## Hand in Friday, April 19.

**Definition.** Let  $f: S \to C$  be a continuous map from a circle in the plane to a circle in the plane. Define the **degree** of f to be the winding number of this map around the point  $\vec{c}$  at the center of C. If you prefer to think of winding numbers in terms of continuous maps from intervals, give the name  $\theta$  to a variable running through the interval  $[0, 2\pi]$ , let  $\gamma: [0, 2\pi] \to S$  parametrize S by  $\gamma(\theta) = (x_0 + r\cos\theta, y_0 + r\sin\theta)$  for appropriate  $x_0, y_0$ , and r, and define the degree of f to be the winding number of  $f \circ \gamma$  around  $\vec{c}$ . [from the textbook by Fulton

1. Show that, for an f as in the above definition, if f is not surjective, then the degree of f equals zero.

*Proof.* Using the parametrization  $\gamma:[0,2\pi]\to S$  by  $\gamma(\theta)=(x_0+r\cos\theta,y_0+r\sin\theta)$  where  $(x_0,y_0)$  is the center of S and r is the radius of S. Then we have that f is a closed curve as

$$f \circ \gamma(0) = f(x_0 + r\cos 0, y_0 + r\sin 0) = f(x_0 + r\cos 2\pi, y_0 + r\sin 2\pi) = f \circ \gamma(2\pi)$$

Now let  $\vec{p_1} \in C$  be a point that is not in the image of f. Then we have a point  $\vec{p_2} \in C$  that is colinear with the line intersecting  $(x_0, y_0)$  and  $\vec{p}_1$ .

We have the constant curve  $g: S \to C$  given by the equation  $g(\vec{x}) = \vec{p}_2$  for all  $\vec{x} \in S$ .

Then we create the homotopy  $H:[0,2\pi]\times[0,1]\to\mathbb{R}^2\setminus\{(x_0,y_0)\}$  given by

$$H(\theta, s) = f(\gamma(\theta))(1 - s) + s \cdot \vec{p}_2$$

for all  $\theta \in [0, 2\pi]$  and  $s \in [0, 1]$ .

We have  $H(\theta,0) = f(\gamma(\theta)) + 0 \cdot \vec{p}_2 = f(\gamma(\theta))$ , and  $H(\theta,1) = f(\gamma(\theta)) \cdot 0 + 1 \cdot \vec{p}_2 = g(\gamma(\theta))$ .

We have that H is continuous as it is the sum of two weighted continuous functions.

Additionally we have that the image of H is contained in  $\mathbb{R}^2 \setminus \{(x_0, y_0)\}$  as for any  $\theta \in [0, 2\pi]$  we have for all  $s \in [0,1]$  that  $H(\theta,s) \neq (x_0,y_0)$  as the only point colinear with  $(x_0,y_0)$  and  $\vec{p_2}$  is  $\vec{p_1}$  and by our assumption that  $\vec{p}_1$  is not in the image of f. Then we have that H is a homotopy between f and g.

Then we have that f and g are homotopic and thus have the same winding number. We have that the winding number of g is zero as it is a constant curve. Then we have that the winding number of f is zero.

2. Calculate the degree of each of the following maps from the unit circle centered at the origin to the unit circle centered at the origin.

**a.** 
$$f(x,y) = (x,y)$$

Using the parametrization  $\gamma(\theta) = (\cos \theta, \sin \theta)$ , for  $\theta \in [0, 2\pi]$ . With the four sectors

$$U_1\{(x,y): x,y \in \mathbb{R} \text{ with } x > 0\}$$

$$U_2 = \{(x, y) : x, y \in \mathbb{R} \text{ with } y > 0\}$$

$$U_3 = \{(x, y) : x, y \in \mathbb{R} \text{ with } x < 0\}$$

$$U_4 = \{(x, y) : x, y \in \mathbb{R} \text{ with } y < 0\}$$

Then we have the six subdivisions  $t_0 = 0, t_1 = \pi/4, t_2 = 3\pi/4, t_3 = 5\pi/4, t_4 = 7\pi/4, t_5 = 2\pi$ . With the angle function being for  $\theta_1$  being its angle in the range  $(-\pi/2, \pi/2)$  for  $\theta_2$  being its angle in the range  $(0,\pi)$  and for  $\theta_3$  being its angle in the range  $(\pi/2,3\pi/2)$  and  $\theta_4$  being its angle in the range  $(\pi,2\pi)$ .

Then

$$W(f \circ \gamma, \vec{0}) = \frac{1}{2\pi} (\theta_1(f(\gamma(t_1))) - \theta_1(f(\gamma(t_1))) + \theta_2(f(\gamma(t_2))) - \theta_2(f(\gamma(t_1))) + \theta_3(f(\gamma(t_3))) - \theta_3(f(\gamma(t_2))) + \theta_4(f(\gamma(t_4))) - \theta_4(f(\gamma(t_3))) + \theta_1(f(\gamma(t_5))) - \theta_1(f(\gamma(t_4)))).$$
Then  $W(f \circ \gamma, \vec{0}) = \frac{1}{2\pi} (\frac{\pi}{4} - 0 + \frac{3\pi}{4} - \frac{\pi}{4} + \frac{5\pi}{4} - \frac{3\pi}{4} + \frac{7\pi}{4} - \frac{5\pi}{4} + 0 + \frac{\pi}{4}) = \frac{1}{2\pi} (\frac{7\pi}{4} + \frac{\pi}{4}) = 1.$ 

Then 
$$W(f \circ \gamma, 0) = \frac{1}{2\pi} \left( \frac{\pi}{4} - 0 + \frac{3\pi}{4} - \frac{\pi}{4} + \frac{5\pi}{4} - \frac{3\pi}{4} + \frac{7\pi}{4} - \frac{5\pi}{4} + 0 + \frac{\pi}{4} \right) = \frac{1}{2\pi} \left( \frac{7\pi}{4} + \frac{\pi}{4} \right) = 1.$$

**b.** 
$$g(x,y) = (-x, -y)$$

Using the same parametrization with  $\gamma$  as in a. With the four sectors

$$U_1 = \{(x, y) : x, y \in \mathbb{R} \text{ with } x < 0\}$$

$$U_2 = \{(x, y) : x, y \in \mathbb{R} \text{ with } y < 0\}$$

$$U_3 = \{(x, y) : x, y \in \mathbb{R} \text{ with } x > 0\}$$

$$U_4 = \{(x, y) : x, y \in \mathbb{R} \text{ with } y > 0\}$$

With the same subdivisions as in **a.** and the angle functions  $\theta_1$  being its angle in the range  $(\frac{\pi}{2}, \frac{3\pi}{2})$  for  $\theta_2$  being its angle in the range  $(\pi, 2\pi)$  and for  $\theta_3$  being its angle in the range  $(\frac{3\pi}{2}, \frac{5\pi}{2})$  and  $\theta_4$  being its angle in the range  $(2\pi, 3\pi)$ .

Then 
$$W(g \circ \gamma, \vec{0}) = \frac{1}{2\pi} (\theta_1(g(\gamma(t_1))) - \theta_1(g(\gamma(t_0))) + \theta_2(g(\gamma(t_2))) - \theta_2(g(\gamma(t_3))) + \theta_3(g(\gamma(t_3))) - \theta_3(g(\gamma(t_2))) + \theta_4(g(\gamma(t_4))) - \theta_4(g(\gamma(t_3))) + \theta_1(g(\gamma(t_5))) - \theta_1(g(\gamma(t_4))))$$
  
Then  $W(g \circ \gamma, \vec{0}) = \frac{1}{2\pi} (\frac{5\pi}{4} - \pi + \frac{7\pi}{4} - \frac{5\pi}{4} + \frac{9\pi}{4} - \frac{7\pi}{4} + 3\pi - \frac{9\pi}{4}) = \frac{1}{2\pi} (3\pi - \pi) = 1$ 

**c.** h(x,y) = (x,-y)

Using the same parametrization with  $\gamma$ , and the following four sectors.

$$U_1 = \{(x, y) : x, y \in \mathbb{R} \text{ with } x > 0\}$$

$$U_2 = \{(x, y) : x, y \in \mathbb{R} \text{ with } y < 0\}$$

$$U_3 = \{(x, y) : x, y \in \mathbb{R} \text{ with } x < 0\}$$

$$U_4 = \{(x, y) : x, y \in \mathbb{R} \text{ with } y > 0\}$$

With the same subdivisions as in **a**. With the following angle functions  $\theta_1$  being it angle in the range  $(-\pi/2, \pi/2)$   $\theta_2$  being its angle in the range  $(-\pi, 0)$   $\theta_3$  being its angle in the range  $(-3\pi/2, -\pi/2)$  and  $\theta_4$  being its angle in the range  $(-2\pi, -\pi)$ .

Then 
$$W(h \circ \gamma, \vec{0}) = \frac{1}{2\pi} (\theta_1(h(\gamma(t_1))) - \theta_1(h(\gamma(t_0))) + \theta_2(h(\gamma(t_2))) - \theta_2(h(\gamma(t_1))) + \theta_3(h(\gamma(t_3))) - \theta_3(h(\gamma(t_2))) + \theta_4(h(\gamma(t_4))) - \theta_4(h(\gamma(t_3))) + \theta_1(h(\gamma(t_5))) - \theta_1(h(\gamma(t_4))))$$
  
Then  $W(h \circ \gamma, \vec{0}) = \frac{1}{2\pi} (-\frac{\pi}{4} - 0 + -\frac{3\pi}{4} + \frac{\pi}{4} - \frac{5\pi}{4} + \frac{3\pi}{4} - \frac{7\pi}{4} + \frac{5\pi}{4} - 2\pi + \frac{7\pi}{4}) = -1$ 

**d.**  $k(\cos(\theta), \sin(\theta)) = (\cos(n\theta), \sin(n\theta))$ , where n is an arbitrary integer. Breaking into cases. If n = 0 then k would just be a constant curve hence it would have winding number 0. Now if n > 0 then consider the sectors

$$U_1 = \{(x, y) : x, y \in \mathbb{R} \text{ with } x > 0\}$$

$$U_2 = \{(x, y) : x, y \in \mathbb{R} \text{ with } y > 0\}$$

$$U_3 = \{(x, y) : x, y \in \mathbb{R} \text{ with } x < 0\}$$

$$U_4 = \{(x, y) : x, y \in \mathbb{R} \text{ with } y < 0\}$$

With the subdivisions being  $t_0 = 0$ ,  $t_{4n+1} = 2\pi$  and  $t_i = (\frac{(i-1)\pi}{2} + \frac{\pi}{4})$ , for  $i \in \{1, ..., 4n\}$ . With the angle functions being  $\theta_1$  being its angle in the range  $(-\pi/2, \pi/2)$  and  $\theta_2$  being its angle in the range  $(0, \pi)$  and  $\theta_3$  being its angle in the range  $(\pi/2, 3\pi/2)$  and  $\theta_4$  being its angle in the range  $(\pi/2, \pi/2)$ .

Then on each trip around the circle we have that all but terms cancel but  $\frac{7\pi}{4} + \frac{\pi}{4} = 1$  as this happens n times we have that the winding number is n.

Now if n < 0 then consider the sectors

$$U_1 = \{(x, y) : x, y \in \mathbb{R} \text{ with } x > 0\}$$

$$U_2 = \{(x, y) : x, y \in \mathbb{R} \text{ with } y < 0\}$$

$$U_3 = \{(x, y) : x, y \in \mathbb{R} \text{ with } x < 0\}$$

$$U_4 = \{(x, y) : x, y \in \mathbb{R} \text{ with } y > 0\}$$

With the same subdivisions as in the positive case and the angle functions being  $\theta_1$  being its angle in the range  $(-\pi/2, \pi/2)$  and  $\theta_2$  being its angle in the range  $(-\pi/2, \pi/2)$  and  $\theta_3$  being its angle in the range  $(-3\pi/2, -\pi/2)$  and  $\theta_4$  being its angle in the range  $(-2\pi, -\pi)$ .

Then on each trip around the circle we have that all but terms cancel but  $-\frac{\pi}{4} - \frac{7\pi}{4} = -1$  as this happens n times we have that the winding number is -n.

**Definition.** If Y is a topological subspace of a topological space X, a **retraction** from X to Y is a continuous map  $r: X \to Y$  that satisfies, for all  $y \in Y$ , r(y) = y. When such a retraction exists, we call Y a **retract** of X. [from the textbook by Fulton]

**3.** Show that, if Y is a retract of X and if every continuous map from X to X has a fixed point, then every continuous map from Y to Y has a fixed point. **Hint.** Start with an arbitrary continuous map  $f: Y \to Y$ . How can you make a continuous map  $g: X \to X$  whose behavior has the needed implications for f's behavior?

Proof. Let Y be a retraction of X given by the map  $r: X \to Y$  and  $f: Y \to Y$  be an arbitrary continuous map. Then consider the function  $g: X \to X$  where  $g = j \circ f \circ r$  where j is the inclusion map given by (Munkres Theorem 18.2). We have as g is a continuous and  $g: X \to X$  that there exists a fixed point in  $x_0 \in X$ . Then we have that  $r(x_0) = y_0$  for some  $y_0 \in Y$  and hence we get  $g(x_0) = j(f(r(x_0))) = j(f(y_0)) = f(y_0) = x_0$  as j is the inclusion map this implies that  $r \circ g(x_0) = y_0$  but hence we get  $r(j(f(y_0))) = y_0$  which implies that  $f(y_0) = y_0$  as the codomain of f is Y and r is a retraction and j is the inclusion map.

**4.** Let B be the open unit disk in  $\mathbb{R}^2$  and let D be the closed unit disk in  $\mathbb{R}^2$ . Show that, for any  $\vec{p} \in B$ , the unit circle C in  $\mathbb{R}^2$  is a retract of  $D \setminus \{\vec{p}\}$ . **Hint.** When  $\vec{p}$  is the origin, the map  $\vec{x} \mapsto \frac{\vec{x}}{|\vec{x}|}$  is the retraction. When  $\vec{p}$  is more general, consider solving  $|\vec{p} + t(\vec{x} - \vec{p})| = 1$  for t.

Proof. Consider the function  $r: D \setminus \{p\} \to C$  defined by the equation  $r(\vec{x}) = \vec{p} + t(\vec{x} - \vec{p})$  such that t is the positive solution to  $|\vec{p} + t(\vec{x} - \vec{p})| = 1$  such a solution is guaranteed to exist as the line parametrized by  $\vec{p} + t(\vec{x} - \vec{p})$  will have a point intersecting the unit circle based on the fact that  $\vec{p} \in B$ . Now suppose that  $\vec{x} \in C$  then we have that the line parametrized by  $\vec{p} + t(\vec{x} - \vec{p})$  only intersects the unit circle with t > 0 when t = 1 hence  $\vec{p} + \vec{x} - \vec{p} = \vec{x}$ . Then we have that  $t(\vec{x}) = \vec{x}$  and hence t = 1 is a retraction.

**5.** Let S and C be circles in the plane, and let  $f: S \to C$  be a continuous map. Show that, for every  $\vec{p}$  in the open disk bounded by C, the winding number of f around  $\vec{p}$  equals the degree of f. (In particular the winding number is the same, regardless of which  $\vec{p}$  in the open disk is used.)