



# 3000788 Intro to Comp Molec Biol

## Lecture 4: Sequencing data processing

Fall 2025



**Sira Sriswasdi, PhD**

- Research Affairs
- Center of Excellence in Computational Molecular Biology (CMB)
- Center for Artificial Intelligence in Medicine (CU-AIM)

# Today's agenda



- Sequencing data file formats
- Quality check and processing of sequencing reads
- Read-to-genome alignment



# Sequencing data

# FASTA format

>NC\_000006.12:151654148-152129619 Homo sapiens chromosome 6, GRCh38.p13 Primary Assembly

→ **HEADER**

TATTGATTTTTGTGTAACATGTGTTTGTATATATCTATAACGAGAACTCAAGTCATACTGTAATCCTAT  
TTTGTAAACTGACTTTTTCTTTATCAGTATATCAAGATTATTTTCCACATCATTTGACATTTTTCT  
ACAGTGTAATTTAATGGCTACATTGTTTTCTATCCTATGAATATATCAAACCTATTTCTTAAAAACCTA  
CTCAGGGATTTTAAAAAATAAAACGATGTTTTAATATTATAAAGATTCAAGTATATTCTTATACG  
TACACATTTCTAAGGTTTGAGTTCTTACAAGATGCTGAACTAGCTAAGACTACTGGTTCATCTGTCAC  
ATAGGGAAAAATTATAGAAGGAAAACATCAAGATTTGGAAAAATCTGTGAGAATTGTTTTGCATTAGTGT  
GTAGGTGTGTGTGTTGGGGTGGTGGCTGCAGCTTGGGGCAGAGGCCTCAGGTGTGGCTGTGGAGTGATCA  
GATAGAGTTTTTGGAGTTCGGCTTTTGCCCCAGGACACTTGGTGCCTGCCCCCAGAGCTGCAGCCAGAA  
GGCCGTTCTCAGAGGTGAAGTCCAGGCAGTGAGGAGCTGTCTGCCAGTAGGCAGTTGAAGAAAAAAATG  
AGCTAGAGGAAAAAACAACAAAAACAAATCTCCTTCTAATGCTGCCAGGCTGCCGGGAGCTGGAAATGA  
AGCACTGACAGGAGTGGGTATTTATGGTGAAGGGAATAATCAACTGGTTTTTTTGGTACCCAAGACTTT  
CCACCTTCACACACACACATGAGATGCTTTGAAATAAAGATAGTCACTTGACTTAGTAAAGTTTGTTGAC  
ATAAAAATATGAGAAATACCAAAGAATACAAAAAGGAAAACCTTCGTTAATATTATTCACTTAAATTC  
CAGATTGTATCAACATTAAGGGGGTTGATGAAAACATGGGAGAAAGCCAAGGGACGTGAGATCGGGCTCA  
ATTCTTGACTTGCTGGGGGAAGGTATCAACACAGAACTTTTAAGAATTAGAAGGCATTAAAAAGAAATAG  
AAATCCTGAATCAAATTGAAACAGTAAAATAAAATAGTCCAAAGATGTGTAAATATATCACTATCACAAT

→ **SEQUENCE**

# FASTQ format



```
1 @ERR000589.41 EAS139_45:5:1:2:111/1
2 CTTTCCTCCCTGCTTTCCTGGCCCCACCATTTCAGGGAACATCTTGTCAT
3 +
4 3IIIIIIIIIIII>1IIIF9BG08E00I%IG+&?(4)%00646.C1#&(
5 @ERR000589.42 EAS139_45:5:1:2:1293/1
6 AGTTGTTAAAATCCAAGCCAATTAAGATAGTCTTATCTTTTAAAAGAAAT
7 +
8 IIIIIGII.AIIII=?I9G-/II=+I=4?761BA2C9I+5A711+&>1$/I
```

- Header: Location of cluster on Illumina's flow cell
- Sequence
- Quality score

# FASTQ for paired-end sequencing

tcrseq\_R2.fq ← File names denoted by \_R1 and \_R2 or \_1 and \_2

```
@M00578:762:JLPRW:1:2107:9088:4212 2:N:0:GAGTAAGA
TAACAACGACAGATCGGCG
+
-A,C@@<:@,C+CFFCFCG@
```

Same read ID

tcrseq\_R1.fq

```
@M00578:762:JLPRW:1:2107:9088:4212 1:N:0:GAGTAAGA
ACGGATGAACAATAAGACTGGTTCCTCTTCAAATGTAAGTACGTAGCCTCCTCCT-
GACCTCGCTGACAGCACAGAGATAAGTGGCTGAGTCTTCAGGCTGGGATCCTTTCTCTGTCTTATACACATCTCC
GAGCCCA
+
C9CCCGGCGGGFGGGGE<FGGGGGGF<FGGGGGGGGFFGGA<@FCGGGGGGGGGGDGEF8F-
GGGGGEGGGGGGGGGGEGFGGGFFGEEFCGFGGGEFGGFGGDGCFDGFGGFFGGGGGGGGGCGFC<CFGFGC,C==+@
FE
```

# Merged FASTQ for paired-end sequencing

<table><tr><td>C</td><td>A</td><td>T</td><td>T</td><td>G</td><td>A</td><td>C</td><td>A</td></tr><tr><td>32</td><td>34</td><td>20</td><td>20</td><td>28</td><td>16</td><td>14</td><td>10</td></tr></table>								C	A	T	T	G	A	C	A	32	34	20	20	28	16	14	10	Forward read						
C	A	T	T	G	A	C	A																							
32	34	20	20	28	16	14	10																							
Reverse read	<table><tr><td>T</td><td>A</td><td>G</td><td>A</td><td>C</td><td>A</td></tr><tr><td>2</td><td>5</td><td>4</td><td>8</td><td>12</td><td>20</td></tr></table>						T	A	G	A	C	A	2	5	4	8	12	20	T	T	Base calls									
	T	A	G	A	C	A																								
2	5	4	8	12	20																									
						38	40	Q scores																						
<table><tr><td>C</td><td>A</td><td>T</td><td>T</td><td>G</td><td>A</td><td>C</td><td>A</td><td>T</td><td>T</td></tr><tr><td>32</td><td>34</td><td>22</td><td>16</td><td>35</td><td>28</td><td>30</td><td>34</td><td>38</td><td>40</td></tr></table>										C	A	T	T	G	A	C	A	T	T	32	34	22	16	35	28	30	34	38	40	Consensus
C	A	T	T	G	A	C	A	T	T																					
32	34	22	16	35	28	30	34	38	40																					
										Posterior Qs																				
			↑	Mismatch						Merged read																				

[https://drive5.com/usearch/manual8.1/merge\\_pair.html](https://drive5.com/usearch/manual8.1/merge_pair.html)

- Forward & reverse reads must overlap (read length > fragment length)
- **Example:** 300bp paired-end sequencing of 16S rRNA genes

# Sequencing quality score (Phred score)

ASCII\_BASE=33 Illumina, Ion Torrent, PacBio and Sanger

Q	P_error	ASCII	Q	P_error	ASCII	Q	P_error	ASCII	Q	P_error	ASCII
0	1.00000	33 !	11	0.07943	44 ,	22	0.00631	55 7	33	0.00050	66 B
1	0.79433	34 "	12	0.06310	45 -	23	0.00501	56 8	34	0.00040	67 C
2	0.63096	35 #	13	0.05012	46 .	24	0.00398	57 9	35	0.00032	68 D
3	0.50119	36 \$	14	0.03981	47 /	25	0.00316	58 :	36	0.00025	69 E
4	0.39811	37 %	15	0.03162	48 0	26	0.00251	59 ;	37	0.00020	70 F
5	0.31623	38 &	16	0.02512	49 1	27	0.00200	60 <	38	0.00016	71 G
6	0.25119	39 '	17	0.01995	50 2	28	0.00158	61 =	39	0.00013	72 H
7	0.19953	40 (	18	0.01585	51 3	29	0.00126	62 >	40	0.00010	73 I
8	0.15849	41 )	19	0.01259	52 4	30	0.00100	63 ?	41	0.00008	74 J
9	0.12589	42 *	20	0.01000	53 5	31	0.00079	64 @	42	0.00006	75 K
10	0.10000	43 +	21	0.00794	54 6	32	0.00063	65 A			

[https://www.drive5.com/usearch/manual/quality\\_score.html](https://www.drive5.com/usearch/manual/quality_score.html)

- Q score =  $-10 \times \log_{10}(\text{base call error rate})$
- Base call error of 10%  $\rightarrow$  Q score = +
- Base call error of 0.0001  $\rightarrow$  Q score = 1



# Increased error toward the ends of read

@ERR000589.41 EAS139\_45:5:1:2:111/1  
CTTTCCTCCCTGCTTTCCTGGCCCCACCATTTCAGGGAACATCTTGTCAT  
+  
3IIIIIIIIIIIIII>1IIIFF9BG08E00I%IG+&?(4)%00646.C1#&(

ASCII\_BASE=33 Illumina, Ion Torrent, PacBio and Sanger

Q	P_error	ASCII	Q	P_error	ASCII	Q	P_error	ASCII	Q	P_error	ASCII
0	1.00000	33 !	11	0.07943	44 ,	22	0.00631	55 7	33	0.00050	66 B
1	0.79433	34 "	12	0.06310	45 -	23	0.00501	56 8	34	0.00040	67 C
2	0.63096	35 #	13	0.05012	46 .	24	0.00398	57 9	35	0.00032	68 D
3	0.50119	36 \$	14	0.03981	47 /	25	0.00316	58 :	36	0.00025	69 E
4	0.39811	37 %	15	0.03162	48 0	26	0.00251	59 ;	37	0.00020	70 F
5	0.31623	38 &	16	0.02512	49 1	27	0.00200	60 <	38	0.00016	71 G
6	0.25119	39 '	17	0.01995	50 2	28	0.00158	61 =	39	0.00013	72 H
7	0.19953	40 (	18	0.01585	51 3	29	0.00126	62 >	40	0.00010	73 I
8	0.15849	41 )	19	0.01259	52 4	30	0.00100	63 ?	41	0.00008	74 J
9	0.12589	42 *	20	0.01000	53 5	31	0.00079	64 @	42	0.00006	75 K
10	0.10000	43 +	21	0.00794	54 6	32	0.00063	65 A			

# Nanopore FAST5 format

```
HDF5 "/home3/ont/lambda_fcl/downloads/pass/vgb_20170110_FNFAB46402_MN19940_sequencing_run_lambdacontrol_10012017_23602_ch9_read939_strand.fast5" {  
  DATASET "/Raw/Reads/Read_939/Signal" {  
    DATATYPE H5T_STD_I16LE  
    DATASPACE SIMPLE { ( 142677 ) / ( H5S_UNLIMITED ) }  
    DATA {  
      1216, 653, 494, 487, 468, 478, 510, 535, 506, 454, 476, 483, 475, 488,  
      472, 505, 474, 474, 488, 485, 480, 493, 481, 479, 485, 481, 472, 491, 493,  
      480, 480, 487, 477, 500, 484, 488, 486, 493, 458, 480, 491, 487, 477, 489,  
      478, 485, 476, 489, 486, 488, 490, 480, 480, 484, 493, 475, 486, 477, 478,  
      489, 481, 482, 492, 480, 474, 486, 426, 483, 508, 486, 487, 479, 476, 486,  
      473, 485, 487, 484, 456, 485, 484, 466, 466, 483, 484, 484, 474, 480, 498,  
      481, 484, 483, 477, 479, 473, 488, 482, 480, 478, 496, 479, 490, 489, 483,  
      487, 473, 477, 479, 478, 480, 474, 475, 472, 475, 486, 498, 503, 481, 493,  
      485, 475, 488, 478, 487, 478, 488, 488, 481, 488, 487, 481, 483, 478, 481
```

```
GROUP "/Raw/Reads/Read_939" {  
  ATTRIBUTE "duration" {  
    DATATYPE H5T_STD_U32LE  
    DATASPACE SCALAR  
    DATA {  
      (0): 142677  
    }  
}
```

```
  ATTRIBUTE "read_id" {  
    DATATYPE H5T_STRING {  
      STRSIZE 37;  
      STRPAD H5T_STR_NULLTERM;  
      CSET H5T_CSET_ASCII;  
      CTYPE H5T_C_S1;  
    }  
}
```

<https://bioinformatics.cvr.ac.uk/exploring-the-fast5-format/>

- Ion flow rate data through each nanopore, with time stamps
- **FAST5** is an **HDF5** file (a specialized compression for scientific datasets)



# Quality check for sequencing data

# FastQC tool

## Basic Statistics












Measure	Value
Filename	small_rna.fastq.gz
File type	Conventional base calls
Encoding	Sanger / Illumina 1.9
Total Sequences	250000
Sequences flagged as poor quality	0
Sequence length	100
%GC	45

Check number of reads and read length

## FastQC Report

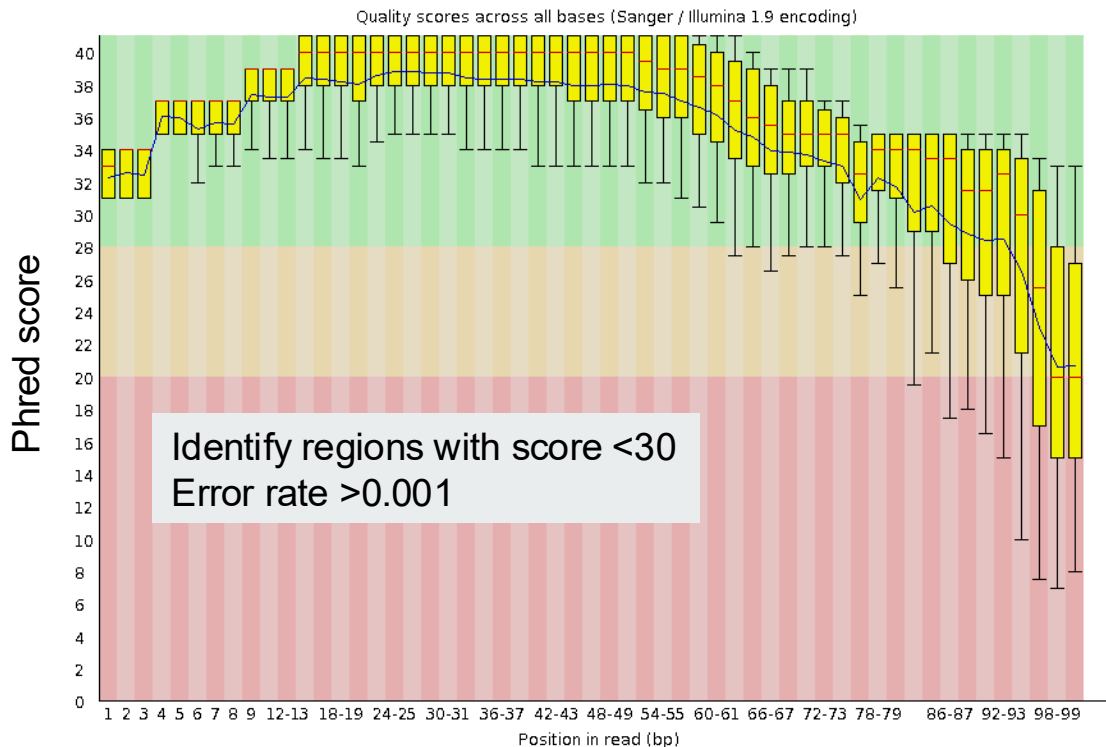
### Summary



-  [Basic Statistics](#)
-  [Per base sequence quality](#)
-  [Per tile sequence quality](#)
-  [Per sequence quality scores](#)
-  [Per base sequence content](#)
-  [Per sequence GC content](#)
-  [Per base N content](#)
-  [Sequence Length Distribution](#)
-  [Sequence Duplication Levels](#)
-  [Overrepresented sequences](#)
-  [Adapter Content](#)

# Base calling quality

## ⚠ Per base sequence quality



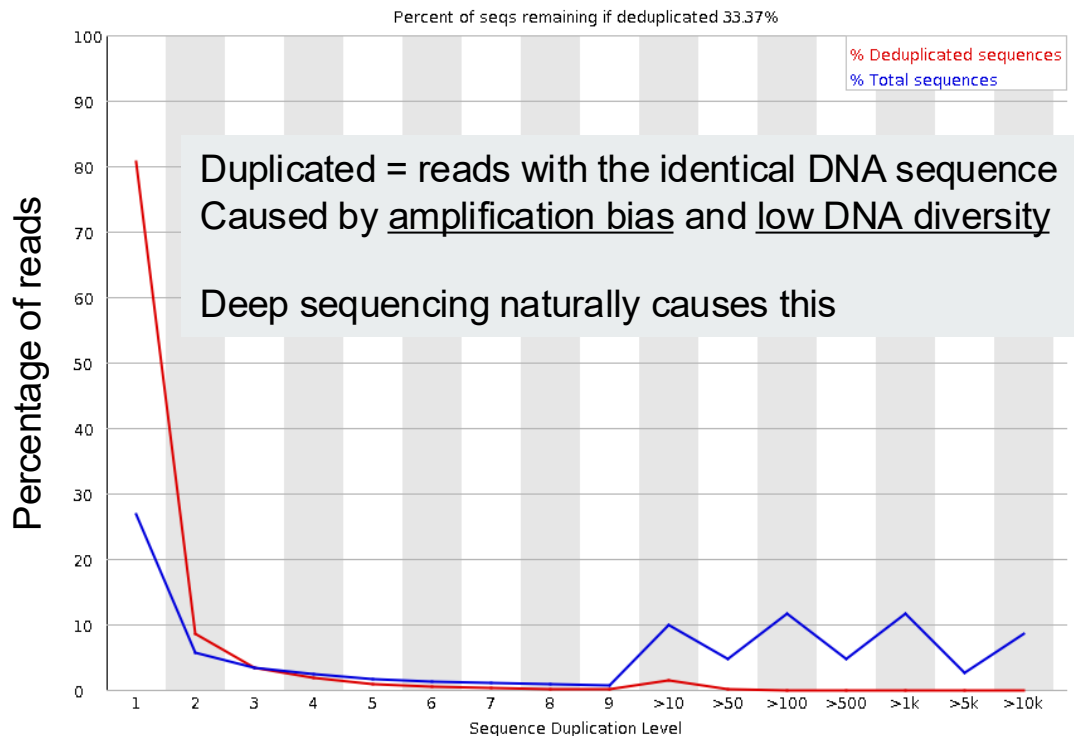
## FastQC Report

### Summary

- ➔
- ✓ [Basic Statistics](#)
  - ⚠ [Per base sequence quality](#)
  - ✓ [Per tile sequence quality](#)
  - ✓ [Per sequence quality scores](#)
  - ✗ [Per base sequence content](#)
  - ✗ [Per sequence GC content](#)
  - ✓ [Per base N content](#)
  - ✓ [Sequence Length Distribution](#)
  - ✗ [Sequence Duplication Levels](#)
  - ✗ [Overrepresented sequences](#)
  - ✗ [Adapter Content](#)

# Duplicated reads

## ❌ Sequence Duplication Levels



## FastQC Report

### Summary

- ✓ [Basic Statistics](#)
- ! [Per base sequence quality](#)
- ✓ [Per tile sequence quality](#)
- ✓ [Per sequence quality scores](#)
- ❌ [Per base sequence content](#)
- ❌ [Per sequence GC content](#)
- ✓ [Per base N content](#)
- ✓ [Sequence Length Distribution](#)
- ❌ [Sequence Duplication Levels](#)
- ❌ [Overrepresented sequences](#)
- ❌ [Adapter Content](#)

# Possible adapter read-through

## ❌ Overrepresented sequences

Sequence	Count	Percentage	Possible Source
TGAGGTAGTAGATTGTATAGTTAGATCGGAAGAGCACACGTCTGAACTCC	10865	4.346	Illumina Multiplexing PCR Primer 2.01 (100% over 28bp)
TAGCTTATCAGACTGATGTTGACAGATCGGAAGAGCACACGTCTGAACTC	10845	4.338	Illumina Multiplexing PCR Primer 2.01 (100% over 27bp)
TCTTTGGTTATCTAGCTGTATGAGATCGGAAGAGCACACGTCTGAACTCC	7062	2.8247999999999998	Illumina Multiplexing PCR Primer 2.01 (100% over 28bp)
TCTTTGGTTATCTAGCTGTATGAAGATCGGAAGAGCACACGTCTGAACTC	4056	1.6223999999999998	Illumina Multiplexing PCR Primer 2.01 (100% over 27bp)
TGAGGTAGTAGTTTGTGCTGTTAGATCGGAAGAGCACACGTCTGAACTCC	3737	1.4948	Illumina Multiplexing PCR Primer 2.01 (100% over 28bp)
TGAGGTAGTAGTTTGTACAGTTAGATCGGAAGAGCACACGTCTGAACTCC	3549	1.4196	Illumina Multiplexing PCR Primer 2.01 (100% over 28bp)
TGAGGTAGTAGTTGTATGGTTAGATCGGAAGAGCACACGTCTGAACTCC	2931	1.1724	Illumina Multiplexing PCR Primer 2.01 (100% over 28bp)
AACCCGTAGATCCGATCTTGTAGATCGGAAGAGCACACGTCTGAACTCCA	1910	0.764	Illumina Multiplexing PCR Primer 2.01 (100% over 29bp)
CGCGACCTCAGATCAGACGTAGATCGGAAGAGCACACGTCTGAACTCCAG	1749	0.6996	Illumina Multiplexing PCR Primer 2.01 (100% over 30bp)
TGAGGTAGTAGTTGTATAGTTAGATCGGAAGAGCACACGTCTGAACTCC	1647	0.6588	Illumina Multiplexing PCR Primer 2.01 (100% over 28bp)
TCTTTGGTTATCTAGCTGTATAGATCGGAAGAGCACACGTCTGAACTCCA	1622	0.6487999999999999	Illumina Multiplexing PCR Primer 2.01 (100% over 29bp)
TAGCTTATCAGACTGATGTTGATAGATCGGAAGAGCACACGTCTGAACTC	1328	0.5312	Illumina Multiplexing PCR Primer 2.01 (100% over 27bp)
TTCAGTAAATCCAGGATAGGCTAGATCGGAAGAGCACACGTCTGAACTCC	1248	0.4992	Illumina Multiplexing PCR Primer 2.01 (100% over 28bp)
AGCAGCATTGTACAGGGCTATGAAGATCGGAAGAGCACACGTCTGAACTC	1248	0.4992	Illumina Multiplexing PCR Primer 2.01 (100% over 27bp)

Check whether reads contain sequencing adapter  
Must be removed!

## FastQC Report

### Summary

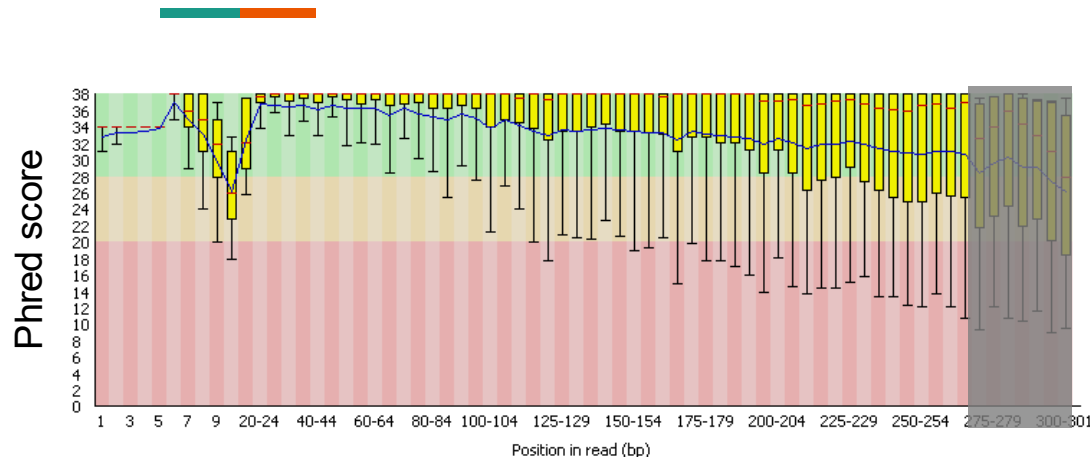
- ✅ [Basic Statistics](#)
- ⚠️ [Per base sequence quality](#)
- ✅ [Per tile sequence quality](#)
- ✅ [Per sequence quality scores](#)
- ❌ [Per base sequence content](#)
- ❌ [Per sequence GC content](#)
- ✅ [Per base N content](#)
- ✅ [Sequence Length Distribution](#)
- ❌ [Sequence Duplication Levels](#)
- ❌ [Overrepresented sequences](#)
- ❌ [Adapter Content](#)



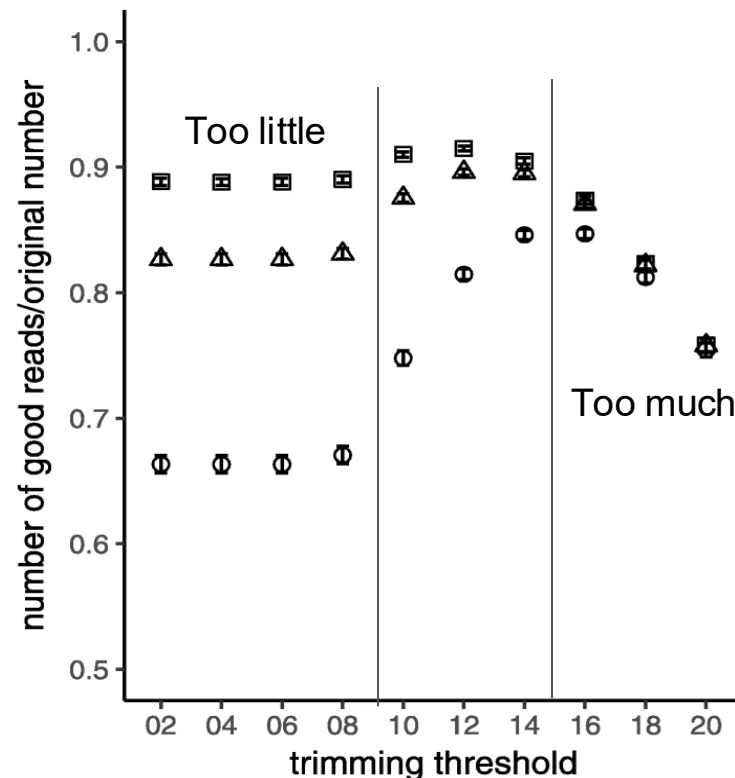
# Read trimming



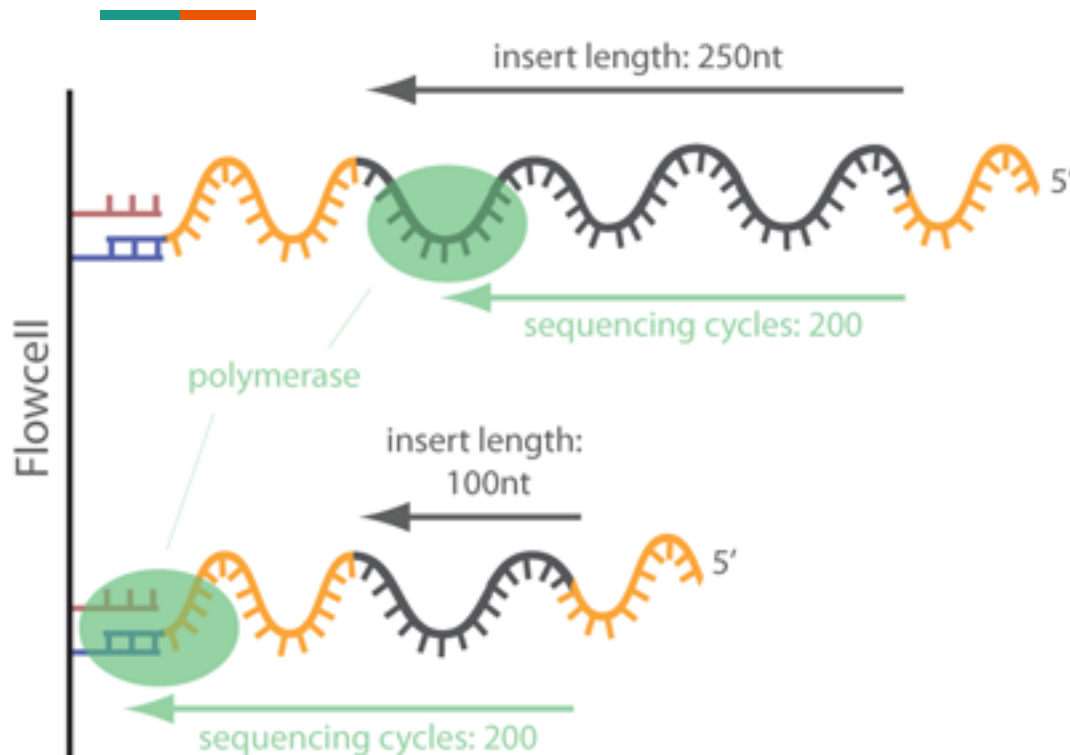
# Quality trimming



- Remove bases from each end until a minimum quality is reached
  - Or remove a specific number of bases
- Lose some reads but lead to better results



# Adapter trimming



## List of known sequencing adapters

[main](#) [Trimmomatic](#) / adapters /



TonyBolger Parallel Compression

..



NexteraPE-PE.fa



TruSeq2-PE.fa



TruSeq2-SE.fa



TruSeq3-PE-2.fa



TruSeq3-PE.fa



TruSeq3-SE.fa

# Example of read trimming command



```
trimmomatic PE -threads 4 SRR_1056_1.fastq SRR_1056_2.fastq \  
    SRR_1056_1.trimmed.fastq SRR_1056_1un.trimmed.fastq \  
    SRR_1056_2.trimmed.fastq SRR_1056_2un.trimmed.fastq \  
    ILLUMINACLIP:SRR_adapters.fa SLIDINGWINDOW:4:20
```

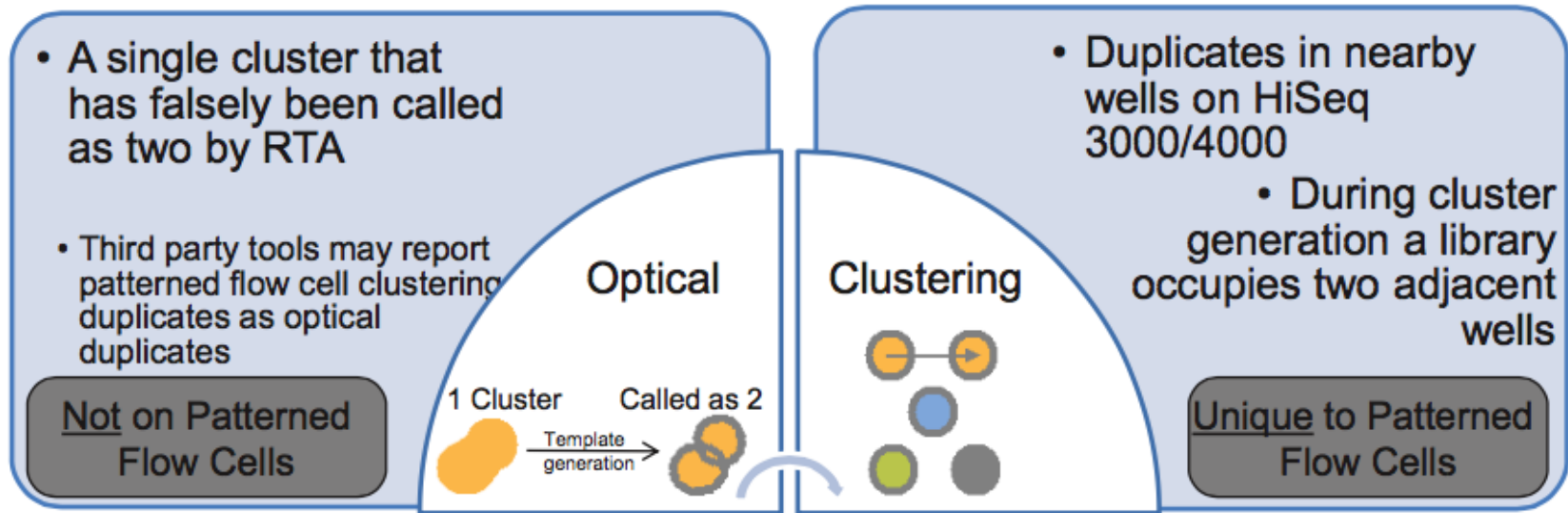
- Use **4 CPU threads**
- Output to trimmed reads to **.trimmed files**
- Output discarded reads to **un.trimmed files**
  - Length became too short after trimming
- Remove adapter sequences listed in **SRR\_adapters.fa**
- Check quality score in a sliding window
  - **Average Phred score  $\leq 20$  among 4 consecutive nucleotides**



# Deduplication

# Duplicated reads from technical error

Illumina, 2016



- Same DNA molecule amplified into adjacent clusters in flow cells
- A large cluster was erroneously read as two clusters

# Duplicated reads likely came from the same DNA

(x, y) coordinate on the flow cell

```
@SIM:1:FCX:1:15:6329:1045:GATTACT+GTCTTAAC 1:N:0:ATCCGA  
TCGCACTCAACGCCCTGCATATGACAAGACAGAATC  
+  
<>;##=><9=AAAAAAAAAA9#:<#<;<<????#=#
```

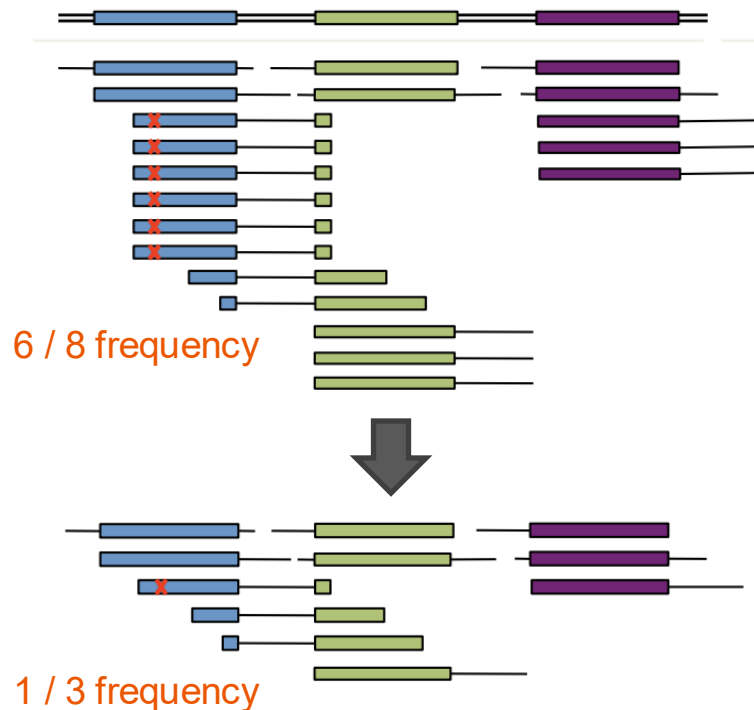
- Reads with identical sequences or mapped positions on the genomes
  - Unlikely to generate multiple identical DNA fragments by chance
  - Retain only one read for each group
- **Illumina:** Nearby cluster coordinates in the header

# Problems caused by duplicated reads

- Lead to incorrect frequency estimates
  - Gene expression level
  - Variant allele frequency
- Many tools can de-duplicate reads
  - Perform after alignment
  - No extra parameters required

```
java -jar picard.jar MarkDuplicates \  
  I=input.bam \  
  O=marked_duplicates.bam \  
  M=marked_dup_metrics.txt
```

```
samtools markdup positionsort.bam markdup.bam
```





# GATK workflow



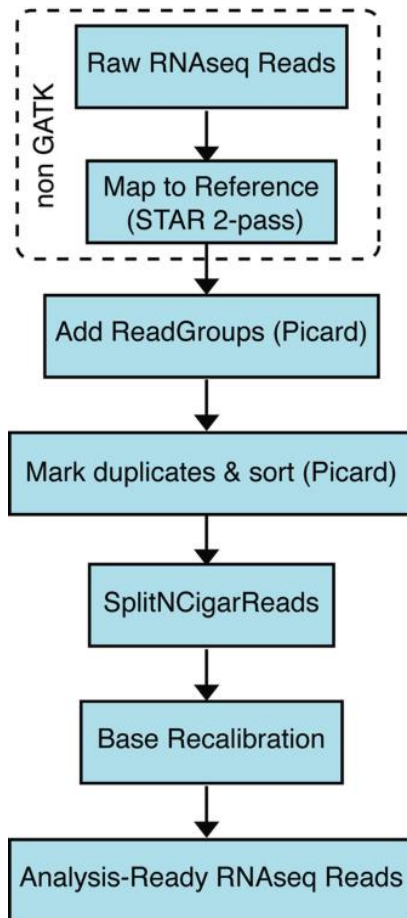
# The Genome Analysis Toolkit (GATK)



- Developed by Broad Institute since 2010
- Core software uses Java language, with plugins from R and Python
- Industry standard for variant calling workflow

# GATK data processing steps

- Performed after sequence alignment
- Key steps:
  - Mark duplicates
  - Split reads based on N (unknown base)
    - AACTANCTGAGA → AACTA and CTGAGA
  - Recalibrate quality scores
    - Identify systematic error and apply correction
    - **Example:** 1% more error after reading AAA
    - **Example:** 2% more error after position 120
    - Trained using common variants as truth





# Sequence alignment:

## Read-to-genome

# Dynamic programming alone is not enough

Dynamic programming matrix:

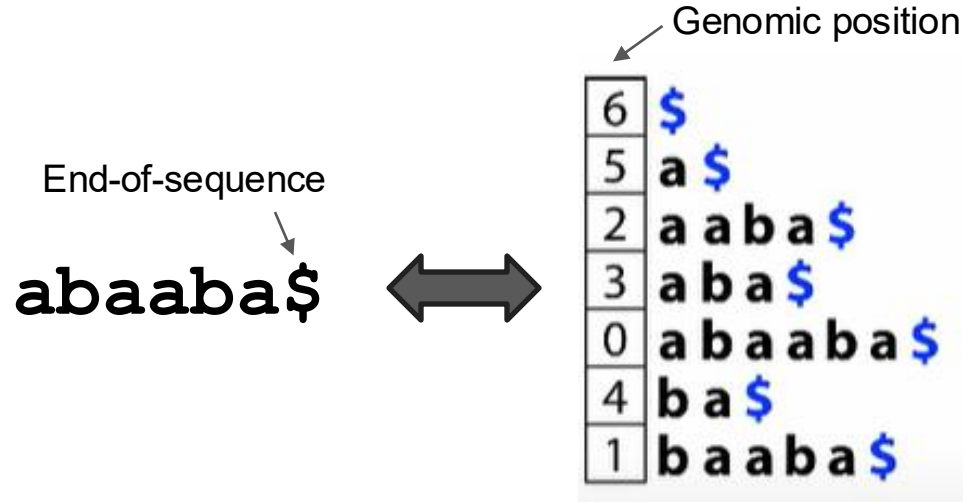
		j → (sequence y)								
		0	1	2	3	4	5	6	7	8 = N
			T	G	C	T	C	G	T	A
i ↓ (sequence x)	0	0	-6	-12	-18	-24	-30	-36	-42	-48
	1 T	-6	5	-1	-7	-13	-19	-25	-31	-37
	2 T	-12	-1	3	-3	-2	-8	-14	-20	-26
	3 C	-18	-7	-3	8	2	3	-3	-9	-15
	4 A	-24	-13	-9	2	6	0	1	-5	-4
	5 T	-30	-19	-15	-4	7	4	-2	6	0
	M = 6 A	-36	-25	-21	-10	1	5	2	0	11

Optimum alignment scores 11:

T	-	-	T	C	A	T	A
T	G	C	T	C	G	T	A
+5	-6	-6	+5	+5	-2	+5	+5

- Aligning a 150 bp read to a human genome would create a 150 by  $3 \times 10^9$  table!
- 10 million reads = 10 million searches
- We need a faster strategy

# Indexing



FM index by Ben Langmead

- The genome is static → We can preprocess beforehand and reuse
- Indexing create a lookup table like alphabetical order in dictionary
  - Generate all possible short DNA fragments and sort

# Suffix array



Reference Sequence

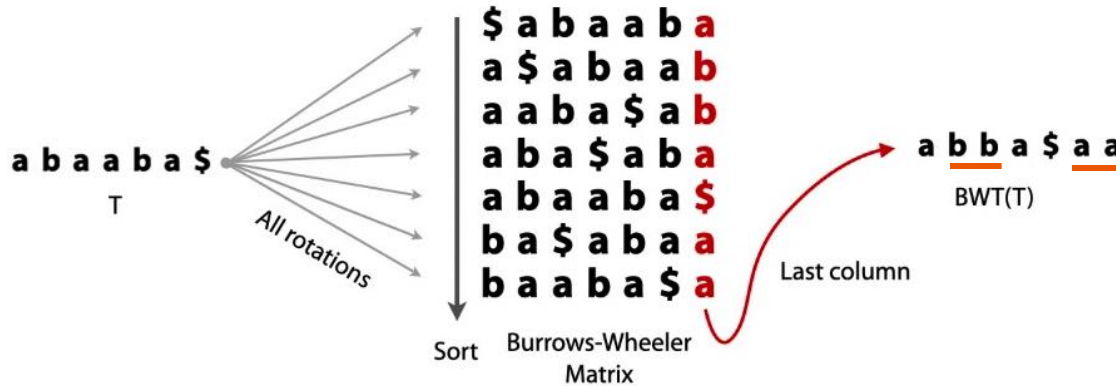
ATTGCAGTCCG



- Suffix = ending part of a string
- Organize suffixes in an easily searchable data structure
- Also record the start positions

AGTCCG	6
ATTGCAGTCCG	1
CAGTCCG	5
CCG	9
CG	10
G	11
GCAGTCCG	4
GTCCG	7
TCCG	8
TGCAGTCCG	3
TTGCAGTCCG	2

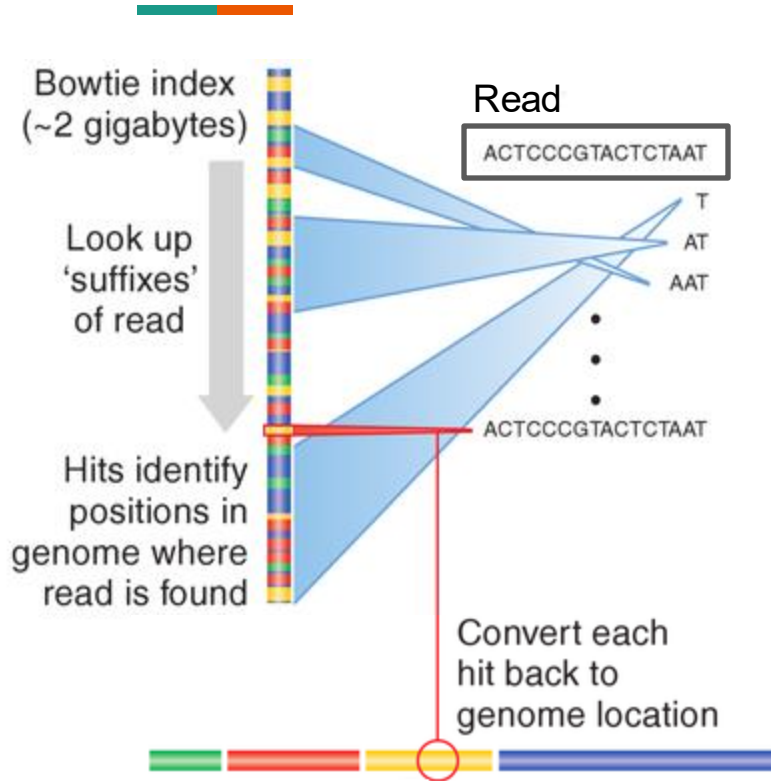
# Burrows-Wheeler transform



Burrows, M. and Wheeler, D.J. A block sorting lossless data compression algorithm. 1994

- BWT tends to group the same character consecutively
  - Make the data easier to describe/compress: **ab2a\$a2**
- BWT is reversible: can recover the original position

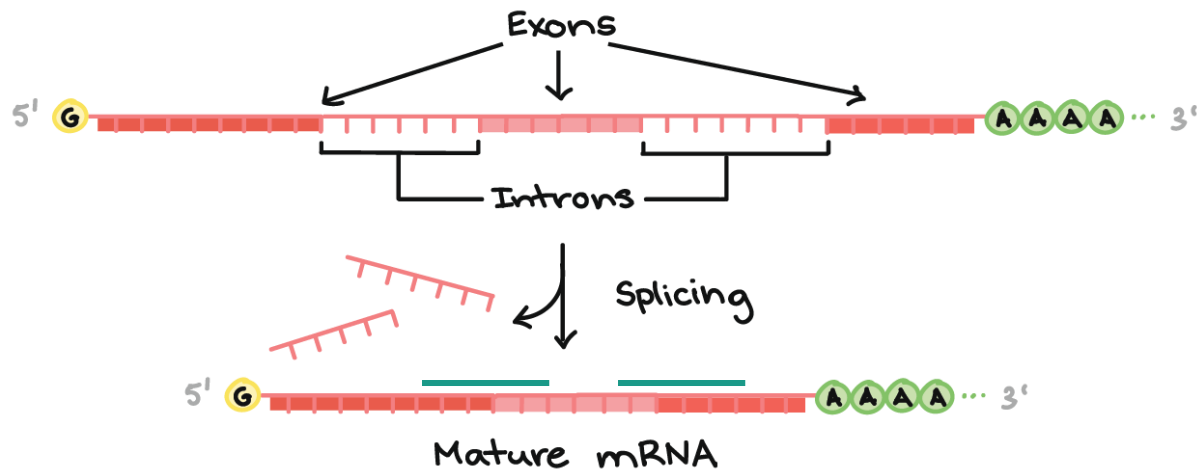
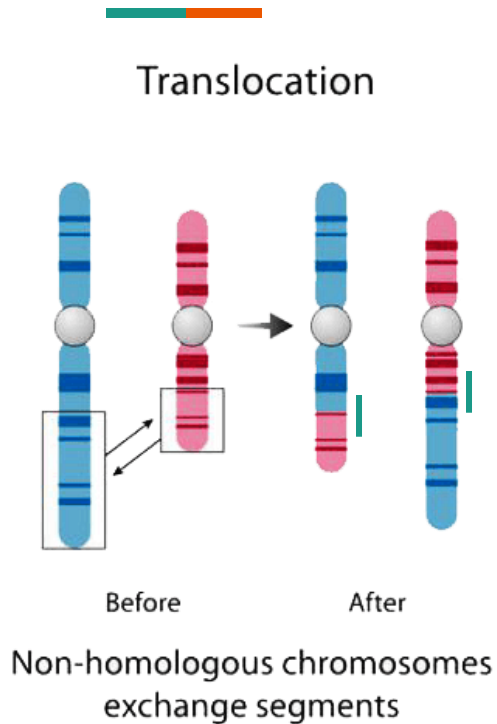
# Genome-scale alignment



- Use BWT to index the genome
- 20x smaller memory than simple indexing for human genome
- 30x faster search speed

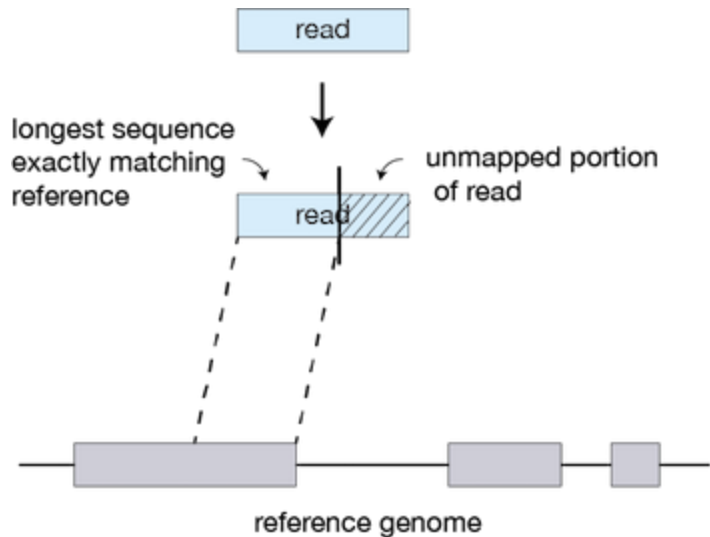


# The need for gapped alignment

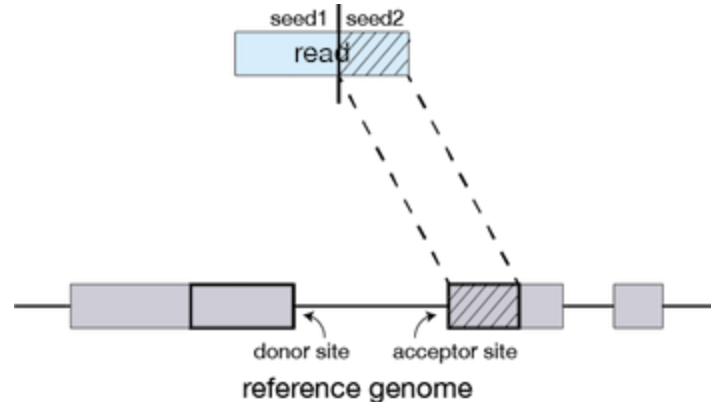
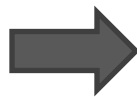


- A read can span a long genomic region due to translocation (DNA) or splicing (RNA)

# Gapped alignment



Essential for aligning RNA-seq data (spliced) and identifying translocation/gene fusion



[https://hbctraining.github.io/Intro-to-rnaseq-hpc-O2/lessons/03\\_alignment.html](https://hbctraining.github.io/Intro-to-rnaseq-hpc-O2/lessons/03_alignment.html)

- Split read into two segments
- Align each segment separately → Look for nearby hits

# Typical genome alignment commands



## - Bowtie2

- Index a genome database (FASTA file)

```
bowtie-build GRCh38.fasta GRCh38_db
```

- Perform alignment

```
bowtie -x GRCh38_db -1 sample1_R1.fastq -2 sample1_R2.fastq  
-sam --threads 8 sample1.sam
```

## - BWA

- Index a genome

```
bwa index GRCh38.fasta
```

- Perform alignment

```
bwa mem sample1_R1.fastq sample1_R2.fastq > sample1.sam
```



# Sequence alignment results

# Sequence Alignment Map (SAM)

Sort Order = by genomic coordinate

@HD VN:1.6 SO:coordinate

SN = reference sequence's name (FASTA header)

@SQ SN:ref LN:45

LN = reference sequence's length

r001 99 ref 7 30 8M2I4M1D3M = 37 39 TTAGATAAAGGATACTG

r002 0 ref 9 30 3S6M1P1I4M \* 0 0 AAAAGATAAGGATA

r003 0 ref 9 30 5S6M \* 0 0 GCCTAAGCTAA

r004 0 ref 16 30 6M14N5M \* 0 0 ATAGCTTCAGC

r003 2064 ref 29 17 6H5M \* 0 0 TAGGC

r001 147 ref 37 30 9M = 7 -39 CAGCGGCAT

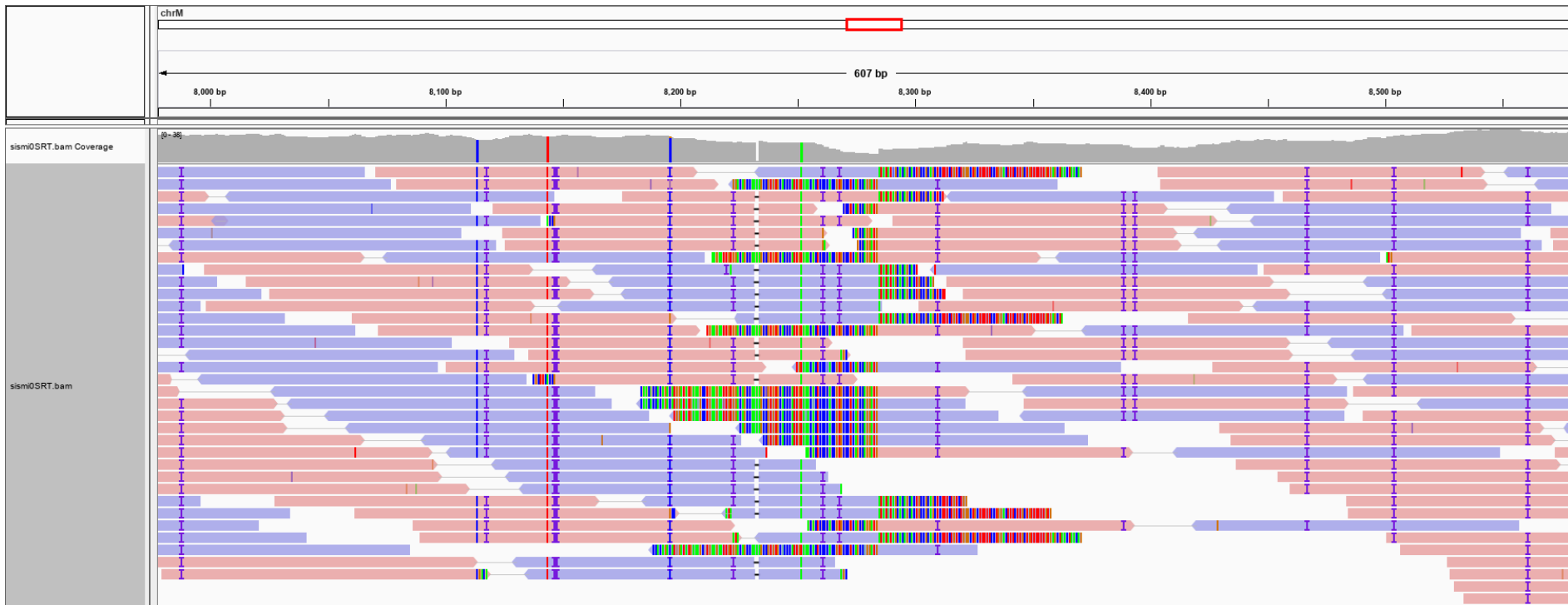
- r001 = read name (from sequencing FASTQ)
- ref = reference sequence name (from genomic FASTA)
- 7 = first position on the reference sequence
- 30 = Mapping quality score =  $-10 \times \log_{10}(\text{error})$
- 8M2I4M1D3M = **CIGAR string** = matches, insertion, deletion information

# SAM file manipulation



- Sequence alignment results can be **sorted**, **indexed**, **filtered**, and **zipped**
- BAM is a zipped version of SAM (~40% of the size)
- Sorting and indexing makes alignment results in BAM file easier to be located and analyzed
- Performed with **samtools**

# Integrated Genomics Viewer (IGV)



# Pileup format

Sequence	Position	Reference Base	Read Count	Read Results	Quality
seq1	272	T	24	,.\$.....^+.	<<<+;<<<<<<<<<=<;<;7<&
seq1	273	T	23	,.....A	<<<;<<<<<<<<3<=<<<;<<+
seq1	274	T	23	,.\$.....	7<7;<;<<<<<<<=<;<;<<6
seq1	275	A	23	,\$......^1.	<+;9*<<<<<<<<=<<:;<<<<
seq1	276	G	22	...T,.....	33;+<<7=7<<7<&<<1;<<6<
seq1	277	T	22	.....C.....G.	+7<;<<<<<<<&<=<<:;<<&<
seq1	278	G	23	.....^k.	%38*<<;<7<<7<=<<<;<<<<<
seq1	279	C	23	A..T,.....	75&<<<<<<<<=<<<9<<:<<<

Image from wikipedia

- Focus on each base pair position
  - Summarize match, mismatch, and gap from multiple reads



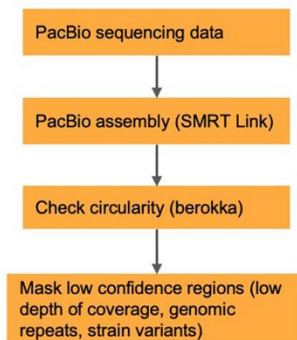


# Long-read data handling

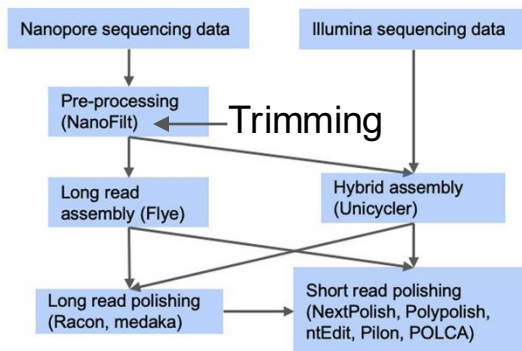
# Assembly-polish

- With long-read, **assembly** was performed before **alignment** to genome
- **Polishing** = correct error using raw signals and consensus sequences from multiple reads

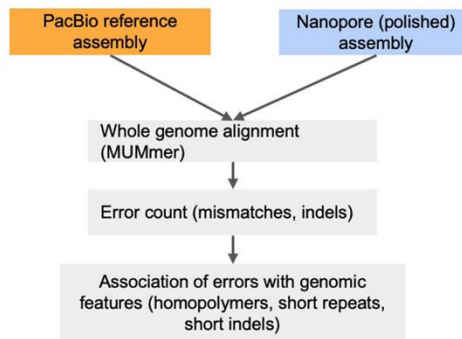
## A) Reference assembly generation



## B) Nanopore assembly and polishing pipeline



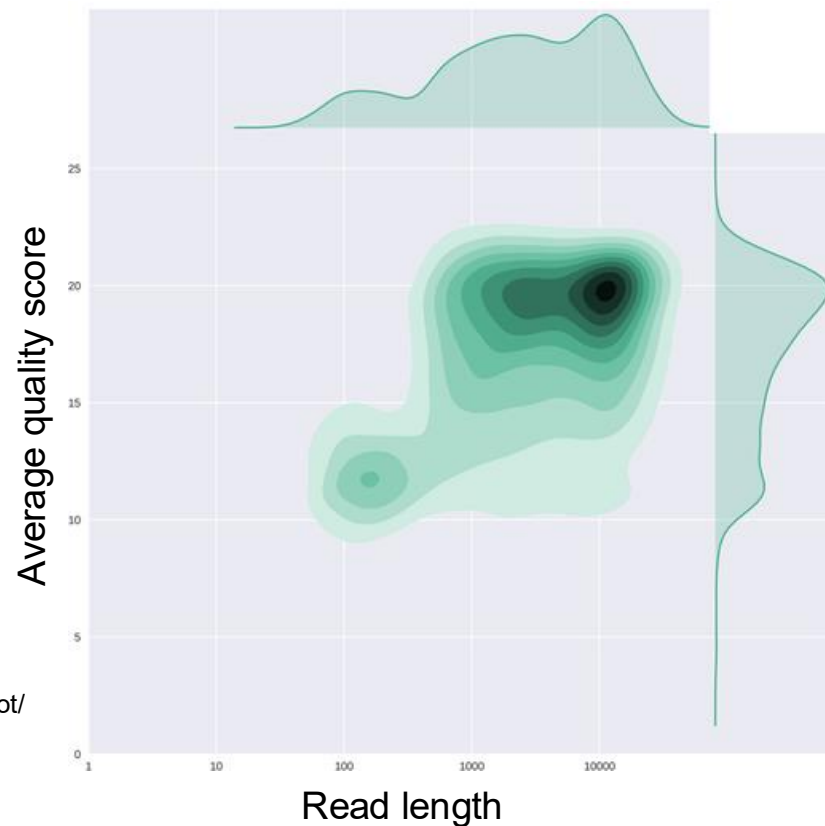
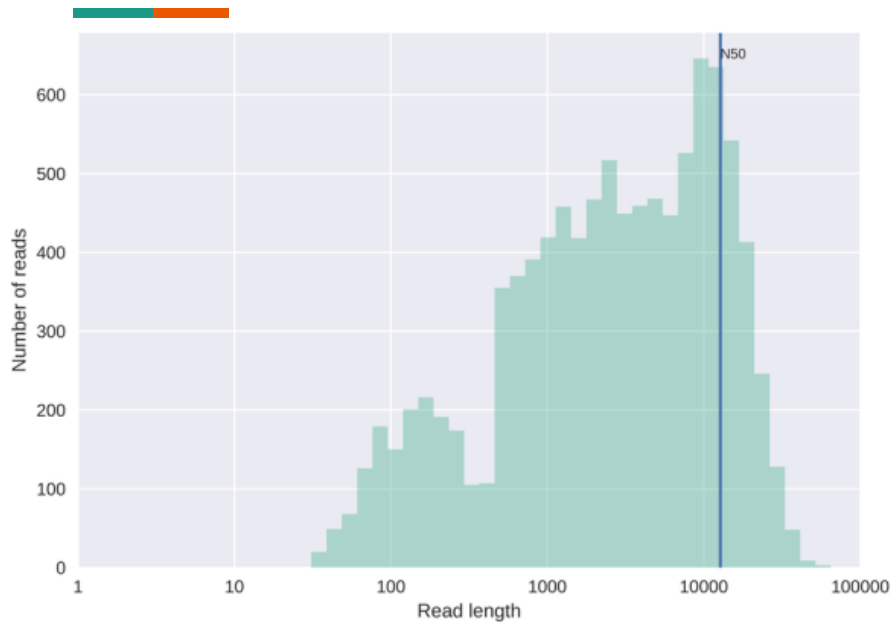
## C) Assembly quality assessment



## D) Top 5 polishing pipelines

Assembler	Long read polisher 1	Long read polisher 2	Short read polisher	Median number of nucleotide errors across genome
Unicycler	Racon_4x	medaka	NextPolish_4x	5
Flye	Raxon_4x	medaka	NextPolish_4x	6
Unicycler	medaka	None	NextPolish_4x	6
Flye	medaka	None	NextPolish_4x	7
Unicycler	medaka	None	POLCA_4x	7

# QC with NanoPlot



<https://gigabaseorgigabyte.wordpress.com/2017/06/01/example-gallery-of-nanoplot/>

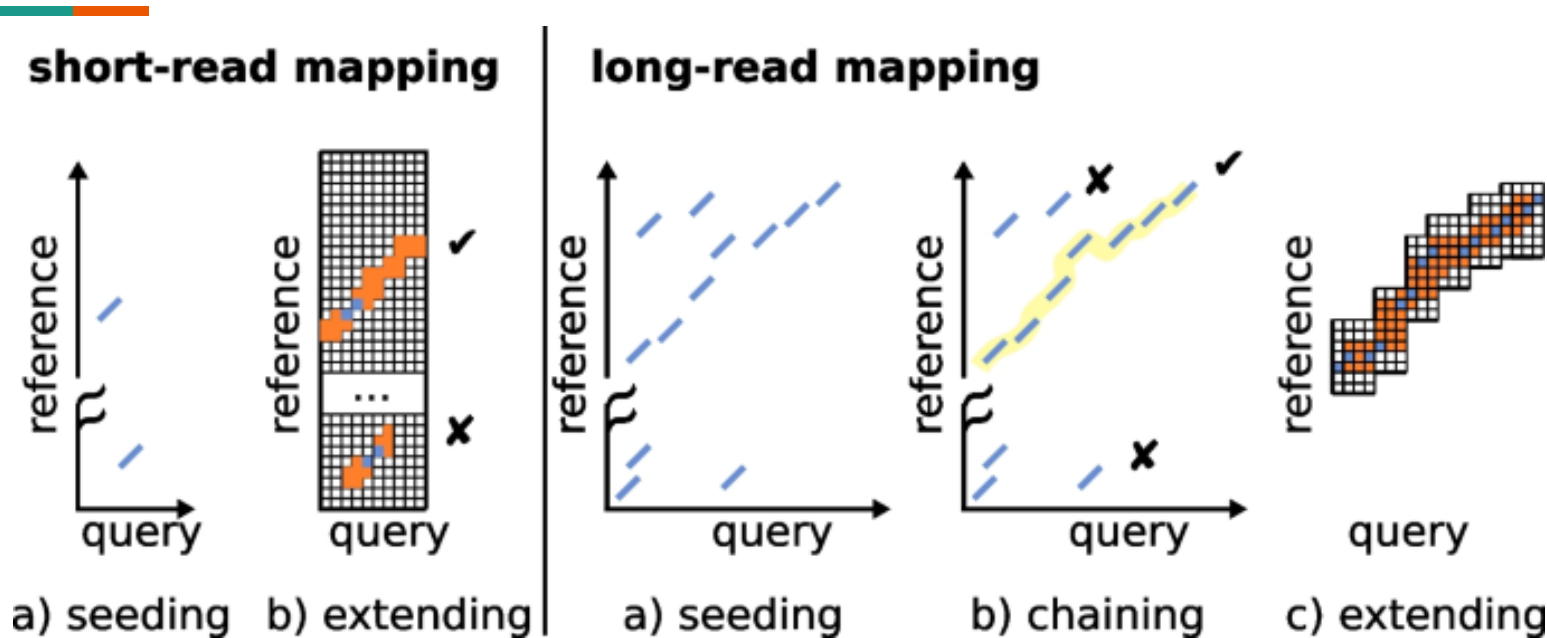
- Read length and quality scores

# Nanopore polishing algorithms and tools



- Many tools: Nanopolish, Medaka, Racon, etc.
- Consensus sequence called by performing multiple sequence alignment among reads
- Repeatedly edit the consensus sequence and compare theoretical signal to the observed signal, maximizing the probability
- Polish using long-read or short-read data (if available)

# Seed-and-chain alignment for long-read data



Sahlin, K. et al. Genome Biology 24:133 (2023)

- **Minimap2**: Index unique DNA subsequences (minimizers)

# Any question?



- See you next time