( \)
    robject_t *nil_init(rtype_t *decl)
    {
        robject_t *obj = NULL;

        if(decl && rtype_is_scalar(decl))
        {
            rvalue_union_t val = { 0 };
            obj = r_box(decl, &val);
        }
        return obj;
    }
}
```

Declarations are treated as assertions (MacLachlan, 1992), so checks or conversions must be inserted where the types of values provided could differ from those which are expected.

A COPY node may be used to *guard* another node's value. The resulting bytecode will convert or check val against the type decl. If control flow continues past the guard, its value will be of the correct type.

To guard a node's input value, the **node** no longer uses **val** directly; it uses the **guard** instead, which uses the value on its behalf.

The result value of a node can be guarded similarly. All users of the **node** are made to use the **guard** instead.

```
\langle guard_val \rangle \squard_val \langle cnode_t *guard, cnode_t *node, rtype_t *decl)
{
    guard->copy.value = node;
    guard->decl = decl_type(decl);
    guard->file = node->file;
    cnode_replace_in_users(node, guard);
    cnode_add_user(guard, node);
    return guard;
}
```

enforce_decl ensures that the input value *pval of the node is of the specific type decl.

If the type of the value is a subtype of the last, it's already correct. If not, any run-time check at this point would always fail, so the error is signalled.

If it can't be statically determined (perhaps the input is only known to be an object,) a guard is inserted before the node.

boxing is false when it's safe to ignore the scalar calling convention (which requires that scalars are boxed when passed to functions expecting reference objects.)

Through recovery, the compiler may discover the precise return type of a CALL to a builtin given the types of its arguments and, if it does, will set its .decl accordingly.

However, the runtime will call it according to its signature, which is more general and specifies a return type of decl instead. enforce_val hides this (ugly) implementation detail from the users of node by guarding its result value if necessary.

```
\langle enforce\_val \rangle \equiv
  cresult enforce_val(cnode_t *node, rtype_t *decl)
  {
      switch(cnode_compat(node, decl))
      {
      case YES:
          break;
      case NO:
          c_error("value of type '%s' found where type '%s' expected.",
                   decl_name(node->decl), decl_name(decl));
          return FAILED;
      case MAYBE:
          guard_val(cnode_insert_after(node, CN_COPY), node, node->decl);
          node->decl = decl;
          return CHANGED;
      return SUCCESS;
  }
```

Miscellanea

```
\langle preliminaries \rangle =
  typedef struct cnode cnode_t;
  typedef struct cblock cblock_t;
  typedef struct cfunction cfunction_t;
  typedef struct cvar cvar_t;
  typedef ARRAY(cfunction_t *) cfunction_array_t;
  typedef ARRAY(cblock_t *) cblock_array_t;
  typedef ARRAY(cnode_t *) cnode_array_t;
  typedef ARRAY(cvar_t *) cvar_array_t;
  typedef ARRAY(rsymbol_t *) rsymbol_array_t;
  \langle includes \rangle =
    #include "global.h"
    #include "ir.h"
```

```
\langle prototypes \rangle \equiv
 cfunction_t *cfunc_create(cfunction_t *parent);
 void cfunc_free(cfunction_t *fn);
 rtype_t *cfunc_type(cfunction_t *fn, rtype_t *ret_type);
 cresult cfunc_map_children(cfunction_t *fn, cresult (*func)(cfunction_t *));
 void cfunc_mapc_children(cfunction_t *fn, void (*func)(cfunction_t *));
 cblock_t *cblock_create();
 void cblock_free(cblock_t *block);
 int index_of_block(cblock_array_t *arr, cblock_t *block);
 void link_blocks(cblock_t *to, cblock_t *from);
 void replace_link(cblock_array_t *arr, cblock_t *from, cblock_t *to);
 void remove_link(cblock_array_t *arr, cblock_t *from);
 cblock_t *split_block(cfunction_t *fn, cnode_t *site);
 cnode_t *cnode_create(cblock_t *block, cnodetype type);
 cnode_t *cnode_prepend(cblock_t *block, cnodetype type);
 cnode_t *cnode_append(cblock_t *block, cnodetype type);
 cnode_t *cnode_insert_before(cnode_t *other, cnodetype type);
 cnode_t *cnode_insert_after(cnode_t *other, cnodetype type);
 int index_of_node(cnode_array_t *arr, cnode_t *node);
 void cnode_fini(cnode_t *node);
 void cnode_free(cnode_t *node);
 void cnode_reset(cnode_t *node);
 void cnode_remove(cnode_t *node);
 void cnode_unuse_all(cnode_t *node);
 void cnode_replace_in_users(cnode_t *from, cnode_t *to);
 void cnode_add_user(cnode_t *user, cnode_t *node);
 void cnode_remove_user(cnode_t *user, cnode_t *node);
 void cnode_map_used(cnode_t *node, void (*func)(cnode_t **, void *), void *data);
 void cfunc_node_users(cfunction_t *fn);
 bool cnode_is_pure(cnode_t *node, bool may_alias);
 cnode_t *guard_decl(cnode_t *guard, cnode_t *node, rtype_t *decl, cnode_t *val);
 cresult enforce_decl(cnode_t *node, rtype_t *decl, cnode_t **pval, bool boxing);
 cresult enforce_val(cnode_t *node, rtype_t *decl);
 robject_t *nil_init(rtype_t *decl);
 cvar_t *cvar_create(rsymbol_t *name, cvartype type, rtype_t *decl);
 void cvar_free(cvar_t *var);
 int index_of_var(cvar_array_t *arr, cvar_t *block);
 cresult cfunc_crit_edges(cfunction_t *fn); // FIXME can remove from here when we stop asserti
 void cfunc_rdfo(cfunction_t *fn);
 void cfunc_cleanup(cfunction_t *fn);
 void cfunc_init_closure(cfunction_t *fn);
 void cfunc_ssa_convert(cfunction_t *fn);
 #include "ir_dom.h"
 // XXX really somewhere in opt, because builtin_ops_t is private
 bool builtin_is_void(const cbuiltin_t *bi, cnode_t *node);
 bool builtin_is_pure(const cbuiltin_t *bi, cnode_t *node, bool may_alias);
 void ir_dump(cfunction_t *fn); // DEBUG
```


Chapter 6

AST Conversion

Given an abstract syntax tree parsed from a user program (Section 4.1), conversion creates from it the functions, variables, blocks and nodes (Chapter 5) upon which the rest of the compiler will operate.

6.1 Environments

Lexically nested constructs give rise to linked *environments*.

```
\langle environments \rangle \equiv \langle function \ env \rangle \langle lexical \ env \rangle \langle loop \ env \rangle
```

6.1.1 Function Environment

The function environment funerv_t tracks the function (Subsection 5.1.1)currently being converted...

```
\langle function\ env \rangle \equiv \\ \langle funenv\_t \rangle \\ \langle fun\_open \rangle \\ \langle fun\_add\_block \rangle \\ \langle fun\_close \rangle
```

...in the .function field. The .cblock_head list contains the basic blocks (Subsection 5.1.3) which will form its body, in no particular order.

```
\langle funenv_t \rangle \equiv 
typedef struct funenv 
{
      cfunction_t *function;
      list_t cblock_head;
} funenv_t;
```

fun_open initialises the environment fun, lexically nested inside its parent (which is NULL if the former is the top-level function, created in Section 6.3.)

```
\( fun_open \) \( \sigma \)
\( static funenv_t *fun_open(funenv_t *fun, funenv_t *parent) \)
\( \{ fun->function = cfunc_create(parent ? parent->function : NULL); \)
\( list_init(&fun->cblock_head); \)
\( return fun; \)
\( \}
\)
```

A new block is created, added to the function, and returned by fun_add_block.

```
\langle fun_add_block\rangle \infty
static cblock_t *fun_add_block(funenv_t *fun)
{
    cblock_t *block = cblock_create();
    list_add(&fun->cblock_head, &block->cblock_list);
    return block;
}
```

When conversion of a function's body is complete, fun_close initialises the .function's entry block and computes the type of the closures that it will create, taking arguments and return type ret_type into account. The resulting cfunction_t is returned.

At this point the blocks are still in the environment's .cblock_head list. Starting at entry, cfunc_rdfo traverses the control-flow graph, relinking blocks into fn's list in reverse-depth-first order (Section 7.5).

Unreachable blocks should not be created in the first place (it would be nice to verify this, but asserts have sufficed so far.)

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6.1.2 Lexical Environment

A named lexical variable is bound to a value by some syntactic construct. The variable refers to that value only within the scope of the construct.

```
 \langle lexical\ env \rangle \equiv \\ \langle lexenv\_t \rangle \\ \langle env\_add \rangle \\ \langle env\_add\_global \rangle \\ \langle env\_find \rangle \\ \langle env\_find\_add \rangle \\ \langle env\_open \rangle \\ \langle env\_close \rangle
```

A lexenv_t is associated with each scope during conversion. .parent points outward to the enclosing environment. .vars maps rsymbol_t names to cvar_t variables; it's lazily created, and initially NULL. The distinct, shared .global environment is outside all others.

```
\langle lexenv_t \rangle \equiv 
typedef struct lexenv lexenv_t; 
typedef struct lexenv 
{
    hashmap_t *vars; 
    lexenv_t *parent; 
    lexenv_t *global; 
} lexenv_t;
```

env_open enters an environment env for a new scope within parent. If the latter is NULL, it's the outermost, so must be the .global itself.

```
⟨env_open⟩≡
static lexenv_t *env_open(lexenv_t *env, lexenv_t *parent)
{
    *env = (lexenv_t) {
        .vars = NULL,
        .parent = parent,
        .global = parent ? parent->global : env,
    };
    return env;
}
.vars is freed by env_close when leaving the scope.
⟨env_close⟩≡
    static void env_close(lexenv_t *env)
{
        if(env->vars)
            hashmap_free(env->vars);
}
```

env_find recursively searches for a variable of given name in the .vars of each lexenv_t, in the list starting at env and proceeding by .parent. NULL is returned on failure.

env_add_global creates a new variable, adding it to the .global environment. Initially of .type GLOBAL_EXT, it could be declared later in the input, or have its .extl.global field resolved to some rglobal_t at conversion's end.

```
\left(env_add_global\right) \infty
    static cvar_t *env_add_global(lexenv_t *env, rsymbol_t *name)
{
        cvar_t *var = cvar_create(name, GLOBAL_EXT, NULL);

        var->extl.global = NULL;
        env_add(env->global, var);
        return var;
}
```

env_add extends env with var. Variables of the same name in enclosing environments are shadowed; together with env_find, this accomplishes α -renaming. Within a single environment, a later binding will replace an earlier one. .vars is created if the environment is currently empty.

When env_find_add is called, if no variable of that name can be found, one is created and added to the global environment.

```
\left(env_find_add\) \equiv static cvar_t *env_find_add(lexenv_t *env, rsymbol_t *name) {
      cvar_t *var = env_find(env, name);
      if(var)
            return var;
      return env_add_global(env, name);
}
```

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6.1.3 Loop Environment

The loop environment keeps track of the blocks to which the "break" and "continue" keywords will branch – these being the .brk and .cont fields, respectively.

```
⟨loop env⟩≡
  typedef struct
  {
    cblock_t *brk, *cont;
  } loopenv_t;
```

6.2 Building

A builder structure represents the context in which conversion takes place, appending nodes (Subsection 5.1.4) to the function under construction.

```
\langle building \rangle \equiv
     \langle ir\_builder\_t \rangle
     \langle prototypes \rangle
      \langle is\_top\_level \rangle
     \langle ir\_open \rangle
     \langle ir\_build \rangle
      \langle ir\_extend \rangle
      \langle build\_constant \rangle
       \langle build\_ref \rangle
      \langle build\_call \rangle
      \langle build\_phi \rangle
      \langle build\_if \rangle
      \langle build\_set \rangle
      \langle build\_return \rangle
      \langle build\_bind \rangle
      \langle build\_lambda \rangle
      \langle build\_builtin \rangle
     \langle constant\_from\_literal \rangle
```

6.2.1 IR Builder

An ir_builder_t simplifies construction of control and value flow. The .head and .tail fields track the single entry and exit blocks in the connected subgraph. If the last node built computes a .value, that is also tracked.

.fun, .env and .loop point to the environments currently in effect. When .pfailed is non-NULL, it points to a flag which will be set if an error should be encountered during conversion.

```
(ir_builder_t) \( \sum \)
    typedef struct ir_builder
{
        rsymbol_t *file;
        cblock_t *head, *tail;
        bool *pfailed;
        cnode_t *value;
        funenv_t *fun;
        lexenv_t *env;
        loopenv_t *loop;
} ir_builder_t;
```

The ir_error macro sets this flag, and emits the given message as an ERROR. ir_warning does just the latter, and at the WARNING level.

```
diagnostics =

#define ir_error(ir, fmt, args...) do {
    if(ir->pfailed) *ir->pfailed = true;
    c_message_va(C_ERROR, ir->file, fmt, ##args);
} while (0)

#define ir_warning(ir, fmt, args...)
    c_message_va(C_WARNING, ir->file, fmt, ##args)
```

A convenience predicate returns true when the immediately enclosing function is at top level.

```
\(\(is_top_level\)\)\)\\
static inline bool is_top_level(ir_builder_t *ir)
\(\{\)\ return !ir->fun->function->parent; \(\}\)\
```

Initialised with ir_open, a builder ir can begin afresh, or share the environments (and .pfailed) of another. Either way, a new block is added to the function under construction.

```
\langle ir_open \rangle \static ir_builder_t *ir_open(ir_builder_t *ir, ir_builder_t *other)
{
    if(other)
        *ir = *other;
    assert(ir->fun);
    ir->head = ir->tail = fun_add_block(ir->fun);
    ir->value = NULL;
    return ir;
}
```

ir_build constructs a node of given type. It's appended to the .tail of the builder;
.value is updated if it yields a value (and is not a BIND; their values aren't to be used
directly, yet.)

```
\(ir_build\)\(\sim\)
static cnode_t *ir_build(ir_builder_t *ir, cnodetype type)
{
    cnode_t *node = cnode_append(ir->tail, type);
    node->file = ir->file;
    if(type != CN_BIND && cnode_yields_value(node))
        ir->value = node;
    else
        ir->value = NULL;
    return node;
}
```

ir_extend advances ir so that further construction may continue from the .tail of other with the .value of the latter.

```
\( \langle ir_extend \rangle \)
\( \text{static ir_builder_t *ir_extend(ir_builder_t *ir, ir_builder_t *other)} \)
\( \text{ir->tail = other->tail;} \)
\( \text{ir->value = other->value;} \)
\( \text{return ir;} \)
\( \text{}
\]
```

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6.2.2 Nodes

A set of convenience functions are used to construct the various .types of cnode_t.

The constant object to which a CONST node refers is first interned in the compiler's constant table. The node's type .decl is also initialised at this point, as it is unlikely to change.

```
\langle build_constant\rangle =
static cnode_t *build_constant(ir_builder_t *ir, robject_t *ptr) {
    cnode_t *node = ir_build(ir, CN_CONST);
    robject_t *obj = c_intern(ptr);

    node->constant = obj;
    node->decl = r_typeof(obj);
    return node;
}
```

The .var field of a REF node is initialised to the variable found by name in the enclosing environment(s). A free variable is assumed to be global.

The invocation of a target begins as a CALL node; later optimisation may replace it with an equivalent CALL_FAST or BUILTIN. names, together with args, have their ownership transferred to the node.

An IF node only has the condition cond to initialise, as the branches are represented by successor blocks in the control flow.

```
\langle build_if \rangle =
    static cnode_t *build_if(ir_builder_t *ir, cnode_t *cond)
    {
        cnode_t *node = ir_build(ir, CN_IF);
        node->ifelse.cond = cond;
        return node;
    }
```

A PHI node, as constructed, joins values from the left and right edges incoming to a control-flow join.

```
\langle build_phi\rangle \infty
\text{static cnode_t *build_phi(ir_builder_t *ir, cnode_t *left, cnode_t *right)} 
\text{
\text{ cnode_t *node = ir_build(ir, CN_PHI);} \text{ cnode_array_t *args = &node->phi.args;} 
\text{ assert(left && right);} \text{ array_init(args, 2);} \text{ array_push(args, left);} \text{ array_push(args, right);} \text{ return node;} 
\text{ } \]
\text{ } \text{ \text{ } \text{ to orde_t * right);} \text{ } \text{ return node;} 
\text{ } \text{
```

RETURN can be explicit or implied. In the latter case, nil will be returned if no meaningful value is available.

The value of a SET node comes from the right-hand side of the assignment.

```
\langle build_set\rangle \infty
    static cnode_t *build_set(ir_builder_t *ir, cvar_t *var, cnode_t *val)
{
        cnode_t *node = ir_build(ir, CN_SET);

        node->set.var = var;
        node->set.value = ir->value = val;
        return node;
}
```

A BIND node introduces the variable var with optional initial val and type decl. To preserve flexibility, it's added to the environment in a separate step.

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When a LAMBDA is constructed for a cfunction_t, the .node backpointer can be initialised, as they are in one-to-one relation.

```
\langle build_lambda \rangle \static cnode_t *build_lambda(ir_builder_t *ir, cfunction_t *fn)
{
    cnode_t *node = ir_build(ir, CN_LAMBDA);

    array_init(&node->lambda.closure, 0);
    node->lambda.function = fn;
    fn->node = node;
    return node;
}
```

A BUILTIN node usually results from optimisation, but can also be constructed directly.

6.2.3 Constants

Literals in the input AST are converted to robject_ts referenced by CONST nodes. INT, DOUBLE and STRING literals become the appropriate type of object. No distinction is made between the various .types of .symbol. A TOKEN is also a symbol, albeit named via ast_str.

```
\langle constant\_from\_literal \rangle \equiv
 static robject_t *constant_from_literal(ast_t *ast)
      switch(ast->type)
      case AST_INT: return r_box(r_type_int, &ast->integer);
      case AST_DOUBLE: return r_box(r_type_double, &ast->dfloat);
      case AST_STRING: return (robject_t *)rstr_create(ast->string);
      case AST_TOKEN: return (robject_t *)r_intern(ast_str(ast));
      case AST_QUOTED:
      case AST_SYMBOL:
      case AST_NAME:
          return (robject_t *)ast->symbol;
      case AST_INVALID: return NULL;
      default: break;
      }
      return NULL; /* NOTREACHED */
 }
```

6.3 Entry Point

The entry point to this module is ir_convert. It returns a nullary cfunction_t, the body of which contains the IR equivalent of the input ast.

```
⟨ir_convert⟩≡
  cfunction_t *ir_convert(ast_t *ast, char *filename)
  {
    cfunction_t *function;
    funenv_t fun;
    lexenv_t global_env, env;
    bool failed = false;
    ⟨convert toplevel⟩
}
```

The global lexical environment global_env is distinct from (and the parent of) the lexical environment env of the top-level function. This allows distinguishing e.g. "global x" from "let x".

The input is converted by convert_file. If control flow continues through it, a RETURN node is appended. Free variables have been collected in global_env; resolution picks up declared types and constant values from the runtime environment.

```
\( \text{convert toplevel} \rangle + \equiv \)
    if(convert_file(&ir, ast))
        build_return(&ir);
    function = fun_close(&fun, ir.head, NULL);
    env_close(&env);
    if(global_env.vars)
        hashmap_map(global_env.vars, resolve_global, &ir);
    env_close(&global_env);

NULL is returned on conversion failure.

\( \langle \text{convert toplevel} \rangle + \equiv \text{if(failed)} \)
    \{
        cfunc_free(function);
        return NULL;
    \}
    return function;
```

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If the global corresponding to the free variable var exists in the runtime global environment, check_resolve copies any reliable information from its declaration and verifies that it wasn't declared more than once.

The cvar_t is to share the top-level cfunction_t's lifetime, so is added to the latter's list of variables.

```
\langle resolve_global\subseteq static void resolve_global(const void *key, void *value, void *ptr) {
    ir_builder_t *ir = ptr;
    cvar_t *var = value;
    rglobal_t *global = r_get_global(var->name);
    cfunction_t *fn = ir->fun->function;

    if(global && !check_resolve(var, global))
        *ir->pfailed = true;

    assert(cvar_is_global(var));
    assert(list_isempty(&var->cvar_list));
    list_add(&fn->cvar_head, &var->cvar_list);
}
```

An existing global (19.5) may not be redeclared. If declared explicitly, it may not be removed – so its type .decl and constancy .is_const are invariants which will hold for the lifetime of the system, and the compiler can rely on them.

6.4 Conversion

Conversion proceeds through the recursive destructuring of an ast_t by .type.

```
\langle conversion \rangle \equiv \\ \langle convert\_literal \rangle \\ \langle convert\_symbol \rangle \\ \langle convert\_token \rangle \\ \langle convert\_node \rangle \\ \langle convert\_stmt \rangle \\ \langle convert\_expr \rangle
```

A convert_function returns true when control flow continues through the IR it creates – this is not the case for BREAK, CONTINUE, RETURN, or when an error is encountered.

In addition, some callers expect the conversion of a subtree ast to produce a .value. The grammar does not enforce this; instead, convert_expr returns true when the constraint is satisfied, signalling an error otherwise (if one hasn't been, already.)

```
⟨convert_expr⟩≡
static bool convert_expr(ir_builder_t *ir, ast_t *ast)
{
   if(convert_stmt(ir, ast) && ir->value)
      return true;
   if(ir->pfailed && !*ir->pfailed)
      ir_error(ir, "statement found where expression expected.");
   return false;
}
```

convert_stmt is the fundamental conversion function. INT, DOUBLE, QUOTED and STRING literal asts are handled by convert_literal. Unquoted SYMBOLs, denoting references to variables, are handled by convert_symbol. A NODE requires further destructuring by convert_node. The single tokens BREAK and CONTINUE become control flow in convert_loop_exit; no others are valid.

```
\langle convert\_stmt \rangle \equiv
  static bool convert_stmt(ir_builder_t *ir, ast_t *ast)
      switch(ast->type)
      {
      case AST_INT:
      case AST_DOUBLE:
      case AST_QUOTED:
      case AST_STRING:
          return convert_literal(ir, ast);
      case AST_SYMBOL:
          return convert_symbol(ir, ast);
      case AST_NODE:
          return convert_node(ir, ast);
      case AST_TOKEN:
          if(ast->token == BREAK || ast->token == CONTINUE)
               return convert_loop_exit(ir, ast);
          /* fallthrough */
      default:
          ir_error(ir, "invalid AST of type %d", ast->type);
      return false; /* NOTREACHED, ideally */
For a literal, a CONST node is built...
\langle convert\_literal \rangle \equiv
  static bool convert_literal(ir_builder_t *ir, ast_t *ast)
      build_constant(ir, constant_from_literal(ast));
      return true;
  }
```

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 \dots and, for a variable reference, a REF node (although " \dots " is not valid at the top level.)

```
⟨convert_symbol⟩≡
static bool convert_symbol(ir_builder_t *ir, ast_t *ast)
{
    if(ast_is_rest(ast) && is_top_level(ir))
    {
        ir_error(ir, "invalid reference to '...'.");
        return false;
    }
    build_ref(ir, ast->symbol);
    return true;
}
```

convert_node begins by extracting the first element fst from arr, which is used to determine the interpretation of those that follow.

```
⟨convert_node⟩

static bool convert_node(ir_builder_t *ir, ast_t *ast)
{

   ast_array_t *arr = ast->children;
   ast_t *fst = aptr(arr, 0);

   ⟨check token⟩
   switch(fst->token)
   {
      ⟨convert form⟩
   }
   ⟨convert operator⟩
}
```

We treat the NODE somewhat like a LISP list, and it's depicted as such. It falls into one of the following cases, depending on the syntactic construct which gave rise to it.

```
(expression [expressions...])
```

If not headed by a TOKEN, the ast represents a function call, with the other elements expressions to be evaluated as actual arguments.

```
⟨check token⟩≡
if(fst->type != AST_TOKEN)
return convert_call(ir, fst, arr);
```

Certain TOKENs denote *special forms*. These can introduce control flow, or modify the environment. Their conversion functions may interpret the other elements in arr specially – perhaps as lists of declarations, or type specifiers. Converting each is a matter of passing the appropriate elements to its conversion function.

```
(let declarations), (var declarations)
```

```
\langle convert form \rangle = 
  case LET:
  case VAR: assert(alen(arr) == 2);
    return convert_let(ir, aptr(arr, 1), fst->token == LET);
```

```
(global declarations), (const declarations)
\langle convert \ form \rangle + \equiv
  case GLOBAL:
  case CONST: assert(alen(arr) == 2);
      return convert_global(ir, aptr(arr, 1), fst->token == CONST);
(function [returntype] ([arguments...]) body)
\langle convert \ form \rangle + \equiv
  case FUNCTION: assert(alen(arr) == 4);
      return convert_lambda(ir, rtype_from_spec(aptr(arr, 1)),
                                aptr(arr, 2)->children, aptr(arr, 3));
(\{[statements...])
\langle convert \ form \rangle + \equiv
  case '{':
      return convert_prog(ir, arr);
(if condition consequent [alternative])
"if" has an optional "else".
\langle convert \ form \rangle + \equiv
  case IF: assert(alen(arr) == 3 || alen(arr) == 4);
      return convert_ifelse(ir, aptr(arr, 1), aptr(arr, 2),
                                (alen(arr) == 4) ? aptr(arr, 3) : NULL);
(or expression expression), (and expression expression)
\langle convert\ form \rangle + \equiv
  case OR: assert(alen(arr) == 3);
      return convert_or(ir, aptr(arr, 1), aptr(arr, 2));
  case AND: assert(alen(arr) == 3);
      return convert_and(ir, aptr(arr, 1), aptr(arr, 2));
(= place \ expression)
\langle convert form \rangle + \equiv
  case '=': assert(alen(arr) == 3);
      return convert_set(ir, aptr(arr, 1), aptr(arr, 2));
(return expression)
\langle convert \ form \rangle + \equiv
  case RET: assert(alen(arr) == 2);
      return convert_return(ir, aptr(arr, 1));
(while condition body)
A "while" loop is just a "for" loop without declarations or an iteration expression.
\langle convert \ form \rangle + \equiv
  case WHILE: assert(alen(arr) == 3);
      return convert_loop(ir, &ast_null, aptr(arr, 1), &ast_null,
                              aptr(arr, 2));
```

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```
(for [declarations] condition [iteration] body)
\langle convert \ form \rangle + \equiv
  case FOR: assert(alen(arr) == 5);
      return convert_loop(ir, aptr(arr, 1), aptr(arr, 2), aptr(arr, 3),
                              aptr(arr, 4));
(type specifier)
\langle convert form \rangle + \equiv
  case TYPE: assert(alen(arr) == 2);
      return convert_literal_type(ir, aptr(arr, 1));
(include string)
\langle convert \ form \rangle + \equiv
  case INCLUDE: assert(alen(arr) == 2);
      return convert_include(ir, aptr(arr, 1));
(+ expression), (- expression)
Unary "+" is a no-op. Unary "-" becomes a call to "0-".
\langle convert \ form \rangle + \equiv
  case '+':
      if(alen(arr) == 2)
           return convert_expr(ir, aptr(arr, 1));
      /* fallthrough */
  case '-':
      if(alen(arr) == 2)
           return convert_token(ir, "0-", arr);
      /* fallthrough */
  default:
      break;
(operator [expressions...])
\langle convert\ operator \rangle \equiv
  return convert_token(ir, ast_str(fst), arr);
If not a special form, the ast represents a function call, with fst referring to a variable
of the same name (the synthetic ast will be converted to a REF.)
\langle convert\_token \rangle \equiv
  static inline bool
  convert_token(ir_builder_t *ir, const char *name, ast_array_t *arr)
  {
      ast_t ast = {
           .type = AST_SYMBOL,
           .symbol = r_intern(name),
      };
      return convert_call(ir, &ast, arr);
  }
```

6.5 Bindings

Some special forms bind variables in the global or lexical environments. The ASTs they take as input have a common shape; helper functions exist to simplify their conversion.

```
 \langle bindings \rangle \equiv \\ \langle varbind\_t \rangle \\ \langle bind\_from\_decl \rangle \\ \langle binds\_from\_decls \rangle \\ \langle binds\_finalize \rangle \\ \langle convert\_initexpr \rangle
```

}

An array of varbind_t structures is populated with the declarations or arguments from an input NODE. The .var field points to the variable being bound; .expr to the initialising expression, if any. Some forms will convert this in a separate pass, setting .node to the resulting initial value.

```
to the resulting initial value.
\langle varbind_{-}t \rangle \equiv
  typedef struct
      cvar_t *var;
      union
       {
           ast_t *expr;
           cnode_t *node;
      }:
  } varbind_t;
  typedef ARRAY(varbind_t) varbind_array_t;
  typedef cvar_t *(*varbind_fn)(rsymbol_t *, rtype_t *, void *);
binds_from_decls destructures the ASTs from decls into binds.
\langle binds\_from\_decls \rangle \equiv
  static void binds_from_decls(ast_array_t *decls, varbind_array_t *binds,
                                    varbind_fn varfn, void *data)
  {
      int i;
      array_init(binds, alen(decls));
      array_resize(binds, alen(decls));
      array_foreach(decls, i)
           bind_from_decl(aptr(decls, i), aptr(binds, i), varfn, data);
  }
bind_from_decl initialises a bind from the ast of a declaration.
\langle bind\_from\_decl \rangle \equiv
  static void bind_from_decl(ast_t *ast, varbind_t *bind, varbind_fn varfn,
                                 void *data)
  {
      rsymbol_t *name;
      ast_t *tspec = NULL, *expr = NULL;
      if(ast->type == AST_NAME)
       {
           \langle simple \rangle
       }
      else
       {
           \langle complex \rangle
```

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```
\langle bind \rangle
```

An input NAME is simple – it only specifies the variable name.

```
\langle simple \rangle \equiv
name = ast->symbol;
```

An input NODE has one of the forms (type name), (name init), or (type name init). They're distinguished by the .type of the second element snd, and the number of .children.

```
\langle complex \rangle \equiv
 assert(ast->type == AST_NODE);
 ast_array_t *arr = ast->children;
 assert(alen(arr) == 2 || alen(arr) == 3);
 ast_t *fst = aptr(arr, 0);
 ast_t *snd = aptr(arr, 1);
 if(snd->type == AST_NAME)
 {
      tspec = fst;
      name = snd->symbol;
      if(alen(arr) == 3)
          expr = aptr(arr, 2);
 }
 else
 {
      name = fst->symbol;
      expr = snd;
```

The .var field is initialised by the varfn callback; taking name, type from specifier tspec, and supplied data. The initialising expression is stored in .expr.

```
\langle bind \rightarrow 
*bind = (varbind_t) {
    .var = varfn(name, rtype_from_spec(tspec), data),
    .expr = expr
};
```

The special form conversion function will call binds_finalize when it's finished with the binds array. If open is true, conversion is to continue, so any local variables are are added to their functions' lists. Otherwise we are aborting, so they're freed instead.

```
array_fini(binds);
}
```

In the absence of an initialising expression, a variable is given an appropriate zero value for its declared type. convert_initexpr implements this convention.

```
\langle convert_initexpr\rangle =
static bool convert_initexpr(ir_builder_t *ir, varbind_t *bind)
{
    if(bind->expr)
        return convert_expr(ir, bind->expr);
    return build_constant(ir, nil_init(bind->var->decl));
}
```

6.6 Forms

```
\langle forms \rangle \equiv
     \langle let \ and \ var \rangle
     \langle globals \rangle
     \langle lambda \rangle
     \langle convert\_prog \rangle
      \langle convert\_actuals \rangle
     \langle convert\_call \rangle
     \langle convert\_branch \rangle
      \langle extend\_join \rangle
     \langle convert\_ifelse \rangle
     \langle convert\_or \rangle
     \langle convert\_and \rangle
      \langle set\_expandp \rangle
     \langle convert\_set\_expand \rangle
     \langle convert\_set \rangle
     \langle convert\_return \rangle
     \langle convert\_loop \rangle
     \langle convert\_loop\_exit \rangle
     \langle convert\_literal\_type \rangle
     \langle convert\_file \rangle
     \langle convert\_include \rangle
```

6.6.1 File

The ast returned by the parser is a NODE, all of whose elements are statements (as opposed to the block passed to convert_prog, where the first element is "{".)

```
(convert_file) =
  static bool convert_file(ir_builder_t *ir, ast_t *ast)
{
    bool open = true;
    assert(ast->type == AST_NODE);
    for(int i = 0; i < alen(ast->children) && open; i++)
        open = convert_stmt(ir, aptr(ast->children, i));
    return open;
}
```

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6.6.2 Let and Var

"let" and "var" are similar enough that they are converted by a single function.

```
\langle let \ and \ var \rangle \equiv \\ \langle convert\_let\_binds \rangle \\ \langle convert\_var\_binds \rangle \\ \langle local\_create \rangle \\ \langle convert\_let \rangle
```

decls are first destructured into binds, with new variables created by the local_create callback. The bindings are then converted by either convert_let_binds or convert_var_binds, responsible for the semantic difference between the forms. The previous .value is restored to ir; declarations do not disrupt value flow.

```
    static bool convert_let(ir_builder_t *ir, ast_t *decls, bool is_let)
    {
        cnode_t *val = ir->value;
        varbind_array_t binds;
        bool open;

        binds_from_decls(decls->children, &binds, local_create, ir);
        open = is_let ?
            convert_let_binds(ir, &binds) :
                convert_var_binds(ir, &binds);
        binds_finalize(&binds, open);
        ir->value = val;
        return open;
}
```

Each variable being introduced is LEXICAL, and belongs to the function under conversion. It's optimistically assumed to be a constant — .is_const will be reset if an assignment is subsequently seen.

```
\langle local_create \sum static cvar_t *local_create(rsymbol_t *name, rtype_t *decl, void *data)
{
    ir_builder_t *ir = data;
    cvar_t *var = cvar_create(name, LEXICAL, decl);

    var->is_const = true;
    var->local.binder = ir->fun->function;
    return var;
}
```

"let" assigns initial values to its variables in parallel. The first pass over binds converts each initialising expression, saving a pointer to the node that results. This is then associated with its variable by a BIND node built in the second pass. The lexical environment is augmented in the second pass so that names in the initial expressions refer to variables outside the scope of the "let".

```
\langle convert\_let\_binds \rangle \equiv
  static bool convert_let_binds(ir_builder_t *ir, varbind_array_t *binds)
  {
      varbind_t *bind;
      array_foreach_ptr(binds, bind)
          if(!convert_initexpr(ir, bind))
               return false;
          bind->node = ir->value;
      }
      array_foreach_ptr(binds, bind)
      {
          build_bind(ir, bind->var, bind->var->decl, bind->node);
          env_add(ir->env, bind->var);
      }
      return true;
  }
```

"var" assigns initial values to its variables sequentially. A single pass suffices to convert each initialising expression, build the BIND node, and augment the environment.

```
⟨convert_var_binds⟩≡
static bool convert_var_binds(ir_builder_t *ir, varbind_array_t *binds)
{
    varbind_t *bind;

    array_foreach_ptr(binds, bind)
    {
        if(!convert_initexpr(ir, bind))
            return false;
        build_bind(ir, bind->var, bind->var->decl, ir->value);
        env_add(ir->env, bind->var);
    }
    return true;
}
```

6.6.3 Globals

"global" and "const" are also converted by a single function.

```
\langle globals \rangle \equiv
\langle global\_create \rangle
\langle convert\_global\_binds \rangle
\langle convert\_global \rangle
```

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These forms may only occur at top level. Similar to convert_let, declarations are destructured and variables created before IR nodes are built.

```
\langle convert\_global \rangle \equiv
  static bool convert_global(ir_builder_t *ir, ast_t *decls, bool is_const)
      cnode_t *val = ir->value;
      varbind_array_t binds;
      bool open;
      if(!is_top_level(ir))
          ir_error(ir, "'%s' only allowed at top level.",
                    is_const ? "const" : "global");
          return false;
      }
      binds_from_decls(decls->children, &binds, global_create, ir);
      open = convert_global_binds(ir, &binds, is_const);
      binds_finalize(&binds, open);
      ir->value = val;
      return open;
  }
```

The global_create callback first searches the global environment for a variable of given name; if not found, it's created and added. It is an error for var to have been declared previously, but it may have been referred to by code already converted (and so be present as a GLOBAL_EXT.) Regardless, the variable is a GLOBAL_INT, with declared type decl.

Converting the binds is a matter of converting each initialising expression (its presence is mandatory when the form is "const") and building the SET which, when executed, will assign the initial value to the variable. Its .intl.set refers to this node; the compiler can make use of it during optimisation; .is_const depends on which form is being converted.

```
\langle convert\_global\_binds \rangle \equiv
  static bool convert_global_binds(ir_builder_t *ir, varbind_array_t *binds,
                                      bool is_const)
  {
      varbind_t *bind;
      array_foreach_ptr(binds, bind)
          if(is_const && !bind->expr)
               ir_error(ir, "'const' must have an initial value.");
              return false;
          }
          if(!convert_initexpr(ir, bind))
               return false;
          bind->var->is_const = is_const;
          bind->var->intl.set = build_set(ir, bind->var, ir->value);
      }
      return true;
  }
```

6.6.4 Lambda

A "function" expression is converted to a LAMBDA node and associated cfunction_t.

```
\langle lambda \rangle \equiv
\langle convert\_default \rangle
\langle convert\_arg\_binds \rangle
\langle arg\_create \rangle
\langle convert\_formals \rangle
\langle convert\_lambda \rangle
```

An inner builder is opened, with function and lexical environments nested within the context of ir. After checking against maximum arity, the argument bindings are converted; their scope is the body, which is converted next. A RETURN is appended if the latter didn't end in one. The LAMBDA node is built in the outer context, initialised with the new cfunction_t returned by fun_close.

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```
ir_error(ir, "too many arguments.");
else if(convert_formals(&inner, args) && convert_stmt(&inner, body))
    build_return(&inner);
env_close(&env);
build_lambda(ir, fun_close(&fun, inner.head, ret_type));
assert(list_isempty(&fun.cblock_head) || *ir->pfailed);
return true;
}
```

The first BIND node built in the function has a NULL variable, and will receive the value of the implicit argbits argument containing the argument presence bitflags, as per the calling convention (Chapter 22).

decls is destructured to binds, as usual. convert_arg_binds will build conditionals for evaluating any default expressions, so is passed the bits node.

```
⟨convert_formals⟩≡
static bool convert_formals(ir_builder_t *ir, ast_array_t *decls)
{
    varbind_array_t binds;
    bool open = true;
    cnode_t *bits = build_bind(ir, NULL, r_type_int, NULL);

    binds_from_decls(decls, &binds, arg_create, ir);
    open = convert_arg_binds(ir, &binds, bits);
    binds_finalize(&binds, open);
    return open;
}
```

The arg_create callback creates LEXICAL variables (assumed constant, like local_create) with the .is_arg flag set.

```
\langle arg_create \rangle \static cvar_t *arg_create(rsymbol_t *name, rtype_t *decl, void *data)
{
    ir_builder_t *ir = data;
    cvar_t *var = cvar_create(name, LEXICAL, decl);

    var->is_const = true;
    var->local.binder = ir->fun->function;
    var->local.is_arg = true;
    var->local.is_optional = false;
    return var;
}
```

convert_arg_binds proceeds in two passes, similar to convert_let_binds. The first pass builds BIND nodes in the function entry block, in the same order as the arguments.

If the rest vector "..." is present, it must be the last argument, and without declared type or default expression (it's always .is_optional with type vector(object).)

When a binding has an initialising expression, this provides the default value for the argument if the latter is omitted in a call; so the variable .is_optional.

The second pass builds conditionals for default expressions where needed, and adds the variables to the lexical environment. Later default expressions may reference the values of the arguments which precede them.

```
\langle convert_arg_binds \rangle \square static bool convert_arg_binds(ir_builder_t *ir, varbind_array_t *binds, cnode_t *bits)
{
    int i;
```

```
array_foreach(binds, i)
    {
        varbind_t *bind = aptr(binds, i);
        if(bind->var->name == r_sym_rest)
            if(i != alen(binds)-1 || bind->var->decl || bind->expr)
                ir_error(ir, "invalid '...' declaration.");
                return false;
            bind->var->decl = r_type_vec_object;
            bind->var->local.is_optional = true;
        }
        else if(bind->expr)
            bind->var->local.is_optional = true;
        build_bind(ir, bind->var, bind->var->decl, NULL);
    }
    array_foreach(binds, i)
        varbind_t *bind = aptr(binds, i);
        if(bind->expr && !convert_default(ir, bind, i, bits))
            return false;
        env_add(ir->env, bind->var);
    }
    return true;
}
```

convert_default builds a conditional which assigns bind.expr to bind.var in case the corresponding argument is omitted:

```
(if (missing i \ bits) (= arg_i \ expr))
```

The missing BUILTIN returns true when the ith bit of bits is unset. i is constant so, in a somewhat dubious hack, it's boxed, interned, and stashed in the node's .builtin.optype field.

Control flow after the conditional is built along the lines of convert_if, except that since it's evaluated for effect, there's no need for unifying values with a PHI in the join block.

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```
if(!convert_expr(&tb, bind->expr))
    return false;
build_set(&tb, bind->var, tb.value);

ir_open(&jn, ir);
link_blocks(jn.head, tb.tail);
link_blocks(jn.head, ir->tail);
ir_extend(ir, &jn);
return true;
}
```

6.6.5 Block

A nested lexical environment is entered for the duration of a brace-delimited block – the scope of variables introduced by "let" and "var" is the remainder of the block in which they occur.

Conversion of subexpressions proceeds in order with convert_stmt; they're not required to produce values, as none but the last can be used by other nodes. If control flow leaves the block, the loop ends early.

```
⟨convert_prog⟩≡
static bool convert_prog(ir_builder_t *ir, ast_array_t *arr)
{
    lexenv_t env;
    bool open = true;
    ir_builder_t inner = *ir;

    inner.env = env_open(&env, ir->env);
    for(int i = 1; i < alen(arr) && open; i++)
        open = convert_stmt(&inner, aptr(arr, i));
    env_close(&env);
    ir_extend(ir, &inner);
    return open;
}
</pre>
```

6.6.6 Call

The value of the fst expression is the target of the call. Actual arguments, collected into args by convert_actuals, may be named; when none are, the names array is superfluous and can be emptied. The CALL node takes possession of the arrays when built.

```
(convert_call) =
   static bool convert_call(ir_builder_t *ir, ast_t *fst, ast_array_t *arr)
{
      cnode_array_t args;
      rsymbol_array_t names;
      cnode_t *target;
      bool has_names = false;

      if(!convert_expr(ir, fst))
           return false;
      target = ir->value;

      if(!convert_actuals(ir, &args, &names, arr, &has_names))
           return false;
```

```
if(!has_names)
          array_clear(&names);
array_shrink(&args);
array_shrink(&names);
build_call(ir, target, &args, &names);
return true;
}
```

Each actual argument has an element at the same index in the args and names arrays. The number of elements in the call expression is an upper bound on their length. It's an error if there are more arguments than an argbits_t can represent.

The elements of arr are examined in order. Each may be a NAME, INVALID, or an arbitrary ast denoting an expression.

```
\langle convert\_actuals \rangle \equiv
  static bool
  convert_actuals(ir_builder_t *ir, cnode_array_t *args, rsymbol_array_t *names,
                    ast_array_t *arr, bool *pnamed)
      rsymbol_t *name = NULL;
       array_init(args, alen(arr)-1);
      array_init(names, alen(arr)-1);
      for(int i = 1; i < alen(arr); i++)</pre>
           ast_t *ast = aptr(arr, i);
           switch(ast->type)
           {
           case AST_NAME:
               \langle named \rangle
                break;
           case AST_INVALID:
                \langle omitted \rangle
                break;
           default:
                \langle expression \rangle
                break;
           }
      }
       if(alen(args) <= sizeof(argbits_t)*8)</pre>
           return true;
      ir_error(ir, "too many arguments.");
  fail:
      array_fini(args);
      array_fini(names);
      return false;
```

When a NAME is encountered, the symbol is stored in name to be used by the next element, and pnamed is set.

```
⟨named⟩≡
 *pnamed = true;
 name = ast->symbol;
```

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INVALID denotes an omitted argument, which is represented with a NULL node and name.

```
⟨omitted⟩≡
  array_push(args, NULL);
  array_push(names, NULL);
```

Anything else is assumed to be an expression and converted as such. The occurrence of the rest vector "..." requests that its elements also be considered as arguments to the call; it's explicitly named to signal this to the backend.

After the node and its name (if any) are appended to the arrays, the latter is reset.

```
(expression)\(\subseteq\) if(!convert_expr(ir, ast))
     goto fail;
  if(ast_is_rest(ast))
{
     *pnamed = true;
     name = r_sym_rest;
}
  array_push(args, ir->value);
  array_push(names, name);
  name = NULL;
```

6.6.7 If-Else

An IF node is built with the value of the "if" expression's converted conditional cond. At run-time, when this evaluates to true, the VM will transfer control to the first successor of the block which the node ends; when false, the second. The consequent expression texpr is thus converted (built on branch tb) before the alternative fexpr (branch fb.)

If control flow continues from one or both branches, the ir builder is extended.

```
\langle convert\_ifelse \rangle \equiv
  static bool convert_ifelse(ir_builder_t *ir, ast_t *cond,
                               ast_t *texpr, ast_t *fexpr)
      ir_builder_t tb, fb;
      bool topen, fopen;
      if(!convert_expr(ir, cond))
          return false;
      build_if(ir, ir->value);
      topen = convert_branch(&tb, ir, texpr);
      fopen = convert_branch(&fb, ir, fexpr);
      if(topen && fopen)
          extend_join(ir, &tb, &fb);
      else if(topen)
          ir_extend(ir, &tb);
      else if(fopen)
          ir_extend(ir, &fb);
      return topen || fopen;
```

A new builder is opened for each branch. The ast to be converted may be missing — "else" is optional. If it yields no value, a nil is synthesised to provide one. A control-flow edge is added from the end of the ir builder to the start of the branch br.

Control flow from both branches is merged by extend_join. A new builder jn is opened and a PHI built to merge the values from consequent tb and alternative fb. Control-flow edges are added, in the same order as the PHI arguments, from the end of each branch to the start of the join. The ir builder can then be extended.

6.6.8 And & Or

```
Short-circuiting "||" and "&&" are syntactic sugar for "if":
    (if left true right)

⟨convert_or⟩≡
    static bool convert_or(ir_builder_t *ir, ast_t *lexpr, ast_t *rexpr)
{
        ast_t stub = {
            .type = AST_SYMBOL,
            .symbol = r_intern("true")
        };
        return convert_ifelse(ir, lexpr, &stub, rexpr);
}
```

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```
(if left right false)

⟨convert_and⟩

static bool convert_and(ir_builder_t *ir, ast_t *lexpr, ast_t *rexpr)
{
    ast_t stub = {
        .type = AST_SYMBOL,
        .symbol = r_intern("false")
    };
    return convert_ifelse(ir, lexpr, rexpr, &stub);
}
```

(the REF nodes which are built for these will be optimised later to CONSTs)

6.6.9 Assignment

The left-hand-side lhs of an assignment expression must be a SYMBOL if it doesn't denote a *set expansion*.

The variable var which it names is added as a global if it's not found in the enclosing environment(s). Assigning to a LEXICAL variable clears its .is_const flag. The right-hand-side expression rhs is converted, and a SET node built.

```
\langle convert\_set \rangle \equiv
 static bool convert_set(ir_builder_t *ir, ast_t *lhs, ast_t *rhs)
 {
      if(set_expandp(lhs))
          return convert_set_expand(ir, lhs->children, rhs);
      if(lhs->type != AST_SYMBOL)
          ir_error(ir, "no set-expansion for expression.");
          return false;
      }
      cvar_t *var = env_find_add(ir->env, lhs->symbol);
      if(!cvar_is_global(var))
          var->is_const = false;
      if(!convert_expr(ir, rhs))
          return false;
      build_set(ir, var, ir->value);
      return true;
```

set_expandp returns true in the case of an ast that looks like a call to a named function with at least one argument.

```
⟨set_expandp⟩≡
static bool set_expandp(ast_t *ast)
{
    if(ast->type == AST_NODE && alen(ast->children) >= 2)
    {
        ast_t *fst = aptr(ast->children, 0);
        return fst->type == AST_SYMBOL || fst->type == AST_TOKEN;
    }
    return false;
}
```

Set-expansion, as in R (and Lisp,) is syntactic sugar which generates a call to a specially named function in place of the assignment:

```
(= (name \ target \ args...) \ rhs) \rightarrow (name = target \ rhs \ args...)
```

A copy of rhs is inserted into the left-hand-side arr as the third element. rhs itself is replaced by INVALID, to avoid a double free. The expansion is converted as a call to the function fst with "=" appended to its name.

```
⟨convert_set_expand⟩

static bool convert_set_expand(ir_builder_t *ir, ast_array_t *arr, ast_t *rhs)
{
    ast_t *fst = aptr(arr, 0), new;
    char *name;

    asprintf(&name, "%s=", ast_str(fst));
    new = (ast_t) {
        .type = AST_SYMBOL,
        .symbol = r_intern(name),
    };
    xfree(name);
    array_insert(arr, 2, *rhs);
    *rhs = (ast_t) { .type = AST_INVALID };
    return convert_call(ir, &new, arr);
}
```

6.6.10 Return

"return" builds a RETURN node with the converted expr, and signals to its caller that control flow has ended.

```
\langle convert_return \rangle \static bool convert_return(ir_builder_t *ir, ast_t *expr) \\
    if(convert_expr(ir, expr)) \\
        build_return(ir); \\
    return false; \rangle
}
```

6.6.11 Loops

"while" and "for" loops are converted by the same function. Several builders are used; some may be absent or empty, depending on the which input subexpressions are present. After the built blocks are linked together, ignoring back edges, control flows in order:

```
prehead \rightarrow header \rightarrow inner \rightarrow footer \rightarrow after.

\(\langle convert_loop \rightarrow \)
\( \text{static bool convert_loop (ir_builder_t *ir, ast_t *decls, ast_t *cond, ast_t *iter, ast_t *body)} \)
\( \text{ir_builder_t prehead, header, inner, footer, after; lexenv_t env; cblock_t *next; bool open; \( \langle convert loop \rightarrow \)
\( \text{open open = true;} \)
\( \text{out:} \)
\( \text{if(!ast_is_omitted(decls))} \)
\( \text{open open = true;} \)
\( \text{out:} \)
\( \text{if(!ast_is_omitted(decls))} \)
\( \text{open open = true;} \)
\( \text{out:} \)
\( \text{open open open it ted(decls)} \)
\( \text{open open it ted(decl
```

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```
env_close(&env);
return open;
}
```

From the ir builder, control flow enters the loop through the pre-header prehead. If declarations are present, a new lexical environment env is opened to limit their scope to the loop body. Initialising expressions are converted, and variables added, by convert_let.

```
(convert loop) \( \)
    ir_open(&prehead, ir);
    link_blocks(prehead.head, ir->tail);
    if(!ast_is_omitted(decls))
    {
        prehead.env = env_open(&env, ir->env);
        open = convert_let(&prehead, decls, true);
        if(!open)
            goto out;
    }
}
```

The loop header follows, containing the converted conditional cond and ending in an IF node. next initially points to the header start.

```
(convert loop)+=
  ir_open(&header, &prehead);
  open = convert_expr(&header, cond);
  next = header.head;
  link_blocks(header.head, prehead.tail);
  if(!open)
     goto out;
  build_if(&header, header.value);
```

A post-loop builder after provides a target block for the loop environment.

```
\langle convert \ loop \rangle + \equiv ir_open(&after, ir);
```

If an iterator subexpression is present, the loop footer is opened to contain it, converted as a statement; next now points to the footer start.

```
\( \langle convert loop \rangle + \equiv \)
    if(!ast_is_omitted(iter))
    {
        ir_open(&footer, &prehead);
        open = convert_stmt(&footer, iter);
        next = footer.head;
    }
}
```

Now the loop environment of the inner builder may be initialised: "break" entails a jump to the beginning of after, and "continue" to next (either header or footer.)

The body subexpression is converted as a statement; if control flow continues through it, an edge is added from the end of inner to next. If the iterator is absent, this completes the loop with a back edge.

Otherwise, if control flow both enters and leaves the iterator (as flagged by open,) the back edge is built from the end of footer to the start of header.

```
(convert loop)+=
  ir_open(&inner, &prehead);
  inner.loop = &(loopenv_t) { .brk = after.head, .cont = next };
  if(convert_stmt(&inner, body))
     link_blocks(next, inner.tail); // body tail -> around again
  else
     open = false; // control flow does not enter iter
  if(!ast_is_omitted(iter) && open)
     link_blocks(header.head, footer.tail);
```

Control-flow edges may now be added for the conditional which ends header – the true branch enters the inner body; the false branch skips to after. The ir builder extends to this last.

```
\langle convert loop\+\equiv link_blocks(inner.head, header.tail);
link_blocks(after.head, header.tail);
ir_extend(ir, &after);
```

6.6.12 Break & Continue

"break" and "continue" create control-flow edges to the corresponding blocks in the enclosing loop (assuming one is present.)

```
\(convert_loop_exit\)\(\sigma\)
\(static bool convert_loop_exit(ir_builder_t *ir, ast_t *ast))
\(\{\)
\(bool is_break = (ast->token == BREAK); \)
\(if(!ir->loop)\)
\(ir_error(ir, "%s outside loop.",\)
\(is_break ? "break" : "continue");
\(else\)
\(link_blocks(is_break ? ir->loop->brk : ir->loop->cont,\)
\(ir->tail);
\(return false;
\)
\(\}
\)
```

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6.6.13 Type

A "type" expression becomes a CONST node with the value of the specified type object. rtype_from_spec returns NULL if the specifier is not valid; here, this is an error.

```
⟨convert_literal_type⟩≡
static bool convert_literal_type(ir_builder_t *ir, ast_t *ast)
{
    rtype_t *type = rtype_from_spec(ast);

    if(!type)
    {
        ir_error(ir, "unknown type in 'type' expression.");
        return false;
    }
    build_constant(ir, (robject_t *)type);
    return true;
}
```

6.6.14 Include

After opening an inner builder, continuing from ir, the file named by the argument is read and parsed by p_source. If it fails, an error is signalled, but a message is only emitted if the file couldn't be found (since in case of syntax error, the parser has already done so.) The resulting ast is converted by convert_file.

```
\langle convert\_include \rangle \equiv
 static bool convert_include(ir_builder_t *ir, ast_t *arg)
      assert(arg->type == AST_STRING);
      char *filename = arg->string;
      ast_t ast;
      ir_builder_t inner;
      bool open;
      int r;
      assert(filename);
      ir_open(&inner, ir);
      link_blocks(inner.head, ir->tail);
      inner.file = r_intern(filename);
      r = p_source(filename, &ast);
      if(r != 0)
      {
          *ir->pfailed = true;
              ir_error(ir, "including %s - %s", filename,
                        strerror(errno));
          return false;
      }
      open = convert_file(&inner, &ast);
      ast_fini(&ast);
      ir_extend(ir, &inner);
      return open;
 }
```

Miscellanea

```
\langle includes \rangle \infty
    #include "global.h"
    #include "ir.h"
    #include "ast.h"
    #include "grammar.h"
    #include <errno.h>
\langle prototypes \rangle \infty
    static bool convert_expr(ir_builder_t *ir, ast_t *ast);
    static bool convert_stmt(ir_builder_t *ir, ast_t *ast);
}
```

Chapter 7

IR Preparation

This subsystem prepares the IR for later passes by enriching it with derived metadata, enforcing invariants, and removing redundant objects.

```
 \langle ir\_pre.c \rangle \equiv \\ \langle includes \rangle \\ \langle critical\ edges \rangle \\ \langle cleanups \rangle \\ \langle ordering\ and\ numbering \rangle \\ \langle enforce\_set \rangle \\ \langle cfunc\_enforce\_set \rangle \\ \langle ir\_prepare \rangle
```

7.1 Prepass

ir_prepare is called with the top-level cfunction_t fn produced by AST conversion, running its sub-passes recursively over the function and its children.

cfunc_cleanup removes redundant blocks, nodes and variables.

cfunc_crit_edges ensures that the control-flow graph has no critical edges.

cfunc_enforce_set ensures that each value assigned to a variable is of a type consistent with the latter's declaration.

cfunc_rdfo numbers and sorts the blocks and nodes in reverse-depth-first order.

cfunc_init_closure collects the free variables used in a function and required in its lexical closure; called in postorder.

```
(ir_prepare) \( \)
    cresult ir_prepare(cfunction_t *fn)
{
        cresult res;

        cfunc_cleanup(fn);
        cfunc_crit_edges(fn);
        cfunc_node_users(fn);
        res = cfunc_enforce_set(fn);
        cfunc_rdfo(fn);
        res |= cfunc_map_children(fn, ir_prepare);
        cfunc_init_closure(fn);
        return res;
}
```

7.2 Cleanup

The IR as converted is correct, but may have more objects than strictly necessary – nodes never used, variables not referenced, blocks without nodes. These are detected and removed by cfunc_cleanup.

```
\langle cleanups \rangle \equiv
\langle block\ cleanups \rangle
\langle node\ and\ var\ cleanups \rangle
\langle fix\_cleanup \rangle
\langle cfunc\_cleanup \rangle
```

Cleaning up a redundancy can enable the recognition of others, both within and between kinds of object. cfunc_clean_blocks, cfunc_clean_nodes and cfunc_clean_vars are invoked at least once per function fn. If any nodes were removed, blocks are examined again.

```
\(cfunc_cleanup\)\(\epsilon\)
\(void\) cfunc_cleanup(cfunction_t *fn)
\(\{\)
\(fix_cleanup(fn, cfunc_clean_blocks);\)
\(if(fix_cleanup(fn, cfunc_clean_nodes))\)
\(fix_cleanup(fn, cfunc_clean_blocks);\)
\(cfunc_clean_vars(fn);\)
\(\}\)
\(\}
\)
```

fix_cleanup repeatedly calls clean until it runs without changing the IR, then returns the accumulated cresult.

7.2.1 Blocks

Block cleanups remove empty blocks and merge straight-line sequences, while preserving control-flow connectivity.

```
 \langle block\ cleanups \rangle \equiv \\ \langle make\_room \rangle \\ \langle splice\_preds \rangle \\ \langle splice\_dup\_args \rangle \\ \langle empty\_splice \rangle \\ \langle empty\_source \rangle \\ \langle empty\_snip \rangle \\ \langle coalesce\_forward \rangle \\ \langle update\_entry \rangle \\ \langle select\_block\_cleanup \rangle \\ \langle cfunc\_clean\_blocks \rangle
```

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cfunc_clean_blocks examines each block in the function; if it's deemed redundant, select_block_cleanup returns a a callback clean which will make the necessary changes to the IR. Before its invocation, the entry block may need updating; after, the change is noted in the cresult flags.

```
\langle cfunc\_clean\_blocks \rangle \equiv
 static cresult cfunc_clean_blocks(cfunction_t *fn)
 {
      cresult res = SUCCESS;
      cblock_t *block, *btmp;
      list_foreach_entry_safe(&fn->cblock_head, block, btmp, cblock_list)
          blkclean_fn clean = select_block_cleanup(fn, block);
          if(clean)
           {
               update_entry(fn, block);
               clean(block);
               res |= CHANGED;
          }
      }
      return res;
 }
```

If the block being deleted is the function's .entry, the latter pointer must be updated (to its sole successor, which is guaranteed to be present if a cleanup is applicable.)

```
\langle update_entry\\=
    static void update_entry(cfunction_t *fn, cblock_t *block)
{
        if(block == fn->entry)
        {
            assert(alen(&block->succ) == 1);
            fn->entry = aref(&block->succ, 0);
            assert(fn->entry != block);
        }
}
```

A block with a single successor succ may be eligible for cleanup.

If the block is also empty of nodes, and its removal won't form a critical edge, the applicable cleanup is determined by the number of predecessors it has.

```
=0 empty_source
=1 empty_snip
>1 empty_splice
```

If the block isn't empty, and succ has only one predecessor (and isn't the entry block,) the former can be coalesced into the latter with coalesce_forward.

Self-loops are degenerate but valid, and aren't handled. Empty blocks with no successors are invalid – every control-flow path which terminates does so in a block containing at least a RETURN node.

```
int npred = alen(&block->pred);
   bool empty = list_isempty(&block->cnode_head);
    if(alen(&block->succ) != 1)
        return NULL;
    cblock_t *succ = aref(&block->succ, 0);
    int s_npred = alen(&succ->pred);
    assert(s_npred > 0);
    if(succ == block)
        return NULL;
    if(empty)
        if(npred == 0)
            return empty_source;
        if(npred == 1)
        {
            cblock_t *pred = aref(&block->pred, 0);
            if(alen(&pred->succ) == 1 || s_npred == 1)
                return empty_snip;
        }
        else
        {
            if(alen(&succ->succ) < 2)
                return empty_splice;
   }
    else if(s_npred == 1 && succ != fn->entry)
        return coalesce_forward;
   return NULL;
}
```

coalesce_forward coalesces source block into its successor succ. The predecessor array is copied, backpointers updated in each predecessor and node, then the source block's node list .cnode_head is spliced before the destination's.

```
⟨coalesce_forward⟩≡
static void coalesce_forward(cblock_t *block)
{
    cblock_t *pred, *succ = aref(&block->succ, 0);
    cnode_t *node;

    array_copy(&succ->pred, &block->pred);
    array_foreach_entry(&succ->pred, pred)
        replace_link(&pred->succ, block, succ);
    list_foreach_entry(&block->cnode_head, node, cnode_list)
        node->block = succ;
    list_splice_after(&succ->cnode_head, &block->cnode_head);
    cblock_free(block);
}
```

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empty_snip remove the empty block between pred and succ.

empty_source removes the empty block before succ; probably the start of the top-level function, since other functions begin with a sequence of BINDs.

```
(empty_source) =
  static void empty_source(cblock_t *block)
{
    cblock_t *succ = aref(&block->succ, 0);
    remove_link(&succ->pred, block);
    cblock_free(block);
}
```

The block to which empty_splice is applied is the idxth predecessor of its single successor succ. Its predecessors are spliced into the latter's array at this index, and the successor link of each to block is redirected.

The idxth argument of each PHI node in the successor block will enter along all of the newly spliced edges, so it's duplicated to the corresponding elements of the node's argument array.

```
dempty_splice
static void empty_splice(cblock_t *block)

{
    cblock_t *pred, *succ = aref(&block->succ, 0);
    int idx = index_of_block(&succ->pred, block);
    cnode_t *node;

    splice_preds(succ, block, idx);
    array_foreach_entry(&block->pred, pred)
        replace_link(&pred->succ, block, succ);
    list_foreach_entry(&succ->cnode_head, node, cnode_list)
    {
        if(node->type != CN_PHI)
            break;
        splice_dup_args(node, alen(&block->pred), idx);
    }
    cblock_free(block);
}
```

The splice_preds helper makes room for block's predecessor array at index idx in the successor block succ's predecessors, then copies the former into the gap in the latter.

```
\( \splice_preds \) \( \simes \)
\( \static inline void splice_preds(cblock_t *succ, cblock_t *block, int idx) \)
\( \text{cblock_array_t *arr = &succ->pred, *ins = &block->pred; } \)
\( \text{int num = alen(ins);} \)
\( \text{make_room(arr, num, idx); } \)
\( \text{memcpy(arr->ptr + idx, ins->ptr, num * sizeof(cblock_t *));} \)
\( \text{}
\]
\( \text{}
\]
\( \text{the splice_preds} \)
\( \text{cblock_t = \text{*block_t = block_t = bloc
```

The splice_dup_args helper resizes node's .phi.args array, making room for num elements in place of the one at index idx, then duplicates it into each element in the gap, keeping its users updated.

```
⟨splice_dup_args⟩≡
static inline void splice_dup_args(cnode_t *node, int num, int idx)
{
    cnode_array_t *arr = &node->phi.args;
    cnode_t *ins = aref(arr, idx);

    make_room(arr, num, idx);
    for(int i = 1; i < num; i++)
    {
        cnode_add_user(node, ins);
        aset(arr, idx + i, ins);
    }
}
</pre>
```

make_room enlarges the array arr to fit num elements in place of the idxth. The "tail" of elements after idx is moved forward, so it stays at the end of the resized array.

7.2.2 Nodes and Variables

If the value of a node is not used, it may be removed if it has no side effects. Lexical variables which are not mentioned in the program can also be removed.

```
\langle node \ and \ var \ cleanups \rangle \equiv \\ \langle tick \rangle \\ \langle mark\_used \rangle \\ \langle mark\_used \rangle \\ \langle cfunc\_mark\_used\_nodes \rangle \\ \langle cfunc\_clean\_unmarked\_nodes \rangle \\ \langle cfunc\_clean\_nodes \rangle \\ \langle cfunc\_clean\_vars \rangle
```

A function will be cleaned up after each significant change to its IR; so a global clock is used, to avoid needing to reset flags.

```
\langle tick \rangle \equiv static unsigned tick = 1;
```

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To detect unused objects, each invocation of cfunc_clean_nodes increments tick. The function fn is traversed twice – first to mark objects as used, then to remove those which aren't.

All blocks in the function are assumed (potentially) executable. Each node marks the other nodes and variables that it uses.

```
⟨cfunc_mark_used_nodes⟩≡
static void cfunc_mark_used_nodes(cfunction_t *fn)
{
    cblock_t *block;
    cnode_t *node;

    list_foreach_entry(&fn->cblock_head, block, cblock_list)
    {
        list_foreach_entry(&block->cnode_head, node, cnode_list)
        {
            cnode_map_used(node, mark_used, NULL);
            mark_var(node);
        }
    }
}
```

A node is marked by assigning to its .mark field the current value of the global clock...

```
static void mark_used(cnode_t **ptr, void *data)
      cnode_t *node = *ptr;
      assert(node);
      assert(node->type != CN_SET);
      assert(node->type != CN_IF);
      assert(node->type != CN_RETURN);
      node->mark = tick;
... and a variable, likewise.
\langle mark\_var \rangle \equiv
  static void mark_var(cnode_t *node)
      switch(node->type)
      case CN_BIND:
      case CN_SET:
          if(node->set.var)
                node->set.var->mark = tick;
          break;
      case CN_REF:
          node->ref.var->mark = tick;
          break;
      default:
          break;
```

 $\langle mark_used \rangle \equiv$

```
}
```

An unmarked node will have some value of .mark not equal to the clock (assuming no more than UINT_MAX increments between cleanup runs.) If it's also pure (Subsection 5.2.4), it's removed and the change recorded.

An unmarked LEXICAL variable may be removed if not .is_closed (a descendent function requires it, in that case.)

```
cfunc_clean_vars)

static void cfunc_clean_vars(cfunction_t *fn)
{
    cvar_t *var, *tmp;

    list_foreach_entry_safe(&fn->cvar_head, var, tmp, cvar_list)
    {
        if(var->mark != tick && var->type == LEXICAL && !var->local.is_closed)
        {
            assert(!var->local.is_arg);
            cvar_free(var);
        }
    }
}
```

7.3 Critical Edges

An edge in the control-flow graph is *critical* when it joins a block with more than one successor to a block with more than one predecessor. The SSA deconstruction algorithm (Chapter 18) does not correctly place copies in the presence of critical edges, so they're removed by *splitting* each with an empty block (Briggs et al., 1998).

```
\langle critical\ edges \rangle \equiv \langle split\_edge \rangle \langle cfunc\_crit\_edges \rangle
```

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If the edge from block to succ is critical, it is split and the change noted.

```
\langle cfunc\_crit\_edges \rangle \equiv
  cresult cfunc_crit_edges(cfunction_t *fn)
      cblock_t *block, *succ;
      cresult res = SUCCESS;
      list_foreach_entry(&fn->cblock_head, block, cblock_list)
      ₹
           if(alen(&block->succ) <= 1)</pre>
               continue;
           array_foreach_entry(&block->succ, succ)
               if(alen(&succ->pred) <= 1)</pre>
                    continue;
               split_edge(fn, block, succ);
               res |= CHANGED;
           }
      }
      return res;
 }
```

An empty block is added to the function, its successor and predecessor arrays initialised, and the links between block and succ retargeted to splice it into control flow.

```
(split_edge) =
   static void split_edge(cfunction_t *fn, cblock_t *block, cblock_t *succ)
{
     cblock_t *empty = cblock_create();

     list_add(&fn->cblock_head, &empty->cblock_list);
     array_push(&empty->succ, succ);
     array_push(&empty->pred, block);
     replace_link(&block->succ, succ, empty);
     replace_link(&succ->pred, block, empty);
}
```

7.4 Assignment

Conversion to static single assignment form will elide assignments to most lexical variables. Before this occurs, the user's type declarations are given force: at each assignment, the value assigned is constrained to be of the variable's declared type.

```
⟨cfunc_enforce_set⟩

static cresult cfunc_enforce_set(cfunction_t *fn)
{
    cblock_t *block;
    cnode_t *node;
    cresult res = SUCCESS;

list_foreach_entry(&fn->cblock_head, block, cblock_list)
    list_foreach_entry(&block->cnode_head, node, cnode_list)
    if(node->type == CN_SET || node->type == CN_BIND)
        res |= enforce_set(node, node->set.var);
    return res;
}
```

enforce_set also ensures that .is_const variables aren't assigned to (except by the
initialising expression of a GLOBAL_INT.)

```
\langle enforce_set\subseteq 
\text{static cresult enforce_set(cnode_t *node, cvar_t *var)} 
\{
\text{if(node->type == CN_SET && var->is_const} \\
&& ((var->type == GLOBAL_INT && var->intl.set != node) \\
\text{ | | var->type == GLOBAL_EXT)} 
\{
\text{ | c_error("invalid assignment to 'const %s'", r_symstr(var->name)); return FAILED; \\
\text{ | if(node->set.value && var->decl) } \\
\text{ return enforce_decl(node, var->decl, &node->set.value, true); return SUCCESS; \\
\end{array}}
\]
```

7.5 Ordering and Numbering

Some passes must visit the blocks in a function in specific order. To simplify their implementation, the list of blocks is kept sorted in this order. Others store temporary data about IR objects; they can use dense zero-based .id numbers to index into out-of-line arrays.

```
\langle ordering \ and \ numbering \rangle \equiv \\ \langle cblock\_number \rangle \\ \langle cfunc\_number \rangle \\ \langle cblock\_rdfo \rangle \\ \langle cfunc\_rdfo \rangle
```

These invariants are maintained by a call to cfunc_rdfo after each pass that adds, removes or rearranges blocks, nodes or functions. It sorts the block list and numbers the objects contained in function fn.

cblock_rdfo marks the block, visits its unmarked successors, and links it at the function's .cblock_head in postorder – on return from the outermost call, the list of (reachable) blocks is sorted in reverse-depth-first order.

cfunc_number counts and numbers the blocks, nodes, and child functions in fn. Block and node .ids are assigned in order; the .cfunc_head list is in no particular order.

```
\langle cfunc\_number \rangle \equiv
 static void cfunc_number(cfunction_t *fn)
 {
      cblock_t *block;
      cfunction_t *child;
      int nblocks = 0, nnodes = 0, nfuncs = 0;
      list_foreach_entry(&fn->cblock_head, block, cblock_list)
          nnodes = cblock_number(block, nnodes);
          block->id = nblocks++;
      }
      fn->nblocks = nblocks;
      fn->nnodes = nnodes;
      assert(nblocks > 0);
      list_foreach_entry(&fn->cfunc_head, child, cfunc_list)
          child->id = nfuncs++;
      fn->nfuncs = nfuncs;
 }
```

cblock_number counts and numbers the nodes in a block, also assigning the node .ids at which the latter starts and ends. If the block is empty, it has both these fields set to INT_MIN.

```
⟨cblock_number⟩≡
static int cblock_number(cblock_t *block, int nnodes)
{
    cnode_t *node;

    if(!list_isempty(&block->cnode_head))
    {
        block->start = nnodes;
        list_foreach_entry(&block->cnode_head, node, cnode_list)
            node->id = nnodes++;
        block->end = nnodes - 1;
}
else
    block->start = block->end = INT_MIN;
```

```
return nnodes;
}
```

7.6 Closures

The lexical variables free in a function are captured by its *closure*, so that they can be accessed even outside the dynamic extent of the function that bound them.

cfunc_init_closure determines the variables that a function's lexical closure must capture, and is invoked in a postorder traversal – when called on function fn, it has already run on the child functions in its .cfunc_head list.

Variables which are required by a child but free in the parent are added to its closure by add_closed_vars, and those required by nodes in the function body by add_free_vars.

cfunc_populate_closure arranges for the values of these captured variables to be copied when the closure is created at run-time (unless fn is at top level).

Each variable var required by a child is added to the function's .closure array if its value is not available (to the child's LAMBDA, in this case.)

```
⟨add_closed_vars⟩

static void add_closed_vars(cfunction_t *fn)
{
    cfunction_t *child;
    cvar_t *var;

    list_foreach_entry(&fn->cfunc_head, child, cfunc_list)
        array_foreach_entry(&child->closure, var)
        if(capturep(var, fn))
        array_push(&fn->closure, var);
}
```

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Likewise, every lexical variable var to which a REF or SET node makes reference is added to the .closure (and flagged .is_closed) if its value isn't available.

```
\langle add\_free\_vars \rangle \equiv
  static void add_free_vars(cfunction_t *fn)
  {
      cblock_t *block;
      list_foreach_entry(&fn->cblock_head, block, cblock_list)
          cnode_t *node;
          list_foreach_entry(&block->cnode_head, node, cnode_list)
              cvar_t *var;
              if(node->type == CN_REF)
                  var = node->ref.var;
               else if(node->type == CN_SET)
                  var = node->set.var;
               else
                   continue;
               if(cvar_is_global(var) || !capturep(var, fn))
                   continue;
              var->local.is_closed = true;
              array_push(&fn->closure, var);
          }
      }
  }
```

A lexical variable var has its value available in the body of a function if it's bound by the function, or if it's free but already in the function's .closure. capturep returns false in such cases.

```
⟨capturep⟩≡
static inline bool capturep(cvar_t *var, cfunction_t *fn)
{
    cvar_t *chk;

    if(var->local.binder == fn)
        return false;
    array_foreach_entry(&fn->closure, chk)
        if(chk == var)
            return false;
    return true;
}
```

When a LAMBDA node is executed it creates an rclosure_t (Section 21.4) into which it copies the values of the nodes in its .lambda.closure array. These are used, when the closure is CALLed, to satisfy accesses to the corresponding variables in the function's .closure.

Each .is_const variable in the latter has a REF node inserted just before the LAMBDA, if it doesn't have one already. This is stored at the corresponding index in .lambda.closure (and its .users kept up-to-date)

Mutable variables – i.e. !.is_const – will be dealt with later (Chapter 12), after which another call will be made to cfunc_populate_closure, hence the check for *pnode.

```
\langle cfunc\_populate\_closure \rangle \equiv
  cresult cfunc_populate_closure(cfunction_t *fn)
      cresult res = SUCCESS;
      cnode_t *def = fn->node;
      int i;
      array_resize(&def->lambda.closure, alen(&fn->closure));
      array_foreach(&fn->closure, i)
          cvar_t *var = aref(&fn->closure, i);
          cnode_t **pnode = aptr(&def->lambda.closure, i), *node;
          if(!var->is_const || *pnode)
               continue;
          node = cnode_insert_before(def, CN_REF);
          node->ref.var = var;
          cnode_add_user(def, node);
          *pnode = node;
          res |= CHANGED;
      }
      return res;
```

Miscellanea

```
⟨includes⟩≡
  #include "global.h"
  #include "ir.h"

⟨closure includes⟩≡
  #include "global.h"
  #include "ir.h"
```

Chapter 8

Dominator Tree

Several properties of the control flow graph of a function, and the basic blocks (Subsection 5.1.3) that it contains, are informed by the concept of dominance.

If the block x appears on every control-flow path from the function entry to the block y, then x dominates y. If, in addition, x = y, then x strictly dominates y. x is the immediate dominator of y if x strictly dominates y, and every other dominator of y dominates x (Lengauer and Tarjan, 1979; Cytron et al., 1991).

The dominator tree of a function is rooted at the .entry block, and every other block y has its immediate dominator as its parent in the tree (Cytron et al., 1991).

The dominance frontier of a block x is the set of all blocks y such that x dominates some predecessor of y but doesn't strictly dominate y (Cytron et al., 1991).

```
\langle ir\_dom.c \rangle \equiv
\langle includes \rangle
\langle intersect \rangle
\langle dom\_idoms \rangle
\langle add\_df \rangle
\langle dom\_collect \rangle
\langle dom\_free \rangle
\langle cfunc\_dom \rangle
```

The SSA conversion (Chapter 9) and value numbering (Chapter 14) passes rely on the dominator tree to efficiently determine the definition that reaches a use. The SSA conversion pass uses the dominance frontier to place ϕ -functions where the values from different assignments of a variable merge together.

The doms_t structure stores these sets. The element in .dfs indexed by a block's .id holds an array containing references to the blocks in its dominance frontier. The element at the same index in .children holds an array of references to its children in the dominator tree.

cfunc_dom populates the immediate dominator array idom, then derives from that the dominator tree and dominance frontier sets.

```
\langle cfunc\_dom \rangle \equiv
  doms_t *cfunc_dom(cfunction_t *fn)
      doms_t *dom;
      cblock_t **idom = dom_idoms(fn);
      dom = dom_collect(fn, idom);
      xfree(idom):
      return dom;
The doms_t returned can be deallocated with dom_free.
\langle dom\_free \rangle \equiv
  void dom_free(doms_t *dom, int nblocks)
      for(int i=0; i<nblocks; i++)</pre>
           array_fini(&dom->children[i]);
           array_fini(&dom->dfs[i]);
      xfree(dom->children);
      xfree(dom->dfs);
      xfree(dom);
  }
```

8.1 Immediate Dominators

A straightforward implementation of the algorithm described in Cooper, Harvey, and Kennedy (2011, Figure 3), dom_idoms requires that blocks in the input function fn have been numbered in postorder (a task performed by cfunc_rdfo.)

The immediate dominator of a block is its predecessor, if it has just the one; or the nearest block which dominates all of its predecessors. It's stored in the doms array, indexed by block .id. The .entry block is its own immediate dominator, to ground the intersect calls. The algorithm iterates to convergence.

```
{
    if(doms[pred->id])
    {
        if(!new_idom)
            new_idom = pred;
        else
            new_idom = intersect(doms, pred, new_idom);
    }
}
if(doms[block->id] != new_idom)
{
    doms[block->id] = new_idom;
    changed = true;
}
}
return doms;
}
```

The sequence doms[x], doms[doms[x]], ... corresponds to the path up the dominator tree from block x to the entry. intersect advances b1 and b2 until a common ancestor is found.

```
\(intersect\)\(\equiv \text{static cblock_t *intersect(cblock_t *doms[], cblock_t *b1, cblock_t *b2)}\)
\{
\( \text{while(b1 != b2)} \)
\( \text{while(b1->id > b2->id)} \)
\( \text{b1 = doms[b1->id];} \)
\( \text{while(b2->id > b1->id)} \)
\( \text{b2 = doms[b2->id];} \)
\( \text{return b1;} \)
\( \text{return b1;} \)
\( \text{return b1;} \)
\( \text{return b1;} \)
\( \text{colock_t *b1, cblock_t *b1, cblock_t *b2)} \)
\( \text{return b1;} \)
\( \text{return b1.} \)
```

8.2 Dominator Tree & Dominance Frontier

Other passes actually use the inverse of doms – for each block in the dominator tree, they require a list of the children, not the parent. These are initialised by dom_collect, which additionally computes the dominance frontier sets in the manner described by Cooper et al. (2011, Figure 5).

```
cblock_t *pred;
            array_foreach_entry(&block->pred, pred)
                cblock_t *runner = pred;
                while(runner != doms[block->id])
                    add_df(block, &dfs[runner->id]);
                    runner = doms[runner->id];
                }
            }
        }
    }
    *dom = (doms_t) {
        .dfs = dfs,
        .children = children,
    };
    return dom;
}
```

add_df will not insert block into set more than once. It could be improved with e.g. a presence bitvector if quadratic running time is to be avoided; it hasn't been a problem in practice.

```
\langle add_df \rangle =
    static void add_df(cblock_t *block, cblock_array_t *set)
    {
        cblock_t *tmp;
        array_foreach_entry(set, tmp)
            if(tmp == block)
                return;
        array_push(set, block);
    }
}
```

Miscellanea

```
\begin{tabular}{ll} $\langle includes \rangle \equiv $$ & \#include "global.h" $$ & \#include "ir.h" $$ & $\langle ir.dom.h \rangle \equiv $$ & $\langle doms.t \rangle $$ & doms_t *cfunc_dom(cfunction_t *fn); $$ & void dom_free(doms_t *dom, int nblocks); $$ & $\langle doms.t \rangle = \langle doms.t \rangle
```

Chapter 9

SSA Form Construction

A program in *static single assignment* form has exactly one assignment to each variable, and that assignment dominates all uses of the variable.

SSA form allows the representation of a program's data flow information in a more compact form, rendering analyses and optimisations simpler and more efficient (Kelsey, 1995; Briggs et al., 1998; Cytron et al., 1991).

```
\langle ir_ssa.c \rangle \equiv
      \langle includes \rangle
      \langle phi_{-}t \rangle
      \langle defsrc_{-}t \rangle
      \langle def_-t \rangle
      \langle globals \rangle
      \langle place\_phi \rangle
      \langle insert\_phis \rangle
      \langle lookup\_def \rangle
      \langle has\_var \rangle
      \langle push\_def \rangle
       \langle ssa\_convert \rangle
      \langle finalize\_phis \rangle
      \langle collect\_vars \rangle
      \langle cfunc\_ssa\_convert \rangle
      \langle ir\_ssa\_convert \rangle
```

Where different control-flow paths join, a pseudo-function called a ϕ -function introduces a definition representing the merging of values from multiple assignments (Briggs et al., 1998).

During conversion to SSA form, ϕ -functions may be inserted at the start of blocks reached by more than one assignment to a variable. Their provisional presence is recorded in a list of phi_t structures, one for each .var being converted, singly linked through the .next field. The PHI .node itself will only be added to the block if it's LIVE.

```
\langle phi_t \rangle \equiv 
typedef struct phi 
{
     cnode_t *node;
     cvar_t *var;
     enum { PENDING, DEAD, LIVE } state;
     struct phi *next;
} phi_t;
```

The source of a definition is either some NODE in the input or a provisional PHI, recorded by a defsrc_t structure.

```
defsrc_t)

typedef struct
{
    enum { PHI, NODE } type;
    union
    {
        phi_t *phi;
        cnode_t *node;
    };
} defsrc_t;
```

As conversion proceeds, and a variable's definitions encountered, def_t structures are pushed onto a stack. .src records the variable's value at its assignment in .block; .next_stack points to the stack upon which another definition in the same block was pushed.

```
def_t)

typedef struct def def_t;

typedef def_t *def_stack_t;

typedef struct def

defsrc_t src;

cblock_t *block;

def_stack_t *next_stack;

def_t *next;

def_t;
```

Each element of the defs array is a pointer to the top definition on the stack for the variable with corresponding .id. In a similar manner, each element of phis, indexed by block .id, points to the head of the list of phi_t records provisionally inserted in that block. vars contains the variables being considered for conversion.

```
⟨globals⟩≡
static def_stack_t *defs;
static phi_t **phis;
static cvar_array_t vars;
```

To recursively convert fn and its child functions into SSA form, the compiler will invoke ir_ssa_convert.

cfunc_ssa_convert operates on the single function fn, using the dominator tree and dominance frontier sets returned by cfunc_dom (Chapter 8). Variables eligible for conversion are recorded and numbered by collect_vars. defs and phis are allocated; one stack per variable, one list per block.

insert_phis adds provisional ϕ -functions as phi_t records to the latter lists, then ssa_convert "renames" variables via the former, with unique cnode_ts taking the place of shared rsymbol_ts.

The ϕ -functions discovered to be LIVE are linked into the IR by finalize_phis. The graph has likely been modified, so after deallocating intermediate structures, calls to cfunc_cleanup and cfunc_rdfo prune redundant objects and number any newly added PHIs.

```
\langle cfunc\_ssa\_convert \rangle \equiv
 void cfunc_ssa_convert(cfunction_t *fn)
      doms_t *dom = cfunc_dom(fn);
      collect_vars(fn, &vars);
      defs = xcalloc(vars.length, sizeof(*defs));
      phis = xcalloc(fn->nblocks, sizeof(*phis));
      insert_phis(dom, fn);
      ssa_convert(dom, fn->entry);
      finalize_phis(fn);
      xfree(phis);
      xfree(defs);
      array_fini(&vars);
      dom_free(dom, fn->nblocks);
      cfunc_cleanup(fn);
      assert(!cfunc_crit_edges(fn));
      cfunc_rdfo(fn);
 }
```

Since the values flowing between <code>cnode_ts</code> never leave the body of a single <code>cfunction_t</code>, only the lexical variables bound by the given <code>fn</code> undergo SSA conversion. Another call to <code>cfunc_ssa_convert</code> will be made by a later pass to convert variables which require cells, after they've been introduced; they're ignored for now.

```
⟨collect_vars⟩≡
static void collect_vars(cfunction_t *fn, cvar_array_t *vars)
{
   int nvars = 0;
   cvar_t *var;

   array_init(vars, 0);
   list_foreach_entry(&fn->cvar_head, var, cvar_list)
   {
      if(cvar_is_global(var) || cvar_is_celled(var))
            continue;
      var->id = nvars++;
      array_push(vars, var);
   }
}
```

For all and only the variables undergoing conversion, has_vars returns true.

```
\langle has_var\rangle =
    static inline bool has_var(cvar_t *var)
{
        cvar_t *v;
        array_foreach_entry(&vars, v)
            if(v == var)
            return true;
        return false;
}
```

9.1 ϕ -function Placement

insert_phis implements the algorithm given in Cytron et al. (1991, Figure 11). Each variable var requires ϕ -functions placed in the iterated dominance frontier of the blocks in which its assignments are found. To avoid clearing the added and processed flag arrays between variables, a clock tick is used, as in Subsection 7.2.2.

```
\langle insert\_phis \rangle \equiv
  static void insert_phis(doms_t *dom, cfunction_t *fn)
      ARRAY(cblock_t *) work = ARRAY_INIT;
      unsigned *added = xcalloc(fn->nblocks, sizeof(unsigned));
      unsigned *processed = xcalloc(fn->nblocks, sizeof(unsigned));
      unsigned tick = 0;
      cblock_t *block, *wkblk;
      cnode_t *node;
      cvar_t *var;
      array_foreach_entry(&vars, var)
           tick++;
           \langle place \ phis \rangle
      }
      xfree(added);
      xfree(processed);
      array_fini(&work);
```

The worklist work is initialised with each block containing at least one SET or BIND node assigning to var. The processed flag is set on each such block.

```
}
```

Until the workqueue is empty, a block wkblk is taken. For every block in its dominance frontier, a phi is created and added to the phis array, if one hasn't been already.

The value resulting from this merge is interpreted as being assigned to the variable, so may itself require the placement of further ϕ -functions – if the block hasn't been processed yet, it's added to the worklist.

```
\langle place\ phis \rangle + \equiv
  while(array_take(&work, &wkblk))
  {
      array_foreach_entry(&dom->dfs[wkblk->id], block)
      {
           unsigned id = block->id;
           if(added[id] < tick)</pre>
                place_phi(block, var);
                added[id] = tick;
                if(processed[id] < tick)</pre>
                    processed[id] = tick;
                    array_push(&work, block);
                }
           }
      }
  }
```

A phi_t record for var is placed in a block by adding it to the corresponding element of the phis array. A PHI node is created and stored in the .node field, with one argument per control-flow inedge, but it's not added to the block's .cnode_head list – some of these ϕ -functions may be unnecessary in the resulting code, but we don't yet know which; its .state is PENDING.

9.2 Variable Renaming

ssa_convert implements the algorithm of Cytron et al. (1991, Figure 12) and Briggs et al. (1998, Figure 3, step 2). Instead of using an array C of counters to rename the variable at each assignment, the cnode_t yielding the value assigned becomes the unique "name", and is substituted in place of the corresponding REFs.

```
⟨ssa_convert⟩≡
static void ssa_convert(doms_t *dom, cblock_t *block)
{
    phi_t *phi;
    cnode_t *node, *tmp;
    cblock_t *nextblk;
    def_stack_t *unwind = NULL;

⟨convert block⟩
}
```

 ϕ -functions come before the other nodes in the block. Each phi_t added earlier counts as an assignment, so a defsrc_t recording the phi is pushed onto its variable's stack.

```
\(convert block)\(\equiv \text{slist_foreach(phis[block->id], phi, next)}\) \{
\( \text{defsrc_t src = { .type = PHI, .phi = phi };} \) \( \text{unwind = push_def(phi->var, src, block, unwind);} \) \}
\( \text{\text{slist_foreach(phi->var, src, block, unwind);}} \) \( \text{\text{slist_foreach(phi->var, src, block, unwind);}} \) \]
\( \text{\text{slist_foreach(phi->var, src, block, unwind);}} \) \( \text{\text{slist_foreach(phi->var, src, block, unwind);}} \) \]
\( \text{\text{slist_foreach(phis[block->id], phi, next)}} \) \( \text{\text{slist_for
```

The nodes in the block are visited in control-flow order. A SET or BIND of a variable being converted is an assignment, so a defsrc_t for the assigned value is prepared (recall that a BIND with NULL .set.value introduces a function argument, and its value is taken directly.)

The first optimisation of Briggs et al. (1998, Section 4) applies here: if there's a definition of var earlier in the block, the record on the stack can just be replaced instead of pushing a new one.

A SET or local BIND can be safely removed at this point as, by construction, no other nodes use their values.

A REF node of such a variable is reached by the definition returned by lookup_def – which replaces the node in its users. Its purpose fulfilled, the REF may now be freed.

```
\langle convert\ block \rangle + \equiv
  list_foreach_entry_safe(&block->cnode_head, node, tmp, cnode_list)
  {
      if((node->type == CN_SET || node->type == CN_BIND)
               && has_var(node->set.var))
      {
          cvar_t *var = node->set.var;
          def_t *tos = defs[var->id];
          defsrc_t src = {
               .type = NODE,
               .node = node->set.value ? node->set.value : node
          };
          if(tos && tos->block == node->block)
               tos->src = src;
          else
               unwind = push_def(var, src, block, unwind);
          if(node->set.value)
```

```
cnode_remove(node);
}
else if(node->type == CN_REF && has_var(node->ref.var))
{
     cnode_t *value = lookup_def(node->ref.var);
     assert(value);
     cnode_replace_in_users(node, value);
     cnode_free(node);
}
```

A different definition reaches a ϕ -function along each control-flow inedge. In each successor nextblk, each phi_t is given, as its argument at corresponding index i, the definition of its variable that reaches the end of the current block.

Minimal (unpruned) SSA form inserts more ϕ -functions than necessary – in particular, outside the scope of some variables, such as those declared in a loop body. The control-flow edge bypassing the loop carries no meaningful value, and no references can occur beyond it. Any phi_t using such a value is redundant, its .state set to DEAD.

```
(convert block)+=
  array_foreach_entry(&block->succ, nextblk)
{
    int i = index_of_block(&nextblk->pred, block);
    slist_foreach(phis[nextblk->id], phi, next)
    {
        cnode_t *value = lookup_def(phi->var);
        if(value)
            aset(&phi->node->phi.args, i, value);
        else
            phi->state = DEAD;
    }
}
```

The block's processing completed, we recursively proceed to its children in the dominator tree.

```
\( \convert block \rangle + \equiv \)
array_foreach_entry(&dom->children[block->id], nextblk)
ssa_convert(dom, nextblk);
```

After those are processed, the definition stacks are unwound, as per the second optimisation of Briggs et al. (1998, Section 4). Popping the stacks on the unwind list removes just those definitions that were pushed by this block.

```
\langle (convert block)+\equiv while(unwind)
{
    def_t *def = *unwind;
    slist_remove_head(*unwind, next);
    unwind = def->next_stack;
    xfree(def);
}
```

When the value of src is assigned to a variable var in a block, a new definition def is allocated, initialised, and pushed onto the corresponding stack by push_def.

unwind heads a linked list of stacks, onto which block has pushed definitions. .next_stack takes the old value, and the new value is returned.

lookup_def returns the definition at the top of the stack for variable var. If it's a provisional PHI, it's confirmed to be LIVE unless we know otherwise.

```
(lookup_def) =
    static cnode_t *lookup_def(cvar_t *var)
{
        def_t *def = defs[var->id];

        if(!def)
            return NULL;
        if(def->src.type == PHI)
        {
            phi_t *phi = def->src.phi;

            if(phi->state != DEAD)
            {
                  phi->state = LIVE;
                 return phi->node;
            }
            return NULL;
        }
        return def->src.node;
}
```

9.3 ϕ -function Realization

Conversion complete, a phi_t's record now correctly indicates its .state. Those that are LIVE have their .nodes linked into the IR (at the head of their blocks) and record themselves as using their arguments.

```
\langle finalize\_phis \rangle \equiv
 static void finalize_phis(cfunction_t *fn)
      cblock_t *block;
      phi_t *phi, *tmp;
      list_foreach_entry(&fn->cblock_head, block, cblock_list)
          slist_foreach_safe(phis[block->id], phi, tmp, next)
              if(phi->state == LIVE)
                   cnode_t *arg;
                   array_foreach_entry(&phi->node->phi.args, arg)
                       cnode_add_user(phi->node, arg);
                   list_add(&block->cnode_head, &phi->node->cnode_list);
              }
              else
                   cnode_free(phi->node);
              xfree(phi);
          }
      }
 }
```

Miscellanea

```
⟨includes⟩≡
  #include "global.h"
  #include "ir.h"
```

Chapter 10

Analysis & Optimisation

Optimising a program is the process of improving its efficiency while retaining its meaning. This subsystem performs data-flow analysis using the *sparse conditional constant* propagation algorithm described in Wegman and Zadeck (1991), which enables type recovery, constant expression evaluation, and dead code elimination (Aho et al., 2006, Section 9.3).

```
\langle opt\_sccp.c \rangle \equiv \\ \langle sccp\ includes \rangle \\ \langle context \rangle \\ \langle sccp \rangle \\ \langle optimise \rangle \\ \langle cfunc\_closure\_pre \rangle \\ \langle cfunc\_closure\_post \rangle \\ \langle ir\_optimise \rangle \\ \\ \langle opt\_trans.c \rangle \equiv \\ \langle trans\ includes \rangle \\ \langle builtins \rangle \\ \langle transfer \rangle \\ \langle transform \rangle
```

Types and constant values are discovered and propagated through each function in the input. Some builtin operations can be computed ahead of time if the values of their arguments are known. CALLs to functions of known type can match named arguments and become _FAST; CALLs to known LAMBDAs can be expanded directly inline. Nodes annotated with recovered types admit the generation of specialised code (which executes faster, without the overhead of unboxing or type-directed dispatch.) Blocks which are not reachable on any execution path are detected and removed from the program.

10.1 Entry Point

The subsystem entry point, ir_optimise, repeatedly analyses and optimises the function fn until a fixed point is reached, before moving on to its lexical children.

Before entering the loop, cfunc_closure_pre updates the types of closed variables with information from the function's .parent.

Each iteration first recovers type and constant information with cfunc_sccp, then transforms the program accordingly with cfunc_optimise, expanding invocations of known functions with inline_lambdas.

The result flags for the optimisation pass are set in ores; those for just the inlining phase in ires. If the IR was changed, it's cleaned and renumbered.

After inlining, some copied variables may no longer be captured by a lexical closure; cfunc_closure_post finds them and clears their .is_closed flags. Another call to cfunc_ssa_convert completes the integration of inlined code.

```
\langle ir\_optimise \rangle \equiv
 cresult ir_optimise(cfunction_t *fn)
      cresult res = SUCCESS, ores, ires;
      if(fn->parent)
          cfunc_closure_pre(fn);
      do
          if(fn->nnodes == 0 \mid | fn->nblocks == 0)
               break;
          opt_init(fn);
          cfunc_sccp(fn);
          ores = cfunc_optimise(fn);
          res |= ores |= ires = inline_lambdas(fn, &inlines);
          opt_fini();
          if(ores == CHANGED)
               cfunc_cleanup(fn);
               cfunc_rdfo(fn);
          }
          if(ires == CHANGED)
               cfunc_closure_post(fn);
               cfunc_ssa_convert(fn);
          }
      } while(ores == CHANGED);
      return res | cfunc_map_children(fn, ir_optimise);
 }
```

Optimisation of closed variables is complicated by their representation – after SSA conversion, other lexical variables are implicit in the value flow, whereas closed variables are still explicitly accessed through REF and SET nodes. A more uniform treatment would be desirable, perhaps via the "lambda-lifting" transformation described in Clinger and Hansen (1994).

cfunc_closure_pre assigns to each variable that .is_const in the function fn's .closure the type – recovered or declared – of the corresponding value captured by the function's LAMBDA node in its lexical parent (Section 7.6).

```
⟨cfunc_closure_pre⟩

static void cfunc_closure_pre(cfunction_t *fn)
{

    cvar_array_t *vars = &fn->closure;
    cnode_array_t *vals = &fn->node->lambda.closure;
    int i;

    array_foreach(vars, i)
    {
        cvar_t *var = aref(vars, i);
        cnode_t *val = aref(vals, i);

        if(!val || !var->is_const)
            continue;
        var->decl = val->decl;
}
```

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```
}
```

cfunc_closure_post clears the .is_closed flags of the variables bound by the function fn, then sets it for only those actually needed by its lexical child functions. Closed variables copied from inlined functions (Chapter 11) can then participate in SSA conversion (Chapter 9) while avoiding unnecessary cell introduction (Chapter 12).

```
static void cfunc_closure_post(cfunction_t *fn)
{
    cfunction_t *child;
    cvar_t *var;

    list_foreach_entry(&fn->cvar_head, var, cvar_list)
    {
        if(cvar_is_global(var))
            continue;
        var->local.is_closed = false;
    }
    list_foreach_entry(&fn->cfunc_head, child, cfunc_list)
    {
        array_foreach_entry(&child->closure, var)
            var->local.is_closed = true;
    }
}
```

10.2 Context

Each node (Subsection 5.1.4) in the program has a corresponding LatticeCell in the cells array, representing compile-time knowledge about the constant value (and type of object) that it yields during execution (Wegman and Zadeck, 1991, Subsection 2.2).

```
\langle globals \rangle \equiv cell_t *cells;
```

Each block (Subsection 5.1.3) has a corresponding ExecutableFlag in flags. When this is true, control flow from the function .entry can reach the block along an inedge from one of its predecessors.

Since critical edges are not present in the control flow graph (Section 7.3), a single flag can be unambiguously associated with each block (Wegman and Zadeck, 1991, Subsection 5.3).

```
\langle globals \rangle + \equiv bool *flags;
```

These arrays are indexed by node or block .id via helper functions.

```
\( \langle global helpers \rangle \)
\text{static inline cell_t *cell_for(cnode_t *node)} \\
\tau \text{ return &cells[node->id]; } \\
\text{static inline bool flag_for(cblock_t *block)} \\
\tau \text{ return flags[block->id]; } \end{arrangle}
\]
```

The SCCP algorithm consumes items from two worklists. val_work holds pointers to nodes which need to be (re)analysed; it corresponds to the SSAWorkList of Wegman and Zadeck (1991, Subsection 3.4). ctrl_work has pointers to blocks found executable but yet to be visited, and corresponds to FlowWorkList.

```
\langle globals\rangle +\equiv static WORKLIST(cnode_t *) val_work;
static WORKLIST(cblock_t *) ctrl_work;
```

During optimisation, call sites which are suitable candidates for inlining are accumulated in the inlines array.

```
\langle globals \rangle + \equiv cnode_array_t inlines;
```

The global arrays are freshly initialised for each iteration of the analysis-optimisation loop.

```
⟨opt_init⟩≡
  static inline void opt_init(cfunction_t *fn)
{
    cells = xcalloc(fn->nnodes, sizeof(*cells));
    flags = xcalloc(fn->nblocks, sizeof(*flags));
    array_init(&inlines, 0);
}
```

The function may change shape during cfunc_optimise, so it's not safe to reuse cells or flags.

```
⟨opt_fini⟩≡
  static inline void opt_fini()
  {
    array_fini(&inlines);
    xfree(cells);
    xfree(flags);
}
```

10.2.1 LatticeCells

The cell_t structure represents a LatticeCell. The .state field specifies its level in the lattice – BOTTOM \sqsubseteq TYPE \sqsubseteq CONST_OBJ = CONST_LAMBDA \sqsubseteq TOP.

Each cell starts at TOP, meaning that it could, in future, take on a constant value. CONST_OBJ and CONST_LAMBDA occupy the level below, with .value pointing to the constant (robject_t or cfunction_t respectively,) and .type to its rtype_t. TYPE is below these, with a known .type but no .value. At BOTTOM, neither of these properties can be guaranteed.

(the cellstate enumeration is reverse order so that calloc of the cells array initialises the .state fields to TOP)

```
\langle cell_t \rangle =
  typedef enum { TOP, CONST_OBJ, CONST_LAMBDA, TYPE, BOTTOM } cellstate;
  typedef struct
  {
    cellstate state;
    rtype_t *type;
    void *value;
  } cell_t;
```

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A LatticeCell may be initialised to TOP or BOTTOM with cell_init.

```
⟨cell initialisers⟩≡
  static inline void cell_init(cell_t *cell, cellstate state)
  { cell->state = state; }
```

A constant value is assigned by cell_set_const_at. Note that obj could have a type which differs from type, but it will always be the case that the former is a subtype of the latter.

```
\(cell initialisers\) +=
static inline void cell_set_const_at(cell_t *cell, robject_t *obj, rtype_t *type)
{
    *cell = (cell_t) {
        .state = CONST_OBJ,
        .type = type,
        .value = obj
    };
}
```

If that distinction is unnecessary, cell_set_const suffices.

```
\(cell initialisers\) +=
static inline void cell_set_const(cell_t *cell, robject_t *obj)
{ cell_set_const_at(cell, obj, r_typeof(obj)); }
```

The value of a LAMBDA node is assigned by cell_set_lambda – these are tracked separately since they exist only at compile time and are not represented by robject_ts. The .type of such a cell is determined by its fn (Subsection 5.1.1).

```
\(cell initialisers\) +=
static inline void cell_set_lambda(cell_t *cell, cfunction_t *fn)
{
    *cell = (cell_t) {
        .state = CONST_LAMBDA,
        .type = fn->cl_type,
        .value = fn
    };
}
```

If all that's known about a cell is the type of value it's seen to hold, this can be assigned by cell_set_type.

```
\(cell initialisers\) +=
static inline void cell_set_type(cell_t *cell, rtype_t *type)
{
    *cell = (cell_t) {
        .state = TYPE,
        .type = type
    };
}
```

Accessors query the state of a LatticeCell and extract its properties. cell_type returns NULL if the cell has no valid .type.

When one of the cell_const_obj and cell_const_lambda predicates is true, a call to cell_const will return the cell's constant .value.

```
\( \text{cell accessors} \rangle += \)
    static inline bool cell_const_obj(cell_t *cell)
        { return cell->state == CONST_OBJ; }
    static inline bool cell_const_lambda(cell_t *cell)
        { return cell->state == CONST_LAMBDA; }
    static inline void *cell_const(cell_t *cell)
        { return cell->value; }
}
```

10.2.2 Meet

Cells are lowered in the lattice according to the rules for □ described in Wegman and Zadeck (1991, Figure 2), extended with the TYPE state. cell_meet returns the lattice-theoretic meet of this and the other LatticeCell.

```
\langle cell\_meet \rangle \equiv static inline cell_t cell_meet(cell_t *this, cell_t *other) { \langle meet \rangle }
```

Since it's a symmetric operator, sorting the inputs reduces the cases necessary to consider.

```
\langle meet \rangle 
  if(this->state > other->state)
{
    cell_t *tmp = this;
    this = other;
    other = tmp;
}
```

When this is TOP or other is BOTTOM, the result is other.

```
\langle meet\rangle +\equiv 
if(this->state == TOP || other->state == BOTTOM)
    return *other;
```

When both this and other are either CONST_OBJ or CONST_LAMBDA, and have equal .values, their .types are compared – if those are also equal, the cells are identical; the operation is reflexive, so it doesn't matter which is returned. Otherwise, the result will have the (possibly more general) r_common_type (Section 20.5).

```
(meet)+=
  if(other->state < TYPE
    && this->state == other->state
    && this->value == other->value)
{
    if(this->type == other->type)
        return *this;
    return (cell_t) {
        .state = this->state,
        .value = this->value,
        .type = r_common_type(this->type, other->type)
    };
}
```

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In all other cases – one or more TYPE inputs, or unequal .values – the result is a TYPE at least as general (i.e. as low in the lattice) as the .types of the inputs.

```
\langle meet \rangle + \equiv
 return (cell_t) {
      .state = TYPE,
      .type = r_common_type(this->type, other->type)
 };
cell_changed will return true when this cell differs from the other.
\langle cell\_changed \rangle \equiv
  static inline bool cell_changed(cell_t *this, cell_t *other)
  {
      if(this->state != other->state)
          return true;
      bool chg = false;
      switch(this->state)
      case CONST_OBJ:
      case CONST_LAMBDA:
           chg = (this->value != other->value);
           /* fallthrough */
      case TYPE:
           return chg | (this->type != other->type);
      default:
           return false;
  }
```

10.3 Analysis

The SCCP algorithm performs data-flow analysis, proceeding as detailed in Wegman and Zadeck (1991, Subsection 3.4).

```
 \langle sccp \rangle \equiv \\ \langle add\_val\_work \rangle \\ \langle add\_ctrl\_work \rangle \\ \langle add\_cond\_work \rangle \\ \langle add\_update\_work \rangle \\ \langle set\_flag\_for \rangle \\ \langle visit\_phi \rangle \\ \langle visit\_node \rangle \\ \langle cfunc\_sccp \rangle
```

Analysis begins with the control-flow worklist containing the .entry block of the function, and will run until both worklists are empty.

```
static void cfunc_sccp(cfunction_t *fn)
{
   bool run;

   worklist_init(&ctrl_work, fn->nblocks);
   worklist_init(&val_work, fn->nnodes);
   add_ctrl_work(fn->entry);
   do
   {
     run = false;
     ⟨block work⟩
     ⟨node work⟩
   } while(run);
   worklist_fini(&ctrl_work);
   worklist_fini(&val_work);
}
```

Blocks are taken from the control-flow worklist until it's drained. If a block hasn't already been visited, its corresponding flag is set, signifying that execution can reach that point in the program. Its non-PHI nodes are added to the value-flow worklist. If the block has only one successor, execution will reach it unconditionally, so it's added to the control-flow worklist by add_ctrl_work.

Nodes are taken from the value-flow worklist so that their LatticeCells may be updated. For a PHI, this is done by visit_phi; for any other node, visit_node is only invoked if its block has been flagged as reachable along some execution path. If the cell's value changes as a result, the objects affected are added to the worklists by add_update_work.

```
(node work) =
  for(cnode_t *node; worklist_take(&val_work, &node, node->id); run = true)
{
    cell_t new, *cell = cell_for(node);

    if(node->type == CN_PHI)
        visit_phi(node, cell, &new);
    else if(flag_for(node->block))
        visit_node(fn, node, cell, &new);
    else
        continue;
    if(cell_changed(&new, cell))
    {
        *cell = new;
}
```

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```
add_update_work(node);
}
```

A node is visited by evaluating its transfer function on the LatticeCells of its operands. By default, the new value is, at BOTTOM, lacking constant or type information.

```
⟨visit_node⟩

static void visit_node(cfunction_t *fn, cnode_t *node, cell_t *cell, cell_t *new)
{
    cell_init(new, BOTTOM);
    opt_transfer(fn, node, new);
}
```

visit_phi computes the LatticeCell for the given PHI node. The new value is initialised to TOP, since cell_meet lowers its inputs. For each argument, if the corresponding predecessor block has its flag set, execution can traverse the edge between them, so the arg's LatticeCell is included in the meet.

```
\langle visit_phi \rangle \infty
\text{static void visit_phi(cnode_t *node, cell_t *cell, cell_t *new)} 
{
    int i;

    cell_init(new, TOP);
    array_foreach(&node->phi.args, i)
    {
        if(flag_for(aref(&node->block->pred, i)))
        {
            cnode_t *arg = aref(&node->phi.args, i);

            *new = cell_meet(new, cell_for(arg));
        }
     }
}
```

10.3.1 Worklists

A node is added to the value-flow worklist by the add_val_work helper.

```
⟨add_val_work⟩≡
static inline void add_val_work(cnode_t *node)
{ worklist_push(&val_work, node, node->id); }
```

This is also invoked on each PHI in a block when the latter is added to the control-flow worklist by the add_ctrl_work helper.

```
\langle add_ctrl_work\rangle \infty
    static inline void add_ctrl_work(cblock_t *dest)
    {
        cnode_t *node;

        list_foreach_entry(&dest->cnode_head, node, cnode_list)
            if(node->type == CN_PHI)
                  add_val_work(node);
        else
                  break;
        worklist_push(&ctrl_work, dest, dest->id);
}
```

add_update_work is called when a node's LatticeCell is updated. Each .user of its value is added to the value-flow worklist; add_cond_work is invoked instead if the node is the conditional which controls an IF.

In this case, only one successor of the IF's block may need adding to the control-flow worklist – tb if the conditional has the constant boolean value true, fb if it's false. Otherwise its execution isn't statically predictable, so both successors are added.

```
\langle add\_cond\_work \rangle \equiv
 static void add_cond_work(cnode_t *node, cnode_t *user)
  {
      cell_t *cell = cell_for(node);
      cblock_t *block = user->block;
      cblock_t *tb = aref(&block->succ, 0),
                *fb = aref(&block->succ, 1);
      if(cell_const_obj(cell)
         && r_typeof(cell_const(cell)) == r_type_boolean)
      {
          rboolean_t val = UNBOX(rboolean_t, cell_const(cell));
          if(val == true)
              add_ctrl_work(tb);
              return:
          }
          else if(val == false)
              add_ctrl_work(fb);
              return;
          }
      add_ctrl_work(tb);
      add_ctrl_work(fb);
 }
```

The set_flag_for helper unconditionally sets the corresponding flag for the given block to true and returns the previous value.

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10.3.2 Transfer Function

The semantics of the language with respect to the constant and type properties of LatticeCells are given by the *transfer function*.

```
 \langle transfer \rangle \equiv \\ \langle constant\_convert \rangle \\ \langle set\_const\_global \rangle \\ \langle set\_const\_value \rangle \\ \langle set\_const\_closed \rangle \\ \langle transfer\_ref \rangle \\ \langle transfer\_copy \rangle \\ \langle transfer\_call \rangle \\ \langle opt\_transfer \rangle
```

opt_transfer assigns to cell the LatticeCell representing the value yielded by the node, given the LatticeCells of its inputs.

```
\langle opt_transfer \subseteq 
void opt_transfer(cfunction_t *fn, cnode_t *node, cell_t *cell)
{
    switch(node->type)
    {
        \langle transfer switch \rangle
        default:
        break; /* NOTREACHED */
    }
}
```

The cell for a LAMBDA has the constant value of its .lambda.function.

```
⟨transfer switch⟩≡
  case CN_LAMBDA:
    cell_set_lambda(cell, node->lambda.function);
    break;
```

The cell for a CONST holds the .constant value itself. The .decl of the node can differ from r_typeof(.constant) when e.g. boxing scalars or checking references.

```
\langle transfer switch\rangle +\equiv 
case CN_CONST:
    cell_set_const_at(cell, node->constant, node->decl);
    break;
```

An argument-introducing BIND without a declared type should stay untyped (so it can be specialised later, after being inlined.)

```
\langle transfer switch\rangle +\equiv 
    case CN_BIND:
    if(!node->set.value && node->decl)
        cell_set_type(cell, node->decl);
    break;
```

The other node types are handled out-of-line.

When the target of a CALL/CALL_FAST node has a callable type (Section 21.2), the LatticeCell for the CALL is set to the type that the target returns. When the cell refers to a known builtin, the maybe_trans_builtin helper will invoke its transfer function (if it provides one.)

```
\langle transfer_call \rangle \static void transfer_call(cnode_t *node, cell_t *cell) {
    cell_t *target = cell_for(node->call.target);
    rtype_t *type = cell_type(target);

    if(!type || !rtype_is_callable(type))
        return;
    cell_set_type(cell, type->sig->ret_type);
    maybe_trans_builtin(TRANSFER, node, cell, target);
}
```

Since SSA conversion (Chapter 9) has lowered most LEXICAL variables to value flow, the REF transfer function need only concern itself with the remaining cases.

If var isn't constant, its declared type (if any) is assigned to its LatticeCell. Otherwise, if it's a GLOBAL_INT, its initialising node .intl.set provides the cell's constant value. A GLOBAL_EXT also has a constant value available, and this is extracted by set_const_global. A LEXICAL variable, at this point, must be captured by the function's LAMBDA from an enclosing lexical ancestor, and its initial value is assigned by set_const_closed to the LatticeCell.

```
⟨transfer_ref⟩≡
static void transfer_ref(cell_t *cell, cvar_t *var, cfunction_t *fn)
{
    if(!var->is_const)
    {
        if(var->decl)
            cell_set_type(cell, var->decl);
        return;
    }
    switch(var->type)
    {
        case GLOBAL_INT:
        set_const_value(cell, var->intl.set->set.value);
        return;
        case GLOBAL_EXT:
```

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```
set_const_global(cell, var->extl.global);
    return;
case LEXICAL:
    set_const_closed(cell, var, fn);
    break;
}
```

The .val union of a global can hold a scalar or an object; in the former case, it must be boxed to form a suitable constant value for the cell.

```
⟨set_const_global⟩≡
static inline void set_const_global(cell_t *cell, rglobal_t *global)
{
    rtype_t *type = global->decl;
    robject_t *obj = rtype_is_scalar(type)
        ? c_intern(r_box(type, &global->val))
        : global->val.object;

    cell_set_const(cell, obj);
}
```

The var at index i in the function's .closure is initialised, via capture, with the value of the node at the corresponding index in the LAMBDA's .closure, so this node also provides the value of the cell.

```
⟨set_const_closed⟩≡

static inline void set_const_closed(cell_t *cell, cvar_t *var, cfunction_t *fn)
{
   int i = index_of_var(&fn->closure, var);
   set_const_value(cell, aref(&fn->node->lambda.closure, i));
}
```

If the node that yields the initial value for a variable is outside the function being analysed, cell_for can't be used and set_const_value must fall back to direct examination. CONST and LAMBDA provide appropriate constant values, but anything else (conservatively) gives just a type.

```
static inline void set_const_value(cell_t *cell, cnode_t *node)
{
    switch(node->type)
    {
        case CN_CONST:
            cell_set_const_at(cell, node->constant, node->decl);
            break;
        case CN_LAMBDA:
            cell_set_lambda(cell, node->lambda.function);
            break;
        default:
            cell_set_type(cell, decl_type(node->decl));
            break;
    }
}
```

The transfer function for a COPY node models the semantics of type conversion.

If the source node is known to have a constant value, and it can be converted to the requested type, the cell can be assigned the converted value.

```
\langle copy object \rangle =
  robject_t *val = cell_const(scell);
  bool valid;

val = constant_convert(val, type, &valid);
  if(valid)
  {
     cell_set_const(cell, val);
     return;
}
```

If the source is known to yield a LAMBDA, and its signature is compatible with the requested type, the cell can be assigned its value.

```
⟨copy lambda⟩≡
  cfunction_t *fn = cell_const(scell);

if(r_subtypep(fn->cl_type, type))
{
    cell_set_lambda(cell, fn);
    return;
}
```

In case the type styp of the source is a subtype of the type requested, the cell can be assigned the former, as the more precise information can improve optimisation. Otherwise it's assigned the latter; if incompatible, the error will be noted during a later pass.

```
\langle copy type \rangle \square if(styp && r_subtypep(styp, type))
            cell_set_type(cell, styp);
else
            cell_set_type(cell, type);
```

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constant_convert takes a source value sval and a destination type dtype, returning either the converted object with pvalid true, or NULL with pvalid false.

```
\langle constant\_convert \rangle \equiv
  robject_t *constant_convert(robject_t *sval, rtype_t *dtype, bool *pvalid)
       rtype_t *stype = r_typeof(sval);
       *pvalid = false;
       \langle identity \rangle
       if(rtype_is_scalar(dtype))
       {
            \langle to \ scalar \rangle
       }
       else if(rtype_is_scalar(stype))
       {
            \langle from\ scalar \rangle
       }
       else if(r_subtypep(stype, dtype))
            \langle widening \rangle
       }
       return NULL;
  }
Conversion between the same type is a no-op.
\langle identity \rangle \equiv
  if(stype == dtype)
  {
       *pvalid = true;
       return sval;
If the value is a scalar, it can be converted to any other scalar type.
\langle to \ scalar \rangle \equiv
  if(rtype_is_scalar(stype))
       rvalue_union_t val;
       *pvalid = true;
       scalar_convert(&val, sval, dtype, stype);
       return c_intern(r_box(dtype, &val));
  }
```

Converting a scalar value to an object requests that it be boxed by the VM, but constants in the compiler are boxed already, so this is also a no-op.

```
\langle from \ scalar \rangle \equiv
  if(dtype == r_type_object)
  {
        *pvalid = true;
        return sval;
```

A value of reference type is also a value of one of its supertypes.

```
\langle widening \rangle {\equiv}
   *pvalid = true;
   return sval;
```

10.4 Optimisation

Given the LatticeCells and ExecutableFlags discovered by analysis, the program is optimised by transforming its nodes and blocks into a more efficient configuration while maintaining the equivalence of its output.

```
\langle optimise \rangle \equiv
     \langle prune\_block \rangle
     ⟨prune_dead_blocks⟩
    \langle cfunc\_optimise \rangle
\langle transform \rangle \equiv
     \langle call\_become\_builtin \rangle
     \langle call\_become\_copy \rangle
     \langle node\_become\_constant \rangle
     \langle transform\_call \rangle
     \langle transform\_phi \rangle
     \langle transform\_if \rangle
     \langle transform\_set \rangle
     \langle shuffle\_phi \rangle
     \langle fold\_constant \rangle
     \langle update\_decl \rangle
     \langle opt\_transform \rangle
```

Each block in the function is visited by cfunc_optimise. Those without a flag set can't be reached by any path of execution through the program, and may be pruned from the control-flow graph.

The nodes in each executable block may be modified by opt_transform, up to and including relocation or removal, so the list_traversal must be _safe.

```
\langle cfunc\_optimise \rangle \equiv
  static cresult cfunc_optimise(cfunction_t *fn)
      cblock_array_t pruned;
      cresult res = SUCCESS;
      cblock_t *block;
      array_init(&pruned, 0);
      list_foreach_entry(&fn->cblock_head, block, cblock_list)
          cnode_t *node, *tmp;
          if(!flag_for(block))
              array_push(&pruned, block);
               continue;
          list_foreach_entry_safe(&block->cnode_head, node, tmp, cnode_list)
              res |= opt_transform(fn, node, cell_for(node));
      }
      res |= prune_dead_blocks(&pruned);
      array_fini(&pruned);
      return res;
  }
```

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The blocks found to be unreachable are removed by prune_dead_blocks. prune_block is invoked on each; it unconditionally examines the .ids of predecessors and successors, so we must defer the calls to cblock_free.

```
(prune_dead_blocks) \( \)
    static cresult prune_dead_blocks(cblock_array_t *arr)
    {
        cblock_t *block;

        if(!opt.opt_dce || alen(arr) == 0)
            return SUCCESS;
        array_foreach_entry(arr, block)
            prune_block(block);
        array_foreach_entry(arr, block)
            cblock_free(block);
        return CHANGED;
    }
}
```

Pruning the unreachable block involves breaking the links between itself and each of its reachable predecessors and successors (since unreachable ones will also be removed, they can be ignored here.) All its nodes must cease using any others, as cblock_free calls cnode_free, not cnode_remove.

```
static void prune_block(cblock_t *block)
{
    cblock_t *other;
    cnode_t *node;

    array_foreach_entry(&block->pred, other)
        if(flag_for(other))
            remove_link(&other->succ, block);
    array_foreach_entry(&block->succ, other)
        if(flag_for(other))
            remove_link(&other->pred, block);
    list_foreach_entry(&block->cnode_head, node, cnode_list)
            cnode_unuse_all(node);
}
```

10.4.1 Transformation

By analogy with opt_transfer, the transformation function opt_transform modifies the node in light of the (potentially new) information given by the cell. If anything is CHANGED as a result, the outer analysis-optimisation loop will run again on the updated IR.

REF, COPY and BUILTIN nodes have no specific transformations but, since they yield values, they may become CONSTs via fold_constant.

```
\langle transform switch \rangle \square
    case CN_REF:
    case CN_COPY:
    case CN_BUILTIN:
        if(fold_constant(node, cell))
            res |= CHANGED;
        break;
```

CALL/CALL_FAST nodes may also be folded as constants but, if that isn't possible, may undergo further transformation via transform_call.

```
\langle transform switch\rangle +=
  case CN_CALL:
  case CN_CALL_FAST:
    if(fold_constant(node, cell))
       res |= CHANGED;
  else
      res |= transform_call(node, cell);
    break:
```

In a similar manner, PHI nodes may be folded, or transformed by transform_phi.

```
\langle transform switch\rangle +\equiv 
    case CN_PHI:
    if(fold_constant(node, cell))
        res |= CHANGED;
    else
        res |= transform_phi(node);
    break;
```

SET and IF nodes don't yield values, but have transformation functions of their own.

```
\langle transform switch\rangle +\equiv 
  case CN_SET:
    res |= transform_set(node, cell);
    break;
case CN_IF:
    res |= transform_if(node);
    break:
```

If the analysis has computed a different type for the node (assuming it yields a value,) its .decl field is updated from its corresponding cell, and the appropriate result flag raised.

```
⟨update_decl⟩≡
static cresult update_decl(cnode_t *node, cell_t *cell)
{
    if(cnode_yields_value(node))
    {
        rtype_t *type = cell_type(cell);

        if(type && node->decl != type)
        {
            node->decl = type;
            return CHANGED;
        }
    }
    return SUCCESS;
}
```

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When the target of a CALL or CALL_FAST node is known to be a LAMBDA, the node is added to the set of potential inlines. When it's known to be a builtin, maybe_trans_builtin will invoke the builtin's transformation function, returning the result flags.

```
\langle transform_call\rangle \infty
    static cresult transform_call(cnode_t *node, cell_t *cell)
{
        cell_t *target = cell_for(node->call.target);

        if(opt.opt_inline && cell_const_lambda(target))
        {
            array_push(&inlines, node);
            return SUCCESS;
        }
        return maybe_trans_builtin(TRANSFORM, node, cell, target);
    }
}
```

A PHI node's arguments correspond to inedges from predecessors of the block in which it resides. If any of the latter will be removed by prune_dead_blocks, the former must be as well. The node unregisters itself from using them; the others are copied to the scratch buffer buf.

Should this leave the node with only one argument, it's redundant – the argument takes the place of the PHI, which can then be cnode_removed.

Otherwise, if the number of arguments has changed, the node's .phi.args array is emptied and refilled with the non-NULL elements from the scratch buffer.

```
\langle transform\_phi \rangle \equiv
  static cresult transform_phi(cnode_t *node)
  {
      cblock_t *block = node->block;
      cnode_t *buf[alen(&block->pred)];
      bool purge = true;
      int i, j = 0;
      if(!opt.opt_dce)
          return SUCCESS;
      array_foreach(&block->pred, i)
      ₹
          cblock_t *pred = aref(&block->pred, i);
          cnode_t *arg = aref(&node->phi.args, i);
          if(flag_for(pred))
               if(j > 0 \&\& arg != buf[j-1])
                   purge = false;
               buf[j++] = arg;
          }
          else
               cnode_remove_user(node, arg);
      }
      if(purge)
          cnode_replace_in_users(node, buf[0]);
          cnode_remove(node);
          return CHANGED;
      else if(j == alen(&block->pred))
          return SUCCESS;
```

```
array_clear(&node->phi.args);
for(i=0; i<j; i++)
    array_push(&node->phi.args, buf[i]);
return CHANGED;
}
```

When one or both successors of an IF node's block are going to be removed, the node is redundant and may be removed also.

```
\langle transform_if \rangle \static cresult transform_if(cnode_t *node)
{
    cblock_t *block = node->block;
    cblock_t *succ;

    if(!opt.opt_dce)
        return SUCCESS;
    array_foreach_entry(&block->succ, succ)
    {
        if(!flag_for(succ))
        {
            cnode_remove(node);
            return CHANGED;
        }
    }
    return SUCCESS;
}
```

As a convenience, a constant GLOBAL_INT variable takes on the recovered type of the value assigned by its initialising SET node.

```
\langle transform_set\rangle \infty
    static cresult transform_set(cnode_t *node, cell_t *cell) {
        cvar_t *var = node->set.var;

        if(var->type == GLOBAL_INT && var->is_const)
        {
            cell_t *value = cell_for(node->set.value);
            rtype_t *type = cell_type(value);

            if(type && var->decl != type)
            {
                 var->decl = type;
                  return CHANGED;
            }
        }
        return SUCCESS;
}
```

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If its corresponding cell is determined to have constant value, fold_constant transforms the node into a CONST.

```
\langle fold_constant \rangle \square static bool fold_constant(cnode_t *node, cell_t *cell) {
    if(opt.opt_constfold && cell_const_obj(cell)) {
        robject_t *val = cell_const(cell);

        if(node->type == CN_PHI)
            shuffle_phi(node);
        node_become_constant(node, val);
        return true;
    }
    return false;
}
```

Since PHI nodes appear before the others in a block, the node being folded is shuffled forward, beyond its former fellows (it'll be encountered again during the list-order traversal in cfunc_optimise, but this has no effect since CONSTs are ignored by opt_transform.)

```
static inline void shuffle_phi(cnode_t *node)
{
    list_t *link;

    for(link = node->cnode_list.next;
        link != &node->block->cnode_head;
        link = link->next)
    {
        cnode_t *next = list_entry(link, node, cnode_list);

        if(next->type != CN_PHI)
            break;
    }
    list_remove(&node->cnode_list);
    list_add_before(link, &node->cnode_list);
}
```

node_become_constant invokes cnode_reset before node takes on its new guise as a CONST with the given value. As noted, its type may be different to the r_typeof the latter.

```
\langle node_become_constant \rangle =
  void node_become_constant(cnode_t *node, robject_t *val)
{
    rtype_t *type = node->decl;

    cnode_reset(node);
    node->type = CN_CONST;
    node->decl = type;
    node->constant = val;
}
```

10.5 Builtins

Builtin functions may supply optional callbacks which the compiler will invoke during analysis and optimisation to enact their unique semantics.

```
\langle builtins \rangle \equiv
\langle builtin\ predicates \rangle
\langle trans\_builtin \rangle
\langle maybe\_trans\_builtin \rangle
```

These are specified by the builtin_ops_t structure referenced by the cbuiltin_t associated with the function (Section 21.6).

The .trans_fn callback is invoked first as a TRANSFER function to provide a value for the cell; then to TRANSFORM the node from a CALL to a BUILTIN if possible. The optional .enforce_fn will be called during postpass (Chapter 13) to convert the node's inputs to an appropriate type; .generate_fn is responsible for emitting the appropriate bytecode instruction during code generation (Section 15.5).

.is_pure and .is_void are wrapped by predicates, since builtin_ops_t is private to this subsystem.

builtin_is_void when the builtin returns no meaningful value; in this case it won't require a location to be allocated for its result.

builtin_is_pure if the call to builtin bi at node, given may_alias, can be considered to be functionally pure (Subsection 5.2.4, cnode_is_pure).

trans_builtin is responsible for invoking the .trans_fn callback when the builtin bi provides one. It's passed the CALL or BUILTIN node, its cell, and the array args containing its arguments.

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The maybe_trans_builtin function calls trans_builtin when the node is a CALL of a constant builtin target. Since this examines the .call.args array given by the user, it isn't applicable to CALL_FAST nodes.

```
\langle maybe\_trans\_builtin \rangle \equiv
 static cresult maybe_trans_builtin(opt_phase phase, cnode_t *node,
                                      cell_t *cell, cell_t *target)
      if(opt.opt_builtin && cell_const_obj(target)
         && node->type == CN_CALL)
          robject_t *obj = cell_const(target);
          if(rtype_is_callable(r_typeof(obj)))
          {
              rcallable_t *cl = (rcallable_t *)obj;
              if(rcall_is_builtin(cl))
              {
                   rbuiltin_t *rbi = (rbuiltin_t *)obj;
                   return trans_builtin(phase, node, cell, rbi->cbi,
                                         &node->call.args);
              }
          }
      }
      return SUCCESS;
 }
```

During the TRANSFORM phase, .trans_fn may decide the functionality of the node in question is best implemented by a bytecode instruction. call_become_builtin will be invoked to change the node's .type and initialise the .builtin.bi and .builtin.optype fields (the array of args is taken before cnode_reset to avoid needing to undo the effects of its call to cnode_unuse_all).

```
\( \text{call_become_builtin} \) \( \text{void call_become_builtin(cnode_t *node, const cbuiltin_t *bi,} \)
\( \text{rtype_t *optype, rtype_t *type} \) \{ \( \text{cnode_array_t args = node->call.args;} \)
\( \text{node->call.args = (cnode_array_t) ARRAY_INIT;} \)
\( \text{cnode_reset(node);} \)
\( \text{node->type = CN_BUILTIN;} \)
\( \text{node->decl = type;} \)
\( \text{node->builtin.bi = bi;} \)
\( \text{node->builtin.args = args;} \)
\( \text{node->builtin.optype = optype;} \)
\( \text{} \)
\( \text{} \)
\( \text{ode->builtin.optype = optype;} \)
\( \text{} \)
\( \text{rtype_t * optype;} \)
\( \text{} \)
\( \text{rtype_t * optype;} \)
\( \text{rtype_t * optype_t * optype;} \)
\( \text{rtype_t * optype_t * optype_t
```

10.5.1 Argument Helpers

arg_type returns the recovered type of the argument with index n in the args array. Omitted arguments have the nil type, which may be inappropriate; caveat user.

```
⟨arg_type⟩≡
  static inline rtype_t *arg_type(cnode_array_t *args, int n)
  {
     cnode_t *arg = aref(args, n);
     return arg ? cell_type(cell_for(arg)) : r_type_nil;
}
```

check_arg_names returns true when a CALL node does not have any named arguments.

```
\langle check\_arg\_names \rangle \equiv
  static inline bool check_arg_names(cnode_t *node)
      if(node->type == CN_CALL && alen(&node->call.names) > 0)
           return false;
      return true;
  }
check_args returns true when there are no missing arguments and, if the node is a
CALL, no argument names are present.
\langle check\_args \rangle \equiv
  static inline bool check_args(cnode_t *node, cnode_array_t *args)
  {
      cnode_t *arg;
      array_foreach_entry(args, arg)
           if(!arg)
               return false;
      return check_arg_names(node);
  }
check_nargs additionally ensures that the call has the specified arity.
\langle check\_nargs \rangle \equiv
  static inline bool check_nargs(int arity, cnode_t *node, cnode_array_t *args)
  {
      if(alen(args) != arity)
          return false;
      return check_args(node, args);
```

10.6 Miscellanea

```
\langle opt.h \rangle \equiv
  \langle cell_{-}t \rangle
  ⟨cell accessors⟩
  ⟨cell initialisers⟩
  extern cell_t *cells;
  extern bool *flags;
  \langle global\ helpers \rangle
  extern cnode_array_t inlines;
  \langle builtin\_ops\_t \rangle
  // for arith/opt.c
  void call_become_builtin(cnode_t *node, const cbuiltin_t *bi,
                              rtype_t *optype, rtype_t *type);
  void call_become_copy(cnode_t *node, rtype_t *type);
  // for opt_post.c
  robject_t *constant_convert(robject_t *sval, rtype_t *dtype, bool *pvalid);
  void node_become_constant(cnode_t *node, robject_t *val);
  // for opt_sccp.c (entry points)
  void opt_transfer(cfunction_t *fn, cnode_t *node, cell_t *cell);
  cresult opt_transform(cfunction_t *fn, cnode_t *node, cell_t *cell);
  // opt_post.c
  cresult call_normalise(cnode_t *node, funsig_t *sig);
  // opt_inline.c
  cresult inline_lambdas(cfunction_t *outer, cnode_array_t *nodes);
  \langle arg\_type \rangle
```

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Chapter 11

Inline Expansion

If a call site is known to refer to another function in the program being compiled, it's possible to *inline* a copy of the called function's body into the caller at that location. This transformation is also known as "procedure integration" or β -expansion.

The inlining process commingles the contexts of the call site and called function (Wegman and Zadeck, 1991, Section 6) which can allow further optimisation – in particular, the specialisation of functions with generic behaviour.

```
\langle opt\_inline.c \rangle \equiv \\ \langle includes \rangle \\ \langle ir copy \rangle \\ \langle ir fixup \rangle \\ \langle inline \ expansion \rangle \\ \langle heuristics \rangle \\ \langle can\_inline \rangle \\ \langle inline\_lambdas \rangle
```

11.1 Entry Point

The inline_lambdas function is called from the analysis-optimisation loop (Section 10.1) with an array of nodes. These contain the call sites within the caller function known to invoke LAMBDAs whose IR objects are available to the compiler.

Each such CALL node is considered; the callee extracted from its .target's Lattice-Cell (Subsection 10.2.1). If can_inline allows it, call_normalise statically matches the arguments (Section 13.4), and should_inline confirms it, the body of the called function is copied and spliced into the caller by expand_inline.

```
&& should_inline(node, caller, callee))
    res |= expand_inline(node, caller, callee);
}
return res;
}
```

Certain conditions prevent the inlining of the callee function in its caller, and are detected by can_inline.

- Functions are not inlined into themselves to avoid excessive expansion.
- Functions are not inlined into the top-level function.
- Functions with closed variables are only inlined into their lexical parent.

These are all overly conservative, and should be relaxed with appropriate implementation changes.

```
⟨can_inline⟩≡
static bool can_inline(cnode_t *site, cfunction_t *caller, cfunction_t *callee)
{
    if(caller == callee)
        return false;
    if(!caller->parent)
        return false;
    if(!cfunc_has_closure(callee))
        return true;
    if(callee->parent == caller)
        return true;
    return false;
}
```

11.2 Heuristics

```
\langle heuristics \rangle \equiv
\langle args\_spec \rangle
\langle args\_const \rangle
\langle score\_function \rangle
\langle should\_inline \rangle
```

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A very simple-minded, aggressive heuristic is implemented by the should_inline function. The caller may have no more than MAX_NODES added through inlining. If the callee is only called once, at the call site, it will be inlined; likewise if it's "small enough" (less than MIN_NODES in size, with no child functions of its own). Otherwise, inlining occurs if score_function returns a value below the CUTOFF.

Each inline expansion decision makes a tradeoff between code size and (ideally) execution time. Needless to say, the effects of the heuristic parameters on various representative codes are significant, and require further investigation.

```
\( \should_inline \right) \infty
\( \delta \text{define MAX_NODES 256} \)
\( \delta \text{define MIN_NODES 8} \)
\( \delta \text{define CUTOFF 32} \)
\( \text{static bool should_inline(cnode_t *site, cfunction_t *caller, cfunction_t *callee)} \)
\( \text{if(caller->ninlined + callee->nnodes > MAX_NODES)} \)
\( \text{return false;} \)
\( \text{if(cnode_only_used_by(callee->node, site))} \)
\( \text{return true;} \)
\( \text{if(callee->nfuncs == 0 && callee->nnodes < MIN_NODES)} \)
\( \text{return true;} \)
\( \text{return score_function(site, callee) < CUTOFF;} \)
\( \text{}
\)
\( \text{return score_function(site, callee) < CUTOFF;} \)
\( \text{}
\)
\( \text{return score_function(site, callee) < CUTOFF;} \)
\( \text{}
\)
\( \text{return score_function(site, callee) < CUTOFF;} \)
\( \text{}
\)
\( \text{return score_function(site, callee) < CUTOFF;} \)
\( \text{}
\)
\( \text{return score_function(site, callee) < CUTOFF;} \)
\( \text{}
\)
\( \text{return score_function(site, callee) < CUTOFF;} \)
\( \text{return score_functio
```

score_function computes a weighted sum of the number of nodes and child functions in the callee, reduced proportionally by a factor representing the degree to which the actual arguments at the call site are specialised or constant.

```
\( \score_function \) \( \sigma\)
\( \delta\) define \( \text{WEIGHT_FUNC 8} \)
\( \delta\) define \( \text{WEIGHT_NODE 1} \)
\( \delta\) define \( \text{WEIGHT_SPEC 0.5} \)
\( \delta\) define \( \text{WEIGHT_CONST 0.25} \)
\( \text{static float score_function(cnode_t *site, cfunction_t *fn)} \)
\( \{ \text{float factor, score;} \)
\( \text{score} = \text{fn->nfuncs * WEIGHT_FUNC + fn->nnodes * WEIGHT_NODE; \)
\( \text{factor} = (\text{args_spec(\delta\)site->call.args, fn->cl_type->sig) * WEIGHT_SPEC + \)
\( \text{args_const(\delta\)site->call.args) * WEIGHT_CONST); \)
\( \text{score} -= \text{score * factor; } \)
\( \text{return score;} \)
\( \}
\)
\( \left( \text{score} -= \text{score} * \text{factor; } \)
\( \text{return score;} \)
\( \left( \text{score} -= \text{score} * \text{factor; } \)
\( \text{return score;} \)
\( \left( \text{score} -= \text{score} * \text{factor; } \)
\( \text{return score;} \)
\( \left( \text{score} -= \text{score} * \text{factor; } \)
\( \text{return score;} \)
\( \text{score} -= \text{score} * \text{factor; } \)
\( \text{return score;} \)
\( \text{score} -= \text{score} * \text{factor; } \)
\( \text{return score;} \)
\( \text{score} -= \text{score} * \text{factor; } \)
\( \text{return score;} \)
\( \text{score} -= \text{score} * \text{factor; } \)
\( \text{return score;} \)
\( \text{score} -= \text{score} * \text{factor; } \)
\( \text{return score;} \)
\( \text{score} -= \text{score} * \text{factor; } \)
\( \text{return score;} \)
\( \text{score} -= \text{score} * \text{factor; } \)
\( \text{return score;} \)
\( \text{score} + \text{score} * \)
\( \text{score} + \text{score} * \text{score} *
```

args_spec returns the proportion of the args which are more specialised than (i.e. are strict subtypes of) the declared types in the function signature sig.

```
\langle args\_spec \rangle \equiv
  static float args_spec(cnode_array_t *args, funsig_t *sig)
      float factor = 0;
      int i, n = alen(args) > 0 ? alen(args) : 1;
      assert(alen(args) == sig->nargs);
      array_foreach(args, i)
          cnode_t *arg = aref(args, i);
          rtype_t *atyp = decl_type(arg ? arg->decl : NULL);
          rtype_t *ftyp = sig->args[i].type;
          if(atyp != ftyp && r_subtypep(atyp, ftyp))
               factor += 1;
      }
      return factor / n;
args_const returns the proportion of the args which are constant values (i.e. supplied
by CONST nodes.)
\langle args\_const \rangle \equiv
  static float args_const(cnode_array_t *args)
      float factor = 0;
      int i, n = alen(args) > 0 ? alen(args) : 1;
      array_foreach(args, i)
          cnode_t *arg = aref(args, i);
          if(arg && arg->type == CN_CONST)
              factor += 1;
      }
      return factor / n;
  }
```

11.3 Inline Expansion

```
\langle inline\ expansion \rangle \equiv \\ \langle nil\_actual \rangle \\ \langle enforce\_inline\_args \rangle \\ \langle bind\_closed\_values \rangle \\ \langle bind\_args \rangle \\ \langle link\_exits \rangle \\ \langle expand\_inline \rangle
```

expand_inline is responsible for completing the integration of the callee into the body of the caller. The IR objects of the former are duplicated and added to the latter via copy_into; a pointer is retained to the entry block of the copied function. Its RETURN nodes are collected in the exits array.

The actual arguments of a CALL node can have type conversions added during post-pass (Section 13.2); this one is being elided, so enforce_inline_args is called instead.

The block in the caller containing the call site is split into two halves, above and below. Value flow is connected first: if the callee has closed variables, their values are gathered above the split by bind_closed_values. Formal arguments in the copied entry block are bound to their actual values by bind_args.

Next is control flow: the block above the call site is linked to the entry. The collected exits are connected below it by link_exits, which returns the value yielded by the inlined function. The replacement and removal of the call site completes the inlining process.

```
\langle expand\_inline \rangle \equiv
 static cresult expand_inline(cnode_t *site, cfunction_t *caller,
                                cfunction_t *callee)
      cresult res = SUCCESS;
      cnode_array_t exits = ARRAY_INIT;
      cblock_t *entry, *above, *below;
      cnode_t *value;
      array_init(&exits, 1);
      entry = copy_into(caller, callee, NULL, &exits);
      caller->ninlined += callee->nnodes;
      res |= enforce_inline_args(site, callee);
      below = site->block;
      above = split_block(caller, site);
      if(cfunc_has_closure(callee))
          bind_closed_values(above, caller, callee);
      bind_args(site, entry, caller, callee);
      link_blocks(entry, above);
      res |= link_exits(below, callee, &exits, &value);
      cnode_replace_in_users(site, value);
      cnode_remove(site);
      return res | CHANGED;
 }
```

The type conversions available to enforce_inline_args are more lenient than those required at a CALL or CALL_FAST node – now unconstrained by the calling convention (Chapter 22), enforce_decl need not box scalar actual arguments when their corresponding formal argument lacks a declared type (Subsection 5.2.7).

A NULL in the args array signifies an omitted actual argument. Its value is provided explicitly by nil_actual.

```
cnode_t **ptr = aptr(args, i);
  rtype_t *adecl = sig->args[i].type;

if(!*ptr)
     *ptr = nil_actual(site, adecl);
  else
     res |= enforce_decl(site, adecl, ptr, false);
}
assert(cnode_compat(site, sig->ret_type) == YES);
  return res;
}
```

During a call, an omitted argument will be given an appropriate zero value by the VM's calling mechanism. In IR, this can be given by a CONST node yielding the nil_init value of appropriate type adecl (the call site becomes a user of the new node).

```
(nil_actual) =
   static inline cnode_t *nil_actual(cnode_t *site, rtype_t *adecl)
{
      cnode_t *node = cnode_insert_before(site, CN_CONST);
      node->constant = c_intern(nil_init(adecl));
      node->decl = adecl;
      cnode_add_user(site, node);
      return node;
}
```

A reference to a closed variable (Section 7.6) reads its value from the function's captured environment. After the callee is inlined, this may no longer be available. The bind_closed_values function supplies such references with the values captured by the LAMBDA node

For each constant var not bound by a lexical ancestor, a BIND node is created in the preceding block, assigning to the variable the corresponding val from the .lambda.closure array.

Other closed variables are either available in the caller's environment (if bound by an ancestor) or don't require treatment here (if not constant).

```
\langle bind\_closed\_values \rangle \equiv
 static void bind_closed_values(cblock_t *block, cfunction_t *caller,
                                   cfunction_t *callee)
 {
      cvar_array_t *vars = &callee->closure;
      cnode_array_t *vals = &callee->node->lambda.closure;
      int i;
      array_foreach(vars, i)
          cvar_t *var = aref(vars, i);
          cnode_t *val = aref(vals, i), *node;
          if(!val)
              continue:
          if(var->local.binder != caller)
              continue;
          node = cnode_append(block, CN_BIND);
          node->decl = val->decl;
          node->set.var = var;
          node->set.value = val;
          cnode_add_user(node, val);
      }
```

}

Formal arguments receive their actual values in a similar manner in bind_args. The BIND nodes in the copied entry block of the callee are examined in order.

The first will have .set.var NULL, and conveys the argbits. Having been computed and stored in the site's .call.argbits field by the prior invocation of call_normalise, a CONST node with this value can replace the BIND.

Others (with .set.values NULL) reference the formal arguments, in order. Each is assigned the corresponding actual value from the .call.args array; this value also replaces the BIND node in its users (the latter will be removed during SSA conversion).

```
\langle bind\_args \rangle \equiv
 static void bind_args(cnode_t *site, cblock_t *block, cfunction_t *caller,
                         cfunction_t *callee)
      cnode_array_t *args = &site->call.args;
      cnode_t *node;
      int nargs = 0;
      list_foreach_entry(&block->cnode_head, node, cnode_list)
          if(node->type != CN_BIND)
              continue;
          if(!node->set.var)
          {
              cnode_reset(node);
              node->type = CN_CONST;
              node->constant = c_intern(r_box(r_type_int, &site->call.argbits));
              node->decl = r_type_int;
          else if(!node->set.value)
              cnode_t *val = aref(args, nargs);
              node->set.value = val;
              cnode_add_user(node, val);
              cnode_replace_in_users(node, val);
              nargs++;
          }
      }
```

The exits array passed to link_exits contains all of the RETURN nodes in the copied callee.

Each value returned can have a type conversion added during postpass, similar to the actual arguments of a call. Since the RETURN is also being elided, enforce_decl is invoked similarly, without the necessity of boxing scalar values in the absence of declared ret_type.

The block ended by the RETURN is linked to the block following the call site; the element in exits is replaced by the returned value, and the RETURN node is removed.

If the function has more than one RETURN, a PHI node joins their values (taking ownership of exits). Otherwise the array is superfluous, and the single value is returned in *pval.

```
\langle link\_exits \rangle \equiv \\ \text{static cresult link\_exits(cblock\_t *block, cfunction\_t *callee,} \\ \text{cnode\_array\_t *exits, cnode\_t **pval)} \\ \{
```

```
rtype_t *ret_type = callee->cl_type->sig->ret_type;
    cnode_t **ptr;
   cresult res = SUCCESS;
   assert(alen(exits) > 0);
   array_foreach_ptr(exits, ptr)
        cnode_t *node = *ptr;
        assert(node->type == CN_RETURN);
        res |= enforce_decl(node, ret_type, &node->ret.value, false);
        *ptr = node->ret.value;
        link_blocks(block, node->block);
        cnode_remove(node);
    }
   if(alen(exits) > 1)
        cnode_t *arg;
        *pval = cnode_prepend(block, CN_PHI);
        (*pval)->phi.args = *exits;
        array_foreach_entry(exits, arg)
            cnode_add_user(*pval, arg);
    }
    else
    {
        *pval = aref(exits, 0);
        array_fini(exits);
   }
   return res;
}
```

11.4 IR Copy

```
 \begin{array}{l} \langle ir\ copy \rangle \equiv \\ \langle copy\_ctx \rangle \\ \langle copy\_one\_function \rangle \\ \langle copy\_functions \rangle \\ \langle copy\_one\_var \rangle \\ \langle number\_vars \rangle \\ \langle copy\_vars \rangle \\ \langle copy\_one\_block \rangle \\ \langle copy\_one\_node \rangle \\ \langle copy\_body \rangle \\ \langle copy\_into \rangle \end{array}
```

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The process of inlining involves the exact duplication of IR objects. Unfortunately, these make liberal use of pointers to each other. Fortunately, each kind of object is densely numbered and accurately counted. Originals are mapped to copies through an intermediate set of arrays indexed by object .id, stored in the copy_ctx_t structure.

Functions are copied recursively – if the function .src being copied is a descendent of the function being inlined, .parent is non-NULL and points to the context established for its enclosing function.

The remaining fields store the functions, blocks, nodes and variables copied from the function .src.

```
  typedef struct copy_ctx
{
    struct copy_ctx *parent;
    cfunction_t *src;
    cfunction_t **functions;
    cblock_t **blocks;
    cnode_t **nodes;
    cvar_t **vars;
} copy_ctx_t;
```

The copy_into function copies all IR objects contained in the function src into the function dest. Variables are copied (by copy_vars) before nested functions (by copy_functions). copy_body copies blocks and nodes, then fixup rewrites intra-IR pointers. Duplication complete, the context is emptied and the copied entry block is returned.

```
\langle copy\_into \rangle \equiv
  static void fixup(copy_ctx_t *ctx, cfunction_t *dest, cnode_array_t *exits);
  static cblock_t *copy_into(cfunction_t *dest, cfunction_t *src,
                              copy_ctx_t *parent, cnode_array_t *exits)
  {
      cblock_t *entry;
      copy_ctx_t ctx = { .src = src, .parent = parent };
      copy_vars(&ctx, dest);
      copy_functions(&ctx, dest);
      entry = copy_body(&ctx, dest);
      fixup(&ctx, dest, exits);
      if(ctx.vars)
          xfree(ctx.vars);
      if(ctx.nodes)
          xfree(ctx.nodes);
      if(ctx.blocks)
          xfree(ctx.blocks);
      if(ctx.functions)
          xfree(ctx.functions);
      return entry;
  }
```

11.4.1 Variables

Variables aren't numbered by the ubiquitous cfunc_rdfo, so copy_vars must first count and number them with number_vars. Assuming the function src contains any, the .vars array is initialised and populated with a copy of each var on the function's cvar_head list.

```
    static void copy_vars(copy_ctx_t *ctx, cfunction_t *dest)
{
        cfunction_t *src = ctx->src;
        int nvars = number_vars(src);
        cvar_t *var;

        if(nvars == 0)
            return;
        ctx->vars = xmalloc(nvars * sizeof(cvar_t *));
        list_foreach_entry(&src->cvar_head, var, cvar_list)
            ctx->vars[var->id] = copy_one_var(dest, var);
}
```

number_vars returns the number of variables owned by fn, after assigning them .ids
in list order.

```
\langle number_vars\rangle =
    static int number_vars(cfunction_t *fn)
    {
        int nvars = 0;
        cvar_t *var;

        list_foreach_entry(&fn->cvar_head, var, cvar_list)
            var->id = nvars++;
        return nvars;
}
```

copy_one_var copies the LEXICAL variable var to the function dest, updating its
.local.binder accordingly.

```
⟨copy_one_var⟩≡
static cvar_t *copy_one_var(cfunction_t *dest, cvar_t *var)
{
    assert(!cvar_is_global(var));
    cvar_t *copy = cvar_create(var->name, var->type, var->decl);

    copy->local = var->local;
    copy->local.binder = dest;
    copy->is_const = var->is_const;
    copy->id = var->id;
    list_add_before(&dest->cvar_head, &copy->cvar_list);
    return copy;
}
```

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11.4.2 Functions

The child functions of the function src, if any, are copied into dest by copy_functions; these copies populate the context .functions array.

```
⟨copy_functions⟩

static void copy_functions(copy_ctx_t *ctx, cfunction_t *dest)
{
    cfunction_t *src = ctx->src, *fn;

    if(src->nfuncs == 0)
        return;
    ctx->functions = xmalloc(src->nfuncs * sizeof(cfunction_t *));
    list_foreach_entry(&src->cfunc_head, fn, cfunc_list)
        ctx->functions[fn->id] = copy_one_function(ctx, dest, fn);
}
```

For each, copy_one_function creates a new child of the dest function, initialises its fields, and recursively invokes copy_into to duplicate the source child's objects (its .node is set when we fix up the copied LAMBDA; .closure is also set in fixup.)

11.4.3 Blocks & Nodes

The nodes and blocks of src are copied into dest by copy_body, and the context arrays populated. The copy made of the entry block is returned.

```
static cblock_t *copy_body(copy_ctx_t *ctx, cfunction_t *dest)
{
    cfunction_t *src = ctx->src;
    cblock_t *block, *entry = NULL;

    assert(src->nblocks>0 && src->nnodes>0);
    ctx->blocks = xmalloc(src->nblocks * sizeof(cblock_t *));
    ctx->nodes = xmalloc(src->nnodes * sizeof(cnode_t *));
    list_foreach_entry(&src->cblock_head, block, cblock_list)
    {
        cnode_t *node;
        cblock_t *newblock = copy_one_block(dest, block);

        ctx->blocks[block->id] = newblock;
}
```

copy_one_block creates a copy of a block and links it into the dest function's .cblock_head list; its .succ and .pred arrays will be copied during fixup.

```
⟨copy_one_block⟩≡
static cblock_t *copy_one_block(cfunction_t *dest, cblock_t *block)
{
    cblock_t *copy = cblock_create();

    copy->id = block->id;
    copy->start = block->start;
    copy->end = block->end;
    list_add_before(&dest->cblock_head, &copy->cblock_list);
    return copy;
}
```

copy_one_node copies a node into the given block; since most of its fields are pointers to other nodes, they will be copied during fixup.

```
\langle copy_one_node \rangle \equiv static cnode_t *copy_one_node(cblock_t *block, cnode_t *node) {
          cnode_t *copy = cnode_append(block, node->type);

          copy->id = node->id;
          copy->decl = node->decl;
          copy->file = node->file;
          return copy;
}
```

11.5 IR Fixup

```
\langle ir \ fixup \rangle \equiv
\langle blocks\_fixup \rangle
\langle nodes\_fixup \rangle
\langle var\_fixup \rangle
\langle fixup \ macros \rangle
\langle fixup \rangle
```

11.5. IR FIXUP

Copied objects in **dest** contain pointer fields which, if copied naïvely, would refer to the originals in **src**. The **fixup** function avoids this inconsistency by mapping each through the appropriate array kept by the context.

An array of exits is passed when src is the callee being inlined into dest. The arguments of the former become locals in the latter. Otherwise src is one of the callee's lexical descendents, and the variables in its .closure require fixup.

```
\langle fixup \rangle \equiv
 static void fixup(copy_ctx_t *ctx, cfunction_t *dest,
                      cnode_array_t *exits)
 {
      cfunction_t *src = ctx->src;
      cblock_t *sblock;
      cvar_t *var;
      if(exits)
      {
          list_foreach_entry(&src->cvar_head, var, cvar_list)
               if(var->local.is_arg)
                   ctx->vars[var->id]->local.is_arg = false;
      }
      else
      {
           array_foreach_entry(&src->closure, var)
               array_push(&dest->closure, var_fixup(ctx, var));
      }
      list_foreach_entry(&src->cblock_head, sblock, cblock_list)
      {
           \langle block \ fixup \rangle
      }
 }
```

Each sblock in the src is paired, via its .id and the .blocks array, with a corresponding dblock in the dest. Each snode within it is likewise paired with a corresponding dnode.

case CN_RETURN:

break;

if(exits)

NODE(ret.value);

array_push(exits, dnode);

This established, a number of macros then assist in the mapping process. The f argument names a field. Its value in the destination object will be the copied object which corresponds to the original object that is the field's referent in the source.

NODE and FUNC each map a pointer to a node or a function, respectively.

```
\langle fixup\ macros \rangle \equiv
  #define NODE(f) dnode->f = ctx->nodes[snode->f->id]
  #define FUNC(f) dnode->f = ctx->functions[snode->f->id]
Arrays of blocks and nodes are mapped elementwise by helper functions, which are
called by BLOCKS and NODES.
\langle fixup\ macros \rangle + \equiv
  #define BLOCKS(f) dblock->f = blocks_fixup(ctx, &sblock->f)
  #define NODES(f) dnode->f = nodes_fixup(ctx, &snode->f)
A reference to a variable is also mapped by a helper, invoked by VAR.
\langle fixup\ macros \rangle + \equiv
  #define VAR(f) dnode->f = var_fixup(ctx, snode->f)
The COPY macro is for fields of any other type; these don't need fixing up.
\langle fixup\ macros \rangle + \equiv
  #define COPY(f) dnode->f = snode->f
CALL nodes have .names; CALL_FAST have .argbits. They share .target and .args.
\langle fixup \ switch \rangle \equiv
  case CN_CALL:
  case CN_CALL_FAST:
      NODE(call.target);
      NODES(call.args);
       if(snode->type == CN_CALL)
           array_copy(&dnode->call.names, &snode->call.names);
       else
           COPY(call.argbits);
SET and BIND nodes share fields, but the latter may have both or either set to NULL.
\langle fixup\ switch \rangle + \equiv
  case CN_SET:
  case CN_BIND:
       if(snode->set.var)
           VAR(set.var):
       if(snode->set.value)
           NODE(set.value);
The .function's backpointer to the LAMBDA is set after it's fixed up.
\langle fixup\ switch \rangle + \equiv
  case CN_LAMBDA:
      FUNC(lambda.function);
      NODES(lambda.closure);
       dnode->lambda.function->node = dnode;
       break:
If exits was provided, each copied RETURN node is recorded within.
\langle fixup\ switch \rangle + \equiv
```

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No other .types of node need particularly special treatment.

```
\langle fixup \ switch \rangle + \equiv
  case CN_REF:
      VAR(ref.var);
      break;
  case CN_IF:
      NODE(ifelse.cond);
      break;
  case CN_PHI:
      NODES(phi.args);
      break;
  case CN_BUILTIN:
      COPY(builtin.bi);
      COPY(builtin.optype);
      NODES(builtin.args);
      break;
  case CN_COPY:
      NODE(copy.value);
      break;
  case CN_CONST:
      COPY(constant);
      break;
blocks_fixup returns a copy of the psrc array, with each element mapped to its cor-
responding copy in .blocks.
\langle blocks\_fixup \rangle \equiv
  static cblock_array_t blocks_fixup(copy_ctx_t *ctx, cblock_array_t *psrc)
  {
      cblock_array_t dest = ARRAY_INIT;
      cblock_t **ptr;
      array_copy(&dest, psrc);
      array_foreach_ptr(&dest, ptr)
          *ptr = ctx->blocks[(*ptr)->id];
      return dest;
  }
nodes_fixup does the same with .nodes, aside from any NULLs.
\langle nodes\_fixup \rangle \equiv
  static cnode_array_t nodes_fixup(copy_ctx_t *ctx, cnode_array_t *psrc)
  {
      cnode_array_t dest = ARRAY_INIT;
      cnode_t **ptr;
      array_copy(&dest, psrc);
      array_foreach_ptr(&dest, ptr)
           if(*ptr)
               *ptr = ctx->nodes[(*ptr)->id];
      }
      return dest;
```

}

Variables are a little more tricky, because they may belong to some ancestor of the function being copied.

This is why copy_into calls copy_vars in preorder — var_fixup can follow the recursion back via the context's .parent field, to find the function which binds the variable var, and so the .vars array that maps it to its copy. If var is a global, or not bound by a function we're copying, it doesn't need fixing up.

```
\langle var_fixup \rangle =
static cvar_t *var_fixup(copy_ctx_t *ctx, cvar_t *var)
{
    if(cvar_is_global(var))
        return var;
    for(; ctx && var->local.binder != ctx->src; ctx = ctx->parent);
    if(!ctx)
        return var;
    return ctx->vars[var->id];
}
```

Miscellanea

```
⟨includes⟩≡
  #include "global.h"
  #include "ir.h"
  #include "opt.h"
```

Chapter 12

Cell Introduction

A closure captures variables, but a LAMBDA node copies values (Section 7.6). A captured variable which is constant only has one value, so these are equivalent. For each of the others, to account for the discrepancy – and allow sharing between closures – the compiler introduces a *cell*, a container for a single value (Section 19.4). The LAMBDA then copies a reference to the cell, and the code generated for the function accesses its value indirectly.

```
\langle opt\_closure.c \rangle \equiv \\ \langle includes \rangle \\ \langle cell\ builtins \rangle \\ \langle cell\ helpers \rangle \\ \langle cell\ rewrites \rangle \\ \langle cfunc\_cell\_rewrite \rangle \\ \langle ir\_cell\_finalize \rangle \\ \langle ir\_cell\_intro \rangle
```

Cell introduction corresponds to the "assignment conversion" of Adams et al. (1986) or the "assignment elimination" of Clinger and Hansen (1994). It is is performed in two phases by ir_cell_intro. A closed mutable variable is "celled"; for these, the cvar_is_celled predicate returns true (Subsection 5.2.2).

```
⟨ir_cell_intro⟩≡
  cresult ir_cell_intro(cfunction_t *fn)
{
    return ir_cell_rewrite(fn) | ir_cell_finalize(fn);
}
```

The first phase, ir_cell_rewrite, transforms each function fn with a call to cfunc_cell_rewrite; if this adds any new nodes, they're numbered by another call to cfunc_rdfo. Child functions are recursively transformed in preorder.

```
(ir_cell_rewrite) =
    static cresult ir_cell_rewrite(cfunction_t *fn)
    {
        cresult res = cfunc_cell_rewrite(fn);
        if(changed(res))
            cfunc_rdfo(fn);
        return res | cfunc_map_children(fn, ir_cell_rewrite);
    }
```

In the second phase, ir_cell_finalize finishes the treatment of celled variables bound by the function fn. Their .decl and .is_const fields are updated to reflect their changed types and immutability. cfunc_populate_closure fills the NULL elements left for them in the .closure arrays of each child function's LAMBDA node. They're now eligible for SSA conversion (Chapter 9), which is carried out at this point (when necessary,) before continuing the recursive traversal.

```
(ir.cell_finalize) =
    cresult cfunc_populate_closure(cfunction_t *fn);
    static cresult ir_cell_finalize(cfunction_t *fn)
{
        cvar_t *var;
        cresult res;

        list_foreach_entry(&fn->cvar_head, var, cvar_list)
        {
            if(cvar_is_celled(var))
            {
                 var->decl = cell_decl_type(var);
                      var->is_const = true;
            }
        }
        res = cfunc_map_children(fn, cfunc_populate_closure);
        if(changed(res))
            cfunc_ssa_convert(fn);
        return res | cfunc_map_children(fn, ir_cell_finalize);
}
```

Within the body of a function fn, nodes involving celled variables are rewritten by cfunc_cell_rewrite. The node list traversal is _safe, as we may modify .cnode_list.next.

```
\langle cfunc\_cell\_rewrite \rangle \equiv
  static cresult cfunc_cell_rewrite(cfunction_t *fn)
  {
      cresult res = SUCCESS;
      cblock_t *block;
      list_foreach_entry(&fn->cblock_head, block, cblock_list)
           cnode_t *node, *tmp;
           list_foreach_entry_safe(&block->cnode_head, node, tmp, cnode_list)
               switch(node->type)
                    ⟨rewrite switch⟩
               default:
                   break;
           }
      }
      return res;
  }
```

A REF of a celled variable is rewritten by cell_intro_get.

```
\( \text{rewrite switch} \) \( \) \( \text{case CN_REF:} \) \( \) \( \text{cvar_t *var} = node->ref.var; \) \( \text{if(!cvar_is_celled(var))} \) \( \text{continue;} \) \( \text{cell_intro_get(node, var);} \) \( \text{res} \) \( | = CHANGED; \) \( \text{break;} \) \( \) \( \) \( \text{break;} \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \
```

A BIND of a celled variable is rewritten by cell_intro_arg, if it's introducing an argument, or cell_intro_local if not. A SET is rewritten by cell_intro_set.

```
\langle rewrite \ switch \rangle + \equiv
 case CN_SET:
 case CN_BIND:
 {
      cvar_t *var = node->set.var;
      if(!var || !cvar_is_celled(var))
          continue;
      if(!node->set.value)
          cell_intro_arg(node, var);
      else if(node->type == CN_BIND)
          cell_intro_local(node, var, node->set.value);
      else
          cell_intro_set(node, var, node->set.value);
      res |= CHANGED;
      break;
 }
```

12.1 Rewrite Rules

The variable v of type t will hold a value of type cell(t) instead. Each REF of such a variable is followed by an invocation of the $cell_get$ BUILTIN to extract the value from the cell.

```
i. REF v : t
ii. REF v : cell(t)
i. CELL_GET ii : t

⟨cell rewrites⟩≡
    static void cell_intro_get(cnode_t *node, cvar_t *var)
{
        cnode_t *ref = cnode_insert_before(node, CN_REF);
        init_ref(ref, var);
        cnode_reset(node);
        init_cellget(node, var, ref);
}
```

A SET of v first REFs the variable to get the cell before storing the value val into it with the cell_set BUILTIN.

```
i. SET v val
ii. REF v : cell(v.decl)
i. CELL_SET ii val

⟨cell rewrites⟩+≡
    static void cell_intro_set(cnode_t *node, cvar_t *var, cnode_t *val)
    {
        cnode_t *ref = cnode_insert_before(node, CN_REF);
        init_ref(ref, var);
        cnode_reset(node);
        init_cellset(node, var, ref, val);
}
```

To rewrite the initial BIND node for a celled argument v, a cell is created by the cell_make BUILTIN, initialised by cell_set with the value of the argument, then the variable is SET to the cell itself.

```
i.
      \mathtt{BIND}\ v\ \mathtt{NULL} \quad : \ t
ii.
      BIND v NULL : t
                     : cell(t)
      CELL_MAKE
i.
 iii. CELL_SET i ii
 iv.
     SET v i
\langle cell \ rewrites \rangle + \equiv
 static void cell_intro_arg(cnode_t *node, cvar_t *var)
 {
      cnode_t *bind = cnode_insert_before(node, CN_BIND);
      cnode_t *cset = cnode_insert_after(node, CN_BUILTIN);
      cnode_t *set = cnode_insert_after(cset, CN_SET);
      assert(var->decl == node->decl);
      init_bind(bind, var, NULL, var->decl);
      init_cellset(cset, var, node, bind);
      init_set(set, var, node);
      cnode_reset(node);
      init_cellmake(node, var);
```

The BIND node for a celled local variable v is rewritten in a similar manner, modulo the availability of the initial value val.

```
i.
      BIND \ v \ val : t
      CELL_MAKE : cell(t)
      CELL_SET ii val
 iii.
      BIND v ii
                  : cell(t)
\langle cell \ rewrites \rangle + \equiv
 static void cell_intro_local(cnode_t *node, cvar_t *var, cnode_t *val)
 {
      cnode_t *cmake = cnode_insert_before(node, CN_BUILTIN);
      cnode_t *cset = cnode_insert_before(node, CN_BUILTIN);
      init_cellmake(cmake, var);
      init_cellset(cset, var, cmake, val);
      cnode_reset(node);
      init_bind(node, var, cmake, cmake->decl);
 }
```

12.2 Helper Functions

Some helper functions simplify the rewriting process.

The type of cell required for the variable var depends on its declared type, and is returned by cell_decl_type.

```
\langle \mathit{cell\_decl\_type} \rangle \equiv
  static inline rtype_t *cell_decl_type(cvar_t *var)
       { return rcell_type_create(decl_type(var->decl)); }
A rewritten REF returns a value of this type.
\langle init\_ref \rangle \equiv
  static inline void init_ref(cnode_t *node, cvar_t *var)
      node->ref.var = var;
      node->decl = cell_decl_type(var);
A rewritten SET uses its value val.
\langle init\_set \rangle \equiv
  static inline void init_set(cnode_t *node, cvar_t *var, cnode_t *val)
      node->set.var = var;
      if(val)
           node->set.value = val;
           cnode_add_user(node, val);
  }
```

A rewritten BIND also yields a value of the type decl.

```
\langle init_bind \rangle =
static inline
void init_bind(cnode_t *node, cvar_t *var, cnode_t *val, rtype_t *decl)
{
    node->type = CN_BIND;
    init_set(node, var, val);
    node->decl = decl;
}
```

A node which accesses the celled variable var is initialised with init_cellaccess. The meaning of arg1 and arg2 will depend on the particular BUILTIN bi. The .builtin.optype field holds the type of the cell.

```
\langle init\_cellaccess \rangle \equiv
  static inline
  void init_cellaccess(cnode_t *node, cvar_t *var, const cbuiltin_t *bi,
                         cnode_t *arg1, cnode_t *arg2)
      cnode_array_t args = ARRAY_INIT;
      if(arg1)
           array_push(&args, arg1);
           cnode_add_user(node, arg1);
      if(arg2)
      {
           array_push(&args, arg2);
           cnode_add_user(node, arg2);
      node->type = CN_BUILTIN;
      node->builtin.bi = bi;
      node->builtin.args = args;
      node->builtin.optype = cell_decl_type(var);
An invocation of cell_make yields the cell created for var.
\langle init\_cellmake \rangle \equiv
  static inline void init_cellmake(cnode_t *node, cvar_t *var)
      init_cellaccess(node, var, &cell_make, NULL, NULL);
      node->decl = node->builtin.optype;
cell_get yields the value contained in the cell.
\langle init\_cellqet \rangle \equiv
  static inline void init_cellget(cnode_t *node, cvar_t *var, cnode_t *cell)
      init_cellaccess(node, var, &cell_get, cell, NULL);
      node->decl = node->builtin.optype->elt;
  }
cell_set stores val into cell, and doesn't yield a value.
\langle init\_cellset \rangle \equiv
  static inline
  void init_cellset(cnode_t *node, cvar_t *var, cnode_t *cell, cnode_t *val)
      init_cellaccess(node, var, &cell_set, cell, val);
  }
```

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12.3 Builtins

The .generate_fn callbacks for cell_make, cell_get and cell_set are invoked during code generation (Section 15.2), and use the asm helper functions (Section 15.3) to emit instructions appropriate to the types of values they manipulate.

.trans_fn callbacks are unnecessary, as ir_optimise is never called after ir_cell_intro. The .enforce_fn callback for cell_set, called during postpass, ensures that its first argument – the updated value – is of the cell's element type.

```
⟨enforce_cell_set⟩≡
static cresult enforce_cell_set(cnode_t *node)
{
    cnode_array_t *args = &node->builtin.args;
    assert(cnode_compat(aref(args, 0), node->builtin.optype));
    return enforce_decl(node, node->builtin.optype->elt, aptr(args, 1), true);
}
The cellmake instruction (Section 26.4.6) creates a new cell of type .optype.
```

 $\langle gen_cell_make \rangle \equiv$ static void gen_cell_make(cnode_t *node)

```
static void gen_cell_make(cnode_t *node)
{
    asm_op(OP_cellmake);
    asm_stack(loc_for(node));
    asm_offset(const_index(node->builtin.optype));
}
```

Opcodes for the cellget and cellset instructions (Subsection 26.6.2) depend on the contained value's size.

```
⟨gen_cell_get⟩≡
static void gen_cell_get(cnode_t *node)
{
    asm_op_width(OP_cellget, node->builtin.optype->elt);
    asm_stack(loc_for(node));
    asm_args(&node->builtin.args);
}

⟨gen_cell_set⟩≡
static void gen_cell_set(cnode_t *node)
{
    asm_op_width(OP_cellset, node->builtin.optype->elt);
    asm_args(&node->builtin.args);
}
```

The cell_set builtin does not return a value, so has its .is_void flag set; no stack location need be allocated for it.

```
\langle \mathit{cell builtins} \rangle \equiv
  \langle gen\_cell\_make \rangle
  static const cbuiltin_t cell_make = {
       &(builtin_ops_t) { .generate_fn = gen_cell_make },
       NULL, "cell_make"
  };
  \langle gen\_cell\_get \rangle
  static const cbuiltin_t cell_get = {
       &(builtin_ops_t) { .generate_fn = gen_cell_get },
       NULL, "cell_get"
  \langle enforce\_cell\_set \rangle
  \langle gen\_cell\_set \rangle
  static const cbuiltin_t cell_set = {
       &(builtin_ops_t) { .enforce_fn = enforce_cell_set,
                               .generate_fn = gen_cell_set,
                               .is_void = true },
       NULL, "cell_set"
  };
```

Miscellanea

```
\langle includes\rangle =
#include "global.h"
#include "ir.h"
#include "opt.h"
#include "vm/vm_ops.h"
#include "gen_code.h"
```

Chapter 13

Postpass

Previous passes (Section 10.3) have recovered the types of values yielded and used by the nodes in the program (Subsection 5.1.4). Code generation imposes additional constraints on certain of these, and BUILTINS can specify their own via callbacks.

The *postpass* performed by this module satisfies these constraints, transforms CALLs to CALL_FAST, and removes redundant COPY nodes.

13.1 Entry Point

The module entry point realises a simple preorder recursive traversal of the functions in the input.

```
⟨ir_postpass⟩\equiv cresult ir_postpass(cfunction_t *fn)
{
    return cfunc_postpass(fn) | cfunc_map_children(fn, ir_postpass);
}
```

The cfunc_postpass function is invoked for each. This runs cfunc_post_enforce, then iterates cfunc_post_copy to convergence; cleaning up and renumbering if anything changed.

```
⟨cfunc_postpass⟩≡
static cresult cfunc_postpass(cfunction_t *fn)
{
    cresult r, res = cfunc_post_enforce(fn);

    do res |= (r = cfunc_post_copy(fn));
    while(r == CHANGED);
    if(changed(res))
    {
        cfunc_cleanup(fn);
        cfunc_rdfo(fn);
    }
    return res;
}
```

13.2 Type Enforcement

```
 \langle type \ enforcement \rangle \equiv \\ \langle enforce\_signature \rangle \\ \langle enforce\_fastcall \rangle \\ \langle enforce\_call \rangle \\ \langle enforce\_phi \rangle \\ \langle cfunc\_post\_enforce \rangle
```

cfunc_post_enforce is responsible for inserting the type checks and conversions that code generation requires, and visits each node in the function fn.

The condition of an IF condition must have boolean type.

The value RETURNed from the function must match the declared type of the latter.

A CALL node, if its arguments can be statically matched, may be transformed to become _FAST. In any case, its arguments and result value may require conversion according to the calling convention.

```
\langle post switch\rangle +\equiv 
case CN_CALL:
    res |= enforce_call(node, node->call.target->decl);
    break;
```

A CALL_FAST node is unusual to see here, but can result from a failed inlining attempt (Section 11.1).

```
\langle post switch\rangle +\equiv 
case CN_CALL_FAST:
    res |= enforce_fastcall(node, node->call.target->decl);
    break;
```

Most SET nodes have been removed; one that remains refers to a global variable. If it has a declared type, this was enforced during prepass (Section 7.4). The remainder have conversions inserted here (boxing scalars, most likely).

```
\langle post switch\rangle +\equiv 
case CN_SET:
    res |= enforce_decl(node, node->set.var->decl, &node->set.value, true);
    break;
```

Some BUILTINs have specific requirements with respect to the types of their arguments. They can insert any necessary conversions via the optional .enforce_fn callback.

```
\langle post switch\rangle +=
    case CN_BUILTIN:
    {
        const cbuiltin_t *bi = node->builtin.bi;
        if(bi->ops->enforce_fn)
            res |= bi->ops->enforce_fn(node);
        break;
}
```

Codegen expects that the arguments of a PHI all have types compatible with the value yielded by the node itself (Chapter 18).

```
\langle post switch\rangle +\equiv 
case CN_PHI:
    res |= enforce_phi(node, node->decl);
    break;
```

enforce_phi checks each argument. If a conversion is required, the COPY is inserted at the end of the corresponding predecessor block, to preserve the semantics of the ϕ -function.

```
\langle enforce\_phi \rangle \equiv
 static cresult enforce_phi(cnode_t *node, rtype_t *decl)
      int i;
      cresult res = SUCCESS;
      array_foreach(&node->phi.args, i)
      {
          cnode_t **pval = aptr(&node->phi.args, i);
          if(cnode_compat(*pval, decl) == MAYBE)
               cblock_t *pred = aref(&node->block->pred, i);
               *pval = guard_decl(cnode_append(pred, CN_COPY),
                                   node, decl, *pval);
               res |= CHANGED;
          }
      }
      return res;
 }
```

enforce_call first ensures that the target of a CALL node is callable, should its type be known. In this case, enough information is available to attempt argument matching with call_normalise; if this succeeds, it becomes a CALL_FAST node.

Whatever the outcome, any type conversions required to sastisfy the calling convention will be added by enforce_signature.

```
denforce_call = 
    static cresult enforce_call(cnode_t *node, rtype_t *type)

{
    cresult res = SUCCESS;

    if(type && type != r_type_object && type != r_type_callable)

    {
        if(!rtype_is_callable(type))
        {
            c_error("value of type '%s' is not callable.", decl_name(type));
            return FAILED;
        }
        res |= call_normalise(node, type->sig);
    }
    return res | enforce_signature(node, type);
}
```

A CALL_FAST node must actually supply the mandatory formal arguments of its target, in addition to satisfying the calling convention.

```
⟨enforce_fastcall⟩

static cresult enforce_fastcall(cnode_t *node, rtype_t *type)
{
    assert(type && type->sig);
    if((node->call.argbits & type->sig->reqbits) != type->sig->reqbits)
    {
        c_error("required argument not supplied.");
        return FAILED;
    }
    return enforce_signature(node, type);
}
```

enforce_signature ensures that caller and callee are in agreement about the types of argument and result values – that the actual arguments args have types compatible with the formal arguments specified in the callee's signature sig (Section 21.2), and that the expected return type .decl is compatible with the specified return type (Subsection 5.2.7).

If the node is a CALL, sig is NULL and the universal calling convention applies (Subsection 22.2.2). Arguments and result must be reference objects; scalars need to be boxed or unboxed, respectively.

Otherwise it's a CALL_FAST; the type of the callee specifies the types of argument it expects and the type of value it returns (Subsection 22.2.1).

Actual arguments may be omitted in either case, hence the check for *ptr.

```
⟨enforce_signature⟩

static cresult enforce_signature(cnode_t *node, rtype_t *type) {

funsig_t *sig = node->type == CN_CALL ? NULL : type->sig;
    cnode_array_t *args = &node->call.args;
    cresult res = SUCCESS;
    int i;

assert(node->type == CN_CALL || rtype_is_callable(type));
```

```
array_foreach(args, i)
{
    cnode_t **ptr = aptr(args, i);
    rtype_t *decl = sig ? sig->args[i].type : r_type_object;

    if(*ptr)
        res |= enforce_decl(node, decl, ptr, true);
}
res |= enforce_val(node, sig ? sig->ret_type : r_type_object);
return res;
}
```

13.3 Copy Optimisations

COPY nodes are generally inserted conservatively, and some occurences may be profitably replaced with equivalent but better performing alternatives.

```
\langle copy\ optimisation \rangle \equiv \langle copy\_of\_const \rangle 
 \langle update\_copy \rangle 
 \langle cfunc\_post\_copy \rangle
```

The COPY nodes in function fn are tested for redundancy by cfunc_post_copy. Since they may be removed, the node list traversal is safe.

update_copy determines whether the node converting a given value val is statically unnecessary.

First, the value is checked for compatibility against the type to which it's converted. A NO is unlikely and erroneous; a YES means that the conversion is redundant and the COPY may be removed after being replaced with val in its users.

A MAYBE confirms the necessity of its presence, but there is a further optimisation to attempt, if it's a CONST node that's being copied.

```
\langle update\_copy \rangle \equiv
 static cresult update_copy(cnode_t *node, cnode_t *val)
      switch(cnode_compat(val, node->decl))
      case NO:
          c_error("value of type '%s' found where type '%s' expected.",
                   decl_name(val->decl), decl_name(node->decl));
          return FAILED;
      case YES:
          cnode_replace_in_users(node, val);
          cnode_remove(node);
          return CHANGED;
      case MAYBE:
          break;
      if(val->type == CN_CONST)
          return copy_of_const(node, val->constant);
      return SUCCESS;
 }
```

copy_of_const tries to perform the conversion specified by the COPY node ahead of time.
The object obj is converted to the type .decl; if successful, the COPY is be replaced
with the equivalent CONST, via node_become_constant.

```
\langle cony_of_const\rangle \sigma
    static cresult copy_of_const(cnode_t *node, robject_t *obj) {
        bool valid;

        obj = constant_convert(obj, node->decl, &valid);
        if(valid)
        {
             node_become_constant(node, obj);
             return CHANGED;
        }
        return SUCCESS;
}
```

13.4 Call Normalisation

A CALL to a function of known type (i.e. signature) has the opportunity to use the fast calling convention. This requires matching actual to formal arguments at compile-time, a task performed by call_sequence and the matcher functions (Section 22.3).

```
\langle opt\_call.c \rangle \equiv
\langle call\ includes \rangle
\langle ir\_call\_ctx\_t \rangle
\langle set\_arg \rangle
\langle append\_rest \rangle
\langle fail\_rest \rangle
\langle call\_become\_fast \rangle
\langle call\_normalise \rangle
```

This matching will produce an array of argument values in the correct order for generating a fast call sequence (Subsection 15.5.1), stored in the .args field of the ir_call_ctx structure. Alongside the .base context used by the matchers, .pres points to the result to be returned, which the callbacks can use to signal errors.

```
⟨ir_call_ctx_t⟩≡
  typedef struct
  {
     call_ctx_t base;
     cnode_array_t args;
     cresult *pres;
  } ir_call_ctx_t;
```

call_normalise attempts to convert, from CALL to CALL_FAST, the node calling a function with signature sig. After ctx is initialised it invokes call_sequence, passing the actual args and names supplied by the .call.

On success, call_become_fast changes the node's .type as well as giving it ownership of the matched .args (otherwise, these must be explicitly freed). All arguments required by the function must indeed be supplied by the call; if not, the normalisation has FAILED.

```
\langle call\_normalise \rangle \equiv
 cresult call_normalise(cnode_t *node, funsig_t *sig)
      cresult res = SUCCESS;
      cnode_t **args = adata(&node->call.args);
      rsymbol_t **names = call_has_names(node)
                        ? adata(&node->call.names) : NULL;
      int nargs = alen(&node->call.args);
      ir_call_ctx_t ctx = {
          .base = {
              .posbits = 0,
              .argbits = 0,
              .sig = sig,
              .append_rest = sig->has_rest
                            ? append_rest : fail_rest,
              .set_arg = set_arg
          },
          .args = ARRAY_INIT,
          .pres = &res
      };
      array_resize(&ctx.args, sig->nargs);
      if(call_sequence(&ctx, (void **)args, names, nargs, no_match_rest,
```

```
call_match_kwd, call_match_pos, call_match_omit))
    {
        if(sig->reqbits != (ctx.base.argbits & sig->reqbits))
        {
            res |= FAILED;
            c_error("required argument not supplied.");
        }
        else
        {
            res |= CHANGED;
            call_become_fast(node, sig, &ctx.args, ctx.base.argbits);
    }
    else
        array_fini(&ctx.args);
    return res;
}
```

The rest vector "..." has statically unknown contents. no_match_rest prevents the normalisation if it's encountered, since we can't do anything sensible with it. An enhancement would involve invoking call_normalise in an earlier pass where cell_t .values are available, to take advantage of constants discovered by SCCP.

The set_arg callback is invoked for each matched argument, giving the formal arg corresponding to the actual value val. Pointer arithmetic yields the index i to be assigned in the fast call argument array.

```
⟨set_arg⟩≡
static bool set_arg(void *ptr, argdesc_t *arg, void *val)
{
    ir_call_ctx_t *ctx = ptr;
    int i = arg - ctx->base.sig->args;

    aset(&ctx->args, i, val);
    return true;
}
```

If a rest vector is required, the append_rest callback returns false and the call won't be normalised. This shortcoming could be enhanced by instead inserting the appropriate BUILTIN invocations to create a fresh vector and assign its elements.

```
⟨append_rest⟩≡
  static bool append_rest(void *ptr, rsymbol_t *name, void *val)
  { return false; }
```

If the function doesn't expect a rest vector, extraneous arguments are erroneous, and this is noted by the fail_rest callback.

```
\langle fail_rest \rangle \square static bool fail_rest(void *ptr, rsymbol_t *name, void *val) {
    ir_call_ctx_t *ctx = ptr;
    if(name)
        c_error("unknown argument '%s'.", r_symstr(name));
    else
        c_error("too many arguments.");
    *ctx->pres = FAILED;
    return false;
}
```

A node is transformed in-place from CALL to CALL_FAST by call_become_fast. The original .args array is redundant (as is .names), replaced with the normalised args array (and argbits). Note that the former and latter must contain the same elements (albeit in different order, and with more or fewer NULLs), because their .users are not updated.

Miscellanea

```
\langle post includes \rangle =
    #include "global.h"
    #include "ir.h"
    #include "opt.h"

\langle call includes \rangle =
    #include "global.h"
    #include "ir.h"
    #include "opt.h"
```

Chapter 14

Value Numbering

Dead code is eliminated, and constants are folded, by earlier passes (Chapter 10). However, the program may also contain redundant computations – nodes (Subsection 5.1.4) that compute the same value (without having other effects). Given a conservative (statically decidable) account of this "sameness", value numbering techniques facilitate their removal.

```
\langle opt\_dvn.c \rangle \equiv \\ \langle includes \rangle \\ \langle globals \rangle \\ \langle hashing \rangle \\ \langle numbering \rangle \\ \langle cfunc\_dvn \rangle \\ \langle ir\_dvn \rangle
```

The VN array maps (by .id, as usual) each node in the function to some node that computes the same value (possibly to itself). Equivalence is detected via the hash table tbl, which is updated during traversal.

```
⟨globals⟩≡
  static cnode_t **VN;
  hashset_t *tbl;
```

14.1 Entry Point

The entry point ir_dvn simply invokes cfunc_dvn on each function in the tree rooted at fn. This pass can't fail and performs its own cleanup, so doesn't return a cresult.

```
\langle ir_dvn \rangle \square void ir_dvn(cfunction_t *fn)
{
    if(!opt.opt_dvn)
        return;
    cfunc_dvn(fn);
    cfunc_mapc_children(fn, ir_dvn);
}
```

The dominator tree for the function fn is computed by cfunc_dom (Chapter 8), the hash tbl and VN array are allocated, then a call to dvn begins the optimisation at the .entry block. It doesn't track whether or not it changed the IR; after deallocating the globals, cfunc_cleanup and cfunc_rdfo are called unconditionally.

```
static void cfunc_dvn(cfunction_t *fn)
{
    doms_t *dom = cfunc_dom(fn);

    tbl = hashset_create(cnode_hash, cnode_equal);
    VN = xcalloc(fn->nnodes, sizeof(*VN));
    dvn(dom, fn->entry);
    xfree(VN);
    hashset_free(tbl);
    dom_free(dom, fn->nblocks);
    cfunc_cleanup(fn);
    cfunc_rdfo(fn);
}
```

14.2 Dominator Value Numbering

The dominator value numbering algorithm detects redundant computations in a block, replacing each with its earlier occurrence in a dominating block (Briggs et al., 1997, Figure 4). It's not as powerful as the global value numbering of Click (1995), but much simpler.

```
 \langle numbering \rangle \equiv \\ \langle lookup\_vn \rangle \\ \langle lookup\_operands \rangle \\ \langle should\_record\_node \rangle \\ \langle phi\_args\_valid \rangle \\ \langle record\_node \rangle \\ \langle dvn \rangle
```

The dvn function is similar in structure to ssa_convert (Section 9.2). It's called recursively on each block in the function. The scope array records the nodes made "available" by this block.

```
⟨dvn⟩≡
static void dvn(doms_t *dom, cblock_t *block)
{
    cblock_t *nextblk;
    cnode_t *node, *tmp;
    cnode_array_t scope = ARRAY_INIT;

⟨value number block⟩
}
```

Each node is examined in order. Unless it's a PHI for which phi_args_valid returns false, the nodes that it uses are looked up in VN by lookup_operands.

The node's entry in the VN array is then initialised. If should_record_node returns true, record_node will test for equivalence with the current contents of tbl. Otherwise the node is assumed to compute a unique value, and maps to itself.

The PHI nodes in all successors of block are processed, and the corresponding argument of each is looked up in VN.

```
\( \text{value number block} \rangle + \equiv \text{array_foreach_entry(&block->succ, nextblk)} \)
\( \text{int i = index_of_block(&nextblk->pred, block);} \)
\( \text{list_foreach_entry(&nextblk->cnode_head, node, cnode_list)} \)
\( \text{if (node->type != CN_PHI)} \)
\( \text{break;} \)
\( \text{lookup_vn(aptr(&node->phi.args, i), node);} \)
\( \text{}
\)
\( \text{}
\)
\( \text{}
\)
\( \text{lookup_vn(aptr(&node->phi.args, i), node);} \)
\( \text{}
\)
\( \text{}
\)
\( \text{}
\)
\( \text{lookup_vn(aptr(&node->phi.args, i), node);} \)
\( \text{}
\)
\( \text{lookup_vn(aptr(&node->phi.args, i), node);} \)
\( \text{lookup_vn(aptr(&node
```

Traversal recurses to the block's .children in the dominator tree.

Upon leaving the block, the nodes it added to the hash tbl are removed.

```
⟨value number block⟩+≡
array_foreach_entry(&scope, node)
    hashset_remove(tbl, node);
array_fini(&scope);
```

If the arguments of the given PHI node have had their entries in VN initialised, the phi_args_valid helper returns true (i.e. in the absence of back edges).

```
\langle phi_args_valid \rangle \infty
    static bool phi_args_valid(cnode_t *node)
    {
        cnode_t *arg;
        array_foreach_entry(&node->phi.args, arg)
            if(!VN[arg->id])
            return false;
        return true;
    }
}
```

The should_record_node helper returns true if it's safe for the node to be eliminated, should it be found redundant.

Non-constant global variables may, in general, change between accesses by REF nodes (any other references remaining are to closed variables – which are all constant, since we introduced cells for those that weren't in Chapter 12).

Side effects are not tracked, so only a **node** without any, as determined by **cnode_is_pure** (Subsection 5.2.4), may be replaced with an equivalent.

```
\langle should_record_node \rangle =
    static inline bool should_record_node(cnode_t *node)
    {
        if(node->type == CN_REF && cvar_is_global(node->ref.var) &&
            !node->ref.var->is_const)
            return false;
        return cnode_is_pure(node, true);
    }
}
```

The return value of record_node is stored as the node's VN entry. It attempts to insert the node into the hash tbl.

If an equivalent val is already present there, this one is redundant – it's replaced in its users with the earlier occurrence, and removed from the program.

Otherwise the value this node computes is unique along this path in the dominator tree; it's recorded in the scope array for later removal.

```
\langle record_node \rangle \infty
    static inline cnode_t *record_node(cnode_t *node, cnode_array_t *scope) {
        cnode_t *val = hashset_insert(tbl, node);

        if(val != node) {
            cnode_replace_in_users(node, val);
            cnode_remove(node);
            return val;
        }
        array_push(scope, node);
        return node;
}
```

The lookup_operands helper just invokes lookup_vn on the operands of the given node via cnode_map_used (Subsection 5.2.5).

```
⟨lookup_operands⟩≡
  static inline void lookup_operands(cnode_t *node)
  {
     cnode_map_used(node, lookup_vn, node);
}
```

lookup_vn looks up the element of VN that corresponds to *ptr, assigning it back to
the same place (while tracking users.)

```
(lookup_vn)\(\simes\)
    static void lookup_vn(cnode_t **ptr, void *data)
{
        assert(*ptr);
        cnode_remove_user(data, *ptr);
        assert(VN[(*ptr)->id]);
        *ptr = VN[(*ptr)->id];
        cnode_add_user(data, *ptr);
}
```

14.3 Hashing & Equality

Nodes n and m are considered equivalent if cnode_equal(n,m) returns true. The cnode_hash function computes a suitable code for storage in a hash table.

```
\langle hashing \rangle \equiv
\langle hash\_ptr \rangle
\langle cnode\_hash \rangle
\langle cnode\_equal \rangle
```

Nodes which are equal must have equal hash codes. Pointer values can be hashed if they're unique – if no two unequal pointers can be considered to point to the "same" thing.

This is the case for the salient fields of the <code>cnode_t</code> structure. Pointers to non-nodes are unique by construction; pointers to nodes are rendered so as <code>dvn</code> proceeds. The node <code>.type</code> determines which branch of the union is hashed.

```
\langle cnode\_hash \rangle \equiv
 static uint32_t cnode_hash(const void *ptr)
 {
      int i;
      const cnode_t *node = ptr;
     uint32_t hash = hash_code(&node->type, sizeof(cnodetype));
     hash_ptr(&node->decl, &hash);
     switch(node->type)
     case CN_CONST: hash_ptr(&node->constant, &hash); break;
     case CN_IF: hash_ptr(&node->ifelse.cond, &hash); break;
      case CN_RETURN: hash_ptr(&node->ret.value, &hash); break;
      case CN_COPY: hash_ptr(&node->copy.value, &hash); break;
      case CN_REF: hash_ptr(&node->ref.var, &hash); break;
      case CN_LAMBDA: hash_ptr(&node->lambda.function, &hash); break;
      case CN_CALL:
      case CN_CALL_FAST:
          hash_ptr(&node->call.target, &hash);
          array_foreach(&node->call.args, i)
              hash_ptr(aptr(&node->call.args, i), &hash);
              if(node->type == CN_CALL && alen(&node->call.names) > 0)
                  hash_ptr(aptr(&node->call.names, i), &hash);
          if(node->type == CN_CALL_FAST)
              hash = hash_code_seed(&node->call.argbits,
                                     sizeof(argbits_t), hash);
          break;
      case CN_BIND:
      case CN_SET:
          hash_ptr(&node->set.var, &hash);
          hash_ptr(&node->set.value, &hash);
          break;
      case CN_PHI:
          array_foreach(&node->phi.args, i)
              hash_ptr(aptr(&node->phi.args, i), &hash);
          break:
      case CN BUILTIN:
          hash_ptr(&node->builtin.bi, &hash);
          hash_ptr(&node->builtin.optype, &hash);
          array_foreach(&node->builtin.args, i)
```

```
hash_ptr(aptr(&node->builtin.args, i), &hash);
break;
}
return hash;
}
```

The result of hashing the bits of the pointer ptr is mixed with the value stored at *phash by the hash_ptr helper.

```
\langle hash_ptr\rangle =
static inline void hash_ptr(const void *ptr, uint32_t *phash)
{ *phash = hash_code_seed(ptr, sizeof(void *), *phash); }
```

cnode_equal is the equality predicate for nodes. Again, the node .type determines the branch of the union whose fields are tested for equality.

```
\langle cnode\_equal \rangle \equiv
 static bool cnode_equal(const void *x, const void *y)
     const cnode_t *nx = x, *ny = y;
     int i;
     if(nx == ny)
          return true;
     if(nx->type != ny->type || nx->decl != ny->decl)
         return false;
     switch(nx->type)
     case CN_CONST: return nx->constant == ny->constant;
     case CN_IF: return nx->ifelse.cond == ny->ifelse.cond;
     case CN_RETURN: return nx->ret.value == ny->ret.value;
      case CN_COPY: return nx->copy.value == ny->copy.value;
      case CN_REF: return nx->ref.var == ny->ref.var;
      case CN_LAMBDA: return nx->lambda.function == ny->lambda.function;
      case CN_CALL:
      case CN_CALL_FAST:
          if(nx->call.target != ny->call.target ||
             alen(&nx->call.args) != alen(&ny->call.args))
              return false;
          array_foreach(&nx->call.args, i)
              if(aref(&nx->call.args, i) != aref(&ny->call.args, i))
                  return false;
              if(nx->type == CN_CALL && alen(&nx->call.names) > 0
                 && aref(&nx->call.names, i) != aref(&ny->call.names, i))
                  return false;
          }
          if(nx->type == CN_CALL_FAST
             && nx->call.argbits != ny->call.argbits)
              return false;
          break;
      case CN_BIND:
      case CN_SET:
         return nx->set.var == ny->set.var && nx->set.value == ny->set.value;
      case CN PHI:
         if(alen(&nx->phi.args) != alen(&nx->phi.args))
              return false;
          array_foreach(&nx->phi.args, i)
              if(aref(&nx->phi.args, i) != aref(&ny->phi.args, i))
                  return false;
```

```
break;
case CN_BUILTIN:
    if(nx->builtin.bi != ny->builtin.bi
        || nx->builtin.optype != ny->builtin.optype
        || alen(&nx->builtin.args) != alen(&ny->builtin.args))
        return false;
    array_foreach(&nx->builtin.args, i)
        if(aref(&nx->builtin.args, i) != aref(&ny->builtin.args, i))
        return false;
    break;
}
return true;
}
```

Miscellanea

```
⟨includes⟩≡
  #include "global.h"
  #include "ir.h"
  #include "opt.h"
```

Chapter 15

Code Generation

The compiler's execution target is a virtual machine (Chapter 26) that interprets byte-code instructions. The code generation subsystem assigns storage locations to the values computed in the input program, inserts copies to replace the ϕ -functions of SSA form, and creates a runtime object containing the generated instruction stream.

```
 \langle gen\_code.c\rangle \equiv \\ \langle includes\rangle \\ \langle context\rangle \\ \langle type\_for\rangle \\ \langle control\ flow\rangle \\ \langle nodes\rangle \\ \langle blocks\rangle \\ \langle closure\_env\rangle \\ \langle gen\_child\_function\rangle \\ \langle gen\_function\rangle
```

15.1 Entry Point

The subsystem entry point gen_function mediates between compiler and runtime, creating an rfunction_t (Section 21.5) from a cfunction_t (Subsection 5.1.1).

The live ranges of the nodes in the function fn, computed by cfunc_liveranges (Chapter 16), are needed as input by gen_locations (Chapter 17), which allocates to each node a specific location in the locals area of the VM stack frame to hold the value it computes. loc_scalsz and loc_sz receive the number of bytes occupied by scalar values, and all local values, respectively.

If the function captures any lexical values (Section 7.6), they're assigned locations in the closure's environment by closure_env. Similarly, env_scalsz and env_sz receive the number of bytes taken by captured scalars and all captured values.

The consts array is initialised; it will serve as the function's constant pool. The call to gen_code returns a buffer containing bytecode instructions, generated from the function's body.

Passing these results to rfunc_create yields the runtime object rfn, which is returned after recursing to child functions, storing the generated objects at their reserved indices in the constant pool.

```
\langle gen_function \rangle =
    rfunction_t *gen_function(cfunction_t *fn)
    {
        liveranges_t *live = cfunc_liveranges(fn);
        op_offset_t loc_scalsz = 0, loc_sz = 0;
        op_stack_t *locs = gen_locations(fn, live, &loc_scalsz, &loc_sz);
    }
}
```

If the closure of the child function doesn't capture any values, gen_child_function can create the rclosure_t ahead of time. The bytecode of the parent will refer to it with a const instruction instead of a lambda. Since each cfunction_t is one-to-one with its defining LAMBDA node, there's no unnecessary duplication.

```
(gen_child_function) =
    rfunction_t *gen_function(cfunction_t *fn);
    static robject_t *gen_child_function(cfunction_t *child)
    {
        rfunction_t *rfn = gen_function(child);
        if(cfunc_has_closure(child))
            return (robject_t *)rfn;
        return (robject_t *)rcall_closure_create(rfn->cl_type, rfn);
}
```

15.1.1 Closure Environment

Each element of the ofs array records the byte offset in the closure's .env buffer of the corresponding value from the LAMBDA node's .closure array.

Values are stored in a closure environment (Section 21.4) segregated by type. The first iteration of the outer loop operates on scalar values; the second, on pointers.

Offsets are assigned to values in this two-phase traversal, *pscalsz and *psz receive the sizes of the two classes, and ofs is returned.

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```
sz += rtype_eltsz(typ);
}
if(j == 0)
    *pscalsz = sz;
else
    *psz = sz;
}
return ofs;
}
```

15.2 Context

Code generation state for the current function is kept in a gen_ctx_t context structure.

The .code buffer contains the bytecode generated so far. Each element of the .records array corresponds to a block in the function.

.locs, .loc_sz, .env_ofs and .consts retain the corresponding arguments of gen_code; .nfuncs and .closure, the corresponding fields of the cfunction_t being processed.

```
(gen_ctx.t) =
  typedef struct
{
    op_code_array_t code;
    gen_block_t *records;
    op_stack_t *locs;
    op_offset_t loc_sz;
    op_offset_t *env_ofs;
    robject_array_t *consts;
    unsigned nfuncs;
    cvar_array_t *closure;
} gen_ctx_t;
```

The context is global to the subsystem (although only one other module needs access.)

```
⟨context⟩≡
gen_ctx_t ctx;
```

A gen_block_t structure tracks state for a single basic block. An instruction is addressed with a byte offset into the code buffer. When generating bytecode for a block, the address of its first instruction is stored in the .addr field and the .valid flag is set.

If a previous control-flow instruction required this address, it will have appended the address of its relevant operand to the list of .fixups. These are patched when the block's address is determined.

After generating code for a block, the .copies required by SSA deconstruction are appended, in list order.

```
⟨gen_block_t⟩≡
typedef struct
{
    op_offset_t addr;
    bool valid;
    list_t copies;
    SLIST(gen_fixup_t) fixups;
} gen_block_t;
```

The gen_fixup_t structure requests that the bytecode at .addr be overwritten with the start address of the owning block.

```
\langle gen_fixup_t \rangle \infty
typedef struct gen_fixup
{
    SLIST(struct gen_fixup) next;
    op_offset_t addr;
} gen_fixup_t;
```

The gen_copy_t structure requests that a mov instruction be generated to copy a value of given .type from the stack location .src to .dest.

```
\langle gen_copy_t \rangle \equiv 
typedef struct gen_copy {
    list_t list;
    op_stack_t dest, src;
    rtype_t *type;
} gen_copy_t;
```

Records of blocks, and stack locations of nodes, are accessed via the rec_for and loc_for helpers respectively.

```
\langle context helpers\\\ \sigma \text{static inline gen_block_t *rec_for(cblock_t *block)} \\ \text{ return &ctx.records[block->id]; } \\ \text{static inline op_stack_t loc_for(cnode_t *node)} \\ \text{ return ctx.locs[node->id]; } \end{array}$
```

The type_for helper returns the statically determined type of the node; or object, for those which are neither declared or inferred.

```
⟨type_for⟩≡
static inline rtype_t *type_for(cnode_t *node)
{ return decl_type(node->decl); }
```

15.3 Assembly

A set of helper functions are used to write and modify bytecode during generation. asm_extend grows the bytecode buffer to encompass an additional sz bytes, returning a pointer to the start of the appended block.

```
(asm_extend) =
  static inline uint8_t *asm_extend(size_t sz)
  {
     size_t len = asm_len();
     return array_extend(&ctx.code, sz) + len;
}
```

asm_literal appends the sz bytes from memory referenced by ptr.

```
(asm_literal) =
  static inline void asm_literal(void *ptr, size_t sz)
{
    uint8_t *dest = asm_extend(sz);
    memcpy(dest, ptr, sz);
}
```

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asm_op looks up an opcode in the direct-threading jump table (Section 26.3) and appends the address it finds there to the bytecode buffer. asm_subop appends an opcode directly.

```
(asm_op)\(\subseteq\) static inline void asm_subop(op_code_t v)
{
     *(asm_extend(sizeof(op_code_t))) = v;
}
static inline void asm_op(op_code_t v)
{
     *(const void **)(asm_extend(sizeof(vm_instr_t))) = vm_instr[v];
}
```

Some opcodes are grouped by operand width (Section 26.8). asm_op_width appends the instruction, with base opcode op, applicable to values the same size as those of type.

```
\langle asm\_op\_width \rangle \equiv
static inline void asm_op_width(op_code_t op, rtype_t *type)
{ asm_op(op + op_width_ofs[width_code(type)]); }
```

The width_code helper returns an index representing the type's size.

```
\langle width_code \rangle \subseteq 
static inline int width_code(rtype_t *type) {
    switch(rtype_eltsz(type)) {
        case 1: return 0;
        case 4: return 1;
        case 8: return 2;
        default: return -1;
        } /* NOTREACHED */
}
```

Other opcodes are grouped by operand type. asm_op_type appends the instruction, with base opcode op, applicable to values of the given scalar type.

```
(asm_op_type)\(\sum_\)
    static inline void asm_op_type(op_code_t op, rtype_t *type)
    { assert(rtype_is_scalar(type)); asm_op(op + op_type_ofs[rscal_code(type)]); }
```

The conversion opcodes are grouped by both source type and destination type. asm_op_conv appends the appropriate opcode to convert from a value of stype to a value of dtype.

```
(asm_op_conv) =
   static inline void asm_op_conv(rtype_t *stype, rtype_t *dtype)
   {
      assert(rtype_is_scalar(stype) && rtype_is_scalar(dtype));
      scalar_code scode = rscal_code(stype), dcode = rscal_code(dtype);
      scalar_code rcode = scode * (SC_MAX-1) + dcode - (scode < dcode);
      asm_op(op_conv_ofs + rcode);
}</pre>
```

asm_stack appends an operand containing a signed stack location. This will typically be interpreted relative to the VM's base pointer, and specify the location allocated to hold the value computed by a node.

```
⟨asm_stack⟩≡
  static inline void asm_stack(op_stack_t v)
  {
    *(op_stack_t *)(asm_extend(sizeof(v))) = v;
}
```

asm_offset appends an operand containing an unsigned offset. These are used to index into the constant pool or the closed environment, or to specify a bytecode address within a function.

```
(asm_offset) =
  static inline void asm_offset(op_stack_t v)
  {
    *(op_offset_t *)(asm_extend(sizeof(v))) = v;
}
```

asm_args appends the stack location of each node arg in the args array; a convenience for the .generate_fn callback of certain builtin functions (Section 10.5).

```
(asm_args) =
  static inline void asm_args(cnode_array_t *args)
  {
     cnode_t *arg;
     array_foreach_entry(args, arg)
        asm_stack(loc_for(arg));
  }
```

asm_patch writes the relative address addr to the bytecode buffer at offset dest.

```
⟨asm_patch⟩≡
  static inline void asm_patch(op_offset_t addr, op_offset_t dest)
      { *(op_offset_t *)(ctx.code.ptr + addr) = dest; }

asm_len returns the buffer's current length.
⟨asm_len⟩≡
  static inline size_t asm_len()
      { return alen(&ctx.code); }
```

15.4 Blocks

```
\langle blocks \rangle \equiv
\langle gen\_copies \rangle
\langle gen\_fixups \rangle
\langle gen\_block \rangle
\langle gen\_code \rangle
```

The gen_code function allocates and populates a buffer with the bytecode instructions generated for the body of the function fn.

The first .nfuncs elements of the consts array are reserved for the corresponding child functions, and will be filled later by gen_function.

After the context is initialised, the function is taken out of SSA form by gen_un_ssa, which realises each PHI node as a sequence of copies (Chapter 18). It may require a temporary location – this is outside the local area of the stack frame, at offset loc_sz (and isn't tracked by the garbage collector, but is safe nonetheless, since the copies are always movs that don't allocate.)

Prerequisites now established, each block is processed by gen_block, starting at the function .entry and continuing in reverse-depth-first order (as imposed by cfunc_rdfo).

Temporaries and inputs are deallocated, the bytecode buffer is shrunk to size and its contents returned. The consts array has also been populated with the unique values used by the CONST instructions within.

```
 \langle gen\_code \rangle \equiv \\  \text{static op\_code\_t *gen\_code} (\text{cfunction\_t *fn, op\_stack\_t *locs,} \\  \text{op\_offset\_t loc\_sz, op\_offset\_t *env\_ofs,}
```

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```
robject_array_t *consts)
{
    cblock_t *block;
    array_resize(consts, fn->nfuncs);
    ctx = (gen_ctx_t) {
        .code = ARRAY_INIT,
        .locs = locs,
        .records = xcalloc(fn->nblocks, sizeof(gen_block_t)),
        .consts = consts,
        .nfuncs = fn->nfuncs,
        .closure = &fn->closure,
        .loc_sz = loc_sz,
        .env_ofs = env_ofs
    };
    gen_un_ssa(fn, loc_sz);
    list_foreach_entry(&fn->cblock_head, block, cblock_list)
        gen_block(block);
   xfree(ctx.records);
   xfree(locs);
    if(env_ofs)
        xfree(env_ofs);
    if(opt.dbg_dump_ir)
        asm_dump(fn, &ctx.code, consts);
   return array_cede(&ctx.code);
```

gen_block generates bytecode instructions for a single block. The start address addr is recorded in the block's record rec, the latter being flagged .valid. Forward references in earlier code can now be patched by gen_fixups.

Each node in the block is processed by gen_node in control-flow order, after which gen_copies appends the copies that gen_un_ssa requested. If control flow falls through the end of the block, a transfer to the next is generated by gen_jump.

```
\langle gen\_block \rangle \equiv
 static void gen_block(cblock_t *block)
      op_offset_t addr = asm_len();
      gen_block_t *rec = rec_for(block);
      bool fall = true;
      cnode_t *node;
      rec->addr = addr;
     rec->valid = true;
      gen_fixups(rec);
     list_foreach_entry(&block->cnode_head, node, cnode_list)
      {
          assert(fall);
          fall = gen_node(block, node);
      // no critical edges; copies after IF can't happen
      assert(fall || list_isempty(&rec->copies));
      gen_copies(rec);
      if(fall)
      {
          assert(alen(&block->succ) == 1);
          gen_jump(block, aref(&block->succ, 0));
```

```
}
```

15.4.1 Fixups & Copies

gen_fixups resolves forward references to the block with record rec, writing the address of the block's first instruction to each fixup address. The list of .fixups is empty on return.

```
⟨gen_fixups⟩≡
static void gen_fixups(gen_block_t *rec)
{
    gen_fixup_t *fixup;

    slist_while_pop(rec->fixups, fixup, next)
    {
        asm_patch(fixup->addr, rec->addr);
        xfree(fixup);
    }
}
```

gen_copies appends, in the requested order, the copies realising the PHI nodes in the successor of the block with record rec. The list of .copies is empty on return.

```
⟨gen_copies⟩

static void gen_copies(gen_block_t *rec)
{
    gen_copy_t *copy;

    list_while_entry(&rec->copies, copy, list)
    {
        list_remove(&copy->list);
        gen_copy(copy->dest, copy->src, copy->type, copy->type);
        xfree(copy);
    }
}
```

15.4.2 Control Flow

```
\langle control\ flow \rangle \equiv \\ \langle is\_fallthrough \rangle \\ \langle gen\_transfer \rangle \\ \langle gen\_jump \rangle
```

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The gen_transfer function appends an instruction with opcode op to transfer control flow from the block currently under construction to another block in the same function.

When op is a conditional branch, it will take an extra operand, emitted before the start address of the target block. If the latter is not yet known, a fixup for the address operand will be requested.

```
\langle qen\_transfer \rangle \equiv
  static void gen_transfer(op_code_t op, cblock_t *to, op_stack_t *extra)
      gen_block_t *rec = rec_for(to);
      asm_op(op);
      if(extra)
          asm_stack(*extra);
      asm_offset(rec->addr);
      if(!rec->valid)
      {
          gen_fixup_t *fixup = xmalloc(sizeof(*fixup));
           *fixup = (gen_fixup_t) {
               .addr = asm_len() - sizeof(op_offset_t),
               .next = NULL
          };
          slist_push(rec->fixups, fixup, next);
      }
  }
```

gen_jump appends a jump instruction to unconditionally transfer control flow from the start of one block to the end of another, if necessary.

```
\( \langle gen_jump \rangle \subseteq \text{static void gen_jump(cblock_t *from, cblock_t *to)} \\ \{ \text{if(!is_fallthrough(from, to))} \\ \text{gen_transfer(OP_jump, to, NULL);} \\ \} \end{arrangle}\)
```

Control flow falls through block pred to reach succ if the latter immediately succeeds the former in the function's .cblock_head list, since code is generated in list order.

```
⟨is_fallthrough⟩≡
static inline bool is_fallthrough(cblock_t *pred, cblock_t *succ)
{ return pred->cblock_list.next == &succ->cblock_list; }
```

15.5 Nodes

```
\langle gen\_node \rangle
```

gen_node invokes the appropriate function to generate bytecode for each .type of node, returning true when control flow continues to the next instruction.

```
\langle qen\_node \rangle \equiv
 static bool gen_node(cblock_t *block, cnode_t *node)
      switch(node->type)
      case CN_IF: return gen_node_if(node, block);
      case CN_RETURN: return gen_node_return(node);
      case CN_CALL: return gen_node_call_universal(node);
      case CN_CALL_FAST: return gen_node_call_fast(node);
      case CN_LAMBDA: return gen_node_lambda(node);
      case CN_CONST: return gen_node_const(node);
      case CN_SET: return gen_node_set(node);
      case CN_REF: return gen_node_ref(node);
      case CN_COPY: return gen_node_copy(node);
      case CN_BUILTIN: return gen_node_builtin(node);
     default: return true;
     } /* NOTREACHED */
 }
```

CONST nodes generate literal instructions for unboxed scalar constants, and const instructions for all others. A constant in bytecode is referred to by its index in the function's constant pool.

```
\langle gen\_node\_const \rangle \equiv
 static bool gen_node_const(cnode_t *node)
      assert(r_subtypep(r_typeof(node->constant), node->decl));
      if(rtype_is_scalar(node->decl))
      {
          assert(r_typeof(node->constant) == node->decl);
          gen_literal(loc_for(node), BOXPTR(node->constant),
                       node->decl);
      }
      else
      {
          asm_op(OP_const);
          asm_stack(loc_for(node));
          asm_offset(const_index(node->constant));
      }
      return true;
```

The source operand for a literal instruction, of given type, is copied by gen_literal from memory at ptr into the instruction stream.

```
\langle gen_literal \rangle \subseteq 
static void gen_literal(op_stack_t dest, void *ptr, rtype_t *type) {
    asm_op_width(OP_literal, type);
    asm_stack(dest);
    asm_literal(ptr, rtype_eltsz(type));
}
```

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const_index returns the index of the value val in the .consts array (ignoring the reserved indices); adding it if not found. User constants have been interned in the constant table (Section 3.2) and constants used by the compiler are unique by construction, so pointer equality is equivalent to object equality.

```
(const_index) =
  op_offset_t const_index(void *val)
{
    for(int i = ctx.nfuncs; i < alen(ctx.consts); i++)
        if(aref(ctx.consts, i) == val)
            return i;
    array_push(ctx.consts, val);
    return alen(ctx.consts) - 1;
}</pre>
```

A COPY node may also convert the copied value from one type to another.

The gen_copy function generates one or more instructions to copy a value of type stype from stack location src to dest, after which it is to be regarded as having type dtype.

Equal types result in a mov instruction (operating on the requisite number of bytes). Scalar conversion is realised with a conv instruction. Converting an object to a scalar requires an unbox operation; the converse, allocation of a box.

A value of one reference type can be considered to have some other reference type when the former is a subtype of the latter. The check instruction ensures that this is the case, followed by a mov where necessary.

```
\langle qen\_copy \rangle \equiv
 static void gen_copy(op_stack_t dest, op_stack_t src,
                        rtype_t *dtype, rtype_t *stype)
 {
      if(dtype == stype)
          asm_op_width(OP_mov, stype);
      else if(rtype_is_scalar(dtype) && rtype_is_scalar(stype))
          asm_op_conv(stype, dtype);
      else if(rtype_is_scalar(dtype))
          asm_op_type(OP_unbox, dtype);
      else if(rtype_is_scalar(stype))
          asm_op_type(OP_box, stype);
      else
      {
          asm_op(OP_check);
          asm_stack(src);
          asm_offset(const_index(dtype));
          if(src == dest)
              return;
          asm_op_width(OP_mov, r_type_object);
      }
      asm_stack(dest);
      asm_stack(src);
 }
```

A BUILTIN node defers to the .generate_fn callback (Section 10.5) specified by the cbuiltin_t. This will invoke the asm helpers to generate the instruction or instructions that implement its functionality.

```
\langle gen_node_builtin\alpha =
static bool gen_node_builtin(cnode_t *node)
{
    const cbuiltin_t *bi = node->builtin.bi;
    assert(bi->ops && bi->ops->generate_fn);
    bi->ops->generate_fn(node);
    return true;
}
```

An IF node generates an if instruction, which branches to the consequent block when the condition is true. When false, control flow is transferred to the alternative block.

(An obvious optimisation would be to reverse the sense of the condition – possibly with a distinct ifnot instruction – when that allows control to fall through without an extra jump.)

```
\( \langle gen_node_if \rangle \)
\( \text{static bool gen_node_if(cnode_t *node, cblock_t *block)} \)
\( \text{op_stack_t cond} = \loc_for(node-\rangle ifelse.cond);} \)
\( \text{gen_transfer(OP_if, aref(&block-\rangle succ, 0), &cond);} \)
\( \text{gen_jump(block, aref(&block-\rangle succ, 1));} \)
\( \text{return false;} \)
\( \text{A RETURN node generates a ret instruction.} \)
\( \langle gen_node_return \rangle \)
\( \text{static bool gen_node_return(cnode_t *node)} \)
\( \text{asm_op(OP_ret);} \)
\( \text{asm_op(OP_ret);} \)
\( \text{return false;} \)
\( \text{}
\)
\( \text{return false;} \)
\( \text{}
\)
\( \text{return false;} \)
\( \text{}
\)
\( \text{return false;} \)
\(
```

The previous two node types handle control flow themselves, so they return false via gen_node to gen_block.

15.5.1 Call

```
\langle call \rangle \equiv \langle gen\_node\_call\_fast \rangle 
\langle gen\_arg\_rest \rangle
\langle gen\_arg\_kwd \rangle
\langle gen\_arg\_pos \rangle
\langle gen\_arg\_omit \rangle
\langle gen\_node\_call\_universal \rangle
```

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The sequence of instructions generated by a CALL_FAST node implements the fast call convention (Subsection 22.2.1). The target function's signature sig is known, and the actual arguments have already been matched to their formal counterparts (Section 13.4).

The initial frame instruction clears a call frame atop the stack of size .argsz and copies, as the implicit first argument, the .argbits from the call node. Each actual argument is moved into place at the correct .offset (when not omitted.)

This is inefficient when the values aren't live beyond the call – the location allocator could be improved to also operate on outgoing arguments, at the cost of making frame explicit in the IR.

A call_fast instruction invokes the target function; the following complete instruction places the value returned at the stack location dest.

```
\langle gen\_node\_call\_fast \rangle \equiv
 static bool gen_node_call_fast(cnode_t *node)
      op_stack_t target = loc_for(node->call.target);
      op_stack_t dest = loc_for(node);
     funsig_t *sig = type_for(node->call.target)->sig;
      assert(rtype_is_callable(type_for(node->call.target)));
      assert(alen(&node->call.args) == sig->nargs);
      assert((node->call.argbits & sig->reqbits) == sig->reqbits);
      asm_op(OP_frame);
      asm_offset(sig->argsz);
      asm_literal(&node->call.argbits, sizeof(argbits_t));
      array_foreach(&node->call.args, i)
      {
          cnode_t *arg = aref(&node->call.args, i);
          op_stack_t ofs = sig->args[i].offset;
          if(!arg)
              continue;
          gen_copy(ctx.loc_sz + ofs, loc_for(arg),
                   type_for(arg), type_for(arg));
     }
      asm_op(OP_call_fast);
      asm_stack(target);
     asm_op_width(OP_complete, type_for(node));
      asm_stack(dest);
     return true;
 }
```

The instructions generated by a CALL node enact the universal call convention (Subsection 22.2.2). No properties of the target function are assumed; argument matching, type checking and conversion all occur during execution.

A call_uni instruction invokes the target after forming the argument frame at runtime. Sub-operations to perform this task are emitted by the gen_arg callbacks under control of the call_sequence function (Section 22.3); a call_end sub-op terminates the sequence.

The following complete_box instruction places the value returned at the stack location dest, boxing it first if the target's signature indicates a scalar return type.

```
\( \langle gen_node_call_universal \rangle \)
\text{ static bool gen_node_call_universal(cnode_t *node)} \( \)
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```

```
op_stack_t dest = loc_for(node);
      cnode_t **args = adata(&node->call.args);
      int nargs = alen(&node->call.args);
      rsymbol_t **names = call_has_names(node)
                          ? adata(&node->call.names)
                          : NULL:
      asm_op(OP_call_uni);
      asm_stack(target);
      call_sequence(NULL, (void **)args, names, nargs,
                     gen_arg_rest, gen_arg_kwd, gen_arg_pos, gen_arg_omit);
      asm_subop(OP_call_end);
      asm_op(OP_complete_box);
      asm_stack(target);
      asm_stack(dest);
      return true;
  }
The call_pos sub-op specifies a positional argument with actual value given by the
node arg.
\langle gen\_arg\_pos \rangle \equiv
  static bool gen_arg_pos(void *ptr, void *arg)
      asm_subop(OP_call_pos);
      asm_stack(loc_for(arg));
      return true;
Thecall_kwd sub-op specifies a named argument, with the name a constant symbol and
the actual value given by arg.
\langle gen\_arg\_kwd \rangle \equiv
  static bool gen_arg_kwd(void *ptr, rsymbol_t *name, void *arg)
  {
      op_offset_t idx = const_index(name);
      asm_subop(OP_call_kwd);
      asm_offset(idx);
      asm_stack(loc_for(arg));
      return true;
The call_omit sub-op marks an omitted positional argument.
\langle qen\_arg\_omit \rangle \equiv
  static bool gen_arg_omit(void *ptr)
      asm_subop(OP_call_omit);
      return true;
The call_rest sub-op passes the value of arg as the rest vector "..." to the callee.
\langle qen\_arg\_rest \rangle \equiv
  static bool gen_arg_rest(void *ptr, void *arg)
      asm_subop(OP_call_rest);
      asm_stack(loc_for(arg));
      return true;
```

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15.5.2 Lambda

If the child function fn defined by a LAMBDA node captures any values, a lambda instruction is generated. When executed, this will create a closure from the rfunction_t at the constant pool index corresponding to the child's .id. It's followed by a sequence of sub-operations, generated by gen_closure, that copy the captured values into the closed environment.

Otherwise, a const instruction retrieves the closure itself from the constant pool at the corresponding reserved index, where it was stashed by gen_child_function.

```
⟨gen_node_lambda⟩≡
static bool gen_node_lambda(cnode_t *node)
{
    cfunction_t *fn = node->lambda.function;
    bool clo = cfunc_has_closure(fn);
    int id = fn->id;
    assert(alen(&node->lambda.closure) == alen(&fn->closure));
    asm_op(clo ? OP_lambda : OP_const);
    asm_stack(loc_for(node));
    asm_offset(id);
    if(clo)
        gen_closure(node, fn);
    return true;
}
```

The values captured by the child function fn are copied into the closure with lambda_mov sub-ops in the same order as their offsets are computed in closure_env. This fills the closure in two phases; first with the scalars, then the pointers. The sequence is terminated with a lambda_end.

```
\langle gen\_closure \rangle \equiv
 static void gen_closure(cnode_t *node, cfunction_t *fn)
      for(int j = 0; j \le 1; j++)
          int i;
          array_foreach(&fn->closure, i)
               cnode_t *val = aref(&node->lambda.closure, i);
               assert(val);
              rtype_t *typ = type_for(val);
               if(j == rtype_is_scalar(typ))
                   continue;
               asm_subop(OP_lambda_mov8 + width_code(typ));
               asm_stack(loc_for(val));
          }
      }
      asm_subop(OP_lambda_end);
 }
```

15.5.3 Variables

By now, SET nodes can only refer to global variables.

A GLOBAL_INT variable is defined by the node .intl.set – at this point, a defvar instruction will, when executed, add it to the global environment; its name and declared type (if any) are specified by constants.

A GLOBAL_EXT variable already exists in the global environment, but may or may not have a declared type.

Either way, gen_setvar will generate an appropriate set instruction.

```
\langle gen\_node\_set \rangle \equiv
 static bool gen_node_set(cnode_t *node)
      cvar_t *var = node->set.var;
      assert(r_subtypep(decl_type(node->set.value->decl), decl_type(var->decl)));
      switch(var->type)
      case GLOBAL_INT:
      {
          bool is_def = var->intl.set == node;
          if(is_def)
              asm_op(OP_defvar);
              asm_offset(const_index(var->name));
              asm_offset(const_index(decl_type(var->decl)));
          gen_setvar(var, node->set.value, true, is_def & var->is_const);
          break;
      }
      case GLOBAL_EXT:
          bool has_decl = var->extl.global ? (assert(var->extl.global->decl),true) : false;
          gen_setvar(var, node->set.value, has_decl, false);
          break;
      default: assert(!"reached"); break; /* NOTREACHED */
      return true;
```

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When has_decl is true, the variable var has a declared type; it is assigned a value with the setvar instruction appropriate to its size. An undeclared variable uses the setvar_uni instruction instead.

The assigned value is given by the node val, the variable .name specified by a constant. If has_decl, the extra operand is_def is true when this is the initialising definition of a constant variable. It will set the .is_const flag and prevent further updates (Section 19.5).

REF nodes may refer to globals or captured lexical variables. gen_getvar handles the former case; in the latter, the env instruction will extract the value of the variable var from the closed environment at the offset given by env_offset.

```
\langle gen\_node\_ref \rangle \equiv
 static bool gen_node_ref(cnode_t *node)
 {
      cvar_t *var = node->ref.var;
      switch(var->type)
      case GLOBAL_INT:
          gen_getvar(var, node, true);
          break;
      case GLOBAL_EXT:
          gen_getvar(var, node, var->extl.global);
      default:
          asm_op_width(OP_env, type_for(node));
          asm_stack(loc_for(node));
          asm_offset(env_offset(var));
          break;
      }
      return true;
 }
```

The elements of the .env_ofs array, the function's LAMBDA node's .closure array, and so the function's .closure array, are in the same order. The index of the variable var in the last is thus the index in the first at which its offset is stored.

```
\langle env_offset\rangle \equiv static inline op_offset_t env_offset(cvar_t *var)
{ return ctx.env_ofs[index_of_var(ctx.closure, var)]; }
```

Again, has_decl is true if the global variable var was declared; if so, the appropriate getvar instruction will be generated. An undeclared global will generate the getvar_uni instruction instead. Again, the .name is specified by a constant.

```
⟨gen_getvar⟩≡
static void gen_getvar(cvar_t *var, cnode_t *node, bool has_decl)
{
   if(has_decl)
       asm_op_width(OP_getvar, type_for(node));
   else
       asm_op(OP_getvar_uni);
   asm_stack(loc_for(node));
   asm_offset(const_index(var->name));
}
```

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```
\langle includes \rangle \equiv
   #include "global.h"
   #include "ir.h"
   #include "opt.h"
   #include "vm/vm_ops.h"
   #include "gen.h"
   #include "gen_range.h"
   #include "gen_code.h"
   void gen_un_ssa(cfunction_t *fn, op_offset_t temploc); // HACK
\langle gen\_code.h \rangle \equiv
   \langle qen\_copy\_t \rangle
   \langle gen\_fixup\_t \rangle
   \langle gen\_block\_t \rangle
   \langle gen\_ctx\_t \rangle
   \langle externs \rangle
   \langle context \ helpers \rangle
   \langle asm\_len \rangle
   \langle asm\_extend \rangle
   \langle asm\_literal \rangle
   \langle asm\_op \rangle
   \langle asm\_stack \rangle
   \langle asm\_offset \rangle
    \langle width\_code \rangle
    \langle asm\_op\_width \rangle
   \langle asm\_op\_type \rangle
   \langle asm\_op\_conv \rangle
   \langle asm\_args \rangle
   \langle asm\_patch \rangle
   op_offset_t const_index(void *val);
   void asm_dump(cfunction_t *fn, op_code_array_t *code, robject_array_t *consts); // DEBUG
\langle externs \rangle \equiv
   extern gen_ctx_t ctx;
```

Chapter 16

Live Range Analysis

A value is *live* at every point in the program that lies between its definition and some subsequent use (Brandner et al., 2011). This module computes the *live range* of each node in the input program. The values produced by two nodes can be stored in the same location if their live ranges do not intersect.

Location allocation (Chapter 17) takes advantage of this fact to reduce the size of stack frame required for each function; via processor cache effects, this is expected to improve run-time performance. Dead values of reference type may also be reclaimed more promptly by the garbage collector.

```
\langle gen\_range.c \rangle \equiv
\langle includes \rangle
\langle intervals \rangle
\langle ranges \rangle
\langle cfunc\_liveranges \rangle
```

16.1 Points, Intervals & Ranges

Nodes (Subsection 5.1.4) are taken as defining program points. cfunc_rdfo (Section 7.5) has assigned to them .id numbers in reverse-depth-first order.

The range_t structure descrives the live range of a single .node. The .it_head list contains the non-contiguous intervals, ordered by increasing start point, within which the value produced by the node is live. The start of the first interval is stored in .start; the end of the last, in .end. When a location is assigned or allocated for the node, it's stored in .loc.

```
⟨range_t⟩≡
  typedef struct
  {
     cnode_t *node;
     list_t it_head;
     list_t range_list;
     unsigned start, end;
  } range_t;
```

An interval_t structure denotes the half-open interval [.start,.end). It's linked, through its .it_list field, to the .it_head of its containing range.

```
⟨interval_t⟩≡
  typedef struct
  {
    unsigned start, end;
    list_t it_list;
} interval_t;
```

```
\langle intervals \rangle \equiv
\langle interval\_covers \rangle
\langle interval\_create\_after \rangle
\langle interval\_add \rangle
\langle interval\_extend \rangle
```

The interval_covers predicate returns true if and only if the interval it covers the given point.

An interval ends at the use of a value, but does not cover it – for example, if b is not live beyond the assignment a = fn(b), a and b can occupy the same location.

```
⟨interval_covers⟩≡
  static inline bool interval_covers(interval_t *it, unsigned point)
  { return it->start <= point && point < it->end; }
```

interval_add returns an interval in range that ends at or covers the point – finding one which already exists, or creating and adding a new interval of zero length.

```
\(\left(interval_add\right) \equiv \text{static interval_t *interval_add(range_t *range, unsigned point)} \\ \{ \text{interval_t *prev}; \\
\text{list_foreach_entry_reverse(&range->it_head, prev, it_list)} \\ \{ \text{if(prev->end < point)} \\
\text{break;} \\
\text{else if(prev->start <= point)} \\
\text{return prev;} \\ \} \\
\text{return interval_create_after(range, prev, point);} \end{arrange} \]
</pre>
```

interval_create_after constructs the interval [point, point), inserting it into range after the interval prev. This is chosen appropriately by the caller so that the .it_head list is maintained in sorted order.

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interval_extend extends the range's interval it backwards, to start at or cover point. If there is an adjacent interval prev in that direction, and it would overlap, the two are coalesced (it's removed in favour of updating prev) and the result returned.

```
\langle interval\_extend \rangle \equiv
 static interval_t *interval_extend(range_t *range, interval_t *it,
                                        unsigned point)
 {
      if(it->it_list.prev != &range->it_head)
          interval_t *prev = list_entry(it->it_list.prev, it, it_list);
          if(prev->end >= point)
          {
               assert(prev->start <= point);</pre>
              prev->end = it->end;
              list_remove(&it->it_list);
               xfree(it);
               return prev;
          }
      }
      if(it->start > point)
          it->start = point;
      return it;
 }
```

16.2 Live Ranges

The liveranges_t structure holds the information required for location allocation, as well as its results. The elements in the .ranges array correspond to the nodes in the function being compiled. They're linked, in order of increasing .start point, to the .range_head list.

```
\liveranges_t\\=
  typedef struct
{
    range_t *ranges;
    list_t range_head;
} liveranges_t;

The live range_t of a node is accessed via the range_for helper.
```

A point is covered by a range if and only it's covered by one of the latter's intervals.

The module entry point cfunc_liveranges constructs, populates and returns the live ranges structure for the given function fn.

When code generation is complete, the structure is deallocated with liveranges_free.

```
\langle liveranges_free \langle \square void liveranges_free (cfunction_t *fn, liveranges_t *live) {
    range_t *range;

    for(int i = 0; i < fn->nnodes; i++) {
        range = &live->ranges[i];

        if(!list_isempty(&range->it_head))
            range_free(range);
    }

    xfree(live->ranges);
    xfree(live);
}
```

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Freeing a range entails freeing its consitutent intervals.

16.2.1 Path Exploration

The liveranges_compute function discovers the live ranges of the nodes in the function fn. They're visited in list order, which is the same order as their .id numbers (again, thanks to cfunc_rdfo).

Each node has a corresponding range. If the former doesn't yield a value, no further work need be done for the latter.

```
(compute range) =
  range_t *range = range_for(live, node);
  cnode_t *user;

list_init(&range->it_head);
  list_init(&range->range_list);
  if(!cnode_yields_value(node))
      continue;
```

Otherwise, the live range is computed in a backwards traversal, beginning at each use of the node and ending at the node itself (Brandner et al., 2011, Section 5, Algorithm 6). This is performed by range_extend, which is passed the block from which to begin, and an interval it which ends at the point of use.

If the node is used as an argument of a PHI, it is is live out of the corresponding predecessor block, so traversal begins there. The interval it covers the .end of the block.

Otherwise, the interval ends at (but does not cover) the use itself, and traversal begins at its containing block.

```
\langle compute \ range \rangle + \equiv
 range->node = node;
 array_foreach_entry(&node->users, user)
      cblock_t *begin;
      interval_t *it;
      if(user->type == CN_PHI)
          int i = index_of_node(&user->phi.args, node);
          assert(i >= 0);
          begin = skip_empty(aref(&user->block->pred, i));
          it = interval_add(range, begin->end + 1);
      }
      else
      {
          begin = user->block;
          it = interval_add(range, user->id);
      range_extend(range, begin, node, it);
 }
```

Even if the node isn't used, its value still has to go somewhere; a zero-length interval is added to its range in this case.

```
⟨compute range⟩+≡
if(!cnode_is_used(node))
interval_add(range, node->id);
```

The .start and .end of the range are set by range_extents. Since nodes are visited in order of increasing .id, their ranges are appended to the .range_list in order also.

```
\langle compute range\range +=
range_extents(range);
list_add_before(&live->range_head, &range->range_list);
```

Empty blocks have been inserted on critical edges (Section 7.3). They hold no program points so can be ignored when encountered, a task performed by the skip_empty helper.

```
(skip_empty) ≡
  static inline cblock_t *skip_empty(cblock_t *block)
  {
    if(list_isempty(&block->cnode_head))
       return aref(&block->pred, 0);
    return block;
}
```

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The range_extend function walks backwards in the control-flow graph towards a node, growing its live range along the way. The interval it ends at or covers the end of the block being processed.

This is based on the algorithm described in Brandner et al. (2011, Section 5.2) adapted to operate on live ranges instead of live sets, in a similar vein to Mössenböck and Pfeiffer (2002, Section 4.4).

```
\langle \( \text{range_extend} \rangle \)
\text{static void range_extend(range_t *range, cblock_t *block, cnode_t *node, interval_t *it)} \\
\{ \quad \text{unsigned point; cblock_t *pred;} \quad \( \text{extend range} \) \\
\}
```

If the node is defined in this block, it provides the target program point. Otherwise the traversal will continue upwards, so the target point is given by the block .start – this is also the case if it's a PHI node, as the result of a ϕ -function is live into its containing block (Brandner et al., 2011, Section 4).

If continuing up this path won't extend the interval, it's already been covered by another invocation, so this one is done.

```
⟨extend range⟩+≡
if(interval_covers(it, point))
    return;
```

The interval is extended backward to cover the target point.

```
\langle extend \ range \rangle + \equiv interval_extend(range, it, point);
```

If the definition has been reached, the traversal is complete.

```
⟨extend range⟩+≡
if(node->block == block)
return;
```

Otherwise, each predecessor of the block is recursively traversed with an interval covering its .end.

```
\left(\text{extend range}\right) +=
    array_foreach_entry(&block->pred, pred)
    {
        pred = skip_empty(pred);
        it = interval_add(range, pred->end + 1);
        range_extend(range, pred, node, it);
    }
}
```

The range_extents helper sets the overall extrema of the range. first and last may be the same interval.

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Chapter 17

Location Allocation

The values that the virtual machine (Chapter 26) operates upon are stored on a *stack*. A stack location is addressed with a signed offset from the VM's *base pointer* register (Section 26.1). Location allocation is the process of assigning stack locations to the values produced by the nodes in a function, according to their types and lifetimes.

17.1 Abstract Locations

Before their concrete stack locations can be computed, each node is first assigned an abstract location, represented as a loc_t structure. Its .index is interpreted relative to the storage base specified by the .base field. The storage base chosen for a node depends on the node's size, type and usage. Two nodes with the same .index and .base will occupy the same concrete location.

```
⟨loc_t⟩≡
  typedef struct
  {
    locbase base;
    unsigned index;
  } loc_t;
```

BASE_8, _32, _64 and _PTR denote storage for local scalar booleans, integers, doubles, and pointers to objects, respectively. The formal arguments to a function are stored at BASE_ARG. BASE_HINT is a request to the allocator that the value be stored at the same location, if possible, as that of another node. Values without special requirements are provisionally initialised to BASE_NONE.

```
typedef enum
{
    BASE_8 = SC_BOOLEAN,
    BASE_32 = SC_INT,
    BASE_64 = SC_DOUBLE,
    BASE_PTR,
    BASE_ARG,
    BASE_HINT,
    BASE_NONE
} locbase;
```

Each node which is UNASSIGNED is allocated a location at one of the LOCAL_BASES. The SCALAR_BASES are segregated from BASE_PTR, to simplify garbage collection.

```
⟨locbase⟩+≡
#define LOCAL_BASES BASE_ARG
#define SCALAR_BASES (BASE_64+1)
#define UNASSIGNED BASE_HINT
```

The storage base suitable for the type of value produced by a **node** is returned by the **base_for** helper function.

The size_base helper returns the number of bytes taken by a value in a storage base.

```
\(size_base\)\(\size_base\)\(\size_base(base)\)
\{
    static const int base_sizes[] = \{ 1, 4, 8, sizeof(robject_t *) \};
    return base_sizes[base];
}
```

17.2 Entry Point

The module entry point, gen_locations, computes the bp-relative offset in the VM stack for each node in the function fn. The live ranges (computed in Chapter 16) provide the lifetime information for each node; the locs array stores their abstract locations.

Some nodes are preassigned specific locations by args_assign and phi_assign. Locations for the remainder are allocated by loc_alloc which also returns, in the nlocs array, the number of values stored at each of the LOCAL_BASES. loc_bases then computes the offsets and sizes of these, writing the former to the base_ofs array and the latter to *pscalsz and *psz.

The concrete stack offset of each node can then be computed by loc_offsets; the resulting ofs array, indexed in the usual fashion by node .id, being returned as the result.

```
\langle qen\_locations \rangle \equiv
  op_stack_t *gen_locations(cfunction_t *fn, liveranges_t *live,
                              op_offset_t *pscalsz, op_offset_t *psz)
      loc_t *locs = init_locs(fn);
      op_offset_t base_ofs[LOCAL_BASES];
      unsigned nlocs[LOCAL_BASES];
      op_stack_t *ofs;
      args_assign(fn, locs);
      phis_assign(fn, live, locs);
      loc_alloc(fn, live, locs, nlocs);
      loc_bases(base_ofs, nlocs, pscalsz, psz);
      ofs = loc_offsets(fn, base_ofs, locs);
      liveranges_free(fn, live);
      xfree(locs);
      return ofs;
Every node begins with location .base set to BASE_NONE.
\langle init\_locs \rangle \equiv
  static inline loc_t *init_locs(cfunction_t *fn)
      loc_t *locs = xcalloc(fn->nnodes, sizeof(*locs));
      for(int i = 0; i < fn->nnodes; i++)
          locs[i].base = BASE_NONE;
      return locs;
  }
```

17.3 Preassignment

The BIND nodes corresponding to the formal arguments of the function are found at known offsets in the incoming call frame. PHI nodes and their arguments are linked by a mechanism analogous to the "register hints" of Wimmer and Franz (2010).

```
\langle preassignment \rangle \equiv \\ \langle args\_assign \rangle \\ \langle cmp\_node\_id \rangle \\ \langle phi\_assign\_hints \rangle \\ \langle phis\_assign \rangle
```

args_assign assigns to BIND nodes, in the order they appear in the .entry block of function fn, an .index at BASE_ARG (no BIND nodes appear elsewhere; they were removed during SSA conversion).

```
\langle args\_assign \rangle \equiv
 static void args_assign(cfunction_t *fn, loc_t *locs)
      int argi = 0;
      cblock_t *block = NULL;
      cnode_t *node;
      block = list_entry(fn->entry, block, cblock_list);
      list_foreach_entry(&block->cnode_head, node, cnode_list)
      {
          if(node->type != CN_BIND)
               continue;
          locs[node->id] = (loc_t) {
               .base = BASE_ARG,
               .index = argi++
          };
      }
 }
```

To minimise copying during SSA deconstruction (Chapter 18) it is desirable that, where possible, a PHI node and its arguments are allocated the same concrete location. phis_assign connects these ' ϕ -related' nodes with "location hints".

phi_assign_hints initialises the locations of the phi and its arguments. Placed in the array arr and sorted in program order (i.e. increasing .id), each ϕ -related node is examined.

```
static void phi_assign_hints(loc_t *locs, cnode_t *phi)
{
    cnode_array_t arr = ARRAY_INIT;
    cnode_t *node, *tail = NULL;

    array_copy(&arr, &phi->phi.args);
    array_push(&arr, phi);
    qsort(arr.ptr, arr.length, sizeof(cnode_t *), cmp_node_id);
    array_foreach_entry(&arr, node)
    {
        (assign hint)
    }
    array_fini(&arr);
}
```

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A sequence of hinted locations forms a chain – with .base set to BASE_HINT, the .index field of each is set to the .id of the preceding node.

Only an uninitialised node will be assigned, but if this one is already hinted, the chain can continue from it. If this is the first node, it begins the chain and stays at BASE_NONE. Otherwise, the hint is assigned and the tail advanced.

```
\langle assign \ hint \rangle \equiv
  loc_t *loc = &locs[node->id];
  if(loc->base != BASE_NONE)
      if(loc->base == BASE_HINT)
           tail = node;
  }
  else if(!tail)
      tail = node;
  else if(node != tail)
  {
      *loc = (loc_t) {
           .base = BASE_HINT,
           .index = tail->id
      };
      tail = node;
The qsort call uses the cmp_node_id helper to sort the nodes by .id.
\langle cmp\_node\_id \rangle \equiv
  static int cmp_node_id(const void *a, const void *b)
      cnode_t *x = *(cnode_t **)a, *y = *(cnode_t **)b;
      return x->id - y->id;
  }
```

17.4 Linear Scan

Each node could be given a separate, unique stack offset, but this would waste space; most values are not live over the entire function. We allocate locations to nodes in a single *linear scan*. This can produce code of nearly the same quality as a conventional graph-colouring register allocator, while being faster and simpler (Traub et al., 1998).

```
\langle linear\ scan \rangle \equiv \\ \langle find\_free\_index \rangle \\ \langle loc\_alloc \rangle
```

Each storage base tracks the current allocation state with a local_t structure. It holds the lists of live ranges that are .active and .inactive, and an array of flags recording whether each index in the storage base is .used by a value.

```
(local_t) =
  typedef struct
  {
     list_t active, inactive;
     ARRAY(bool) used;
  } local_t;
```

The loc_alloc function assigns, to each node, an abstract location for its value that doesn't interfere with any other. The algorithm employed is that of Wimmer and Mössenböck (2005, Figure 2), with insights from Wimmer and Franz (2010, our "live range" corresponding to their "lifetime interval"). It performs linear scan with lifetime holes, but without range splitting or spilling.

```
\langle \langle loc_alloc \rangle \square void loc_alloc (cfunction_t *fn, liveranges_t *live, loc_t *locs, unsigned nlocs[]) {
    local_t locals[LOCAL_BASES];
    list_t *unhandled = &live->range_head;
    range_t *cur;
    \langle allocate locations \rangle \rangle \text{.}
```

The elements of the locals array are first initialised with empty lists and arrays.

```
(allocate locations) =
  for(int i = 0; i < LOCAL_BASES; i++)
{
    local_t *local = &locals[i];

    *local = (local_t) {
        .active = LIST_INIT(local->active),
        .inactive = LIST_INIT(local->inactive),
        .used = ARRAY_INIT
    };
}
```

While there are still nodes with unhandled ranges, the range cur is taken from the head of the list. This occurs in order of increasing .start point, as the list is sorted (Subsection 16.2.1). If the node's location loc is not UNASSIGNED, its allocation is skipped. The local state for the .node's storage base is consulted.

```
(allocate locations)+=
  list_while_entry(unhandled, cur, range_list)
{
    range_t *range, *tmp;
    locbase base = base_for(cur->node);
    local_t *local = &locals[base];
    loc_t *loc = &locs[cur->node->id];

    list_remove(&cur->range_list);
    if(loc->base < UNASSIGNED)
        continue;
    ⟨allocate range⟩
}</pre>
```

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The scan advances to the .start position of the range cur. Each .active range at this storage base is examined. If it has ended, it's removed from the list, and the .index that its node occupied is marked as no longer .used. If it has a lifetime hole at this position, it's moved to the .inactive list, with its node's index also marked unused.

```
(allocate range) =
  list_foreach_entry_safe(&local->active, range, tmp, range_list)
{
    if(range->end <= cur->start)
    {
        list_remove(&range->range_list);
        aset(&local->used, locs[range->node->id].index, false);
    }
    else if(!range_covers(range, cur->start))
    {
        aset(&local->used, locs[range->node->id].index, false);
        list_remove(&range->range_list);
        list_add(&local->inactive, &range->range_list);
    }
}
```

Then each .inactive range is examined in a similar manner. If it has has ended, it's removed as well; if it now covers the current position, it's reactivated and its node's index again marked as .used.

Note that this is always safe – two values in SSA form only interfere if one is live at the definition of the other (Boissinot et al., 2008, Section III.A), and the end of a lifetime hole is not a definition.

```
(allocate range)+=
list_foreach_entry_safe(&local->inactive, range, tmp, range_list)
{
    if(range->end <= cur->start)
        list_remove(&range->range_list);
    else if(range_covers(range, cur->start))
    {
        list_remove(&range->range_list);
        list_add(&local->active, &range->range_list);
        aset(&local->used, locs[range->node->id].index, true);
    }
}
```

The unset elements of the .used array now accurately reflect the available indices. find_free_index returns one; it's marked, the node's location is assigned its index and base, and the node's range becomes .active.

```
(allocate range)+=
  unsigned index = find_free_index(local, locs, loc);

aset(&local->used, index, true);
*loc = (loc_t) {
    .base = base,
    .index = index
};
list_add_before(&local->active, &cur->range_list);
```

After all ranges have been processed, the length of each storage base's .used array is equal to the maximum number of values live simultaneously at that base. This is copied to the corresponding element of nlocs.

The local list heads are unlinked, as they will become invalid when the function returns.

```
(allocate locations)+=
  for(int i = 0; i < LOCAL_BASES; i++)
{
    local_t *local = &locals[i];

    nlocs[i] = alen(&local->used);
    array_fini(&local->used);
    list_remove(&local->inactive);
    list_remove(&local->active);
}
```

The find_free_index function returns an available index in the local storage base. If the location loc was earlier initialised to BASE_HINT, it will take the same .index as the specified other node, if that isn't presently being .used.

Otherwise, if an unused index is available, it's returned. The array is extended and the newly added index returned in the event all others are occupied.

```
static unsigned find_free_index(local_t *local, loc_t *locs, loc_t *loc)
{
    unsigned i;

    if(loc->base == BASE_HINT)
    {
        loc_t *other = &locs[loc->index];

        if(!aref(&local->used, other->index))
            return other->index;
    }

    array_foreach(&local->used, i)
        if(!aref(&local->used, i))
        return i;
    array_extend(&local->used, 1);
    return i;
}
```

As loc_alloc doesn't split intervals within a range, a value will never change its location across a control-flow edge. This reduces the resolution phase of Wimmer and Franz (2010, Section 6) to SSA deconstruction only, which occurs in Chapter 18.

17.5 Concrete Locations

The stack frame is subdivided, with an area for each storage base. All local scalar values with the same width are stored together, as are local pointers. This increases overhead compared to an optimal packing, but has a very simple implementation. After the sizes of these areas are computed, abstract locations can be made concrete.

```
\langle concrete\ locations \rangle \equiv \\ \langle loc\_bases \rangle \\ \langle arg\_offset \rangle \\ \langle local\_offset \rangle \\ \langle loc\_offsets \rangle
```

loc_bases writes the start offset of each local storage base to base_ofs.

They are laid out one after the other at the beginning of the stack frame; BASE_8 followed by BASE_32 then BASE_64. *pscalsz is assigned their total size in bytes.

BASE_PTR comes after the scalars. Its size is added to the total and the result assigned to *psz. Exceeding the maximum addressable local stack space is a fatal error.

```
static void loc_bases(op_offset_t *base_ofs, unsigned nlocs[],
                      op_offset_t *pscalsz, op_offset_t *psz)
{
    size_t sz = 0;
    for(locbase i = BASE_8; i < SCALAR_BASES; i++)</pre>
        base_ofs[i] = sz;
        sz += nlocs[i] * size_base(i);
    *pscalsz = sz;
    if(sz > SHRT_MAX)
        fatal("out of local scalar stack space.");
    base_ofs[BASE_PTR] = sz;
    sz += nlocs[BASE_PTR] * size_base(BASE_PTR);
    if(sz > SHRT_MAX)
        fatal("out of local stack space.");
    *psz = sz;
}
```

Finally, loc_offsets can compute the concrete stack location, as an offset in bytes from the VM base pointer, from the abstract location loc assigned to each node. They are returned in the ofs array.

```
\langle loc\_offsets \rangle \equiv
  static op_stack_t *loc_offsets(cfunction_t *fn, op_offset_t *base_ofs,
                                   loc_t *locs)
  {
      op_stack_t *ofs = xcalloc(fn->nnodes, sizeof(*ofs));
      cblock_t *block;
      list_foreach_entry(&fn->cblock_head, block, cblock_list)
          cnode_t *node;
          list_foreach_entry(&block->cnode_head, node, cnode_list)
              loc_t *loc = &locs[node->id];
              if(!cnode_yields_value(node))
                   continue;
              ofs[node->id] = (loc->base == BASE_ARG)
                             ? arg_offset(fn, loc)
                             : local_offset(base_ofs, loc);
          }
      return ofs;
  }
```

arg_offset returns the offset for the function fn's argument with the given index at BASE_ARG.

The first, with index 0, is always the implicit argbits argument (Chapter 22). The .offsets of the remainder have already been computed, and are specified by the function fn's signature (Section 21.2).

local_offset returns the offset in the stack frame for the node with the given index at base.

```
⟨local_offset⟩≡
  static inline op_stack_t local_offset(op_offset_t *base_ofs, loc_t *loc)
  { return base_ofs[loc->base] + size_base(loc->base) * loc->index; }
```

Miscellanea

```
\begin{split} &\langle includes \rangle \equiv \\ & \text{ \#include "global.h"} \\ & \text{ \#include "ir.h"} \\ & // \text{ for vm_act_rec_t} \\ & \text{ \#include "vm/vm_ops.h"} \\ & \text{ \#include "gen.h"} \\ & \text{ \#include "gen_range.h"} \\ & \langle gen.h \rangle \equiv \\ & \langle locbase \rangle \\ & \langle loc_t \rangle \\ & \langle base\_for \rangle \\ & \langle size\_base \rangle \end{split}
```

Chapter 18

SSA Form Destruction

The ϕ -functions of static single assignment form are not part of the VM instruction set. They are removed, before generating code, by inserting copies (Cytron et al., 1991, Section 7).

```
 \langle gen\_unssa.c\rangle \equiv \\ \langle includes\rangle \\ \langle make\_copy\rangle \\ \langle mapelt\_t\rangle \\ \langle schedmap\_t\rangle \\ \langle map\_get\rangle \\ \langle map\_init\rangle \\ \langle schedule\_init\rangle \\ \langle schedule\_todo\rangle \\ \langle schedule\_copies\rangle \\ \langle gen\_un\_ssa\rangle
```

This is performed by the gen_un_ssa function, corresponding to Wimmer and Franz (2010, Figure 7), or the first part of Briggs et al. (1998, Figure 14, "Pass One").

For each block in function fn, each PHI node in each successor succ is considered.

```
\langle gen_{-}un_{-}ssa \rangle \equiv
  void gen_un_ssa(cfunction_t *fn, op_offset_t temploc)
  {
      cblock_t *block;
      list_t copies = LIST_INIT(copies);
      list_foreach_entry(&fn->cblock_head, block, cblock_list)
           gen_block_t *rec = rec_for(block);
           int i, ncopies = 0;
          rec->copies = (list_t) LIST_INIT(rec->copies);
          array_foreach(&block->succ, i)
               cblock_t *succ = aref(&block->succ, i);
               int j = index_of_block(&succ->pred, block);
               cnode_t *node;
               list_foreach_entry(&succ->cnode_head, node, cnode_list)
                    if(node->type != CN_PHI)
                        break;
                    \langle copy \ for \ phi \ arg \rangle
```

```
\}
\langle schedule\ copies \rangle
\}
```

The argument arg corresponds to the value of the PHI when control-flow enters succ from block. Locations on the VM stack have been allocated to each node (Chapter 17). If the argument and the PHI itself were given different locations, a copy from the former to the latter is required.

```
\langle copy for phi arg\rangle \int \text{copy for phi arg} \rangle \int \text{conde_t *arg = aref(&node->phi.args, j);} \text{op_stack_t dest = loc_for(node), src = loc_for(arg);} \text{if(src != dest)} \\ \text{gen_copy_t *copy = make_copy(dest, src, decl_type(node->decl));} \\ \text{list_add(&copies, &copy->list);} \\ \text{ncopies++;} \\ \end{arg}$
```

These copies, performed in parallel, faithfully implement the semantics of the ϕ -function, avoiding the "swap problem" of Briggs et al. (1998, Subsection 5.1). The "lost-copy problem" does not occur, as critical edges have been split.

schedule_copies finds an equivalent sequential ordering for the copies, possibly requiring an additional temporary location temploc. Its output is placed in the .copies field of the block's record rec, for use during code generation (Section 15.4).

The make_copy helper creates and returns a request to copy a value of certain type between the given locations.

```
⟨make_copy⟩≡
static gen_copy_t *make_copy(op_stack_t dest, op_stack_t src, rtype_t *type)
{
    gen_copy_t *copy = xmalloc(sizeof(*copy));

    *copy = (gen_copy_t) {
        .dest = dest,
        .src = src,
        .type = type
    };
    return copy;
}
```

18.1 Copy Scheduling

The schedmap_t structure subsumes the loc and pred arrays of Boissinot et al. (2008, Algorithm 1), as stack locations are sparse. It could be replaced with a hash table or equivalent container with sublinear access time, if large numbers of entries are expected.

```
⟨schedmap_t⟩≡
  typedef struct {
    int nelts;
    mapelt_t *elts;
} schedmap_t;
```

The mapelt_t entry with .el equal to k stores loc(k) in its .loc field. Its .pred field references the (unique) copy with .dest equal to pred(k).

```
\langle mapelt_t \rangle \equiv 
typedef struct {
    op_stack_t el, loc;
    gen_copy_t *pred;
} mapelt_t;
```

The map_get helper returns the map element elt corresponding to the stack location el

```
⟨map_get⟩≡
static inline mapelt_t *map_get(schedmap_t *map, op_stack_t el)
{
   for(int i = 0; i < map->nelts; i++)
   {
      mapelt_t *elt = &map->elts[i];
      if(elt->el == el)
           return elt;
   }
   return NULL;
}
```

The map_init helper specifies that the initial location of map element i is at stack location el.

```
\langle map_init \rangle =
  static inline void map_init(schedmap_t *map, int i, op_stack_t el)
  {
     map->elts[i].el = el;
     map->elts[i].loc = el;
}
```

schedule_copies sequentializes the copies on the input list, possibly with additional copies via temploc. The map contains one element per copy, and one for the temporary. A copy yet to be scheduled is linked into one of the ready and todo lists (a difference from algorithm 1, where it can appear on both.)

After these are populated by schedule_init (algorithm 1, lines 1-9), the loops repeat until all copies have been moved to the output list – unblocked copies from the ready list by schedule_ready (lines 12-16), and blocked ones from todo by schedule_todo (lines 17-21).

```
\langle schedule\_copies \rangle {\equiv}
 static void schedule_copies(op_offset_t temploc, list_t *in, unsigned ncopies,
                               list_t *out)
      list_t ready = LIST_INIT(ready), todo = LIST_INIT(todo);
      schedmap_t map = {
          .nelts = ncopies + 1,
          .elts = xcalloc(ncopies + 1, sizeof(mapelt_t))
      };
      schedule_init(in, temploc, &map, &ready, &todo);
     while(!list_isempty(&ready) || !list_isempty(&todo))
      {
          while(!list_isempty(&ready))
              schedule_ready(container_of(ready.next, gen_copy_t, list),
                              out, &map, &ready);
          if(!list_isempty(&todo))
              schedule_todo(container_of(todo.next, gen_copy_t, list),
                             temploc, out, &map, &ready);
      }
      xfree(map.elts);
```

schedule_init begins by adding an element to the map for each copy in the input, and for the temporary.

If the .dest of some copy coincides with the .src of another, the former is *blocked* – it must wait for the latter to copy the value at that location away before it can safely execute. The blocked copy is recorded in the .pred field of the element at .dest, and is linked into the deferred todo list.

An unblocked copy may be scheduled immediately, and is linked into the ready list.

```
list_remove(&copy->list);
    list_add(todo, &copy->list);
}
else
{
    list_remove(&copy->list);
    list_add(ready, &copy->list);
}
}
```

A copy from the ready list is moved to the output by schedule_ready. The map element elt for the copy's .src location is examined. If the value is still there, blocking another copy .pred, the latter can now be made ready. The fields of copy and element are updated – the value which began at .src has been copied, possibly via .loc, to .dest.

A copy which remains on the todo list belongs to a cycle of blocked copies. Adding a copy from .src to a temporary location temploc breaks the cycle. The corresponding map element elt has its .loc field updated to reflect the value's new location. Now unblocked, the .predecessor copy can be made ready. The copy itself will be handled after it's unblocked in schedule_ready.

Miscellanea

```
\langle includes\rangle \=
#include "global.h"
#include "ir.h"
#include "gen.h"
// for gen_code.h
#include "vm/vm_ops.h"
// for the globals and loc_for/rec_for
#include "gen_code.h"
```

Part II Run-Time Environment

Chapter 19

Runtime

The runtime subsystem offers a set of data types and objects to user code, and mediates with the operating system. It defines the behaviour of these types and objects (Chapters 20–24), manages the global environments, and handles memory allocation and garbage collection (Chapter 25).

```
\langle rt/runtime.c \rangle \equiv \langle includes \rangle \\ \langle ops \rangle \\ \langle runtime\_root \rangle \\ \langle nil \rangle \\ \langle symbols \rangle \\ \langle strings \rangle \\ \langle cells \rangle \\ \langle global\ variables \rangle \\ \langle runtime\_init \rangle \\ \langle runtime\_fini \rangle
```

The runtime module orchestrates initialisation and finalisation of the subsystem, manages global variables, interfaces with the garbage collector, and defines the primitive types and values not belonging to other modules – objects, symbols, strings, cells, and nil.

19.1 Objects

Values manipulated by user code are pointers to robject_t. Every type of object includes one of these structures as its first member. Its .type field points to the unique rtype_t which describes the set of instances to which the object belongs (Chapter 20).

```
\langle (robject_t)\leftrightarrow typedef struct rtype rtype_t;
typedef struct
{
      rtype_t *type;
} robject_t;
typedef ARRAY(robject_t *) robject_array_t;
```

The compiler may decide that a value can be instead be represented as a *scalar* of some type handled by the underlying processor.

We use these typedefs to remind ourselves (and gcc) that runtime scalars are not C scalars, as each of the former has a designated NA value.

When a scalar is handled by code which has not been specialised by the compiler, it's placed in a *box*, and the pointer to it is treated like any other object (Subsection 23.1.1).

```
⟨scalar types⟩≡
  typedef double rdouble_t;
  typedef int rint_t;
  typedef uint8_t rboolean_t;
```

Templated code in the VM is generically applicable to scalars and objects. This typedef allows the type name "ptr" to be used in such contexts.

```
⟨pointer type⟩≡
  typedef robject_t *rptr_t;
#define rptr_na NULL
```

Some fundamental functions may be called on objects of any type. These are mostly used by the compiler and runtime (but may, via builtins, be called from user code.)

To implement type-specific behaviour, these functions rely on the callbacks specified in the .ops field of an object's .type.

```
(typeops_t)=
  typedef struct
{
    uint32_t (*hash)(const void *);
    bool (*equal)(const void *, const void *);
    void (*print)(FILE *, const void *);
    void (*gc)(void *);
    void (*free)(void *);
} typeops_t;
```

The arguments are typed as void * so they can be invoked on specialised objects (which contain, but are not themselves, robject_t) without being obliged to insert casts.

Given an object, r_typeof returns its type. The nil object is represented as a C NULL pointer, and has all bits zero. (not strictly standards compliant.) Its type is distinct, r_type_nil.

```
⟨r_typeof⟩≡
static inline rtype_t *r_typeof(const void *ptr)
{ return ptr ? ((robject_t *)ptr)->type : r_type_nil; }
```

19.1.1 Primitive Operations

```
 \langle ops \rangle \equiv \\ \langle r_{-}hash \rangle \\ \langle r_{-}equal \rangle \\ \langle r_{-}print \rangle \\ \langle r_{-}gc \rangle \\ \langle r_{-}free \rangle
```

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r_hash computes the 32-bit hash of the object. This value differs (with high probability) for objects which are not equal. Since the notion of equality differs depending on the object's type, we invoke its ops.hash callback. All concrete types (i.e. those which might be returned by r_typeof called on some object) are expected to populate this field.

hash_roll is used to mix two hashes together. The hash of a composite object can be computed by "accumulating" the value hash = hash_roll(hash, r_hash(element)) for each element.

```
⟨hash_roll⟩≡
static inline uint32_t hash_roll(uint32_t hash, uint32_t val)
{ return hash_code_seed(&val, sizeof(val), hash); }
```

Since objects are represented by pointers, and an object is always equal to itself, we can short-circuit the ops.equal call if the pointers are identical. Equality is symmetric – equal(a,b) == equal(b,a) – and objects of different types are never equal. As a convenience, omitting the callback denotes that every object of that type is unique.

```
\langle r_equal \\
    bool r_equal(const void *xp, const void *yp)
{
        const robject_t *x = xp, *y = yp;
        rtype_t *xt = r_typeof(x), *yt = r_typeof(y);

        if(x == y)
            return true;
        if(xt != yt)
            return false;
        if(xt->ops->equal)
            return xt->ops->equal(xp, yp);
        return false;
}
```

Output from r_print is intended for human interpretation. The FILE * argument is usually stdout, but explicitly allows for programmatic redirection to a file or memory stream. If the object's type doesn't provide an ops.print callback, some indication of object identity is output instead, in the form "<type pointer>".

No special care is taken, when printing objects, to recognise and avoid cycles in the reference graph. An infinite loop will result from printing an object that directly or indirectly contains a pointer to itself.

```
\langle r_print \rangle \square 
void r_print(FILE *fp, const void *ptr) {
    rtype_t *typ = r_typeof(ptr);
    if(typ->ops->print)
        typ->ops->print(fp, ptr);
    else
    {
        fprintf(fp, "<");
    }
}</pre>
```

```
r_print(fp, typ);
fprintf(fp, " %p>", ptr);
}
```

The garbage collector (Chapter 25) may call r_gc on an object. It is responsible for calling gc_mark on any objects it holds references to - so, at least its .type. If it has any others, they will be marked by ops.gc.

```
\langle r_gc\rangle \\
void r_gc(void *ptr) \\
{
         rtype_t *typ = r_typeof(ptr);
         gc_mark(typ);
         if(typ->ops->gc)
               typ->ops->gc(ptr);
}
```

If an object is not marked during collection, it will never be used again, and will be swept as garbage when the collector calls r_free. Some types of objects contain pointers to unmanaged memory (i.e. not robject_ts,) and these will be deallocated by ops.free.

```
\langle r_free \rangle \square void r_free (void *ptr) {
          rtype_t *typ = r_typeof(ptr);
          if(typ->ops->free)
                typ->ops->free(ptr);
     }
```

Some objects may not be referred to by other objects but are accessible nevertheless, and so are not garbage. The collector keeps a list of gc_root_ts, and invokes each .fn to mark these objects.

The runtime marks the variables and types in the global environments, as well as some implementation details which are not exposed to the user (the mutable cell and compiled function types, and the ... symbol)

```
\langle runtime_gc\rangle \static void runtime_gc(gc_root_t *root)
{
    hashmap_map(r_globals, mark_global, NULL);
    hashmap_map(r_global_types, mark_type, NULL);
    gc_mark(r_type_cell);
    gc_mark(r_type_function);
    gc_mark(r_sym_rest);
}
```

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Each global variable has its name and declared type marked. Its value is also marked if it's an object and not an unboxed scalar.

```
\langle mark_global \rightarrow static void mark_global(const void *key, void *value, void *data) {
    rglobal_t *global = value;

    gc_mark((void *)key);
    gc_mark(global->decl);
    if(!global->decl || !rtype_is_scalar(global->decl))
        gc_mark(global->val.object);
}

Each type in the global environment is marked, as is its name.

\langle mark_type \rightarrow static void mark_type(const void *key, void *value, void *data) {
        gc_mark((void *)key);
    }
```

19.1.2 nil

}

gc_mark(value);

r_type_nil has its own ops.print and ops.hash callbacks.

```
⟨nil⟩≡
static uint32_t nil_hash(const void *ptr)
{
    return 0;
}

static void nil_print(FILE *fp, const void *ptr)
{
    fprintf(fp, "<nil>");
}

static const typeops_t nil_ops = {
    .hash = nil_hash,
    .print = nil_print
};
```

19.2 Symbols

A symbol is an immutable unique string, as in LISP (McCarthy, 1960). Only one symbol with a given string is stored in the symbol table, so (except in r_intern) two symbols may be compared with pointer equality in constant time.

```
 \langle symbols \rangle \equiv \\ \langle r\_symtab \rangle \\ \langle sym\_init \rangle \\ \langle sym\_equal \rangle \\ \langle rsym\_hash \rangle \\ \langle r\_intern \rangle \\ \langle sym\_free \rangle \\ \langle sym\_print \rangle \\ \langle sym\_ops \rangle
```

The .string field points to libc heap memory owned by the object.

```
\langle rsymbol\_t \rangle \equiv
  typedef struct rsymbol
      robject_t base;
      char *string;
      uint32_t hash;
  } rsymbol_t;
The .hash of the string is precomputed and passed in during initialisation.
\langle sym\_init \rangle \equiv
  static void sym_init(rsymbol_t *sym, char *string, uint32_t hash)
       sym->string = string;
      sym->hash = hash;
When their .strings are equal, two symbols are equal.
\langle sym\_equal \rangle \equiv
  static bool sym_equal(const void *xp, const void *yp)
      const rsymbol_t *x = xp, *y = yp;
      return string_equal(x->string, y->string);
  }
The .hash of a symbol can be extracted.
\langle rsym\_hash \rangle \equiv
  uint32_t rsym_hash(const void *ptr)
  {
      return ((rsymbol_t *)ptr)->hash;
nil is a member of r_type_symbol, and has a distinguished representation.
```

```
\langle r_{-}symstr \rangle \equiv
  static inline char *r_symstr(const rsymbol_t *sym)
       { return sym ? sym->string : "(nil)"; }
```

All symbols are stored in the symbol table, a hash set whose elements are compared with sym_equal and hashed with rsym_hash.

```
\langle r_symtab\rangle \equiv
   hashset_t *r_symtab;
```

A symbol is created by "internalising" a string. The string is hashed, and the symbol table is probed with a temporary rsymbol_t. If some symbol is found, it is returned. Otherwise a new symbol is allocated, initialised, and returned. The string argument is duplicated, so the caller may reuse its copy.

```
\langle r_{-}intern \rangle \equiv
  rsymbol_t *r_intern(const char *string)
  {
      assert(string);
      rsymbol_t *sym, tmp;
      uint32_t hash = string_hash(string);
      sym_init(&tmp, (char *)string, hash);
      sym = hashset_get(r_symtab, &tmp);
      if(sym)
           return sym;
```

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```
sym = gc_alloc(r_type_symbol, sizeof(rsymbol_t));
sym_init(sym, strdup(string), hash);
hashset_insert(r_symtab, sym);
return sym;
}
```

Creating symbols is only possible via r_intern, so r_symtab contains all currently live symbols. When a symbol is not reachable from any root, and is collected as garbage, it may be safely removed from the table.

```
⟨sym_free⟩≡
static void sym_free(void *ptr)
{
    rsymbol_t *sym = ptr;

    hashset_remove(r_symtab, sym);
    xfree(sym->string);
}

A symbol is printed as its .string (or nil,) without decoration.
⟨sym_print⟩≡
    static void sym_print(FILE *fp, const void *ptr)
{
        fprintf(fp, "%s", r_symstr(ptr));
}
```

The .equal callback defaults to pointer equality. The only user of sym_equal is the interning mechanism.

```
\langle sym_ops \rangle \square
    static const typeops_t sym_ops = {
        .free = sym_free,
        .hash = rsym_hash,
        .equal = NULL,
        .print = sym_print
    };
```

19.3 Strings

A string is a sequence of characters. It is immutable, but not unique. Strings are very simple, and only really suitable for filenames, error messages or fixed output. An enhancement would be to replace this type with one more fully-featured, possibly a vector (Chapter 24) of Unicode code points.

```
\langle strings \rangle \equiv
\langle rstr\_create \rangle
\langle rstr\_free \rangle
\langle rstr\_equal \rangle
\langle rstr\_hash \rangle
\langle rstr\_print \rangle
\langle str\_ops \rangle
```

As with r_type_symbol , the heap memory pointed to by the .string field is owned by the object.

```
⟨rstring_t⟩≡
  typedef struct
  {
    robject_t base;
    char *string;
} rstring_t;
```

Creation is straightforward. The string argument is duplicated.

```
\langle \mathit{rstr\_create} \rangle {\equiv}
  rstring_t *rstr_create(const char *string)
      rstring_t *str = gc_alloc(r_type_string, sizeof(rstring_t));
      str->string = strdup(string);
      return str;
Destruction is likewise trivial.
\langle rstr\_free \rangle \equiv
  static void rstr_free(void *ptr)
      rstring_t *str = ptr;
      xfree(str->string);
rstr_equal is (mutatis mutandis) identical to rsym_equal.
\langle rstr\_equal \rangle \equiv
  static bool rstr_equal(const void *xp, const void *yp)
      const rstring_t *x = xp, *y = yp;
      return string_equal(x->string, y->string);
  }
Unlike symbols, strings are not expected to be hashed often, so they do not store a
.hash field but compute it each time.
\langle rstr\_hash \rangle \equiv
  static uint32_t rstr_hash(const void *ptr)
      const rstring_t *str = ptr;
      return string_hash(str->string);
  }
A string is printed inside "double quotes".
\langle rstr\_print \rangle \equiv
  static void rstr_print(FILE *fp, const void *ptr)
  {
       const rstring_t *str = ptr;
      fprintf(fp, "\"%s\"", str->string);
Note the .equal callback is initialised - unlike symbols, two strings with the same
contents are not necessarily the same object.
```

```
\langle str\_ops \rangle \equiv
  static const typeops_t str_ops = {
       .free = rstr_free,
       .hash = rstr_hash,
       .equal = rstr_equal,
       .print = rstr_print
  };
```

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19.4 Cells

The values of mutable variables captured by a function are not stored directly in its closure. *Cells* are introduced by the compiler to hold their values (Chapter 12). Many closures may refer to the same cell.

```
\langle cells \rangle \equiv
\langle rcell\_create \rangle
\langle rcell\_gc \rangle
\langle cell\_ops \rangle
\langle cell\_cons \rangle
\langle rcell\_type\_create \rangle
```

A cell, like a box, contains a single value of its element type, stored immediately following the robject_t header.

```
\langle (rcell_create) \( \)
    robject_t *rcell_create(rtype_t *typ)
    {
        assert(rtype_is_cell(typ));
        return gc_alloc(typ, sizeof(robject_t) + rtype_eltsz(typ->elt));
    }
}
```

If its element isn't an unboxed scalar, it's a reference to an object, and must be marked during garbage collection.

Cells aren't visible to the user and allocate no unmanaged memory, so need no other .ops callbacks.

```
\(cell_ops\)\(\equiv \text{static const typeops_t cell_ops = {}}\)
\(.\text{gc = rcell_gc,}\)
};
```

r_type_cell is a type constructor, but it can't be invoked by the user so .from_spec need not be provided.

```
⟨cell_cons⟩≡
  static consdesc_t cell_cons = {
    .kind = RT_CELL
}.
```

The compiler calls rcell_type_create to create the type instance of a cell holding an element of type etype.

```
\langle (rcell_type_create) \( \)
    rtype_t *rcell_type_create(rtype_t *etyp)
    {
        return rtype_create(RT_CELL, etyp, &cell_ops, NULL);
    }
}
```

19.5 Globals

The runtime defines variables in the global environment to provide objects to the user code (which may define variables of its own.)

```
\langle global\ variables \rangle \equiv \langle r\_globals \rangle 
 \langle r\_get\_global \rangle 
 \langle r\_create\_global \rangle 
 \langle r\_unset \rangle 
 \langle r\_definitial \rangle
```

A global variable is represented by an rglobal_t binding structure. If .decl is NULL, the global was not explicitly declared by the user. Otherwise it points to an object which bounds the variable's type – it will always be the case that r_subtypep(.val, .decl). .is_const is set if the global was declared constant – its .val will never change (note that this is not a property of the object to which it refers, e.g. the elements of a vector or array may still be modified.)

```
\langle (rglobal_t)\(\equiv \)
typedef struct
{
    rvalue_union_t val;
    rtype_t *decl;
    bool is_const;
} rglobal_t;
```

The value of a global variable is stored in an rvalue_union_t. When specified by .decl, this may contain a scalar so a box doesn't need to be allocated. Otherwise (or when the global is undeclared), the value is referred to by the .object field.

```
⟨rvalue_union_t⟩≡
  typedef union {
    rboolean_t boolean;
    rint_t integer;
    rdouble_t dfloat;
    robject_t *object;
} rvalue_union_t;
```

The global environment is a hash table mapping rsymbol_t names to rglobal_t bindings.

```
\langle r\_globals \rangle \equiv hashmap_t *r_globals;
```

If no global exists with the given name, r_get_global returns NULL.

```
\langle r_get_global \rangle \subseteq 
    rglobal_t *r_get_global(rsymbol_t *name)
    {
        return hashmap_get(r_globals, name, NULL);
    }
```

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A global is added to the environment on creation. Checking for an existing variable with the same name is the caller's responsibility (as, in some cases, its absence can be assumed.)

```
\langle r_create_global \rangle \rangle rglobal_t *r_create_global(rsymbol_t *name, rtype_t *decl, bool is_const) {
    rglobal_t *global = xcalloc(1, sizeof(rglobal_t));

    assert(!r_get_global(name));
    *global = (rglobal_t) {
        .decl = decl,
        .is_const = is_const
    };
    hashmap_set(r_globals, name, global);
    return global;
}
```

Declaration of a global guarantees its existence with unchanging .decl, .is_const and, if the latter, .val. The compiler can then safely take advantage of these properties when generating code.

Only globals which have not been explicitly declared may be removed from the environment.

```
\langle r_unset\rightarrow \int \text{bool r_unset(rsymbol_t *name)} 
{
        rglobal_t *global = r_get_global(name);
        if(global && !global->decl)
        {
            hashmap_remove(r_globals, name);
            xfree(global);
            return true;
        }
        return false;
}
```

Runtime modules use r_definitial to create a global constant with an initial value. pval points to an object of the type decl.

```
\langle r_definitial\rangle =
void r_definitial(rsymbol_t *name, rtype_t *decl, void *pval)
{
    assert(decl);
    rglobal_t *global = r_create_global(name, decl, true);
    memcpy(&global->val, pval, rtype_eltsz(decl));
}
```

Convenience wrappers initialise global constants with values of reference types...

19.6 Initialisation and Finalisation

The runtime environment has process lifetime. It is the first module to be brought up, and the last to be shut down.

```
(runtime_init) \( \)
    rtype_t *r_type_nil, *r_type_symbol, *r_type_string;
    rtype_t *r_type_cell, *r_type_object;
    rsymbol_t *r_sym_rest;
    const extern builtin_init_t runtime_builtins[];
    const extern builtin_init_t arith_builtins[];
    void runtime_init()
    {
        (create tables)
        (init gc)
        (init vm)
        (bootstrap type)
        (install runtime types)
        (install types and builtins)
}
```

The global environment and symbol table are allocated first (global bindings are unique, so ptr_eq suffices as equality predicate.)

```
⟨create tables⟩≡
  r_globals = hashmap_create(rsym_hash, ptr_eq);
  r_symtab = hashset_create(rsym_hash, sym_equal);
```

The managed heap must be initialised before any managed objects are created. runtime_root is registered with the collector, but garbage collection is not enabled until we specify otherwise – we need not worry about unrooted or partially initialised objects being collected out from under us.

```
⟨init gc⟩≡
  gc_init();
  gc_register(&runtime_root);
```

The VM must export its direct-threading jump table before any bytecode can be generated by the compiler (Section 26.3).

```
⟨init vm⟩≡
vm_execute(NULL, NULL);
```

r_type_type is created (carefully) by rt_bootstrap. Once that exists, we can create new types in the usual manner. However, before we can install them in the global type environment, we must initialise r_type_symbol, lest we confuse r_intern.

```
\langle \langle \langle \text{
    rt_bootstrap();
    r_type_symbol = rtype_create(RT_OBJECT, NULL, &sym_ops, "symbol");
    rtype_install(r_type_type, "type");
    rtype_install(r_type_symbol, "symbol");
}
```

r_type_object is "abstract", in the sense that no objects exist with it as their .type. Its .ops will never be invoked, so may be NULL.

The rest of the runtime types are created and, except for r_type_cell, installed (the latter is an implementation detail of closures, and may only appear in compiled code.)

The nil constant is defined here – as is the symbol ..., as builtins defined later may use it as an argument name.

```
(install runtime types) =
  r_type_object = rtype_init(RT_OBJECT, NULL, "object");
  r_type_nil = rtype_init(RT_OBJECT, &nil_ops, "nil");
  r_type_string = rtype_init(RT_OBJECT, &str_ops, "string");
  r_type_cell = rtype_cons_create(&cell_cons, "cell");
  r_defbuiltin("nil", NULL);
  r_sym_rest = r_intern("...");
```

Other modules may then proceed to define types, objects and builtin functions in the global environment(s).

```
\(\(\)install types and builtins\)\(\)\(\)\(\) \\
\(\)rt_install_call_types();
\(\)rt_install_scalar_types();
\(\)rt_install_vec_types();
\(\)rbuiltin_install(arith_builtins);
\(\)rbuiltin_install(runtime_builtins);
\(\)rt_init_options();
```

Finalisation is simpler: since most objects are managed, shutting down the heap will destroy them. Global variable bindings aren't (neither are the global tables), so they must be explicitly freed.

Miscellanea

```
⟨includes⟩≡
  #include "global.h"
  #include "builtin.h"
```

```
\langle rt/runtime.h \rangle \equiv
  \langle scalar \ types \rangle
  \langle robject\_t \rangle
  \langle pointer\ type \rangle
  \langle typeops_{-}t \rangle
  \langle rsymbol_{-}t \rangle
  \langle rstring_-t \rangle
   \langle rvalue\_union\_t \rangle
  \langle rglobal_{-}t \rangle
  \langle externs \rangle
  \langle prototypes \rangle
  \langle RTYPE \rangle
  \langle hash\_roll \rangle
  \langle r\_symstr \rangle
   \langle r_{-}typeof \rangle
  \langle r_- defbuiltin \rangle
  \langle r_{-}defscalar \rangle
  \langle subincludes \rangle
\langle RTYPE \rangle \equiv
  #define RTYPE(type) PASTE2(r_type_, type)
\langle externs \rangle \equiv
  extern hashmap_t *r_globals;
  extern hashset_t *r_symtab;
  extern rsymbol_t *r_sym_rest;
  extern rtype_t *r_type_object, *r_type_symbol, *r_type_string;
  extern rtype_t *r_type_nil, *r_type_cell;
\langle prototypes \rangle \equiv
  uint32_t rsym_hash(const void *sym);
  rsymbol_t *r_intern(const char *string);
  rstring_t *rstr_create(const char *string);
  void r_free(void *ptr);
  void r_gc(void *ptr);
  uint32_t r_hash(const void *ptr);
  bool r_equal(const void *px, const void *py);
  void r_print(FILE *fp, const void *ptr);
  rglobal_t *r_get_global(rsymbol_t *name);
  rglobal_t *r_create_global(rsymbol_t *name, rtype_t *decl, bool is_const);
  bool r_unset(rsymbol_t *name);
  void r_definitial(rsymbol_t *name, rtype_t *decl, void *pval);
  rtype_t *rcell_type_create(rtype_t *etyp);
  robject_t *rcell_create(rtype_t *etyp);
  void runtime_init();
  void runtime_fini();
\langle subincludes \rangle \equiv
  #include "rt/type.h"
  #include "rt/scalar.h"
  #include "rt/callable.h"
  #include "rt/call.h"
  #include "rt/vector.h"
  #include "rt/gc.h"
  #include "rt/math.h"
  #include "rt/options.h"
  #include "vm/vm.h"
```

Chapter 20

Types

Every object belongs to a *type*. This describes its layout in memory, and determines the behaviour of the functions to which it may be passed.

```
\langle rt/type.c \rangle \equiv
\langle includes \rangle
\langle types \rangle
\langle subtyping \rangle
\langle creation \rangle
\langle constructors \rangle
\langle rt\_bootstrap \rangle
```

rtype_ts are referred to at run-time by objects, and at compile-time by expressions and variables as they are declared by the user or recovered by the compiler.

20.1 Types and Kinds

A type is an object, so it begins with an included robject_t structure. Its .hash is precomputed, like a symbol's.

The callbacks in a type's .ops implement the behaviour of the associated runtime functions when they are invoked on an object of the given type.

The .name field contains a printable representation of the type – for some kinds of type this will be lazily initialised, and may be NULL.

```
\langle rtype_{-}t\rangle \equiv
 typedef struct funsig funsig_t;
 typedef struct scaldesc scaldesc_t;
 typedef struct rtype
 {
      robject_t base;
      type_kind kind;
      uint32_t hash;
      const typeops_t *ops;
      char *name;
      union
      {
          void *data;
          funsig_t *sig;
          const scaldesc_t *scal;
          struct rtype *elt;
          const consdesc_t *cons;
      };
 } rtype_t;
```

A type might belong to a family of types which are related. These families are called *kinds* for disambiguation, and the kind of a type is recorded in its .kind field.

```
⟨type_kind⟩≡
  typedef enum
{
    RT_OBJECT, RT_SCALAR, RT_TYPECONS,
    RT_CALLABLE, RT_VECTOR, RT_ARRAY, RT_CELL,
} type_kind;
```

- RT_OBJECT (Section 19.1): This kind of type is not related to any other in any special way. This is the case for type, compiled, symbol, string, and nil.
- RT_SCALAR (Chapter 23): The machine scalar types double, int and boolean are of this kind. Values of these types may be unboxed in code specialised to operate on them, or encapsulated in boxes to be treated as reference objects. The .scal field points to a structure describing the specific scalar type.
- RT_TYPECONS (Section 20.3): This kind of type is a "constructor" and, when given arguments in a declaration, can create other types which are "instances". vector, array, function and cell are of this kind.
- RT_CALLABLE (Chapter 21): An object having a type of this kind can be called from user code. The .sig field points to a structure describing the argument and return types.
- RT_VECTOR, RT_ARRAY (Chapter 24): Types created by the vector and array constructors. These refer to the type of elements that their objects can hold via the .elt field.
- RT_CELL (Section 19.4): Types created during the cell introduction phase, of the compiler. Also refers to the type of its contents with .elt.

20.1.1 Operations

```
 \langle types \rangle \equiv \\ \langle r\_typetab \rangle \\ \langle rtype\_equal \rangle \\ \langle rtype\_hash \rangle \\ \langle rtype\_gc \rangle \\ \langle free\_type\_data \rangle \\ \langle rtype\_free \rangle \\ \langle elt\_print \rangle \\ \langle rtype\_init\_name \rangle \\ \langle rtype\_print \rangle \\ \langle type\_ops \rangle
```

Two types are equal if they're of the same kind, and their kind-specific fields are equal. For types of kind SCALAR or TYPECONS, pointer equality is sufficient as their descriptors are static data. OBJECT types with different names are distinct (these are always static strings, so pointer equality suffices.)

```
\langle rtype\_equal \rangle \equiv
  bool sig_equal(funsig_t *a, funsig_t *b);
  static bool rtype_equal(const void *xp, const void *yp)
      const rtype_t *x = xp, *y = yp;
      if(x->kind != y->kind)
          return false;
      switch(x->kind)
      case RT_CALLABLE:
          return sig_equal(x->sig, y->sig);
      case RT_VECTOR:
      case RT_ARRAY:
      case RT_CELL:
          return r_equal(x->elt, y->elt);
      case RT_SCALAR:
          return x->scal == y->scal;
      case RT_TYPECONS:
          return x->cons == y->cons;
      case RT_OBJECT:
          return x->name == y->name;
      }
      return false;
.hash is a simple accessor, as it is for symbols.
\langle rtype\_hash \rangle \equiv
  static uint32_t rtype_hash(const void *ptr)
  {
      return ((rtype_t *)ptr)->hash;
```

The .elt field of a VECTOR, ARRAY or CELL type points to a managed object, which must be marked during garbage collection. The .sig field of a CALLABLE isn't itself an object, but contains pointers to them, which are marked by sig_gc.

```
\langle rtype\_gc \rangle \equiv
  void sig_gc(funsig_t *sig);
  static void rtype_gc(void *ptr)
      rtype_t *type = ptr;
      assert(r_typeof(type) == r_type_type);
      switch(type->kind)
      {
      case RT_CALLABLE:
          sig_gc(type->sig);
          break;
      case RT_VECTOR:
      case RT_ARRAY:
      case RT_CELL:
          gc_mark(type->elt);
          break;
      default:
```

```
break;
}
```

The name of a VECTOR, ARRAY, or CELL type has the form "label(element)", where element is the name of the type's .elt field.

```
\left(elt_print)\left(\infty)
\text{static inline void elt_print(FILE *fp, const char *label, const rtype_t *type)}
\{
\[ \text{fprintf(fp, "%s(", label);} \\ \text{r_print(fp, type->elt);} \\ \text{fprintf(fp, ")");} \]
\]
```

A CALLABLE type has a name of the form "function(args):ret", and is produced by sig_print.

These kinds of type have lazily initialised .name fields; they start as NULL, and are computed when required.

```
\langle rtype\_init\_name \rangle \equiv
  void sig_print(FILE *fp, funsig_t *sig);
  char *rtype_init_name(const rtype_t *type)
  {
      char *buf = NULL;
      size_t sz;
      FILE *fp = open_memstream(&buf, &sz);
      assert(fp);
      switch(type->kind)
      {
      case RT_CALLABLE:
          sig_print(fp, type->sig);
          break;
      case RT_VECTOR:
          elt_print(fp, "vector", type);
          break;
      case RT_ARRAY:
          elt_print(fp, "array", type);
          break;
      case RT_CELL:
          elt_print(fp, "cell", type);
          break;
      default:
          break;
      }
      fclose(fp);
      return buf;
```

The rtype_name accessor will initialise a type's .name field on demand.

```
\langle rtype_name \rangle \square 
static inline const char *rtype_name(rtype_t *type) 
{
    if(!type->name)
        type->name = rtype_init_name(type);
    return type->name;
}
```

This is invoked when a printed representation of the type is required, such as in the ops.print callback, or compiler diagnostic message output.

```
\langle rtype_print \\ \sigma \text{static void rtype_print(FILE *fp, const void *ptr)} \\ \{ \text{fprintf(fp, "%s", rtype_name((rtype_t *)ptr));} \\ \} \end{array} \]
```

Types are immutable and unique, so are also internalised in the manner of symbols. The type table r_typetab contains all currently live types.

```
\langle r\_typetab \rangle \equiv hashset_t *r_typetab;
```

When a type is collected as garbage, it can be removed from the table.

```
\langle rtype_free \rangle \square static void rtype_free(void *ptr) {
    hashset_remove(r_typetab, ptr);
    free_type_data(ptr);
}
```

Lazily initialised .names must be deallocated on destruction. A CALLABLE type also needs its funsig_t freed.

```
\langle free\_type\_data \rangle \equiv
  void sig_free(funsig_t *sig);
  static void free_type_data(rtype_t *type)
  {
      switch(type->kind)
      case RT_CALLABLE:
           sig_free(type->sig);
           /* fallthrough */
      case RT_VECTOR:
      case RT_ARRAY:
      case RT_CELL:
           if(type->name)
               xfree(type->name);
           break;
      default:
           break;
      }
  }
\langle type\_ops \rangle \equiv
  static const typeops_t type_ops = {
      .free = rtype_free,
      .gc = rtype_gc,
      .hash = rtype_hash,
      .equal = rtype_equal,
      .print = rtype_print
  };
```

20.1.2 Predicates

Various builtin functions or compiler optimisations might only apply to objects having a certain kind of type. Each simple predicate function returns **true** when its argument satisfies the test.

```
⟨simple predicates⟩

static inline bool rtype_is_vector(const rtype_t *typ)
    { return typ->kind == RT_VECTOR; }

static inline bool rtype_is_array(const rtype_t *typ)
    { return typ->kind == RT_ARRAY; }

static inline bool rtype_is_scalar(const rtype_t *typ)
    { return typ->kind == RT_SCALAR; }

static inline bool rtype_is_callable(const rtype_t *typ)
    { return typ->kind == RT_CALLABLE; }

static inline bool rtype_is_constructor(const rtype_t *typ)
    { return typ->kind == RT_TYPECONS; }

static inline bool rtype_is_cell(const rtype_t *typ)
    { return typ->kind == RT_CELL; }
```

20.1.3 Container Types

A container type is either a VECTOR or an ARRAY (Chapter 24).

```
\langle predicates\rangle +=
static inline bool rtype_is_container(const rtype_t *typ)
{ return typ->kind == RT_VECTOR || typ->kind == RT_ARRAY; }
```

rtype_eltsz returns the size of an object of the given type, in bytes, when stored as an element in a container (since unboxed values of SCALAR types can differ in size.)

```
(rtype_eltsz) =
  static inline size_t rscal_size(const rtype_t *typ);
  static inline size_t rtype_eltsz(const rtype_t *typ)
  {
    assert(typ);
    return rtype_is_scalar(typ)
        ? rscal_size(typ)
        : sizeof(robject_t *);
}
```

20.2 Creation

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A type is initialised by populating its fields and computing its hash. ops may be NULL if the type won't ever be referred to by the .type field of any object – this is true of type constructors. name may be NULL if it will be lazily initialised. Assignment to the .data field initialises the union of pointers in a kind-oblivious manner (albeit one not strictly standards-conforming.)

```
\langle type\_init \rangle \equiv
  static void type_init(rtype_t *type, type_kind kind, void *data,
                          const typeops_t *ops, const char *name)
  {
      *type = (rtype_t) {
           .base.type = r_type_type,
           .name = (char *)name,
           .kind = kind,
           .data = data,
           .ops = ops,
           .hash = 0,
      };
      type->hash = compute_hash(type);
  }
```

A CALLABLE type takes the hash of its signature. Other kinds compute the hash of the type object itself.

```
\langle compute\_hash \rangle \equiv
  static uint32_t compute_hash(rtype_t *type)
      if(type->kind == RT_CALLABLE)
           return type->sig->hash;
      return hash_code(type, sizeof(rtype_t));
  }
```

A temporary rtype_t is populated, hashed, and used to probe the type table. If found, the equivalent object is returned, else a new one is allocated with the contents of the temporary.

```
\langle rtype\_create \rangle \equiv
 rtype_t *rtype_create(type_kind kind, void *data,
                          const typeops_t *ops, const char *name)
 {
      rtype_t *type, *otyp, tmp;
      type_init(&tmp, kind, data, ops, name);
      otyp = hashset_get(r_typetab, &tmp);
      if(!otyp)
          type = gc_alloc(r_type_type, sizeof(rtype_t));
          *type = tmp;
          hashset_insert(r_typetab, type);
          return type;
      }
      free_type_data(&tmp);
      return otyp;
 }
```

The global type environment maps names to types.

```
\langle r\_global\_types \rangle \equiv
  hashmap_t *r_global_types;
```

A type may be installed there to make it available for the user to mention by name in type specifiers.

```
⟨rtype_install⟩≡
  void rtype_install(rtype_t *type, const char *name)
  {
     hashmap_set(r_global_types, r_intern(name), type);
}
```

rtype_init creates and installs a type, returning it for assignment to one of the r_type_variables.

20.3 Type Constructors

Types of kind TYPECONS can construct new types, given types as arguments.

Each type constructor is described by a consdesc_t. .from_spec is invoked when this type constructor is found in a type specifier, and is responsible for creating a new instance. The kind of type which it creates is recorded in the .kind field.

```
(consdesc_t) =
  typedef struct ast ast_t;
  typedef struct consdesc consdesc_t;
  typedef rtype_t *(*typecons_fn)(const consdesc_t *, unsigned, ast_t *);
  typedef struct consdesc
  {
     typecons_fn from_spec;
     type_kind kind;
} consdesc_t;
```

Testing whether a type is an instance of a given type constructor is a matter of matching the instance's .kind with the constructor's .cons.kind.

Convenience functions allow the creation of type constructors...

```
\( \text{rtype_cons_create} \) \( \text{rtype_cons_create} \) \( \text{const consdesc_t *cons, const char *name} \) \( \text{return rtype_create(RT_TYPECONS, (void *)cons, NULL, name);} \) \) \( \text{return rtype_create(RT_TYPECONS, (void *)cons, NULL, name);} \) \( \text{return rtype_create(RT_TYPECONS, (void *)cons, NULL, name);} \) \) \( \text{return rtype_create(RT_TYPECONS, (void *)cons, NULL, name);} \) \( \text{return rtype_create(RT_TYPECONS, (void *)cons, NULL, name);} \) \( \text{return rtype_create(RT_TYPECONS, (void *)cons, NULL, name);} \) \) \( \text{return rtype_create(RT_TYPECONS, (void *)cons, NULL, name);} \) \( \text{return rtype_create(RT_TYPECONS, (void *)cons, NULL, name);} \) \] \( \text{return rtype_create(RT_TYPECONS, (void *)cons, NULL, name);} \) \] \( \text{return rtype_create(RT_TYPECONS, (void *)cons, NULL, name);} \) \( \text{ret
```

... and their subsequent installation in the global type environment.

```
(rtype_cons_init) =
    rtype_t *rtype_cons_init(const consdesc_t *cons, const char *name)
{
        rtype_t *type = rtype_cons_create(cons, name);
        rtype_install(type, name);
        return type;
}
```

20.4 Type Specifiers

A declaration or type expression in user code specifies a type – either with a type name, or the application of a type constructor (to other type specifiers.)

Given an ast_t representing a type specifier, rtype_from_spec finds or creates the corresponding rtype_t. NULL will be returned if this could not be done – this may or may not be an error, depending on the caller.

The INVALID AST is present when the return type of a function has been omitted. A NAME or a SYMBOL is a simple specifier, as is a TOKEN. A NODE is a compound specifier denoting a type instance.

Other ASTs are not expected in type specifiers.

```
\langle rtype\_from\_spec \rangle \equiv
  rtype_t *rtype_from_spec(ast_t *ast)
  {
      if(!ast)
          return NULL;
      switch(ast->type)
      case AST_INVALID:
          return NULL;
      case AST_NAME:
      case AST_SYMBOL:
          return rtype_from_name(ast->symbol);
      case AST_TOKEN:
          return rtype_from_name(r_intern(ast_str(ast)));
      case AST_NODE:
          assert(alen(ast->children) >= 1);
          return rtype_from_node(ast->children);
      default:
          break;
      c_warning("malformed type declaration.");
      return NULL;
  }
```

Names are looked up in the global type environment. Since this resolution is done at compile-time, a warning can be emitted if no type by that name could be found.

```
\langle rtype_from_name \rangle \static rtype_t *rtype_from_name(rsymbol_t *name)
{
    rtype_t *type = hashmap_get(r_global_types, name, NULL);
    if(!type)
        c_warning("unknown type '%s'.", r_symstr(name));
    return type;
}
```

The first element in the AST node should specify a type constructor; if it doesn't, a warning is issued and NULL is returned.

Its cons.from_spec callback is invoked, passing .cons, the argument count, and the remainder of the ast_t array (usually the elements therein denote type specifiers, so it'll call rtype_from_spec recursively.)

```
\( \text{rtype_from_node} \) \( \text{static rtype_t *rtype_from_node(ast_array_t *arr)} \) \( \text{int nargs = alen(arr)-1;} \) \( \text{ast_t *car = aptr(arr, 0);} \) \( \text{ast_t *args = (nargs>0) ? aptr(arr,1) : NULL;} \) \( \text{rtype_t *type = rtype_from_spec(car);} \) \( \text{if(!type)} \) \( \text{return NULL;} \) \( \text{if(!rtype_is_constructor(type))} \) \( \text{c_warning("type '%s' not a type constructor.", ast_str(car));} \) \( \text{return NULL;} \) \( \text{assert(type->cons && type->cons->from_spec);} \) \( \text{return type->cons->from_spec(type->cons, nargs, args);} \) \( \text{return type->cons->from_spec(type->cons, nargs, args);} \) \( \text{return type->cons->from_spec(type->cons, nargs, args);} \) \end{args} \) \( \text{return type->cons->from_spec(type->cons, nargs, args);} \) \( \text{return type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(type->cons->from_spec(
```

20.5 Subtyping

When x is a subtype of y, an object of type x can be used in a place where one of type y is expected – as the right-hand side of an assignment or as a function argument, for example.

```
\langle subtyping \rangle \equiv \langle r\_type\_compat \rangle \\ \langle r\_common\_type \rangle
```

In object-oriented languages, subtyping usually follows inheritance. Here, we have a very simple subtyping relation, existing mostly to simplify generic code (such as functions that can operate on vectors of any element type). The subtype test is useful for making decisions at run-time.

The compiler has the slightly more detailed notion of *compatibility*. Although it has the same semantics as subtyping, when the test for compatibility is carried out during compilation it can have one of three results – true, false, or "maybe". In the last case, it could be true for some run-time objects and false for others. A check or conversion must be inserted at that point to ensure correctness.

```
\langle compat \rangle \equiv typedef enum { NO, YES, MAYBE } compat;
```

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r_type_compat tests whether a self is compatible with a place expecting an other. unbox is true if scalars can be treated as unboxed values; false if scalars should, like all other values, be considered reference objects.

When this is the case, compatibility is equivalent to subtyping – int is not a subtype of double.

```
\langle r\_subtypep \rangle \equiv
static inline bool r_subtypep(const rtype_t *self, const rtype_t *other)
{ return r_type_compat(self, other, false) == YES; }
```

Compatibility is reflexive – a type is compatible with itself.

```
⟨decide compatibility⟩≡
if(self == other)
return YES;
```

When scalar values are considered (i.e. at compile-time) implicit arithmetic conversion is possible.

```
\decide compatibility\+\\\=
if(unbox && rtype_is_scalar(self) && rtype_is_scalar(other))
    return MAYBE;
```

A value of statically unknown type (i.e. object) will have a more specific type at run-time, so must be checked.

```
\(decide compatibility\)+\(\exists\)
if(self == r_type_object)
return MAYBE;
```

A place expecting an object can handle objects of any type, but scalars must be boxed.

```
\(decide compatibility\)+\(\exists\)
if(other == r_type_object)
    return (unbox & rtype_is_scalar(self)) ? MAYBE : YES;
```

nil is compatible with every reference type.

```
\(decide compatibility\) +\(\exists\)
if(self == r_type_nil)
    return rtype_is_scalar(other) ? NO : YES;
```

A value of any reference type could be nil, so must be checked at run-time.

```
\(decide compatibility\)+\(\exists\)
if(other == r_type_nil)
    return rtype_is_scalar(self) ? NO : MAYBE;
```

Every instance of a type is compatible with its constructor – vector(int) is compatible with vector, for example.

```
⟨decide compatibility⟩+≡
  if(rtype_is_instance(self, other))
   return YES;
```

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A value that's compatible with a constructor might also be compatible with a specific instance – if all the compiler knows about a value is that it's a vector, for example, it will have to insert a check before a site which expects a vector(double).

```
⟨decide compatibility⟩+≡
  if(rtype_is_instance(other, self))
   return MAYBE;
```

Callables (that is, user-visible functions) are compatible when they have identical argument and return types (names are ignored.)

```
\decide compatibility\+\Rightarrow
if(self->kind == RT_CALLABLE && other->kind == RT_CALLABLE)
return sig_types_equal(self->sig, other->sig) ? YES : NO;
```

No other types are compatible.

```
\langle decide\ compatibility \rangle + \equiv return NO;
```

Given two types (for example, those of the consequent and alternate branches of an if) the compiler may need to find a type which is a supertype of both (the type of the if expression.)

For precision's sake, this type should be the most specific possible. If either type is a subtype of the other, then the latter is chosen. If they're scalars, picking the wider of the two enables arithmetic conversion. If they share a common type constructor, it's chosen. Otherwise the only possibility is object.

```
\langle r\_common\_type \rangle \equiv
  rtype_t *r_common_type(rtype_t *self, rtype_t *other)
      assert(self);
      assert(other);
      if(r_subtypep(self, other))
          return other;
      if(r_subtypep(other, self))
          return self;
      if(self->kind != other->kind)
          return r_type_object;
      switch(self->kind)
      case RT_SCALAR: return rscal_promote(self, other);
      case RT_CALLABLE: return r_type_callable;
      case RT_VECTOR: return r_type_vector;
      case RT_ARRAY: return r_type_array;
      default: break;
      return r_type_object;
  }
```

20.6 Initialisation

The global type environment and type tables are created at initialisation time. The latter contains only types, so the specialised rtype_hash and rtype_equal functions can be used.

Unusually for an object, r_type_type's .type is r_type_type. This otherwise illegal self-reference is facilitated via collusion with the allocator (Section 25.4). The .type field can then be correctly initialised.

Miscellanea

```
\langle includes \rangle \equiv
  #include "global.h"
  #include "ast.h"
\langle rt/type.h \rangle \equiv
   \langle type\_kind \rangle
   \langle consdesc_{-}t \rangle
  \langle rtype_{-}t \rangle
  \langle compat \rangle
  \langle prototypes \rangle
  \langle externs \rangle
  \langle simple \ predicates \rangle
  \langle rtype\_eltsz \rangle
  \langle r\_subtypep \rangle
  \langle rtype\_name \rangle
\langle externs \rangle \equiv
  extern hashmap_t *r_global_types;
  extern hashset_t *r_typetab;
  extern rtype_t *r_type_type;
\langle prototypes \rangle \equiv
  compat r_type_compat(const rtype_t *self, const rtype_t *other, bool unbox);
  rtype_t *r_common_type(rtype_t *self, rtype_t *other);
  char *rtype_init_name(const rtype_t *type);
  rtype_t *rtype_create(type_kind kind, void *data,
                               const typeops_t *ops, const char *name);
  rtype_t *rtype_cons_create(const consdesc_t *cons, const char *name);
  rtype_t *rtype_init(type_kind kind, const typeops_t *ops, const char *name);
  rtype_t *rtype_cons_init(const consdesc_t *cons, const char *name);
  void rtype_install(rtype_t *type, const char *name);
  rtype_t *rtype_from_spec(ast_t *ast);
  void rt_bootstrap();
```

Chapter 21

Functions, Closures, Builtins

A *callable* object can be invoked by a function call expression. They participate in the calling convention established by the VM (Chapter 22).

```
\langle rt/callable.c \rangle \equiv
\langle includes \rangle
\langle callables \rangle
\langle signatures \rangle
\langle type\ construction \rangle
\langle closures \rangle
\langle functions \rangle
\langle builtins \rangle
\langle rt\_install\_call\_types \rangle
```

To the user, callable objects have types which are instances of function. This type constructor is known as r_type_callable to the runtime.

21.1 Callables

A callable is either a *closure* or a *builtin*. Function expressions create closures, while builtins allow C functions to be invoked by the VM.

21.1.1 Operations

```
\langle callables \rangle \equiv
\langle rcall\_gc \rangle
\langle rcall\_free \rangle
\langle rcall\_print \rangle
\langle call\_ops \rangle
```

A closure may allocate an array containing references to managed objects, so must be handled appropriately by the ops.gc...

```
⟨rcall_gc⟩≡
  static void closure_gc(rclosure_t *cl);
  static void rcall_gc(void *ptr)
  {
     if(!rcall_is_builtin(ptr))
        closure_gc(ptr);
  }
  ...and ops.free callbacks.
⟨rcall_free⟩≡
  static void closure_free(rclosure_t *cl);
  static void rcall_free(void *ptr)
  {
     if(!rcall_is_builtin(ptr))
        closure_free(ptr);
  }
```

A callable has the printed representation "<type pointer>". Some builtins have more information available; their .name may be printed instead.

```
\(\text{reall_print}\)\operate{
    static void reall_print(FILE *fp, const void *ptr)
{
    const reallable_t *cl = ptr;

    fprintf(fp, "<");
    r_print(fp, r_typeof(ptr));
    if(reall_is_builtin(cl))
    {
        const cbuiltin_t *cbi = ((rbuiltin_t *)ptr)->cbi;

        if(cbi)
        {
            fprintf(fp, " '%s'>", cbi->name);
            return;
        }
    }
    fprintf(fp, " %p>", ptr);
}
```

Two callables are equal only when they are the same object, so the ops.equal callback is omitted.

```
\( \call_ops \) \( \simeq \)
    static const typeops_t call_ops = {
        .free = rcall_free,
        .gc = rcall_gc,
        .print = rcall_print
        };
```

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21.2 Signatures

An rtype_t with .kind CALLABLE is called a signature.

```
 \langle signatures \rangle \equiv \\ \langle sig\_equal \rangle \\ \langle sig\_types\_equal \rangle \\ \langle sig\_print \rangle \\ \langle argdesc\_mark \rangle \\ \langle sig\_gc \rangle \\ \langle sig\_free \rangle
```

The type's .sig field points to an auxiliary funsig_t structure that it owns.

.args is an array of length .nargs, each element describing the corresponding argument. .ret_type is the type of the return value. .has_rest is set if ... is present as an argument. reqbits has one bit per argument, the inverse of .is_optional.

During a call, argument values are placed on the stack in a "call frame" (Section 26.1). .argsz is its total size, in bytes.

```
\( \forall funsig_t \rangle = \)
typedef struct funsig {
    argdesc_t *args;
    unsigned nargs;
    rtype_t *ret_type;
    bool has_rest;
    unsigned reqbits;
    uint32_t hash;
    op_offset_t argsz;
} funsig_t;
```

When a function with this signature is called, each argument must be a subtypes of its corresponding .type. Its value is stored .offset bytes from the start of the call frame. .name may be NULL; if not, it can be named in a call expression. .is_optional is true when the argument has a default expression, and so is not required to be present in a call.

Two CALLABLE types with the same arguments and return types are equal (since the other values are derived.) Types are tested with pointer equality, as they've been interned by construction.

```
\langle sig_equal \rangle \infty
\text{ bool sig_equal(funsig_t *a, funsig_t *b)} 
{
\[
\text{ if(a->nargs != b->nargs || a->ret_type != b->ret_type)} \]
\[
\text{ return false;} \]
\[
\text{ for(int i=0; i<a->nargs; i++)} \]
\[
\text{ argdesc_t *m = &a->args[i], *n = &b->args[i];} \]
\[
\text{ if(m->name != n->name || \]
\[
\text{ m->is_optional != n->is_optional || \]
\[
\text{ m->type != n->type || \]
\[
\text{ m->offset != n->offset)} \]
\[
\text{ return false;} \]
\[
\text{ return true;} \]
\[
\text{ return true;} \]
\[
\text{ } \]
\[
\text{ return true;} \text{ return true;} \text{ return true;} \]
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\text{ return true;} \text{ return true;} \text{ return true;} \]
\[
\text{ return true;} \text{ return true;} \text{ return true;} \]
```

For subtyping and compatibility, only argument and return types need to be checked, as type declarations don't include argument names or optional flags.

```
\langle sig_types_equal \rangle \infty
    bool sig_types_equal(funsig_t *a, funsig_t *b)
{
        if(a->nargs != b->nargs || a->ret_type != b->ret_type)
            return false;
        for(int i=0; i<a->nargs; i++)
        {
            argdesc_t *m = &a->args[i], *n = &b->args[i];

            if(m->type != n->type)
                 return false;
        }
        return true;
}
```

A signature prints like its type specifier, "function (args): return"..., if present, has a known type and is always optional, so those need not be printed. A trailing "=" on an argument denotes its optionality. If the return type is object, it is omitted.

```
\langle sig_print \rangle \square
  void sig_print(FILE *fp, funsig_t *sig) {
    fprintf(fp, "function ");
    fputc('(', fp);
    for(int i=0; i<sig->nargs; i++)
    {
        argdesc_t *arg = &sig->args[i];
        if(i > 0)
            fprintf(fp, ", ");
        if(arg->name == r_sym_rest)
            r_print(fp, arg->name);
        else if(arg->type != r_type_object)
```

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{

```
r_print(fp, arg->type);
                    fputc(' ', fp);
               r_print(fp, arg->name);
               if(arg->is_optional)
                    fputc('=', fp);
           }
           else
               r_print(fp, arg->type);
      }
      fputc(')', fp);
      if(sig->ret_type != r_type_object)
           fprintf(fp, " : ");
           r_print(fp, sig->ret_type);
      }
 }
Types and symbols are managed objects, so a signature must participate in garbage
collection by marking them...
\langle sig_{-}gc \rangle \equiv
  void sig_gc(funsig_t *sig)
      gc_mark(sig->ret_type);
      for(int i=0; i<sig->nargs; i++)
           argdesc_mark(&sig->args[i]);
...in each argument descriptor.
\langle argdesc\_mark \rangle \equiv
  static inline void argdesc_mark(argdesc_t *arg)
      gc_mark(arg->name);
      gc_mark(arg->type);
The type will free its funsig_t during deallocation.
\langle sig\_free \rangle \equiv
  void sig_free(funsig_t *sig)
  {
      if(sig->args)
           xfree(sig->args);
      xfree(sig);
  }
And given the former, the latter may be extracted with rcall_sig.
\langle rcall\_sig \rangle \equiv
  static inline funsig_t *rcall_sig(const rcallable_t *cl)
      { return cl->base.type->sig; }
```

21.3 Type Construction

CALLABLE types can be created by type expressions in user code, or by the compiler and runtime as needed (for example, when installing new builtins.)

```
\langle type\ construction \rangle \equiv \langle copy\_args \rangle \\ \langle rcall\_type\_create \rangle \\ \langle call\_type\_from\_spec \rangle \\ \langle callable\_cons \rangle
```

The latter case is handled by rcall_type_create, which must be provided a return type, an argument count, and an array of argdesc_ts with their .name, .type and .is_optional fields initialised as desired.

(Note that .argsz always includes at least an argbits_t. This could be omitted for functions without optional arguments, at the cost of complicating function invocation.)

.hash is computed from the hashes of the return type and the argument list - .reqbits, .nargs, .argsz and .has_rest are derived from the latter and so need not be included.

The argdesc_t array is copied, computing each argument's .offset, updating the signature's .argsz, .reqbits and .has_rest fields accordingly.

The hash of the argument list is the hash of each argument's fields as provided by the caller.

```
⟨copy_args⟩≡
static uint32_t copy_args(funsig_t *sig, unsigned nargs, argdesc_t *args)
{
    uint32_t hash = 0;
    for(int i=0; i<nargs; i++)
    {
        argdesc_t *arg = &sig->args[i];

        *arg = args[i];
        arg->offset = sig->argsz;
        if(arg->name == r_sym_rest)
            sig->has_rest = true;
        if(!arg->is_optional)
            sig->reqbits |= 1<<i;
        sig->argsz += rtype_eltsz(arg->type);
}
```

```
hash = hash_code_seed(&arg->is_optional, sizeof(bool), hash);
hash = hash_roll(hash, r_hash(arg->name));
hash = hash_roll(hash, r_hash(arg->type));
}
return hash;
}
```

A trivial convenience function exists to initialise them.

When a function type constructor is encountered in a type specifier, call_type_from_spec is invoked, with an array containing specifiers for the argument and return types.

```
\( \text{callable_cons} \) \( \text{static consdesc_t callable_cons} = \{ \\ \text{.from_spec} = \text{call_type_from_spec}, \\ \text{.kind} = \text{RT_CALLABLE} \\ \}; \)
```

If none were supplied, the function type itself is returned – since this is a supertype of all CALLABLES, it lets the user specify any type of callable object.

Otherwise, the grammar has arranged that the return type is the first specifier in the array. If it was omitted, object is assumed.

Each argdesc_t takes its .type from the corresponding type specifier. The ... argument, if present, is a named vector of objects, optional, and must be the last element in the array.

```
\langle call\_type\_from\_spec \rangle \equiv
  static rtype_t *
  call_type_from_spec(const consdesc_t *cons, unsigned nargs, ast_t *args)
  {
      if(nargs < 1)
          return r_type_callable;
      argdesc_t *descs = alloca(sizeof(argdesc_t) * (nargs-1));
      rtype_t *ret_type = (args[0].type == AST_INVALID)
          ? r_type_object
          : rtype_from_spec(&args[0]);
      if(!ret_type)
          return NULL;
      for(int i=1; i<nargs; i++)</pre>
      {
          argdesc_t *desc = &descs[i-1];
          ast_t *arg = &args[i];
          if(arg->type == AST_NAME && arg->symbol == r_sym_rest)
               if(i != nargs-1)
                   c_warning("'...' not last argument in list.");
```

```
return NULL;
}
   *desc = argdesc_init(r_sym_rest, (rtype_t *)r_type_vec_object, true);
}
else
{
   rtype_t *type = rtype_from_spec(arg);

   if(!type)
        return NULL;
   *desc = argdesc_init(NULL, type, false);
}
return rcall_type_create(ret_type, nargs-1, descs);
}
```

21.4 Closures

Function expressions in user code cause closures to be created. The closure provides access to the values of variables bound by lexically enclosing functions.

```
\langle closures \rangle \equiv
\langle closure\_free \rangle
\langle closure\_gc \rangle
\langle rcall\_closure\_create \rangle
```

This object of CALLABLE type is a pair of pointers. .fn is the function compiled from its corresponding expression; .env a buffer containing values saved from its lexical environment. This corresponds to the "flat closure" arrangement described in Serrano and Weis (1995).

```
\( \text{rclosure_t} \) \( \)
\text{ typedef struct rclosure } \{ \)
\( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \) \( \
```

The .disp of a closure is always CALL_CLOSURE. If the function needs no environment, .env is left NULL (it would be possible to avoid creating a closure entirely for functions which don't capture lexical variables, at the cost of further complicating function invocation).

```
(rcall_closure_create) =
  rclosure_t *rcall_closure_create(rtype_t *type, rfunction_t *fn)
{
    rclosure_t *cl = gc_alloc(type, sizeof(rclosure_t));
    op_offset_t env_sz = fn->env_sz;

    cl->base.disp = CALL_CLOSURE;
    cl->fn = fn;
    cl->env = (env_sz > 0) ? xcalloc(1, env_sz) : NULL;
    return cl;
}
```

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The compiled function is a managed object – it's shared by all closures over a given function expression – and so must be marked during garbage collection.

The environment is split into two areas - scalars are stored from bytes 0 to .env_scalsz - 1, and references from .env_scalsz to .env_sz - 1. Each pointer in the latter must be marked.

```
\langle \mathit{closure\_gc} \rangle \equiv
  #define env_ptr(c, f) (robject_t **)((uint8_t *)c->env + c->fn->f)
  static void closure_gc(rclosure_t *cl)
  {
       gc_mark(cl->fn);
       if(cl->env)
       {
            robject_t **ptr;
            for(ptr = env_ptr(cl, env_scalsz);
                ptr < env_ptr(cl, env_sz); ptr++)</pre>
                 gc_mark(*ptr);
       }
  }
As .env was allocated, it must be freed.
\langle \mathit{closure\_free} \rangle \equiv
  static void closure_free(rclosure_t *cl)
       if(cl->env)
            xfree(cl->env);
  }
```

21.5 Functions

Internal to the runtime subsystem, a *function* is the compiled representation of a single function expression in user code. It is not, in itself, callable.

```
\langle functions \rangle \equiv
\langle rfunc\_create \rangle
\langle rfunc\_free \rangle
\langle rfunc\_gc \rangle
\langle func\_ops \rangle
```

The VM interprets a stream of op_code_t opcodes as instructions. Each instruction is typically followed by a number of op_stack_t or op_offset_t operands.

(The former is usually a byte displacement from the stack frame base pointer – negative values to address function arguments, positive for local variables. The latter, a zero-based byte offset or element index into some other structure.)

The argbits_t typedef is merely informative. Argument bitflags are manipulated as rint_ts by the VM, so these types must be the same size.

When the argument at position b was omitted from a call with argument bitflags a, argbits_missing returns true.

```
⟨argbits_missing⟩≡
static inline bool argbits_missing(argbits_t a, unsigned b)
{ return (a & (1<<b)) == 0; }</pre>
```

Each user function generates at most one rfunction_t (some may be removed by dead code elimination.)

The function can be referred to by many closures, so is a managed object. The body of the function is compiled into the array stored in the .code field. The .cl_type field is the signature of the function (and so the .type of its closures.)

Local variable storage (.loc_scalsz, .loc_sz) and the closure environment (.env_scalsz, .env_sz) are both split into areas where values of scalar and reference types are stored. Neither are mapped in any more detail, as the exact layouts are only needed during code generation.

.consts holds an array of nconsts references which serve as the function's "constant pool". CONST opcodes refer to objects from here.

```
(rfunction_t) =
    typedef struct
{
        robject_t base;
        op_code_t *code;
        rtype_t *cl_type;
        op_offset_t loc_scalsz, loc_sz;
        op_offset_t env_scalsz, env_sz;
        robject_t **consts;
        unsigned nconsts;
} rfunction_t;
```

rfunc_create gives the newly-created function ownership of its code and the contents of the consts array.

```
\langle rfunc\_create \rangle \equiv
 rfunction_t *rfunc_create(rtype_t *cl_type, op_code_t *code, size_t loc_scalsz,
                             size_t loc_sz, size_t env_scalsz, size_t env_sz,
                             robject_array_t *consts)
  {
      rfunction_t *rfn = gc_alloc(r_type_function, sizeof(rfunction_t));
      unsigned nconsts = alen(consts);
      *rfn = (rfunction_t) {
          .base = rfn->base,
          .code = code,
          .cl_type = cl_type,
          .loc_scalsz = loc_scalsz,
          .loc_sz = loc_sz,
          .env_scalsz = env_scalsz,
          .env_sz = env_sz,
          .consts = array_cede(consts),
          .nconsts = nconsts
      };
      return rfn;
 }
```

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Constants in the pool (and the function signature) are managed objects, and must be marked during garbage collection.

```
\langle rfunc_gc\rangle \static void rfunc_gc(void *ptr)
{
    rfunction_t *fn = ptr;
    gc_mark(fn->cl_type);
    for(int i=0; i<fn->nconsts; i++)
        gc_mark(fn->consts[i]);
}
```

The constant pool and code array are owned by the function, so must be freed when it's collected as garbage.

```
\langle rfunc_free \rangle \static void rfunc_free(void *ptr)
{
    rfunction_t *fn = ptr;
    if(fn->consts)
        xfree(fn->consts);
    xfree(fn->code);
}
```

Compiled function objects are not user-visible, so don't need the other .ops callbacks.

21.6 Builtins

The VM can call C functions through the *builtin* mechanism. The compiler can also be informed of their behaviour, and generate bytecode in place of a regular call; optional callbacks specify when and how.

```
\langle builtins \rangle \equiv \\ \langle rcall\_builtin\_create \rangle \\ \langle count\_args \rangle \\ \langle argdesc\_from\_init \rangle \\ \langle rbuiltin\_define \rangle \\ \langle rbuiltin\_install \rangle
```

An rbuiltin_t is an object of CALLABLE type. The .fn field points to the C function to invoke. When the compiler comes upon one of these objects, it looks to the .cbi field, where present, to determine its behaviour.

```
(rbuiltin_t) =
  typedef struct rbuiltin rbuiltin_t;
  typedef struct vm_ctx vm_ctx_t;
  typedef void (*builtin_fn)(vm_ctx_t *, rbuiltin_t *, uint8_t *, void *);
  typedef struct rbuiltin
  {
    rcallable_t base;
    builtin_fn fn;
    const cbuiltin_t *cbi;
} rbuiltin_t;
```

The .ops callbacks in the cbuiltin_t structure specify the builtin's semantics, implement any custom code generation it requires, and are described in Section 10.5. Any extra information can be referenced via the .data field. The printed representation of the associated rbuiltin_t will include the .name if it's present.

```
⟨cbuiltin_t⟩≡
  typedef struct builtin_ops builtin_ops_t;
  typedef struct cbuiltin
  {
     const builtin_ops_t *ops;
     void *data;
     const char *name;
  } cbuiltin_t;
```

Builtins are similar to closures, except with .disp equal to CALL_BUILTIN, a pointer to a C function instead of VM code, and an optional compiler descriptor instead of an environment.

```
(rcall_builtin_create) =
  rcallable_t *
  rcall_builtin_create(rtype_t *type, builtin_fn fn, const cbuiltin_t *cbi)
{
    rbuiltin_t *cl = gc_alloc(type, sizeof(rbuiltin_t));

    cl->base.disp = CALL_BUILTIN;
    cl->fn = fn;
    cl->cbi = cbi;
    return (rcallable_t *)cl;
}
```

A module which implements builtins will #include "rt/builtin.h".

```
\langle rt/builtin.h \rangle \equiv
\langle builtin\_init\_arg\_t \rangle
\langle builtin\_init\_t \rangle
\langle init\ macros \rangle
\langle defbuiltin \rangle
\langle function\ macros \rangle
\langle builtin\_return \rangle
\langle builtin\ externs \rangle
\langle missingp \rangle
```

Each builtin is initialised by a static builtin_init_t structure. .fn and .cbi will be copied to their counterparts in rbuiltin_t; .prtype holds the address of the global containing the return type of the builtin (it can't simply take a value; types are not constant, they're created during runtime initialisation.)

```
⟨builtin_init_t⟩≡
  typedef struct
{
    char *name;
    builtin_fn fn;
    rtype_t **prtype;
    const cbuiltin_t *cbi;
    const builtin_init_arg_t *args;
} builtin_init_t;
```

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.args points to the start of a static array of builtin_init_arg_t structures.

```
⟨builtin_init_arg_t⟩≡
  typedef struct
  {
     char *name;
     rtype_t **ptype;
     bool is_optional;
} builtin_init_arg_t;
```

These fields are interned (when present), dereferenced and copied, respectively, to initialise an argdesc_t.

The .args array is terminated with a sentinel { 0 }, so counting its elements is a matter of finding the first one with no .ptype.

```
count_args) \( \)
  static inline unsigned count_init_args(const builtin_init_t *init) {
    unsigned i = 0;
    for(; init->args[i].ptype; i++);
    return i;
}
```

rbuiltin_define creates and installs a builtin, given a static initialiser. Counting and converting the arguments (and, since this is late enough in the runtime initialisation, dereferencing the pointer to the return type) lets us create the CALLABLE type; this, along with the other fields from the structure, is enough to create the builtin object. It's installed in the global environment with the given .name.

```
\( \text{rbuiltin_define} \) \( \)
\( \text{rcallable_t *rbuiltin_define} \) (\( \text{const builtin_init_t *init} \) \( \)
\( \text{unsigned nargs = count_init_args(init);} \)
\( \text{argdesc_t *args = alloca(sizeof(argdesc_t) * nargs);} \)
\( \text{for(int i=0; i < nargs; i++)} \)
\( \text{args[i] = argdesc_from_init(&init->args[i]);} \)
\( \text{rtype_t *cl_type = rcall_type_create(*init->prtype, nargs, args);} \)
\( \text{rcallable_t *cl = rcall_builtin_create(cl_type, init->fn, init->cbi);} \)
\( \text{r_defbuiltin(init->name, cl);} \)
\( \text{return cl;} \)
\( \text{} \)
\( \text{return cl;} \)
\( \text{} \)
\( \text{return cl;} \)
\
```

The convenience function rbuiltin_install defines every builtin in an array of initialisers (which is also terminated with { 0 }.)

```
⟨rbuiltin_install⟩≡
  void rbuiltin_install(const builtin_init_t *init)
{
    for(; init->name; init++)
       rbuiltin_define(init);
}
```

The .args field of the builtin initialiser is a pointer, and initialising it with a static array requires an explicit cast. The convenience macro defbuiltin helps reduce the clutter (or, when a cbuiltin_t is needed, defcbuiltin.)

Instead of taking a pointer to a named static structure, the .cbi field can be initialised inline.

```
\(\left(init macros\right) +=
    #define init_cbi(o, n, d) \
        &(cbuiltin_t) \{ .ops = o, .name = n, .data = d \}
\(\left(\function macros\right) =
    #define def_args struct __attribute__ ((__packed__))
    #define end_args(args, n) *n = (void *)(args - sizeof(*n))
```

A builtin is given a pointer to a location where it is to place its return value, and it could be of a pointer or a scalar type. A macro hides some of the verbiage.

```
⟨builtin_return⟩≡
#define builtin_return(ret, typ, val) (*((typ *)(ret))) = val
```

Optional arguments to a builtin don't have defaults. Instead, the argbits_t from the call frame is made available. This can be passed to argbits_missing, along with the zero-based index of the argument in question, to test for its absence.

```
\langle missingp \rangle \equiv
#define missingp(a,b) argbits_missing(a,b)
```

21.7 Initialisation

The type of compiled functions is stored in r_type_function. It's named "compiled" but, as no objects of this type are accessible to the user, should never be seen.

The constructor of CALLABLE types is named "function", as this lends itself to type specifiers which look similar to the function expressions with which they are compatible.

Miscellanea

```
\langle includes \rangle \equiv
  #include "global.h"
  // required for rbuiltin_define
  #include "rt/builtin.h"
  // required for from_spec
  #include "ast.h"
\langle builtin \ externs \rangle \equiv
  rcallable_t *rbuiltin_define(const builtin_init_t *init);
  void rbuiltin_install(const builtin_init_t *init);
\langle rt/callable.h \rangle \equiv
   ⟨bytecode types⟩
   \langle argbits\_missing \rangle
   \langle argdesc_{-}t \rangle
   \langle funsig_-t \rangle
   \langle rfunction_t \rangle
   \langle call\_dispatch \rangle
   \langle rcallable_t \rangle
   \langle rcall\_is\_builtin \rangle
   \langle rclosure\_t \rangle
   \langle cbuiltin_{-}t \rangle
   \langle rbuiltin_{-}t \rangle
   \langle prototypes \rangle
   \langle externs \rangle
   \langle argdesc\_init \rangle
   \langle rcall\_sig \rangle
\langle externs \rangle \equiv
  extern rtype_t *r_type_function, *r_type_callable;
\langle prototypes \rangle \equiv
  rtype_t *rcall_type_create(rtype_t *ret_type, unsigned nargs, argdesc_t *args);
  rfunction_t *rfunc_create(rtype_t *cl_type, op_code_t *code, size_t loc_scalsz,
                                       size_t loc_sz, size_t env_scalsz, size_t env_sz,
                                       robject_array_t *consts);
  rclosure_t *rcall_closure_create(rtype_t *type, rfunction_t *fn);
  rcallable_t *rcall_builtin_create(rtype_t *type, builtin_fn fn,
                                                   const cbuiltin_t *builtin);
  void rt_install_call_types();
```

Chapter 22

Calling Convention

The compiler and VM agree on a particular treatment of argument and return values, so that callable objects can be invoked from call sites which may be oblivious to their signatures. This is the *calling convention*; every function and call site adheres to it.

22.1 Callee

The ordered list of a function's formal arguments, their names and their types, along with its return type, comprises its signature (Section 21.2).

When called, the code of a function expects an argbits_t as a first, hidden, argument; furthest below bp in the stack frame (

Arguments are expected in the order, and with the types, specified by the signature's .args array. Arguments of scalar type are expected to be unboxed into the stack frame, and may undergo arithmetic promotion (Section 23.1). An omitted argument will have been given its zero value.

On return, the function's code indicates the value of the specified .ret_type that the caller should take as its result. When this is a scalar, it's not boxed.

22.2 Caller

The expression that calls a function can provide its actual arguments in a number of ways. Each can be *named*, *omitted*, the *rest* vector "..."; or the default, *positional*.

Before the call proceeds, the actual arguments must be *matched* with the formal arguments of the callee.

22.2.1 Fast Call

When the compiler knows the signature of the function being called, it can use the *fast* call convention (the call must also not require allocation of a rest vector, but this is an implementation limitation and could be relaxed without difficulty.)

Arguments are matched at compile-time, and opcodes generated to assign their values directly to the appropriate slots in the stack frame of the callee. Scalars passed in this manner are left unboxed. Since the return type is known, scalar return values don't need boxing either.

22.2.2 Universal Call

The universal call convention is used in all other cases.

Actual arguments are supplied by sub-opcodes, with the same names and in the same order as the call expression. Argument matching (and rest-vector creation, when necessary) occurs at run-time. Values are passed as reference objects; scalars must be boxed before being used in such a call. The result of a call is also expected to be a reference object; scalars will be boxed upon return.

22.3 Argument Matching

The argument matching process is defined in its own module, used by both compiler and VM.

22.3.1 Sequencing

}

Given the args and their names, the call_sequence function invokes the provided callbacks in a specific sequence, passing along the provided user data ptr. All invocations must succeed in order for true to be returned.

```
\langle call\_sequence \rangle \equiv
  bool call_sequence(void *ptr, void **args, rsymbol_t **names, int nargs,
                        bool (*rest_fn)(void *, void *),
                        bool (*kwd_fn)(void *, rsymbol_t *, void *),
                        bool (*pos_fn)(void *, void *),
                        bool (*omit_fn)(void *))
  {
       bool r = true;
       if(names)
           \langle named \rangle
       \langle positional \rangle
       return r;
  }
First, kwd_fn is called on each argument with a name (that isn't "...").
\langle named \rangle \equiv
  for(int i = 0; r && i < nargs; i++)
  {
      void *arg = args[i];
      rsymbol_t *name = names[i];
       if(name && name != r_sym_rest)
           r &= kwd_fn(ptr, name, arg);
```

Then each remaining argument, in the order given, is passed to one of the other callbacks: "..." to rest_fn, NULL to omit_fn, otherwise pos_fn.

```
{positional} \( \)
    for(int i = 0; r && i < nargs; i++)
    {
        void *arg = args[i];

        if(names && names[i] == r_sym_rest)
            r &= rest_fn(ptr, arg);
        else if(names && names[i])
            continue;
        else if(arg)
            r &= pos_fn(ptr, arg);
        else
            r &= omit_fn(ptr);
      }
}</pre>
```

22.3.2 Matchers

The compiler passes the matcher functions to call_sequence when optimising a CALL node to CALL_FAST (Section 13.4).

It also invokes call_sequence when generating code for a universal call with a different set of callbacks, emitting a sub-opcode for each argument. The VM then interprets these, invoking the matchers during execution (Subsection 26.4.1).

The call_ctx_t structure provides assignment callbacks to the matchers, as well as the signature .sig of the function being called. .posbits tracks the arguments already assigned to, and so unavailable for use by positionals. .argbits tracks the arguments that are present in the call, and will be passed to the callee.

Matchers and their callbacks return false on failure.

```
(call_ctx_t) =
  typedef struct
{
    argbits_t posbits; // arguments unavailable for use by positionals
    argbits_t argbits; // arguments present in call
    funsig_t *sig; // signature of the function being called
    bool (*append_rest)(void *, rsymbol_t *, void *);
    bool (*set_arg)(void *, argdesc_t *, void *);
} call_ctx_t;
```

The set_argbit helper records the assignment of an argument at position i by setting the corresponding bit in .posbits. In case it's omitted, the corresponding bit in .argbits will be left unset.

```
⟨set_argbit⟩≡
static inline bool set_argbit(call_ctx_t *ctx, int i, bool omit)
{
    if(i >= sizeof(argbits_t)*8)
        return false;
    if(!omit)
        ctx->argbits |= 1<<i;
    ctx->posbits |= 1<<i;
    return true;
}
</pre>
```

A named actual argument is matched by call_match_kwd. When a formal argument is found with the same name, the corresponding position i is marked occupied, and the value val assigned by .set_arg. Otherwise it's added to the rest vector by .append_rest.

```
\langle call_match_kwd \rangle =
bool call_match_kwd(void *ptr, rsymbol_t *name, void *val)
{
    call_ctx_t *ctx = ptr;
    int i;
    argdesc_t *arg = get_kwd_arg(ctx->sig, name, &i);

    if(!arg)
        return ctx->append_rest(ctx, name, val);
    return set_argbit(ctx, i, false) && ctx->set_arg(ctx, arg, val);
}
```

Given a name, the get_kwd_arg helper finds the argdesc_t with the same .name in the function signature sig, passing back its index in *iptr. If none exist, it returns NULL.

```
⟨get_kwd_arg⟩≡
static argdesc_t *get_kwd_arg(funsig_t *sig, rsymbol_t *name, int *iptr)
{
    for(int i = 0; i < sig->nargs; i++)
    {
        argdesc_t *arg = &sig->args[i];

        if(arg->name == name)
        {
            *iptr = i;
            return arg;
        }
    }
    return NULL;
}
```

A positional actual argument is matched by call_match_pos. It supplies a value for the first formal argument that hasn't already been assigned, at position i. If none remain, it's added to the rest vector.

```
\( \text{call_match_pos} \) \( \text{bool call_match_pos(void *ptr, void *val)} \)
\( \text{call_ctx_t *ctx = ptr;} \)
\( \text{int i = ffs("ctx->posbits)-1;} \)
\( \text{argdesc_t *arg = get_pos_arg(ctx->sig, i);} \)
\( \text{if(!arg)} \)
\( \text{return ctx->append_rest(ctx, NULL, val);} \)
\( \text{return set_argbit(ctx, i, false) && ctx->set_arg(ctx, arg, val);} \)
\( \text{}
\]
\( \text{}
\]
\( \text{call_match_pos(void *ptr, void *val)} \)
\( \text{call_ctx_t *ctx = ptr;} \)
\( \text{int i = ffs("ctx->posbits)-1;} \)
\( \text{argdesc_t *arg = get_pos_arg(ctx->sig, i);} \)
\( \text{if(!arg)} \)
\( \text{return ctx->append_rest(ctx, NULL, val);} \)
\( \text{return set_argbit(ctx, i, false) && ctx->set_arg(ctx, arg, val);} \)
\( \text{}
\)
\( \text{return set_argbit(ctx, i, false) & ctx->set_arg(ctx, arg, val);} \)
\( \text{return set_argbit(ctx, i, false) & ctx->set_arg(ctx, arg, val);} \)
\( \text{return set_argbit(ctx, i, false) & ctx->set_arg(ctx, arg, val);} \)
\( \text{return set_argbit(ctx, i, false) & ctx->set_arg(ctx, arg, val);} \)
\( \text{return set_argbit(ctx, i, false) & ctx->set_arg(ctx, arg, val);} \)
\( \text{return set_argbit(ctx, i, false) & ctx->set_arg(ctx, arg, val);} \)
\( \text{return set_argbit(ctx, i, false) & ctx->set_arg(ctx, arg, val);} \)
\( \text{return set_argbit(ctx, i, false) & ctx->set_arg(ctx, arg, val);} \)
\( \text{return set_argbit(ctx, i, false) & ctx->set_arg(ctx, arg, val);} \)
\( \text{return set_argbit(ctx, i, false) & ctx->set_arg(ctx, arg, val);} \)
\( \text{return set_argbit(ctx, i, false) & ctx->set_arg(ctx, arg, val);} \)
\( \text{return set_argbit(ctx, i, false) & ctx->set_arg(ctx, arg, val);} \)
\( \text{return set_argbit(ctx, i, false) & ctx->set_argbit(ctx, arg, val);} \)
\( \text{return set_argbit(ctx, i, false) & ctx->set_argbit(ctx, arg, argbit(ctx, arg, argbit(ctx, arg, argbit(ctx, arg, argbit(ctx, argbit(
```

If the signature sig contains "...", it must be the last argument, and will be provided specially. It can't be given a value by positional matching, so is ignored by the get_pos_arg helper.

```
\langle get_pos_arg\rangle =
    static argdesc_t *get_pos_arg(funsig_t *sig, int i)
    {
        if(i < 0 || i >= nargs_excluding_rest(sig))
            return NULL;
        return &sig->args[i];
    }
```

The number of positional arguments in a signature containing "..." is one fewer than .nargs.

```
\( \nargs_excluding_rest \) \leq \static inline int nargs_excluding_rest(funsig_t *sig) \\ \{ \sig->\nargs - 1 : sig->\nargs; \\ \} \]
```

An omitted actual argument is signalled with call_match_omit. It matches the first unassigned formal argument, like a positional, but does not set .argbits or supply a value to the .set_arg callback. A NULL value is added to the rest vector, should the argument be surplus to requirements.

```
\langle call_match_omit\\
bool call_match_omit(void *ptr)
{
    call_ctx_t *ctx = ptr;
    int i = ffs(~ctx->posbits)-1;
    bool r = true;

    if(i < 0)
        return false;
    if(i >= nargs_excluding_rest(ctx->sig))
        r = ctx->append_rest(ctx, NULL, NULL);
    return r & set_argbit(ctx, i, true);
}
```

When the rest vector "..." is supplied as an actual argument to a call, the call_match_rest matcher will match its elements as arguments. This entails a recursive invocation of call_sequence.

Rest vectors do not nest. In this case, the no_match_rest matcher returns false to signal its failure.

```
⟨no_match_rest⟩≡
bool no_match_rest(void *ptr, void *rest)
{ return false; }
```

Miscellanea

```
\langle includes \rangle \equiv
  #include "global.h"
\langle rt/call.h \rangle \equiv
  \langle call\_ctx\_t \rangle
  // first arg is a call_ctx_t *
  // the void * decl is so you can use them as callbacks with call_sequence
  bool call_match_kwd(void *ptr, rsymbol_t *name, void *val);
  bool call_match_pos(void *ptr, void *val);
  bool call_match_omit(void *ptr);
  bool call_match_rest(void *ptr, void **args, rsymbol_t **names, int nargs);
  bool no_match_rest(void *ptr, void *rest);
  bool call_sequence(void *ptr, void **args, rsymbol_t **names, int nargs,
                       bool (*rest_fn)(void *, void *),
                       bool (*kwd_fn)(void *, rsymbol_t *, void *),
                       bool (*pos_fn)(void *, void *),
                       bool (*omit_fn)(void *));
```

Chapter 23

Scalars

The runtime provides three *scalar* types – booleans, integers, and double-precision floating point reals. Values of these types may be stored in reference objects called *boxes*, in vectors and arrays. They correspond to data types provided by the machine, and can be operated upon directly by code which has been specialised by the compiler.

23.1 Scalar Types

An instance of a scaldesc_t structure describes a scalar type. The .size field contains the size of a single machine scalar of that type, in bytes. The .width callback returns the number of characters a value will require when printed; this is passed to .print to correctly format the output. .printsz bytes of storage will be allocated beforehand, in which extra formatting information may be stored.

The type will be installed in the global environment as .name.

```
\langle scaldesc_t \rangle =
  typedef struct scaldesc
  {
      char *name;
      size_t size;
      int (*width)(const void *, int, void *);
      void (*print)(FILE *, const void *, int, void *);
      size_t printsz;
} scaldesc_t;
```

Code generation and certain builtin functions have similar (but not identical) behaviour for values of scalar type (Section 26.8). Their differences are parameterised by tables indexed by scalar_code.

```
\langle scalar_code \rangle =
  typedef enum
{
     SC_BOOLEAN = 0,
     SC_INT,
     SC_DOUBLE,
     SC_MAX
} scalar_code;
```

The statically initialised scal_descs array contains scaldesc_ts for all scalar types; only doubles require extra storage during printing, of type dwidth_t.

```
\langle scal\_descs \rangle \equiv
 const scaldesc_t scal_descs[] = {
      [SC_BOOLEAN] = {
          .name = "boolean",
          .size = sizeof(rboolean_t),
          .print = boolean_print,
          .width = boolean_width,
      },
      [SC_INT] = {
          .name = "int",
          .size = sizeof(rint_t),
          .print = int_print,
          .width = int_width,
      },
      [SC_DOUBLE] = {
          .name = "double",
          .size = sizeof(rdouble_t),
          .print = double_print,
          .width = double_width,
          .printsz = sizeof(dwidth_t)
      }
 };
```

A type of SCALAR kind will point its .scal field to an element of this array. Since these are in scalar_code order, rscal_code can compute a type's code by pointer subtraction.

Scalars can undergo "arithmetic promotion". A scalar value of a type with a lower scal_code may be implicitly converted to a type with a higher code, if necessary. rscal_promote is defined on types, and returns the greater of its two arguments in code order (assuming they are both SCALARS.)

```
⟨rscal_promote⟩≡
  static inline rtype_t *rscal_promote(rtype_t *xt, rtype_t *yt)
     { return (rscal_code(xt) > rscal_code(yt)) ? xt : yt; }
```

23.1.1 Boxes

Where a scalar value is to be manipulated by code that expects a reference object, it will be boxed – stored into one freshly allocated for the purpose.

```
\langle boxes \rangle \equiv
\langle r\_box\_create \rangle
\langle r\_box \rangle
\langle r\_unbox \rangle
```

This allocation is done by r_box_create. The box comprises an robject_t header followed by one scalar of the given type.

```
\langle r_box_create \rangle \infty
    robject_t *r_box_create(rtype_t *typ)
    {
        assert(rtype_is_scalar(typ));
        return gc_alloc(typ, sizeof(robject_t) + rscal_size(typ));
    }
}
```

The BOXPTR macro returns a pointer to the contents of a box. The accessor macro UNBOX can be used to access (fetch or store) the value stored there, if its type is known.

```
⟨box accessors⟩≡
#define UNBOX(typ, box) *((typ *)BOXPTR(box))
#define BOXPTR(box) ((uint8_t *)(box) + sizeof(robject_t))
```

Otherwise, the functions r_box and r_unbox may be used. The former creates a new box, into which it copies the given value.

```
⟨r_box⟩≡
  robject_t *r_box(rtype_t *typ, const void *value)
  {
    robject_t *box = r_box_create(typ);
    memcpy(BOXPTR(box), value, rscal_size(typ));
    return box;
}
```

The latter copies the value contained in the given box to a destination address in memory (probably a stack slot, vector/array element, or rvalue_union_t.)

```
\langle (r_unbox)\lefta
\text{void r_unbox(void *dest, robject_t *src)}
{
\text{rtype_t *typ = r_typeof(src);}
\text{assert(rtype_is_scalar(typ));}
\text{memcpy(dest, BOXPTR(src), rscal_size(typ));}
}
```

23.1.2 Operations

```
\langle operations \rangle \equiv \\ \langle rscal\_print \rangle \\ \langle rscal\_hash \rangle \\ \langle rscal\_equal \rangle \\ \langle scal\_ops \rangle
```

Scalars of different types have different printed representations, so rscal_print dispatches to the type's scal.print callback.

This takes the destination FILE * and (a pointer to) the value itself, but also the desired width of the output in characters; which allows us to print, for example, the contents of a vector or array with all elements having equal width.

The scal.width callback returns either the width of the given value or the previous width specification, whichever is larger. (there are no previous values here, so we pass zero.)

```
\langle (rscal_print) \equiv static void rscal_print(FILE *fp, const void *ptr) {
          rtype_t *typ = r_typeof(ptr);
          void *data = scalprint_init(typ->scal);
          int width = typ->scal->width(BOXPTR(ptr), 0, data);
          typ->scal->print(fp, BOXPTR(ptr), width, data);
     }
}
```

If more than a single int is needed to store a type's width specification, scal.printsz will be nonzero. scalprint_init will allocate this amount of memory from the stack; it can then be passed through scal.width to scal.print.

```
⟨scalprint_init⟩≡
  #define scalprint_init(scal) memset(alloca(scal->printsz), 0, scal->printsz)
```

The hash of a scalar value is the hash of its representation in memory and the hash of its type.

```
(rscal_hash) =
  static uint32_t rscal_hash(const void *ptr)
{
    rtype_t *typ = r_typeof(ptr);
    return hash_code_seed(BOXPTR(ptr), rscal_size(typ), r_hash(typ));
}
```

Equality is also defined on the value's representation, and returns a binary result. This is used mainly by the system – arithmetic == takes NA and NaN semantics into account, and can also return NA (Appendix B).

```
\langle (rscal_equal) \equiv static bool rscal_equal(const void *x, const void *y)
{
      rtype_t *typ = r_typeof(x);
      return !memcmp(BOXPTR(x), BOXPTR(y), rscal_size(typ));
}
```

Scalars (unsurprisingly) don't refer to other objects, so don't require ops.gc or ops.free callbacks.

```
⟨scal_ops⟩≡
  static const typeops_t scal_ops = {
    .hash = rscal_hash,
    .equal = rscal_equal,
    .print = rscal_print
};
```

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23.2 Booleans

```
\langle booleans \rangle \equiv \\ \langle boolean\_width \rangle \\ \langle boolean\_print \rangle
```

A boolean scalar can take on one of three values – true, false or NA. It's stored in a byte, since the underlying machine has byte-addressable memory (vectors or arrays of booleans admit a packed bit representation, but this optimisation has not been done.)

The if expression expects its predicate to evaluate to a non-NA boolean.

The NA marker for this type has a representation of all-bits-one, but could be any other distinct value.

```
\langle rboolean\_na \rangle \equiv
  static const rboolean_t rboolean_na = ~0;
The printed representation of a boolean is either "true", "false" or "NA".
\langle boolean\_width \rangle \equiv
  static int boolean_width(const void *ptr, int width, void *data)
      rboolean_t v = *(rboolean_t *)ptr;
      int w = (v == rboolean_na) ? 2 : 5-v;
      return max(width, w);
The output string is right-justified (padded with spaces) in a field of the given width.
\langle boolean\_print \rangle \equiv
  static void boolean_print(FILE *fp, const void *ptr, int width, void *data)
      rboolean_t v = *(rboolean_t *)ptr;
      if(v == rboolean_na)
           fprintf(fp, "%*s", width, "NA");
           fprintf(fp, "%*s", width, v ? "true" : "false");
  }
```

23.3 Integers

The integer scalar type is the machine integer type, except the minimum representable value is taken as the NA marker. It is 32 bits in size (under the ILP-32 and LP-64 data models.)

Computing the width of a non-NA integer is a matter of accounting for the optional leading negative sign, then counting the decimal digits in the absolute value. An integer NA also prints as "NA".

```
\langle int\_width \rangle \equiv
  static int int_width(const void *ptr, int width, void *data)
      rint_t v = *(rint_t *)ptr;
      int w:
      if(v == rint_na)
           w = 2;
      else
           w = (v < 0) + floor(log10(abs(v))) + 1;
      return max(width, w);
  }
As with booleans, the output is right-justified in its field.
\langle int\_print \rangle \equiv
  static void int_print(FILE *fp, const void *ptr, int width, void *data)
      rint_t v = *(rint_t *)ptr;
      if(v == rint_na)
           fprintf(fp, "%*s", width, "NA");
      else
           fprintf(fp, "%*d", width, v);
  }
```

23.4 Doubles

The double scalar type is the machine double-precision floating-point type. In IEC 60559 format, it's always 64 bits in size.

We don't make use of floating-point exceptions; all NaNs are quiet.

```
\langle doubles \rangle \equiv
\langle is\_na \rangle
\langle dwidth\_t \rangle
\langle double\_width \rangle
\langle double\_print \rangle
```

Since there are a number of representations reserved as NaNs, we take one for our NA marker.

```
\( \text{rdouble_na} \) \( \text{static const rdouble_t rdouble_na} = __builtin_nan("Oxdeadbeef"), \)
\( \text{rdouble_min} = DBL_MIN, \)
\( \text{rdouble_max} = DBL_MAX; \)
```

is_na checks for this value with bitwise equality, as comparisons between reals are ordered (and would always return false.)

```
\langle is_na\rightarrow
    static inline bool is_na(rdouble_t val)
{
      const uint64_t *pval = (uint64_t *)&val, *pna = (uint64_t *)&rdouble_na;
      return *pval == *pna;
}
```

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When computing the printed width of a value of double scalar type, a preallocated dwidth_t structure is used to track the number of digits before and after the decimal point.

```
⟨dwidth_t⟩≡
  typedef struct
  {
    unsigned short dec, prec;
} dwidth_t;
```

The algorithm is a simplified version of that used by R, implemented by the functions scientific and formatReal (R Core Team, 2016, src/main/format.c).

```
⟨double_width⟩≡
static int double_width(const void *ptr, int width, void *data)
{
    rdouble_t val = *(rdouble_t *)ptr;
    dwidth_t *dwidth = data;
    int dec = 0, prec = 0;
    ⟨compute width⟩
    ⟨update width⟩
}
```

NA, NaNs and Infinities have fixed-width string representations.

```
⟨compute width⟩≡
  if(is_na(val))
    return max(width, 2);
else if(!isfinite(val))
    return max(width, 3 + (signbit(val) != 0));
else
{
    ⟨negative⟩
    ⟨non-fractional digits⟩
    ⟨shift and round⟩
    ⟨fractional digits⟩
    ⟨finish⟩
}
```

The optional leading negative sign is accounted for. To ensure correct rounding in the following code, we take the absolute value of the input val.

```
⟨negative⟩≡
dec = (signbit(val) != 0);
val = fabs(val);
```

The number 10^n (for $n \ge 0$) prints with n+1 digits. If the input is larger than one, taking the logarithm base 10 and rounding down gives us n. After the addition, dec contains the number of digits before the decimal point. Subtracting the integral part of val leaves the fractional part, upon which we continue to operate.

```
⟨non-fractional digits⟩≡
  if(val > 1.0)
    dec += floor(log10(val));
  dec++;
  val -= floor(val);
```

The option .print_digits allows the user to specify the maximum number of digits they want printed after the decimal point. Dividing by 10^-n shifts the decimal point right by n digits. Rounding val to a whole number then discards anything beyond those digits which the user requested.

```
\langle shift and round \rangle \square val /= exp10(-opt.print_digits);
val = nearbyint(val);
```

We don't want to waste output on trailing zeros. Counting digits down from the maximum, we shift the decimal point left until a fractional part is found (or we run out of digits). Undoing the last decrement then leaves **prec** containing the number of digits to print after the decimal point.

To distinguish doubles from ints, at least one fractional digit is always printed.

```
⟨finish⟩≡
  prec = max(prec, 1);
  //printf("= dec %d, prec %d\n", dec, prec);
```

The two counts are accumulated separately. The total width of the field is their sum (plus one for the decimal point.)

NA has the same printed representation as for the other scalar types. All other values are right-justified in width columns, with precision dwidth.prec.

```
⟨double_print⟩

static void double_print(FILE *fp, const void *ptr, int width, void *data)
{
    rdouble_t v = *(rdouble_t *)ptr;
    dwidth_t *dwidth = data;

    assert(dwidth);
    if(is_na(v))
        fprintf(fp, "%*s", width, "NA");
    else
        fprintf(fp, "%*.*f", width, dwidth->prec, v);
}
```

23.5 Initialisation

The convenience function rtype_scal_init creates and installs a type of SCALAR kind from the specified scalar descriptor.

```
\langle (rscal_install) \Rightarrow
\text{static rtype_t *rtype_scal_init(scalar_code code)}
\{
\text{const scaldesc_t *scal = &scal_descs[code];}
\text{rtype_t *typ = rtype_create(RT_SCALAR, (void *)scal,}
\text{ &scal_ops, scal->name);}
\text{rtype_install(typ, scal->name);}
\text{return typ;}
\}
```

rt_install_scalar_types is called during runtime initialisation, and also defines the boolean constants true and false, and a double constant Inf. NA is defined as a boolean, since it can be promoted to any type higher in the order as necessary.

```
\( \text{rt_install_scalar_types} \) =
\( \text{rt_ype_t *r_type_int, *r_type_double, *r_type_boolean;} \)
\( \text{void rt_install_scalar_types}() \) \{
\( \text{r_type_double} = rtype_scal_init(SC_DOUBLE); \)
\( \text{r_type_int} = rtype_scal_init(SC_INT); \)
\( \text{r_type_boolean} = rtype_scal_init(SC_BOOLEAN); \)
\( \text{r_defscalar("true", r_type_boolean, \)
\( \text{(rvalue_union_t) { .boolean} = true } ); \)
\( \text{r_defscalar("false", r_type_boolean, \)
\( \text{(rvalue_union_t) { .boolean} = false } ); \)
\( \text{r_defscalar("NA", r_type_boolean, \)
\( \text{(rvalue_union_t) { .boolean} = rboolean_na } ); \)
\( \text{r_defscalar("Inf", r_type_double, \)
\( \text{(rvalue_union_t) { .dfloat} = HUGE_VAL } ); \)
\( \text{}
\)
\( \text{}
\)
\( \text{}
\)
\( \text{r_type_toolean} = rboolean_na } ); \)
\( \text{r_type_double, \)
\( \text{(rvalue_union_t) { .dfloat} = HUGE_VAL } ); \)
\( \text{}
\)
\( \text{}
\)
\( \text{}
\)
\( \text{r_type_toolean} = rboolean_na } ); \)
\( \text{r_type_toolean} = rtype_toolean_na } ); \)
\( \text{r_type_toolean} = rboolean_na } ); \)
\( \text{r_type_toolean} = rboolean_na } ); \)
\( \text{r_type_toolean} = rtype_toolean_na } ); \)
\( \text{r_toolean} = rtype_to
```

Miscellanea

```
\langle includes \rangle \equiv
   #include "global.h"
\langle rt/scalar.h \rangle \equiv
     \langle scalar\_code \rangle
     \langle scaldesc_{-}t \rangle
    \langle box\ accessors \rangle
    \langle rint_{-}na \rangle
    \langle rdouble\_na \rangle
    \langle rboolean\_na \rangle
    \langle externs \rangle
    \langle prototypes \rangle
    \langle rscal\_code \rangle
    \langle rscal\_size \rangle
    \langle rscal\_promote \rangle
    \langle scalprint\_init \rangle
\langle externs \rangle \equiv
   extern rtype_t *r_type_int, *r_type_double, *r_type_boolean;
   extern const scaldesc_t scal_descs[];
```

```
⟨prototypes⟩≡
  robject_t *r_box_create(rtype_t *typ);
  robject_t *r_box(rtype_t *typ, const void *value);
  void r_unbox(void *dest, robject_t *src);
  void rt_install_scalar_types();
```

Chapter 24

Vectors and Arrays

The one-dimensional *vector* and multidimensional *array* comprise the collection types provided by the runtime. They are not as fundamental as they would be in a genuine *collection-oriented* language (Sipelstein and Blelloch, 1990).

24.1 Containers

Vectors and arrays augment a base buffer with additional fields. The <code>rbuf_t</code> structure is the first member in these objects. The <code>.elts</code> field points to an allocation of unmanaged memory containing <code>.length</code> elements.

```
\langle rbuf_t \rangle \equiv 
typedef struct
{
    robject_t base;
    int length;
    void *elts;
} rbuf_t;
```

The rvec_elts and rvec_len accessors are macros for convenient use on either kind of container (despite the prefix.)

```
⟨rvec accessors⟩≡
#define rvec_elts(_b) ((_b)->buf.elts)
#define rvec_len(_b) ((_b)->buf.length)
```

24.1.1 Types

An object holding a buffer is of some *container type*. This type is either a VECTOR or an ARRAY, and holds the buffer's *element type* in its .elt field.

```
⟨elt_type⟩

static inline rtype_t *elt_type(const rbuf_t *buf)
{
    rtype_t *typ = r_typeof(buf);
    assert(rtype_is_container(typ) && typ->elt);
    return typ->elt;
}
```

Only objects with types that are subtypes (Section 20.5) of the element type can be held in the buffer (it's homogeneous just when this is true of the element type alone, as $r_subtype(x, x)$ is true for all x.)

```
\langle types \rangle \equiv
\langle cntrdesc\_t \rangle
\langle cntr\_type\_create \rangle
\langle cntr\_type\_from\_spec \rangle
\langle cntr\_descs \rangle
\langle type\_creates \rangle
```

A cntrdesc_t structure describes a kind of container types. .cons is the constructor descriptor; .ptype holds the address of the type which is created from it. The .ops callbacks are used for instances of (this kind of) container type.

```
\langle contrdesc_t \rangle \equiv 
typedef struct
{
      consdesc_t cons;
      rtype_t **ptype;
      const typeops_t *ops;
} cntrdesc_t;
```

Vectors and arrays share a cons.from_spec callback.

```
\langle cntr\_descs \rangle \equiv
 static const cntrdesc_t cntr_vec = {
      .cons = {
          .from_spec = cntr_type_from_spec,
          .kind = RT_VECTOR
      },
      .ptype = &r_type_vector,
      .ops = &vec_ops
 };
 static const cntrdesc_t cntr_arr = {
      .cons = {
           .from_spec = cntr_type_from_spec,
           .kind = RT_ARRAY
      },
      .ptype = &r_type_array,
      .ops = &arr_ops
```

Given a cntrdesc_t and an element type, creating an instance of that kind is simple.

```
\(cntr_type_create\)\(\sigma\)
    rtype_t *cntr_type_create(const cntrdesc_t *cntr, rtype_t *etyp)
    {
        return rtype_create(cntr->cons.kind, etyp, cntr->ops, NULL);
    }
}
```

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Creating an instance from a type specifier is not much more complicated. Since the consdesc_t is contained within a cntrdesc_t, the address of the latter can be recovered from the former.

If no arguments are provided, the type constructor itself is returned, as it's a supertype of all its instances. Otherwise, the single argument specifies the element type.

Wrappers allow convenient creation of vector and array types.

```
(type_creates) =
    rtype_t *rvec_type_create(rtype_t *etyp)
        { return cntr_type_create(&cntr_vec, etyp); }
    rtype_t *rarr_type_create(rtype_t *etyp)
        { return cntr_type_create(&cntr_arr, etyp); }
```

24.1.2 Buffers

```
 \langle buffers \rangle \equiv \\ \langle buf\_scal\_equal \rangle \\ \langle buf\_obj\_equal \rangle \\ \langle rbuf\_equal \rangle \\ \langle buf\_scal\_hash \rangle \\ \langle buf\_obj\_hash \rangle \\ \langle rbuf\_hash \rangle \\ \langle rbuf\_gc \rangle \\ \langle rbuf\_free \rangle \\ \langle rbuf\_create \rangle
```

Creating a buffer requests a managed object (sz bytes large, of container type typ) and enough unmanaged memory for length elements. Buffers may not be resized in-place.

```
\langle rbuf_create \subseteq \subseteq \text{static inline void *rbuf_create(rtype_t *typ, unsigned length, size_t sz) {
        assert(rtype_is_container(typ));
        void *elts;
        size_t vecsz = length * rtype_eltsz(typ->elt);
        rbuf_t *buf = gc_alloc_vec(typ, sz, vecsz, &elts);

        buf->elts = elts;
        buf->length = length;
        return buf;
}
```

Two buffers are equal if they have equal element type, length, and contents.

```
⟨rbuf_equal⟩

static inline bool rbuf_equal(const rbuf_t *x, const rbuf_t *y)
{

    rtype_t *xt = elt_type(x), *yt = elt_type(y);

    if(xt != yt)
        return false;
    if(x->length != y->length)
        return false;
    return rtype_is_scalar(xt)
        ? buf_scal_equal(x, y, xt)
            : buf_obj_equal(x, y);
}
```

As an optimisation, scalar buffers can have their memory compared directly, since that's how scalar equality is defined (Subsection 23.1.2).

Buffers containing objects must compare the corresponding elements with r_equal.

```
\langle buf_obj_equal \rangle \square static inline bool buf_obj_equal(const rbuf_t *x, const rbuf_t *y) {
    robject_t **vx = x->elts, **vy = y->elts;
    for(int i=0; i < x->length; i++)
        if(!r_equal(vx[i], vy[i]))
            return false;
    return true;
}
```

The hash of a buffer is the hash of its elements and the hash of its type.

```
\langle rbuf_hash \rangle \static inline uint32_t rbuf_hash(const rbuf_t *buf) {
    uint32_t hash = r_hash(r_typeof(&buf->base));
    rtype_t *etyp = elt_type(buf);

    return rtype_is_scalar(etyp)
        ? buf_scal_hash(buf, hash, etyp)
        : buf_obj_hash(buf, hash);
}
```

As with equality, a buffer of scalars can have its memory hashed directly.

```
\langle buf_scal_hash \rangle =
  static inline uint32_t
  buf_scal_hash(const rbuf_t *buf, uint32_t hash, rtype_t *etyp) {
     size_t vecsz = buf->length * rtype_eltsz(etyp);
     return hash_code_seed(buf->elts, vecsz, hash);
}
```

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Each element in a buffer of objects is hashed, their values contributing to the result.

Reference objects contained in a buffer must be marked during garbage collection.

A buffer (of nonzero length) has unmanaged memory allocated to hold its elements, so is responsible for its deallocation upon destruction.

```
\langle rbuf_free \rangle \infty
    static inline void rbuf_free(rbuf_t *buf)
    {
        if(buf->elts)
            gc_free_vec(buf->elts, buf->length * rtype_eltsz(elt_type(buf)));
}
```

Vectors and arrays may be named – that is, contain human-readable symbolic metadata. This isn't stored in the buffer, so depends on the collection's type.

```
\langle is_named\rangle \static inline bool is_named(const robject_t *obj)
{
    rtype_t *type = r_typeof(obj);
    if(rtype_is_vector(type))
        return rvec_is_named((rvector_t *)obj);
    else if(rtype_is_array(type))
        return rarr_is_named((rarray_t *)obj);
    return false;
}
```

24.2 Vectors

A vectors is a dense, ordered, fixed-length collection of elements. The rvector_t structure begins with a buffer having VECTOR type.

```
\langle (rvector_t)\leftarrow 
typedef struct rvector_s rvector_t;
typedef struct rvector_s
{
    rbuf_t buf;
    rvector_t *names;
} rvector_t;
```

When the .names field is non-NULL, it points to a vector of symbols, each element of which names the corresponding element of .buf.elts.

```
\langle rvec\_is\_named \rangle \equiv
  static inline bool rvec_is_named(const rvector_t *vec)
         { return vec->names != NULL; }
\langle vectors \rangle \equiv
   \langle rvec\_create \rangle
   \langle rvec\_add\_names \rangle
   \langle rvec\_free \rangle
   \langle rvec\_gc \rangle
   \langle rvec\_hash \rangle
   \langle rvec\_equal \rangle
   \langle rvec\_print \rangle
   \langle vec\_ops \rangle
Vectors are created without element names.
\langle rvec\_create \rangle \equiv
  rvector_t *rvec_create(rtype_t *typ, unsigned length)
  {
         assert(rtype_is_vector(typ));
        rvector_t *vec = rbuf_create(typ, length, sizeof(rvector_t));
        vec->names = NULL;
```

return vec;

}

rvec_add_names initialises and attaches a name vector to vec, returning it to the caller (note that it allocates memory; since this may invoke the garbage collector, vec needs to be reachable from some GC root.)

```
(rvec_add_names) =
  rvector_t *rvec_add_names(rvector_t *vec)
{
    int len = rvec_len(vec);
    assert(!vec->names);
    vec->names = rvec_create(r_type_vec_symbol, len);
    memset(rvec_elts(vec->names), 0, len * sizeof(rsymbol_t *));
    return vec->names;
}
```

The buffer's hash is combined with the name vector's, if the latter is present.

```
\langle (rvec_hash) \( \)
    static uint32_t rvec_hash(const void *ptr)
    {
        const rvector_t *vec = ptr;
        uint32_t hash = rbuf_hash(&vec->buf);
        if(vec->names)
            return hash_roll(hash, r_hash(vec->names));
        return hash;
    }
}
```

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The elements and element names of two vectors must be equal for them to be considered equal.

Vectors have a slightly more verbose printed representation than in R. The first line is a header; the elements follow on the next, though the exact format differs depending on whether or not they are scalars (Subsection 24.4.2).

```
\langle rvec_print\rangle \infty
\text{static void rvec_print(FILE *fp, const void *ptr)}
\text{
\text{const rvector_t *vec = ptr;}
\text{rtype_t *etyp = elt_type(&vec->buf);}
\text{rsymbol_t **names = vec->names ? rvec_elts(vec->names) : NULL;}
\text{print_vec_hdr(fp, vec);}
\text{if(rtype_is_scalar(etyp))}
\text{print_vec_scal(fp, etyp->scal, rvec_elts(vec), names, rvec_len(vec));}
\text{else}
\text{print_vec_obj(fp, rvec_elts(vec), names, rvec_len(vec));}
\text{}
\]
```

Aside from (possibly) marking the contents of the buffer, a vector also needs to mark its name vector when one is present.

```
\langle (rvec_gc\)
static void rvec_gc(void *ptr)
{
    rvector_t *vec = ptr;
    rbuf_gc(&vec->buf);
    gc_mark(vec->names);
}
```

The unmanaged memory holding the buffer's elements needs explicit deallocation.

```
\langle rvec_free \rangle \subseteq 
static void rvec_free (void *ptr) {
        rbuf_free (ptr);
}
\langle vec_ops \rangle \subseteq 
static const typeops_t vec_ops = {
        .free = rvec_free,
        .gc = rvec_gc,
        .hash = rvec_hash,
        .equal = rvec_equal,
        .print = rvec_print
};
```

24.3 Arrays

An array is a dense, multi-dimensional collection with a fixed shape. .buf.type is of kind ARRAY. Elements are stored at .buf.elts in column-major order. The extents of the array are recorded in .shape, and its dimension in .rank.

```
\langle (rarray_t)\leftarray_t)\leftarray_t \rightarrow 
typedef struct
{
    rbuf_t buf;
    int rank;
    int *shape;
    rvector_t *dimnames;
} rarray_t;
```

If .dimnames is non-NULL, the array is named. Each (non-NULL) element of this vector is itself a vector of names for the indices of the corresponding dimension.

```
⟨rarr_is_named⟩≡
  static inline bool rarr_is_named(const rarray_t *arr)
  { return arr->dimnames != NULL; }
```

Two arrays *conform* if they have the same dimensions – that is, their ranks and shapes are equal.

```
\langle rarr\_conform \rangle \equiv
   static inline bool rarr_conform(const rarray_t *x, const rarray_t *y)
         { return rarr_shape_conform(x->rank, y->rank, x->shape, y->shape); }
\langle rarr\_shape\_conform \rangle \equiv
   static inline bool rarr_shape_conform(int xr, int yr, int *xs, int *ys)
         { return (xr == yr) && !memcmp(xs, ys, sizeof(int) * xr); }
\langle arrays \rangle \equiv
   \langle arr\_length \rangle
   \langle rarr\_create\_buf \rangle
   \langle rarr\_set\_shape \rangle
   \langle rarr\_create \rangle
    \langle rarr\_add\_names \rangle
    \langle rarr\_free \rangle
   \langle rarr\_gc \rangle
   \langle rarr\_hash \rangle
   \langle rarr\_equal \rangle
   \langle rarr_print \rangle
   \langle arr\_ops \rangle
```

Creating an array is a matter of creating the underlying buffer and initialising the .shape field (these functions are split out so that they are available to the vectorised arithmetic subsystem in Appendix B.)

```
(rarr_create) =
  rarray_t *rarr_create(rtype_t *typ, int rank, int *dims)
{
    assert(rank >= 0);
    int len = arr_length(rank, dims);
    rarray_t *arr = rarr_create_buf(typ, len);
    rarr_set_shape(arr, rank, dims);
    return arr;
}
```

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.buf must have length equal to the product of the provided dimensions.

```
(arr_length) =
  static int arr_length(int rank, int *dims)
{
    int len = rank > 0 ? 1 : 0;
    for(int i = 0; i < rank; i++)
        len *= dims[i];
    return len;
}</pre>
```

As per vectors, arrays are created without dimnames.

The .shape of an array is stored out-of-line in unmanaged memory (the provided dimensions are not sanity checked against .buf.length; this function is not user-visible.)

```
\langle rarr_set_shape\rightarrow \rightarrow void rarr_set_shape(rarray_t *arr, int rank, int *dims) {
    size_t sz = rank * sizeof(int);
    int *shape = rank > 0 ? xmalloc(sz) : NULL;

    memcpy(shape, dims, sz);
    arr->rank = rank;
    if(arr->shape)
        xfree(arr->shape);
    arr->shape = shape;
}
```

Adding dimnames to an array creates and initialises a new vector, assigning it to .dimnames (the note from rvec_add_names applies in this case, too.) Each dimension can then have its indices named by setting its corresponding element to a vector of symbols with correct length.

```
\langle rrank and names \subseteq \text{rarr_add_names(rarray_t *arr)} 
{
    assert(!arr->dimnames);
    arr->dimnames = rvec_create(r_type_vec_names, arr->rank);
    memset(rvec_elts(arr->dimnames), 0, arr->rank * sizeof(rvector_t *));
    return arr->dimnames;
}
```

The hash of an array additionally includes the hash of its shape.

```
\langle rarr_hash \rangle \static uint32_t rarr_hash(const void *ptr)
{
    const rarray_t *arr = ptr;
    uint32_t hash = rbuf_hash(&arr->buf);
    hash = hash_code_seed(arr->shape, arr->rank * sizeof(int), hash);
    if(arr->dimnames)
        return hash_roll(hash, r_hash(arr->dimnames));
    return hash;
}
```

Two arrays are equal if their shapes conform, and their elements and dimnames are equal.

Arrays are printed in a similar manner to vectors; the header line is followed by the elements, with a different format for scalars.

```
\(\text{rarr_print}\)\(\sigma\)
\(\text{static void rarr_print}(\text{FILE *fp, const void *ptr})\)
\(\text{const rarray_t *arr = ptr;}\)
\(\text{rtype_t *etyp = elt_type(&arr->buf);}\)
\(\text{rvector_t **dimnames = arr->dimnames ? rvec_elts(arr->dimnames) : NULL;}\)
\(\text{print_arr_hdr(fp, arr);}\)
\(\text{if(rtype_is_scalar(etyp))}\)
\(\text{print_arr_scal(fp, etyp->scal, rvec_elts(arr), dimnames,}\)
\(\text{arr->rank, arr->shape);}\)
\(\text{else}\)
\(\text{print_arr_obj(fp, rvec_elts(arr), dimnames,}\)
\(\text{arr->rank, arr->shape);}\)
\(\text{}\)
\(\text{}\)
\(\text{arr->rank, arr->shape);}\)
\(\text{}\)
\(\text{arr->rank, arr->shape);}\)
```

The dimnames vector must be marked, as well as the elements in the buffer (depending on type.)

```
\( \text{rarr_gc} \) \( \text{static void rarr_gc(void *ptr)} \) \{ \( \text{rarray_t *arr = ptr;} \) \( \text{rbuf_gc(&arr->buf);} \) \( \text{gc_mark(arr->dimnames);} \) \} \)
```

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In addition to the buffer, .shape must be deallocated.

24.4 Printing

The printed representations of vectors and arrays take into account element type, presence of (dim)names, and user-specified options.

Various fields (names sometimes, scalars always) are tabulated by computing the maximum printed width of the values in each column, then padding each element with whitespace to ensure horizontal alignment.

```
 \langle printing \rangle \equiv \\ \langle clip\_to\_max \rangle \\ \langle clip\_npieces \rangle \\ \langle name\_width \rangle \\ \langle names\_width \rangle \\ \langle scalfmt\_t \rangle \\ \langle scalfmt\_init \rangle \\ \langle scalfmt\_width \rangle \\ \langle idx\_width \rangle \\ \langle print\_name \rangle \\ \langle printing\ vectors \rangle \\ \langle printing\ arrays \rangle
```

Users may specify with opt.print_max a maximum number of elements to print. clip_to_max returns true if its input was reduced due to exceeding this value.

```
⟨clip_to_max⟩≡
static inline bool clip_to_max(int *plen)
{
    if(*plen > opt.print_max)
    {
        *plen = opt.print_max;
        return true;
    }
    return false;
}
```

If a 'piece' consists of piecelen elements, and there are *npieces to print, clip_npieces adjusts the latter when the total number of elements exceeds the specified maximum. In this case, it returns true.

```
⟨clip_npieces⟩≡
static bool clip_npieces(int *npieces, int piecelen)
{
   int len = *npieces * piecelen;
   bool truncated = clip_to_max(&len);

   if(piecelen > 0)
   {
      // divide into pieces
      div_t r = div(len, piecelen);
      *npieces = r.quot + (r.rem > 0);
   }
   return truncated;
}
```

Users may also specify a maximum printed name length with opt.print_name_max. name_width returns the number of characters in a name, respecting this limit.

```
\langle (name_width)\lefta
    static inline int name_width(rsymbol_t *name)
{
      int 1 = strlen(r_symstr(name));
      return min(1, opt.print_name_max);
}
```

names_width returns the number of characters taken by the longest name of those it's given.

```
(names_width) =
  static int names_width(rsymbol_t **names, int len)
{
    int width = 0;
    for(int i = 0; i < len; i++)
    {
        int w = name_width(names[i]);
        width = max(width, w);
    }
    return width;
}</pre>
```

This can be taken into account when computing the width of a column containing names (possibly amongst other things,) so that its elements can be printed in right-justified fields of equal width (which is used as both precision and width specifier, since name might need to be truncated.)

```
\langle print_name \rangle =
static void print_name(FILE *fp, rsymbol_t *name, int width)
{
    fprintf(fp, "%*.*s", width, width, r_symstr(name));
}
```

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An index value (if not otherwise named) is printed as an integer, so its width is that of an equivalent r_type_int.

```
\( \langle idx_width \rangle \)
\( \text{static inline int idx_width(int i)} \)
\( \text{const scaldesc_t *intscal = r_type_int->scal;} \)
\( \text{return intscal->width(&i, 0, NULL);} \)
\( \text{}
\)
\( \text{}
\)
\( \text{}
\)
\( \text{return intscal->width(&i, 0, NULL);} \)
\( \text{}
\)
\( \text{return intscal->width(&i, 0, NULL);} \)
\( \text{}
\)
\( \text{return intscal->width(&i, 0, NULL);} \)
\( \text{}
\)
\( \text{return intscal->width(&i, 0, NULL);} \)
\( \t
```

The maximum printed .width can be found for a column (or vector) of scalars, but some types need additional .data.

```
\langle scalfmt_-t \rangle \equiv
typedef struct
{
    int width;
    void *data;
} scalfmt_t;
```

scalfmt_width updates fmt with just this value, computed over the len scalar elements
at eptr.

Since other values can be printed in the same column, scalfmt_init takes an initial value of width as input.

24.4.1 Printing Vectors

The header line of a vector prints as "vector(type) [length]".

```
\langle print_vec_hdr \rangle \infty
    static void print_vec_hdr(FILE *fp, const rvector_t *vec) {
        r_print(fp, r_typeof(vec));
        fprintf(fp, " [%d]", rvec_len(vec));
    }
```

When the vector doesn't have scalar element type, each element is r_printed on a line of its own. The line is prefixed with a "name:" (if the vector has them) or an "[index]: " (if not.)

The maximum printed width of these prefixes defines the width of the field in which each is right justified. A trailing "..." signifies the output was truncated at user request.

```
\langle print\_vec\_obj \rangle \equiv
 static void print_vec_obj(FILE *fp, robject_t **objs, rsymbol_t **names,
                              int len)
      int width = names
          ? names_width(names, len)
          : idx_width(len);
      bool truncated = clip_to_max(&len);
      for(int i = 0; i < len; i++)
          fprintf(fp, "\n");
          if(names)
               print_name(fp, names[i], width);
          else
               fprintf(fp, "[%*d]", width, i + 1);
          fprintf(fp, ": ");
          r_print(fp, objs[i]);
      }
      if(truncated)
          fprintf(fp, "\n ...");
 }
```

A vector of scalars prints more compactly, at the cost of some extra work up-front. It is also truncated with "..." if necessary.

All elements are printed in fields of identical width – equal to the maximum required by any element in the vector. The maximum width of the element names is also taken into account, if they are present.

```
\langle print vector \rangle =
scalfmt_t fmt = scalfmt_init(names ? names_width(names, len) : 0, scal);
scalfmt_width(&fmt, scal, elts, len);
```

The printed representation of the vector may span multiple lines. If element names are absent, each begins with "[index]", nch characters wide, with the index right-justified in a field of iwidth.

```
\langle print vector \rangle +\equiv 
int iwidth = idx_width(len);
int nch = names ? 0 : iwidth + 2;
```

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The user option opt.print_line specifies the number of characters after which a line should be broken. Until this limit is exceeded, linech accumulates the widths of elements (including leading spaces) so as to compute llen, the number of elements to print on a single line. lsz is the size in bytes of the line's worth of elements (as scalar types differ in size.)

```
\langle print vector \rangle +=
  int linech = nch, llen = 0;
  size_t lsz = scal->size;
  for(int i = 0; i < len; i++)
  {
     linech += fmt.width + 1;
     if(linech > opt.print_line && i > 0)
          break;
     llen++;
  }
  lsz *= llen;
```

Each line is printed while advancing the index i and pointer eptr. plen accounts for a trailing partial line if llen does not divide len.

```
(print vector)+=
  for(int i = 0; i < len; i += llen, eptr += lsz)
{
    int plen = min(llen, len - i);

    fprintf(fp, "\n");
    if(!names)
        fprintf(fp, "[%*d]", iwidth, i + 1);
    print_vec_scal_elts(fp, scal, eptr, plen, fmt);
    if(names)
        print_vec_scal_names(fp, names + i, plen, fmt.width);
}</pre>
```

The scal.print callback is invoked for each element in the line...

... and if names are present, each is printed below its corresponding element.

24.4.2 Printing Arrays

```
 \langle printing \ arrays \rangle \equiv \\ \langle idxs\_advance \rangle \\ \langle idxs\_init \rangle \\ \langle idx\_name \rangle \\ \langle print\_idxs \rangle \\ \langle print\_arr\_hdr \rangle \\ \langle print\_arr\_scal\_elts \rangle \\ \langle slice\_width \rangle \\ \langle print\_slice \rangle \\ \langle print\_slices \rangle \\ \langle print\_arr\_scal \rangle
```

An array's header line is similar to a vector's, with shape instead of length: "array(type) [rows,cols,...]".

To step through an array an element at a time, a set of indices are tracked, one per dimension. idxs_init zeros its input, accumulating and returning the number of elements in the array.

```
⟨idxs_init⟩≡
    static inline int idxs_init(int rank, int *shape, int *idxs)
{
      int len = 1;
      for(int i = 0; i < rank; i++)
      {
         idxs[i] = 0;
         len *= shape[i];
      }
      return len;
}</pre>
```

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Arrays are column-major, so the leftmost index increases fastest when advancing idxs through the array.

```
\( \( \( \text{idxs_advance} \) \)
\( \text{static inline void idxs_advance(int rank, int *shape, int *idxs)} \)
\( \text{for(int i = 0; i < rank; i++)} \)
\( \text{if(++idxs[i] >= shape[i])} \)
\( \text{idxs[i] = 0;} \)
\( \text{else} \)
\( \text{break;} \)
\( \text{}
\}
\)
\}
\( \text{dif(++idxs[i] >= shape[i])} \)
\( \text{idxs[i] = 0;} \)
\( \text{else} \)
\( \text{break;} \)
\( \text{}
\}
\)
\( \text{dif(++idxs[i] >= shape[i])} \)
\( \text{idxs[i] = 0;} \)
\( \text{else} \)
\( \text{break;} \)
\( \text{dif(+-idxs[i] = 0;} \)
\( \text{else} \)
\( \text{break;} \)
\( \text{dif(---idxs[i] = 0;} \)
\( \text{else} \)
\( \text{break;} \)
\( \text{dif(---idxs[i] = 0;} \)
\( \text{else} \)
\( \text{break;} \)
\( \text{dif(---idxs[i] = 0;} \)
\( \text{else} \)
\( \text{break;} \)
\( \text{dif(---idxs[i] = 0;} \)
\( \text{else} \)
\( \text{dif(---idxs[i] = 0;} \)
\( \text{else of idxs[i] = 0;}
```

Like vectors, an array without scalar element type prints each element on its own line, with a prefix indicating its position in the container. The output may be truncated.

If dimnames is non-NULL, each element corresponds to a dimension of the array, and is either NULL or an rvector_t of index names. In this last case, the names are printed in lieu of numeric indices for that dimension.

```
\langle print\_arr\_obj \rangle \equiv
  static void print_arr_obj(FILE *fp, robject_t **objs, rvector_t **dimnames,
                              int rank, int *shape)
  {
      int *idxs = alloca(rank * sizeof(int));
      int len = idxs_init(rank, shape, idxs);
      bool truncated = clip_to_max(&len);
      for(int i = 0; i < len; i++)
          fprintf(fp, "\n[");
          print_idxs(fp, idxs, rank, dimnames);
          fprintf(fp, "]: ");
          r_print(fp, objs[i]);
          idxs_advance(rank, shape, idxs);
      }
      if(truncated)
          fprintf(fp, "\n ...");
The prefix is printed as "[row,col,...]: ", with each index either a name or number.
\langle print_i dxs \rangle \equiv
  static inline void print_idxs(FILE *fp, int *idxs, int rank,
                                  rvector t **dimnames)
  {
      for(int i = 0; i < rank; i++)
      {
          int idx = idxs[i];
          if(i > 0)
               fprintf(fp, ",");
          if(dimnames && dimnames[i])
               fprintf(fp, "%s", r_symstr(idx_name(dimnames[i], idx)));
          else
               fprintf(fp, "%d", idx + 1);
      }
```

}

Given an element of dimnames and an index in the corresponding dimension, idx_name extracts the corresponding name.

```
\( idx_name \) \( \sigma \)
\( static inline rsymbol_t *idx_name(rvector_t *names, int i) \)
\( \{ return ((rsymbol_t **)rvec_elts(names))[i]; \} \)
\( \}
\]
```

The printed representation of an array of scalars depends on its rank. Arrays of zero rank produce no further output; arrays of rank one can reuse print_vec_scal. Arrays of higher rank are printed as a sequence of two-dimensional *slices*.

```
\langle print\_arr\_scal \rangle \equiv
 static void print_arr_scal(FILE *fp, const scaldesc_t *scal, void *elts,
                               rvector_t **dimnames, int rank, int *shape)
 {
      switch(rank)
      case 0:
          break;
      case 1:
          print_vec_scal(fp, scal, elts,
                           dimnames ? rvec_elts(dimnames[0]) : NULL,
                           shape[0]);
          break;
      case 2:
          print_slice(fp, scal, elts, dimnames, shape);
      default:
          print_slices(fp, scal, elts, dimnames, rank, shape);
          break:
      }
 }
```

If more than one slice is to be printed, they are considered as elements of an array of two fewer dimensions, with rank rrank, shape rshape and length len. This reduced-rank array is then stepped through, and each slice (slicelen elements in length, ssz bytes in size) printed.

If the number of elements exceeds the user's specified maximum, the output will be truncated.

A slice has a header line of the form "[,,idx,idx,...]", indicating the index of the first element (the leading two indices are omitted as they'll always be 1.)

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```
idxs_advance(rrank, rshape, idxs);
}
if(truncated)
    fprintf(fp, "\n ...");
}
```

Convenient synonyms are defined for row and columns counts and names. The slice may be truncated; if so, fewer rows will be printed.

The slice is printed in one or more *chunks* of columns. Each chunk prints as a header line followed by **nrows** rows.

Row indices share a single field width, riwidth. This takes nch characters – if the rows aren't named, the index prints as "[idx,]", so adds 3.

Each column has a separate scalfmt_t, unlike vectors where one is shared by all elements. A column index contributes its total width to the .width of its column, but might have a different field width, stored in ciwidths.

```
(print slice)+=
  int *ciwidths = alloca(ncols * sizeof(int));
  scalfmt_t *fmts = alloca(ncols * sizeof(scalfmt_t));

for(int i=0; i<ncols; i++)
    fmts[i] = scalfmt_init(0, scal);
  slice_width(scal, eptr, fmts, ciwidths, colnames, nrows, ncols);</pre>
```

Stepping through the array by column, the index width provides the initial value of .width for the scalar elements. If column names are not present, the printed representation "[,idx]" adds 3 characters, the same as row indices.

Printing one chunk advances column index i to end, and initial element pointer eptr by the corresponding number of bytes.

```
\langle print\ slice \rangle + \equiv
for(int i = 0, end = 0; i < ncols; eptr += (end - i) * csz, i = end)
{
\langle print\ line \rangle
}
```

linech records the number of characters printed on a line. Column widths (and separating spaces) are accumulated, updating end, until either all have been accounted for or the specified line length is exceeded.

```
\left(\rho print line)\left(\)
int linech = nch;
for(int j = i; j < ncols; j++)
{
    linech += fmts[j].width + 1;
    if(linech > opt.print_line && j > i)
        break;
    end++;
}
```

Indenting past the row index aligns the chunk header line.

```
\langle print line \rangle +=
fprintf(fp, "\n%*s", nch, "");
print_col_idxs(fp, i, end, fmts, ciwidths, colnames);
```

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This contains the indices of columns from i to end. In the absence of names, the bracketed index is right-justified in its field.

Each row is printed on one line, starting with the row index. rptr is set to point to the start of the row, and is stepped by one element, since arrays are stored in column-major order.

```
\left(print line)+=
uint8_t *rptr = eptr;
for(int j = 0; j < nrows; j++, rptr += scal->size)
{
    fprintf(fp, "\n");
    if(rownames)
        print_name(fp, idx_name(rownames, j), riwidth);
    else
        fprintf(fp, "[%*d,]", riwidth, j + 1);
    print_arr_scal_elts(fp, scal, rptr, i, end, csz, fmts);
}
```

Elements on a row are printed similarly to those in a vector, except each has its own scalfmt_t.

24.5 Initialisation

A convenience function allows the creation and installation of a vector type with a specified element type.

```
\langle rtype_vec_init \rightarrow \square static inline rtype_t *rtype_vec_init(rtype_t *elt_type, const char *name) {
         rtype_t *type = rvec_type_create(elt_type);
         rtype_install(type, name);
         return type;
    }
```

The type constructors r_type_vector and r_type_array are installed as "vector" and "array"; instances required by some builtins are saved in r_type_vec_ globals, and installed under (hopefully) familiar names.

Miscellanea

```
\langle includes \rangle \equiv
   #include "global.h"
   #include "rt/builtin.h"
   #include "ast.h"
\langle rt/vector.h\rangle \equiv
    \langle rbuf_{-}t \rangle
    \langle rvector_{-}t \rangle
    \langle rarray_t \rangle
    \langle externs \rangle
    \langle prototypes \rangle
    \langle elt\_type \rangle
    \langle rvec\ accessors \rangle
   \langle rvec\_is\_named \rangle
   \langle rarr\_is\_named \rangle
    \langle is\_named \rangle
    \langle rarr\_shape\_conform \rangle
   \langle rarr\_conform \rangle
\langle externs \rangle \equiv
   extern rtype_t *r_type_vector, *r_type_array,
          *r_type_vec_symbol, *r_type_vec_names, *r_type_vec_object,
          *r_type_vec_boolean, *r_type_vec_int, *r_type_vec_double;
```

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```
\langle \text{prototypes} \\ \text{rtype_t *rvec_type_create(rtype_t *elt_type);} \\ \text{rvector_t *rvec_create(rtype_t *typ, unsigned length);} \\ \text{rvector_t *rvec_add_names(rvector_t *vec);} \\ \text{rtype_t *rarr_type_create(rtype_t *etyp);} \\ \text{rarray_t *rarr_create_buf(rtype_t *typ, int length);} \\ \text{void rarr_set_shape(rarray_t *arr, int rank, int *dims);} \\ \text{rarray_t *rarr_create(rtype_t *typ, int rank, int *dims);} \\ \text{rvector_t *rarr_add_names(rarray_t *arr);} \\ \text{void rt_install_vec_types();} \end{array} \]
```

Chapter 25

Memory Management

Automatic memory management is nearly ubiquitous among high-level languages. The execution overhead is more than outweighed by the advantages it affords; in particular, simplification of user code (Jones et al., 2012).

```
\langle rt/gc.c \rangle \equiv
\langle includes \rangle
\langle bit\ constants \rangle
\langle size\ constants \rangle
\langle gc\_stats \rangle
\langle interface\ globals \rangle
\langle chunk\ globals \rangle
\langle heap \rangle
\langle allocation \rangle
\langle collection \rangle
\langle interface \rangle
```

Memory used by the runtime can be split into two classes. *Unmanaged* buffers of arbitrary size are handled by the platform libc. Some will be owned by other objects, and some will be explicitly handled by the runtime. *Managed* memory contains robject_ts Section 19.1, which are the only referents directly visible to user code.

The memory management subsystem, responsible for handling them, is composed of a segregated-fit allocator and a type-accurate mark-sweep garbage collector. This is of deliberately simple design – generational or concurrent collection would improve latency at the cost of implementation complexity.

25.1 Managed Heap

The segregated-fit allocator has one *pool* for each distinct size of object (this set is fixed at compile-time). A pool holds a list of *pages* divided into objects of identical size. A page is itself contained in a larger *chunk* of memory requested from the operating system. Objects on a page which are not currently in use are kept on the page's free list. Empty pages in a chunk are kept on the chunk's free list.

```
 \langle heap \rangle \equiv \\ \langle free\_obj\_t \rangle \\ \langle page\_t \rangle \\ \langle pool\_t \rangle \\ \langle chunk\_t \rangle \\ \langle chunks \rangle \\ \langle pages \rangle \\ \langle pools \rangle
```

25.1.1 Chunks

A chunk begins with a chunk_t header. After this (and possibly some unusable leftover space), the chunk is divided into pages of constant size.

Each page is described by an entry in the .pages array. The .free_head field is a list of empty pages in the chunk; .nlive counts the number of live objects on all contained pages.

```
\(chunk_t\)\(\sigma\)
    typedef struct
{
        list_t chunk_list;
        list_t free_head;
        unsigned nlive;
        page_t pages[NPAGES];
    } chunk_t;
    \(assert size\)
```

NPAGES, the number of pages in a chunk, depends on the size of each page, the size of the chunk, and the size of the header. But the size of the header depends on the number of pages in a chunk. To break this circularity, we fix the amount of memory reserved for the header.

The header is comprised mainly of pointers, so will differ in size between 32- and 64-bit x86 machines (ILP-32 vs LP-64). The data model is visible to the preprocessor via unistd.h.

```
\langle bit constants \rangle =
#if (defined(_XBS5_ILP32_OFF32) && _XBS5_ILP32_OFF32 != -1)
#define WORDSHIFT 2
#define NRESERVED 3
#elif (defined(_XBS5_LP64_OFF64) && _XBS5_LP64_OFF64 != -1)
#define WORDSHIFT 3
#define NRESERVED 6
#else
#error "platform bitness undefined."
#endif
```

Ideally, chunks would be large enough to amortise syscall overhead but small enough to minimise requested-but-unused memory. In the absence of extensive practical experience, CHUNKSIZE is chosen to be 2MiB. PAGESIZE is 4KiB (which probably matches the underlying hardware.)

Each chunk then contains NPAGES pages available for allocation; with these values, this is 253 (250 on x86-64.)

```
⟨size constants⟩≡

#define CHUNKBITS 21

#define CHUNKSIZE (1<<CHUNKBITS)

#define CHUNKMASK (CHUNKSIZE - 1)

#define PAGEBITS 12

#define PAGESIZE (1<<PAGEBITS)

#define PAGEMASK (PAGESIZE - 1)

#define NPAGES ((1<<(CHUNKBITS - PAGEBITS)) - NRESERVED)
</pre>
```

The chunk header must fit in the area reserved for it. Since its size is available to the compiler, this can be assured.

Chunks are counted, and kept on a global list.

```
⟨chunk globals⟩≡
  static list_t chunk_head;
  static unsigned gc_nchunks = 0;
⟨chunks⟩≡
  ⟨map_chunk⟩
  ⟨munmap_chunk⟩
  ⟨mmap_aligned_chunk⟩
  ⟨chunk_create⟩
  ⟨chunk_free⟩
  ⟨chunk_get_page⟩
  ⟨chunk_for_addr⟩
```

Every chunk is aligned so that, given an internal pointer, it is possible to compute the address of the chunk_t header by zeroing the low-order CHUNKBITS.

The allocator will create a chunk when it needs to grow the managed heap. A call to mmap requests that the operating system map a new region of memory into the process' address space. A simple wrapper function takes care of unvarying arguments, as well as error handling (such as it is.)

```
\langle table table
```

To ensure the required alignment, two mechanisms are used. If the address returned by mmap is found to be misaligned, it's immediately unmapped, and a mapping of twice the size is requested. An aligned address is computed within this, and the surplus space before and after the aligned chunk is unmapped.

```
\langle mmap_aligned_chunk\\
    static chunk_t *mmap_aligned_chunk(void *hint)
    {
        uint8_t *head, *ptr = mmap_chunk(hint, CHUNKSIZE);
        if((uintptr_t)ptr & CHUNKMASK)
        {
            // throw it back
            munmap_chunk(ptr, CHUNKSIZE);
        }
}
```

The next-highest aligned address is stored, to be passed as the hint argument to the next mmap call – ideally avoiding further misalignment.

All the page_t descriptors are initially linked into the chunk's free list, and the chunk is accounted for and linked into the global chunk list.

```
    static void *next_mmap = NULL;
    static chunk_t *chunk_create()
{
        chunk_t *chunk = mmap_aligned_chunk(next_mmap);

        next_mmap = (uint8_t *)chunk + CHUNKSIZE;
        list_init(&chunk->free_head);
        chunk->nlive = 0;
        for(page_t *page = chunk->pages; page < &chunk->pages[NPAGES]; page++)
        {
            list_init(&page->page_list);
            list_add_before(&chunk->free_head, &page->page_list);
        }
        list_init(&chunk->chunk_list);
        list_add(&chunk_head, &chunk->chunk_list);
        gc_nchunks++;
        gc_stats.nchunks++;
        return chunk;
}
```

As a chunk can only be freed when its pages are all empty, they don't need special treatment during deallocation.

```
⟨chunk_free⟩≡
  static void chunk_free(chunk_t *chunk)
{
    list_remove(&chunk->chunk_list);
    munmap_chunk(chunk, CHUNKSIZE);
    gc_nchunks--;
}
```

When the allocator needs an empty page, one can be taken from a chunk by removing the first page from its free list.

```
\(chunk_get_page\)\(\equiv \text{static inline page_t *chunk_get_page(chunk_t *chunk)}\)
{
    page_t *page = container_of(chunk->free_head.next, page_t, page_list);
    list_remove(&page->page_list);
    return page;
}
```

(This assumes that !list_isempty(&chunk->free_head).)

25.1.2 Pages

A page is either empty, or subdivided into objects of identical size. In the latter case, a pool will record the object size in the .objsz field when adding the page.

An empty page is on its chunk's free list. Otherwise it's on its pool's page list. The .page_list link suffices for both cases.

.markbits points to an out-of-line buffer containing one bit per object on the page. Each bit is set when the corresponding object is marked; it's cleared after each collection.

.marked is set when any object on the page is been marked. It's examined during sweeping to quickly determine whether the page is empty.

The .free field points to the first unused object on the page. If this is NULL, the page has no free objects left.

```
\langle page_{-}t\rangle \equiv
  typedef unsigned short objsz_t;
  typedef struct
         list_t page_list;
         SLIST(free_obj_t) free;
         bitmap_t *markbits;
          objsz_t objsz;
          bool marked;
  } page_t;
\langle pages \rangle \equiv
   \langle page\_storage \rangle
   \langle page\_for\_object \rangle
   \langle page\_object\_index \rangle
   \langle object\_is\_free \rangle
   \langle page\_link\_free\_object \rangle
   \langle page\_free\_object \rangle
   \langle page\_foreach\_object \rangle
   \langle page\_init \rangle
   \langle page\_fini \rangle
```

The address of some page's chunk->pages[idx] storage is located (idx + NRESERVED) * PAGESIZE bytes after the start of the chunk. Given a pointer to a page_t, both chunk and idx can be determined - since the page_t is contained in a chunk, its address is a valid argument to chunk_for_addr.

```
\langle page_storage \rangle \static inline void *page_storage(page_t *page)
{
    chunk_t *chunk = chunk_for_addr(page);
    ptrdiff_t idx = page - chunk->pages;

    return (uint8_t *)chunk + ((idx + NRESERVED) << PAGEBITS);
}</pre>
```

Given a pointer obj to some object on a page, the appropriate page_t may be found vice versa.

```
\langle for_object \rangle \square static inline page_t *page_for_object(void *obj)
{
      chunk_t *chunk = chunk_for_addr(obj);
      unsigned idx = ((uint8_t *)obj - (uint8_t *)chunk) >> PAGEBITS;
```

```
assert(idx >= NRESERVED);
return &chunk->pages[idx-NRESERVED];
}
```

Mark bitmap manipulation will need the (zero-based) index of an object on its page (this assumes that obj is, in fact, on page).

```
\langle page_object_index \rangle \static inline unsigned page_object_index(page_t *page, void *obj)
{
    uintptr_t offset = (uintptr_t)obj - ((uintptr_t)obj & ~PAGEMASK);
    assert(offset >= 0);
    return offset / page->objsz;
}
```

An object available for reuse is treated as a free_obj_t, and linked into its containing page's free list via its .next field. The list is singly linked since objects are only added or removed at the head.

```
\( \frac{free_obj_t}{\equiv } \)
\tag{typedef struct free_obj \\
\tag{robject_t hdr; \\
\text{SLIST(struct free_obj) next; } \\
\tag{free_obj_t; }
\end{array}
\]
```

Such objects have the sentinel value r_type_freed for their .type.

```
⟨object_is_free⟩≡
  static const rtype_t *r_type_freed = (rtype_t *)0xDEADBEEF;
  static inline bool object_is_free(free_obj_t *obj)
  { return obj->hdr.type == r_type_freed; }
```

Some objects hold pointers to unmanaged memory, and these will need to be freed before the object can be reused. The destructor \mathbf{r} _free will invoke the appropriate callback for the object's type.

When debugging, storage is overwritten with a known bit pattern to aid in detecting object reuse bugs.

Initialising a free object is a matter of setting its .type and linking it at the head of the page's free list.

When debugging with Valgrind (Nethercote and Seward, 2007), storage is also marked to provoke an error if inadvertantly accessed (the type pointer must stay accessible, though, since it's read during the sweep phase to determine whether or not an unmarked object is fresh garbage.)

```
\langle page_link_free_object\rangle \infty
    static inline void page_link_free_object(page_t *page, free_obj_t *obj)
    {
        obj->hdr.type = (rtype_t *)r_type_freed;
        slist_push(page->free, obj, next);
}
```

We use a convenient macro to iterate over all the objects on the page with descriptor page_t *pg. obj may be a pointer of any type.

When a page is added to a pool, all its objects are initially added to its free list (and their free_obj_t fields marked accessible, when debugging under Valgrind.)

```
\langle page\_init \rangle \equiv
 static page_t *page_init(page_t *page, objsz_t objsz, unsigned nobj)
 {
      free_obj_t *obj;
      *page = (page_t) {
          .marked = false,
          .objsz = objsz,
          .markbits = bitmap_create(nobj),
          .free = NULL
      };
      page_foreach_object(page, obj)
      {
 #ifdef HAS_VALGRIND
          VALGRIND_MAKE_MEM_DEFINED(obj, sizeof(free_obj_t));
 #endif
          page_link_free_object(page, obj);
      }
      return page;
 }
```

An empty page may be removed from its pool and placed on its chunk's free list (when debugging under Valgrind, its memory is marked inaccessible.)

```
\langle fini \begin{align*} \int \text{static void page_fini(page_t *page)} \\ \text{chunk_t *chunk} = \text{chunk_for_addr(page);} \\ \text{bitmap_free(page->markbits);} \\ \text{list_add(&chunk->free_head, &page->page_list);} \\ \text{#ifdef HAS_VALGRIND} \\ \text{VALGRIND_MAKE_MEM_NOACCESS(page_storage(page), PAGESIZE);} \\ \text{#endif} \\ \} \end{align*} \]
```

25.1.3 Pools

A pool satisfies allocation requests for a size class of objects.

```
\langle pools \rangle \equiv \\ \langle pool \ globals \rangle \\ \langle pool\_for\_size \rangle
```

```
\langle pool\_add\_page \rangle
\langle pool\_remove\_page \rangle
\langle pool\_init \rangle
\langle pool\_fini \rangle
```

The list of page_ts in the .page_head field provides the storage from which to do so. The .nobj and .objsz fields record the number of objects per page and the size (in bytes) of the objects for which the pool is responsible.

The .open field is set to the last page from which an object was allocated, in the assumption it is not yet full.

The remaining fields are bookkeeping and may be of use to the heap sizing algorithm. .npages counts pages, the others count objects.

```
\langle pool_t \rangle =
  typedef struct
  {
     list_t page_head;
     page_t *open;
     objsz_t objsz;
     unsigned nobj;
     unsigned npages, nalloc, nfree, nswept;
  } pool_t;
```

There is a pool_t for each size class of object, measured in natural machine words, up to the maximum required by the runtime.

There's a separate pool for types (Chapter 20) – the destructor callback invoked by **r_free** is a field of the type object. So the type must not be destroyed before the object, even if both became garbage during the same collection.

```
\langle \langle pool globals \rangle = 
#define MAX_WORDS 9
static const unsigned objwords[] = { 2, 3, 4, 5, 6, MAX_WORDS };
static pool_t *sizepools[MAX_WORDS];
#define NPOOLS ((unsigned) lengthof(objwords)+1)
static pool_t pools[NPOOLS], *type_pool = &pools[NPOOLS-1];
```

Dividing bytes to words and indexing into sizepools returns the pool from which to allocate an object of the desired size.

```
\langle pool_for_size \rangle \square static inline pool_t *pool_for_size(objsz_t sz)
{ return sizepools[sz >> WORDSHIFT]; }
```

A page added to a pool will always be empty, and it'll already have been removed from its chunk's list.

```
(pool_add_page) =
  static void pool_add_page(pool_t *pool, page_t *page)
{
    page_init(page, pool->objsz, pool->nobj);
    list_add(&pool->page_head, &page->page_list);
    pool->nfree += pool->nobj;
    pool->npages++;
}
```

Removing a page from a pool is a simple inverse. (Needless to say, this does not consider the case in which the page being removed contains live objects.)

```
\label{eq:pool_remove_page} $$ \langle pool\_remove\_page \rangle = $$ static void pool\_remove\_page (pool_t *pool, page_t *page) $$ \{
```

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```
assert(pool->open != page);
    pool->npages--;
    pool->nfree -= pool->nobj;
    list_remove(&page->page_list);
    page_fini(page);
}
Initialisation is straightforward...

    pool_init \rightarrow
    static void pool_init(objsz_t sz, pool_t *pool)
    {
        *pool = (pool_t) {
            .page_head = LIST_INIT(pool->page_head),
            .objsz = sz,
            .nobj = PAGESIZE / sz,
        };
}
```

... as is destruction. All live objects on each page are destroyed. As the page is being removed from the list being traversed, safety is required.

```
\langle pool_fini \rangle \static unsigned page_empty(page_t *page);
static void pool_fini(pool_t *pool)
{
    page_t *page, *tmp;

    list_foreach_entry_safe(&pool->page_head, page, tmp, page_list)
    {
        page_empty(page);
        list_remove(&page->page_list);
        page_fini(page);
    }
}
```

25.2 Allocation

Given a particular pool, if we can find a page with at least one free object, we can use it to satisfy an allocation request.

```
\langle allocation \rangle \equiv
\langle alloc\_from\_page \rangle
\langle pool\_get\_page \rangle
\langle alloc\_from\_pool \rangle
\langle alloc\_for\_vec \rangle
```

Allocating from such a page is trivial – remove the object from the free list, and return. (Under Valgrind, the object's storage is marked as accessible.)

```
⟨alloc_from_page⟩≡
static inline void *alloc_from_page(page_t *page)
{
    free_obj_t *obj = page->free;

#ifdef HAS_VALGRIND
    VALGRIND_MAKE_MEM_DEFINED(obj, page->objsz);
#endif
    slist_remove_head(page->free, next);
    return obj;
}
```

The fast-path is taken when the pool has an .open page with a .free object. Otherwise we search the pool's pages for one; if none is found, we request an empty page from a chunk, and add it to the pool.

```
\langle pool\_qet\_page \rangle \equiv
 static page_t *pool_get_page(pool_t *pool)
      page_t *page = pool->open;
      chunk t *chunk:
      if(page && page->free)
          return page;
      list_foreach_entry(&pool->page_head, page, page_list)
          if(page->free)
               return page;
      list_foreach_entry(&chunk_head, chunk, chunk_list)
          if(!list_isempty(&chunk->free_head))
               page = chunk_get_page(chunk);
               pool_add_page(pool, page);
               return page;
      }
      return NULL;
 }
```

If there are none of those left, we have failed. NULL is returned to notify the caller, alloc_from_pool.

This makes up to two calls to pool_get_page. If the first call fails, a garbage collection is run and a second call is made. If this fails, a new chunk is requested, and an empty page from that is added to the pool.

A garbage collection is also run if the total amount of unmanaged memory gc_vec_bytes exceeds the gc_vec_target threshold. Otherwise, repeated allocation of large short-lived vectors could consume all available memory before running out of free objects and triggering a collection.

The pool's .open page and counters are updated, in any case, and the object returned.

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Unmanaged memory is allocated by the libc. gc_alloc_vec will call alloc_for_vec after alloc_from_pool if any unmanaged memory was requested. gc_vec_target is updated to prevent the vec_gc condition causing unnecessary collections during future allocations.

Note that the returned memory is not zeroed, so if it is to contain object pointers visible to the collector it must be initialised prior to the next allocation.

```
⟨alloc_for_vec⟩≡
static inline void *alloc_for_vec(size_t vecsz)
{
    if(vecsz == 0)
        return NULL;
    gc_vec_bytes += vecsz;
    gc_vec_target = max(gc_vec_target, gc_vec_bytes);
    gc_stats.vec_bytes += vecsz;
    return xmalloc(vecsz);
}
```

25.3 Collection

The garbage collector is invoked when a free object can't be found to satisfy an allocation, or when the amount of unmanaged memory allocated grows beyond a threshold value.

```
\langle collection \rangle \equiv \langle queue \rangle 
 \langle queue \rangle 
 \langle roots \rangle 
 \langle marking \rangle 
 \langle sweeping \rangle 
 \langle sizing \rangle 
 \langle qc\_collect \rangle
```

Collection proceeds in phases. A set of preregistered *root* objects are *marked*, then marked objects are *scanned* in a loop. When an object is scanned, objects referenced by internal pointers are marked. When no more objects remain to be scanned, the collection is complete. Unmarked objects are considered garbage and reclaimed for later reuse.

Empty pages are released to their chunks. Chunks surplus to requirements (and with all pages empty) are released to the operating system. The unmanaged memory

threshold is also updated.

```
\( \text{gc_collect} \) \( \) \( \text{void gc_collect()} \) \( \text{assert(gc_enabled);} \) \( \text{gc_request = false;} \) \( \text{collect_begin();} \) \( \text{collect_mark();} \) \( \text{collect_sweep();} \) \( \text{collect_resize();} \) \( \text{gc_stats.ncollect++;} \) \( \text{} \) \( \text{collect_t++;} \) \( \
```

25.3.1 Scan Queue

The scan queue contains objects which have been marked but not yet scanned.

```
\langle queue \rangle \equiv
\langle qfrag\_t \rangle
\langle queue \ globals \rangle
\langle advance \rangle
\langle qfrag\_init \rangle
```

It comprises a singly-linked list of queue fragments, headed by the statically allocated fragment qhead; the following fragments, if any, are malloced. qtail points to the last one, or NULL if scanning hasn't started yet.

```
⟨queue globals⟩≡
static qfrag_t qhead, *qtail;
```

A queue fragment is qfrag_t structure, containing a ring buffer holding a constant number of object pointers, two indices, and a pointer to the next fragment (or NULL.)

```
\langle qfrag_{-}t\rangle \equiv
  #define QFRAG_LEN 256
  #define QFRAG_MASK (QFRAG_LEN-1)
  typedef struct qfrag
      robject_t *objs[QFRAG_LEN];
      unsigned s, m;
      SLIST(struct qfrag) next;
  } qfrag_t;
\langle qfrag\_init \rangle \equiv
  static inline void qfrag_init(qfrag_t *frag)
  {
       *frag = (qfrag_t) {
           .s = 0,
            .m = 0,
            .next = NULL
      };
```

.s is the scan index and .m the mark index (into the .objs buffer.) Each is advanced modulo QFRAG_LEN as scanning proceeds and as more objects are added to the queue, respectively.

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25.3.2 Roots

A module that holds references to objects across a collection must register one or more gc_root_ts. The callback .fn should then invoke gc_mark on the objects that ought to be considered live.

(It's a struct so that, when embedded in a larger context structure, the callback can use container_of to compute the address of the latter from the former.)

```
\langle gc_root_t \rangle \equiv 
typedef struct gc_root_s gc_root_t; 
typedef void (*gc_root_fn)(gc_root_t *); 
typedef struct gc_root_s 
{
    list_t roots_list; 
    gc_root_fn fn; 
} gc_root_t;
```

Registered roots are linked into a global list.

```
\langle (roots) \( \)
    static list_t roots_head = LIST_INIT(roots_head);
    void gc_register(gc_root_t *root)
    {
        list_init(&root->roots_list);
        list_add(&roots_head, &root->roots_list);
    }
    void gc_unregister(gc_root_t *root)
    {
        list_remove(&root->roots_list);
    }
    \langle collect_begin \rangle
```

To start a collection, the scan queue is initialised, and the registered callbacks invoked to populate the root set.

```
⟨collect_begin⟩≡
static void collect_begin()
{
    gc_root_t *root;

    qfrag_init(&qhead);
    qtail = NULL;
    list_foreach_entry(&roots_head, root, roots_list)
        root->fn(root);
}
```

25.3.3 Marking

A marked object has been reached from the root set by following pointers, so can't be reclaimed as garbage. In Dijkstra et al. (1978)'s tri-colour abstraction, a marked object is *grey* if it's on the queue and *black* if not.

```
\langle marking \rangle \equiv
\langle mark\_one\_object \rangle
\langle enqueue\_one\_object \rangle
\langle gc\_mark \rangle
\langle collect\_mark \rangle
```

When an object is added to the current queue fragment, the mark index is advanced. if it 'catches up' to the scan index, the fragment is full and a new one must be allocated.

This is detected when .m is equal to .s and it's not the first object marked in the collection (since both indices are equal to 0, in that case.)

The intuition is, in 'small' (narrow or shallow) parts of the object graph, marking doesn't get much ahead of scanning, so a smallish buffer will suffice. But burst capacity must be available, for parts of the graph which aren't.

```
⟨enqueue_one_object⟩

static inline void enqueue_one_object(robject_t *obj)
{
    if(!qtail)
        qtail = &qhead;
    else if(qtail->m == qtail->s)
    {
        qfrag_t *next = xmalloc(sizeof(*next));

        qfrag_init(next);
        qtail->next = next;
        qtail = next;
    }
    qtail->objs[qtail->m] = obj;
    qtail->m = advance(qtail->m);
}
```

An object is marked when the corresponding bit in its page's .markbits bitmap is set. To (potentially) speed sweeping, the page itself is also marked. The previous mark state is returned.

```
\langle mark_one_object\rangle \infty
static inline bool mark_one_object(robject_t *obj)
{
    page_t *page = page_for_object(obj);
    unsigned idx = page_object_index(page, obj);

    if(!bitmap_get_bit(page->markbits, idx))
    {
        page->marked = true;
        bitmap_set_bit(page->markbits, idx);
        return true;
    }
    return false;
}
```

gc_mark is the entry point for the runtime marking of live objects. If the given pointer is non-NULL and hasn't already been marked in this collection, it is added to the scan queue.

```
\langle gc_mark \rangle \inf
\text{ void gc_mark(void *ptr)} \\
\text{ if(ptr && mark_one_object(ptr))} \\
\text{ enqueue_one_object(ptr);} \\
\text{ }
\end{align*}
\]
```

With the root set marked, marking (advancing scan.m and creating new fragments) and scanning (advancing scan.s and freeing completed ones) are interleaved until the queue is emptied.

```
\langle collect\_mark \rangle \equiv
```

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25.3.4 Sweeping

The sweep phase links unmarked objects back into their pages' free lists, for later reuse.

```
\langle sweeping \rangle \equiv
\langle page\_sweep \rangle
\langle page\_empty \rangle
\langle pool\_sweep \rangle
\langle collect\_sweep \rangle
```

Sweeping a page is a matter of iterating over the contained objects, freeing those which are unmarked and not already known to be free (i.e. that became garbage during this collection). The mark bitmap and flag are reset, and the count of objects swept is returned.

```
\langle page\_sweep \rangle \equiv
 static unsigned page_sweep(page_t *page)
 {
      free_obj_t *obj;
      unsigned idx = 0, nswept = 0;
      chunk_t *chunk = chunk_for_addr(page);
      page_foreach_object(page, obj)
          if(!bitmap_get_bit(page->markbits, idx)
             && !object_is_free(obj))
              page_free_object(page, obj);
              nswept++;
          }
          idx++;
      bitmap_reset(page->markbits, idx);
      page->marked = false;
      chunk->nlive++;
      return nswept;
 }
```

A page that has become empty will be returned to its chunk's free list. Linking its free objects isn't necessary; page_empty just runs the destructors of the contained objects.

```
\langle page\_empty \rangle \equiv static unsigned page_empty(page_t *page) {
```

```
free_obj_t *obj;
unsigned nswept = 0;

page_foreach_object(page, obj)
{
    if(!object_is_free(obj))
    {
        r_free(obj);
        nswept++;
    }
}
return nswept;
}
```

A page without its .marked flag set has no live objects, so is empty. Empty pages are promptly removed from the pool. Counters (and the .open page) are kept up-to-date. Otherwise the page's objects must be examined.

```
\langle pool\_sweep \rangle \equiv
 static void pool_sweep(pool_t *pool)
  {
      page_t *page, *tmp;
      unsigned nswept = 0; // mmm, fresh garbage.
      list_foreach_entry_safe(&pool->page_head, page, tmp, page_list)
          if(!page->marked)
          {
              nswept += page_empty(page);
              if(pool->open == page)
                   pool->open = NULL;
              pool_remove_page(pool, page);
          }
          else
              nswept += page_sweep(page);
      pool->nalloc -= nswept;
      pool->nfree += nswept;
      pool->nswept = nswept;
 }
```

Sweeping the heap just sweeps each pool in order (type_pool is the last element in pools, so objects are destroyed before their types.)

```
\langle collect_sweep \rangle \static void collect_sweep()
{
    gc_vec_swept = 0;
    for(int i=0; i<NPOOLS; i++)
        pool_sweep(&pools[i]);
}</pre>
```

25.3.5 Sizing

As the user program executes, the way it uses memory can change – for example, during initialisation many long-lived objects might be allocated, but at other times most objects might become garbage relatively quickly.

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The allocator keeps a target value for the number of allocated chunks and a threshold for unmanaged memory (i.e. vector bodies.) At the end of each collection, these are recomputed.

```
\langle sizing \rangle \equiv
\langle div\_round\_up \rangle
\langle resize\ constants \rangle
\langle target\_size \rangle
\langle target\_vec\_size \rangle
\langle collect\_resize \rangle
```

Rounding an integer division upwards slightly overestimates the result.

```
\(div_round_up\)\equiv static inline intmax_t div_round_up(intmax_t a, int b)
\( \text{return (a > 0) ? (a + b - 1) / b : a / b; } \)
\( \text{resize constants} \)\equiv \text{#define HEADROOM_DIV 4}
\( \text{#define SWEPT_DIV 2} \)
```

We want to ensure that, for each pool, at least (.nalloc / 4) + (.nswept / 2) objects can be allocated before needing to run another collection. These should preferably come from pages the pool already owns (i.e. .nfree), or from unallocated pages in existing chunks. If these are insufficient, the target heap size is increased.

If there are more unallocated pages than desired, the heap might be too large. The target heap size is reduced, to allow some empty chunks (fragmentation permitting) to be freed.

```
\langle target\_size \rangle \equiv
 static unsigned target_size(unsigned nchunks, unsigned target)
      chunk_t *chunk;
      page_t *page;
      intmax_t delta = 0;
      for(int i=0; i<NPOOLS; i++)</pre>
          pool_t *pool = &pools[i];
          intmax_t ndelta = div_round_up(pool->nalloc, HEADROOM_DIV);
          ndelta += div_round_up(pool->nswept, SWEPT_DIV);
          ndelta -= pool->nfree;
          delta += max(0, ndelta) * (size_t)pool->objsz;
      }
      list_foreach_entry(&chunk_head, chunk, chunk_list)
          list_foreach_entry(&chunk->free_head, page, page_list)
              delta -= PAGESIZE;
      target = nchunks + div_round_up(delta, CHUNKSIZE);
      return max(1, target);
```

The unmanaged memory threshold is updated proportional to the current value, if it's not within 30% - 70% of the target. This is a very simple heuristic, similar to the one used in R (R Core Team, 2017, src/main/memory.c).

```
\langle target_vec_size \rangle \square static size_t target_vec_size(size_t bytes, size_t target)
{
    size_t delta = 0;
```

```
if(bytes > target * 0.7)
        delta = (80 * 1024) + bytes * 0.1;
else if(bytes < target * 0.3)
        delta = bytes * -0.2;
return max(target + delta, 0);
}</pre>
```

As the final step in collection, the target sizes are recomputed and the chunk list traversed. Empty chunks which are surplus to the new maximum are freed. The list is reordered (via a temporary) – chunks with free pages sorting before those without (so that the loop over chunks in pool_get_page terminates sooner.)

```
\langle collect\_resize \rangle \equiv
 static void collect_resize()
 {
      list_t tmp_head = LIST_INIT(tmp_head);
      chunk_t *chunk, *tmp;
      gc_nchunks_target = target_size(gc_nchunks, gc_nchunks_target);
      gc_vec_target = target_vec_size(gc_vec_bytes, gc_vec_target);
     list_foreach_entry_safe(&chunk_head, chunk, tmp, chunk_list)
          if(chunk->nlive == 0 && gc_nchunks > gc_nchunks_target)
          {
              chunk_free(chunk);
          }
          else
          {
              list_remove(&chunk->chunk_list);
              if(chunk->nlive == NPAGES)
                  list_add_before(&tmp_head, &chunk->chunk_list);
              else
                  list_add(&tmp_head, &chunk->chunk_list);
              chunk->nlive = 0;
          }
      }
      assert(list_isempty(&chunk_head));
      list_splice_after(&chunk_head, &tmp_head);
 }
```

Note that a single live object suffices to keep a chunk from being empty. The inflation of process working set this induces has not been an issue so far, but might be detrimental to longer-running workloads.

25.4 Entry Points

```
 \langle interface \rangle \equiv \\ \langle gc\_alloc\_vec \rangle \\ \langle gc\_free\_vec \rangle \\ \langle gc\_release \rangle \\ \langle gc\_set\_enabled \rangle \\ \langle gc\_was\_requested \rangle \\ \langle gc\_collect\_stats \rangle \\ \langle gc\_init \rangle \\ \langle gc\_fini \rangle
```

The main entry point to this module is the gc_alloc_vec function. It allocates and returns an object of the given size sz and type type.

Note that the latter may be NULL during runtime bootstrap, when r_type_type hasn't yet been constructed.

```
\langle gc\_alloc\_vec \rangle \equiv
 void *gc_alloc_vec(rtype_t *type, size_t sz, size_t vecsz, void **pvec)
 {
      robject_t *obj;
      pool_t *pool;
      if(!type || type == r_type_type)
          pool = type_pool;
      else
          pool = pool_for_size(sz);
      obj = alloc_from_pool(pool, vecsz); // may collect
      obj->type = type;
      gc_stats.obj_bytes += sz;
      if(pvec)
        *pvec = alloc_for_vec(vecsz);
      return obj;
 }
```

If the caller requires some unmanaged memory (e.g. for a vector or array body stored out-of-line,) it will pass a non-NULL pvec; this will be set, on return, to an allocation of vecsz bytes. It may be freed (usually in the object's type's .ops.free function) by calling gc_free_vec.

```
\langle gc_free_vec\rangle =
void gc_free_vec(void *ptr, size_t sz)
{
     xfree(ptr);
     gc_vec_bytes -= sz;
     gc_stats.vec_bytes -= sz;
     gc_vec_swept += sz;
}
```

Most callers will invoke the convenience function gc_alloc, since most objects don't require extra memory.

```
 \begin{split} \langle gc\_alloc \rangle &\equiv \\ &\text{static inline void *gc\_alloc(rtype\_t *type, size\_t sz)} \\ &\{ \\ &\text{return gc\_alloc\_vec(type, sz, 0, NULL);} \\ &\} \end{split}
```

Some global counters keep track of collector events and bytes allocated.

```
⟨gc_stats_t⟩≡
  typedef struct
{
    unsigned ncollect;
    unsigned nchunks;
    size_t obj_bytes;
    size_t vec_bytes;
} gc_stats_t;
⟨gc_stats⟩≡
  static gc_stats_t gc_stats;
```

These are returned and zeroed with gc_collect_stats.

```
\langle gc_collect_stats \rangle =
void gc_collect_stats(gc_stats_t *stats) {
    *stats = gc_stats;
    gc_stats = (gc_stats_t) { 0 };
}
```

To simplify implementation, the compiler runs with garbage collection disabled.

```
⟨interface globals⟩≡
  static bool gc_enabled;
  static bool gc_request;
```

The collection that can be triggered by allocation is disabled with gc_set_enabled.

```
\langle gc_set_enabled\rangle \square
  void gc_set_enabled(bool enable)
  {
      gc_enabled = enable;
      if(!enable)
            gc_request = false;
  }
```

Instead of running a collection, the allocator will set the gc_request flag will instead. This may be inspected with gc_was_requested.

```
⟨gc_was_requested⟩≡
bool gc_was_requested()
{
    return gc_request;
}
```

If the compiler determines an object was allocated unnecessarily, it can explicitly release its memory by calling gc_release. This doesn't modify the pool's .open page or the chunk's free list, so gc_collect should be called at the earliest opportunity.

```
⟨gc_release⟩

void gc_release(void *ptr)
{

   if(ptr)
   {

       page_t *page = page_for_object(ptr);
       pool_t *pool = pool_for_size(page->objsz);
       unsigned idx = page_object_index(page, ptr);
       assert(!object_is_free(ptr));
       bitmap_clear_bit(page->markbits, idx);
       page_free_object(page, ptr);
       pool->nalloc--;
       pool->nfree++;
   }
}
```

gc_init initialises the managed heap. Each pool is initialised with pool_init, and the sizepools array populated. Collection is initially disabled.

```
\langle gc_init\rangle =
void gc_init()
{
    list_init(&chunk_head);
    for(int i=0; i<NPOOLS-1; i++)
    {</pre>
```

```
objsz_t sz = objwords[i] << WORDSHIFT;</pre>
          pool_init(sz, &pools[i]);
      pool_init(sizeof(rtype_t), type_pool);
      for(int i=1, j=0; i<MAX_WORDS; i++)</pre>
          if(i > objwords[j])
               j++;
          sizepools[i] = &pools[j];
      }
      gc_enabled = false;
gc_fini tears down the heap structures and releases mapped memory to the system.
\langle gc\_fini \rangle \equiv
  void gc_fini()
      chunk_t *chunk, *tmp;
      for(int i=0; i<NPOOLS-1; i++)</pre>
          pool_fini(&pools[i]);
      pool_fini(type_pool);
      list_foreach_entry_safe(&chunk_head, chunk, tmp, chunk_list)
          chunk_free(chunk);
 }
```

Miscellanea

```
\langle includes \rangle \equiv \\ \text{\#define HAS_VALGRIND} \\ //\text{\#define STRESS\_GC} \\ \text{\#include "global.h"} \\ \text{\#include <errno.h>} \\ \text{\#include <sys/mman.h>} \\ \text{\#ifdef HAS_VALGRIND} \\ \text{\#include <valgrind/memcheck.h>} \\ \text{\#endif} \\ \\ \langle rt/gc.h \rangle \equiv \\ \langle gc\_root\_t \rangle \\ \langle gc\_stats\_t \rangle \\ \langle prototypes \rangle \\ \langle gc\_alloc \rangle \\ \end{aligned}
```

```
\( \text{prototypes} \) \( \text{void gc_register(gc_root_t *root);} \)
\( \text{void gc_unregister(gc_root_t *root);} \)
\( \text{void gc_collect();} \)
\( \text{void gc_mark(void *ptr);} \)
\( \text{void gc_collect_stats(gc_stats_t *stats);} \)
\( \text{void *gc_alloc_vec(rtype_t *type, size_t sz, size_t vecsz, void **pvec);} \)
\( \text{void gc_free_vec(void *ptr, size_t vecsz);} \)
\( \text{void gc_release(void *ptr);} \)
\( \text{void gc_set_enabled(bool enable);} \)
\( \text{bool gc_was_requested();} \)
\( \text{void gc_init();} \)
\( \text{void gc_fini();} \)
\( \text{void gc_f
```

Chapter 26

Virtual Machine

The code¹ generated by the compiler is executed by a *virtual machine* which is *directly threaded* – each instruction is interpreted as the address of the routine that provides its implementation (Ertl and Gregg, 2003). This design was chosen to maximise performance while maintaining simplicity.

26.1 Stack Frame

The primary addressable storage for the VM is the *stack*. This is subdivided into *frames*, each of which holds a saved state record, and the arguments and values local to the currently executing function.

Values on the stack are addressed by signed offset from the base of the current frame. This scheme is similar to that described in Ierusalimschy et al. (2005).

← low addresses .argsz vm_act_rec_t (.loc_scalsz) .loc_sz call frame activation record bp local scalars pointers sp

The stack frame is divided into three areas. When a function is invoked, a *call frame* is cleared on the stack. This begins with an argbits_t value, with a bit set for each supplied argument, followed by the values of the actual arguments at the .offsets specified by the called function's signature. Following this is an *activation record* in which is saved the calling function's execution state. Next is the called function's *local area*, holding temporary values, which is segregated by type – first scalars, then references. The former is .loc_scalsz bytes in size; the local area is .loc_sz bytes in total. The VM's *base pointer* addresses the first byte in the local area; the *stack*

¹bytecode, until a very recent change from indirect to direct threading.

pointer, one beyond the last.

init_stack sets up the vm's .stack to execute the closure given by fp. A top level closure takes no arguments, and an activation record with its .fp field set to NULL marks the initial stack frame, and the closure may have locals – so all these are zeroed. The initial value for the VM's base pointer is returned.

```
(init_stack) \( \)
    static void *init_stack(vm_ctx_t *vm, rclosure_t *fp)
    {
        size_t sz = sizeof(argbits_t) + sizeof(vm_act_rec_t);
        uint8_t *bp = (uint8_t *)vm->stack + sz;

        sz += fp->fn->loc_sz;
        memset(vm->stack, 0, sz);
        return bp;
    }
}
```

26.2 Context

The vm_ctx_t structure stores VM state shared with other subsystems. In the presence of appropriate mutual exclusion, multiple threads could execute code concurrently, each with their own context.

The .stack field points to a memory area .stacksz bytes in size. When execution succeeds, .ret_val will hold the value returned by the function; when it fails, .err_msg will hold a message indicating the reason. The .err_buf field is the libc jump buffer that backs the error handling mechanism.

The embedded .gc_root structure is registered with the garbage collector (Section 25.3). The .retained object array, current function .fp, and current stack frame base .bp supply the callback with the information it requires to mark live objects when a collection occurs during execution.

```
\langle vm_ctx.t\rangle 
typedef struct vm_ctx
{
    uint8_t *stack;
    size_t stacksz;
    robject_t *ret_val;
    char *err_msg;
    sigjmp_buf err_buf;
```

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```
gc_root_t gc_root;
robject_array_t retained;
rcallable_t *fp;
uint8_t *bp;
} vm_ctx_t;
```

A subsystem intending to invoke the VM first calls vm_init_ctx, supplying the vm context, the initial stack, and its size stacksz. The context is initialised, and its .gc_root registered with the garbage collector.

```
\langle vm\_init\_ctx \rangle \equiv
  #define INIT_RETAINED 16
  void vm_init_ctx(vm_ctx_t *vm, uint8_t *stack, size_t stacksz)
      *vm = (vm_ctx_t) {
           .stack = stack,
           .stacksz = stacksz,
           .gc_root = { .fn = vm_mark_roots },
           .retained = ARRAY_INIT,
           .err_msg = NULL,
           .fp = NULL
      };
      array_init(&vm->retained, INIT_RETAINED);
      gc_register(&vm->gc_root);
  }
Once finished, vm_fini_ctx unregisters the .gc_root.
\langle vm_-fini_-ctx\rangle \equiv
  void vm_fini_ctx(vm_ctx_t *vm)
      array_fini(&vm->retained);
      gc_unregister(&vm->gc_root);
  }
```

When an error occurs and execution cannot safely continue, vm_error will be called to format an informative message mentioning src, then exit via longjump to the error handler. The message is returned to the calling subsystem in the .err_msg field of the vm context.

Often, such an error is caused by an object of type vtyp being encountered by an instruction src where some value of an incompatible type typ is expected. The vm_type_error helper function is useful in these cases.

Builtin functions that allocate objects may need to protect them from inadvertant collection. If an object isn't transitively reachable from another root, it can be added to the vm's context with vm_retain.

```
⟨vm_retain⟩≡
  void *vm_retain(vm_ctx_t *vm, void *obj)
{
    array_push(&vm->retained, obj);
    return obj;
}
```

The last n objects retained can be released with vm_release.

```
\langle vm_release\rangle \square void vm_release(vm_ctx_t *vm, int n)
{
     array_drop(&vm->retained, n);
}
```

When the VM begins execution of a different function through a call or ret, it calls vm_update_ctx to update the stored function and base pointers.

```
\langle vm_update_ctx \rangle \static inline void
vm_update_ctx(vm_ctx_t *vm, rcallable_t *fp, uint8_t *bp)
{
    vm->fp = fp;
    vm->bp = bp;
}
```

Along with the contents of the .retained array, these pointers are used by vm_mark_roots when called by the garbage collector, supplying initial values for fp and bp respectively.

fp is NULL when no function is being executed – possibly because it returned a result value. In this case, .ret_val is marked, but nothing else need be. Otherwise, the .retained objects are marked then the stack traversed, beginning at bp.

The function fp is gc_marked, as are its frame's local pointers (when it's not a builtin) and arguments. Then bp and fp are updated from the saved registers in the activation record below bp, and the loop continues down the stack, until all frames have been visited.

```
\langle vm_mark_roots\\ \sigma \text{static void vm_mark_roots(gc_root_t *root)} \\ \text{vm_ctx_t *vm = container_of(root, vm_ctx_t, gc_root);} \\ \text{rcallable_t *fp = vm->fp;} \\ \text{uint8_t *bp = vm->bp;} \\ \text{robject_t *obj;} \\ \text{if(!vm->fp)} \end{array} \]
```

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```
{
        gc_mark(vm->ret_val);
        return;
    array_foreach_entry(&vm->retained, obj)
        gc_mark(obj);
    while(bp)
    {
        vm_act_rec_t *rec = (vm_act_rec_t *)bp - 1;
        gc_mark(fp);
        if(!rcall_is_builtin(fp))
            mark_locals(bp, ((rclosure_t *)fp)->fn);
        mark_args(rec, fp);
        bp = rec -> bp;
        fp = rec->fp;
    }
}
```

The local area starts at bp and is .loc_sz bytes in length. mark_locals ignores the initial .loc_scalsz bytes of scalars, and marks each object reference in the region that follows.

```
\langle mark_locals\rangle \infty
    static void mark_locals(uint8_t *bp, rfunction_t *fn)
    {
        for(robject_t **obj = (robject_t **)(bp + fn->loc_scalsz);
            obj < (robject_t **)(bp + fn->loc_sz); obj++)
            gc_mark(*obj);
    }
}
```

A function's arguments are specified by its signature and are laid out in the call frame beginning .argsz bytes before the activation record. mark_args marks the value of each argument with reference type.

```
\langle mark_args\\( \) mark_args\\( \) static void mark_args(vm_act_rec_t *rec, rcallable_t *fp)
\{
    funsig_t *sig = rcall_sig(fp);
    uint8_t *argbase = (uint8_t *)rec - sig->argsz;

    for(int i=0; i < sig->nargs; i++)
    {
        argdesc_t *arg = &sig->args[i];

        if(!rtype_is_scalar(arg->type))
            gc_mark(*(robject_t **)(argbase + arg->offset));
    }
}
```

26.3 Execution

Each instruction in the interpreted code comprises one vm_instr_t followed by zero or more operands, typically op_stack_ts or op_offset_ts.

```
\langle vm\_instr\_t \rangle \equiv typedef const void *vm_instr_t;
```

The vm_execute function interprets the code of the given closure within an initialised vm context. It returns 0 when successful, 1 in case of error; setting the context's .ret_val or .err_msg field, respectively.

Four local "registers" hold the VM's execution state, are and saved in the activation record pushed on the stack when a function is called: ip points to the next instruction, fp to the closure of the currently executing function, bp to the base of the stack frame, and sp to the top of the stack.

callee and framesize are used by the call instructions; pret points to the returned value transferred between ret and complete.

After the registers are initialised (and init_stack clears the call frame,) the error handler is set up with sigsetjmp. The vm context is updated, and the first instruction DISPATCHed.

When vm_error is invoked, sigsetjmp returns a non-zero value. Execution is aborted, the context invalidated, and an indication of failure is returned to the caller.

```
\langle vm\_execute \rangle \equiv
  const void *const *vm_instr;
  int vm_execute(vm_ctx_t *vm, rclosure_t *closure)
       \langle instruction \ table \rangle
      op_code_t *ip = closure->fn->code;
      rclosure_t *fp = closure;
      uint8_t *bp = init_stack(vm, closure);
      uint8_t *sp = bp + closure->fn->loc_sz;
      rcallable_t *callee;
       op_offset_t framesize;
      void *pret;
      if(!sigsetjmp(vm->err_buf, 1))
           vm_update_ctx(vm, &fp->base, bp);
           DISPATCH();
      vm->ret_val = NULL;
      vm->fp = NULL;
      return 1;
       \langle instructions \rangle
```

Within the body of vm_execute, each instruction consists of a labelled block. The addresses of these labels are stored in the local instr array, indexed by opcode. This array forms a jump table for the direct-threaded bytecode, and is initialised with the OPS_ALL macro, each constituent OP_DEF expanding to an element initialiser.

When vm_execute is called with a NULL context during runtime initialisation (Section 19.6), it makes this table available to the code generation subsystem as vm_instr.

```
return 0;
}
```

A set of macros simplify instruction implementation. ADVANCE yields the value of ip and advances it by n bytes. FETCH retrieves the next ctype from the instruction stream. DISPATCH executes the next instruction. SFETCH and OFETCH are shorthand for fetching operands of types op_stack_t and op_offset_t. STACK retrieves the ctype at the offset ofs from bp.

Note that these values are not aligned – which has minimal performance impact on x86-64 hardware, but may be inefficient or illegal on other architectures.

```
\langle vm macros \rangle \( \text{type macros} \rangle \)
#define ADVANCE(n) ((ip += (n)) - (n))
#define FETCH(ctype) (*((ctype *)ADVANCE(sizeof(ctype))))
#define DISPATCH() goto *FETCH(vm_instr_t *);
#define SFETCH() FETCH(op_stack_t)
#define OFETCH() FETCH(op_offset_t)
#define STACK(ctype, ofs) *((ctype *)(bp + ofs))
```

In the instruction descriptions that follow, an op_stack_t operand is printed without brackets, an op_offset_t operand with [square] brackets, and a literal value with $\langle angle \rangle$ brackets.

26.4 Generic Instructions

26.4.1 Universal Call

call_uni target

Invokes the *target* callable object, with actual arguments specified by the following sub-ops in the instruction stream. These are responsible for forming the call frame expected by the callee – matching named arguments, unboxing or converting scalars, creating a rest vector, etc. When the frame is complete, call_common transfers control to the callee.

```
\langle instructions \rangle \equiv
 do_call_uni:
 {
      op_stack_t target = SFETCH();
      callee = STACK(rcallable_t *, target);
      rtype_t *cl_type = r_typeof(callee);
      if(!rtype_is_callable(cl_type))
          vm_type_error(vm, "call", "callee", r_type_callable, cl_type);
      framesize = cl_type->sig->argsz;
      if(sp + framesize >= vm->stack + vm->stacksz)
          vm_error(vm, "call", "stack overflow.");
      memset(sp, 0, framesize);
      ip = vm_call(vm, cl_type->sig, ip, fp, sp, bp);
      sp += framesize;
      goto call_common;
 }
```

```
 \langle universal\ call \rangle \equiv \\ \langle kwd\_pair\_t \rangle \\ \langle vm\_call\_ctx\_t \rangle \\ \langle set\_arg \rangle \\ \langle fail\_rest \rangle \\ \langle append\_rest \rangle \\ \langle finish\_rest \rangle \\ \langle vm\_call \rangle
```

Unmatched arguments are collected as pairs of .names and .values before being copied into the rest vector (if one is requested by the callee).

```
\langle kwd_pair_t \rangle \equiv 
typedef struct
{
    rsymbol_t *name;
    robject_t *val;
} kwd_pair_t;
```

The .base structure embedded in the vm_call_ctx_t is used by the argument matcher functions (Subsection 22.3.2). The .sp field points to the start of the call frame under construction. The .rp field points to temporary storage for the next unmatched argument, and .nrest counts those collected so far. The .has_names flag is set if any actual arguments in the call are named. .tos points to the end of the stack, and stack overflow is signalled via the .vm context.

```
\langle vm_call_ctx_t \rangle =
    typedef struct
{
        call_ctx_t base;
        uint8_t *sp;
        kwd_pair_t *rp;
        int nrest;
        bool has_names;
        uint8_t *tos;
        vm_ctx_t *vm;
} vm_call_ctx_t;
```

call_end terminates the sub-operation sequence. Each of the others expresses a call to the appropriate matcher.

```
 \begin{split} \langle call \; subcodes \rangle &\equiv \\ & \text{enum } \{ \text{ OP\_call\_end, OP\_call\_kwd, OP\_call\_pos,} \\ & \text{ OP\_call\_rest, OP\_call\_omit } \}; \end{split}
```

The vm_call function forms, at sp, a call frame suitable for a function with signature sig. The sub-ops at ip are interpreted; referring to argument names from the constant pool of fp, and copying values from the stack frame at bp.

The assignment callback .append_rest is set to fail_rest if the signature does not specify that it .has_rest. Unmatched arguments will be collected (temporarily) on the stack beyond the call frame, starting at .rp. With the ctx initialised, a loop interprets sub-opcodes until the end of the sequence.

```
\langle vm\_call \rangle \equiv
  static op_code_t *vm_call(vm_ctx_t *vm, funsig_t *sig, op_code_t *ip,
                                rclosure_t *fp, uint8_t *sp, uint8_t *bp)
      op_code_t subop;
      vm_call_ctx_t ctx = {
           .base = {
                .posbits = 0,
                .argbits = 0,
                .sig = sig,
                .append_rest = sig->has_rest ? append_rest : fail_rest,
                .set_arg = set_arg
           },
           .sp = sp,
           .rp = (kwd_pair_t *)(sp + sig->argsz),
           .tos = vm->stack + vm->stacksz,
           .nrest = 0,
           .vm = vm
      };
      while((subop = *ip++) != OP_call_end)
       {
           switch(subop)
                \langle subop\ switch \rangle
       \langle finish \ call \rangle
  }
```

$call_kwd$ [idx] src

Invokes call_match_kwd, supplying a name from the constant pool at idx and a value from the stack at src.

```
⟨subop switch⟩≡
case OP_call_kwd:
{
    op_offset_t idx = OFETCH();
    op_stack_t src = SFETCH();

    rsymbol_t *name = (rsymbol_t *)fp->fn->consts[idx];
    robject_t *val = STACK(robject_t *, src);
    if(!call_match_kwd(&ctx.base, name, val))
        vm_error(vm, "call", "couldn't pass named argument.");
    break;
}
```

$call_pos src$

Invokes $call_match_pos$, supplying the value on the stack at src as the next positional argument.

```
⟨subop switch⟩+≡

case OP_call_pos:
{
    op_stack_t src = SFETCH();
    robject_t *val = STACK(robject_t *, src);

    if(!call_match_pos(&ctx.base, val))
        vm_error(vm, "call", "couldn't pass positional argument.");
    break;
}
```

call kwd src

Invokes call_match_rest to match the elements of the vector at src as actual arguments (if it's not a vector, or doesn't have object element type, an error is signalled.)

```
\langle subop\ switch \rangle + \equiv
 case OP_call_rest:
 {
     op_stack_t src = SFETCH();
     rvector_t *rest = STACK(rvector_t *, src);
      if(!rest)
          break;
      if(!r_subtypep(r_typeof(rest), r_type_vec_object))
          vm_type_error(vm, "call", "rest list", r_type_vec_object,
                         r_typeof(rest));
     robject_t **vals = rvec_elts(rest);
     rsymbol_t **names = rvec_is_named(rest) ? rvec_elts(rest->names)
                         : NULL;
      int nvals = rvec_len(rest);
      if(!call_match_rest(&ctx.base, (void **)vals, names, nvals))
          vm_error(vm, "call", "couldn't pass rest list.");
     break;
 }
```

call_omit

Marks the argument as omitted by invoking call_match_omit.

```
\langle switch\rangle +\equiv 
case OP_call_omit:
    if(!call_match_omit(&ctx.base))
        vm_error(vm, "call", "couldn't pass omitted argument.");
    break;
```

After the call frame is populated, the .argbits set are checked against the signature's .reqbits – if any required arguments were not supplied, an error is signalled. The argbits are written as the first argument in the call frame at sp. If a rest vector is needed, it's allocated and filled by finish_rest, with the object reference written as the last argument.

The address of the next instruction is returned, to be saved in the activation record pushed before control is transferred to the callee.

```
\langle finish call \rangle \square if(sig->reqbits != (ctx.base.argbits & sig->reqbits))
    vm_error(vm, "call", "required argument not supplied.");
*(argbits_t *)sp = ctx.base.argbits;
if(sig->has_rest && ctx.nrest > 0)
{
    uint8_t *argp = sp + sig->argsz - sizeof(rvector_t *);
    *(rvector_t **)argp = finish_rest(&ctx);
}
return ip;
```

set_arg examines the actual argument's value to determine how to it is to be stored
into the call frame at the formal argment's .offset.

If the latter is of scalar type, the former must be unboxed (if of the same type) or converted (if of different scalar type). Otherwise, the reference can be copied if the former is a subtype of the latter. If types fail to match, an error is signalled.

```
\langle set\_arg \rangle \equiv
 static bool set_arg(void *ptr, argdesc_t *arg, void *val)
      vm_call_ctx_t *ctx = ptr;
      uint8_t *dest = ctx->sp + arg->offset;
      rtype_t *typ = arg->type, *vtyp = r_typeof(val);
      if(rtype_is_scalar(typ))
      {
          if(vtyp == typ)
              r_unbox(dest, val);
          else if(rtype_is_scalar(vtyp))
              scalar_convert(dest, val, typ, vtyp);
              vm_type_error(ctx->vm, "call", "argument",
                             typ, vtyp);
      }
      else
      {
          if(!r_subtypep(vtyp, typ))
              vm_type_error(ctx->vm, "call", "argument",
                             typ, vtyp);
          *(robject_t **)dest = val;
      }
      return true;
 }
```

fail_rest signals an error when an argument fails to match and no rest vector is being collected.

append_rest stores at .rp (and counts in .nrest) the unmatched argument with value val and an optional name.

The unmatched arguments collected have their values copied into a new rest vector by finish_rest. If the call .has_names, their names are copied as well.

Garbage collection could occur in the rvec_create call but, by construction, all values in the call frame and temporary storage (where they're not visible to the collector) have been copied from locals or arguments frame (where they are.)

```
\langle finish\_rest \rangle \equiv
 static rvector_t *finish_rest(vm_call_ctx_t *ctx)
 {
      rvector_t *rest = rvec_create(r_type_vec_object, ctx->nrest);
      robject_t **vals = rvec_elts(rest);
      kwd_pair_t *rp = ctx->rp - ctx->nrest;
      vm_retain(ctx->vm, rest);
      for(int i = 0; i < ctx->nrest; i++)
          vals[i] = rp[i].val;
      if(ctx->has_names)
      {
          rsymbol_t **names = rvec_elts(rvec_add_names(rest));
          for(int i=0; i<ctx->nrest; i++)
              names[i] = rp[i].name;
      }
      vm_release(ctx->vm, 1);
```

```
return rest;
}
```

26.4.2 Call & Return

call_fast target

Invokes the *target* callable object. The compiler is responsible for ensuring the call frame below sp contains the actual arguments in a layout conforming to the callee's expectations. call_common is again responsible for transfer of control.

After either kind of call, an activation record is pushed to save the current register values. The saved stack pointer is adjusted so that when it's restored the call frame will be discarded. The stack and base pointers are advanced past the activation record, and the callee invoked.

When the callee is a closure, invocation is a matter of adjusting the VM registers. The function pointer is changed to point to the callee; the instruction pointer, to the start of its .code buffer. The stack pointer is advanced to the end of the locals area, which is then cleared. After updating the vm context, the first instruction in the callee is finally DISPATCHed.

```
(instructions)+=
    call_vm:
{
        rclosure_t *cl = (rclosure_t *)callee;
        op_offset_t loc_sz = cl->fn->loc_sz;

        fp = cl;
        ip = cl->fn->code;
        sp += loc_sz;
        if(sp >= vm->stack + vm->stacksz)
            vm_error(vm, "call", "stack overflow.");
```

```
memset(sp - loc_sz, 0, loc_sz);
vm_update_ctx(vm, &fp->base, bp);
DISPATCH();
}
```

A builtin callee has no local area, but returns its value via the top of the stack at sp. The vm context is updated and the builtin function .fn invoked; ret_common proceeds to pop the activation record. This is inefficient – builtins don't affect the VM registers – but simplifies garbage collection, since both kinds of function have the same stack frame layout.

```
(instructions)+=
    call_builtin:
{
        rbuiltin_t *bi = (rbuiltin_t *)callee;

        if(sp >= vm->stack + vm->stacksz - sizeof(double))
            vm_error(vm, "call", "stack overflow.");
        pret = sp;
        vm_update_ctx(vm, callee, bp);
        bi->fn(vm, bi, bp - sizeof(vm_act_rec_t), pret);
        goto ret_common;
}
```

ret result

Takes a pointer to the *result* value on the stack, then pops the activation record via ret_common to resume execution in the function's caller.

The returned pointer is used by the next complete instruction, which immediately follows the call.

```
(instructions)+=
  do_ret:
  {
    op_stack_t result = SFETCH();
    pret = bp + result;
    goto ret_common;
}
```

The VM registers are restored from the activation record immediately below bp, and the vm context updated.

If the saved function pointer is NULL, the function returning is the closure that was passed in to begin with. Execution complete, the return value is saved in the context, and vm_execute returns success.

Otherwise, DISPATCH proceeds to the instruction following previous call (which will be a complete, if the return value is used).

```
vm->ret_val = *(robject_t **)pret;
vm->fp = NULL;
return 0;
}
DISPATCH();
}
```

complete_box target dest

Retrieves the value returned by a previous call_uni to the *target*. If it's an unboxed scalar, allocates a box to hold it; under the universal calling convention a call's result must be a reference object. The value is placed on the stack at *dest*.

```
\langle instructions \rangle + \equiv
  do_complete_box:
  {
      op_stack_t target = SFETCH(), dest = SFETCH();
      rtype_t *cl_type = r_typeof(STACK(rcallable_t *, target));
      rtype_t *ret_type = cl_type->sig->ret_type;
      if(rtype_is_scalar(ret_type))
      {
          robject_t *box = r_box(ret_type, pret);
          STACK(robject_t *, dest) = box;
      }
      else
      {
          STACK(robject_t *, dest) = *(robject_t **)pret;
      }
      DISPATCH();
  }
```

missing $dest \ src \ [idx]$

Tests whether the $argbits_t$ on the stack at src has the bit at idx set, storing the resulting boolean at dest.

frame $[size] \langle bits \rangle$

Clears a call frame of the given size; writing the literal bits as the implicit first argument, and incrementing the stack pointer.

```
(instructions)+=
  do_frame:
  {
    op_offset_t size = OFETCH();
    argbits_t bits = FETCH(argbits_t);
    if(sp + size >= vm->stack + vm->stacksz)
        vm_error(vm, "frame", "stack overflow.");
    memset(sp, 0, size);
    *((argbits_t *)sp) = bits;
    sp += size;
    DISPATCH();
}
```

26.4.3 Control Flow

jump [addr]

Unconditionally branches to the instruction located at addr.

if pred [addr]

Examine the boolean on the stack at pred. When true, branch to the instruction located at addr, instead of continuing with the next instruction. If the boolean is NA, an error is signalled.

```
do_if:
    do_if:
    {
        op_stack_t pred = SFETCH();
        op_offset_t addr = OFETCH();
        rboolean_t val = STACK(rboolean_t, pred);
        if(val == true)
            ip = fp->fn->code + addr;
        else if(val == rboolean_na)
            vm_error(vm, "if", "NA value found where true/false expected.");
        DISPATCH();
}
```

26.4.4 Lambda

lambda dest [idx]

Fetches the **rfunction_t** from the constant pool at index idx, and creates a new closure. The following sequence of sub-operations initialise its captured values. Stores the closure into the stack at dest.

lambda_end terminates the sequence; the other sub-ops copy values of particular widths.

populate_closure reads sub-opcodes until the end is encountered. Each is followed by a bp-relative stack location; the specified value is copied into the closure's env buffer. The new value of ip is returned.

```
\langle populate\_closure \rangle \equiv
 static op_code_t *populate_closure(void *env, op_code_t *ip, uint8_t *bp)
 {
      op_code_t subop;
      uint8_t *ptr = env;
      while((subop = *ip++) != OP_lambda_end)
          op_stack_t src = SFETCH();
          switch(subop)
          case OP_lambda_mov8:
              *(uint8_t *)ptr = STACK(uint8_t, src);
              ptr += 1;
              break;
          case OP_lambda_mov32:
              *(uint32_t *)ptr = STACK(uint32_t, src);
              ptr += 4;
              break;
          case OP_lambda_mov64:
              *(uint64_t *)ptr = STACK(uint64_t, src);
              ptr += 8;
              break;
          }
      }
      return ip;
 }
```

26.4.5 Global Variables

defvar [name] [type]

Creates a new global variable, with *name* and *type* found at the specified indices in the constant pool. This is always followed by a **setvar**.

```
(instructions)+=
  do_defvar:
{
    op_offset_t nidx = OFETCH(), tidx = OFETCH();
    rsymbol_t *sym = (rsymbol_t *)fp->fn->consts[nidx];
    rtype_t *type = (rtype_t *)fp->fn->consts[tidx];

    if(r_get_global(sym))
        vm_error(vm, "def", "can't define '%s'.", r_symstr(sym));
    r_create_global(sym, type, false);
    DISPATCH();
}
```

getvar_uni dest [name]

Looks up the global variable *name*d by the given constant, signalling an error if not found.

Boxes the variable's value if it was declared with scalar type, otherwise just copies the reference. Either way, the value ends up on the stack at dest.

```
do_getvar_uni:
{
    op_stack_t dest = SFETCH();
    op_offset_t idx = OFETCH();
    rsymbol_t *sym = (rsymbol_t *)fp->fn->consts[idx];
    rglobal_t *global = r_get_global(sym);

    if(!global)
        vm_error(vm, "get", "global '%s' not defined.", r_symstr(sym));
    if(global->decl && rtype_is_scalar(global->decl))
        STACK(robject_t *, dest) = r_box(global->decl, &global->val);
    else
        STACK(robject_t *, dest) = global->val.object;
    DISPATCH();
}
```

setvar_uni value [name]

Looks up the global variable with the constant name, creating it if not present.

If it's declared with a scalar type, unboxes the *value* from the stack into the global's .val field, otherwise just copies the reference.

```
\langle instructions \rangle + \equiv
 do_setvar_uni:
 {
     op_stack_t src = SFETCH();
     op_offset_t idx = OFETCH();
     rsymbol_t *sym = (rsymbol_t *)fp->fn->consts[idx];
     robject_t *obj = STACK(robject_t *, src);
     rglobal_t *global = r_get_global(sym);
     if(!global)
          global = r_create_global(sym, NULL, false);
      else if(global->is_const)
          vm_error(vm, "set", "invalid assignment to 'const %s'.",
                   r_symstr(sym));
     if(global->decl && rtype_is_scalar(global->decl))
          rtype_t *type = r_typeof(obj), *decl = global->decl;
          void *ptr = &global->val;
          if(decl == type)
              r_unbox(ptr, obj);
          else if(rtype_is_scalar(type))
              scalar_convert(ptr, obj, decl, type);
          else
              vm_type_error(vm, "unbox", "value", decl, type);
     }
      else
          global->val.object = obj;
     DISPATCH();
 }
```

26.4.6 General

const dest [idx]

Copies the value from index idx in the constant pool into the stack at dest. This is always a reference to an object.

```
(instructions)+=
  do_const:
  {
    op_stack_t dest = SFETCH();
    op_offset_t idx = OFETCH();

    STACK(robject_t *, dest) = fp->fn->consts[idx];
    DISPATCH();
}
```

cellmake dest [type]

Creates a cell (Chapter 12) with the specified constant type, storing it to the stack at dest.

check src [type]

Signals an error if the reference object on the stack at src has a type which is not a subtype of the given constant type.

26.5 Instruction Macros

Preprocessor macros are defined for binary and unary operations, as well as conversion.

```
⟨instruction macros⟩≡
#define BINOP(op,t) PASTE6(arith_,op,_,t,_,t)
#define UNOP(op,t) PASTE4(arith_,op,_,t)
#define CONVOP(a,b) PASTE4(arith_conv_,a,_to_,b)
```

(binary).t result left right

Computes the binary operation op on the values of type arg_t specified by the *left* and *right* operands. The *result* is of type res_t.

```
(unary).t result arg
```

Computes the unary operation op on the arg_t value at arg, producing a res_t result.

(convert).a.b dest src

Converts the value from src of type a_t to a value of type b_t , and stores it to the stack at dest.

26.5.1 Boolean & Conversion

With these, implementations of the conversion and boolean instruction can be expanded.

```
⟨instructions⟩+≡
  DO_BINOP(and, boolean, boolean)
  DO_BINOP(or, boolean, boolean)
  DO_UNOP(not, boolean, boolean)
  OPS_CONVERSION(DO_CONVOP)
```

26.6 Data Instructions

Instructions with the suffix ".w" have several implementations, one for each distinct width of value upon which they can operate. op_w specifies this width, in bits.

```
(instructions)+=
  #define op_w 8
  #include "vm/op_data.c.inc"
  #undef op_w
  #define op_w 32
  #include "vm/op_data.c.inc"
  #undef op_w
  #define op_w 64
  #include "vm/op_data.c.inc"
  #undef op_w
```

The op_width_t macro expands to a C type of the size given by op_w.

```
⟨op_width_t⟩≡
#define _WIDTH(w) PASTE3(uint, w, _t)
#define op_width_t _WIDTH(op_w)
```

26.6.1 General

```
mov.w dest src
```

Copies a value from src to dest in the stack.

literal.w $dest \langle value \rangle$

Copies the literal value out of the instruction stream and into the stack at dest.

```
\langle vm/op_data.c.inc\rangle +\equiv 
LABEL(literal, op_w):
{
    op_stack_t dest = SFETCH();
    op_width_t value = FETCH(op_width_t);
    STACK(op_width_t, dest) = value;
    DISPATCH();
}
```

env.w dest [ofs]

Copies the value from byte offset ofs in the current function's closure to the stack at dest.

```
\langle vm/op_data.c.inc\rangle +\equiv 
LABEL(env, op_w):
{
    op_stack_t dest = SFETCH();
    op_offset_t ofs = OFETCH();
    STACK(op_width_t, dest) = *(op_width_t *)(((uint8_t *)fp->env) + ofs);
    DISPATCH();
}
```

complete.w dest

Copies the result value indicated by the preceding ret to the stack at dest.

```
\langle vm/op_data.c.inc\rangle +\equiv 
LABEL(complete, op_w):
{
    op_stack_t dest = SFETCH();
    STACK(op_width_t, dest) = *(op_width_t *)pret;
    DISPATCH();
}
```

getvar.w dest [name]

Looks up the global variable with the constant *name*, copying its value to *dest*. An error is signalled if it's not defined.

$setvar.w \ src \ [name] \ [def]$

Copies the value from the stack at src into the .val field of the global variable named by the given constant. If def is true, this instruction is the initialising definition of a constant, so the variable is flagged .is_const and may no longer be altered.

```
\langle vm/op\_data.c.inc \rangle + \equiv
 LABEL(setvar, op_w):
 {
      op_stack_t src = SFETCH();
      op_offset_t idx = OFETCH(), flag = OFETCH();
      rsymbol_t *sym = (rsymbol_t *)fp->fn->consts[idx];
     rglobal_t *global = r_get_global(sym);
      if(!global)
          vm_error(vm, "set", "global '%s' not defined.",
                   r_symstr(sym));
      else if(global->is_const)
          vm_error(vm, "set", "invalid assignment to 'const %s'.",
                   r_symstr(sym));
      *(op_width_t *)(&global->val) = STACK(op_width_t, src);
      if(flag)
          global->is_const = true;
     DISPATCH();
 }
```

26.6.2 Cells

cellget.w dest src

Copies the value out of the cell referenced by the pointer at src to the stack at dest. Essentially, unbox without the type-checking.

```
\langle vm/op_data.c.inc\rangle +\equiv 
LABEL(cellget, op_w):
{
    op_stack_t dest = SFETCH(), src = SFETCH();
    robject_t *cell = STACK(robject_t *, src);

    STACK(op_width_t, dest) = UNBOX(op_width_t, cell);
    DISPATCH();
}
```

cellset.w dest src

Copies the value at src into the cell referenced by the pointer at dest. Cells are mutable, unlike boxes.

```
\langle vm/op_data.c.inc\rangle +\equiv 
LABEL(cellset, op_w):
{
    op_stack_t dest = SFETCH(), src = SFETCH();
    robject_t *cell = STACK(robject_t *, dest);

    UNBOX(op_width_t, cell) = STACK(op_width_t, src);
    DISPATCH();
}
```

26.6.3 Element Store

setelt.w obj src idx

Stores the value from src into the homogeneous vector referenced by the pointer at obj. The 1-based index of the destination element is given by the integer at idx. If this is outside the vector, or NA, the instruction has no effect.

```
setel2.w obj src row col
```

Stores the value at src into the homogeneous column-major matrix with reference at obj. The 1-based indices of the element to overwrite are specified by the integers on the stack at row and col. If either are NA or outside the bounds of the array, the instruction has no effect. If the array doesn't have .rank equal to 2, an error is signalled.

```
\langle vm/op\_data.c.inc \rangle + \equiv
 LABEL(setel2, op_w):
 {
      op_stack_t obj = SFETCH(), src = SFETCH(),
                 row = SFETCH(), col = SFETCH();
      rarray_t *arr = STACK(rarray_t *, obj);
      int i = STACK(rint_t, row), j = STACK(rint_t, col);
      if(!arr)
          vm_error(vm, "[=", "can't subscript nil.");
      if(arr->rank != 2)
          vm_error(vm, "[=", "array not of rank 2.");
      if(!int_na(i) && i <= arr->shape[0] && i > 0 &&
         !int_na(j) && j <= arr->shape[1] && j > 0)
      {
          unsigned long idx = (unsigned long)arr->shape[0] * (j-1) + (i-1);
          ((op_width_t *)rvec_elts(arr))[idx] = STACK(op_width_t, src);
      DISPATCH();
 }
```

26.7 Typed Instructions

The suffix ".t" denotes that the instruction has an implementation for each distinct type of value upon which it operates. op_t is set to the base name of the type – scalars boolean, double, int, or object reference ptr.

```
\langle instructions \rangle + \equiv
 #define op_t ptr
 #include "vm/op_getelt.c.inc"
 #undef op_t
 #define op_t double
 #include "vm/op_scalar.c.inc"
 #include "vm/op_getelt.c.inc"
 #include "vm/op_arith.c.inc"
 #undef op_t
 #define op_t int
 #include "vm/op_scalar.c.inc"
 #include "vm/op_getelt.c.inc"
 #include "vm/op_arith.c.inc"
 #undef op_t
 #define op_t boolean
 #include "vm/op_scalar.c.inc"
 #include "vm/op_getelt.c.inc"
 #undef op_t
```

The op_type_t macro expands to the runtime typedef that op_t names (Section 19.1).

```
⟨op_type_t⟩≡
#define R_T(type) PASTE3(r, type, _t)
#define R_NA(type) PASTE3(r, type, _na)
#define op_type_t R_T(op_t)
```

26.7.1 Arithmetic & Comparison

These are expanded for double and int.

add.t, sub.t, mul.t, div.t, pow.t, neg.t

Perform the named arithmetic operation on two scalar values of the same type, resulting in another value of that type.

```
⟨vm/op_arith.c.inc⟩≡
DO_BINOP(add, op_t, op_t)
DO_BINOP(sub, op_t, op_t)
DO_BINOP(mul, op_t, op_t)
DO_BINOP(div, op_t, op_t)
DO_BINOP(pow, op_t, op_t)
DO_UNOP(neg, op_t, op_t)
```

lth.t, lte.t, gth.t, gte.t

Compare two scalar values of the same type with an ordered relational operator, yielding a boolean result.

```
⟨vm/op_arith.c.inc⟩+≡
D0_BINOP(lth, op_t, boolean)
D0_BINOP(lte, op_t, boolean)
D0_BINOP(gth, op_t, boolean)
D0_BINOP(gte, op_t, boolean)
```

26.7.2 Scalars

These are expanded for all the scalar types.

eql.t, neq.t

Compare two scalar values of the same type for equality, inequality, or missingness; with a boolean result.

```
⟨vm/op_scalar.c.inc⟩≡
DO_BINOP(eq1, op_t, boolean)
DO_BINOP(neq, op_t, boolean)
DO_UNOP(is_na, op_t, boolean)
```

box.t dest src

Creates a new box, into which is stored the scalar from src; placing a reference to the box on the stack at dest.

```
\(vm/op_scalar.c.inc\)+\(\equiv \text{LABEL(box, op_t):} \)
\( \text{op_stack_t dest = SFETCH(), src = SFETCH();} \)
\( \text{robject_t *obj = r_box_create(RTYPE(op_t));} \)
\( \text{UNBOX(op_type_t, obj) = STACK(op_type_t, src);} \)
\( \text{STACK(robject_t *, dest) = obj;} \)
\( \text{DISPATCH();} \)
\( \text{}
\]
```

unbox.t dest src

Verifies that the object referenced by src is a box containing a scalar of the appropriate type. If so (or if it can be converted to one), its contents are extracted and placed at dest. If not, an error is signalled.

```
\langle vm/op_scalar.c.inc\rangle +\equiv 
LABEL(unbox, op_t):
{
    op_stack_t dest = SFETCH(), src = SFETCH();
    robject_t *obj = STACK(robject_t *, src);
    rtype_t *type = r_typeof(obj), *decl = RTYPE(op_t);
    op_type_t *ptr = &STACK(op_type_t, dest);
    if(decl == type)
        *ptr = UNBOX(op_type_t, obj);
    else if(rtype_is_scalar(type))
        scalar_convert(ptr, obj, decl, type);
    else
        vm_type_error(vm, "unbox", "value", decl, type);
    DISPATCH();
}
```

26.7.3 Element Fetch

These are grouped by type, not width, because they require access to an NA value.

These are expanded for scalars and also ptr, which entails an op_type_t of robject_t *, and a designated NA value of NULL.

getelt.t dest obj idx

Fetches the element specified by the integer at idx from the homogeneous vector referenced by the pointer at obj. Its value is stored into the stack at dest. An index of NA, or outside the vector, yields an NA of the appropriate type.

getel2.t dest obj row col

Fetches the element specified by the integers at row and col from the homogeneous column-major matrix referenced by obj. Stores, to dest, the element's value – or an NA if either of the indices are NA, or beyond the bounds of the array. If the array obj doesn't have .rank equal to 2, an error is signalled.

```
\langle vm/op\_getelt.c.inc \rangle + \equiv
 LABEL(getel2, op_t):
 {
      op_stack_t dest = SFETCH(), obj = SFETCH(),
                 row = SFETCH(), col = SFETCH();
     rarray_t *arr = STACK(rarray_t *, obj);
      int i = STACK(rint_t, row), j = STACK(rint_t, col);
      if(!arr)
          vm_error(vm, "[", "can't subscript nil.");
      if(arr->rank != 2)
          vm_error(vm, "[", "array not of rank 2.");
      if(!int_na(i) && i <= arr->shape[0] && i > 0 &&
         !int_na(j) && j <= arr->shape[1] && j > 0)
      {
          unsigned long idx = (unsigned long)arr->shape[0] * (j-1) + (i-1);
          STACK(op_type_t, dest) = ((op_type_t *)rvec_elts(arr))[idx];
      }
      else
          STACK(op_type_t, dest) = R_NA(op_t);
     DISPATCH();
 }
```

26.8 Opcodes

An *opcode* is a member of the vm_code_t enumeration with an associated implementation label in vm_execute. They are defined with a mess of cpp macrology.

```
\langle vm/vm_ops.h\rangle =
#define _CAR(a, rest...) a
#define CAR(a) _CAR(a)
\langle opcode macros\rangle
\langle opcodes\rangle
\langle call subcodes\rangle
\langle lambda subcodes\rangle
typedef ARRAY(op_code_t) op_code_array_t;
\langle vm_act_rec_t\rangle
\langle vm_instr_t\rangle
extern const void *const *vm_instr;
```

The CONV macro, given type names a and b, expands to a suitable name for a conversion instruction—"a2b". ENUM pastes together an opcode name from a base instruction name and optional suffix. LABEL does the same for an implementation label.

```
⟨opcode macros⟩≡
  #define CONV(a, b) PASTE3(a, 2, b)
  #define ENUM(name, suffix...) PASTE3(OP_, name, suffix)
  #define LABEL(name, suffix...) PASTE3(do_, name, suffix)
```

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The generic instructions have one opcode apiece.

```
\langle opcodes \rangle \equiv
  #define OPS_GEN
          OP_DEF(call_fast), OP_DEF(call_uni), OP_DEF(missing),
          OP_DEF(ret), OP_DEF(complete_box), OP_DEF(check),
          OP_DEF(jump), OP_DEF(if), OP_DEF(const), OP_DEF(defvar),
          OP_DEF(getvar_uni), OP_DEF(setvar_uni), OP_DEF(lambda),
          OP_DEF(frame), OP_DEF(geteltptr), OP_DEF(getel2ptr),
          OP_DEF(cellmake), OP_DEF(and, boolean), OP_DEF(or, boolean),
          OP_DEF(not, boolean)
Opcodes for the data instructions are grouped by width.
\langle opcodes \rangle + \equiv
  #define OPS_WIDTH(w)
          OP_DEF(mov, w), OP_DEF(literal, w), OP_DEF(getvar, w),
          OP_DEF(setvar, w), OP_DEF(env, w), OP_DEF(complete, w),
          OP_DEF(setelt, w), OP_DEF(setel2, w), OP_DEF(cellget, w),
          OP_DEF(cellset, w)
op_width_ofs holds the start opcode for each width group.
\langle opcodes \rangle + \equiv
  #define OPS_WIDTH_ALL OPS_WIDTH(8), OPS_WIDTH(32), OPS_WIDTH(64)
  #define OPS_WIDTH_GROUP
      enum { OPS_WIDTH() };
      static const op_code_t op_width_ofs[] = {
          CAR(OPS_WIDTH(8)),
          CAR(OPS_WIDTH(32)),
          CAR(OPS_WIDTH(64))
      };
Opcodes for the typed instructions are grouped, unsurprisingly, by type.
\langle opcodes \rangle + \equiv
  #define OPS_GETELT(t)
          OP_DEF(getelt, t), OP_DEF(getel2, t)
  #define OPS_SCALAR(t)
          OP_DEF(box, t), OP_DEF(unbox, t), OP_DEF(eql, t),
          OP_DEF(neq, t), OP_DEF(is_na, t)
  #define OPS_ARITH(t)
          OP_DEF(add, t), OP_DEF(sub, t), OP_DEF(mul, t), OP_DEF(div, t), \
          OP_DEF(neg, t), OP_DEF(pow, t), OP_DEF(1th, t), OP_DEF(1te, t), \
          OP_DEF(gth, t), OP_DEF(gte, t)
Some scalar types support different sets of instructions.
\langle opcodes \rangle + \equiv
  #define OPS_TYPE_BOOL
      OPS_SCALAR(boolean), OPS_GETELT(boolean)
  #define OPS_TYPE_INT
      OPS_SCALAR(int), OPS_GETELT(int), OPS_ARITH(int)
  #define OPS_TYPE_DOUBLE
      OPS_SCALAR(double), OPS_GETELT(double), OPS_ARITH(double)
```

The op_type_ofs array holds the start opcode for each type group.

op_conv_ofs holds the start opcode of the conversion group.

```
define OPS_CONVERSION(f)
    f(boolean, int)
    f(boolean, double)
    f(int, boolean)
    f(int, double)
    f(double, boolean)
    f(double, int)

#define _CONV(a, b) OP_DEF(conv, CONV(a, b)),

#define OPS_CONV_ALL OPS_CONVERSION(_CONV)

#define OPS_CONV_GROUP
    static const op_code_t op_conv_ofs = CAR(OPS_CONV_ALL)
```

OPS_ALL expands to a list of OP_DEF macros containing every opcode. Defining the latter as ENUM, the vm_opcode_t enumeration can be populated.

Miscellanea

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```
 \langle vm/vm.h \rangle \equiv \\ \langle vm\_ctx\_t \rangle \\ \text{void vm\_init\_ctx(vm\_ctx\_t *ctx, uint8\_t *stack, size\_t stacksz);} \\ \text{void vm\_fini\_ctx(vm\_ctx\_t *ctx);} \\ \text{void *vm\_retain(vm\_ctx\_t *ctx, void *obj);} \\ \text{void vm\_release(vm\_ctx\_t *ctx, int n);} \\ \text{int vm\_execute(vm\_ctx\_t *vm, rclosure\_t *closure);} \\ \text{void vm\_error(vm\_ctx\_t *vm, const char *src, const char *fmt, ...);} \\
```

Part III Appendices

Appendix A

Runtime Library

This appendix contains miscellaneous builtin functions, including random number generators from R Core Team (2017, Mathlib) and Matsumoto and Nishimura (1998).

rt/math.c

```
\langle rt/math.c \rangle \equiv
    Mathlib : A C Library of Special Functions
   * Copyright (C) 1998 Ross Ihaka
     Copyright (C) 2000-9 The R Core Team
     This program is free software; you can redistribute it and/or modify
     it under the terms of the GNU General Public License as published by
     the Free Software Foundation; either version 2 of the License, or
     (at your option) any later version.
     This program is distributed in the hope that it will be useful,
     but WITHOUT ANY WARRANTY; without even the implied warranty of
     MERCHANTABILITY or FITNESS FOR A PARTICULAR PURPOSE. See the
     GNU General Public License for more details.
   * You should have received a copy of the GNU General Public License
     along with this program; if not, a copy is available at
     https://www.R-project.org/Licenses/
 #include "global.h"
 // mersenne twister
 void init_genrand64(unsigned long long seed);
 unsigned long long genrand64_int64(void);
 double genrand64_real3(void);
 void init_rand(unsigned long long seed)
 {
      init_genrand64(seed);
 }
 double unif_rand()
```

```
return genrand64_real3();
}
unsigned unif_rand_u32()
   return (unsigned)genrand64_int64();
// box-muller rng from MathLib
static double BM_norm_keep = 0.0;
double norm_rand()
    if(BM_norm_keep != 0.0)
    { /* An exact test is intentional */
        double s = BM_norm_keep;
       BM_norm_keep = 0.0;
       return s;
    }
   double theta = 2 * M_PI * unif_rand();
   double R = sqrt(-2 * log(unif_rand())) + 10*DBL_MIN; /* ensure non-zero */
   BM_norm_keep = R * sin(theta);
   return R * cos(theta);
}
double rnorm(double mu, double sigma)
    if(isnan(mu) || !isfinite(sigma) || sigma < 0)</pre>
        return NAN;
   if(sigma == 0 || !isfinite(mu))
       return mu;
   return mu + sigma * norm_rand();
}
```

rt/mt19937-64.c

```
/*
    A C-program for MT19937-64 (2004/9/29 version).
    Coded by Takuji Nishimura and Makoto Matsumoto.

This is a 64-bit version of Mersenne Twister pseudorandom number generator.

Before using, initialize the state by using init_genrand64(seed) or init_by_array64(init_key, key_length).

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```

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PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR
PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF
LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING
NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE USE OF THIS
SOFTWARE, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.

References:

```
T. Nishimura, ''Tables of 64-bit Mersenne Twisters''
    ACM Transactions on Modeling and
    Computer Simulation 10. (2000) 348--357.
   M. Matsumoto and T. Nishimura,
     "'Mersenne Twister: a 623-dimensionally equidistributed
      uniform pseudorandom number generator''
     ACM Transactions on Modeling and
     Computer Simulation 8. (Jan. 1998) 3--30.
   Any feedback is very welcome.
   http://www.math.hiroshima-u.ac.jp/~m-mat/MT/emt.html
   email: m-mat @ math.sci.hiroshima-u.ac.jp (remove spaces)
#include <stdio.h>
#define NN 312
#define MM 156
#define MATRIX_A OxB5026F5AA96619E9ULL
#define UM OxFFFFFFF8000000ULL /* Most significant 33 bits */
#define LM Ox7FFFFFFFULL /* Least significant 31 bits */
/* The array for the state vector */
static unsigned long long mt[NN];
/* mti==NN+1 means mt[NN] is not initialized */
static int mti=NN+1;
/* initializes mt[NN] with a seed */
void init_genrand64(unsigned long long seed)
{
   mt[0] = seed;
    for (mti=1; mti<NN; mti++)</pre>
        mt[mti] = (6364136223846793005ULL * (mt[mti-1] ^ (mt[mti-1] >> 62)) + mti);
```

```
}
/* initialize by an array with array-length */
/* init_key is the array for initializing keys */
/* key_length is its length */
void init_by_array64(unsigned long long init_key[],
                     unsigned long long key_length)
   unsigned long long i, j, k;
   init_genrand64(19650218ULL);
   i=1; j=0;
   k = (NN>key_length ? NN : key_length);
   for (; k; k--) {
        mt[i] = (mt[i] ^ ((mt[i-1] ^ (mt[i-1] >> 62)) * 3935559000370003845ULL))
         + init_key[j] + j; /* non linear */
        i++; j++;
        if (i>=NN) { mt[0] = mt[NN-1]; i=1; }
        if (j>=key_length) j=0;
    }
    for (k=NN-1; k; k--) {
        mt[i] = (mt[i] ^ ((mt[i-1] ^ (mt[i-1] >> 62)) * 2862933555777941757ULL))
          - i; /* non linear */
        i++;
        if (i>=NN) { mt[0] = mt[NN-1]; i=1; }
    }
   mt[0] = 1ULL << 63; /* MSB is 1; assuring non-zero initial array */
}
/* generates a random number on [0, 2^64-1]-interval */
unsigned long long genrand64_int64(void)
   int i;
   unsigned long long x;
   static unsigned long long mag01[2]={OULL, MATRIX_A};
    if (mti \geq= NN) { /* generate NN words at one time */
        /* if init_genrand64() has not been called, */
        /* a default initial seed is used
        if (mti == NN+1)
            init_genrand64(5489ULL);
        for (i=0;i<NN-MM;i++) {</pre>
            x = (mt[i]\&UM) | (mt[i+1]\&LM);
            mt[i] = mt[i+MM] ^ (x>>1) ^ mag01[(int)(x&1ULL)];
        }
        for (;i<NN-1;i++) {
            x = (mt[i]\&UM) | (mt[i+1]\&LM);
            mt[i] = mt[i+(MM-NN)] ^ (x>>1) ^ mag01[(int)(x&1ULL)];
        x = (mt[NN-1]\&UM) | (mt[O]\&LM);
        mt[NN-1] = mt[MM-1] ^ (x>>1) ^ mag01[(int)(x&1ULL)];
        mti = 0;
    }
   x = mt[mti++];
```

```
x ^= (x << 17) & 0x71D67FFFEDA60000ULL;
   x ^= (x << 37) & 0xFFF7EEE00000000ULL;
   x = (x >> 43);
   return x;
}
/* generates a random number on [0, 2^63-1]-interval */
long long genrand64_int63(void)
   return (long long)(genrand64_int64() >> 1);
}
/* generates a random number on [0,1]-real-interval */
double genrand64_real1(void)
   return (genrand64_int64() >> 11) * (1.0/9007199254740991.0);
/* generates a random number on [0,1)-real-interval */
double genrand64_real2(void)
   return (genrand64_int64() >> 11) * (1.0/9007199254740992.0);
}
/* generates a random number on (0,1)-real-interval */
double genrand64_real3(void)
   return ((genrand64_int64() >> 12) + 0.5) * (1.0/4503599627370496.0);
#if 0
int main(void)
{
   int i;
   unsigned long long init[4]={0x12345ULL, 0x23456ULL,
                               0x34567ULL, 0x45678ULL}, length=4;
   init_by_array64(init, length);
   printf("1000 outputs of genrand64_int64()\n");
   for (i=0; i<1000; i++) {
     printf("%201lu ", genrand64_int64());
     if (i%5==4) printf("\n");
   printf("\n1000 outputs of genrand64_real2()\n");
   for (i=0; i<1000; i++) {
     printf("%10.8f ", genrand64_real2());
     if (i\%5==4) printf("\n");
   }
   return 0;
}
#endif
```

rt/math.h

```
\langle rt/math.h \rangle \equiv
  void init_rand(unsigned long long seed);
  double unif_rand();
  unsigned unif_rand_u32();
  double norm_rand();
  double rnorm(double mu, double sigma);
\mathbf{rt/opt}_b uiltins.h
\langle rt/opt\_builtins.h \rangle \equiv
  const extern builtin_ops_t pure_ops, type_ops, typeof_ops;
  typedef struct
      int arity;
      union
          rtype_t *(*unfn)(const void *);
          rtype_t *(*binfn)(rtype_t *, rtype_t *);
      };
  } type_op_fn_t;
  #define type_unop(fn) (&(type_op_fn_t){ .arity = 1, .unfn = fn })
  #define type_binop(fn) (&(type_op_fn_t){ .arity = 2, .binfn = fn })
rt/builtins.c
\langle rt/builtins.c \rangle \equiv
  #include "global.h"
  #include "rt/builtin.h"
  #include "rt/opt_builtins.h"
  #include <time.h>
  #include <sys/resource.h>
  #include <sys/time.h>
  static void builtin_rm(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
  {
      def_args {
          rsymbol_t *name;
      } end_args(args, a);
      bool ret = r_unset(a->name);
      //if(!ret)
            vm_error(vm, "rm", "can't remove global '%s'.", r_symstr(a->name));
      //builtin_return(r, robject_t *, NULL);
      builtin_return(r, rboolean_t, ret);
  }
  static void builtin_print(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
      def_args {
          argbits_t argbits;
          robject_t *x;
      } end_args(args, a);
```

argbits_t ab = a->argbits; // TEMP

```
if(!missingp(ab, 0))
        r_print(stdout, a->x);
    fprintf(stdout, "\n");
   builtin_return(r, robject_t *, NULL);
}
static void builtin_eq(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
{
    def_args {
       robject_t *x, *y;
    } end_args(args, a);
    builtin_return(r, rboolean_t, (a->x == a->y));
}
static void builtin_equal(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
   def_args {
       robject_t *x, *y;
   } end_args(args, a);
   builtin_return(r, rboolean_t, r_equal(a->x, a->y));
}
// ---
static void builtin_typeof(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
   def_args {
       robject_t *obj;
    } end_args(args, a);
    builtin_return(r, rtype_t *, r_typeof(a->obj));
}
static rtype_t *common_type(rtype_t *self, rtype_t *other)
{
    if(self && other)
        return r_common_type(self, other);
   return NULL;
static void builtin_common_type(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
   def_args {
       rtype_t *self, *other;
    } end_args(args, a);
    builtin_return(r, rtype_t *, common_type(a->self, a->other));
static rtype_t *r_ret_type(const void *arg)
    const rtype_t *typ = arg;
    if(rtype_is_callable(typ))
        return typ->sig->ret_type;
    else if(typ == r_type_callable)
       return r_type_object;
   return NULL;
}
```

```
static void builtin_ret_type(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
   def_args {
       rtype_t *typ;
   } end_args(args, a);
   rtype_t *ret = r_ret_type(a->typ);
   if(!ret)
        vm_error(vm, "ret_type", "invalid argument.");
   builtin_return(r, rtype_t *, ret);
}
static rtype_t *r_elt_type(const void *arg)
    const rtype_t *typ = arg;
   if(rtype_is_container(typ))
       return typ->elt;
   else if(typ == r_type_vector || typ == r_type_array)
       return r_type_object;
   return NULL;
}
static void builtin_elt_type(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
   def_args {
       rtype_t *typ;
   } end_args(args, a);
   rtype_t *ret = r_elt_type(a->typ);
   if(!ret)
        vm_error(vm, "elt_type", "invalid argument.");
   builtin_return(r, rtype_t *, ret);
// ---
static void builtin_subtype(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
   def_args {
       rtype_t *self, *other;
   } end_args(args, a);
   if(!a->self || !a->other)
        vm_error(vm, "subtype", "invalid argument.");
   builtin_return(r, rboolean_t, r_subtypep(a->self, a->other));
}
static void builtin_compat(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
{
   def_args {
       rtype_t *self, *other;
    } end_args(args, a);
   if(!a->self || !a->other)
        vm_error(vm, "compat", "invalid argument.");
   builtin_return(r, rint_t, r_type_compat(a->self, a->other, true));
static void builtin_hash(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
    def_args {
```

```
robject_t *x;
    } end_args(args, a);
    builtin_return(r, rint_t, r_hash(a->x));
}
static inline double tv_to_sec(struct timeval *tv)
   return tv->tv_sec + tv->tv_usec/(double)1000000;
// { real, user, sys } times in seconds
static void builtin_time(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
    rvector_t *res = vm_retain(vm, rvec_create(r_type_vec_double, 3));
    rdouble_t *times = rvec_elts(res);
    rsymbol_t **names = rvec_elts(rvec_add_names(res));
    struct rusage ru;
    struct timeval tv;
   getrusage(RUSAGE_SELF, &ru);
    gettimeofday(&tv, NULL);
    times[0] = tv_to_sec(&tv);
    times[1] = tv_to_sec(&ru.ru_utime);
    times[2] = tv_to_sec(&ru.ru_stime);
    names[0] = r_intern("real");
   names[1] = r_intern("user");
   names[2] = r_intern("system");
   builtin_return(r, rvector_t *, res);
    vm_release(vm, 1);
}
#define clamp_int(v) ((v) > INT_MAX ? rint_na : (rint_t)(v))
static void builtin_gc(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
   rvector_t *res = vm_retain(vm, rvec_create(r_type_vec_int, 4));
   rint_t *counts = rvec_elts(res);
    rsymbol_t **names = rvec_elts(rvec_add_names(res));
    gc_stats_t stats;
    gc_collect();
    gc_collect_stats(&stats);
    counts[0] = clamp_int(stats.ncollect);
    counts[1] = clamp_int(stats.nchunks);
    counts[2] = clamp_int(stats.obj_bytes/1024.0);
    counts[3] = clamp_int(stats.vec_bytes/1024.0);
    names[0] = r_intern("collections");
    names[1] = r_intern("chunks");
    names[2] = r_intern("object KB");
    names[3] = r_intern("vector KB");
    builtin_return(r, rvector_t *, res);
    vm_release(vm, 1);
}
static void builtin_rand(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
{
    def_args {
        rint_t min, max;
```

```
} end_args(args, a);
    if(a->max == rint_na || a->min == rint_na || a->max <= a->min)
        vm_error(vm, "rand", "invalid argument.");
   unsigned range = (unsigned)((int64_t)a->max - a->min) + 1;
   builtin_return(r, rint_t, (rint_t)(unif_rand_u32() % range + a->min));
static void builtin_srand(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
    def_args {
       argbits_t argbits;
       rint_t seed; // optional
    } end_args(args, a);
    argbits_t ab = a->argbits; // TEMP
    unsigned long long seed;
   if(!missingp(ab, 0))
    {
        if(a->seed == rint_na)
           vm_error(vm, "srand", "invalid argument.");
        seed = (unsigned)a->seed;
    }
    else
    {
        time_t tval = time(NULL);
        if(tval == (time_t)-1)
           vm_error(vm, "srand", "time(2) error."); // wat.
        seed = tval;
    }
    init_rand(seed);
   builtin_return(r, robject_t *, NULL);
}
static void builtin_source(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
   def_args {
       rstring_t *name;
    } end_args(args, a);
   rclosure_t *cl;
   // the compiler is somewhat cavalier about managed pointers
   gc_set_enabled(false);
   // read and compile file
   cl = source(a->name->string);
    // closure is rooted on return
   gc_set_enabled(true);
   builtin_return(r, rclosure_t *, cl);
}
static void builtin_stop(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
   def_args {
       rstring_t *msg;
   } end_args(args, a);
   vm_error(vm, "stop", "%s", a->msg ? a->msg->string : "");
    // does not return
}
```

```
static void builtin_assert(vm_ctx_t *vm, rbuiltin_t *fn,
                          uint8_t *args, void *r)
{
    def_args {
        rboolean_t test;
    } end_args(args, a);
    if(a->test != true)
        vm_error(vm, "assert", "assertion failed.");
    builtin_return(r, robject_t *, NULL);
}
const builtin_init_t runtime_builtins[] = {
    defbuiltin("rm", builtin_rm, &r_type_boolean,
               { "name", &r_type_symbol }),
    defcbuiltin("eq", builtin_eq, &r_type_boolean,
               &pure_ops, NULL, // pointers are immutable
               { "x", &r_type_object },
               { "y", &r_type_object }),
    defbuiltin("equal", builtin_equal, &r_type_boolean,
               { "x", &r_type_object },
               { "y", &r_type_object }),
    defbuiltin("print", builtin_print, &r_type_object,
               { "x", &r_type_object, true }),
    defbuiltin("rt_time", builtin_time, &r_type_object,
               { }),
    defbuiltin("rand", builtin_rand, &r_type_int,
               { "min", &r_type_int },
               { "max", &r_type_int }),
    defbuiltin("srand", builtin_srand, &r_type_object,
               { "seed", &r_type_int, true }),
    defcbuiltin("typeof", builtin_typeof, &r_type_type,
               &typeof_ops, NULL,
               { "obj", &r_type_object }),
    defcbuiltin("common_type", builtin_common_type, &r_type_type,
               &type_ops, type_binop(common_type),
               { "self", &r_type_type },
               { "other", &r_type_type }),
    defcbuiltin("ret_type", builtin_ret_type, &r_type_type,
               &type_ops, type_unop(r_ret_type),
               { "type", &r_type_type }),
    defcbuiltin("elt_type", builtin_elt_type, &r_type_type,
               &type_ops, type_unop(r_elt_type),
               { "type", &r_type_type }),
    defcbuiltin("subtype", builtin_subtype, &r_type_boolean,
               &pure_ops, NULL, // types are immutable
               { "self", &r_type_type },
               { "other", &r_type_type }),
    defcbuiltin("compat", builtin_compat, &r_type_int,
               &pure_ops, NULL, // types are immutable
               { "self", &r_type_type },
               { "other", &r_type_type }),
    defbuiltin("hash", builtin_hash, &r_type_int,
               { "x", &r_type_object }),
    defbuiltin("gc_collect", builtin_gc, &r_type_object,
               { }),
    defbuiltin("source", builtin_source, &r_type_callable,
               { "file", &r_type_string }),
```

rt/opt_builtins.c

```
\langle rt/opt\_builtins.c \rangle \equiv
 #include "global.h"
 #include "rt/builtin.h"
 #include "rt/opt_builtins.h"
 #include "ir.h"
 #include "opt.h"
 #include "vm/vm_ops.h"
 #include "gen_code.h"
 static cresult trans_typeof(opt_phase phase, cnode_t *node, cell_t *cell,
                               const cbuiltin_t *bi, cnode_array_t *args)
      assert(node->type == CN_CALL || node->type == CN_CALL_FAST);
     if(alen(args) != 1)
          return SUCCESS;
      // the condition for typeof could be weakened slightly, to something like
      // "if the static type is the most precise possible dynamic type",
      // but that isn't expressible here.
     if(phase == TRANSFER && opt.opt_constfold)
      {
          cell_t *ac = cell_for(aref(args,0));
          if(!cell_const_obj(ac) && !cell_const_lambda(ac))
                  return SUCCESS;
          cell_set_const(cell, (robject_t *)cell_type(ac));
          // DBG("%s folds to ", bi->name);
          // r_print(stderr, cell_type(ac));
          // DBG("\n");
     }
     return SUCCESS;
 }
 static cresult trans_type(opt_phase phase, cnode_t *node, cell_t *cell,
                             const cbuiltin_t *bi, cnode_array_t *args)
 {
     type_op_fn_t *tofn = bi->data;
      assert(node->type == CN_CALL || node->type == CN_CALL_FAST);
     assert(tofn);
      if(alen(args) != tofn->arity)
          return SUCCESS;
      if(phase == TRANSFER && opt.opt_constfold)
          cnode_t *arg;
          array_foreach_entry(args, arg)
              cell_t *ac = cell_for(arg);
              if(!cell_const_obj(ac) || cell_type(ac) != r_type_type)
```

```
return SUCCESS;
        }
        rtype_t *ret = (tofn->arity == 1)
            ? tofn->unfn(cell_const(cell_for(aref(args,0))))
            : tofn->binfn(cell_const(cell_for(aref(args,0))),
                          cell_const(cell_for(aref(args,1))));
        if(ret)
            cell_set_const(cell, (robject_t *)ret);
            // DBG("%s folds to ", bi->name);
            // r_print(stderr, ret);
            // DBG("\n");
        }
   return SUCCESS;
}
// XXX need a user-facing 'missing' special form: arg parsed as
// identifier; symbol must name an arg bound by the current function
// convert emits a CN_BUILTIN directly -- like the prologue does in
// ir_convert
static inline cresult fold_missing(cell_t *cell, cell_t *bits, argbits_t idx)
    if(opt.opt_constfold && cell_const_obj(bits))
        bool val = argbits_missing(UNBOX(argbits_t, cell_const(bits)), idx);
        cell_set_const(cell, c_intern(r_box(r_type_boolean, &val)));
        return CHANGED;
    return SUCCESS;
static cresult trans_missing(opt_phase phase, cnode_t *node, cell_t *cell,
                             const cbuiltin_t *bi, cnode_array_t *args)
{
    assert(node->type == CN_BUILTIN);
    assert(node->builtin.optype && r_typeof(node->builtin.optype) == r_type_int);
    assert(alen(args) == 1);
    cell_t *bits = cell_for(aref(args, 0));
    robject_t *idx = (robject_t *)node->builtin.optype; // this is a hack
    assert(cell_type(bits) == r_type_int);
    if(phase == TRANSFER)
        cell_set_type(cell, r_type_boolean);
        return fold_missing(cell, bits, UNBOX(argbits_t, idx));
    // transform; already a builtin, so don't care
   return SUCCESS;
static void gen_missing(cnode_t *node)
    // MISSING dest, bits, idx
    asm_op(OP_missing);
    asm_stack(loc_for(node));
```

options_t opt;

{

}

static inline void *opt_ofs(argdesc_t *arg)

return (uint8_t *)&opt + arg->offset - sizeof(argbits_t);

```
asm_stack(loc_for(aref(&node->builtin.args, 0)));
      // we stashed a box in .optype. retrieve it and emit the value as a literal operand
      assert(node->builtin.optype && r_typeof(node->builtin.optype) == r_type_int);
      asm_offset(UNBOX(argbits_t, node->builtin.optype));
 static bool always(cnode_t *node, bool may_alias)
      { return true; }
 const cbuiltin_t missing = {
      &(builtin_ops_t) { trans_missing, NULL, gen_missing,
                          .is_pure = always },
      NULL, "missing"
 };
 const builtin_ops_t pure_ops = { .is_pure = always };
 const builtin_ops_t typeof_ops = { trans_typeof, NULL, NULL, .is_pure = always };
 const builtin_ops_t type_ops = { trans_type, NULL, NULL, .is_pure = always };
rt/options.h
\langle rt/options.h \rangle \equiv
 typedef struct __attribute__ ((__packed__))
      // optimisation
      bool opt_builtin;
      bool opt_inline;
      bool opt_dce;
      bool opt_constfold;
      bool opt_dvn;
      // debugging
      bool dbg_dump_ir;
      // printing
      int print_digits;
     int print_line;
      int print_max;
      int print_name_max;
 } options_t;
 extern options_t opt;
 void rt_init_options();
rt/options.c
\langle rt/options.c \rangle \equiv
 #include "global.h"
 #include <ctype.h>
 #include "rt/builtin.h"
 #include "arith/scalar.h"
 #include "arith/vec.h"
```

```
// return a named vector containing the compiler options
static rvector_t *get_options(vm_ctx_t *vm, funsig_t *sig)
    int nargs = sig->nargs, i;
   rvector_t *res = vm_retain(vm, rvec_create(r_type_vec_object, nargs));
    robject_t **elts = rvec_elts(res);
    for(i=0; i<nargs; i++)</pre>
    {
        argdesc_t *arg = &sig->args[i];
        void *src = opt_ofs(arg);
        if(rtype_is_scalar(arg->type))
            elts[i] = vm_retain(vm, r_box(arg->type, src));
            elts[i] = *(robject_t **)src;
    }
    // ensure the vector is fully initialised before calling rvec_add_names...
    rsymbol_t **names = rvec_elts(rvec_add_names(res));
    for(i=0; i<nargs; i++)</pre>
        names[i] = sig->args[i].name;
    vm_release(vm, 1+nargs);
   return res;
}
// set the given compiler options, returning the previous state
// so it can be reset with something like 'apply(options, state)'
static void builtin_options(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
{
    funsig_t *sig = rcall_sig(&fn->base);
    robject_t *res = vm_retain(vm, get_options(vm, sig));
    args -= sig->argsz; // XXX builtin call convention is silly, fix this imo
    argbits_t argbits = *(argbits_t *)args;
    assert(sizeof(opt) == sig->argsz - sizeof(argbits_t));
   for(int i=0; i<sig->nargs; i++)
        if(argbits & (1<<i))
            argdesc_t *arg = &sig->args[i];
            void *src = args + arg->offset;
            void *dest = opt_ofs(arg);
            if(rtype_is_scalar(arg->type)
               && scalar_is_na(arg->type, src) == true)
            { // XXX vm_warning?
                fprintf(stderr, "warning: argument '%s' not valid.\n",
                        r_symstr(arg->name));
                continue;
            memcpy(dest, src, rtype_eltsz(arg->type));
        }
    }
    vm_release(vm, 1);
    builtin_return(r, robject_t *, res);
}
```

```
// XXX multiexpand to avoid these getting out of sync
// (typedef in rt/options.h also)
const builtin_init_t options_builtin =
    defbuiltin("options", builtin_options, &r_type_vec_object,
               { "opt_builtin", &r_type_boolean, true },
               { "opt_inline", &r_type_boolean, true },
               { "opt_dce", &r_type_boolean, true },
               { "opt_constfold", &r_type_boolean, true },
               { "opt_dvn", &r_type_boolean, true },
               { "dbg_dump_ir", &r_type_boolean, true },
               { "print_digits", &r_type_int, true },
               { "print_line", &r_type_int, true },
               { "print_max", &r_type_int, true },
               { "print_name_max", &r_type_int, true });
/*
static char *upcase(char *str)
   static char buf[32];
   int sz = sizeof(buf)-1;
   char *ptr = buf;
   while(*str != '\0' && sz-- > 0)
        *ptr++ = toupper(*str++);
    *ptr = '\0'; // terminate
   return buf;
}
static int init_option(char *name, int def)
   char *val = getenv(name);
   if(val)
       return atoi(val);
   return def;
}
void rt_init_options()
    opt = (options_t) {
        .opt_builtin = init_option("OPT_BUILTIN", true),
        .opt_inline = init_option("OPT_INLINE", true),
        .opt_dce = init_option("OPT_DCE", true),
        .opt_constfold = init_option("OPT_CONSTFOLD", true),
        .opt_dvn = init_option("OPT_DVN", true),
        .dbg_dump_ir = init_option("DBG_DUMP_IR", false),
        .print_digits = 6,
        .print_line = 76,
        .print_max = 200,
        .print_name_max = 15,
   };
   rbuiltin_define(&options_builtin);
   rbuiltin_t *fn = (rbuiltin_t *)rbuiltin_define(&options_builtin);
   funsig_t *sig = fn->base.base.type->sig;
   for(int i=0; i<sig->nargs; i++)
    {
```

```
argdesc_t *arg = &sig->args[i];
    char *str = getenv(upcase(r_symstr(arg->name)));
    if(str)
    {
       void *dest = opt_ofs(arg);
       // ... atoi ...
    }
}
*/
}
```

Appendix B

Arithmetic Library

This appendix contains an arithmetic and support library for scalars, vectors and arrays; together with the builtin functions that make them available to users of the system. They contain minimal significant innovation, and an unpleasant amount of M4 (GNU M4).

arith/arith.m4

```
\langle arith/arith.m4 \rangle \equiv
  m4_changecom('M4', '')
  m4_changequote('{{', '}}')
  M4 bind the first argument (name) as an element of the second (quoted list)
  M4 and expand the third argument (quotation) for each one in order
  \verb|m4_define({\{shift3\}\}, \{\{m4\_shift(m4\_shift(\$1)))\}}|)|
  m4_define({{_foreach}}, {{
    m4_ifelse({{$#}},{{3}},,{{
      m4_define({{$1}}, {{$3}})
      $0({{$1}},{{$2}},shift3({{$0}}))
    }})
  }})
  m4_define(\{\{foreach\}\}, \{\{m4_pushdef(\{\{\$1\}\}\}, foreach(\{\{\$1\}\}, \{\{\$3\}\}, \$2,)m4_popdef(\{\{\$1\}\})\}\})
  M4 usual list routines
  m4_define({{car}}, {{$1}})
  m4_define({{cdr}}, {{m4_shift($0)}})
  m4_define({{cadr}}, {{car(cdr($0))}})
  M4 token-pasting
  m4_define({\{paste\}\}, \{\{m4_ifelse(\{\{\$\#\}\}, 1, \{\{\$1\}\}, \{\{\$1\}, \{\{\}\}\}\$0(m4_shift(\$0))\}\})\}})
  M4 diversion wrapper
  m4_define({{stash}},{{m4_divert($1){{}}$2{{}}}m4_divert}})
  M4 names of things
  m4_define({{make_type}}, {{r$1_t}})
  m4_define({{make_type_obj}}, {{r_type_$1}})
  m4_define({{check_na}}, {{$2_na($1)}})
  m4_define({{make_na}}, {{r$1_na}})
  m4_define({{make_min}}, {{r$1_min}})
  m4_define({{make_max}}, {{r$1_max}})
```

```
M4 static arithmetic promotion: expand to the argument found first in 'types'
M4 FIXME should be ifelse(car($3), '$1', match one, car($3), '$2', match two, no match)
m4_define({{_make_rtype}}, {{m4_ifelse({{$1}}}, car($3), {{$1}}},
{{m4_ifelse({{$2}}}, car($3), {{$2}}},
  {{$0({{$1}}}, {{$2}}, {{cdr($3)}})}})}})
m4_define({{make_rtype}}, {{_make_rtype($1, $2, {{scalar_types}})}})
M4 convenient synonyms
m4_define({{xtype_t}}, {{make_type(xtype)}})
m4_define({{ytype_t}}, {{make_type(ytype)}})
m4_define({{rtype}}, {{make_rtype(xtype, ytype)}})
m4_define({{rtype_t}}, {{make_type(rtype)}})
m4_define({{op_name}}, {{car(op_spec)}})
m4_define({{op_sym}}, {{cadr(op_spec)}})
M4 m4_define({{op_binary}}, {{$1 cadr(op_spec) $2}})
m4_define({{op_binary}}, {{m4_ifelse(op_sym,{{}}},
  {{op_name{{}}($1, $2)}},
  {{$1 op_sym $2}})}})
M4 m4_define({{op_unary}}, {{cadr(op_spec) $1}})
m4_define({{op_unary}}, {{m4_ifelse(op_sym,{{}}},
  {{op_name{{}}}($1)}},
  {{op_sym $1}})}}
m4_define({{op_conv}}, {{$2_conv($1)}})
M4 double ops have no overflow
m4_define({{op_xflow}}, {{m4_ifelse(rtype, {{double}}, 0,
  {{op_name{{}}_xflow($1, $2)}})})
m4_define({{conv_func}}, {{paste({{arith}}}, {{conv}}, xtype, {{to}}}, ytype)}})
m4_define({{binary_func}}, {{paste({{arith}}}, op_name, xtype, ytype)}})
m4_define({{unary_func}}, {{paste({{arith}}}, op_name, xtype)}})
m4_define({{for_ops}}, {{foreach(op_spec, {{$1}}}, {{$2}}))})
m4_define({{for_type}}, {{foreach(xtype, {{$1}}}, {{$2}})}})
m4_define({{for_types}}, {{
  foreach(xtype, {{$1}}}, {{
 foreach(ytype, {{$1}}}, {{
 $2
 }})
 }})
}})
M4 configuration
m4_define({{element_types}}, {{double, int, boolean, ptr}})
m4_define({{scalar_types}}, {{double, int, boolean}})
m4_define({{numeric_types}}, {{double, int}})
M4 on numeric types, return promoted
m4_define({{arith_ops}}, {{{add, +}}}, {{sub, -}},
  {{mul, *}}, {{div, /}}, {{pow}}}})
M4 on numeric types, return same
m4_define({{arith_unary_ops}}, {{{{neg, -}}}})
M4 on numeric types, return same, no analogous symbol
M4 use cadr(op_spec) for identity instead
m4_define({{arith_reduce_ops}}, {{{add, 0}}, {{mul, 1}}})
```

```
M4 on numeric types, return boolean
m4_define({{rela_ops}}, {{{{lth, <}}}, {{lte, <=}}, {{gth, >}}, {{gte, >=}}}})
M4 on scalar types, return boolean
m4_define({{eql_ops}}, {{{eql, ==}}}, {{neq, !=}}})
M4 on scalar types, return boolean
M4 none for now
M4 m4_define({{eql_unary_ops}}, {{{something}}}})
M4 on booleans only
m4_define({{bool_ops}}, {{{and, &}}, {{or, |}}})
M4 on booleans only
m4_define({{bool_unary_ops}}, {{{not, !}}})
M4 on booleans only, etc, etc
m4_define({{bool_reduce_ops}}, {{{and, true}}, {{or, false}}})
M4 on doubles only
m4_define({{math_ops}}, {{{atan2}}}})
m4_define({{math_unary_ops}}, {{{sqrt}}, {{sin}}, {{cos}}, {{tan}}},
                                {{asin}}, {{acos}}, {{atan}},
                                {{log}}, {{exp}}, {{round}}})
```

arith/scalar.h.in

```
\langle arith/scalar.h.in \rangle \equiv
   m4_include('arith/arith.m4')
  */
  // return true if the value is NA
  #define boolean_na(v) ((v) == rboolean_na)
  #define int_na(v) ((v) == rint_na)
  #define double_na(v) (isnan(v))
  // convert the value to the type
  #define boolean_conv(v) ((v) != 0)
  #define double_conv(v) (rdouble_t)(v)
  \texttt{\#define int\_conv}(\texttt{v}) \; ((((\texttt{v}) > \texttt{INT\_MAX}) \; | \; ((\texttt{v}) < \texttt{INT\_MIN})) \; ? \; \texttt{rint\_na} \; : \; (\texttt{rint\_t})(\texttt{v}))
  // do not take 'r': compute it explicitly and hope that common
  // subexpression elimination does its thing.
  // return true if the operation over- or underflowed
  #define add_xflow(x, y) ((x > 0) \hat{} (y < (x + y)))
  #define signs(a, b) ((a < 0) \hat{b} < 0)
  #define sub_xflow(x, y) (signs(x, y) & signs(x, (x - y)))
  #define mul_xflow(x, y) (((int64_t)x * (int64_t)y) != (x * y))
  #define div_xflow(x, y) (y==0)
  // XXX inefficient
  #define pow_xflow(x, y) (fabs(pow(x,y)) > (INT_MAX-1))
  // m4_define({{expand_binary}}, {{
  static inline rtype_t paste(arith, op_name, xtype, ytype)(xtype_t x, ytype_t y)
  {
      return (check_na(x, xtype) | check_na(y, ytype) | op_xflow(x, y))
           ? make_na(rtype)
           : op_binary(x, y);
```

```
// }})
// m4_define({{expand_unary}}, {{
    static inline rtype_t paste(arith, op_name, xtype)(xtype_t x)
    {
        rtype_t r = op_unary(x);
        return check_na(x, xtype) ? make_na(rtype) : r;
}
// }})
```

Arithmetic

```
\langle arith/scalar.h.in \rangle + \equiv
 // M4 prefer native double NA handling, thanks to C arithmetic conversion
 // m4_pushdef({{double_na}}, {{0}})
 // for_types({{numeric_types}}, {{
 // for_ops({{arith_ops}}, {{
 expand_binary()
 // }})
 // }})
 // for_type({{numeric_types}}, {{
 // for_ops({{arith_unary_ops}}, {{
 expand_unary()
 // }})
 // }})
 // M4 for other ops, we must explicitly check
 // m4_popdef({{double_na}})
 // M4 overflow doesn't occur outside arithmetic
 // m4_pushdef({{op_xflow}}, {{0}})
```

Relational

```
\(arith/scalar.h.in\)+\(\equiv \)
// M4 ops from now on return boolean
// m4_pushdef({{rtype}}, {{boolean}})

// for_types({{numeric_types}}, {{
    // for_ops({{rela_ops}}, {{
    expand_binary()
    // }})
    // }})
```

Equality

```
\(arith/scalar.h.in\)+\(\sime\)
// for_types(\{\scalar_types\}\, \{\\
// for_ops(\{\eql_ops\}\, \{\\
expand_binary()
// \}\)
// \}\)
```

Booleans

```
\langle arith/scalar.h.in \rangle + \equiv
  // m4_pushdef({{xtype}}, {{boolean}}) m4_pushdef({{ytype}}, {{boolean}})
  // for_ops({{bool_ops}}, {{
  expand_binary()
  // }})
  // for_ops({{bool_unary_ops}}, {{
  expand_unary()
  // }})
  // m4_popdef({{xtype}}) m4_popdef({{ytype}})
NA
\langle arith/scalar.h.in \rangle + \equiv
  // for_type({{scalar_types}}, {{
  static inline rboolean_t paste(arith, is_na, xtype)(xtype_t x)
      return check_na(x, xtype);
  // }})
  // M4 reset defs to default
  // m4_popdef({{rtype}})
  // m4_popdef({{op_xflow}})
Conversion
\langle arith/scalar.h.in \rangle + \equiv
  // for_types({{scalar_types}}, {{
  // m4_ifelse(xtype, ytype, {{}}, {{
  static inline ytype_t paste(arith, conv, xtype, to, ytype)(xtype_t x)
  {
      return (check_na(x, xtype)) ? make_na(ytype) : op_conv(x, ytype);
  }
  // }})
  // }})
Box & Unbox
\langle arith/scalar.h.in \rangle + \equiv
  // for_type({{scalar_types}}, {{
  static inline xtype_t paste(arith, conv, ptr, to, xtype)(robject_t *x)
  {
      if(r_typeof(x) != make_type_obj(xtype))
          return make_na(xtype);
      return UNBOX(xtype_t, x);
  }
  static inline robject_t *paste(arith, conv, xtype, to, ptr)(xtype_t x)
      robject_t *box = r_box_create(make_type_obj(xtype));
      UNBOX(xtype_t, box) = x;
      return box;
  // }})
```

Dispatch Index Codes

```
\(arith/scalar.h.in\) +=
\( enum \{ \)
\( CODE_boolean = SC_BOOLEAN, \)
\( CODE_int = SC_INT, \)
\( CODE_double = SC_DOUBLE, \)
\( CODE_MAX_SCALAR, \)
\( CODE_ptr = CODE_MAX_SCALAR, \)
\( CODE_MAX \)
\( \};
\( enum \{ \)
\( CODE_sca, CODE_vec \)
\( \};
\)
```

arith/vec.h.in

```
\langle arith/vec.h.in \rangle \equiv
 /*
   m4_include('arith/arith.m4')
  */
 // {{
 typedef void (*binop_fn)(void *, void *, void *, int);
 typedef void (*unop_fn)(void *, void *, int);
 typedef void (*conv_fn)(void *, void *, int);
 typedef void (*fill_na_fn)(void *, size_t );
 typedef void (*idx_fn)(void *, void *, void *, int, int, int);
 // }}
 // m4_define({{expand_bintab}}, {{
 extern const binop_fn paste(op_name, funcs)[2][2][CODE_MAX_SCALAR][CODE_MAX_SCALAR];
 // }})
 // m4_define({{expand_untab}}, {{
 extern const unop_fn paste(op_name, funcs)[2][CODE_MAX_SCALAR];
 // m4_define({{expand_redtab}}, {{
 extern const unop_fn paste(reduce, op_name, funcs)[CODE_MAX_SCALAR];
 // m4_define({{expand_convtab}}, {{
 extern const conv_fn paste(conv, funcs)[2][CODE_MAX_SCALAR][CODE_MAX_SCALAR];
 // }})
```

Externs

```
(arith/vec.h.in)+=
  // for_ops({{arith_ops, rela_ops, eql_ops, bool_ops, math_ops}}, {{
  expand_bintab()
  // }})
  // for_ops({{arith_unary_ops, bool_unary_ops, math_unary_ops, {{is_na}}}}, {{
    expand_untab()
  // }})
  // for_ops({{arith_reduce_ops, bool_reduce_ops}}, {{
    expand_redtab()
  // }})
  expand_convtab()
```

Typedefs

FIXME should be a binop_subtab [CODE_MAX_SCALAR] [CODE_MAX_SCALAR], because if one is NULL, they all are.

```
\(arith/vec.h.in\) +=
    // {{
    typedef const binop_fn binop_fntab[2][2][CODE_MAX_SCALAR][CODE_MAX_SCALAR];
    typedef const unop_fn unop_fntab[2][CODE_MAX_SCALAR];
    typedef const unop_fn reduce_fntab[CODE_MAX_SCALAR];
```

Inlines

```
\langle arith/vec.h.in \rangle + \equiv
 // return the element type for an operand, given an aggregate or scalar type.
 // returns NULL if typ isn't a scalar or an aggregate containing scalars.
 static inline rtype_t *arith_elt_type(rtype_t *typ)
      if(rtype_is_container(typ))
          typ = typ->elt;
      return rtype_is_scalar(typ) ? typ : NULL;
 // recycle src along dest
 // slen/dlen lengths in elements, sz element size in bytes
 static inline
 void copy_recycle(void *dest, void *src, int dlen, int slen, size_t sz)
 {
      if(slen == 0)
      {
          memset(dest, 0, sz * dlen);
          return;
      if(slen >= dlen)
          memcpy(dest, src, sz * dlen);
          return;
      }
      ptrdiff_t adv = slen * sz;
      uint8_t *end = (uint8_t *)dest + dlen * sz;
      for(uint8_t *ptr = dest; ptr < end; ptr += adv)</pre>
          memcpy(ptr, src, min(adv, end - ptr));
 }
 static inline rboolean_t scalar_is_na(rtype_t *type, void *src)
 {
      assert(rtype_is_scalar(type));
      rboolean_t res;
      unop_fn is_na = is_na_funcs[CODE_sca][rscal_code(type)];
      is_na(&res, src, 1);
      return res;
 }
```

Prototypes

```
\langle arith/vec.h.in \rangle + \equiv
 robject_t *arith_binary(vm_ctx_t *vm, binop_fntab fntab, bool pred,
                          robject_t *xobj, robject_t *yobj);
 robject_t *arith_unary(vm_ctx_t *vm, unop_fntab fntab, bool pred,
                         robject_t *xobj);
 robject_t *arith_convert(vm_ctx_t *vm, robject_t *obj, rtype_t *to);
 robject_t *arith_reduce(vm_ctx_t *vm, reduce_fntab fntab, robject_t *xobj);
 bool scalar_convert(void *dest, robject_t *obj, rtype_t *to, rtype_t *from);
 robject_t *vec_fetch(vm_ctx_t *vm, robject_t *obj, robject_t *idx);
 robject_t *vec_store(vm_ctx_t *vm, robject_t *obj, robject_t *val,
                       robject_t *idx);
 robject_t *arr_fetch(vm_ctx_t *vm, robject_t *obj, robject_t *idx,
                       robject_t **idxs, int nidxs);
 robject_t *arr_store(vm_ctx_t *vm, robject_t *obj, robject_t *val,
                       robject_t *idx, robject_t **idxs, int nidxs);
 void copy_names(robject_t *dest, robject_t *src);
 void rt_install_vectors();
 // }}
```

arith/dispatch.h

```
\langle arith/dispatch.h \rangle \equiv
 // builtin data
 typedef struct
      const void *fntab; // table of function pointers
      bool is_pred; // whether this builtin a predicate
      op_code_t op; // vm instruction opcode
 } arith_builtin_t;
 // binary operation dispatch
 typedef struct
      rtype_t *typ, *optyp;
      size_t xsz, ysz, rsz;
      scalar_code xcode, ycode;
      bool xvec, yvec; // 'is array or vector', really
      bool xarr, yarr; // 'is array and not vector'
 } binop_chk_t;
 bool check_binary(binop_chk_t *chk, rtype_t *xt, rtype_t *yt, bool pred);
 static inline binop_fn dispatch_binary(binop_chk_t *chk, binop_fntab fntab)
      return fntab[chk->xvec][chk->yvec][chk->xcode][chk->ycode];
 // unary operation dispatch
 typedef struct
      rtype_t *typ;
      scalar_code code;
      bool vec, arr; // same as for binop
 } unop_chk_t;
```

```
bool check_unary(unop_chk_t *chk, rtype_t *xt, bool pred);
static inline unop_fn dispatch_unary(unop_chk_t *chk, unop_fntab fntab)
   return fntab[chk->vec][chk->code];
// reduction dispatch
typedef struct
    rtype_t *typ; // always a scalar
    scalar_code code;
   bool vec;
} reduce_chk_t;
bool check_reduce(reduce_chk_t *chk, rtype_t *xt);
static inline unop_fn dispatch_reduce(reduce_chk_t *chk, reduce_fntab fntab)
   return fntab[chk->code];
// conversion dispatch
typedef struct
   rtype_t *rt;
   bool vec, arr, generic;
    scalar_code fromcode, tocode;
} conv_chk_t;
// from could be anything; to is always a scalar
bool check_conv(conv_chk_t *chk, rtype_t *from, rtype_t *to);
static inline conv_fn dispatch_conv(conv_chk_t *chk)
{
   return conv_funcs[chk->vec][chk->fromcode][chk->tocode];
// collection fetch and store
// scalar, vector, boolean, or omitted index
typedef enum { SINGLE, INDEX, MASK, MISSING } idx_kind;
// vector
typedef struct
    idx_kind kind;
    bool takeptr; // use replacement/result value directly, do not indirect
   bool names; // fetch element names
    rtype_t *typ; // result type/replacement element type
} idx_chk_t;
bool check_fetch(idx_chk_t *chk, rtype_t *typ, rtype_t *ityp);
bool check_store(idx_chk_t *chk, rtype_t *typ, rtype_t *ityp, rtype_t *rtyp);
// array
typedef struct
```

arith/vec.c.in

```
\langle arith/vec.c.in \rangle \equiv
 /*
   m4_include('arith/arith.m4')
 #include "global.h"
 #include "arith/scalar.h"
 #include "arith/vec.h"
 #include "arith/dispatch.h"
   m4_define({{vec_binary_func}}, {{paste({{vec}}}, op_name, xtype, $1, ytype, $2)}})
   m4_define(\{\{vec\_unary\_func\}\}, \{\{paste(\{\{vec\}\}, op\_name, xtype, \$1)\}\})
   m4_define({{vec_conv_func}}, {{paste({{vec_conv}}}, $1, xtype, to, ytype)}})
   m4_define({{code}}, {{paste(CODE, $1)}})
 // m4_define({{expand_bintab}}, {{
 const binop_fn paste(op_name, funcs)[2][2][CODE_MAX_SCALAR][CODE_MAX_SCALAR] = {
      m4_undivert(1)
 };
 // }})
 // m4_define({{expand_untab}}, {{
 const unop_fn paste(op_name, funcs)[2][CODE_MAX_SCALAR] = {
      m4_undivert(1)
 };
 // }})
 // m4_define({{expand_redtab}}, {{
 const unop_fn paste(reduce, op_name, funcs)[CODE_MAX_SCALAR] = {
      m4_undivert(1)
 };
 // }})
 // m4_define({{expand_convtab}}, {{
 const conv_fn paste(conv, funcs)[2][CODE_MAX_SCALAR][CODE_MAX_SCALAR] = {
      m4_undivert(1)
 }:
 // }})
 // m4_define({{expand_vec}}, {{
 static void vec_binary_func(v, v)(void *r, void *x, void *y, int len)
      xtype_t *vx = x;
      ytype_t *vy = y;
      rtype_t *vr = r;
      for(int i=0; i < len; i++)
          vr[i] = binary_func()(vx[i], vy[i]);
```

```
}
static void vec_binary_func(v, s)(void *r, void *x, void *y, int len)
    xtype_t *vx = x;
    ytype_t vy = *(ytype_t *)y;
    rtype_t *vr = r;
    for(int i=0; i < len; i++)</pre>
        vr[i] = binary_func()(vx[i], vy);
}
static void vec_binary_func(s, v)(void *r, void *x, void *y, int len)
    xtype_t vx = *(xtype_t *)x;
    ytype_t *vy = y;
    rtype_t *vr = r;
    for(int i=0; i < len; i++)</pre>
        vr[i] = binary_func()(vx, vy[i]);
}
static void vec_binary_func(s, s)(void *r, void *x, void *y, int len)
    xtype_t *vx = x;
    ytype_t *vy = y;
    rtype_t *vr = r;
    *vr = binary_func()(*vx, *vy);
}
/*
  stash(1,{{
  [CODE_sca] [CODE_sca] [code(xtype)] [code(ytype)] = vec_binary_func(s,s),
  [CODE_sca] [CODE_vec] [code(xtype)] [code(ytype)] = vec_binary_func(s,v),
  [CODE_vec] [CODE_sca] [code(xtype)] [code(ytype)] = vec_binary_func(v,s),
  [CODE_vec] [CODE_vec] [code(xtype)] [code(ytype)] = vec_binary_func(v,v),
*/
// }})
// m4_define({{expand_vec_unary}}, {{
static void vec_unary_func(v)(void *r, void *x, int len)
{
    xtype_t *vx = x;
    rtype_t *vr = r;
    for(int i=0; i < len; i++)</pre>
        vr[i] = unary_func()(vx[i]);
}
static void vec_unary_func(s)(void *r, void *x, int len)
{
    xtype_t *vx = x;
    rtype_t *vr = r;
    *vr = unary_func()(*vx);
}
  stash(1,{{
  [CODE_sca][code(xtype)] = vec_unary_func(s),
  [CODE_vec] [code(xtype)] = vec_unary_func(v),
```

```
}})
*/
// }})
// m4_define({{expand_vec_reduce}}, {{
static void paste(vec, reduce, op_name, xtype)(void *r, void *x, int len)
    xtype_t vr = op_sym;
    xtype_t *vx = x;
    for(int i=0; i < len; i++)</pre>
        vr = paste(arith, op_name, xtype, xtype)(vr, vx[i]);
    *(xtype_t *)r = vr;
}
/*
 stash(1,{{
  [code(xtype)] = paste(vec, reduce, op_name, xtype),
*/
// }})
```

Arithmetic

```
\langle \mathit{arith/vec.c.in} \rangle + \equiv
  // for_ops({{arith_ops}}, {{
  // for_types({{numeric_types}}, {{
  expand_vec()
  // }})
  expand_bintab()
  // }})
  // for_ops({{arith_unary_ops}}, {{
  // for_type({{numeric_types}}, {{
  expand_vec_unary()
  // }})
  expand_untab()
  // }})
  // for_ops({{arith_reduce_ops}}, {{
  // for_type({{numeric_types}}, {{
  expand_vec_reduce()
  // }})
  expand_redtab()
  // }})
```

Relational

```
\(arith/vec.c.in\)+\(\equiv \)
// m4_pushdef({{rtype}}, {{boolean}})

// for_ops({{rela_ops}}, {{
    // for_types({{numeric_types}}, {{
    expand_vec()
    // }})
    expand_bintab()
// }})
```

Equality

```
(arith/vec.c.in)+\(\simeg\)
// for_ops({{eql_ops}}, {{
    // for_types({{scalar_types}}, {{
    expand_vec()
    // }})
expand_bintab()
// }})
```

NA

```
\(arith/vec.c.in\)+\(\equiv \)
// for_ops(\{\{\is_na\}\}\}, \{\\
// for_type(\{\scalar_types\}\}, \{\\
expand_vec_unary()
// \}\)
expand_untab()
// \}\)
```

Booleans

```
\langle arith/vec.c.in \rangle + \equiv
  // m4_pushdef({{xtype}}, {{boolean}})
  // m4_pushdef({{ytype}}, {{boolean}})
  // for_ops({{bool_ops}}, {{
  expand_vec()
  expand_bintab()
  // }})
  // for_ops({{bool_unary_ops}}, {{
  expand_vec_unary()
  expand_untab()
  // }})
  // for_ops({{bool_reduce_ops}}, {{
  expand_vec_reduce()
  expand_redtab()
  // }})
  // m4_popdef({\{xtype\}\}}) m4_popdef({\{ytype\}\}}) m4_popdef({\{rtype\}\}})
```

Special Functions

```
\langle arith/vec.c.in \rangle + \equiv
 // m4_pushdef({{xtype}}, {{double}})
 // m4_pushdef({{ytype}}, {{double}})
 // m4_pushdef({{rtype}}, {{double}})
 // m4_pushdef({{binary_func}}, {{op_name{{}}}})
 // m4_pushdef({{unary_func}}, {{op_name{{}}}})
 // for_ops({{math_ops}}, {{
 expand_vec()
 expand_bintab()
 // }})
 // for_ops({{math_unary_ops}}, {{
 expand_vec_unary()
 expand_untab()
 // }})
 // m4_popdef({{binary_func}}) m4_popdef({{unary_func}})
 // m4_popdef({{xtype}}) m4_popdef({{ytype}}) m4_popdef({{rtype}})
```

Conversion

}

```
\langle arith/vec.c.in \rangle + \equiv
  // for_types({{scalar_types}}, {{
  // m4_ifelse(xtype, ytype, {{}}, {{
  static void vec_conv_func(v)(void *dest, void *src, int len)
      xtype_t *vs = src;
      ytype_t *vd = dest;
      for(int i=0; i < len; i++)</pre>
          vd[i] = conv_func()(vs[i]);
  }
  static void vec_conv_func(s)(void *dest, void *src, int len)
      *(ytype_t *)dest = conv_func()(*(xtype_t *)src);
  }
  /*
    stash(1,{{
    [CODE_sca][code(xtype)][code(ytype)] = vec_conv_func(s),
    [CODE_vec] [code(xtype)] [code(ytype)] = vec_conv_func(v),
    }})
  */
  // }})
  // }})
  expand_convtab()
Store
\langle arith/vec.c.in \rangle + \equiv
   m4_define({{maybe_conv}},
      {{m4_ifelse(xtype,ytype,$1,
        {{paste(arith,conv,ytype,to,xtype)}}{{($1)}})})
  */
  #define NEXT1(p, start, end) ((p+1 >= end) ? start : p+1)
  // for_types({{element_types}}, {{
  // v[ints] = r
  // recycle r along idx
  // idxs which are out of range are ignored
  paste(int, set, xtype, from, ytype)(void *r, void *v, void *idx,
                                        int rlen, int vlen, int ilen)
      rint_t *vi = idx;
      xtype_t *vv = v;
      ytype_t *vr = r;
      ytype_t *rstart = vr, *rend = vr + rlen;
      for(int i=0; i<ilen; i++)</pre>
      {
          rint_t j = vi[i];
          if(!(int_na(j) || j > vlen || j <= 0))</pre>
          {
               vv[j-1] = maybe_conv(*vr);
               vr = NEXT1(vr, rstart, rend);
```

```
}
// v[bools] = r
// recycles r along idx, and idx along v
static void
paste(bool, set, xtype, from, ytype)(void *r, void *v, void *idx,
                                      int rlen, int vlen, int ilen)
{
    rboolean_t *vi = idx;
    rboolean_t *istart = vi, *iend = vi + ilen;
    xtype_t *vv = v;
    ytype_t *vr = r;
    ytype_t *rstart = vr, *rend = vr + rlen;
    for(int i=0; i<vlen; i++)</pre>
        rboolean_t b = *vi;
        vi = NEXT1(vi, istart, iend);
        if(b == true)
            vv[i] = maybe_conv(*vr);
            vr = NEXT1(vr, rstart, rend);
        }
    }
}
// v[] = r
static void
paste(missing, set, xtype, from, ytype)(void *r, void *v, void *idx,
                                         int rlen, int vlen, int ilen)
    xtype_t *vv = v;
    ytype_t *vr = r;
    ytype_t *rstart = vr, *rend = vr + rlen;
    for(int i=0; i<vlen; i++)</pre>
        vv[i] = maybe_conv(*vr);
        vr = NEXT1(vr, rstart, rend);
}
  stash(1,{{
  [code(xtype)] [code(ytype)] = paste(int, set, xtype, from, ytype),
  stash(2,{{
  [code(xtype)][code(ytype)] = paste(bool, set, xtype, from, ytype),
  }})
  [code(xtype)][code(ytype)] = paste(missing, set, xtype, from, ytype),
 }})
*/
// }})
const idx_fn int_set_funcs[CODE_MAX] [CODE_MAX] = {
    //m4_undivert(1)
};
```

```
const idx_fn bool_set_funcs[CODE_MAX] [CODE_MAX] = {
      //m4_undivert(2)
 };
 const idx_fn missing_set_funcs[CODE_MAX][CODE_MAX] = {
      //m4_undivert(3)
 }:
Fetch
\langle arith/vec.c.in \rangle + \equiv
 // for_type({{element_types}}, {{
 static void paste(fill_na, xtype)(void *r, size_t sz)
      xtype_t *vr = r;
      int len = sz / sizeof(*vr);
     for(int i=0; i < len; i++)
          vr[i] = make_na(xtype);
 }
 // ... = v[ints]
 // r is sized correctly
 static void paste(int, get, xtype)(void *r, void *v, void *idx,
                                      int rlen, int vlen, int ilen)
 {
      rint_t *vi = idx;
      xtype_t *vv = v;
      xtype_t *vr = r;
      // for each element in the index
      for(int i=0; i < ilen; i++)</pre>
          rint_t j = vi[i];
          // if the index element is out of range or NA, the result is NA,
          // else it's the indexed element from the vector
          if(int_na(j) \mid | j > vlen \mid | j \le 0)
              vr[i] = make_na(xtype);
          else
              vr[i] = vv[j-1];
      }
 }
 // ... = v[bools]
 // recycle idx along v
 // r is sized correctly, but may need padding with NAs if v is shorter than idx
 static void paste(bool, get, xtype)(void *r, void *v, void *idx,
                                       int rlen, int vlen, int ilen)
 {
      rboolean_t *vi = idx;
      rboolean_t *istart = vi, *iend = vi + ilen;
      xtype_t *vv = v;
      xtype_t *vr = r;
      for(int i=0; i<vlen; i++)</pre>
      {
          rboolean_t b = *vi;
          vi = NEXT1(vi, istart, iend);
```

// if it's not false
if(b != false)

```
{
            // set the current result element - to NA, if the index elt is;
            // or to the corresponding vector element
            *vr++ = boolean_na(b) ? make_na(xtype) : vv[i];
        }
    }
    // if the index is longer than the vector, fill the rest of the result with NAs
    if(vlen < ilen)</pre>
    {
        uint8_t *end = (uint8_t *)((xtype_t *)r + rlen);
        paste(fill_na, xtype)(vr, end - (uint8_t *)vr);
    }
}
// \ldots = v[]
static void paste(missing, get, xtype)(void *r, void *v, void *idx,
                                        int rlen, int vlen, int ilen)
{
    xtype_t *vv = v;
    xtype_t *vr = r;
    for(int i=0; i<vlen; i++)</pre>
        vr[i] = vv[i];
}
/*
  stash(1,{{
  [code(xtype)] = paste(int, get, xtype),
  }})
  stash(2,{{
  [code(xtype)] = paste(bool, get, xtype),
  stash(3, \{\{
  [code(xtype)] = paste(missing, get, xtype),
  }})
  stash(4,{{
  [code(xtype)] = paste(fill_na, xtype),
 }})
// }})
const idx_fn int_get_funcs[CODE_MAX] = {
    //m4_undivert(1)
const idx_fn bool_get_funcs[CODE_MAX] = {
    //m4_undivert(2)
const idx_fn missing_get_funcs[CODE_MAX] = {
    //m4_undivert(3)
};
const fill_na_fn fill_na_funcs[CODE_MAX] = {
    //m4_undivert(4)
};
```

Operations

```
\langle arith/vec.c.in \rangle + \equiv
 //{{
 // helpers
  // FIXME use rvec_len/rvec_elts now they exist
 static inline int arith_length(robject_t *obj)
      if(!obj)
         return 0;
     if(!rtype_is_container(r_typeof(obj)))
          return 1;
     return ((rbuf_t *)obj)->length;
 static inline void *arith_storage(robject_t *obj)
      if(!obj)
         return NULL;
     if(rtype_is_scalar(r_typeof(obj)))
         return BOXPTR(obj);
      assert(rtype_is_container(r_typeof(obj)));
     return ((rbuf_t *)obj)->elts;
 }
 // FIXME some generalised new() kind of thing, plx
 static inline robject_t *arith_create(rtype_t *typ, int len)
      if(rtype_is_scalar(typ))
         return r_box_create(typ);
      else if(rtype_is_vector(typ))
         return (robject_t *)rvec_create(typ, len);
      else if(rtype_is_array(typ))
         return (robject_t *)rarr_create_buf(typ, len);
     assert(!"can't arith_create that type");
      return NULL;
 }
 // dest and src are the same (container) type
 // may allocate; retain unrooted inputs.
 // do not invoke before initialising freshly allocated vectors of reference type
 // despite the name, will share .names/.dimnames pointer if at all possible
 void copy_names(robject_t *dest, robject_t *src)
     rtype_t *dtype = r_typeof(dest);
     if(rtype_is_vector(dtype))
      {
          rvector_t *dvec = (rvector_t *)dest, *svec = (rvector_t *)src;
          if(rvec_len(dvec) == rvec_len(svec))
              // copy the reference if they're the same length
              dvec->names = svec->names;
          }
          else
          {
              rvec_add_names(dvec);
              copy_recycle(rvec_elts(dvec->names), rvec_elts(svec->names),
```

```
rvec_len(dvec), rvec_len(svec),
                         sizeof(rsymbol_t *));
        }
    }
    else if(rtype_is_array(dtype))
        rarray_t *darr = (rarray_t *)dest, *sarr = (rarray_t *)src;
        assert(rarr_conform(darr, sarr));
        // arrays always conform, so just copy the reference
        darr->dimnames = sarr->dimnames;
    }
}
static inline void copy_shape(robject_t *robj, robject_t *obj)
    rarray_t *arr = (rarray_t *)obj;
   rarr_set_shape((rarray_t *)robj, arr->rank, arr->shape);
bool check_binary(binop_chk_t *chk, rtype_t *xt, rtype_t *yt, bool pred)
{
    *chk = (binop_chk_t) {
        .xvec = rtype_is_container(xt), .yvec = rtype_is_container(yt),
        .xarr = rtype_is_array(xt), .yarr = rtype_is_array(yt)
    };
    // take the element type if arg is a container
    rtype_t *xet = chk->xvec ? xt->elt : xt,
            *yet = chk->yvec ? yt->elt : yt;
    // only work on scalars
    if(!rtype_is_scalar(xet) || !rtype_is_scalar(yet))
        return false;
    // dispatch at the higher of the arguments
    chk->optyp = rscal_promote(xet, yet);
    // result element type is dispatch type, or boolean if op is a predicate
    rtype_t *etyp = pred ? r_type_boolean : chk->optyp;
    // result is an array if either arg is, else a vector if either arg is, else a scalar
    if(chk->xarr || chk->yarr)
        chk->typ = rarr_type_create(etyp);
    else if(chk->xvec || chk->yvec)
        chk->typ = rvec_type_create(etyp);
    else
        chk->typ = etyp;
    // scalar attributes cached for later use
    chk->xsz = rscal_size(xet);
    chk->ysz = rscal_size(yet);
    chk->rsz = rscal_size(etyp);
    chk->xcode = rscal_code(xet);
    chk->ycode = rscal_code(yet);
    return true;
// XXX ancillae, surely?
```

```
static void copy_ancilla_binary(binop_chk_t *chk, robject_t *robj, robject_t *xobj,
                                robject_t *yobj, bool y_long)
{
   bool xn = is_named(xobj), yn = is_named(yobj);
   // if an array is involved
   if(chk->xarr || chk->yarr)
        // take its shape
        if(chk->xarr)
            copy_shape(robj, xobj);
        else if(chk->yarr)
            copy_shape(robj, yobj);
        // take dimnames if present
        if(chk->xarr && xn)
            copy_names(robj, xobj);
        else if(chk->yarr && yn)
            copy_names(robj, yobj);
   }
    // neither x nor y are arrays
   else if(chk->xvec && xn)
        // x is a named vector
        // if y is a named vector longer than x, use its names
        if(chk->yvec && yn && y_long)
            copy_names(robj, yobj);
        else // else use x's
            copy_names(robj, xobj);
   }
    // x is not a named vector, and y is
    else if(chk->yvec && yn)
       copy_names(robj, yobj);
}
robject_t *arith_binary(vm_ctx_t *vm, binop_fntab fntab, bool pred,
                        robject_t *xobj, robject_t *yobj)
   rtype_t *xt = r_typeof(xobj), *yt = r_typeof(yobj);
   binop_chk_t chk = { 0 };
    if(!check_binary(&chk, xt, yt, pred))
        vm_error(vm, "arith_binary", "incompatible argument types.");
   rtype_t *rt = vm_retain(vm, chk.typ);
   binop_fn fn = dispatch_binary(&chk, fntab);
    if(!fn)
        vm_error(vm, "arith_binary", "operation not supported.");
    if(chk.xarr & chk.yarr && !rarr_conform((rarray_t *)xobj, (rarray_t *)yobj))
        vm_error(vm, "arith_binary", "non-conforming arrays.");
    int xlen = arith_length(xobj), ylen = arith_length(yobj);
    int rlen = (xlen == 0 || ylen == 0) ? 0 : max(xlen, ylen);
    robject_t *robj = vm_retain(vm, arith_create(rt, rlen));
   void *x = arith_storage(xobj), *y = arith_storage(yobj),
         *r = arith_storage(robj);
    // copy shape and dim/names
```

```
copy_ancilla_binary(&chk, robj, xobj, yobj, ylen > xlen);
    // if either are length 0, the result is length 0, an R-ism
    if(chk.xvec && chk.yvec && rlen > 0)
        bool xlong = xlen >= ylen;
        int roundlen = xlong ? ylen : xlen;
        int rounds = rlen / roundlen;
        int extra = rlen - rounds * roundlen;
        ptrdiff_t xadv = xlong ? (chk.xsz * ylen) : 0;
        ptrdiff_t yadv = xlong ? 0 : (chk.ysz * xlen);
        ptrdiff_t radv = xlong ? (chk.rsz * ylen) : (chk.rsz * xlen);
        for(int n=0; n < rounds; n++)</pre>
            fn(r, x, y, roundlen);
            r = (uint8_t *)r + radv;
            x = (uint8_t *)x + xadv;
            y = (uint8_t *)y + yadv;
        if(extra > 0)
            fn(r, x, y, extra);
            // vm_warning(vm, "non-integral recycling");
        }
    }
    else // since rlen is correct, and arith_storage does the right thing
        fn(r, x, y, rlen);
    vm_release(vm, 2);
    return robj;
}
bool check_unary(unop_chk_t *chk, rtype_t *xt, bool pred)
{
    *chk = (unop_chk_t) {
        .vec = rtype_is_container(xt),
        .arr = rtype_is_array(xt),
    rtype_t *xet = chk->vec ? xt->elt : xt;
    if(!rtype_is_scalar(xet))
        return false;
   rtype_t *etyp = pred ? r_type_boolean : xet;
    if(chk->arr)
        chk->typ = rarr_type_create(etyp);
    else if(chk->vec)
        chk->typ = rvec_type_create(etyp);
        chk->typ = etyp;
    chk->code = rscal_code(xet);
    return true;
static void copy_ancilla_unary(bool arr, robject_t *robj, robject_t *xobj)
    if(arr)
```

```
copy_shape(robj, xobj);
   if(is_named(xobj))
       copy_names(robj, xobj);
}
robject_t *arith_unary(vm_ctx_t *vm, unop_fntab fntab, bool pred,
                       robject_t *xobj)
{
   rtype_t *xt = r_typeof(xobj);
   unop_chk_t chk = { 0 };
    if(!check_unary(&chk, xt, pred))
        vm_error(vm, "arith_unary", "incompatible argument type.");
   rtype_t *rt = vm_retain(vm, chk.typ);
   unop_fn fn = dispatch_unary(&chk, fntab);
   if(!fn)
        vm_error(vm, "arith_unary", "operation not supported.");
   int len = arith_length(xobj);
   robject_t *robj = vm_retain(vm, arith_create(rt, len));
   void *x = arith_storage(xobj), *r = arith_storage(robj);
   copy_ancilla_unary(chk.arr, robj, xobj);
   fn(r, x, len);
   vm_release(vm, 2);
   return robj;
}
bool check_reduce(reduce_chk_t *chk, rtype_t *xt)
   *chk = (reduce_chk_t) {
       .vec = rtype_is_container(xt),
   };
   rtype_t *xet = chk->vec ? xt->elt : xt;
   if(!rtype_is_scalar(xet))
       return false;
    chk->typ = xet;
   chk->code = rscal_code(xet);
   return true;
}
robject_t *arith_reduce(vm_ctx_t *vm, reduce_fntab fntab, robject_t *xobj)
   rtype_t *xt = r_typeof(xobj);
   reduce_chk_t chk = { 0 };
   if(!check_reduce(&chk, xt))
        vm_error(vm, "arith_reduce", "incompatible argument type.");
    if(!chk.vec)
       return xobj;
   int len = arith_length(xobj);
   rtype_t *rt = vm_retain(vm, chk.typ);
   unop_fn fn = dispatch_reduce(&chk, fntab);
```

```
if(!fn)
       vm_error(vm, "arith_reduce", "operation not supported.");
    robject_t *robj = vm_retain(vm, r_box_create(rt));
    void *x = arith_storage(xobj), *r = arith_storage(robj);
    fn(r, x, len);
    vm_release(vm, 2);
   return robj;
}
// convert a vector of objects to a single scalar type
static inline void vec_conv_generic(rtype_t *to, void *dest, void *src, int len)
    assert(rtype_is_scalar(to));
    size_t sz = rtype_eltsz(to);
   uint8_t *ptr = dest;
   robject_t **vs = src;
   fill_na_fn na_fn = fill_na_funcs[rscal_code(to)];
   for(int i=0; i < len; i++, ptr+=sz)</pre>
        robject_t *elt = vs[i];
       rtype_t *from = r_typeof(elt);
        // if the element is of the correct type already, unbox it
        if(from == to)
            r_unbox(ptr, elt);
        // if it's another scalar, convert it
        else if(rtype_is_scalar(from))
            conv_fn fn = conv_funcs[0][rscal_code(from)][rscal_code(to)];
            fn(ptr, BOXPTR(elt), 1);
        // it's not a value, so NA
        else
           na_fn(ptr, sz);
    }
}
bool check_conv(conv_chk_t *chk, rtype_t *from, rtype_t *to)
    *chk = (conv_chk_t) {
        .vec = rtype_is_container(from),
        .arr = rtype_is_array(from),
    };
    assert(rtype_is_scalar(to));
    if(chk->vec)
    {
        chk->rt = chk->arr
            ? rarr_type_create(to)
            : rvec_type_create(to);
        if(rtype_is_scalar(from->elt))
            chk->fromcode = rscal_code(from->elt);
        else
            chk->generic = true;
```

```
}
    else
    {
        chk->rt = to;
        if(!rtype_is_scalar(from))
            return false;
        chk->fromcode = rscal_code(from);
    chk->tocode = rscal_code(to);
   return true;
}
// convert a vector or scalar to have a given scalar (element) type
robject_t *arith_convert(vm_ctx_t *vm, robject_t *obj, rtype_t *to)
   rtype_t *typ = r_typeof(obj);
   rtype_t *from = arith_elt_type(typ);
   conv_chk_t chk = { 0 };
    if(!check_conv(&chk, typ, to))
        vm_error(vm, "arith_convert", "invalid argument type.");
    // XXX we might want to copy instead, because:
    // y = as_double(x); y[...] = crap; shouldn't sometimes fill x with crap?
    if(to == from)
       return obj;
   rtype_t *rt = vm_retain(vm, chk.rt);
   if(!rt)
        vm_error(vm, "arith_convert", "invalid argument type.");
    int len = arith_length(obj);
    robject_t *robj = vm_retain(vm, arith_create(rt, len));
   void *x = arith_storage(obj), *r = arith_storage(robj);
   copy_ancilla_unary(chk.arr, robj, obj);
   if(chk.generic)
        vec_conv_generic(to, r, x, len);
    }
   else
    {
        conv_fn fn = dispatch_conv(&chk);
        if(!fn)
            vm_error(vm, "arith_convert", "invalid argument type.");
        fn(r, x, len);
    }
   vm_release(vm, 2);
   return robj;
}
// now used in both compiler and vm
bool scalar_convert(void *dest, robject_t *obj, rtype_t *to, rtype_t *from)
{
    assert(rtype_is_scalar(from));
   assert(rtype_is_scalar(to));
```

```
assert(to != from);
    conv_fn fn = conv_funcs[0][rscal_code(from)][rscal_code(to)];
    if(!fn)
        return false;
    fn(dest, arith_storage(obj), 1);
    return true;
static inline int idx_func_code(rtype_t *typ)
    return rtype_is_scalar(typ) ? rscal_code(typ) : CODE_ptr;
static inline idx_fn idx_access_func(idx_kind kind, int rcode, int vcode)
    switch(kind)
    case SINGLE:
    case INDEX:
        return (rcode == -1) ?
            int_get_funcs[vcode] : int_set_funcs[vcode][rcode];
    case MASK:
        return (rcode == -1) ?
            bool_get_funcs[vcode] : bool_set_funcs[vcode][rcode];
    case MISSING:
        return (rcode == -1) ?
            missing_get_funcs[vcode] : missing_set_funcs[vcode][rcode];
    return NULL; /* NOTREACHED */
}
static inline void
idx_copy_names(rsymbol_t **rnames, rsymbol_t **vnames, void *i, idx_kind kind,
               int rlen, int vlen, int ilen)
{
    idx_fn fn = idx_access_func(kind, -1, CODE_ptr);
   fn(rnames, vnames, i, rlen, vlen, ilen);
7
static int count_nonfalse(rboolean_t *elts, int n)
    int c = 0;
   for(int i=0; i<n; i++)</pre>
       c += (elts[i] != false);
   return c;
}
// given a mask of length ilen, return the length of the vector that
// will result when it's recycled over a vector of length vlen.
// (note that this may be > vlen, per semantics)
static int mask_result_len(int vlen, rboolean_t *mask, int ilen)
    if(ilen == 0)
       return 0;
    if(ilen >= vlen)
```

```
return count_nonfalse(mask, ilen);
    int sum = 0;
    for(int i=0; i<vlen; i += ilen)</pre>
        sum += count_nonfalse(mask, min(ilen, vlen - i));
    return sum;
}
static inline bool check_index(idx_kind *kind, rtype_t *ityp)
    if(ityp == r_type_nil)
        *kind = MISSING;
    else if(arith_elt_type(ityp) == r_type_int)
        *kind = rtype_is_scalar(ityp) ? SINGLE : INDEX;
    else if(arith_elt_type(ityp) == r_type_boolean)
        *kind = MASK;
    else
        return false; // no other valid index types
    return true;
}
bool check_fetch(idx_chk_t *chk, rtype_t *typ, rtype_t *ityp)
    if(!rtype_is_vector(typ) && !rtype_is_array(typ))
        return false;
    if(!check_index(&chk->kind, ityp))
        return false;
    if(chk->kind == SINGLE)
    {
        // return a single element
        chk->typ = typ->elt;
        // single element of reference type can be returned directly
        if(!rtype_is_scalar(typ->elt))
            chk->takeptr = true;
    else if(rtype_is_array(typ))
        // indexing into an array with a single vectorised subscript
        // always returns a vector result (this differs from R)
        chk->typ = rvec_type_create(typ->elt);
        // (and never returns element names, since arrays don't have them)
    }
    else
    {
        chk \rightarrow typ = typ;
        chk->names = true;
    return true;
}
robject_t *vec_fetch(vm_ctx_t *vm, robject_t *val, robject_t *idx)
    rtype_t *typ = r_typeof(val), *ityp = r_typeof(idx);
    idx_chk_t chk = { 0 };
    if(!check_fetch(&chk, typ, ityp))
        vm_error(vm, "[", "invalid argument type.");
```

```
rtype_t *rt = vm_retain(vm, chk.typ);
    int vlen = arith_length(val), ilen = arith_length(idx);
    bool names = chk.names & is_named(val);
    int rlen = 0;
    if(chk.kind == MASK)
        rlen = mask_result_len(vlen, arith_storage(idx), ilen);
    else if(chk.kind == MISSING)
        rlen = vlen;
    else
       rlen = ilen;
    robject_t *res = NULL;
    void *r;
    if(chk.takeptr)
        r = \&res;
    else
    {
       res = arith_create(rt, rlen);
       r = arith_storage(res);
    vm_retain(vm, res);
    if(rlen > 0)
    {
        idx_fn fn = idx_access_func(chk.kind, -1, idx_func_code(typ->elt));
        void *v = arith_storage(val), *i = arith_storage(idx);
        fn(r, v, i, rlen, vlen, ilen);
        if(names)
            rvector_t *vvec = (rvector_t *)val, *rvec = (rvector_t *)res;
            rvec_add_names(rvec);
            idx_copy_names(rvec_elts(rvec->names), rvec_elts(vvec->names), i,
                           chk.kind, rlen, vlen, ilen);
        }
    }
    vm_release(vm, 2);
   return res;
}
bool check_store(idx_chk_t *chk, rtype_t *typ, rtype_t *ityp, rtype_t *rtyp)
   rtype_t *ret, *et;
    if(!rtype_is_vector(typ) && !rtype_is_array(typ))
        return false;
    if(!check_index(&chk->kind, ityp))
        return false;
    // replacement check
    if(rtype_is_vector(rtyp) && chk->kind != SINGLE) // vector replacement?
        // replace with contents: check against element type
        ret = rtyp->elt;
    else // either replacement not a vector, or single value required
    {
```

```
// replace with value
        ret = rtyp;
        // replace with the reference as a value
        if(!rtype_is_scalar(rtyp))
            chk->takeptr = true;
    }
    \ensuremath{//} container is always a vector
    et = typ->elt;
    // storing into
    if(rtype_is_scalar(et))
    {
        // a vector of scalars
        // FIXME set_xxx_from_ptr is a thing now, maybe use that
        if(!rtype_is_scalar(ret)) // can't accept reference objects
            return false;
    }
    else
    {
        // a vector of objects
        if(!r_subtypep(ret, et)) // can't accept incompatible objects
            return false;
    chk->typ = ret;
    return true;
}
robject_t *vec_store(vm_ctx_t *vm, robject_t *val, robject_t *rpl,
                     robject_t *idx)
{
    rtype_t *typ = r_typeof(val), *ityp = r_typeof(idx), *rtyp = r_typeof(rpl);
    idx_chk_t chk = { 0 };
    void *r;
    if(!check_store(&chk, typ, ityp, rtyp))
        vm_error(vm, "[=", "invalid argument type.");
    // vector[...]
    int vlen = arith_length(val), ilen = arith_length(idx), rlen;
    if(chk.takeptr)
        r = &rpl;
        rlen = 1;
    }
    else
        r = arith_storage(rpl);
        rlen = arith_length(rpl);
    }
    if(rlen > 0 && (ilen > 0 || chk.kind == MISSING))
    {
        idx_fn fn = idx_access_func(chk.kind, idx_func_code(chk.typ),
                                     idx_func_code(typ->elt));
        void *v = arith_storage(val), *i = arith_storage(idx);
        fn(r, v, i, rlen, vlen, ilen);
    }
    return rpl;
```

```
}
typedef struct extent_s extent_t;
typedef void (*ext_fn)(extent_t *, void *, void *);
typedef struct extent_s
    void *idx;
   int rlen, vlen, ilen;
    ext_fn ext_fn;
    union {
        idx_fn idx_fn;
        fill_na_fn na_fn;
    };
    size_t vsz, rsz;
    extent_t *next;
} extent_t;
static void extent_access(extent_t *ext, void *r, void *v)
    ext->idx_fn(r, v, ext->idx, ext->rlen, ext->vlen, ext->ilen);
#define NEXT(p, q, start, end) ((p+q >= end) ? start : p+q)
static void extent_bool_get(extent_t *ext, void *r, void *v)
   rboolean_t *vi = ext->idx;
    rboolean_t *istart = vi, *iend = vi + ext->ilen;
    uint8_t *vv = v, *vr = r;
    size_t vsz = ext->vsz, rsz = ext->rsz;
    uint8_t *rstart = vr, *rend = vr + ext->rlen * rsz;
    for(int i=0; i<ext->vlen; i++)
    {
        rboolean_t b = *vi;
        vi = NEXT1(vi, istart, iend);
        if(b != false)
        {
            if(boolean_na(b))
                ext->na_fn(vr, rsz);
            else
               ext->next->ext_fn(ext->next, vr, vv);
            vr = NEXT(vr, rsz, rstart, rend);
        }
        vv += vsz;
    if(ext->vlen < ext->ilen)
        ext->na_fn(vr, rend - vr);
}
static void extent_int_get(extent_t *ext, void *r, void *v)
   rint_t *vi = ext->idx;
    uint8_t *vv = v, *vr = r;
    size_t vsz = ext->vsz, rsz = ext->rsz;
```

```
for(int i=0; i<ext->ilen; i++)
       rint_t j = vi[i];
        if(int_na(j) || j > ext->vlen || j <= 0)</pre>
            ext->na_fn(vr, rsz);
        else
            ext->next->ext_fn(ext->next, vr, vv + (j-1) * vsz);
        vr += rsz;
   }
}
static void extent_missing_get_set(extent_t *ext, void *r, void *v)
   uint8_t *vv = v, *vr = r;
   size_t vsz = ext->vsz, rsz = ext->rsz;
   for(int i=0; i<ext->vlen; i++)
       ext->next->ext_fn(ext->next, vr, vv);
       vr += rsz;
       vv += vsz;
   }
}
static void extent_bool_set(extent_t *ext, void *r, void *v)
   rboolean_t *vi = ext->idx;
   rboolean_t *istart = vi, *iend = vi + ext->ilen;
   uint8_t *vv = v, *vr = r;
   size_t vsz = ext->vsz, rsz = ext->rsz;
   for(int i=0; i<ext->vlen; i++)
    {
       rboolean_t b = *vi;
       vi = NEXT1(vi, istart, iend);
       if(b == true)
            ext->next->ext_fn(ext->next, vr, vv);
            vr += rsz;
       vv += vsz;
   }
}
static void extent_int_set(extent_t *ext, void *r, void *v)
   rint_t *vi = ext->idx;
   uint8_t *vv = v, *vr = r;
   size_t vsz = ext->vsz, rsz = ext->rsz;
   for(int i=0; i<ext->ilen; i++)
    {
       rint_t j = vi[i];
        if(!(int_na(j) || j > ext->vlen || j <= 0))</pre>
        {
            ext->next->ext_fn(ext->next, vr, vv + (j-1) * vsz);
            vr += rsz;
```

```
}
   }
}
static ext_fn idx_ext_func(idx_kind kind, bool isset)
    switch(kind)
    {
    case SINGLE:
    case INDEX:
        return isset ? extent_int_set : extent_int_get;
    case MASK:
       return isset ? extent_bool_set : extent_bool_get;
    case MISSING:
       return extent_missing_get_set;
    return NULL; /* NOTREACHED */
}
static inline int idx_dim(int vlen, robject_t *idx, idx_kind kind)
{
    switch(kind)
    case SINGLE:
       return 1;
    case INDEX:
       return arith_length(idx);
    case MASK:
       return mask_result_len(vlen, arith_storage(idx), arith_length(idx));
    case MISSING:
       return vlen;
    return 0; /* NOTREACHED */
}
static rtype_t **idx_types(rtype_t **ityps, robject_t *idx,
                           robject_t **idxs, int nidxs)
{
    ityps[0] = r_typeof(idx);
    for(int i=1; i < nidxs+1; i++)</pre>
        ityps[i] = r_typeof(idxs[i-1]);
    return ityps;
}
static int idx_extents(extent_t *exts, idx_kind *kinds,
                       robject_t *idx, robject_t **idxs, int nidxs,
                       size_t rsz, size_t vsz,
                       int *rshape, int *vshape,
                       int rcode, int vcode, bool isscal)
{
    extent_t *ext = &exts[0];
    extent_t *next = NULL;
    robject_t **pidx = &idx;
    int rlen = 1;
    int j = 0;
    int rdim;
    bool isset = (rcode != -1);
```

```
for(int i=0; i<nidxs+1; ext++, i++)</pre>
        int kind = kinds[i];
       rdim = idx_dim(vshape[i], *pidx, kind);
        if(kind != SINGLE)
            rshape[j++] = rdim;
        rlen *= rdim;
        *ext = (extent_t) {
            // scalar replacement: correct length for recycling in *_set_*_from_*
            .rlen = isscal ? 1 : rdim,
            .vlen = vshape[i],
            .ilen = arith_length(*pidx),
            .idx = arith_storage(*pidx),
        };
        if(i == 0)
        {
            ext->ext_fn = extent_access;
            ext->idx_fn = idx_access_func(kind, rcode, vcode);
        }
        else
        {
            ext->ext_fn = idx_ext_func(kind, isset);
            ext->na_fn = fill_na_funcs[vcode];
            // scalar replacement: do not advance replacement pointer in extent_set_*
            ext->rsz = isscal ? 0 : rsz;
            ext->vsz = vsz;
            ext->next = next;
        }
        rsz *= rdim;
       vsz *= vshape[i];
       next = ext;
        // pidx may get to &idx[nidxs], but the loop ends before it's dereferenced
       pidx = &idxs[i];
   }
   return rlen;
}
// copy dimnames from val (as indexed by exts) to res, if the latter is
// a vector or array
static void idx_extent_names(robject_t *res, robject_t *val, int rank,
                             extent_t *exts, idx_kind *kinds, int nexts,
                             int *rshape, int *vshape)
{
   rarray_t *arr = (rarray_t *)val;
   if(rank == 1)
        // to element names
        rvector_t *rvec = (rvector_t *)res;
        rvec_add_names(rvec);
        // FIXME split into function
        rvector_t **dimnames = rvec_elts(arr->dimnames);
        for(int i=0; i<nexts; i++)</pre>
```

```
if(kinds[i] != SINGLE && dimnames[i])
                // there will be exactly one of these
                idx_copy_names(rvec_elts(rvec->names), rvec_elts(dimnames[i]),
                                exts[i].idx, kinds[i], rshape[0], vshape[i],
                                exts[i].ilen);
            }
        }
    }
    else if(rank > 1)
        // to dimnames
        rarray_t *rarr = (rarray_t *)res;
        rarr_add_names(rarr);
        // FIXME split into function
        rvector_t **srcnames = rvec_elts(arr->dimnames);
        rvector_t **destnames = rvec_elts(rarr->dimnames);
        int j = 0;
        for(int i=0; i<nexts; i++)</pre>
            if(kinds[i] != SINGLE)
            {
                if(srcnames[i])
                    destnames[j] = rvec_create(r_type_vec_symbol, rshape[j]);
                    idx_copy_names(rvec_elts(destnames[j]), rvec_elts(srcnames[i]),
                                    exts[i].idx, kinds[i], rshape[j], vshape[i],
                                    exts[i].ilen);
                j++;
           }
       }
   }
}
bool check_arr_fetch(arr_chk_t *achk, rtype_t *typ, rtype_t **ityps,
                     int ntyps)
    int rank = 0;
    if(!rtype_is_array(typ))
        return false;
    for(int i=0; i<ntyps; i++)</pre>
        if(!check_index(&achk->kinds[i], ityps[i]))
            return false;
        if(achk->kinds[i] != SINGLE)
            rank++;
    }
    achk->rank = rank;
    if(rank == 0) // single element
    {
        achk->typ = typ->elt;
        if(!rtype_is_scalar(typ->elt))
            achk->takeptr = true;
```

```
else if(rank == 1) // vector
       achk->typ = rvec_type_create(typ->elt);
    else // array
       achk->typ = typ;
   return true;
}
robject_t *arr_fetch(vm_ctx_t *vm, robject_t *val, robject_t *idx,
                     robject_t **idxs, int nidxs)
   rtype_t *typ = r_typeof(val);
   robject_t *res = NULL;
    arr_chk_t achk = { .kinds = alloca((nidxs+1) * sizeof(idx_kind)) };
   rtype_t **ityps = idx_types(alloca((nidxs+1) * sizeof(rtype_t *)),
                                idx, idxs, nidxs);
   if(!check_arr_fetch(&achk, typ, ityps, nidxs+1))
        vm_error(vm, "[", "invalid argument type.");
   rarray_t *arr = (rarray_t *)val;
    int vrank = arr->rank;
    int *vshape = arr->shape;
   if(vrank > nidxs+1)
       vm_error(vm, "[", "too few indices.");
    else if(vrank < nidxs+1)</pre>
       vm_error(vm, "[", "too many indices.");
   rtype_t *rtyp = vm_retain(vm, achk.typ);
    int rrank = achk.rank;
   int *rshape = alloca(rrank * sizeof(int));
    extent_t *exts = alloca((nidxs+1) * sizeof(extent_t));
    int rlen = idx_extents(exts, achk.kinds, idx, idxs, nidxs,
                           rtype_eltsz(typ->elt), rtype_eltsz(typ->elt),
                           rshape, vshape,
                           -1, idx_func_code(typ->elt), false);
   void *r = NULL;
   void *v = arith_storage(val);
    if(achk.takeptr)
       r = \&res;
    else
       res = arith_create(rtyp, rlen);
       r = arith_storage(res);
       if(rrank > 1)
            rarr_set_shape((rarray_t *)res, rrank, rshape);
   vm_retain(vm, res);
    if(rlen > 0)
        extent_t *ext = &exts[nidxs];
        ext->ext_fn(ext, r, v);
    // copy dimnames
```

```
if(is_named(val))
        idx_extent_names(res, val, rrank, exts, achk.kinds,
                        nidxs+1, rshape, vshape);
    vm_release(vm, 2);
    return res;
}
bool check_arr_store(arr_chk_t *achk, rtype_t *typ, rtype_t **ityps,
                     int ntyps, rtype_t *rtyp)
{
    rtype_t *ret, *et;
    int rank = 0;
    if(!rtype_is_array(typ))
        return false;
    for(int i=0; i<ntyps; i++)</pre>
        if(!check_index(&achk->kinds[i], ityps[i]))
            return false;
        if(achk->kinds[i] != SINGLE)
           rank++;
    }
    achk->rank = rank;
    if(rtype_is_container(rtyp) && rank > 0)
        // replace with contents: check against element type
        ret = rtyp->elt;
    }
    else // either replacement not a container, or single value required
        // replace with value
        ret = rtyp;
        // replace with the reference as a value
        if(!rtype_is_scalar(rtyp))
            achk->takeptr = true;
    }
    // container is always an array
    et = typ->elt;
    // element types
    if(rtype_is_scalar(et))
    {
        // can't accept reference objects
        if(!rtype_is_scalar(ret))
            return false;
    }
    else
        // can't accept incompatible objects
        if(!r_subtypep(ret, et))
            return false;
    achk->typ = ret;
    return true;
robject_t *arr_store(vm_ctx_t *vm, robject_t *val, robject_t *rpl,
                     robject_t *idx, robject_t **idxs, int nidxs)
{
```

```
rtype_t *typ = r_typeof(val), *rtyp = r_typeof(rpl);
    arr_chk_t achk = { .kinds = alloca((nidxs+1) * sizeof(idx_kind)) };
   rtype_t **ityps = idx_types(alloca((nidxs+1) * sizeof(rtype_t *)),
                                idx, idxs, nidxs);
    if(!check_arr_store(&achk, typ, ityps, nidxs+1, rtyp))
        vm_error(vm, "[=", "invalid argument type.");
   rarray_t *arr = (rarray_t *)val;
    int vrank = arr->rank;
   int *vshape = arr->shape;
    if(vrank > nidxs+1)
        vm_error(vm, "[=", "too few indices.");
    else if(vrank < nidxs+1)</pre>
        vm_error(vm, "[=", "too many indices.");
    // 'requirements' that rpl must conform to
   int rrank = achk.rank;
   int *rshape = alloca(rrank * sizeof(int));
    extent_t *exts = alloca((nidxs+1) * sizeof(extent_t));
    int rlen = idx_extents(exts, achk.kinds, idx, idxs, nidxs,
                           rtype_eltsz(achk.typ), rtype_eltsz(typ->elt),
                           rshape, vshape,
                           idx_func_code(achk.typ), idx_func_code(typ->elt),
                           rtype_is_scalar(rtyp));
   if(rtype_is_vector(rtyp))
    {
        rvector_t *rvec = (rvector_t *)rpl;
        if(rvec_len(rvec) < rlen)</pre>
            vm_error(vm, "[=", "non-conforming replacement vector.");
    }
   else if(rtype_is_array(rtyp))
       rarray_t *rarr = (rarray_t *)rpl;
        if(!rarr_shape_conform(rrank, rarr->rank, rshape, rarr->shape))
            vm_error(vm, "[=", "non-conforming replacement array.");
    }
   void *r = NULL;
   void *v = arith_storage(val);
    if(achk.takeptr)
       r = &rpl;
    else
       r = arith_storage(rpl);
   if(rlen > 0)
    {
        extent_t *ext = &exts[nidxs];
        ext->ext_fn(ext, r, v);
   return rpl;
//}}
```

arith/builtins.c

```
\langle arith/builtins.c \rangle \equiv
 #include "global.h"
 #include "ir.h"
 #include "rt/builtin.h"
 #include "vm/vm_ops.h"
 #include "arith/scalar.h"
 #include "arith/vec.h"
 #include "arith/dispatch.h"
 static void builtin_binary(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
     def_args {
         robject_t *x, *y;
      } end_args(args, a);
      const arith_builtin_t *ab = fn->cbi->data;
      robject_t *res = arith_binary(vm, ab->fntab, ab->is_pred, a->x, a->y);
      builtin_return(r, robject_t *, res);
 }
 static void builtin_unary(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
      def_args {
          robject_t *x;
      } end_args(args, a);
      const arith_builtin_t *ab = fn->cbi->data;
     robject_t *res = arith_unary(vm, ab->fntab, ab->is_pred, a->x);
     builtin_return(r, robject_t *, res);
 static void builtin_reduce(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
     def_args {
         robject_t *x;
      } end_args(args, a);
      const arith_builtin_t *ab = fn->cbi->data;
      robject_t *res = arith_reduce(vm, ab->fntab, a->x);
      builtin_return(r, robject_t *, res);
 }
 static void builtin_convert(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
 {
      def_args {
         robject_t *x;
      } end_args(args, a);
      rtype_t *etyp = *(rtype_t **)fn->cbi->data; // note: not an arith_builtin_t
      robject_t *res = arith_convert(vm, a->x, etyp);
     builtin_return(r, robject_t *, res);
 static void builtin_coerce(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
 {
      def_args {
          robject_t *x;
          rtype_t *etyp;
      } end_args(args, a);
      if(!rtype_is_scalar(a->etyp))
```

```
vm_error(vm, "as_type", "invalid argument type.");
   robject_t *res = arith_convert(vm, a->x, a->etyp);
   builtin_return(r, robject_t *, res);
}
static inline bool fill_scal(rbuf_t *dest, robject_t *val, rtype_t *etyp, rtype_t *typ)
   rvalue_union_t temp;
   size_t esz = rtype_eltsz(etyp);
   int len = dest->length;
   uint8_t *ptr = dest->elts;
   // arithmetic conversion if needed
   if(typ == etyp)
        r_unbox(&temp, val);
   else if(!rtype_is_scalar(typ) || !scalar_convert(&temp, val, etyp, typ))
       return false;
   for(int i=0; i<len; i++, ptr+=esz)</pre>
       memcpy(ptr, &temp, esz);
   return true;
}
static inline bool fill_ptr(rbuf_t *dest, robject_t *val, rtype_t *etyp, rtype_t *typ)
   robject_t **ptr = dest->elts;
   int len = dest->length;
   if(!r_subtypep(typ, etyp))
       return false;
    for(int i=0; i<len; i++, ptr++)</pre>
        *ptr = val;
   return true;
}
static inline bool fill_buf(rbuf_t *dest, robject_t *val)
{
   rtype_t *etyp = elt_type(dest);
   rtype_t *typ = r_typeof(val);
   if(rtype_is_scalar(etyp))
       return fill_scal(dest, val, etyp, typ);
   return fill_ptr(dest, val, etyp, typ);
}
static inline void fill_buf_from(rbuf_t *dest, rbuf_t *src)
    copy_recycle(dest->elts, src->elts, dest->length, src->length,
                 rtype_eltsz(elt_type(dest)));
}
// create a vector of given element type and length, retaining both
// (i.e. +2 release)
static rvector_t *safe_vec_create(vm_ctx_t *vm, rtype_t *etyp, unsigned length)
   rtype_t *vtyp = vm_retain(vm, rvec_type_create(etyp));
   return vm_retain(vm, rvec_create(vtyp, length));
}
```

```
static rarray_t *safe_arr_create(vm_ctx_t *vm, rtype_t *etyp, int rank, int *dims)
   rtype_t *atyp = vm_retain(vm, rarr_type_create(etyp));
   return vm_retain(vm, rarr_create(atyp, rank, dims));
// extract the types of each pointer in elts[nelts]
// return the least(ish!) common supertype of them all
static rtype_t *examine_args(void **elts, int nelts)
{
    rtype_t *etyp = NULL;
   for(int i=0; i<nelts; i++)</pre>
        rtype_t *typ = r_typeof(elts[i]);
        if(!etyp)
            etyp = typ;
        else
            etyp = r_common_type(typ, etyp);
    return etyp ? etyp : r_type_object;
}
// mimicking R would mean recursive descent into member vectors during
// element type determination and copying. we don't do this.
// creates a new vector containing the elements of the rest vector.
// if they're all the same type (or are scalars so can be converted),
// the result has that element type.
static void builtin_vec(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
{
   def_args {
        rvector_t *rest;
    } end_args(args, a);
    if(!a->rest)
    {
        builtin_return(r, robject_t *, NULL);
        return;
   rvector_t *src = a->rest;
    rtype_t *etyp = examine_args(rvec_elts(src), rvec_len(src));
    // XXX move to arith.c??
    if(rtype_is_scalar(etyp))
        robject_t *res = arith_convert(vm, (robject_t *)src, etyp);
        builtin_return(r, robject_t *, res);
    else
    {
        rvector_t *dest = safe_vec_create(vm, etyp, rvec_len(src));
        memcpy(rvec_elts(dest), rvec_elts(src), rvec_len(src) * sizeof(robject_t *));
        // XXX don't bother, rest list construction does the wrong thing
        // with named args, due to two-phase arg matching
        if(is_named((robject_t *)src))
            copy_names((robject_t *)dest, (robject_t *)src);
        builtin_return(r, rvector_t *, dest);
        vm_release(vm, 2);
```

```
}
static rvector_t *typed_vec_init(vm_ctx_t *vm, rtype_t *etyp, int len, robject_t *val)
   rvector_t *vec = safe_vec_create(vm, etyp, len);
   if(!val)
        memset(rvec_elts(vec), 0, len * rtype_eltsz(etyp));
    else if(!fill_buf(&vec->buf, val))
       vm_error(vm, "vec_create", "invalid argument type.");
   vm_release(vm, 2);
   return vec;
}
// XXX rationalise these; we want a minimal, orthogonal, useful set of
// constructors
static void builtin_typed_vec(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
{
    def_args {
       argbits_t argbits;
       rint_t len;
       robject_t *val; // optional
    } end_args(args, a);
    argbits_t ab = a->argbits; // TEMP
    if(a->len < 0 \mid \mid a->len == rint_na)
        vm_error(vm, "typed_vec", "invalid argument.");
   rtype_t *etyp = *(rtype_t **)fn->cbi->data;
    rvector_t *res = typed_vec_init(vm, etyp, a->len, !missingp(ab, 1) ? a->val : NULL);
   builtin_return(r, rvector_t *, res);
}
static void builtin_vector(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
{
    def_args {
        argbits_t argbits;
       rtype_t *etyp;
       rint_t len;
       robject_t *val; // optional
    } end_args(args, a);
    argbits_t ab = a->argbits; // TEMP
    if(a->len < 0 || a->len == rint_na)
        vm_error(vm, "vector", "invalid argument.");
   rvector_t *res = typed_vec_init(vm, a->etyp, a->len, !missingp(ab, 2) ? a->val : NULL);
   builtin_return(r, rvector_t *, res);
}
// mimicks some R behaviour:
// length(anything except a container) = 1
// length(NULL) = 0
static void builtin_length(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
{
   def_args {
       robject_t *x;
```

```
} end_args(args, a);
   rint_t len;
    if(a->x)
        rtype_t *typ = r_typeof(a->x);
        if(rtype_is_container(typ))
            len = ((rbuf_t *)a->x)->length;
        else
            len = 1;
    }
    else
        len = 0;
    builtin_return(r, rint_t, len);
}
static void builtin_names_get(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
{
    def_args {
       rvector_t *x;
    } end_args(args, a);
    // names(nil) is nil
   rvector_t *res = a->x ? a->x->names : NULL;
    builtin_return(r, rvector_t *, res);
static void builtin_names_set(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
    def_args {
       rvector_t *x;
        rvector_t *names;
    } end_args(args, a);
    if(!a->x)
        vm_error(vm, "names=", "invalid argument.");
    if(a->names)
        assert(r_typeof(a->names) == r_type_vec_symbol);
        if(rvec_len(a->names) != rvec_len(a->x))
            vm_error(vm, "names=", "invalid argument length.");
    a->x->names = a->names;
   builtin_return(r, rvector_t *, a->names);
}
static rvector_t *copy_dimnames(vm_ctx_t *vm, rvector_t *src)
   int len = rvec_len(src);
   rvector_t **srcnames = rvec_elts(src);
    rvector_t *dest = safe_vec_create(vm, r_type_vec_symbol, len);
    rvector_t **destnames = rvec_elts(dest);
   memcpy(destnames, srcnames, sizeof(rvector_t *) * len);
    vm_release(vm, 2);
   return dest;
static void builtin_dimnames_get(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
{
```

```
def_args {
       rarray_t *x;
    } end_args(args, a);
    // mutation could break the shape invariant! must take a copy.
   rvector_t *res = NULL;
    if(a->x && a->x->dimnames)
        res = copy_dimnames(vm, a->x->dimnames);
   builtin_return(r, rvector_t *, res);
static void builtin_dimnames_set(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
    def_args {
       rarray_t *x;
        rvector_t *dimnames;
    } end_args(args, a);
    if(!a->x)
        vm_error(vm, "dimnames=", "invalid argument.");
    if(a->dimnames)
        if(r_typeof(a->dimnames) != r_type_vec_names)
            vm_error(vm, "dimnames=", "invalid argument type.");
        if(rvec_len(a->dimnames) != a->x->rank)
            vm_error(vm, "dimnames=", "invalid argument length.");
        rvector_t **dimnames = rvec_elts(a->dimnames);
        for(int i=0; i<a->x->rank; i++)
        {
            if(dimnames[i])
                if(r_typeof(dimnames[i]) != r_type_vec_symbol)
                    vm_error(vm, "dimnames=", "invalid argument type.");
                if(rvec_len(dimnames[i]) != a->x->shape[i])
                    vm_error(vm, "dimnames=", "invalid argument length.");
            }
        }
    a->x->dimnames = copy_dimnames(vm, a->dimnames);
   builtin_return(r, rvector_t *, a->dimnames);
static void builtin_fetch(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
    def_args {
       argbits_t argbits;
        robject_t *obj;
       robject_t *idx; // optional
        rvector_t *rest; // optional
    } end_args(args, a);
    robject_t *res;
    // not an R-ism: nil[anything] is an error
    if(!a->obj)
        vm_error(vm, "[", "invalid subscript of nil.");
    if(!a->rest)
        res = vec_fetch(vm, a->obj, a->idx);
    else
```

```
res = arr_fetch(vm, a->obj, a->idx,
                        rvec_elts(a->rest), rvec_len(a->rest));
    builtin_return(r, robject_t *, res);
}
static void builtin_store(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
    def_args {
        argbits_t argbits;
       robject_t *obj;
       robject_t *val;
       robject_t *idx; // optional
       rvector_t *rest; // optional
    } end_args(args, a);
    robject_t *res;
    // R-ism: nil[anything] = anything is an error
    if(!a->obj)
        vm_error(vm, "[=", "invalid assignment to nil.");
    if(!a->rest)
       res = vec_store(vm, a->obj, a->val, a->idx);
    else
        res = arr_store(vm, a->obj, a->val, a->idx,
                        rvec_elts(a->rest), rvec_len(a->rest));
    builtin_return(r, robject_t *, res);
}
// XXX this is not very useful.
static void builtin_vec_cat(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
{
   def_args {
       rvector_t *rest;
    } end_args(args, a);
    int len = 0;
    rvector_t *rest = a->rest;
    rtype_t *etyp = NULL;
   if(!rest)
        builtin_return(r, robject_t *, NULL);
        return;
    }
   rvector_t **vecs = rvec_elts(rest);
    int nrest = rvec_len(rest);
   for(int i=0; i < nrest; i++)</pre>
        if(!rtype_is_vector(r_typeof(vecs[i])))
            vm_error(vm, "cat", "can't cat things which aren't vectors.");
        if(i == 0)
            etyp = elt_type(&vecs[i]->buf);
        else if(etyp != elt_type(&vecs[i]->buf))
            vm_error(vm, "cat", "all arguments must be of the same type.");
        len += rvec_len(vecs[i]);
    }
   rvector_t *dest = safe_vec_create(vm, etyp, len);
```

```
uint8_t *destptr = rvec_elts(dest);
    for(int i=0; i < nrest; i++)</pre>
        int alen = rvec_len(vecs[i]);
        size_t sz = alen * rtype_eltsz(etyp);
        memcpy(destptr, rvec_elts(vecs[i]), sz);
        destptr += sz;
    }
    // XXX concatenate names
    builtin_return(r, rvector_t *, dest);
    vm_release(vm, 2);
}
static void builtin_runif(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
    def_args {
        argbits_t argbits;
        rint_t n;
        rdouble_t min, max; // both optional
    } end_args(args, a);
    argbits_t ab = a->argbits; // TEMP
    if(isnan(a->min) \mid | isnan(a->max) \mid | a->n < 0
       || a->n == rint_na || a->max < a->min)
        vm_error(vm, "runif", "invalid argument.");
    rvector_t *res = safe_vec_create(vm, r_type_double, a->n);
    rdouble_t *vals = rvec_elts(res);
    if(missingp(ab, 1))
        a->min = 0;
    if(missingp(ab, 2))
        a \rightarrow max = 1;
    rdouble_t span = a->max - a->min;
    for(int i=0; i<a->n; i++)
        vals[i] = unif_rand() * span + a->min;
    builtin_return(r, rvector_t *, res);
    vm_release(vm, 2);
}
static void builtin_rnorm(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
    def_args {
        argbits_t argbits;
        rint_t n;
        rdouble_t mu, sigma; // both optional
    } end_args(args, a);
    argbits_t ab = a->argbits; // TEMP
    if(a\rightarrow n < 0 \mid | a\rightarrow n == rint_na)
        vm_error(vm, "rnorm", "invalid argument.");
    rvector_t *res = safe_vec_create(vm, r_type_double, a->n);
    rdouble_t *vals = rvec_elts(res);
```

```
if(missingp(ab, 1))
        a->mu = 0;
    if(missingp(ab, 2))
        a \rightarrow sigma = 1;
    for(int i=0; i<a->n; i++)
        vals[i] = rnorm(a->mu, a->sigma);
    builtin_return(r, rvector_t *, res);
    vm_release(vm, 2);
}
static void builtin_range(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
    def_args {
        rint_t from, to;
    } end_args(args, a);
    if(a->from == rint_na || a->to == rint_na)
        vm_error(vm, ":", "invalid argument.");
    int step = (a-)from <= a-)to) ? 1 : -1;
    int len = abs(a->to - a->from) + 1;
    rvector_t *res = safe_vec_create(vm, r_type_int, len);
   rint_t *vals = rvec_elts(res);
    for(int i = a->from; i != a->to + step; i += step, vals++)
        *vals = i;
    builtin_return(r, rvector_t *, res);
    vm_release(vm, 2);
static rarray_t *typed_arr_init(vm_ctx_t *vm, rtype_t *etyp, int rank,
                                int *dims, robject_t *val)
{
    rarray_t *arr = safe_arr_create(vm, etyp, rank, dims);
    rtype_t *typ = r_typeof(val);
    // all-bits-zero by default
    if(!val)
        memset(rvec_elts(arr), 0, rvec_len(arr) * rtype_eltsz(etyp));
    // vector value and its elements are the right type; recycle along length if needed
    else if(rtype_is_container(typ) && typ->elt == etyp)
        fill_buf_from(&arr->buf, (rbuf_t *)val);
    // single value, initialise all cells with it
    else if(!fill_buf(&arr->buf, val))
        vm_error(vm, "array", "invalid argument type.");
    vm_release(vm, 2);
    return arr;
}
static void builtin_array(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
    def_args {
        argbits_t argbits;
        rtype_t *etyp;
        rvector_t *dims;
```

```
robject_t *val; // optional
    } end_args(args, a);
    argbits_t ab = a->argbits; // TEMP
    if(!a->dims || !a->etyp)
        vm_error(vm, "array", "invalid argument.");
    // rank is length(dims)
    int rank = rvec_len(a->dims),
        *dims = rvec_elts(a->dims);
    // elements must be nonnegative and not NA
    for(int i=0; i < rank; i++)</pre>
        if(dims[i] < 0 || dims[i] == rint_na)</pre>
            vm_error(vm, "array", "invalid dimension.");
   rarray_t *res = typed_arr_init(vm, a->etyp, rank, dims,
                                   !missingp(ab, 2) ? a->val : NULL);
   builtin_return(r, rarray_t *, res);
}
static void builtin_shape(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
   def_args {
       robject_t *x;
   } end_args(args, a);
   rtype_t *type = r_typeof(a->x);
   rvector_t *res = NULL;
   if(rtype_is_array(type))
    {
        rarray_t *arr = (rarray_t *)a->x;
       res = safe_vec_create(vm, r_type_int, arr->rank);
        memcpy(rvec_elts(res), arr->shape, arr->rank * sizeof(int));
       vm_release(vm, 2);
    }
    else
    {
        int len;
        if(rtype_is_vector(type))
            len = ((rbuf_t *)a->x)->length;
        else
            len = 1;
        res = safe_vec_create(vm, r_type_int, 1);
        *(int *)rvec_elts(res) = len;
        vm_release(vm, 2);
    }
   builtin_return(r, rvector_t*, res);
}
static void builtin_rank(vm_ctx_t *vm, rbuiltin_t *fn, uint8_t *args, void *r)
    def_args {
       robject_t *x;
    } end_args(args, a);
```

```
rtype_t *type = r_typeof(a->x);
    rint_t rank;
    if(rtype_is_array(type))
       rank = ((rarray_t *)a->x)->rank;
    else if(rtype_is_vector(type))
       rank = 1;
    else
       rank = 0;
    builtin_return(r, rint_t, rank);
}
const static builtin_init_arg_t binary_args[] =
   init_args({ "x", &r_type_object },
              { "y", &r_type_object });
const static builtin_init_arg_t atan2_args[] =
    init_args({ "y", &r_type_object },
              { "x", &r_type_object });
const static builtin_init_arg_t unary_args[] =
    init_args({ "x", &r_type_object });
const static builtin_init_arg_t create_args[] =
    init_args({ "len", &r_type_int, false },
              { "val", &r_type_object, true });
const extern builtin_ops_t binary_ops, unary_ops, binary_boolean_ops,
    unary_boolean_ops, conv_ops, reduce_ops, fetch_ops, store_ops,
    vector_ops, array_ops, pure_ops;
#define init_arith(ft, p, c) \
    &(arith_builtin_t) { .fntab = ft, .is_pred = p, .op = c }
#define _defarith(n, f, a, o, ft, p, c) \
    { init_builtin(n, f, &r_type_object, a), \
      .cbi = init_cbi(o, n, init_arith(ft, p, c)) }
#define defoper(name, op, pred, opcode, n, k...) \
    _defarith(name, builtin_##n##ary, n##ary_args, &n##ary##k##_ops, \
              PASTE2(op, _funcs), pred, opcode)
// these have cbuiltins for type recovery, but don't generate VM instructions
#define defbinary(name, op, pred) defoper(name, op, pred, 0, bin)
#define defunary(name, op, pred) defoper(name, op, pred, 0, un)
// these have instructions to go with them
#define defbin_ins(name, op, pred) defoper(name, op, pred, ENUM(op), bin)
#define defuna_ins(name, op, pred) defoper(name, op, pred, ENUM(op), un)
// these ones are never predicates, but need a different .cbi.ops
#define defbin_bool(name, op) defoper(name, op, false, ENUM(op, boolean), bin, _boolean)
#define defuna_bool(name, op) defoper(name, op, false, ENUM(op, boolean), un, _boolean)
// the function and the reduction operator have different names
#define defreduce(name, op, pred) \
    _defarith(name, builtin_reduce, unary_args, &reduce_ops, \
              PASTE3(reduce_, op, _funcs), pred, 0)
// .cbi is needed for these; the builtin_ function looks at .cbi.data to
// know what type it was called at
```

```
// converts its input to have element type t
#define defconv(n, t) \
    { init_builtin(n, builtin_convert, &r_type_object, unary_args), \
      .cbi = init_cbi(&conv_ops, n, &RTYPE(t)) }
// makes a new vector of type t
#define defcreate(n, t) \
    { init_builtin(n, builtin_typed_vec, &r_type_vec_##t, create_args), \
      .cbi = init_cbi(NULL, n, &RTYPE(t)) }
// FIXME: generate these from the m4 _ops lists
const builtin_init_t arith_builtins[] = {
   defbin_ins("+", add, false),
   defbin_ins("-", sub, false),
   defbin_ins("*", mul, false),
   defbin_ins("/", div, false),
   defbin_bool("&", and),
   defbin_bool("|", or),
   defbin_ins("==", eql, true),
   defbin_ins("!=", neq, true),
   defbin_ins("<", lth, true),</pre>
   defbin_ins("<=", lte, true),</pre>
    defbin_ins(">", gth, true),
   defbin_ins(">=", gte, true),
   defbin_ins("^", pow, false),
    // because the arguments need to be named "y", "x"...
    _defarith("atan2", builtin_binary, atan2_args,
              &binary_ops, atan2_funcs, false, 0),
    defuna_bool("!", not),
    defuna_ins("0-", neg, false),
    defuna_ins("is_na", is_na, true),
   defunary("sqrt", sqrt, false),
    defunary("sin", sin, false),
    defunary("cos", cos, false),
   defunary("tan", tan, false),
   defunary("asin", asin, false),
   defunary("acos", acos, false),
   defunary("atan", atan, false),
   defunary("log", log, false),
    defunary("exp", exp, false),
    defunary("round", round, false),
   defreduce("any", or, true),
   defreduce("all", and, true),
   defreduce("sum", add, false),
   defreduce("prod", mul, false),
    defconv("as_bool", boolean),
    defconv("as_int", int),
   defconv("as_double", double),
   defcreate("bool", boolean),
    defcreate("int", int),
```

```
defcreate("dbl", double),
defcreate("list", object), // slight abuse of notation
defbuiltin("as_type", builtin_coerce, &r_type_object,
           { "x", &r_type_object },
           { "type", &r_type_type }),
defbuiltin("vec", builtin_vec, &r_type_vector,
           { "...", &r_type_vec_object, true }),
defcbuiltin("vector", builtin_vector, &r_type_vector,
            &vector_ops, NULL,
           { "etyp", &r_type_type, false },
           { "len", &r_type_int, false },
           { "val", &r_type_object, true }),
defcbuiltin("length", builtin_length, &r_type_int,
            &pure_ops, NULL,
           { "x", &r_type_object, false }),
defbuiltin("names", builtin_names_get, &r_type_vec_symbol,
           { "x", &r_type_vector, false }),
defbuiltin("names=", builtin_names_set, &r_type_vec_symbol,
           { "x", &r_type_vector, false },
           { "names", &r_type_vec_symbol, false }),
defbuiltin("dimnames", builtin_dimnames_get, &r_type_vec_names,
           { "x", &r_type_array, false }),
defbuiltin("dimnames=", builtin_dimnames_set, &r_type_vec_names,
           { "x", &r_type_array, false },
           { "dimnames", &r_type_vec_names, false }),
defbuiltin("cat", builtin_vec_cat, &r_type_vector,
           { "...", &r_type_vec_object, true }),
defbuiltin("runif", builtin_runif, &r_type_vec_double,
           { "n", &r_type_int, false },
           { "min", &r_type_double, true },
           { "max", &r_type_double, true }),
defbuiltin("rnorm", builtin_rnorm, &r_type_vec_double,
           { "n", &r_type_int, false },
           { "mu", &r_type_double, true },
           { "sigma", &r_type_double, true }),
defcbuiltin("array", builtin_array, &r_type_array,
            &array_ops, NULL,
           { "etyp", &r_type_type, false },
           { "dims", &r_type_vec_int, false },
           { "val", &r_type_object, true }),
defbuiltin("shape", builtin_shape, &r_type_vec_int,
           { "x", &r_type_object, false }),
defcbuiltin("rank", builtin_rank, &r_type_int,
            &pure_ops, NULL,
           { "x", &r_type_object, false }),
defbuiltin(":", builtin_range, &r_type_vec_int,
           { "from", &r_type_int, false },
           { "to", &r_type_int, false }),
defcbuiltin("[", builtin_fetch, &r_type_object,
            &fetch_ops, NULL,
           { "vec", &r_type_object, false },
           { "i", &r_type_object, true },
           { "...", &r_type_vec_object, true }),
defcbuiltin("[=", builtin_store, &r_type_object,
            &store_ops, NULL,
           { "vec", &r_type_object, false },
           { "val", &r_type_object, false },
```

arith/opt_builtins.c

```
\langle arith/opt\_builtins.c \rangle \equiv
 #include "global.h"
 #include "ir.h"
 #include "opt.h"
 #include "vm/vm_ops.h"
 #include "gen_code.h"
 #include "arith/scalar.h"
 #include "arith/vec.h"
 #include "arith/dispatch.h"
 static void gen_arith(cnode_t *node)
      const arith_builtin_t *ab = node->builtin.bi->data;
      assert(ab->op);
      // OP.type dest, args...
      asm_op_type(ab->op, node->builtin.optype);
      asm_stack(loc_for(node));
      asm_args(&node->builtin.args);
 }
 static void gen_generic(cnode_t *node)
      const arith_builtin_t *ab = node->builtin.bi->data;
      assert(ab->op);
      // OP dest, args...
      asm_op(ab->op);
      asm_stack(loc_for(node));
      asm_args(&node->builtin.args);
 }
 #if 0
 // XXX unused!
 static void gen_width(cnode_t *node)
      assert(!"handled");
      op_code_t op = (op_code_t)(intptr_t)node->builtin.bi->data;
      // OP.width dest, obj, idx
      asm_op_width(op, node->builtin.optype);
      asm_stack(loc_for(node));
      asm_args(&node->builtin.args);
 #endif
 // arith
 static inline cresult
 fold_binary(binop_chk_t *chk, binop_fn fn, cell_t *cell, cell_t *xc, cell_t *yc)
 {
      // constant values available?
      if(opt.opt_constfold && fn && cell_const_obj(xc) && cell_const_obj(yc))
```

```
{
       robject_t *xv = cell_const(xc), *yv = cell_const(yc);
       rvalue_union_t val;
        robject_t *obj;
        // fold operation on constants
        fn(&val, BOXPTR(xv), BOXPTR(yv), 1);
        obj = c_intern(r_box(chk->typ, &val));
        cell_set_const(cell, obj); // sets type
        return CHANGED;
    }
   return SUCCESS;
}
static cresult trans_binary(opt_phase phase, cnode_t *node, cell_t *cell,
                            const cbuiltin_t *bi, cnode_array_t *args)
{
    const arith_builtin_t *ab = bi->data;
    if(!check_nargs(2, node, args))
        return SUCCESS;
    cell_t *xc = cell_for(aref(args, 0));
    cell_t *yc = cell_for(aref(args, 1));
   rtype_t *xt = cell_type(xc), *yt = cell_type(yc);
    // operand types available?
    if(!xt || !yt)
        return SUCCESS;
    binop_chk_t chk = { 0 };
    // operation is valid on these types?
    if(!check_binary(&chk, xt, yt, ab->is_pred))
        // check_ requires precise types; this call may not be
        // statically invalid, but we can't tell, so punt to runtime
        return SUCCESS;
    }
    // transfer the return type if required
    if(phase == TRANSFER)
        cell_set_type(cell, chk.typ);
    // scalars only
    // XXX allow constant-folding on nonscalars, but never become_builtin
    if(chk.xvec || chk.yvec)
        return SUCCESS;
    binop_fn fn = dispatch_binary(&chk, ab->fntab);
    if(phase == TRANSFER)
    {
        // constant values available?
        return fold_binary(&chk, fn, cell, xc, yc);
    if(!fn) // else phase == TRANSFORM
    {
```

```
c_error("operation '%s' not supported on '%s' and '%s'.",
                bi->name, rtype_name(xt), rtype_name(yt));
        return FAILED;
   }
   if(ab->op)
        // lower the CALL if possible
        call_become_builtin(node, bi, chk.optyp, chk.typ);
        return CHANGED;
   return SUCCESS;
}
static cresult trans_unary(opt_phase phase, cnode_t *node, cell_t *cell,
                           const cbuiltin_t *bi, cnode_array_t *args)
{
    const arith_builtin_t *ab = bi->data;
    if(!check_nargs(1, node, args))
       return SUCCESS;
    cell_t *xc = cell_for(aref(args, 0));
   rtype_t *xt = cell_type(xc);
   if(!xt)
       return SUCCESS;
   unop_chk_t chk = { 0 };
    if(!check_unary(&chk, xt, ab->is_pred))
        return SUCCESS;
    if(phase == TRANSFER)
        cell_set_type(cell, chk.typ);
    if(chk.vec)
       return SUCCESS;
   unop_fn fn = dispatch_unary(&chk, ab->fntab);
    if(phase == TRANSFER)
    {
        if(opt.opt_constfold && fn && cell_const_obj(xc))
            robject_t *xv = cell_const(xc);
            rvalue_union_t val;
            robject_t *obj;
            fn(&val, BOXPTR(xv), 1);
            obj = c_intern(r_box(chk.typ, &val));
            cell_set_const(cell, obj);
            return CHANGED;
        return SUCCESS;
    if(!fn) // else phase == TRANSFORM
        c_error("operation '%s' not supported on '%s'.",
                bi->name, rtype_name(xt));
        return FAILED;
```

```
}
    if(ab->op)
        assert(!chk.vec);
        call_become_builtin(node, bi, xt, chk.typ); // operation occurs at argument type, for
        return CHANGED;
   return SUCCESS;
static cresult trans_reduce(opt_phase phase, cnode_t *node, cell_t *cell,
                            const cbuiltin_t *bi, cnode_array_t *args)
{
    if(!check_nargs(1, node, args))
        return SUCCESS;
   rtype_t *xt = arg_type(args, 0);
    if(!xt)
        return SUCCESS;
    reduce_chk_t chk = { 0 };
    if(check_reduce(&chk, xt) && phase == TRANSFER)
        cell_set_type(cell, chk.typ);
   return SUCCESS;
}
static cresult enforce_arith(cnode_t *node)
    cnode_array_t *args = &node->builtin.args;
    cresult res = SUCCESS;
    int i;
    assert(node->builtin.optype);
    // all args coerced to the given type
    array_foreach(args, i)
        cnode_t **ptr = aptr(args, i);
        res |= enforce_decl(node, node->builtin.optype, ptr, true);
    }
   return res;
}
// if an alias may be created because of this answer, only scalars
// (i.e. lowered to BUILTIN) are safe; otherwise we're fine.
static bool arith_is_pure(cnode_t *node, bool may_alias)
{
    return !may_alias || node->type == CN_BUILTIN;
}
// if an alias may be created because of this answer, the answer is no
static bool cons_is_pure(cnode_t *node, bool may_alias)
{
   return !may_alias;
```

```
const builtin_ops_t
   binary_ops = { trans_binary, enforce_arith, gen_arith,
                   .is_pure = arith_is_pure },
   unary_ops = { trans_unary, enforce_arith, gen_arith,
                  .is_pure = arith_is_pure },
   binary_boolean_ops = { trans_binary, enforce_arith, gen_generic,
                           .is_pure = arith_is_pure },
   unary_boolean_ops = { trans_unary, enforce_arith, gen_generic,
                          .is_pure = arith_is_pure },
   reduce_ops = { trans_reduce, NULL, NULL };
#if 0
// conv, FIXME
static cresult trans_conv(opt_phase phase, cnode_t *node, cell_t *cell,
                          const cbuiltin_t *bi, cnode_array_t *args)
    // return become_copy(node, ...);
   return SUCCESS;
}
#endif
const builtin_ops_t conv_ops = { };
// container fetch and store
static rtype_t *trans_vec_fetch(opt_phase phase, cnode_array_t *args)
{
   rtype_t *vt = arg_type(args, 0), *it = arg_type(args, 1);
   if(!vt || !it)
       return NULL;
   idx_chk_t chk = { 0 };
   if(!check_fetch(&chk, vt, it))
       return NULL;
    if(phase == TRANSFER)
       return chk.typ;
   return (chk.kind == SINGLE) ? chk.typ : NULL;
}
static rtype_t *trans_arr_fetch(opt_phase phase, cnode_array_t *args)
   int rank = alen(args) - 1;
   rtype_t *vt = arg_type(args, 0);
   rtype_t **ityps = alloca(rank * sizeof(rtype_t *));
   if(!vt)
       return NULL;
   for(int i=0; i<rank; i++)</pre>
        ityps[i] = arg_type(args, i+1);
        if(!ityps[i])
            return NULL;
    }
    arr_chk_t chk = { .kinds = alloca(rank * sizeof(idx_kind)) };
   if(!check_arr_fetch(&chk, vt, ityps, rank))
        return NULL;
```

```
if(phase == TRANSFER)
        return chk.typ;
    return (rank == 2 && chk.rank == 0) ? chk.typ : NULL;
}
static cresult trans_fetch(opt_phase phase, cnode_t *node, cell_t *cell,
                           const cbuiltin_t *bi, cnode_array_t *args)
{
    if(!check_arg_names(node) || alen(args) < 2)</pre>
        return SUCCESS;
    rtype_t *typ = (alen(args) == 2)
        ? trans_vec_fetch(phase, args)
        : trans_arr_fetch(phase, args);
    if(typ)
    {
        if(phase == TRANSFER)
            cell_set_type(cell, typ);
        else //(phase == TRANSFORM)
            call_become_builtin(node, bi, typ, typ);
        return CHANGED;
    }
   return SUCCESS;
}
static cresult enforce_fetch(cnode_t *node)
#ifndef NDEBUG
    rtype_t *type = node->builtin.optype;
    cnode_array_t *args = &node->builtin.args;
    assert(type);
    assert(cnode_compat(aref(args, 1), r_type_int));
    assert(alen(args) != 3 || cnode_compat(aref(args, 2), r_type_int));
    assert(cnode_compat(aref(args, 0), rvec_type_create(type)) ||
        cnode_compat(aref(args, 0), rarr_type_create(type)));
#endif
   return SUCCESS;
static void gen_fetch(cnode_t *node)
    bool is_mat = (alen(&node->builtin.args) == 3);
    // OP.type(.uni) dest, obj, idx[, idx]
    if(rtype_is_scalar(node->builtin.optype))
        asm_op_type(is_mat ? OP_getel2 : OP_getelt, node->builtin.optype);
    else
        asm_op(is_mat ? OP_getel2ptr : OP_geteltptr);
    asm_stack(loc_for(node));
    asm_args(&node->builtin.args);
}
static rtype_t *trans_vec_store(opt_phase phase, cnode_array_t *args)
   rtype_t *vt = arg_type(args, 0);
   rtype_t *rt = arg_type(args, 1);
   rtype_t *it = arg_type(args, 2); // not an error; see '[=' signature
```

```
if(!vt || !rt || !it)
       return NULL;
   idx_chk_t chk = { 0 };
    if(!check_store(&chk, vt, it, rt))
        //cnode_t *v = aref(args, 0);
        //...
       return NULL;
    }
    // '[=' passes through the replacement value, just like '='
    if(phase == TRANSFER)
        return rt;
    // optype is the container element type; we will convert arg1 if necessary
   return (chk.kind == SINGLE) ? vt->elt : NULL;
}
static rtype_t *trans_arr_store(opt_phase phase, cnode_array_t *args)
   int rank = alen(args) - 2;
   rtype_t *vt = arg_type(args, 0);
   rtype_t *rt = arg_type(args, 1);
   rtype_t **ityps = alloca(rank * sizeof(rtype_t *));
   if(!vt || !rt)
        return NULL;
   for(int i=0; i<rank; i++)</pre>
        ityps[i] = arg_type(args, i+2);
        if(!ityps[i])
            return NULL;
   }
    arr_chk_t chk = { .kinds = alloca(rank * sizeof(idx_kind)) };
   if(!check_arr_store(&chk, vt, ityps, rank, rt))
       return NULL;
    if(phase == TRANSFER)
       return rt;
   return (rank == 2 && chk.rank == 0) ? vt->elt : NULL;
}
static cresult trans_store(opt_phase phase, cnode_t *node, cell_t *cell,
                           const cbuiltin_t *bi, cnode_array_t *args)
{
    if(!check_arg_names(node) || alen(args) < 3)</pre>
        return SUCCESS;
   rtype_t *typ = (alen(args) == 3)
        ? trans_vec_store(phase, args)
        : trans_arr_store(phase, args);
   if(typ)
    {
```

```
if(phase == TRANSFER)
            cell_set_type(cell, typ);
        }
        else // phase == TRANSFORM
            // the opcode for this builtin does not need a new location
            // allocated, so replace us with the replacement value in
            // our users
            cnode_replace_in_users(node, aref(args, 1));
            // node.decl type not actually used
            call_become_builtin(node, bi, typ, typ);
        return CHANGED;
    return SUCCESS;
}
static cresult enforce_store(cnode_t *node)
    cnode_array_t *args = &node->builtin.args;
    assert(node->builtin.optype);
    assert(!cnode_is_used(node));
    assert(cnode_compat(aref(args, 2), r_type_int));
    assert(alen(args) != 4 || cnode_compat(aref(args, 3), r_type_int));
    // convert replacement value to optype
    return enforce_decl(node, node->builtin.optype, aptr(args,1), true);
}
static void gen_store(cnode_t *node)
    bool is_mat = (alen(&node->builtin.args) == 4);
    // OP.width obj, rpl, idx[, idx]
    asm_op_width(is_mat ? OP_setel2 : OP_setelt, node->builtin.optype);
    asm_args(&node->builtin.args);
const builtin_ops_t fetch_ops = { trans_fetch, enforce_fetch, gen_fetch,
                                  .is_pure = cons_is_pure };
const builtin_ops_t store_ops = { trans_store, enforce_store, gen_store,
                                  .is_void = true };
// container constructors
// FIXME just have the one, and determine what exactly to do based on
// bi->ops or whatever
static cresult trans_vector(opt_phase phase, cnode_t *node, cell_t *cell,
                            const cbuiltin_t *bi, cnode_array_t *args)
{
    if(!check_arg_names(node))
        return SUCCESS;
    assert(alen(args) > 0);
    cell_t *arg = cell_for(aref(args, 0));
    if(cell_const_obj(arg) && phase == TRANSFER)
        robject_t *etyp = cell_const(arg);
```

```
if(r_typeof(etyp) == r_type_type)
            cell_set_type(cell, rvec_type_create((rtype_t *)etyp));
            // although doesn't actually matter, as we are not transforming
            return CHANGED;
        }
    }
   return SUCCESS;
}
static cresult trans_array(opt_phase phase, cnode_t *node, cell_t *cell,
                           const cbuiltin_t *bi, cnode_array_t *args)
{
    if(!check_arg_names(node))
       return SUCCESS;
   assert(alen(args) > 0);
   cell_t *arg = cell_for(aref(args, 0));
   if(cell_const_obj(arg) && phase == TRANSFER)
        robject_t *etyp = cell_const(arg);
        if(r_typeof(etyp) == r_type_type)
            cell_set_type(cell, rarr_type_create((rtype_t *)etyp));
            return CHANGED; // although doesn't really matter, as we are not transforming
        }
   }
   return SUCCESS;
const builtin_ops_t vector_ops = { trans_vector, NULL, NULL, .is_pure = cons_is_pure };
const builtin_ops_t array_ops = { trans_array, NULL, NULL, .is_pure = cons_is_pure };
```

Appendix C

Utilities

This appendix contains utility code used internally by the system.

Lists

```
\langle list.h \rangle \equiv
   untyped doubly-linked list abstraction
    _heavily_ inspired by include/linux/list.h,
   which is licensed under the
   GNU GENERAL PUBLIC LICENSE
       Version 2, June 1991
 typedef struct list
      struct list *next;
      struct list *prev;
 } list_t;
 #define LIST_INIT(_self) { &(_self), &(_self) }
 static inline bool list_isempty(list_t *list)
     return list->next == list && list->prev == list;
 static inline void list_init(list_t *list)
     list->next = list;
      list->prev = list;
 static inline bool list_isfirst(list_t *head, list_t *list)
     return list->prev == head;
 static inline bool list_islast(list_t *head, list_t *list)
     return list->next == head;
 }
```

```
static inline void _list_set(list_t *prev, list_t *list, list_t *next)
   list->next = next;
   list->prev = prev;
   next->prev = list;
   prev->next = list;
}
static inline void list_add(list_t *prev, list_t *list)
    _list_set(prev, list, prev->next);
static inline void list_add_before(list_t *next, list_t *list)
    _list_set(next->prev, list, next);
}
static inline void list_remove(list_t *list)
   list->next->prev = list->prev;
   list->prev->next = list->next;
   list_init(list);
// splice constraint: from must be a head. to may be a link.
static inline void list_splice_before(list_t *to, list_t *from)
   if(list_isempty(from))
       return;
   from->prev->next = to;
   from->next->prev = to->prev;
   to->prev->next = from->next;
   to->prev = from->prev;
   list_init(from);
}
static inline void list_splice_after(list_t *to, list_t *from)
   if(list_isempty(from))
       return;
   from->prev->next = to->next;
   from->next->prev = to;
   to->next->prev = from->prev;
   to->next = from->next;
   list_init(from);
#define list_foreach(_head, _ptr)
    for(_ptr = (_head)->next;
       _ptr != _head;
        _ptr = _ptr->next)
#define list_foreach_from(_head, _ptr)
   for(;
        _ptr != _head;
        _ptr = _ptr->next)
```

```
#define list_foreach_safe(_head, _ptr, _tmp)
    for(_ptr = (_head)->next, _tmp = _ptr->next;
        _ptr != _head;
        _ptr = _tmp, _tmp = _ptr->next)
#define list_foreach_reverse(_head, _ptr)
    for(_ptr = (_head)->prev;
        _ptr != _head;
        _ptr = _ptr->prev)
static inline size_t list_length(list_t *list)
   list_t *ptr;
    size_t count = 0;
    list_foreach(list, ptr)
       count++;
   return count;
}
   slightly more convenient (albeit less standards-safe) interface
   inspired by mesa's gallium/auxiliary/util/u_double_list.h
  hic sunt demons nasal, if _obj is not initialised
#define list_entry(_link, _obj, _mem)
    (void *)((char *)(_link)
             - ((char *)&(_obj)->_mem
                - (char *)(_obj)))
#define list_foreach_entry(_head, _ptr, _mem)
    for(_ptr = NULL, _ptr = list_entry((_head)->next, _ptr, _mem);
        &_ptr->_mem != (_head);
        _ptr = list_entry(_ptr->_mem.next, _ptr, _mem))
#define list_foreach_entry_reverse(_head, _ptr, _mem)
    for(_ptr = NULL, _ptr = list_entry((_head)->prev, _ptr, _mem);
        &_ptr->_mem != (_head);
        _ptr = list_entry(_ptr->_mem.prev, _ptr, _mem))
#define list_foreach_entry_from(_head, _ptr, _mem)
    for(; _ptr && &_ptr->_mem != (_head);
        _ptr = list_entry(_ptr->_mem.next, _ptr, _mem))
#define list_foreach_entry_safe(_head, _ptr, _tmp, _mem)
    for(_ptr = NULL,
            _ptr = list_entry((_head)->next, _ptr, _mem),
            _tmp = list_entry(_ptr->_mem.next, _ptr, _mem);
        &_ptr->_mem != (_head);
        _ptr = _tmp,
            _tmp = list_entry(_ptr->_mem.next, _ptr, _mem))
#define list_while_entry(_head, _ptr, _mem)
    for(_ptr = NULL, _ptr = list_entry((_head)->next, _ptr, _mem);
        &_ptr->_mem != (_head);
        _ptr = list_entry((_head)->next, _ptr, _mem))
/*
```

```
simple singly-linked list abstraction
#define SLIST(typ) typ *
#define slist_push(head, elt, link)
    ((elt)->link = (head), (head) = (elt))
#define slist_pop(head, link)
    ((head) ? _take_ptr((void **)&(head), (head)->link) : NULL)
#define slist_while_pop(head, ptr, link)
    while((ptr = slist_pop(head, link)))
#define slist_remove_head(head, link)
    ((head) = (head) -> link)
#define slist_insert(before, after, link)
    ((after)->link = (before)->link, (before)->link = (after))
#define slist_foreach(head, ptr, link)
   for(ptr = (head); ptr; ptr = ptr->link)
#define slist_foreach_safe(head, ptr, tmp, link)
   for(ptr = (head); ptr && (tmp = ptr->link, true); ptr = tmp)
#define slist_nreverse(head, link) do {
        for(void *tmp = NULL, *ptr = (head); ptr; )
        {
           head = ptr;
            ptr = head->link;
           head->link = tmp;
            tmp = head;
        }
    } while(0)
```

Arrays

```
\langle array.h \rangle \equiv
 #define ARRAY(_typ)
      struct
          size_t length;
          size_t size;
          _typ *ptr;
      }
 #define ARRAY_INIT { 0, 0, NULL }
 static inline void *
  _adjust_array(void *ptr, size_t elt, size_t size)
      if(size == 0)
      {
          if(ptr)
              xfree(ptr);
          return NULL;
      }
      if(ptr)
          return xrealloc(ptr, elt * size);
      return xmalloc(elt * size);
 static inline void *
  _extend_array(size_t *plength, size_t *psize, unsigned n,
```

```
void *ptr, size_t elt)
{
    *plength += n;
    if(*plength > *psize)
        *psize = max(*psize, 1);
        while(*plength > *psize)
            *psize *= 2;
        return _adjust_array(ptr, elt, *psize);
    }
    return ptr;
}
static inline void *
_init_array(size_t *plength, size_t *psize, size_t elt, size_t init)
    *psize = init;
    *plength = 0;
    if(init != 0)
        return _adjust_array(NULL, elt, init);
    return NULL;
}
#define _size_elt(_arr) (sizeof(*((_arr)->ptr)))
#define array_init(_arr, _init)
    ((_arr)->ptr = _init_array(&(_arr)->length,
                               &(_arr)->size,
                                _size_elt(_arr),
                                _init))
#define array_alloc(_arr, _init)
    (*_arr = xmalloc(sizeof(*(*_arr))),
     array_init(*_arr, _init))
#define array_fini(_arr) do
    {
        assert(_arr);
        if((_arr)->ptr)
            free((_arr)->ptr);
        (_arr)->ptr = NULL;
    } while (0)
#define array_free(_arr) do
    {
        array_fini(_arr);
        free(_arr);
    } while (0)
// resize the array exactly
#define array_shrink(_arr)
    ((_arr)->ptr = _adjust_array((_arr)->ptr,
                                  _size_elt(_arr),
                                  alen(_arr)))
// resize the array exactly, clear the array, return the contents pointer
#define array_cede(_arr)
    (array_shrink(_arr),
```

```
array_clear(_arr),
     _take_ptr((void **)&(_arr)->ptr, NULL))
#define array_clear(_arr) ((_arr)->length = 0)
#define array_isempty(_arr) ((_arr)->length == 0)
#define atail(_arr) ((_arr)->length - 1)
// XXX does not zero the additional storage
#define array_extend(_arr, _n)
    ((_arr)->ptr = _extend_array(&(_arr)->length,
                                 &(_arr)->size, _n,
                                 (_arr)->ptr,
                                 _size_elt(_arr)))
#define array_push(_arr, _val)
    (array_extend(_arr, 1),
     (_arr)->ptr[atail(_arr)] = (_val))
#define array_pop(_arr)
    (assert(!array_isempty(_arr)),
     (_arr)->ptr[--(_arr)->length])
#define array_drop(_arr, _n) ((_arr)->length -= _n)
#define array_take(_arr, _ptr)
    (!array_isempty(_arr) ?
     (*(_ptr) = (_arr)->ptr[--(_arr)->length],
      true) :
     false)
#define array_remove(_arr, _i) do
    {
        assert(_i < (_arr)->length);
        memmove((_arr)->ptr + (_i), (_arr)->ptr + (_i) + 1,
                ((_arr)->length - (_i) - 1) * _size_elt(_arr));
        (_arr)->length--;
    } while (0)
// insert val before i
#define array_insert(_arr, _i, _val) do
   {
        assert((_i) <= (_arr)->length);
        array_extend(_arr, 1);
        if((_i) < atail(_arr))
           memmove((_arr)->ptr + (_i) + 1, (_arr)->ptr + (_i),
                   ((_arr)->length - (_i) - 1) * _size_elt(_arr));
        (_arr)->ptr[_i] = _val;
    } while (0)
// length = size = new
#define array_resize(_arr, _new) do
        (_arr)->ptr = _adjust_array((_arr)->ptr,
                                    _size_elt(_arr),
                                    _new);
        if((_new) > (_arr)->length)
```

```
memset((_arr)->ptr + (_arr)->length, 0,
                   ((_new) - (_arr)->length) * _size_elt(_arr));
        (_arr)->length = (_arr)->size = _new;
    } while (0)
// element sizes must match
#define array_copy(_to, _from) do
        assert(_size_elt(_from) == _size_elt(_to));
        (_to)->length = (_from)->length;
        (_to)->ptr = _adjust_array((_to)->ptr,
                                   _size_elt(_to),
                                   (_to)->size = (_from)->length);
        memcpy((_to)->ptr, (_from)->ptr,
               (_from)->length * _size_elt(_from));
    } while (0)
// FIXME unused?
#define array_concat(_to, _from) do
        unsigned _total = (_to)->length + (_from)->length;
        assert(_size_elt(_from) == _size_elt(_to));
        if(_total > (_to)->size)
            (_to)->ptr = _adjust_array((_to)->ptr,
                                       _size_elt(_to),
                                       (_{to})->size = _total);
        memcpy((_to)->ptr + (_to)->length, (_from)->ptr,
               (_from)->length * _size_elt(_from));
        (_to)->length = _total;
    } while (0)
// trivial
// indexed only
#define array_foreach(_arr, _i)
    for(_i = 0;
        _i < alen(_arr);
        ++_i)
// pointer to element
#define array_foreach_ptr(_arr, _ptr)
    for(_ptr = (_arr)->ptr;
        _ptr < (_arr)->ptr + alen(_arr);
        ++_ptr)
// only set _entry in the test clause,
// otherwise it will happily index off the end of the array
#define array_foreach_entry(_arr, _entry)
    for(int _i = 0;
        _i < alen(_arr) && (_entry = (_arr)->ptr[_i], true);
        ++_i)
#define array_map(_arr, _fn, _ptr) do
   {
        int _i;
        for(_i=0; _i<(_arr)->length; _i++)
            _fn((_arr)->ptr[_i], _i, _ptr);
    } while(0)
```

```
#define alen(_arr) ((_arr)->length)
#define asize(_arr) ((_arr)->size)
#define adata(_arr) ((_arr)->ptr)

#ifdef NDEBUG
#define aref(_arr, _i) ((_arr)->ptr[_i])
#define aset(_arr, _i, _val) ((_arr)->ptr[_i] = (_val))
#define aptr(_arr, _i) ((_arr)->ptr + _i)
#else
// FIXME: double evaluation makes these unsafe for side-effecting arguments
#define aref(_arr, _i) (assert(_i < (_arr)->length), (_arr)->ptr[_i])
#define aset(_arr, _i, _val) (assert(_i < (_arr)->length), (_arr)->ptr[_i] = (_val))
#define aptr(_arr, _i) (assert(_i < (_arr)->length), (_arr)->ptr + _i)
#endif
```

Worklist

```
\langle worklist.h \rangle \equiv
  // fixed-length ring buffer with presence bitmap
  // XXX stretchy buffer is a relatively simple extension (hah)
  // note that, since the maximum index is specified, and no item can be
  // present more than once, overflow can't occur
  #define WORKLIST(typ) struct {
          int len, count, idx;
          bitmap_t *map;
          typ *ptr;
      }
  static inline int _wrap(int v, int 1)
      { return (v \ge 1) ? v-1 : v; }
  #define worklist_isempty(wl) ((wl)->count == 0)
  #define worklist_init(wl, n) do {
          assert(n > 0);
           (wl) \rightarrow len = n;
           (w1)->count = 0;
           (w1) \rightarrow idx = 0;
           (wl)->map = bitmap_create(n);
           (wl)->ptr = xcalloc(n, sizeof(*((wl)->ptr)));
      } while(0)
  #define worklist_fini(wl) do {
          xfree((wl)->ptr);
          xfree((wl)->map);
      } while(0)
  #define worklist_push(wl,v,id) do {
           if(!bitmap_get_bit((wl)->map, id))
           {
               (wl) \rightarrow ptr[\_wrap((wl) \rightarrow idx + (wl) \rightarrow count,
                                 (wl) \rightarrow len) = v;
               (w1)->count++;
               bitmap_set_bit((wl)->map, id);
           }
      } while(0)
```

```
#define worklist_take(wl,p,id)
    (!worklist_isempty(wl) ?
    (*p = (wl)->ptr[(wl)->idx],
        (wl)->count--,
        (wl)->idx = _wrap((wl)->idx + 1, (wl)->len),
        bitmap_clear_bit((wl)->map, id),
        true) :
    false)
```

General

```
\langle util.c \rangle \equiv
 #include "global.h"
 #include <sys/mman.h>
 void _vfatal(const char *fn, const char *fmt, va_list va)
      fprintf(stderr, "%s: ", fn);
      vfprintf(stderr, fmt, va);
      fprintf(stderr, "\n");
      fflush(stderr);
      abort();
 }
 void _fatal(const char *fn, const char *fmt, ...)
 {
      va_list va;
     va_start(va, fmt);
      _vfatal(fn, fmt, va);
      va_end(va); /* NOTREACHED */
 void *xrealloc(void *ptr, size_t size)
      if(size == 0)
          fatal("allocation size 0.");
      void *ret = realloc(ptr, size);
      if(!ret)
          fatal("allocation failed.");
     return ret;
 }
 // FIXME: attribute malloc for these
 void *xmalloc(size_t size)
 {
      if(size == 0)
          fatal("allocation size 0.");
      void *ret = malloc(size);
      if(!ret)
          fatal("allocation failed.");
     return ret;
 void *xcalloc(size_t num, size_t size)
      if(size == 0)
```

```
fatal("allocation size 0.");
   void *ret = calloc(num, size);
   if(!ret)
       fatal("allocation failed.");
   return ret;
}
void xfree(void *ptr)
   if(!ptr)
       fatal("freeing NULL.");
   free(ptr);
void *xmap(size_t sz)
   void *ret = mmap(NULL, sz, PROT_READ|PROT_WRITE, MAP_PRIVATE|MAP_ANON, 0, 0);
   if(ret == MAP_FAILED)
       perror("mmap failed");
       exit(1);
   }
   return ret;
bool string_equal(const void *x, const void *y)
   return !strcmp((char *)x, (char *)y);
}
uint32_t string_hash(const void *ptr)
   const char *string = ptr;
   return hash_code(string, strlen(string));
bool ptr_eq(const void *x, const void *y)
{
   return x == y;
}
uint32_t ptr_hash(const void *ptr)
{
   return hash_code(&ptr, sizeof(ptr));
}
void hexdump(FILE *fp, uint8_t *mem, ptrdiff_t len, unsigned width)
{
    do
    {
        for(int i=0; (!width || i<width) && len>0; i++, len--)
            fprintf(fp, "%02X ", *mem++);
        if (width)
            fprintf(fp, "\n");
    } while (len > 0);
}
void mem_dump(uint8_t *mem, ptrdiff_t len)
{
   printf("%p: ", mem);
   hexdump(stdout, mem, len, sizeof(intptr_t));
```

```
}
 // static return buffer, caveat caller
 char *mem_string(uint8_t *mem, ptrdiff_t len)
      static char buf[32];
      char *ptr = buf;
      while(len-- > 0)
      {
          ptr += snprintf(ptr, sizeof(buf) - (ptr-buf), "%02X ", *mem++);
     return buf;
 }
\langle util.h \rangle \equiv
 /*
   double expansion - stringification or token-pasting
   disables argument expansion in function-like macros.
    so, wrap the operation in another macro to get the
   desired (expanded and then stringified/pasted) result.
 #define STRINGIFY(a) #a
 #define PASTE2(a, b) a##b
 #define PASTE3(a, b, c) a##b##c
 #define PASTE4(a, b, c, d) a##b##c##d
 #define PASTE5(a, b, c, d, e) a##b##c##d##e
 #define PASTE6(a, b, c, d, e, f) a##b##c##d##e##f
    (triple expansion - for token-pasting parameterised by an
    object-like macro)
 */
 #define PPASTE2(a, b) PASTE2(a, b)
 #define PPASTE3(a, b, c) PASTE3(a, b, c)
 // caveat: double evaluation!
 #ifndef max
 #define max(a,b) ((a) > (b) ? (a) : (b))
 #endif
 #ifndef min
 #define min(a,b) ((a) < (b) ? (a) : (b))
 #endif
 #define lengthof(a) (sizeof(a) / sizeof(*a))
 // reasonably standards-safe; thanks stddef.h
 #define container_of(ptr, typ, field)
      ((typ *)((char *)(ptr) - offsetof(typ, field)))
 // caveat: assumes all pointers are the same size!
 static inline void *_take_ptr(void **ptr, void *next)
 {
     void *tmp = *ptr;
     *ptr = next;
     return tmp;
 }
 // define NOSPAM per-file: dbg output suppressed for that file.
```

```
// define DBG_SUPPRESS in config.mk: no dbg output.
// define NDEBUG: no asserts, no dbg output
#if !defined(NDEBUG) && !defined(NOSPAM) && !defined(DBG_SUPPRESS)
#define DBG(args...) fprintf(stderr, args)
#define DBGPRINT(val) r_print(stderr, val)
#define DBG_OUTPUT
#else
#define DBG(args...) do { } while(0)
#define DBGPRINT(val) do { } while(0)
#endif
// NOTE: gcc-ism
#define fatal(fmt, args...) _fatal(__func__, fmt , ##args)
#define vfatal(fmt, va) _vfatal(__func__, fmt, va)
void _vfatal(const char *fn, const char *fmt, va_list va);
void _fatal(const char *fn, const char *fmt, ...);
void *xrealloc(void *ptr, size_t size);
void *xmalloc(size_t size);
void *xcalloc(size_t num, size_t size);
void xfree(void *ptr);
void *xmap(size_t sz);
// bit vector
typedef uint8_t bitmap_t;
static inline size_t size_for_bits(unsigned n)
    { return (n+7)>>3; }
static inline bool bitmap_get_bit(bitmap_t *bits, unsigned n)
    { return (bits[n>>3] & (1<<(n&7))) != 0; }
static inline uint8_t bitmap_get_byte(bitmap_t *bits, unsigned n)
   { return bits[n>>3]; }
static inline void bitmap_set_bit(bitmap_t *bits, unsigned n)
   { bits[n>>3] |= 1<<(n&7); }
static inline void bitmap_clear_bit(bitmap_t *bits, unsigned n)
   { bits[n>>3] &= ~(1<<(n&7)); }
static inline void bitmap_free(bitmap_t *bits)
    { xfree(bits); }
static inline void bitmap_reset(bitmap_t *bits, unsigned n)
    { memset(bits, 0, size_for_bits(n)); }
static inline bitmap_t *bitmap_create(unsigned n)
    { return xcalloc(1, size_for_bits(n)); }
// XXX int __builtin_popcount(unsigned int)
static inline unsigned bitmap_count_bits(bitmap_t *bits, unsigned n)
   unsigned r = 0;
   for(int i=0; i<size_for_bits(n); i++)</pre>
        uint8_t byte = bits[i];
        for(int j=0; j<8; j++, byte >>= 1)
            if(byte & 1)
               r++;
    }
   return r;
}
```

```
void hexdump(FILE *fp, uint8_t *mem, ptrdiff_t len, unsigned width);
 void mem_dump(uint8_t *mem, ptrdiff_t len);
 char *mem_string(uint8_t *mem, ptrdiff_t len);
 bool string_equal(const void *x, const void *y);
 uint32_t string_hash(const void *ptr);
 bool ptr_eq(const void *x, const void *y);
 uint32_t ptr_hash(const void *ptr);
\langle global.h \rangle \equiv
 #include <stdint.h>
 #include <stdbool.h>
 #include <stddef.h>
 #include <alloca.h>
 #include <stdlib.h>
 #include <stdarg.h>
 #include <stdio.h>
 #include <string.h>
 #include <limits.h>
 #include <float.h>
 #include <math.h>
 #include <setjmp.h>
 #include <unistd.h>
 // debuggery
 #include <mcheck.h>
 #include <assert.h>
 #include "util.h"
 #include "hash.h"
 #include "array.h"
 #include "list.h"
 #include "rt/runtime.h"
 #include "compiler.h"
```

Appendix D

Hash Tables

This appendix contains an open-addressing linear-probing hash table which is used, by the system, as a map and a set. The hash_code_seed function is from Appleby (2008).

Interface

```
\langle hash.c \rangle \equiv
 #include "global.h"
 static inline uint32_t rot132(uint32_t x, int8_t r)
      return (x << r) | (x >> (32 - r));
 static uint32_t fmix(uint32_t h)
     h = h >> 16;
     h *= 0x85ebca6b;
     h ^= h >> 13;
     h *= 0xc2b2ae35;
     h = h >> 16;
     return h;
 uint32_t hash_code(const void *key, size_t len)
     return hash_code_seed(key, len, 0);
 uint32_t hash_code_seed(const void *key, size_t len, uint32_t h1)
      const uint8_t *data = (const uint8_t *)key;
      const int nblocks = len / 4;
      const uint32_t c1 = 0xcc9e2d51;
      const uint32_t c2 = 0x1b873593;
 //----
 // body
      const uint32_t *blocks = (const uint32_t *)(data + nblocks*4);
```

```
for(int i = -nblocks; i; i++)
        uint32_t k1 = blocks[i];
       k1 *= c1;
       k1 = rot132(k1,15);
       k1 *= c2;
       h1 ^= k1;
       h1 = rot132(h1,13);
       h1 = h1*5+0xe6546b64;
   }
//----
// tail
   const uint8_t *tail = (const uint8_t *)(data + nblocks*4);
   uint32_t k1 = 0;
   switch(len & 3)
   case 3: k1 ^= tail[2] << 16;</pre>
   case 2: k1 ^= tail[1] << 8;</pre>
   case 1: k1 ^= tail[0];
       k1 *= c1; k1 = rot132(k1,15); k1 *= c2; h1 ^= k1;
   };
//----
// finalization
   h1 ^= len;
   return fmix(h1);
}
typedef struct hashbase
   size_t nentries;
   size_t size;
   uint32_t (*hash_fn)(const void *key);
   bool (*eq_fn)(const void *x, const void *y);
} hashbase_t;
static void hash_init(hashbase_t *hash,
                      uint32_t (*hash_fn)(const void *),
                      bool (*eq_fn)(const void *, const void *))
{
    *hash = (hashbase_t) {
       .size = 4,
        .nentries = 0,
        .hash_fn = hash_fn,
        .eq_fn = eq_fn
   };
}
// map of void * -> void *
#define HASH_NAME hashmap
```

```
#define VALUE_TYPE void *
 #include "hash.c.inc"
 #undef HASH_NAME
 #undef VALUE_TYPE
 // set of void *
 #define HASH_NAME hashset
 #include "hash.c.inc"
 #undef HASH_NAME
\langle hash.h \rangle \equiv
 uint32_t hash_code(const void *key, size_t len);
 uint32_t hash_code_seed(const void *key, size_t len, uint32_t h1);
 // map of void * -> void *
 #define HASH_NAME hashmap
 #define VALUE_TYPE void *
 #include "hash.h.inc"
 #undef HASH_NAME
 #undef VALUE_TYPE
 // set of void *
 #define HASH_NAME hashset
 #include "hash.h.inc"
 #undef HASH_NAME
```

Implementation

```
\langle hash.c.inc \rangle \equiv
 // type and function names
 #define hash_t PPASTE2(HASH_NAME, _t)
 #define node_t PPASTE2(HASH_NAME, _node_t)
 #define func(n) PPASTE3(HASH_NAME, _, n)
 #if defined(VALUE_TYPE)
 // map
 #define result_t VALUE_TYPE
 #define result_found(n) (n)->value
 #define args(k, v) k, v
 typedef struct
      void *key;
     VALUE_TYPE value;
 } node_t;
 //
 #else // defined(VALUE_TYPE)
 // set
 #define result_t void *
 #define result_found(n) n->key
 #define args(k, v) k
 typedef struct
     void *key;
 } node_t;
 //
 #endif // defined(VALUE_TYPE)
 #define no_result ((result_t)0)
```

```
typedef struct HASH_NAME
   hashbase_t h;
   node_t *entries;
} hash_t;
static void func(rehash)(args(const void *key, void *value), void *ptr)
    func(insert)((hash_t *)ptr, args(key, value));
}
static bool func(resize)(hash_t *tbl, size_t newsize)
   hash_t tmp = *tbl;
   assert(newsize >= tbl->h.nentries);
   tmp.h.nentries = 0;
   tmp.h.size = newsize;
    tmp.entries = xcalloc(newsize, sizeof(node_t));
    func(map)(tbl, func(rehash), (void *)&tmp);
    assert(tmp.h.nentries == tbl->h.nentries);
   tbl->h.size = newsize;
   xfree(tbl->entries);
   tbl->entries = tmp.entries;
   return true;
}
\ensuremath{//} returns the value of nonnull key, or NULL if not present
// if found is nonnull, sets it to true or false on return.
// (only makes sense if VALUE_TYPE is set)
result_t func(get)(const hash_t *tbl, args(const void *key, bool *found))
{
   unsigned mask, index;
   node_t *node;
   if(!key)
        goto fail;
   mask = tbl->h.size - 1;
   index = tbl->h.hash_fn(key) & mask;
   while(node = &tbl->entries[index], node->key)
        if(tbl->h.eq_fn(node->key, key))
#if defined(VALUE_TYPE)
            if(found)
                *found = true;
#endif
            return result_found(node);
        index = (index + 1) & mask;
    }
```

```
fail:
#if defined(VALUE_TYPE)
   if(found)
        *found = false;
#endif
   return no_result;
result_t func(add_update)(hash_t *tbl, args(const void *key, const void *value),
                          bool add, bool update)
{
    uint32_t hash;
    unsigned mask, index;
   node_t *node;
    if(!key)
       return no_result;
    if(add && ((float)tbl->h.nentries / tbl->h.size > 0.7))
        if(!func(resize)(tbl, tbl->h.size<<1))</pre>
            fatal("can't resize hash table.\n");
   mask = tbl->h.size - 1;
   hash = tbl->h.hash_fn(key);
    index = hash & mask;
   while(true)
        node = &tbl->entries[index];
        if(!node->key)
            if(add)
                node->key = (void *)key;
#if defined(VALUE_TYPE)
                node->value = (void *)value;
#endif
                tbl->h.nentries++;
                return result_found(node);
            return no_result;
        else if(tbl->h.eq_fn(node->key, key))
            if(update)
                result_t old = result_found(node);
#if defined(VALUE_TYPE)
                node->value = (void *)value;
#endif
                return old;
            //return no_result;
            return result_found(node);
        }
```

```
index = (index + 1) & mask;
   return no_result; /* NOTREACHED */
}
// remove nonnull key and its value from tbl, if present
// inspired substantially by http://en.wikipedia.org/wiki/Open_addressing
result_t func(remove)(hash_t *tbl, const void *key)
   unsigned mask, index, gap, natural;
   node_t *node;
   result_t result;
    if(!key)
       return no_result;
   if(tbl->h.size > 4 && (float)tbl->h.nentries / tbl->h.size < 0.3)
    {
        if(!func(resize)(tbl, tbl->h.size>>1))
            fatal("can't resize hash table.\n");
    }
   mask = tbl->h.size - 1;
    index = tbl->h.hash_fn(key) & mask;
   result = no_result;
   while(node = &tbl->entries[index], node->key)
        if(tbl->h.eq_fn(node->key, key))
            gap = index;
            result = result_found(node);
        else if(result)
            // the natural location of this node, which is actually at index
            natural = tbl->h.hash_fn(node->key) & mask;
            // if the gap lies in this node's chain, swap them.
            // index only advances, so gap is always before it in sequence order
            // this is just one test - (natural .before. gap)
            if((gap < index) ?</pre>
               (natural <= gap || natural > index) :
               (natural <= gap && natural > index))
                tbl->entries[gap] = *node;
                gap = index;
        index = (index + 1) & mask;
    }
    if(result)
        node = &tbl->entries[gap];
        node->key = NULL;
#if defined(VALUE_TYPE)
```

```
node->value = no_result;
#endif
        tbl->h.nentries--;
        return result;
   return no_result;
hash_t *func(create)(uint32_t (*hash_fn)(const void *),
                     bool (*eq_fn)(const void *, const void *))
{
    hash_t *tbl = xmalloc(sizeof(hash_t));
    hash_init(&tbl->h, hash_fn, eq_fn);
    tbl->entries = xcalloc(tbl->h.size, sizeof(node_t));
   return tbl;
}
void func(free)(hash_t *hash)
    xfree(hash->entries);
    xfree(hash);
}
void func(clear)(hash_t *tbl, size_t newsize)
    tbl->h.nentries = 0;
    if(newsize > 0)
        tbl->h.size = newsize;
        xfree(tbl->entries);
        tbl->entries = xcalloc(newsize, sizeof(node_t));
    }
    else
        memset(tbl->entries, 0, tbl->h.size * sizeof(node_t));
}
// not stable under insertion or deletion!
void func(map)(const hash_t *tbl,
               void (*fn)(args(const void *, void *), void *),
               void *ptr)
{
    int i;
    node_t *node;
    for(i=0,node=tbl->entries; i<tbl->h.size; i++,node++)
    {
        if(node->key)
            fn(args(node->key, node->value), ptr);
    }
}
#undef hash_t
#undef node_t
#undef func
#undef result_t
```

```
#undef result_found
 #undef args
\langle hash.h.inc \rangle \equiv
 // shared with hash_c.h
 #define hash_t PPASTE2(HASH_NAME, _t)
 #define func(n) PPASTE3(HASH_NAME, _, n)
 #if defined(VALUE_TYPE)
 #define result_t VALUE_TYPE
 #define args(k, v) k, v
 #else
 #define result_t void *
 #define args(k, v) k
 #endif
 typedef struct HASH_NAME hash_t;
 result_t func(get)(const hash_t *tbl, args(const void *key, bool *found));
 result_t func(add_update)(hash_t *tbl, args(const void *key, const void *value),
                            bool add, bool update);
 result_t func(remove)(hash_t *tbl, const void *key);
 void func(map)(const hash_t *tbl,
                 void (*fn)(args(const void *, void *), void *),
                 void *ptr);
 hash_t *func(create)(uint32_t (*hash_fn)(const void *),
                       bool (*eq_fn)(const void *, const void *));
 void func(free)(hash_t *tbl);
 void func(clear)(hash_t *tbl, size_t newsize);
 // returns old value if already present
 static inline result_t
 func(insert)(hash_t *tbl, args(const void *key, const void *value))
     return func(add_update)(tbl, args(key, value), true, false);
 // only update value if present, returning old value. else, just return zero.
 static inline result_t
 func(update)(hash_t *tbl, args(const void *key, const void *value))
 {
     return func(add_update)(tbl, args(key, value), false, true);
 }
 // add or update. returns old value if present, new value if not
 static inline result_t
 func(set)(hash_t *tbl, args(const void *key, const void *value))
     return func(add_update)(tbl, args(key, value), true, true);
 }
 #undef hash_t
 #undef result_t
 #undef func
 #undef args
```

Appendix E

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