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#### Outline

- Notes on Coding and Implementation
- Fixed Node: Implementation
- Fixed Node: Upper Bound
- Transient Estimation: Implementation
- Transient Estimation: Upper Bound(?)
- Transient Estimation: Example
- Multi-Component Wave Functions
- Fixed-Phase Algorithm
- after the break ... VMC for Nuclear Physics

Quantum Monte Carlo: Transient Estimation, Nuclear Interactions, Intro to GFMC

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# Coding and Implementation

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# Coding and Implementation

"The print statement is your friend."

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## Coding and Implementation

#### (Very) Useful gfortran options

- -O0 -g (-Og): turns off all bounds checking and enables debugger (slow)
- -Wall : enable all warnings
- -fbounds-check : check indices on arrays to see they are within bounds
- -ffpe-trap=invalid,zero,overflow -g: stops at first invalid math operation
- -O2 or -fast : optimize the code to be fast (when it is working)

#### Other useful software:

- gdb : simple command-line debugger
- ddd: interface to gdb or other command line debuggers

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## QMC Implementation

- Print out walk statistics: Acceptance Ratio (VMC), Branching (DMC)
- Keep statistics on several estimators: < T >, < V >,  $< r^2 >$ , ...
- Write out walkers at the end of the run
- Have an option to read in these walkers for the next run
- Start DMC from VMC configurations (necessary for TE upper bound... see today)
- Write out block averages to a file for later reanalysis
- Enables a simple code to check for statistics with different blocking

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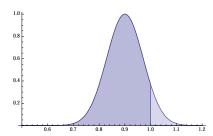
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## Fixed Node: Implementation

- Last time we described Fixed Node as not letting the walkers move from one sign region to the other
- The simplest implementation is just to 'kill' (set the weight to zero) for any such walker



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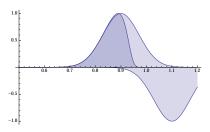
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## Fixed Node: Implementation

- This implementation works, but has an error of order  $\sqrt{\delta au}$
- To improve, subtract an image in the propagator when you are near the node
- This same image method works to enforce logarithmic boundary conditions for low-energy scattering



$$G_{0,FN}(x,x') = G_0(x,x') - G_0(x,x'_{imag})$$

Calculate the image position  $\mathbf{x}'$  by a linear extrapolation of :

$$\Psi_T(\mathbf{x}') = \psi_T(\mathbf{x}) + (\mathbf{x}' - \mathbf{x}) \nabla \Psi_T(\mathbf{x})$$

Implementation

#### Fixed Node Implementation

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## Fixed Node as Upper Bound

Why is the fixed node solution an upper bound to the energy?

- The fixed node solution  $\Psi_{FN}$  is the ground state of a Hamiltonian with an infinite potential at the nodal surface.
- ullet  $\Psi_{FN}$  is therefore an eigenstate of this Hamiltonian  $H_{FN}$
- $\Psi_{FN}$  a discontinuous first derivative at the node
- The expectation value of the original Hamiltonian  $\langle \Psi_{FN}|H|\Psi_{FN}\rangle$  must provide an upper bound
- Everywhere but at the surface,  $H = H_{FN}$ .
- The discontinuous derivative yields a delta function in the 2nd derivative of Ψ<sub>FN</sub>,
   but this gives no contribution since Ψ<sub>FN</sub> is zero there.
- Therefore  $E_{FN} \geq E_0$ .

#### Implementation

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#### Transient Estimation

- We can improve on the fixed-node energy by removing the fixed-node condition.
- $\bullet$  Start with a FN simulation with a importance function  $\psi_{\rm G}$  that does not go to zero at the boundary
- Estimators are  $\langle O \rangle = \langle \frac{\langle \Psi_T | O | \Psi \rangle}{\langle \Psi_G | \Psi \rangle} \rangle / \langle \frac{\langle \Psi_T | \Psi \rangle}{\langle \Psi_G | \Psi \rangle} \rangle$
- This same importance function can be used when we 'release' the fixed node, allowing walkers to pass through the surface.
- If we start from VMC calculations we can get an upper bound to the energy
- Starting from fixed-node we do not have an upper bound property

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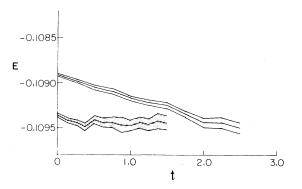
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#### Electron Gas

One of the most-cited papers ever (in any field): (top 5?)



Electron gas: Transient estimation from fixed node and from VMC (Ceperley, PRL, 1980). This paper had a huge impact because it is widely used in density functional theories for electronic systems.

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## Multi-Component Wavefunctions

In many systems the wave function cannot be described as a single number for each position of the particles. Examples include:

- Nuclear Systems : different spin and isospin components (each complex)
- Vortices: Complex wave function describing the rotation
- Magnetic Field: electrons in a magnetic field (complex wave function

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## Simplest Fixed Phase

Take the simplest example with a complex wave function:  $\Psi = |\Psi| \exp[i\Phi(R)]$ , We can create an algorithm that solves for the optimum  $|\Psi(R)|$  given the phase  $\Phi(R)$ . The equation for  $|\Psi|$  is

$$-\nabla^{2}|\Psi(R)| + [V(R) + |\nabla\Phi(R)|^{2}]|\Psi(R)| = E|\Psi(R)|$$

This is the same as the standard Schroedinger equation with an 'extra' potential from the gradient of the phase squared. This is a 'bosonic' problem and produces a variational upper bound. It reduces to the fixed node in the case that the phase changes infinitely quickly from -1 to 1.

Fixed phase was first introduced in nuclear systems with multi-component wave functions. It can be implemented to have an upper bound property in some cases, while simultaneously providing an upper bound.

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## **Nuclear Case**

In nuclei, there are many possible spin isospin states in the system, ranging from all spins down to all spins up, for a total of  $2^A$  states. There are a similarly large number of isospin states, though these are limited by charge conservation (and isospin conservation if we ignore Coulomb and other smaller isospin-violating interactions).

We can write:

$$|\Psi\rangle = \sum_{i} |\psi_{i}(R)\rangle |\chi_{i}\rangle. \tag{1}$$

The norm of the wave function is:

$$\langle \Psi | \Psi \rangle = \int d\mathbf{R} \sum_{i} \psi^{\dagger}(\mathbf{R}) \psi(\mathbf{R}) \langle \chi_{i} | \chi_{i} \rangle,$$
 (2)

assuming the  $\chi_i$  form an orthonormal basis.

The energy of the wave function is

$$\langle \Psi_i | H | \Psi_j \rangle = \int d\mathbf{R} \sum_i \sum_i \psi^{\dagger}(\mathbf{R}) \langle \chi_i | H_{ij} | \chi_j \rangle | \psi(\mathbf{R}) \rangle,$$

where the potential can couple different i, j states.

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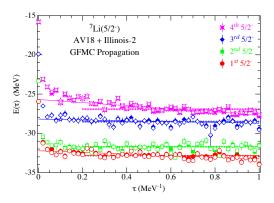
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## **Nuclear Case**

#### Calculations of different states in A=7



- Different States converge at different rates
- Bound States have a finite gap; converge with respect to typical excitation energy
- Very narrow states are similar but decrease very slowly
- Broad Scattering states should eventually go to isolated clusters

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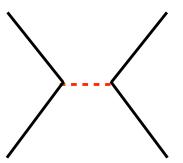
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#### How do we calculate Nuclei?

Nucleon-Nucleon interactions are unlike Coulomb interactions or many inter-atomic potentials in that they change the spin and/or isospin of the particles.



$$V^{\pi} = -rac{g_A}{\sqrt{2}f_{\pi}}rac{\sigma_i\cdot\mathbf{q}\sigma_j\cdot\mathbf{q}}{q^2+m_{\pi}^2} au_i\cdot au_j$$

For example, the charge of a neutron and proton can be exchanged by the propagation of a charged pion. In general the wave function must be written as a sum of complex spin-isospin amplitudes.

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Interaction

## Simple Potential: Minnesota interaction

For the problems, we consider an interaction that has four components:

$$V_{ij} = V^{1}(r_{ij}) + V^{\sigma}(r_{ij}) + V^{\tau}(r_{i}j) + V^{\sigma\tau}(r_{ij}).$$

- This is a significant simplication, no tensor interaction
- The matrix elements are purely real
- The interaction is very attractive in T=0,S=1 and S=1,T=0 (s-wave) pairs
- The interaction is weaker in p-waves
- This type of interaction can be used in pionless EFT

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## Basic Idea for VMC

The basic idea is to write the wave function as a set of amplitudes

$$|\Psi\rangle = \sum_{i,j} \psi_{i,j}(\mathbf{R}) |\chi^{\sigma}(i)\rangle |\chi^{\tau}(j)\rangle,$$

and use the sum of the squares of the amplitudes to calculate probabilities for the random walk.

$$W(\mathbf{R}) = \sum_{i,j} \psi^{\dagger}(\mathbf{R}) \ \psi(\mathbf{R})$$

The expectation value of the Hamiltonian is obtained as averages over:

$$\langle H \rangle \; = \; \frac{\langle \frac{\langle \psi_{i,j}^{\dagger} (\chi^{\sigma}(i)\chi^{\tau}(j)|\; H \; | \psi_{i,j} | \chi^{\sigma}(i)\chi^{\tau}(j) \rangle}{W(\mathbf{R})} \rangle}{\langle \frac{\langle \psi_{i,j}^{\dagger} (\chi^{\sigma}(i)\chi^{\tau}(j)| \psi_{i,j} | \chi^{\sigma}(i)\chi^{\tau}(j) \rangle}{W(\mathbf{R})} \rangle},$$

where the brackets in the numerator and denominator indicate separate averages.

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## Pauli Spinor Matrices

$$\sigma_{x} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_{y} = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \sigma_{z} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\sigma_{+} = (1/2)(\sigma_{x} + i\sigma_{y}) = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$

$$\sigma_{-} = (1/2)(\sigma_{x} - i\sigma_{y}) = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

$$\sigma.\mathbf{A} = \sigma_{+} \cdot (\mathbf{A}_{x} - i\mathbf{A}_{y}) + \sigma_{-} \cdot (\mathbf{A}_{x} + i\mathbf{A}_{y}) + \sigma_{z} \cdot \mathbf{A}_{z}$$

Final Diagram

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# Spin States

#### The spin state are:

```
0000
\downarrow 4 \downarrow 3 \downarrow 2 \downarrow 1
                                                   0001
\downarrow4\downarrow3\downarrow2\uparrow1
                                                   0010
\downarrow_4\downarrow_3\uparrow_2\downarrow_1
\downarrow4\downarrow3\uparrow2\uparrow1
                                                   0011
                                                   0100
                                                                                          4
\downarrow4\uparrow3\downarrow2\downarrow1
\uparrow_4\uparrow_3\uparrow_2\downarrow_1
                                                   1110
                                                                                        14
\uparrow_4\uparrow_3\uparrow_2\uparrow_1
                                                   1111
                                                                                         15
```

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## Isopin States

#### The isospin state are:

```
n_4 n_3 p_2 p_1 = 0011 = 1

n_4 p_3 n_2 p_1 = 0101 = 2

n_4 p_3 p_2 n_1 = 0110 = 3

p_4 n_3 n_2 p_1 = 1001 = 4

p_4 n_3 p_2 n_1 = 1010 = 5

p_4 p_3 n_2 n_1 = 1100 = 6
```

Keep a translation table translating from index (right column) to bit representation, use that to calculate matrix elements.

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## Variational Monte Carlo: $|\Phi\rangle$

In Variational Monte Carlo, we again assume a simple structure for the trial wave function:

$$|\Psi_T\rangle = [S \prod_{i < j} F_{ij}] |\Phi\rangle$$

For A=3,4 we can use a very simple  $|\Phi\rangle$  that contains only spin-isospin states, e.g.

$$|\Phi\rangle = \mathcal{A}|\uparrow_4 p_4 \downarrow_3 p_3 \uparrow_2 n_2 \downarrow_1 n_1\rangle$$

For the  $\alpha$  particle this is a sum of 24 terms.

For larger nuclei a shell-model like state or states can be used. Computations can become very time-consuming because of the explicit anti-symmetrization usually invoked to introduce clustering.

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## Uncorrelated State |Φ⟩

```
|\Phi\rangle=\mathcal{A}\downarrow_4 n_4\uparrow_3 n_3\downarrow_2 p_2\uparrow_1 p_1 This is independent of coordinates
(for A < 5) and never changes
    3
          2 -0.1000000E+01
                                0.000000E+00
    3
              0.1000000E+01
                                0.000000E+00
    3
              0.1000000E+01
                                0.000000E+00
    3
          5 -0.1000000E+01
                                0.000000E+00
    5
              0.1000000E+01
                                0.000000E+00
    5
          3 -0.1000000E+01
                                0.000000E+00
    5
          4 -0.1000000E+01
                                0.000000E+00
    5
              0.1000000E+01
                                0.000000E+00
          6
    6
             -0.1000000E+01
                                0.000000E+00
    6
              0.1000000E+01
                                0.000000E+00
   12
          5 -0.1000000E+01
                                0.000000E+00
```

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## VMC: Two-Body Operations

For the potential or for the most general pair correlation operator we construct an output wave function for an operator acting on an input wae function:

$$|\Psi_o\rangle = \sum_k f^k(r_{ij}) |\Psi_i\rangle$$

The  $f_k$  are simple numbers for a given set of coordinates, the operators  $O^k(r_{ii})$  are sparse matrices acting on the intial state.

We operate in succession by all pair operators gradually building up the full correlated wave function.

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## Simple Example

We will ask you to consider a simple problem where the variational wave function is:

$$|\Psi\rangle = \prod_{i < j} F^{c}(r_{ij})]|\Phi\rangle$$

Here the derivatives act only on the central pair correlation functions and the spin-isospin structure of the trial function is fixed.

We still need to determine spin isospin operators acting on the trial state to calculate the potential energy.

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# Simple Example (cont'd)

To calculate the matrix elements of  $\sigma_i \cdot \sigma_j$  in a many-particle system, remember

$$\sigma_i \cdot \sigma_j = 2P^{\sigma}(i,j) - 1,$$

where P is the spin permutation operator. To calculate this, just store the state obtained by  $P^{\sigma}(i,j)$  acting on every initial state. For example

$$P(1,2)|1001\rangle = |1010\rangle$$

$$P(1,2)|0101\rangle = |0110\rangle$$

.

$$P(1,2)|1011\rangle = |1011\rangle$$

We need only two matrix elements for each  $\sigma_i \cdot \sigma_j$ . Similar methods

work for the tensor operator and isospin operators. Only two spins or isospins are affected at a time so the matrix is sparse.

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## Subroutines

All wavefunctions are matrices of dimensions (0:2\*\*A-1,ntau0=6).

- phi\_init(): initializes  $|\phi\rangle$ , all +/-1.
- spin\_init(n): initializes spin states for n particles
- iso\_init(n,nz): initializes isospin states for n particles, nz protons
- covlp(cl,cr): takes overlaps of two wvfns  $\langle cl|cr\rangle$
- sigdotsig(co,ci,i,j): computes  $|co\rangle = \sigma_i \cdot \sigma_i |ci\rangle$
- taudottau(co,ci,i,j): computes  $|co\rangle = \tau_i \cdot \tau_j |ci\rangle$
- sdstdt(co,ci,i,j): computes  $|co\rangle = \sigma_i \cdot \sigma_j \tau_i \cdot \tau_j |ci\rangle$

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## subroutine for $\sigma_i \cdot \sigma_j$ interaction

```
subroutine sigdotsig( cwvout,cwvin,i,j)
complex(kind=kind(0.d0)),dimension(0:nspin0m,ntau0)
do is=0,nspin0m
iex=ispex(is,i,j) ! exchange spins i and j in is,
cwvout(is,:)= 2.d0*cwvin(iex,:)-cwvin(is,:)
enddo
end subroutine
```

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# subroutine for $\sigma_i \cdot \sigma_j \tau_i \cdot \tau_j$ interaction

```
subroutine sdstdt( cwvout,cwvin,i,j)
  calculate sig . sig tau.tau acting on cwvin store
  cwvin and cwvout must not overlap
complex(kind=kind(0.d0)),dimension(0:nspin0m,ntau0)
do it=1,ntau
itauex=itex(it,i,j)
do is=0,nspin0m
ispinex=ispex(is,i,j)
cwvout(is,it)= 4.d0*cwvin(ispinex,itauex)-2.d0*cwvi
   -2.d0*cwvin(ispinex,it) + cwvin(is,it)
enddo
enddo
end subroutine
```

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# Simplified Wave function and Local Energy

$$|\Psi
angle \ = \ \prod_{i < j} f^c(|{f r}_i - {f r}_j|) |\phi
angle$$

The local energy is:  $\langle T \rangle + \langle V \rangle$ 

$$\langle T \rangle = \frac{\langle \phi | (\prod f) \left[ -\frac{\hbar^2}{2m} \nabla^2 \right] (\prod f) | \phi \rangle}{\langle \phi | (\prod f) (\prod f) | \phi \rangle}$$

$$\langle V \rangle = \frac{\langle \phi | (\prod f) [\sum_{i < j} [v^c(r_{ij}) + v^{\sigma}(r_{ij}) \sigma_i \cdot \sigma_j + v^{\tau}(r_{ij}) \tau_i \cdot \tau_j + v^{\sigma\tau}(r_{ij}) \sigma_i \cdot \sigma_j + v^{\tau}(r_{ij}) \tau_i \cdot \tau_j + v^{\sigma\tau}(r_{ij}) \sigma_i \cdot \sigma_j + v^{\tau}(r_{ij}) \sigma_i + v^{\tau}(r_$$

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## Kinetic Energy

The derivatives of the kinetic energy are calculated numerically:

$$\begin{aligned} -\nabla^2 |\Psi\rangle &= \sum_i \nabla^2 \phi_i |\chi_i\rangle \\ &= \sum_i -(1/\Delta)^2 [\phi_i(+) + \phi_i(-) - 2 * \phi_i(0)] |\chi_i\rangle \end{aligned}$$

The local kinetic energy is

$$\frac{\sum_{i} \langle \chi_{i} \phi_{i}(0) (1/\Delta)^{2} [\phi_{i}(+) + \phi_{i}(-) - 2 * \phi_{i}(0) \chi_{i} \rangle}{\sum_{i} \langle \chi_{i} \phi_{i}(0) \phi_{i}(0) \chi_{i} \rangle}$$

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#### Variational Monte Carlo

Construct random walk from probability:

$$W = \langle \phi | (\prod f^c) (\prod f^c) | \phi \rangle,$$

in real applications we use a better (more complicated wave function) with spin-dependent correlations also. In this case we sample the sum over order of pair operators independently on the right and left. We use a positive definite function of  $\langle \Psi_I | \Psi_r \rangle$ .

VMC is just as before, calculate probability of a step from the square of the wave function and average the local energy.

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## DMC for Nuclear Case

Next Time.....

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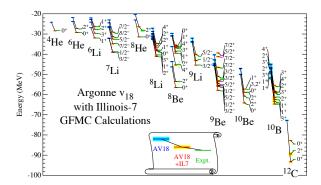
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#### What can we calculate?

#### **Energy Levels**



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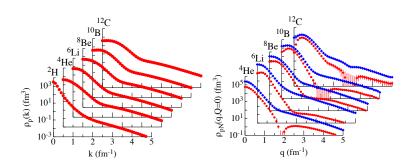
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## Momentum Distributions



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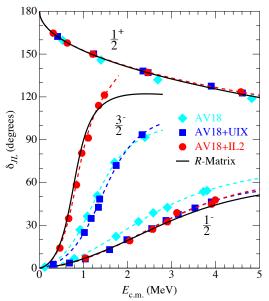
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## Scattering Phase Shifts



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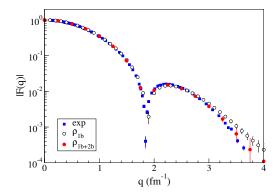
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#### **EM Form Factors**



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## Other Quantities

- Magnetic Moments
- M1, E2, and GT transition matrix elements
- electron, neutrino scattering
- ...