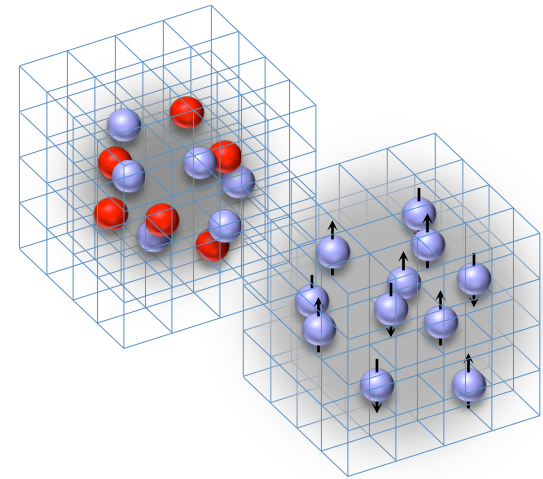


# Lattice Methods for Nuclear Physics

## Lecture 4: Chiral Effective Field Theory on the Lattice I

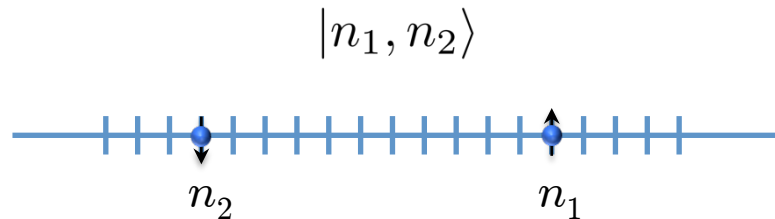
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TALENT School  
on Nuclear Quantum Monte Carlo Methods  
North Carolina State University  
July 26, 2016



## Example: Two fermions in one-dimension

Let us consider a system with one up-spin particle and one down-spin particle on a one-dimensional periodic lattice with  $L$  sites. For the interactions we choose zero-range attractive interactions as we discussed previously. We can label the two-body system with a basis corresponding with the positions of the particles.



The transfer matrix has the form

$$M =: \exp \left[ -H_{\text{free}} \alpha_t - C \alpha_t \sum_{n_x} \rho_{\uparrow}(n_x) \rho_{\downarrow}(n_x) \right] :$$

where the free lattice Hamiltonian in its simplest possible form is

$$\begin{aligned}
 H_{\text{free}} &= H_{\text{free}}^{\uparrow} + H_{\text{free}}^{\downarrow} = \\
 &= -\frac{1}{2m} \sum_{n_x, i=\uparrow, \downarrow} a_i^{\dagger}(n_x) [a_i(n_x + 1) - 2a_i(n_x) + a_i(n_x - 1)]
 \end{aligned}$$

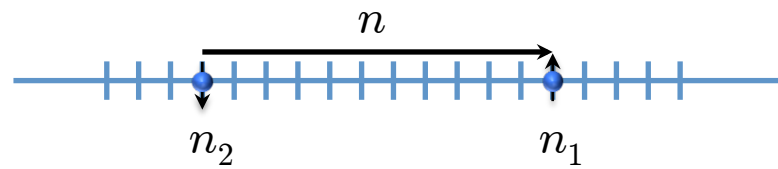
We compute the projection amplitude

$$Z(L_t) = \langle \psi_{\text{init}} | \overbrace{\text{[Diagram of vertical bars]}^{\text{MMM} \quad \dots \quad \text{MM}}} | \psi_{\text{init}} \rangle$$

in order to get the ground state energy in the subspace that is not orthogonal to our initial state

$$\lim_{L_t \rightarrow +\infty} Z(L_t)/Z(L_t - 1) = \lambda_{\text{max}} = e^{-E_0 \alpha_t}$$

Since the system has translational symmetry, we can set the total momentum to zero and label only the relative separation between the two particles

$$|n\rangle = \frac{1}{\sqrt{L}} \sum_{m=0}^{L-1} |n+m, m\rangle$$


The diagram shows a horizontal blue line representing a 1D lattice. There are 15 vertical tick marks along the line. Two blue dots represent particles at specific lattice sites. The left dot is labeled  $n_2$  and has a small downward-pointing arrow. The right dot is labeled  $n_1$  and has a small upward-pointing arrow. A horizontal double-headed arrow connects the two dots, with the label  $n$  above it, indicating the relative separation between the particles.

We need to compute the action of the transfer matrix on such states

$$M|n\rangle = \left[ (1 - H_{\text{free}}^{\uparrow} \alpha_t)(1 - H_{\text{free}}^{\downarrow} \alpha_t) - C \alpha_t \sum_{n_x} \rho_{\uparrow}(n_x) \rho_{\downarrow}(n_x) \right] |n\rangle$$

The matrix elements of interest are

$$\langle n' | H_{\text{free}}^{\uparrow} | n \rangle = \langle n' | H_{\text{free}}^{\downarrow} | n \rangle = -\frac{1}{2m} \delta_{n', n+1} - \frac{1}{2m} \delta_{n', n-1} + \frac{2}{2m} \delta_{n', n}$$

$$\langle n' | \sum_{n_x} \rho_{\uparrow}(n_x) \rho_{\downarrow}(n_x) | n \rangle = \delta_{n', 0} \delta_{n, 0}$$

As an example we take the initial state to have zero relative momentum (in addition to the total momentum being zero).

$$|\psi_{\text{init}}\rangle = \frac{1}{\sqrt{L}} \sum_n |n\rangle$$

We can then compute products of the transfer matrix acting upon the initial state

$$|v(n_t)\rangle = M^{n_t} |\psi_{\text{init}}\rangle$$

and determine the amplitude

$$Z(L_t) = \langle \psi_{\text{init}} | M^{L_t} | \psi_{\text{init}} \rangle = \langle \psi_{\text{init}} | v(L_t) \rangle$$

```

do nx = 0,L-1
  vrel(nx,0) = 1.D0/dsqrt(1.D0*L)
enddo
overlap(0) = 1.D0

do nt = 1,Lt
  do nx = 0,L-1
    temp(nx) =
$      vrel(nx,nt-1)*(1.D0 - 1.D0/am*alphan)
$      + vrel(mod(nx-1+L,L),nt-1)/(2.D0*am)*alphan
$      + vrel(mod(nx+1,L),nt-1)/(2.D0*am)*alphan
  enddo
  do nx = 0,L-1
    vrel(nx,nt) =
$      temp(nx)*(1.D0 - 1.D0/am*alphan)
$      + temp(mod(nx-1+L,L))/(2.D0*am)*alphan
$      + temp(mod(nx+1,L))/(2.D0*am)*alphan
  enddo
  vrel(0,nt) = vrel(0,nt) - c*alphan*vrel(0,nt-1)
  overlap(nt) = 0.D0
  do nx = 0,L-1
    overlap(nt) = overlap(nt) + vrel(nx,nt)/dsqrt(1.D0*L)
  enddo
enddo

```

$$M|n\rangle = \left[ (1 - H_{\text{free}}^{\uparrow} \alpha_t)(1 - H_{\text{free}}^{\downarrow} \alpha_t) - C \alpha_t \sum_{n_x} \rho_{\uparrow}(n_x) \rho_{\downarrow}(n_x) \right] |n\rangle$$

$$Z(L_t) = \langle \psi_{\text{init}} | M^{L_t} | \psi_{\text{init}} \rangle = \langle \psi_{\text{init}} | v(L_t) \rangle$$

$$e^{-E(L_t)\alpha_t} = Z(L_t)/Z(L_t - 1)$$

<u><math>L_t</math></u>	<u>Energy (MeV)</u>
30	-17.6412
31	-17.7085
32	-17.7650
33	-17.8125
34	-17.8523
35	-17.8856
36	-17.9135
37	-17.9369
38	-17.9564
39	-17.9728
40	-17.9864
41	-17.9978
42	-18.0074
43	-18.0153
44	-18.0220
45	-18.0275
46	-18.0322
47	-18.0360
48	-18.0393
49	-18.0420
50	-18.0442

For  $L = 6$ ,  $C = -0.200$ ,  $m = 938.92$  MeV,  $a = a_t = (100 \text{ MeV})^{-1}$

We can calculate the same observables using auxiliary field Monte Carlo. The amplitude we want to calculate is

$$Z(L_t) = \prod_{\vec{n}, n_t} \left[ \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} ds(n_x, n_t) e^{-\frac{1}{2}s^2(n_x, n_t)} \right] Z(s, L_t)$$

where the auxiliary field amplitude is

$$Z(s, L_t) = \langle \psi_{\text{init}} | \begin{array}{c} M(s, L_t - 1)M(s, L_t - 2) \quad \cdots \quad M(s, 1)M(s, 0) \\ \hline \text{[Diagram of a chain of } L_t \text{ qubits with vertical lines representing gates]} \end{array} | \psi_{\text{init}} \rangle$$

and the auxiliary field transfer matrix given by

$$M(s, n_t) =: \exp \left\{ -H_{\text{free}} \alpha_t + \sum_{n_x} \sqrt{-C \alpha_t} s(n_x, n_t) \rho(n_x) \right\} :$$



For our initial state we again choose both the up and down spin particles to have zero momentum

$$|\psi_{\text{init}}\rangle = \frac{1}{L} \sum_{n_1=0}^{L-1} \sum_{n_2=0}^{L-1} |n_1, n_2\rangle$$

We should note that this is not an efficient starting point to reach the ground state, but it is a simple initial state we can use to benchmark the Monte Carlo code with the exact transfer matrix calculation.

In terms of our single-particle initial state coefficient functions  $f_1$  and  $f_2$ , we have

$$|\psi_{\text{init}}\rangle = |f_1, f_2\rangle = \left[ \sum_{n_x, i} a_i^\dagger(n_x) f_1(n_x, i) \right] \left[ \sum_{n_x, i} a_i^\dagger(n_x) f_2(n_x, i) \right] |0\rangle$$

$$f_1(n_x, i) = \delta_{i, \uparrow} \frac{1}{\sqrt{L}}$$

$$f_2(n_x, i) = \delta_{i, \downarrow} \frac{1}{\sqrt{L}}$$

We store the set of vectors for each single-particle initial state at each time step

$$|v_j(s, n_t)\rangle = M(s, n_t - 1) \cdots M(s, 0)|f_j\rangle$$

as well as the dual vectors at each time step propagating in the reverse temporal direction

$$\langle v_i(s, n_t)| = \langle f_i|M(s, L_t - 1) \cdots M(s, n_t)$$

These are useful in computing the update to an auxiliary field value at time step  $n_t$ , using the following relation:

$$\mathbf{Z}_{i,j}(s, L_t) = \langle v_i(s, n_t + 1)|M(s, n_t)|v_j(s, n_t)\rangle$$

$$Z(s, L_t) = \det \mathbf{Z}(s, L_t)$$

change here  
and re-evaluate

```

dimension v(0:L-1,0:Lt)
dimension dualv(0:L-1,0:Lt)

      :

accept = 0.D0
ratio_bin = 0.D0

c      since we have the same initial vector for both up
c      and down spins and the auxiliary-field transfer matrix
c      is independent of spin, we can use the same single-particle
c      states for up and down spins

do nx = 0,L-1
  v(nx,0) = 1.D0/dsqrt(1.D0*L)
  dualv(nx,Lt) = 1.D0/dsqrt(1.D0*L)
enddo

```

```

subroutine getv(v,s,ntm,ntp,c,am,alphan,L,Lt)

implicit integer(i-n)
implicit double precision(a-h,o-y)
implicit complex*16(z)
dimension v(0:L-1,0:Lt)
dimension s(0:L-1,0:Lt-1)

do nt = ntm+1,ntp
  do nx = 0,L-1
    v(nx,nt) =
$      v(nx,nt-1)*(1.D0 - 1.D0/am*alphan
$      + dsqrt(-c*alphan)*s(nx,nt-1))
$      + v(mod(nx-1+L,L),nt-1)/(2.D0*am)*alphan
$      + v(mod(nx+1,L),nt-1)/(2.D0*am)*alphan
    enddo
  enddo

  return
end

```

$$M(s, n_t - 1) =: \exp \left\{ -H_{\text{free}} \alpha_t + \sum_{n_x} \sqrt{-C \alpha_t} s(n_x, n_t - 1) \rho(n_x) \right\} :$$

```

subroutine getdualv(dualv,s,ntm,ntp,c,am,alphan,L,Lt)

implicit integer(i-n)
implicit double precision(a-h,o-y)
implicit complex*16(z)
dimension dualv(0:L-1,0:Lt)
dimension s(0:L-1,0:Lt-1)

do nt = ntp,ntm+1,-1
  do nx = 0,L-1
    dualv(nx,nt-1) =
$      dualv(nx,nt)*(1.D0 - 1.D0/am*alphan
$      + dsqrt(-c*alphan)*s(nx,nt-1))
$      + dualv(mod(nx-1+L,L),nt)/(2.D0*am)*alphan
$      + dualv(mod(nx+1,L),nt)/(2.D0*am)*alphan
  enddo
enddo

return
end

```

$$M(s, n_t - 1) =: \exp \left\{ -H_{\text{free}} \alpha_t + \sum_{n_x} \sqrt{-C \alpha_t} s(n_x, n_t - 1) \rho(n_x) \right\} :$$

We initialize the auxiliary field configuration  $s$ , and compute the bosonic part of the action

$$\sum_{n_x, n_t} \frac{1}{2} s^2(n_x, n_t)$$

which is needed in the calculation of

$$Z(L_t) = \prod_{\vec{n}, n_t} \left[ \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} ds(n_x, n_t) e^{-\frac{1}{2} s^2(n_x, n_t)} \right] Z(s, L_t)$$

```

bose = 0.00
do nt = 0, Lt-1
  do nx = 0, L-1
    s(nx, nt) = grnd()-0.500
    bose = bose + 0.500*s(nx, nt)*s(nx, nt)
  enddo
enddo

```

We compute the auxiliary-field amplitude  $Z(s, L_t)$  for the initial configuration of  $s$

```
call getv(v,s,0,Lt,c,am,alphan,L,Lt)
amp = 0.D0
do nx = 0,L-1
    amp = amp + dualv(nx,Lt)*v(nx,Lt)
enddo
```

We now set up our Markov chain with target probability given by

$$p_{\text{target}}(s) = e^{-\frac{1}{2} \sum_{n_x, n_t} s^2(n_x, n_t)} Z(s, L_t)$$

We do Metropolis updates of the auxiliary field. Note the square of the single-particle amplitude since there are contributions from both the up spin and the down spin.

```

do ntrial = 1,numtrials

  call getdualv(dualv,s,0,Lt,c,am,alphat,L,Lt)

  do nt = 0,Lt-1
    do nx = 0,L-1
      s_old = s(nx,nt)
      s_new = s(nx,nt) + grnd() - 0.5D0
      bosediff = 0.5D0*(s_new*s_new-s_old*s_old)
      ampnew = amp
      $      + dualv(nx,nt+1)*v(nx,nt)
      $      *dsqrt(-c*alphat)*(s_new-s_old)
      if (grnd() .lt.
      $      (ampnew/amp)**2.D0*dexp(-bosdiff)) then
        accept = accept + 1.D0
        amp = ampnew
        s(nx,nt) = s_new
        bose = bose + bosediff
      endif
    enddo
    call getv(v,s,nt,nt+1,c,am,alphat,L,Lt)
  enddo

  :

```



While doing the Metropolis updates, we also compute  $Z(s, L_t - 1)$ . We collect data which properly samples the numerator and denominator of the ratio

$$\frac{Z(L_t - 1)}{Z(L_t)} = \frac{\prod_{n_x, n_t} \left[ \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} ds(\vec{n}, n_t) e^{-\frac{1}{2} s^2(n_x, n_t)} \right] Z(s, L_t - 1)}{\prod_{n_x, n_t} \left[ \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} ds(\vec{n}, n_t) e^{-\frac{1}{2} s^2(n_x, n_t)} \right] Z(s, L_t)}$$

And from this ratio we get our estimate of the energy

$$e^{-E(L_t)\alpha_t} = Z(L_t)/Z(L_t - 1)$$

```

      :
      :

mtrial = ntrial - nwarmup

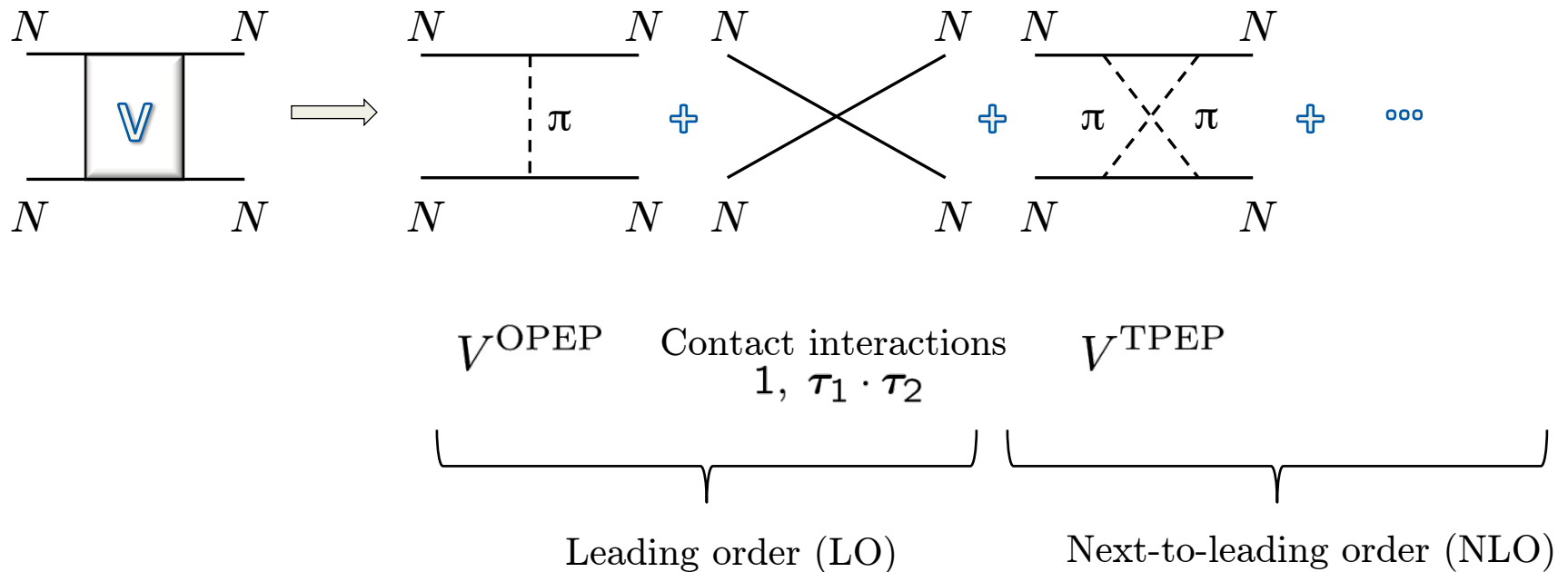
if (mtrial .gt. 0) then
  amp1 = 0.D0
  do nx = 0,L-1
    amp1 = amp1 + dualv(nx,Lt)*v(nx,Lt-1)
  enddo
  ratio_bin = ratio_bin + amp1/amp
  energy = -dlog(mtrial/ratio_bin)/alphan
  if (mod(mtrial,nprintevery) .eq. 0) then
    write(*,*)
    write(*,*) 'mtrial',mtrial,'energy (MeV)',energy*ainv
    write(*,*) 'accept',accept/(ntrial*L*Lt)
  endif
endif
enddo

```

# Chiral EFT for low-energy nucleons

Weinberg, PLB 251 (1990) 288; NPB 363 (1991) 3

Construct the effective potential order by order



Nuclear  
Scattering Data



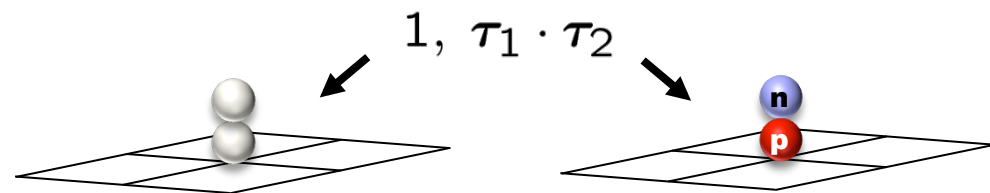
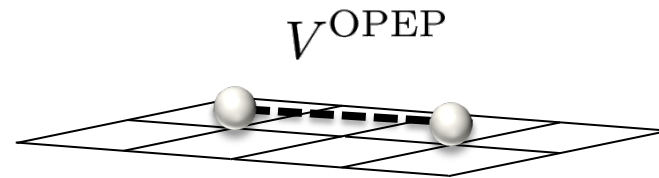
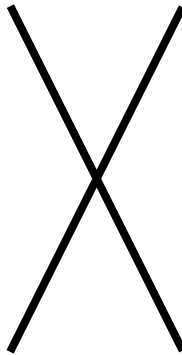
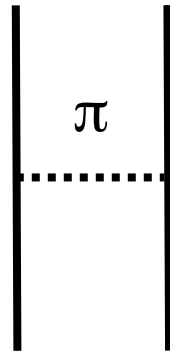
Effective Field Theory  
Operator Coefficients

Ordenez et al. '94; Friar & Coon '94;  
Kaiser et al. '97; Epelbaum et al. '98, '03;  
Kaiser '99-'01; Higa et al. '03; ...

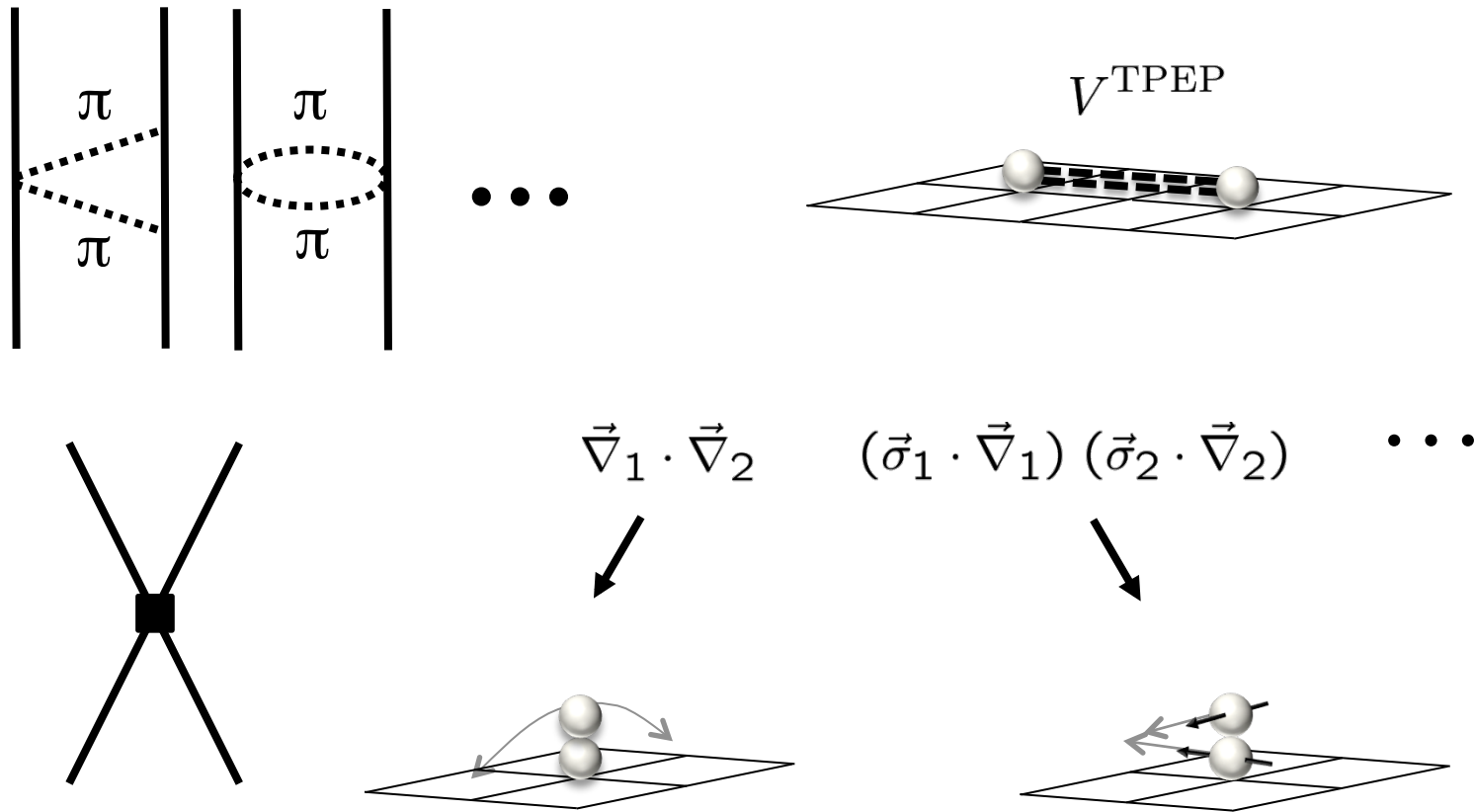
	2N forces	3N forces	4N forces
LO $O(Q^0)$			
NLO $O(Q^2)$			
N <sup>2</sup> LO $O(Q^3)$			
N <sup>3</sup> LO $O(Q^4)$			
	+ ...	+ ...	+ ...

# Lattice interactions

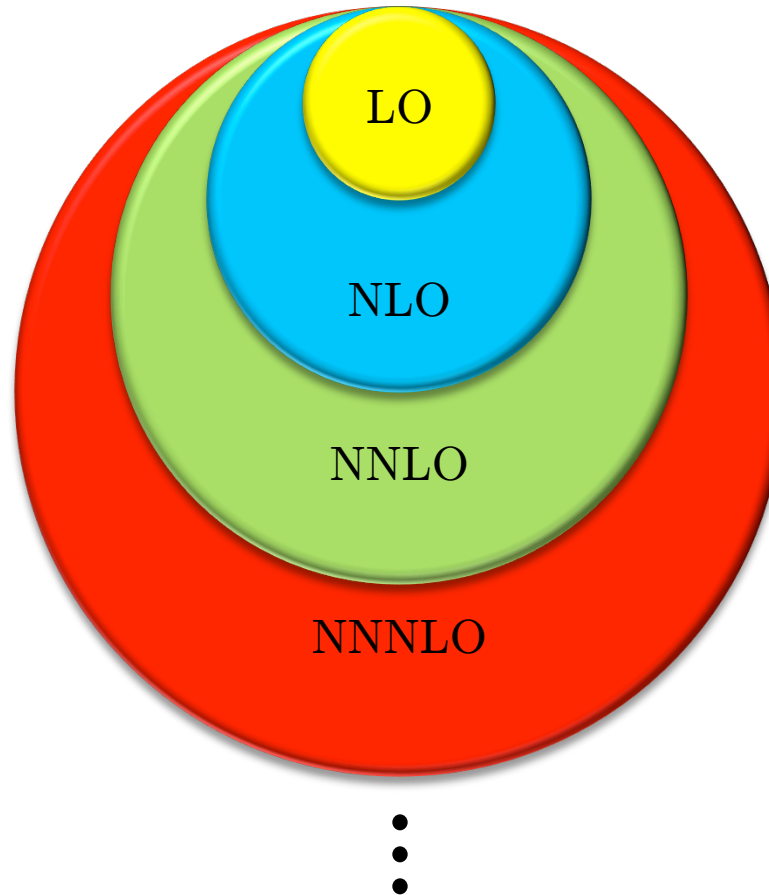
## Leading order on the lattice



# Next-to-leading order on the lattice



## Computational strategy

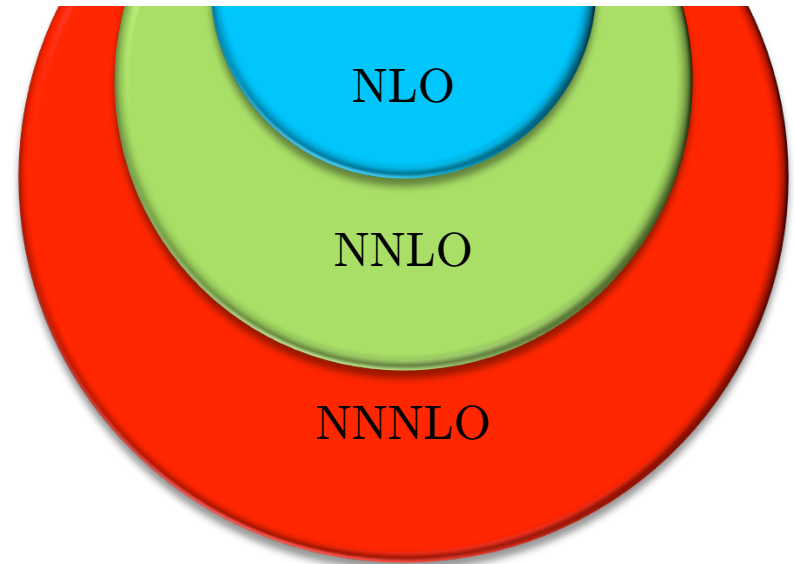


## Non-perturbative – Monte Carlo



“Improved LO”

## Perturbative corrections



⋮




## Exercise 4

Consider the system of two-component fermions with zero-range attractive interactions.

$$M =: \exp \left[ -H_{\text{free}} \alpha_t - C \alpha_t \sum_{n_x} \rho_{\uparrow}(n_x) \rho_{\downarrow}(n_x) \right] :$$

$$\begin{aligned} H_{\text{free}} &= H_{\text{free}}^{\uparrow} + H_{\text{free}}^{\downarrow} = \\ &= -\frac{1}{2m} \sum_{n_x, i=\uparrow, \downarrow} a_i^{\dagger}(n_x) \left[ a_i(n_x + 1) - 2a_i(n_x) + a_i(n_x - 1) \right] \end{aligned}$$

We consider one up spin and one down spin. Take the size of the periodic box to be  $L = 6$  and choose  $L_t = 50$ . Do an exact (i.e., not Monte Carlo) calculation of the amplitude

$$Z(L_t) = \langle \psi_{\text{init}} | \overbrace{\text{MMM} \dots \text{MM}}^{L_t} | \psi_{\text{init}} \rangle$$


for the initial state with one up spin and down spin, each with zero momentum in the periodic box. For the parameters take

$$C = -0.200, m = 938.92 \text{ MeV}, a = a_t = (100 \text{ MeV})^{-1}$$

Use the ratio of amplitudes with  $L_t$  and  $L_t - 1$  time steps to determine an estimate for the energy using the relation

$$e^{-E(L_t)\alpha_t} = Z(L_t)/Z(L_t - 1)$$

## Exercise 5

Consider exactly the same two-fermion system as in Exercise 4. Use the same initial state where both particles are at zero momentum and compute everything once again using auxiliary fields,

$$Z(L_t) = \prod_{\vec{n}, n_t} \left[ \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} ds (n_x, n_t) e^{-\frac{1}{2} s^2 (n_x, n_t)} \right] Z(s, L_t)$$

$$Z(s, L_t) = \langle \psi_{\text{init}} | \overbrace{M(s, L_t - 1) M(s, L_t - 2) \cdots M(s, 1) M(s, 0)}^{\text{Diagram of 50 vertical bars}} | \psi_{\text{init}} \rangle$$

As in Exercise 4, take the size of the periodic box to be  $L = 6$  and the number of time steps to be  $L_t = 50$ . Use the Metropolis algorithm to calculate the energy using the estimate

$$e^{-E(L_t)\alpha_t} = Z(L_t)/Z(L_t - 1)$$

for the parameter values

$$C = -0.200, m = 938.92 \text{ MeV}, a = a_t = (100 \text{ MeV})^{-1}$$