

**Problem 1.**

- (a) Considering  $\mathbb{C}$  as a  $\mathbb{R}$ -vector space, find a basis for  $\mathbb{C}$ .
- (b) Considering  $\mathbb{C}$  as a  $\mathbb{C}$ -vector space, find a basis for  $\mathbb{C}$ .

- (a) *Proof.* A basis for  $\mathbb{C}$  as a  $\mathbb{R}$ -vector space is  $B = \{1, i\}$ . This is shown to be a basis by the following: Let  $a + bi \in \mathbb{C}$  then consider the linear combination  $a(1) + b(i) = a + bi$  as an arbitrary element of  $\mathbb{C}$  is a linear combination of the elements of  $B$  we have  $\text{Span}(B) = \mathbb{C}$ . Lastly to show linear independence of  $B$  consider the linear combination  $a(1) + b(i) = 0 = 0 + 0i$  as two complex numbers are equal if and only if their real parts are equal and imaginary parts are equal we get  $a = 0$  and  $b = 0$ . Thus  $B$  is a basis for  $\mathbb{C}$  as a  $\mathbb{R}$ -vector space.  $\square$
- (b) *Proof.* A basis for  $\mathbb{C}$  as a  $\mathbb{C}$ -vector space is given by  $B = \{1 + i\}$ . Let  $a + bi \in \mathbb{C}$  then consider the linear combination  $(\frac{a+b}{2} + \frac{b-a}{2}i)(1 + i) = \frac{a+b}{2} + \frac{a+b}{2}i + \frac{b-a}{2}i - \frac{b-a}{2} = a + bi$  as an arbitrary element of  $\mathbb{C}$  is a linear combination of the element of  $B$  we have  $\text{Span}(B) = \mathbb{C}$ . Note that  $\frac{a+b}{2} + \frac{b-a}{2}i \in \mathbb{C}$  is a scalar as this is a  $\mathbb{C}$ -vector space. Lastly to show linear independence of  $B$  consider the linear combination  $a + bi \in \mathbb{C}$  and  $1 + i \in B$  we have  $(a + bi)(1 + i) = 0$  if and only if  $a + bi = 0$  as  $\mathbb{C}$  is a field hence no zero divisors.  $\square$

**Problem 2.** Let  $V$  be a  $\mathbb{K}$ -vector space, and suppose that  $S_1, S_2$  are subsets of  $V$  satisfying the following conditions:

- $S_1$  and  $S_2$  are both finite.
- $S_1 \cap S_2 = \emptyset$ .
- $S_1 \cup S_2$  is a linearly independent set.

- (a) Prove that  $\text{Span}(S_1 \cup S_2) = \text{Span}(S_1) \oplus \text{Span}(S_2)$ .
- (b) What would change about the claim in (a) if  $S_1 \cup S_2$  was not assumed to be linearly independent?

- (a) *Proof.* Assume that  $V$  is a  $\mathbb{K}$ -vector space, and that  $S_1, S_2$  are as described. First I will show that  $\text{Span}(S_1) + \text{Span}(S_2)$  is a direct sum. As  $\text{Span}(S_1), \text{Span}(S_2)$  are both vector spaces we have that  $\vec{0} \in \text{Span}(S_1) + \text{Span}(S_2) \neq \emptyset$ . Now assume that  $\vec{v} \in \text{Span}(S_1) \cap \text{Span}(S_2)$  then  $\vec{v} \in \text{Span}(S_1)$  and  $\vec{v} \in \text{Span}(S_2)$  so  $v = k_1 \vec{s}_1 + \dots + k_n \vec{s}_n$  where  $k_i \in \mathbb{K}$  and  $\vec{s} \in S_1$  and  $v = c_1 \vec{u}_1 + \dots + c_n \vec{u}_n$  where  $c_i \in \mathbb{K}$  and  $\vec{u}_i \in S_2$ . Then  $c_1 \vec{u}_1 + \dots + c_n \vec{u}_n + -(k_1 \vec{s}_1 + \dots + k_n \vec{s}_n) = c_1 \vec{u}_1 + \dots + c_n \vec{u}_n + (-k_1 \vec{s}_1) + \dots + (-k_n \vec{s}_n) = \vec{0}$  but as  $S_1 \cup S_2$  is linearly independent and the previous equation is a linear combination of  $S_1 \cup S_2$  we have that for each  $c_i, k_i \in \mathbb{K}$  that  $c_i = k_i = 0$  hence only  $\vec{0} \in \text{Span}(S_1) \cap \text{Span}(S_2)$  which implies  $\text{Span}(S_1) + \text{Span}(S_2)$  is a direct sum.

Now suppose  $\vec{v} \in \text{Span}(S_1 \cup S_2)$  then  $\vec{v} = k_1 \vec{s}_1 + \dots + k_n \vec{s}_n + c_1 \vec{u}_1 + \dots + c_n \vec{u}_n$  where  $k_i, c_i \in \mathbb{K}$  and  $\vec{s}_i \in S_1$  and  $\vec{u}_i \in S_2$ . As  $k_1 \vec{s}_1 + \dots + k_n \vec{s}_n \in \text{Span}(S_1)$  and  $c_1 \vec{u}_1 + \dots + c_n \vec{u}_n \in \text{Span}(S_2)$  we have that  $\vec{v} \in \text{Span}(S_1) \oplus \text{Span}(S_2)$ . Thus  $\text{Span}(S_1 \cup S_2) \subseteq \text{Span}(S_1) \oplus \text{Span}(S_2)$ .

Let  $\vec{v} \in \text{Span}(S_1) \oplus \text{Span}(S_2)$  then  $\vec{v} = k_1 \vec{s}_1 + \dots + k_n \vec{s}_n + c_1 \vec{u}_1 + \dots + c_n \vec{u}_n$  where  $k_i, c_i \in \mathbb{K}$  and  $\vec{s}_i \in S_1$  and  $\vec{u}_i \in S_2$ . Then we have as this is just a linear combination of the elements of  $S_1 \cup S_2$  we have that  $\vec{v} \in \text{Span}(S_1 \cup S_2)$  therefore  $\text{Span}(S_1) \oplus \text{Span}(S_2) \subseteq \text{Span}(S_1 \cup S_2)$  which implies  $\text{Span}(S_1 \cup S_2) = \text{Span}(S_1) \oplus \text{Span}(S_2)$   $\square$

- (b) Then  $\text{Span}(S_1) + \text{Span}(S_2)$  would no longer be a direct sum. But the equation would still be true if you replaced ' $\oplus$ ' with '+' i.e.  $\text{Span}(S_1 \cup S_2) = \text{Span}(S_1) + \text{Span}(S_2)$ .

**Problem 3.** Let  $V = \mathbb{Q}^4$ , considered as a  $\mathbb{Q}$ -vector space, and let  $U$  be the subspace

$$U = \text{Span}(\mathbf{u}_1 = (1, -1, 2, 1), \mathbf{u}_2 = (2, -3, 6, 3)).$$

Extend the set  $\{\mathbf{u}_1, \mathbf{u}_2\}$  into a basis for  $V$ . That is, find two vectors  $\mathbf{v}_1, \mathbf{v}_2 \in V$  so that

$$\{\mathbf{u}_1, \mathbf{u}_2, \mathbf{v}_1, \mathbf{v}_2\}$$

is a basis for  $V$ .

*Proof.* Adding the two vectors  $(0, 0, 1, 0)$  and  $(0, 0, 0, 1)$  will make a basis.

Let  $\langle a, b, c, d \rangle \in V$  and consider the linear combination

$$\begin{aligned} & (3a + 2b)\langle 1, -1, 2, 1 \rangle + (-a - b)\langle 2, -3, 6, 3 \rangle + (c + 2b)\langle 0, 0, 1, 0 \rangle + (d + b)\langle 0, 0, 0, 1 \rangle = \\ & \langle (3a+2b)+(-a-b)2, -(3a+2b)-3(-a-b), 2(3a+2b)+6(-a-b)+(c+2b), (3a+2b)+3(-a-b)+(d+b) \rangle = \\ & \langle 3a + 2b - 2a - 2b, -3a - 2b + 3a + 3b, 6a + 4b - 6a - 6b + c + 2b, 3a + 2b - 3a - 3b + d + b \rangle = \\ & \langle a, b, c, d \rangle \end{aligned}$$

Therefore we have an arbitrary element of  $V$  as a linear combination of the vectors  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{v}_1, \mathbf{v}_2$ . Lastly to show linear independence. Consider the linear combination  $a, b, c, d \in \mathbb{Q}$

$$\begin{aligned} a(1, -1, 2, 1) + b(2, -3, 6, 3) + c(0, 0, 1, 0) + d(0, 0, 0, 1) &= 0 \\ (a + 2b, -a - 3b, 2a + 6b + c, a + 3b + d) &= (0, 0, 0, 0) \end{aligned}$$

From this we get the system of equations

$$\begin{cases} a + 2b = 0 \\ -a - 3b = 0 \\ 2a + 6b + c = 0 \\ a + 3b + d = 0 \end{cases}$$

From the first two equations we get  $a + 2b - a - 3b = 0$  which implies  $b = 0$  substituting in 0 for  $b$  we get  $a + 2 \cdot 0 = 0$  which implies  $a = 0$ . Replacing  $a, b$  in the bottom equations we get that  $c = 0$  and  $d = 0$  as well. As the scalars were chosen arbitrarily we have that the set is linear independent hence it is a basis for  $V$ .

□

**Problem 4.** Let  $V = \mathcal{P}_3(\mathbb{R})$  be the  $\mathbb{R}$ -vector space of polynomials of degree 3 or less. Let  $U$  be the subspace (you can take this for granted)

$$U = \{p(x) \in \mathcal{P}_3(\mathbb{R}) : p'(7) = 0\}$$

where  $p'(x)$  is the derivative of  $p(x)$  and  $p'(7)$  is the derivative evaluated at  $x = 7$ . Find a basis for  $U$ .

*Proof.* Consider the set  $B = \left\{ \frac{x^3}{147} + \frac{x^2}{14} - 2x, \frac{x^2}{14} - x, 1 \right\}$ . First I will show the linear independence of  $B$ . Consider the linear combination  $a \left( \frac{x^3}{147} + \frac{x^2}{14} - 2x \right) + b \left( \frac{x^2}{14} - x \right) + c(1) = 0$  then as the right of the equation has no  $x^3$  and the coefficient on  $x^3$  is  $\frac{a}{147}$  we have that  $a = 0$ . Likewise we have that  $b = 0$  as the right of the equation has no  $x^2$  lastly we have that  $c = 0$ . Now we have that  $\dim(U) \leq \dim(\mathcal{P}_3(\mathbb{R})) = 4$ . If  $\dim(U) = 4$  then we would be able to add another vector to  $B$  and have  $B$  still be linearly independent denote this vector by  $ax^3 + bx^2 + cx + d$  where  $a, b, c, d \in \mathbb{R}$  however consider the linear combination

$$\begin{aligned} ax^3 + bx^2 + cx + d - a147 \left( \frac{x^3}{147} + \frac{x^2}{14} - 2x \right) - (14b - a147) \left( \frac{x^2}{14} - x \right) + d \cdot 1 = \\ ax^3 + bx^2 + cx + d - ax^3 - \frac{a147x^2}{14} + 294ax - 14bx^2 + 14bx + \frac{147ax^2}{14} - a147x - d = \\ cx + 294ax + 14bx = x(c + 294a + 14b) \end{aligned}$$

If  $c + 294a + 14b = 0$  then the set would not be linearly independent. If  $c + 294a + 14b \neq 0$  then taking the derivative and evaluating at  $x = 7$  would give a non-zero value. This implies that no such vector exists and that  $\dim(U) = 3$ . Thus  $B$  is a basis for  $U$  by **Theorem 2.39**.  $\square$

**Problem 5.** The classical “Inclusion-Exclusion Principle” states that, for two finite sets  $A_1, A_2$ , the cardinality of the union satisfies:

$$|A_1 \cup A_2| = |A_1| + |A_2| - |A_1 \cap A_2|.$$

Notice that we have a similar formula for vector spaces  $V_1, V_2$ :

$$\dim(V_1 + V_2) = \dim(V_1) + \dim(V_2) - \dim(V_1 \cap V_2).$$

For three sets,  $A_1, A_2, A_3$ , the Inclusion-Exclusion Principle says

$$\begin{aligned} |A_1 \cup A_2 \cup A_3| = & |A_1| + |A_2| + |A_3| \\ & - |A_1 \cap A_2| - |A_1 \cap A_3| - |A_2 \cap A_3| \\ & + |A_1 \cap A_2 \cap A_3|. \end{aligned}$$

Give an example showing that, sadly, the following analogous formula does not hold for vector spaces  $V_1, V_2, V_3$ :

$$\begin{aligned} \dim(V_1 + V_2 + V_3) = & \dim(V_1) + \dim(V_2) + \dim(V_3) \\ & - \dim(V_1 \cap V_2) - \dim(V_1 \cap V_3) - \dim(V_2 \cap V_3) \\ & + \dim(V_1 \cap V_2 \cap V_3). \end{aligned}$$

HINT: CONSIDER SUBSPACES OF A FAMILIAR LOW-DIMENSIONAL VECTOR SPACE.

Consider the three  $\mathbb{R}$ -vector spaces  $V_1 = \{(a, 0) : a \in \mathbb{R}\}$ ,  $V_2 = \{(0, b) : b \in \mathbb{R}\}$ ,  $V_3 = \{(0, 0)\}$ . We have  $\dim(V_1 + V_2 + V_3) = 2$ . However  $\dim(V_1) + \dim(V_2) + \dim(V_3) - \dim(V_1 \cap V_2) - \dim(V_1 \cap V_3) - \dim(V_2 \cap V_3) + \dim(V_1 \cap V_2 \cap V_3) = 1 + 1 + 0 - 1 - 0 - 0 + 0 = 1$ . Thus the formula does not hold.