

# Assignment 2: Document Similarity and Hashing

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## Overview

In this assignment you will explore the use of  $k$ -grams, Jaccard distance, min hashing, and LSH in the context of document similarity.

You will use four text documents for this assignment:

- <http://www.cs.utah.edu/~jeffp/teaching/cs5140/A2/D1.txt>
- <http://www.cs.utah.edu/~jeffp/teaching/cs5140/A2/D2.txt>
- <http://www.cs.utah.edu/~jeffp/teaching/cs5140/A2/D3.txt>
- <http://www.cs.utah.edu/~jeffp/teaching/cs5140/A2/D4.txt>

*As usual, it is highly recommended that you use LaTeX for this assignment. If you do not, you may lose points if your assignment is difficult to read or hard to follow. Find a sample form in this directory: <http://www.cs.utah.edu/~jeffp/teaching/latex/>*

## 1 Creating $k$ -Grams (40 points)

You will construct several types of  $k$ -grams for all documents. All documents only have at most 27 characters: all lower case letters and space. *Yes, the space counts as a character in character  $k$ -grams.*

[G1] Construct 2-grams based on characters, for all documents.

[G2] Construct 3-grams based on characters, for all documents.

[G3] Construct 2-grams based on words, for all documents.

Remember, that you should only store each  $k$ -gram once, duplicates are ignored.

**A: (20 points)** How many distinct  $k$ -grams are there for each document with each type of  $k$ -gram? You should report  $4 \times 3 = 12$  different numbers.

File	$k_2$ -Character	$k_3$ -Character	$k_2$ -Word
D1.txt	331	1299	521
D2.txt	361	1516	632
D3.txt	354	1543	841
D4.txt	298	1025	413

**B: (20 points)** Compute the Jaccard similarity between all pairs of documents for each type of  $k$ -gram. You should report  $3 \times 6 = 18$  different numbers.

Table 1:  $k_2$ -Character Jacard Similarities

	D1.txt	D2.txt	D3.txt	D4.txt
D1.txt	1.000	0.845	0.770	0.705
D2.txt	0.845	1.000	0.761	0.707
D3.txt	0.770	0.761	1.000	0.720
D4.txt	0.705	0.707	0.720	1.000

Table 2:  $k_3$ -Character Jacard Similarities

	D1.txt	D2.txt	D3.txt	D4.txt
D1.txt	1.000	0.639	0.460	0.327
D2.txt	0.639	1.000	0.440	0.312
D3.txt	0.460	0.440	1.000	0.362
D4.txt	0.327	0.312	0.362	1.000

Table 3:  $k_2$ -Word Jacard Similarities

	D1.txt	D2.txt	D3.txt	D4.txt
D1.txt	1.000	0.257	0.033	0.005
D2.txt	0.257	1.000	0.025	0.006
D3.txt	0.033	0.025	1.000	0.012
D4.txt	0.005	0.006	0.012	1.000

## 2 Min Hashing (30 points)

We will consider a hash family  $\mathcal{H}$  so that any hash function  $h \in \mathcal{H}$  maps from  $h : \{k\text{-grams}\} \rightarrow [m]$  for  $m$  large enough (To be extra cautious, I suggest over  $m \geq 10,000$ ).

**A: (25 points)** Using grams G2, build a min-hash signature for document D1 and D2 using  $t = \{20, 60, 150, 300, 600\}$  hash functions. For each value of  $t$  report the approximate Jaccard similarity between the pair of documents D1 and D2, estimating the Jaccard similarity:

$$\hat{JS}_t(a, b) = \frac{1}{t} \sum_{i=1}^t \begin{cases} 1 & \text{if } a_i = b_i \\ 0 & \text{if } a_i \neq b_i. \end{cases}$$

You should report 5 numbers.

$t$	$J_S$
20	0.750
60	0.667
150	0.633
300	0.640
600	0.612

**B: (5 point)** What seems to be a good value for  $t$ ? You may run more experiments. Justify your answer in terms of both accuracy and time.

From the direct calculation, we know that the Jacard Estimate between documents 1 and 2 using 3-grams is 0.639. Looking at the estimated Jacard Similarities above  $t = 150$  seems to be the best bet. To check, the value of  $t$  was increased up to 1900 to check the variation of the Jacard Similarity Estimate. As the table below shows, there was limited variation in the values.

$t$	$J_S$
500	0.642
700	0.639
900	0.651
1100	0.638
1300	0.632
1500	0.629
1700	0.668
1900	0.635

### 3 LSH (30 points)

Consider computing an LSH using  $t = 160$  hash functions. We want to find all documents pairs which have Jaccard similarity above  $\tau = 0.4$ .

**A: (8 points)** Use the trick mentioned in class and the notes to estimate the best values of hash functions  $b$  within each of  $r$  bands to provide the S-curve

$$f(s) = 1 - (1 - s^b)^r$$

with good separation at  $\tau$ . Report these values.

The function  $f(s) = 1 - (1 - s^b)^r$  is steepest at the point of inflection  $\tau = (1/r)^{1/b}$ . If we want to use  $s$  as our cut where  $\tau = s = \alpha = 1 - \beta$  we can substitute and get  $\tau = (b/t)^{1/b}$  which can be approximated as  $b \approx -\log_\tau(t)$ . Therefore, with  $t = 160$  and  $\tau = 0.4$ , we have  $b = 5.539 = -\log_\tau(t) = -\frac{\log_{10}(t)}{\log_{10}(\tau)}$ . From  $b = t/r$  we have  $r = t/b = 160/5.539 = 28.886$ . Therefore, the S-curve is given by

$$f(s) = 1 - (1 - s^{5.539})^{28.886}$$

**B: (24 points)** Using your choice of  $r$  and  $b$  and  $f(\cdot)$ , what is the probability of each pair of the four documents (using [G2]) for being estimated to having similarity greater than  $\tau$ ? Report 6 numbers.

The probability of documents being estimated for using 3-grams have a similarity greater than  $\tau = 0.4$  is given by the above equation, where  $s$  is the Jacard Similarity between the two documents.

$f(D_i, D_j)$	Probability/100
$f(D1.txt, D2.txt)$	0.999
$f(D1.txt, D3.txt)$	0.334
$f(D1.txt, D4.txt)$	0.022
$f(D2.txt, D3.txt)$	0.141
$f(D2.txt, D4.txt)$	0.036
$f(D3.txt, D4.txt)$	0.040

## 4 Bonus (3 points)

Describe a scheme like Min-Hashing for the *Andberg Similarity*, defined  $\text{Andb}(A, B) = \frac{|A \cap B|}{|A \cup B| + |A \Delta B|}$ . So given two sets  $A$  and  $B$  and family of hash functions, then  $\Pr_{h \in \mathcal{H}}[h(A) = h(B)] = \text{Andb}(A, B)$ . Note the only randomness is in the choice of hash function  $h$  from the set  $\mathcal{H}$ , and  $h \in \mathcal{H}$  represents the process of choosing a hash function (randomly) from  $\mathcal{H}$ . The point of this question is to design this process, and show that it has the required property.

Or show that such a process cannot be done.