

---

# M-types and Coinduction in HoTT and Cubical Type Theory

Lasse Letager Hansen, 201912345

---

Master's Thesis, Computer Science

May 13, 2020

Advisor: Bas Spitters



# Abstract

in English...



# Resumé

in Danish...



# Acknowledgments



c

*Lasse Letager Hansen,  
Aarhus, May 13, 2020.*





# Contents

<b>Abstract</b>	<b>iii</b>
<b>Resumé</b>	<b>v</b>
<b>Acknowledgments</b>	<b>vii</b>
<b>1 Introduction</b>	<b>1</b>
<b>2 Notation</b>	<b>3</b>
<b>3 Background Theory</b>	<b>5</b>
3.1 Coinduction . . . . .	5
3.2 Homotopy Type Theory (HoTT) . . . . .	5
3.2.1 The HoTT Book . . . . .	5
3.3 Cubical Type Theory . . . . .	5
3.4 Cubical Agda . . . . .	5
<b>4 <math>\mathbf{M}</math>-types</b>	<b>7</b>
4.1 Containers / Signatures . . . . .	7
4.2 Coinduction Principle for $\mathbf{M}$ -types . . . . .	10
4.3 Quotient $\mathbf{M}$ -type . . . . .	11
4.3.1 Quotient inductive-inductive types (QIITs) . . . . .	11
4.3.2 QM-types . . . . .	15
4.4 Strongly Extensional (Coalgebra) . . . . .	16
4.4.1 in progress . . . . .	16
4.5 TODO . . . . .	17
<b>5 Properties of <math>\mathbf{M}</math>-types?</b>	<b>19</b>
5.1 Closure properties of $\mathbf{M}$ -types . . . . .	19
5.1.1 Product of $\mathbf{M}$ -types . . . . .	19
5.1.2 Co-product . . . . .	21
5.1.3 ... . . . .	21
<b>6 Examples of <math>\mathbf{M}</math>-types</b>	<b>23</b>
6.1 Automaton . . . . .	23
6.2 The Partiality monad . . . . .	23

6.3	TODO: Place these subsections . . . . .	24
6.3.1	Identity Bisimulation . . . . .	24
6.3.2	Bisimulation of Streams . . . . .	24
6.3.3	Bisimulation of Delay Monad . . . . .	24
6.3.4	Bisimulation of ITrees . . . . .	25
6.3.5	Zip Function . . . . .	25
6.3.6	Examples of Fixed Points . . . . .	27
6.4	Stream Formalization using <b>M</b> -types . . . . .	28
6.5	ITrees as <b>M</b> -types . . . . .	28
6.5.1	Delay Monad . . . . .	29
6.5.2	Tree . . . . .	29
6.5.3	ITrees . . . . .	30
<b>7</b>	<b>Additions to the Cubical Agda Library</b>	<b>31</b>
7.1	<a href="#">Σap</a> . . . . .	31
<b>8</b>	<b>Conclusion</b>	<b>33</b>
	<b>Bibliography</b>	<b>35</b>
<b>A</b>	<b>The Technical Details</b>	<b>37</b>

# Chapter 1

## Introduction

This work tries to formalize co-inductive types in the setting of homotopy type theory.

motivate and explain the problem to be addressed

example of a citation: [5]

get your bibtex entries from <https://dblp.org/>



## Chapter 2

# Notation

We use the following notation / font:

- Universe  $\mathcal{U}_i$  or  $\mathcal{U}$
- Type  $A : \mathcal{U}$
- A type former or dependent type  $B : A \rightarrow \mathcal{U}$
- A term  $x : A$  or for constants  $c : A$
- A function  $f : A \rightarrow C$
- A path  $p : A \equiv C$ , heterogeneous paths are denoted  $\equiv_p$  or if the path is clear from context  $\equiv_*$ .
- A relation  $R : A \rightarrow A \rightarrow \mathcal{U}$  with notation  $x R y$ .
- The unit type is  $\mathbf{1}$  while the empty type is  $\mathbf{0}$ .
- A functor  $P$
- A container is denoted as  $S$  or  $(A, B)$
- A coalgebra  $C\text{-}\gamma$
- We denote the function giving the first and second projection of a dependent pair by  $\pi_1$  and  $\pi_2$ .



## Chapter 3

# Background Theory

### 3.1 Coinduction

Coinduction is the dual concept (in a categorical manner) of induction. The induction principle is an equivalence principle for congruent elements in an initial algebra.

### 3.2 Homotopy Type Theory (HoTT)

Homotopy type theory

#### 3.2.1 The HoTT Book

### 3.3 Cubical Type Theory

### 3.4 Cubical Agda

#### Axioms of cubical Agda

The theory of cubical Agda is a Cartesian closed category, meaning get exponentials.





# Chapter 4

## M-types

### 4.1 Containers / Signatures

In this section we will introduce containers (also known as signatures), and show how to use these to construct a coalgebra.

**Definition 4.1.1.** A Container (or signature) is a dependent pair  $S = (A, B)$  for the types  $A : \mathcal{U}$  and  $B : A \rightarrow \mathcal{U}$ .

From a container we can define a polynomial functor.

**Definition 4.1.2.** A polynomial functor is defined for objects (types) as

$$\begin{aligned} P_S &: \mathcal{U} \rightarrow \mathcal{U} \\ P(X) &:= P_S(X) = \sum_{a:A} B(a) \rightarrow X \end{aligned} \tag{4.1}$$

and for a function  $f : X \rightarrow Y$  as

$$\begin{aligned} P f &: P X \rightarrow P Y \\ P f(a, g) &= (a, f \circ g). \end{aligned} \tag{4.2}$$

Using these definitions we can now define the polynomial functor used to construct the type of streams.

**Example 4.1.1.** The type for streams over the type  $A$  is defined by the container  $S = (A, \lambda \_, \mathbf{1})$ , applying the polynomial functor for the container  $S$ , we get

$$P_S(X) = \sum_{a:A} \mathbf{1} \rightarrow X. \tag{4.3}$$

Since we are working in a Category with exponentials, we get  $\mathbf{1} \rightarrow X \equiv X^{\mathbf{1}} \equiv X$ . Furthermore  $\mathbf{1}$  and  $X$  does not depend on  $A$ , so this will be equivalent to the definition

$$P_S(X) = A \times X. \tag{4.4}$$

We now construct the P-coalgebra for a polynomial functor  $P$ .

**Definition 4.1.3.** A P-coalgebra is defined as

$$\mathbf{Coalg}_S = \sum_{C:\mathcal{U}} C \rightarrow P C. \quad (4.5)$$

We denote a P-coalgebra give by  $C$  and  $\gamma$  as  $C\text{-}\gamma$ . The coalgebra morphisms are defined as

$$\begin{aligned} \cdot \Rightarrow \cdot &: \mathbf{Coalg}_S \rightarrow \mathbf{Coalg}_S \\ C\text{-}\gamma \Rightarrow D\text{-}\delta &= \sum_{f:C \rightarrow D} \delta \circ f = P f \circ \gamma \end{aligned} \quad (4.6)$$

We can now define  $\mathbf{M}$ -types.

**Definition 4.1.4.** Given a container  $S$ , we define  $\mathbf{M}$ -types, as the type  $\mathbf{M}_S$ , making the coalgebra given by  $\mathbf{M}_S$  and  $\mathbf{out} : \mathbf{M}_S \rightarrow P_S(\mathbf{M}_S)$  fulfill the property

$$\mathbf{Final}_S := \sum_{(X\text{-}\rho:\mathbf{Coalg}_S)} \prod_{(C\text{-}\gamma:\mathbf{Coalg}_S)} \mathbf{isContr} (C\text{-}\gamma \Rightarrow X\text{-}\rho). \quad (4.7)$$

That is  $\prod_{(C\text{-}\gamma:\mathbf{Coalg}_S)} \mathbf{isContr}(C\text{-}\gamma \Rightarrow \mathbf{M}_S\text{-}\mathbf{out})$ . We denote the  $\mathbf{M}$ -type as  $\mathbf{M}_{(A,B)}$  or  $\mathbf{M}_S$  or just  $\mathbf{M}$  when the Container is clear from the context.

Continuing our example we now construct an  $\mathbf{M}$ -type for streams.

**Example 4.1.2.** Given the polynomial functor  $P_{(A,\lambda\_,1)}\mathbf{M} = A \times \mathbf{M}_{(A,\lambda\_,1)}$  for streams, we get the diagram in Figure 4.1, where  $\mathbf{out}$  is an isomorphism (because of the finality of the coalgebra), with

$$\begin{array}{ccccc} A & \xleftarrow{\pi_1} & A \times \mathbf{M}_{(A,\lambda\_,1)} & \xrightarrow{\pi_2} & \mathbf{M}_{(A,\lambda\_,1)} \\ & \searrow \text{hd} & \uparrow \text{out} & \nearrow \text{tl} & \\ & & \mathbf{M}_{(A,\lambda\_,1)} & & \end{array}$$

Figure 4.1:  $\mathbf{M}$ -types of streams

inverse  $\mathbf{in} : P_S(\mathbf{M}) \rightarrow \mathbf{M}$ . We now have a semantic for the rules, we would expect for streams, if we let  $\mathbf{cons} = \mathbf{in}$  and  $\mathbf{stream} A = \mathbf{M}_{(A,\lambda\_,1)}$ ,

$$\frac{A:\mathcal{U} \quad s:\mathbf{stream} A}{\text{hd } s:A} E_{\text{hd}} \quad (4.8)$$

$$\frac{A:\mathcal{U} \quad s:\mathbf{stream} A}{\text{tl } s:\mathbf{stream} A} E_{\text{tl}} \quad (4.9)$$

$$\frac{A:\mathcal{U} \quad x:A \quad xs:\mathbf{stream} A}{\mathbf{cons} x xs:\mathbf{stream} A} I_{\mathbf{cons}} \quad (4.10)$$

or more precisely  $\text{hd} = \pi_1 \circ \mathbf{out}$  and  $\text{tl} = \pi_2 \circ \mathbf{out}$ .

**Definition 4.1.5.** Chains are defined as ...

define chains,  $\pi_{(n)}$  and  $X_n$

**Lemma 4.1.1.** For all coalgebras  $C \dashv \gamma$  for the container  $S$ , we get  $C \rightarrow M_S \equiv \text{Cone}_{C \dashv \gamma}$ , where  $\text{Cone} = \sum_{(f: \prod_{(n:\mathbb{N})} C \rightarrow X_n) \prod_{(n:\mathbb{N})} \pi_{(n)} \circ (f_{(n+1)})} f_n$

*Proof.*

Complete proof

□

**Lemma 4.1.2.** Given  $\ell : \prod_{(n:\mathbb{N})} (X_n \rightarrow X_{n+1})$  and  $y : \sum_{(x: \prod_{(n:\mathbb{N})} X_n)} x_{n+1} \equiv l_n x_n$  the chain collapses as the equality  $\mathcal{L} \equiv X_0$ .

*Proof.* We define this collapse by the equivalence

$$\text{fun}_{\mathcal{L}\text{collapse}}(x, r) = x_0 \quad (4.11)$$

$$\text{inv}_{\mathcal{L}\text{collapse}} x_0 = (\lambda n, \ell^n x_0), (\lambda n, \text{refl}_{(\ell^{(n+1)} x_0)}) \quad (4.12)$$

$$\text{rinv} x_0 = \text{refl}_{x_0} \quad (4.13)$$

where  $\ell^n = \ell_n \circ \ell_{n-1} \circ \dots \circ \ell_1 \circ \ell_0$ . To define  $\text{linv}(x, r)$ , we first define a fiber  $(X, z, \ell)$  over  $\mathbb{N}$  given some  $z : X_0$ . Then any element of the type  $\sum_{(x: \prod_{(n:\mathbb{N})} X_n)} x_{n+1} \equiv \ell_n x_n$  is equal to a section over the fiber we defined. This means  $y$  is equal to a section. Since the sections are defined over  $\mathbb{N}$ , which is an initial algebra for the functor  $\mathbf{G}Y = \mathbf{1} + Y$ , we get that sections are contractible, meaning  $y \equiv \text{inv}_{\mathcal{L}\text{collapse}}(\text{fun}_{\mathcal{L}\text{collapse}} y)$ , since both are equal to sections over  $\mathbb{N}$ . □

We can now define the construction of **in** and **out**.

**Theorem 4.1.3.** Given the container  $(A, B)$  we define the equality

$$\text{shift} : \mathcal{L} \equiv \mathbf{P}\mathcal{L} \quad (4.14)$$

where  $\mathbf{P}\mathcal{L}$  is the limit of a shifted sequence. Then

$$\text{in} = \text{transport shift} \quad (4.15)$$

$$\text{out} = \text{transport} (\text{shift}^{-1}). \quad (4.16)$$

*Proof.* The proof is done using the two helper lemmas

$$\alpha : \mathcal{L}^{\mathbf{P}} \equiv \mathbf{P}\mathcal{L} \quad (4.17)$$

$$\mathcal{L}\text{unique} : \mathcal{L} \equiv \mathcal{L}^{\mathbf{P}} \quad (4.18)$$

We define  $\mathcal{L}\text{unique}$  by the equivalence

$$\text{fun}_{\mathcal{L}\text{unique}}(a, b) = \left( \lambda n, \begin{cases} \text{tt} & n = 0 \\ a \ m & n = m + 1 \end{cases} \right), \left( \lambda n \begin{cases} \text{refl}_{\text{tt}} & n = 0 \\ b \ m & n = m + 1 \end{cases} \right) \quad (4.19)$$

$$\text{inv}_{\mathcal{L}\text{unique}}(a, b) = a \circ \text{incr}, b \circ \text{incr} \quad (4.20)$$

$$\text{rinv}_{\mathcal{L}\text{unique}}(a, b) = \text{refl}_{(a, b)} \quad (4.21)$$

$$\text{linv}_{\mathcal{L}\text{unique}}(a, b) = \text{refl}_{(a, b)} \quad (4.22)$$

The definition of  $\alpha$  is then,

$$\mathcal{L}^P \equiv \sum_{(x:\prod_{(n:\mathbb{N})} \sum_{(a:A)} B \ a \rightarrow X_n)} \prod_{(n:\mathbb{N})} \pi_{(n+1)} \ x_{n+1} \equiv x_n \quad (4.23)$$

$$\equiv \sum_{(x:\sum_{(a:\prod_{(n:\mathbb{N})} A)} \prod_{(n:\mathbb{N})} a_{n+1} \equiv a_n)} \sum_{(u:\prod_{(n:\mathbb{N})} B \ (\pi_1 \ x)_n \rightarrow X_n)} \prod_{(n:\mathbb{N})} \pi_{(n)} \circ u_{n+1} \equiv_* u_n \quad (4.24)$$

$$\equiv \sum_{(a:A)} \sum_{(u:\prod_{(n:\mathbb{N})} B \ a \rightarrow X_n)} \prod_{(n:\mathbb{N})} \pi_{(n)} \circ u_{n+1} \equiv u_n \quad (4.25)$$

$$\equiv \sum_{a:A} B \ a \rightarrow \mathcal{L} \quad (4.26)$$

$$\equiv P\mathcal{L} \quad (4.27)$$

To collapse  $\sum_{(a:\prod_{(n:\mathbb{N})} A)} \prod_{(n:\mathbb{N})} a_{n+1} \equiv a_n$  to  $A$  between (4.24) and (4.25) we use Lemma 4.1.2 . We use Lemma 4.1.1 for the equality between (4.25) and (4.26). The rest of the equalities are given by a simple isomorphism or by definition. The definition of *shift* is

$$shift = \alpha^{-1} \cdot \mathcal{L}unique. \quad (4.28)$$

We furthermore get the definitions  $\mathbf{in} = \mathbf{transport} \ shift$  and  $\mathbf{out} = \mathbf{transport} \ (shift^{-1})$ , since  $\mathbf{in}$  and  $\mathbf{out}$  are part of an equality relation (*shift*), they are both surjective and embeddings.  $\square$

## 4.2 Coinduction Principle for **M**-types

We can now construct a coinduction principle given a bisimulation relation

**Definition 4.2.1.** For all coalgebras  $C-\gamma : \mathbf{Coalg}_S$ , given a relation  $\mathcal{R} : C \rightarrow C \rightarrow \mathcal{U}$  and a type  $\overline{\mathcal{R}} = \sum_{a:C} \sum_{b:C} a \ \mathcal{R} \ b$ , such that  $\overline{\mathcal{R}}$  and  $\alpha_{\mathcal{R}} : \overline{\mathcal{R}} \rightarrow P(\overline{\mathcal{R}})$  forms a P-coalgebra  $\overline{\mathcal{R}}-\alpha_{\mathcal{R}} : \mathbf{Coalg}_S$ , making the diagram in Figure 4.2 commute ( $\Rightarrow$  represents P-coalgebra morphisms).

$$C-\gamma \xleftarrow{\pi_1 \overline{\mathcal{R}}} \overline{\mathcal{R}}-\alpha_{\mathcal{R}} \xrightarrow{\pi_2 \overline{\mathcal{R}}} C-\gamma$$

Figure 4.2: Bisimulation for a coalgebra

**Definition 4.2.2** (Coinduction principle). Given a relation  $\mathcal{R}$ , that is part of a bisimulation over a final P-coalgebra  $\mathbf{M-out} : \mathbf{Coalg}_S$  we get the diagram in Figure 4.3,

$$\mathbf{M-out} \xleftarrow{\pi_1 \overline{\mathcal{R}}} \overline{\mathcal{R}}-\alpha_{\mathcal{R}} \xrightarrow{\pi_2 \overline{\mathcal{R}}} \mathbf{M-out}$$

Figure 4.3: Bisimulation principle for final coalgebra

where  $\pi_1 \overline{\mathcal{R}} = ! = \pi_2 \overline{\mathcal{R}}$ , which means given  $r : m \ \mathcal{R} \ m'$  we get the equation

$$m = \pi_1 \overline{\mathcal{R}}(m, m', r) = \pi_2 \overline{\mathcal{R}}(m, m', r) = m'. \quad (4.29)$$

### 4.3 Quotient M-type

We want to construct a quotient M-type, and we know that M-types are an algebraic theory? Meaning we want to define quotient algebra...

We want to construct a quotiented M type, which is given as a final bisimulation and a final coalgebra, and relations between them. This is a special case for a cofree coalgebra, namely starting at  $X = \mathbf{1}$ .

Since we know that M-types preserves the H-level, we can use set-truncated quotients, to define quotient M-types, for examples we can define weak bisimulation of the delay monad ...

Quotients of the delay monad

#### 4.3.1 Quotient inductive-inductive types (QIITs)

"A quotient inductive-inductive type (QIIT) can be seen as a multi-sorted algebraic theory where sorts can be indexed over each other" - "Constructing Quotient Inductive-Inductive Types"

"W-types can be seen informally as the free algebras for signatures with operations of possibly infinite arity, but no equations." – <https://arxiv.org/pdf/1201.3898.pdf>

A quotient inductive-inductive type (QIIT) is a type together with a relation defined on that type, and then quotiented by that relation.

What is a QIIT concretely?

#### Partiality monad

A simple example of a quotient inductive-inductive type is the partiality monad  $(-)_\perp$  over a type  $R$ , defined by the constructors

$$\overline{R_\perp : \mathcal{U}} \quad (4.30)$$

$$\overline{\perp : R_\perp} \quad (4.31)$$

$$\frac{a : R}{\eta a : R_\perp} \quad (4.32)$$

together with a relation  $(\cdot \sqsubseteq \cdot)$  (indexed twice over  $R_\perp$ ) and properties

$$\frac{s : \mathbb{N} \rightarrow R_\perp \quad b : \prod_{(n:\mathbb{N})} s_n \sqsubseteq s_{n+1}}{\bigsqcup (s, b) : R_\perp} \quad (4.33)$$

$$\frac{x, y : R_\perp \quad p : x \sqsubseteq y \quad q : y \sqsubseteq x}{\alpha p q : x \equiv y} \quad (4.34)$$

$$\frac{x \sqsubseteq x}{\sqsubseteq_{refl}} \quad (4.35)$$

$$\frac{x \sqsubseteq y \quad y \sqsubseteq z}{x \sqsubseteq z} \sqsubseteq_{trans} \quad (4.36)$$

$$\frac{}{\perp \sqsubseteq x} \sqsubseteq_{never} \quad (4.37)$$

$$\overline{\prod_{(n:\mathbb{N})} s_n \sqsubseteq \bigsqcup (s, p)} \quad (4.38)$$

$$\frac{\prod_{(n:\mathbb{N})} s_n \sqsubseteq x}{\bigsqcup(s, p) \sqsubseteq x} \quad (4.39)$$

and then set truncated

$$\frac{p, q : x \sqsubseteq y}{p \equiv q} \quad (4.40)$$

## Delay monad to Sequences

We define

$$\text{Seq}_A = \sum_{(g:\mathbb{N} \rightarrow A+1)} \text{isMon } g \quad (4.41)$$

where

$$\text{isMon } g = \prod_{(n:\mathbb{N})} (g_n \equiv g_{n+1}) + ((g_n \equiv \text{inr } \text{tt}) \times (g_{n+1} \not\equiv \text{inr } \text{tt})) \quad (4.42)$$

that is sequences are `inr tt` until they reach a point where it switches to `inl r` for some value  $r$ . There are also the special cases of already terminated, meaning only `inl r` and never terminating meaning only `inr tt`.

Some comment about decidable equivalence needed to show that  $g_{n+1} \not\equiv \text{inr } \text{tt}$

We define an operation to shift the sequence by inserting an element at  $n = 0$

$$\begin{aligned} \text{shift} : ((g, q) : \text{Seq}_A) &\rightarrow \sum_{(z_g : A+1)} \left( \text{isMon} \left( \lambda n, \begin{cases} z_g & n = 0 \\ g_m & n = m + 1 \end{cases} \right) 0 \right) \rightarrow \text{Seq}_A \\ \text{shift } (g, q) (z_g, z_q) &= \begin{cases} z_g & n = 0 \\ g_m & n = m + 1 \end{cases}, \begin{cases} z_q & n = 0 \\ q_m & n = m + 1 \end{cases} \end{aligned} \quad (4.43)$$

and a function to remove the first element

$$\begin{aligned} \text{unshift} : \text{Seq}_A &\rightarrow \text{Seq}_A \\ \text{unshift } (g, q) &= g \circ \text{suc}, q \circ \text{suc}. \end{aligned} \quad (4.44)$$

These two functions are inverse, since unshifting a value followed by a shift, where we reintroduce the value we just remove, does not change the value, said in another way the function

$$\lambda (g, a), \text{shift } (\text{unshift } (g, a)) (g_0, a_0) \quad (4.45)$$

is equal to the identity function. Similarly if we shift followed by an unshift, we just introduce a value to instantly remove it, meaning the value does not matter, again the function

$$\lambda (g, a), \text{unshift } (\text{shift } (g, a) \_) \quad (4.46)$$

is equal to the identity function. We can now define an equivalence between `delay R` and `SeqR`, where later are equivalent to shifts, and `now r` is equivalent to the infinite sequence of `inl r`. We define

$$\begin{aligned} \text{Delay} \rightarrow \text{Seq} : \text{Delay } R &\rightarrow \text{Seq}_A \\ \text{Delay} \rightarrow \text{Seq } (\text{now } a) &= (\lambda \_, \text{inl } a), (\lambda \_, \text{inl } \text{refl}) \\ \text{Delay} \rightarrow \text{Seq } (\text{later } x) &= \text{shift } (\text{Delay} \rightarrow \text{Seq } x) \_ \end{aligned} \quad (4.47)$$

what should  $\_$  be here?

$$\begin{aligned} \text{Seq} \rightarrow \text{Delay} &: \text{Seq}_A \rightarrow \text{Delay } R \\ \text{Seq} \rightarrow \text{Delay } (g, q) &= \begin{cases} \text{now } r & g_0 = \text{inl } r \\ \text{later } (\text{Seq} \rightarrow \text{Delay } (\text{unshift } (g, q))) & g_0 = \text{inr } \text{tt} \end{cases} \end{aligned} \quad (4.48)$$

with the right identity

$$\text{Seq-Delay} : \forall (g, q) \rightarrow \text{Delay} \rightarrow \text{Seq } (\text{Seq} \rightarrow \text{Delay } (g, q)) \equiv (g, q)$$

$$\text{Seq-Delay } (g, q) = \begin{cases} \text{refl} & g_0 = \text{inl } r \\ \text{cong shift } (\text{Seq-Delay } (\text{unshift } (g, q))) \cdot \text{shift-unshift } (g, q) & g_0 = \text{inr } \text{tt} \end{cases} \quad (4.49)$$

and left identity

$$\begin{aligned} \text{Delay-Seq} &: \forall t \rightarrow \text{Seq} \rightarrow \text{Delay } (\text{Delay} \rightarrow \text{Seq } t) \equiv t \\ \text{Delay-Seq } (\text{now } a) &= \text{refl} \\ \text{Delay-Seq } (\text{later } x) &= \text{cong } (\text{later} \circ h) \text{ unshift-shift} \cdot \text{cong later } (\text{Delay-Seq } x) \end{aligned} \quad (4.50)$$

### Sequence to Partiality monad

We define a termination relation for sequences

$$\begin{aligned} \downarrow_{\text{Seq}} &: \text{Seq}_A \rightarrow A \rightarrow \mathcal{U} \\ (s, q) \downarrow_{\text{Seq}} a &= \sum_{(n:\mathbb{N})} s_n \equiv \text{inl } a \end{aligned} \quad (4.51)$$

We define an ordering relation on a sequence

$$(s, q) \sqsubseteq_{\text{Seq}} (t, p) = \prod_{(a:A)} (\|s \downarrow_{\text{Seq}} a\| \rightarrow \|t \downarrow_{\text{Seq}} a\|) \quad (4.52)$$

the bottom element  $\perp$  of the ordering is the never terminating sequence, where all values are  $\text{inr } \text{tt}$ . The unit  $\eta$  is defined, given some  $r$ , as the terminated sequence of all  $\text{inl } r$ .

$\alpha_{\text{Seq}}$  and  $\sqcup_{\text{Seq}}$

We define a conversion from  $A + \mathbf{1}$  to the partiality monad

$$\begin{aligned} \text{Maybe} \rightarrow \perp &: A + \mathbf{1} \rightarrow A_{\perp} \\ \text{Maybe} \rightarrow \perp (\text{inl } a) &= \eta a \\ \text{Maybe} \rightarrow \perp (\text{inr } \text{tt}) &= \perp \end{aligned} \quad (4.53)$$

we also define an ordering on  $A + \mathbf{1}$

$$\begin{aligned} \sqsubseteq_{A+\mathbf{1}} &: A + \mathbf{1} \rightarrow A + \mathbf{1} \rightarrow \mathcal{U} \\ x \sqsubseteq_{A+\mathbf{1}} y &= (x \equiv y) + ((x \equiv \text{inr } \text{tt}) \times (y \neq \text{inr } \text{tt})) \end{aligned} \quad (4.54)$$

the function  $\text{Maybe} \rightarrow \perp$  is monotone

$$\begin{aligned}
& \text{Maybe} \rightarrow \perp \text{-mono} : x \sqsubseteq_{A+1} y \rightarrow (\text{Maybe} \rightarrow \perp x) \sqsubseteq_{\perp} (\text{Maybe} \rightarrow \perp y) \\
& \text{Maybe} \rightarrow \perp \text{-mono} (\text{inl } p) = \\
& \quad \text{subst } (\lambda a, \text{Maybe} \rightarrow \perp x \sqsubseteq_{\perp} \text{Maybe} \rightarrow \perp a) p (\sqsubseteq_{\text{refl}} (\text{Maybe} \rightarrow \perp x)) \\
& \text{Maybe} \rightarrow \perp \text{-mono} (\text{inr } (p, \_)) = \\
& \quad \text{subst } (\lambda a, \text{Maybe} \rightarrow \perp a \sqsubseteq_{\perp} \text{Maybe} \rightarrow \perp y) p^{-1} (\sqsubseteq_{\text{never}} (\text{Maybe} \rightarrow \perp y))
\end{aligned} \tag{4.55}$$

we define a function taking a sequence to an increasing sequence

$$\begin{aligned}
& \text{Seq} \rightarrow \text{incSeq} \\
& \text{Seq} \rightarrow \text{incSeq} (g, q) = \text{Maybe} \rightarrow \perp \circ g, \text{Maybe} \rightarrow \perp \text{-mono} \circ q
\end{aligned} \tag{4.56}$$

we can now define the function taking a sequence to the partiality monad

$$\begin{aligned}
& \text{Seq} \rightarrow \perp : \text{Seq}_A \rightarrow A_{\perp} \\
& \text{Seq} \rightarrow \perp (g, q) = \bigsqcup \circ \text{Seq} \rightarrow \text{incSeq}
\end{aligned} \tag{4.57}$$

this function is again monotone, if a sequence is smaller than another sequence, then the least upper bounds of each sequence respect the ordering, meaning we get the function

$$\text{Seq} \rightarrow \perp \text{-mono} : \text{isSet } A \rightarrow (x \ y : \text{Seq } A) \rightarrow x \sqsubseteq_{\text{seq}} y \rightarrow \text{Seq} \rightarrow \perp x \sqsubseteq_{\perp} \text{Seq} \rightarrow \perp y \tag{4.58}$$

we define a function for weak extensionality for sequences.

$$\begin{aligned}
& \text{Seq} \rightarrow \perp \text{-}\sim \rightarrow \equiv : \text{isSet } A \rightarrow (x \ y : \text{Seq}_A) \rightarrow x \sim_{\text{Seq}} y \rightarrow \text{Seq} \rightarrow \perp x \equiv \text{Seq} \rightarrow \perp y \\
& \text{Seq} \rightarrow \perp \text{-}\sim \rightarrow \equiv A_{\text{set}} x \ y (p, q) = \alpha_{\perp} (\text{Seq} \rightarrow \perp \text{-mono } A_{\text{set}} x \ y p) (\text{Seq} \rightarrow \perp \text{-mono } A_{\text{set}} y \ x \ q)
\end{aligned} \tag{4.59}$$

we define a recursor (???)

$$\begin{aligned}
& \text{rec} : (x \ y : \text{Seq } A) \rightarrow (f : A \rightarrow B) \rightarrow (g : x \text{ R } y \rightarrow f \ x \equiv f \ y) \\
& \quad \rightarrow (B_{\text{set}} : \text{isSet } B) \rightarrow (A/\text{R} \rightarrow B) \\
& \text{rec } [z] = f \ z \\
& \text{rec } (\text{eq/ } \_ \_ r \ i) = g \ r \ i \\
& \text{rec } (\text{squash/ } a \ b \ p \ q \ i \ j) = B_{\text{set}} (\text{rec } a) (\text{rec } b) (\text{ap rec } p) (\text{ap rec } q) i \ j
\end{aligned} \tag{4.60}$$

we then need to lift this to the quotient

$$\begin{aligned}
& \text{Seq}/\sim \rightarrow \perp : \text{isSet } A \rightarrow \text{Seq}_A \rightarrow A_{\perp} \\
& \text{Seq}/\sim \rightarrow \perp A_{\text{set}} = \text{rec Seq} \rightarrow \perp (\lambda x \ y, \text{Seq} \rightarrow \perp \text{-}\sim \rightarrow \equiv A_{\text{set}} x \ y) \perp \text{-isSet}
\end{aligned} \tag{4.61}$$

We can then show that this function makes an equivalence, by showing it is injective and surjective (since we are working in hSets). We start by showing that

$$\begin{aligned}
& \text{Seq} \rightarrow \perp \text{-isInjective-helper} : \text{isSet } A \rightarrow (s \ t : \text{Seq}_A) \rightarrow \text{Seq} \rightarrow \perp s \equiv \text{Seq} \rightarrow \perp t \\
& \quad \rightarrow (a : A) \rightarrow \|s \downarrow_{\text{seq}} a\| \rightarrow \|t \downarrow_{\text{seq}} a\| \\
& \text{Seq} \rightarrow \perp \text{-isInjective-helper } A_{\text{set}} s \ t p \ a \ k = ?
\end{aligned} \tag{4.62}$$

$$\begin{aligned}
& \text{Seq} \rightarrow \perp \text{-isInjective} : \text{isSet } A \rightarrow (s \ t : \text{Seq}_A) \rightarrow \text{Seq} \rightarrow \perp s \equiv \text{Seq} \rightarrow \perp t \rightarrow s \sim_{\text{Seq}} t \\
& \text{Seq} \rightarrow \perp \text{-isInjective } A_{\text{set}} s \ t = ?
\end{aligned} \tag{4.63}$$

should this be formalized entirely, or should there just be a comment about monotonicity? Does not seem relevant? is this weak extensionality for sequences? NO! it is the inverse of weak effective is this a recursor, and for what? The quotient?



## Building the Partiality Monad as an M-type (Dialgebra?)

Is this possible?

### Partiality from Delay monad

We want to define an ordering on the delay monad, we do this by counting the number of **laters**, letting the infinitely delayed element **never**, be the bottom element of the ordering. So we get

$$\frac{x : \text{Delay } R}{\text{never} \sqsubseteq x} \quad (4.64)$$

$$\frac{x : \text{Delay } R \quad a : R \quad x \downarrow a}{x \sqsubseteq \text{now } a} \sqsubseteq_{\text{now}} \quad (4.65)$$

$$\frac{\overline{x \sqsubseteq y}}{\text{later } x \sqsubseteq \text{later } y} \sqsubseteq_{\text{later}} \quad (4.66)$$

given these two rules, we want to show that we actually have an ordering. So first we want to show that we get reflexivity, we do the proof by structural induction.

**Lemma 4.3.1.** *The bottom element of the relation  $\sqsubseteq$  is **never**, meaning for all  $x$  we get  $\text{never} \sqsubseteq x$ .*

*Proof.* If  $x = \text{now } a$  then we get ???

is this true by assumption

□

**Lemma 4.3.2.** *The relation  $\sqsubseteq$  is reflexive.*

*Proof.* If  $x = \text{now } a$  then we know that  $x \downarrow a$  meaning by the rule  $\sqsubseteq_{\text{now}}$ , we have  $\text{now } a \sqsubseteq \text{now } a$ . If we have  $x = \text{later } y$  then by the rule  $\sqsubseteq_{\text{later}}$  we just need to show  $y \sqsubseteq y$ , which is true by the induction hypothesis. □

**Lemma 4.3.3.** *For all  $x : \text{Delay } R$  we have  $\text{now } a \sqsubseteq x$  implies that  $x = \text{now } a$ .*

*Proof.* If  $x = \text{now } b$ , then  $\text{now } a \downarrow b$ , but this means that  $a \equiv b$ . If  $x = \text{later } y$  we get a contradiction, since there is no constructor for  $\text{now } a \sqsubseteq \text{later } y$ . □

**Lemma 4.3.4.** *The relation  $\sqsubseteq$  is transitive.*

*Proof.* We do case analysis on  $y$ , if  $y = \text{now } a$  then  $z = \text{now } a = y$ , meaning  $x \sqsubseteq z = x \sqsubseteq y$ . If  $y = \text{later } v$ , then  $z = \text{now } a$  or  $z = \text{later } w$ . If  $z = \text{now } a$  then  $y \downarrow a$ , . □

### 4.3.2 QM-types

A QM-type is a quotiented M-type, we try to define this as a quotient on containers. We define container quotients as

$$\dots \quad (4.67)$$

We want to define QM-types as the final coalgebra satisfying a set of equations. The construction takes inspiration from [2]

## Cofree Coalgebra

We want to define a cofree coalgebra over a container  $(A, \lambda \_, \mathbf{0})$ .

This is defined as the left adjoint to the forgetful functor  $\mathbf{U} : C-\gamma \rightarrow C$  as  $\mathbf{F} : C \rightarrow C-\gamma$ .

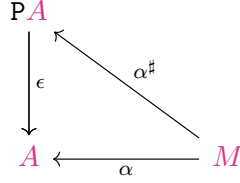


Figure 4.4: Cofree Coalgebra

A coalgebra  $PA$  is cofree on  $A$  iff for all coalgebras  $M$  and mappings  $\alpha : UM \rightarrow C$  there is a unique morphism  $\alpha^\# : M \rightarrow TC$  such that the diagram Figure 4.4 commutes

## Equation system

We start by defining a equation system called a covariety [3] of a coalgebra (dual of variety of an algebra).

Complete covarities are closed under bisimulation.

## 4.4 Strongly Extensional (Coalgebra)

**Definition 4.4.1.** A equation system is given by

$$EqSys : \sum_{(E:\mathcal{U})} \sum_{(V:E \rightarrow \mathcal{U})} ((e : E) \rightarrow T(Ve)) \times ((e : E) \rightarrow T(Ve)) \quad (4.68)$$

where  $E$  representing the equations, and variables for the given equations, given by the type  $V$ , and  $T$  is the free coalgebra.

### 4.4.1 in progress

Let  $G$  be functors and  $v : P \rightarrow G$  a natural transformation. Suppose that for any type  $V$ , the functor  $(\lambda \_ \rightarrow V) \times F$  has a final coalgebra. Then there exists for any  $G$ -coalgebra  $C-\gamma$  an  $P$ -coalgebra  $S_C-\alpha$  and a  $G$ -homomorphism  $\varepsilon : S_C-v_{S_C} \circ \alpha \Rightarrow C-\gamma$ , satisfying the universal property: for any  $P$ -coalg  $U-\alpha_U$  and any  $G$ -homomorphism  $f : U-v_U \circ \alpha_U \Rightarrow C-\gamma$  there exists a unique  $P$ -homomorphism  $\tilde{f} : U-\alpha_u \Rightarrow S_C-\alpha$  such that  $\varepsilon \circ \tilde{f} = f$ . The  $P$ -coalg  $S_c-\alpha$  (and  $\varepsilon$ ) is called cofree on the  $G$ -coalgebra  $C-\gamma$ . [4, theorem 17.1].

The coalgebra generated by the polynomial functor over the container  $(A, B)$  is a cofree coalgebra. We can now define a quotient, by defining a equation system at the same time, as we define the  $M$ -type type. The equation systems is defined on a type  $E : \mathcal{U}$  with variables of type  $V : E \rightarrow \mathcal{U}$ , each equation is given by functions  $l, r : C \rightarrow A$  for some type  $C$ . A coalgebra satisfies the equation system iff  $(t : B(lc) \rightarrow MQ) \rightarrow (s : B(rc) \rightarrow MQ) \rightarrow lc \equiv rc$  is inhabited.

## 4.5 TODO

- Resumption Monad transformer
- coinduction in Coq is broken
- $\text{bisim} \Rightarrow \text{eq}$
- copattern matching
- cubical Agda. Relation between  $\mathbf{M}$ -types defined by coinduction/copattern matching and constructed from  $\mathbf{W}$ -types
- In Agda, co-inductive types are defined using Record types, which are Sigma-types.
- In cubical Agda, 3.2.2 the issue of productivity is discussed. This can probably be made precise using guarded types.
- streams defined by guarded recursion vs coinduction in guarded cubical Agda.
- p3 of the guarded cubical Agda paper describes how semantic productivity improves over syntactic productivity
- Reduction of co-inductive types in Coq/Agda to (indexed)  $\mathbf{M}$ -types. Like reduction of strictly positive inductive types to  $\mathbf{W}$ -types. <https://ncatlab.org/nlab/show/W-type>
- QIITs have been formalized in Agda using private types. Can this also be done in cubical Agda (ie without cheating).
- Show that this is the final (quotiented) coalgebra. Does this generalize to  $\mathbf{QM}$ -types, and what are those constructively ??



## Chapter 5

# Properties of M-types?

### 5.1 Closure properties of M-types

We want to show that M-types are closed under simple operations, we start by looking at the product.

#### 5.1.1 Product of M-types

We start with containers and work up to M-types.

**Definition 5.1.1.** The product of two containers is defined as [1]

$$(A, B) \times (C, D) \equiv (A \times C, \lambda(a, c), B \ a \times D \ c). \quad (5.1)$$

We can lift this rule, through the diagram in Figure 5.1, used to define M-types.

**Theorem 5.1.1.** For any  $n : \mathbb{N}$  the following is true

$$P_{(A, B)}^n \mathbf{1} \times P_{(C, D)}^n \mathbf{1} \equiv P_{(A, B) \times (C, D)}^n \mathbf{1}. \quad (5.2)$$

*Proof.* We do induction on  $n$ , for  $n = 0$ , we have  $\mathbf{1} \times \mathbf{1} \equiv \mathbf{1}$ . For  $n = m + 1$ , we may assume

$$P_{(A, B)}^m \mathbf{1} \times P_{(C, D)}^m \mathbf{1} \equiv P_{(A, B) \times (C, D)}^m \mathbf{1}, \quad (5.3)$$

in the following

$$P_{(A, B)}^{m+1} \mathbf{1} \times P_{(C, D)}^{m+1} \mathbf{1} \quad (5.4)$$

$$\equiv P_{(A, B)}(P_{(A, B)}^m \mathbf{1}) \times P_{(C, D)}(P_{(C, D)}^m \mathbf{1}) \quad (5.5)$$

$$\equiv \sum_{a:A} B \ a \rightarrow P_{(A, B)}^m \mathbf{1} \times \sum_{c:C} D \ c \rightarrow P_{(C, D)}^m \mathbf{1} \quad (5.6)$$

$$\equiv \sum_{a,c:A \times C} (B \ a \rightarrow P_{(A, B)}^m \mathbf{1}) \times (D \ c \rightarrow P_{(C, D)}^m \mathbf{1}) \quad (5.7)$$

$$\equiv \sum_{a,c:A \times C} B \ a \times D \ c \rightarrow P_{(A, B)}^m \mathbf{1} \times P_{(C, D)}^m \mathbf{1} \quad (5.8)$$

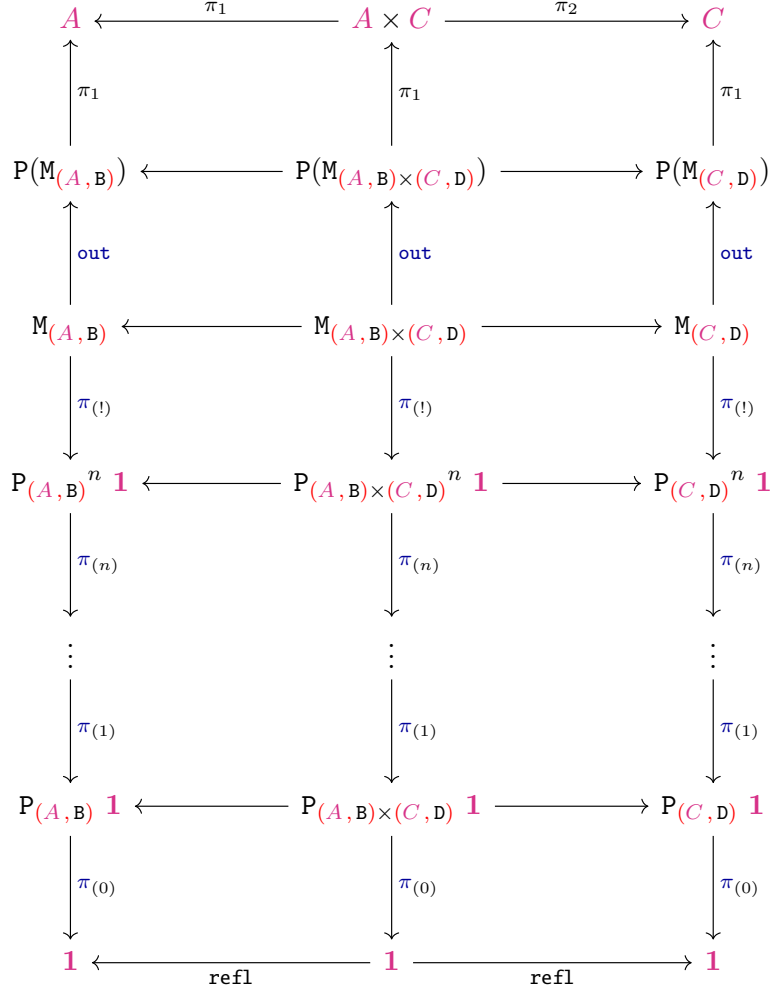


Figure 5.1: Diagram for products of chains

$$\equiv \sum_{a,c:A \times C} B \ a \times D \ c \rightarrow P_{(A,B) \times (C,D)}^m \mathbf{1} \quad (5.9)$$

$$\equiv P_{(A,B) \times (C,D)}(P_{(A,B) \times (C,D)}^m \mathbf{1}) \quad (5.10)$$

$$\equiv P_{(A,B) \times (C,D)}^{m+1} \mathbf{1} \quad (5.11)$$

taking the limit of (5.2) we get

$$M_{(A,B)} \times M_{(C,D)} \equiv M_{(A,B) \times (C,D)}. \quad (5.12)$$

□

**Example 5.1.1.** For streams we get

$$\text{stream } A \times \text{stream } B \equiv M_{(A, \lambda \_., \mathbf{1})} \times M_{(B, \lambda \_., \mathbf{1})} \equiv M_{(A, \lambda \_., \mathbf{1}) \times (B, \lambda \_., \mathbf{1})} \equiv \text{stream } (A \times B) \quad (5.13)$$

as expected. Transporting along (5.13) gives us a definition for **zip**.

### 5.1.2 Co-product

Coproducts?

### 5.1.3 ...

The rest of the closures defined in "Categories of Containers" [1]





## Chapter 6

# Examples of **M**-types

### 6.1 Automaton

An automaton is defined as a set of state  $V$  and an alphabet  $\alpha$  and a transition function  $\delta : V \rightarrow \alpha \rightarrow V$ . This gives us the diagram in Figure 6.1

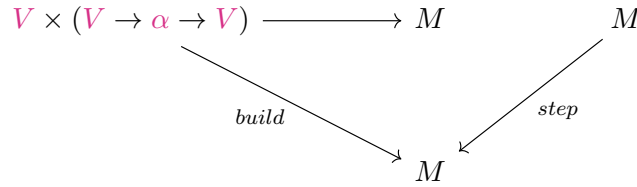


Figure 6.1: automaton

### 6.2 The Partiality monad

To construct the partiality monad, we start with the delay monad, and the preorder

$$\forall x, \perp \sqsubseteq x \tag{6.1}$$

$$\forall x, x \sqsubseteq x \tag{6.2}$$

$$\forall x y z, x \sqsubseteq y \rightarrow y \sqsubseteq z \rightarrow x \sqsubseteq z \tag{6.3}$$

we can then define the partiality monad

The partiality monad  $(-)_\perp$  is a way of adding partiality to a given computation. Along with the partiality monad, we also get a partial ordering  $(\cdot \sqsubseteq \cdot)$ , by

$$\forall x, \perp \sqsubseteq x \tag{6.4}$$

$$\forall x, x \sqsubseteq x \tag{6.5}$$

$$\forall x y z, x \sqsubseteq y \rightarrow y \sqsubseteq z \rightarrow x \sqsubseteq z \tag{6.6}$$

$$\forall x y, x \sqsubseteq y \rightarrow y \sqsubseteq x \rightarrow x \equiv y \tag{6.7}$$

We now want to show that we can construct the partiality monad from the delay monad. We need an operation that given an element of the delay monad, maps to an element of the partiality monad.

$$\text{now } x = x + \mathbf{1} \quad (6.8)$$

$$\text{later } y = y \quad (6.9)$$

### 6.3 TODO: Place these subsections

What makes a relation a bisimulation? Is bisim and equality equal.

#### 6.3.1 Identity Bisimulation

Lets start with a simple example of a bisimulation namely the one given by the identity relation for any  $\mathbf{M}$ -type.

**Lemma 6.3.1.** *The identity relation  $(\cdot \equiv \cdot)$  is a bisimulation for any final coalgebra  $\mathbf{M}_{\mathbf{S}\text{-out}}$  defined over an  $\mathbf{M}$ -type.*

*Proof.* We first define the function

$$\begin{aligned} \alpha_{\equiv} : \equiv &\rightarrow \mathbf{P}(\equiv) \\ \alpha_{\equiv}(x, y) &:= \pi_1(\text{out } x), (\lambda b, (\pi_2(\text{out } x) b, \text{refl}_{(\pi_2(\text{out } x) b)})) \end{aligned} \quad (6.10)$$

and the two projections

$$\pi_1^{\equiv} = (\pi_1, \text{funExt } \lambda(a, b, r), \text{refl}_{\text{out } a}) \quad (6.11)$$

$$\pi_2^{\equiv} = (\pi_2, \text{funExt } \lambda(a, b, r), \text{cong}_{\text{out}}(r^{-1})). \quad (6.12)$$

This defines the bisimulation, given by the diagram in Figure 6.2. □

$$\mathbf{M}\text{-out} \xleftarrow{\pi_1^{\equiv}} \equiv - \alpha_{\equiv} \xrightarrow{\pi_2^{\equiv}} \mathbf{M}\text{-out}$$

Figure 6.2: Identity bisimulation

#### 6.3.2 Bisimulation of Streams

TODO

#### 6.3.3 Bisimulation of Delay Monad

We want to define a strong bisimulation relation  $\sim_{\text{delay}}$  for the delay monad,

**Definition 6.3.1.** The relation  $\sim_{\text{delay}}$  is defined by the following rules

$$\frac{R : \mathcal{U} \quad r : R}{\text{now } r \sim_{\text{delay}} \text{now } r : \mathcal{U}} \text{now} \sim \quad (6.13)$$

$$\frac{R : \mathcal{U} \quad t : \text{delay } R \quad u : \text{delay } R \quad t \sim_{\text{delay}} u : \mathcal{U}}{\text{later } t \sim_{\text{delay}} \text{later } u : \mathcal{U}} \text{later} \sim \quad (6.14)$$

**Theorem 6.3.2.** *The relation  $\sim_{\text{delay}}$  is a bisimulation for delay  $R$ .*

*Proof.* First we define the function

$$\begin{aligned} \alpha_{\sim_{\text{delay}}} &: \overline{\sim_{\text{delay}}} \rightarrow \mathbf{P}(\overline{\sim_{\text{delay}}}) \\ \alpha_{\sim_{\text{delay}}}(a, b, \text{now} \sim r) &:= (\text{inr } r, \lambda () ) \\ \alpha_{\sim_{\text{delay}}}(a, b, \text{later} \sim x \ y \ q) &:= (\text{inl } \text{tt}, \lambda \_, (x, y, q)) \end{aligned} \quad (6.15)$$

then we define the projections

$$\pi_1^{\overline{\sim_{\text{delay}}}} = \left( \pi_1 \ , \ \text{funExt } \lambda(a, b, p), \begin{cases} (\text{inr } r, \lambda ()) & p = \text{now} \sim r \\ (\text{inl } \text{tt}, \lambda \_, x) & p = \text{later} \sim x \ y \ q \end{cases} \right) \quad (6.16)$$

$$\pi_2^{\overline{\sim_{\text{delay}}}} = \left( \pi_2 \ , \ \text{funExt } \lambda(a, b, p), \begin{cases} (\text{inr } r, \lambda ()) & p = \text{now} \sim r \\ (\text{inl } \text{tt}, \lambda \_, y) & p = \text{later} \sim x \ y \ q \end{cases} \right) \quad (6.17)$$

$$(6.18)$$

This defines the bisimulation, given by the diagram in Figure 6.3.  $\square$

$$\text{delay } R\text{-out} \xleftarrow{\pi_1^{\overline{\sim_{\text{delay}}}}} \overline{\sim_{\text{delay}}} - \alpha_{\sim_{\text{delay}}} \xrightarrow{\pi_2^{\overline{\sim_{\text{delay}}}}} \text{delay } R\text{-out}$$

Figure 6.3: Strong bisimulation for delay monad

### 6.3.4 Bisimulation of ITrees

We define our bisimulation coalgebra from the strong bisimulation relation  $\mathcal{R}$ , defined by the following rules.

$$\frac{a, b : R \quad a \equiv_R b}{\text{Ret } a \cong \text{Ret } b} \text{EqRet} \quad (6.19)$$

$$\frac{t, u : \text{itree } E \ R \quad t \cong u}{\text{Tau } t \cong \text{Tau } u} \text{EqTau} \quad (6.20)$$

$$\frac{A : \mathcal{U} \quad e : E \ A \quad k_1, k_2 : A \rightarrow \text{itree } E \ R \quad t \cong u}{\text{Vis } e \ k_1 \cong \text{Tau } e \ k_2} \text{EqVis} \quad (6.21)$$

Now we just need to define  $\alpha_{\mathcal{R}}$

define the  $\alpha_{\mathcal{R}}$  function

. Now we have a bisimulation relation, which is equivalent to equality, using what we showed in the previous section.

### 6.3.5 Zip Function

We want the diagram in Figure 6.4 to commute, meaning we get the computation rules

$$(\text{hd} \times \text{hd}) \equiv \text{hd} \circ \text{zip} \quad (6.22)$$

$$\text{zip} \circ (\text{tl} \times \text{tl}) \equiv \text{tl} \circ \text{zip} \quad (6.23)$$

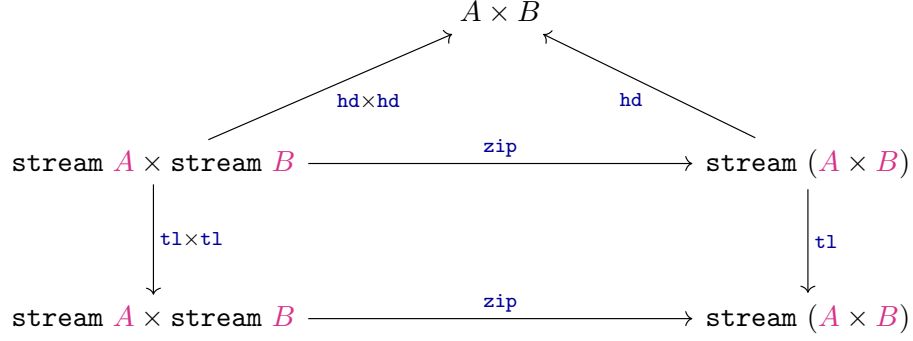


Figure 6.4: TODO

we can define the zip function as we did in the end of the last section. Another way to define the zip function is more directly, using the following lifting property of  $\mathbf{M}$ -types

$$\text{lift}_{\mathbf{M}} \left( x : \prod_{n:\mathbb{N}} (A \rightarrow \mathbf{P}_{\mathbf{S}^n} \mathbf{1}) \right) \left( u : \prod_{n:\mathbb{N}} (A \rightarrow \pi_n(x_{n+1}a) \equiv x_n a) \right) (a : A) : \mathbf{M} \mathbf{S} := (\lambda n, x \ n \ a), (\lambda n \ i, p \ n \ a \ i). \quad (6.24)$$

To use this definition, we first define some helper functions

$$\text{zip}_X \ n \ (x, y) = \begin{cases} \mathbf{1} & \text{if } n = 0 \\ (\text{hd } x, \text{hd } y), (\lambda \_, \text{zip}_X \ m \ (\text{tl } x, \text{tl } y)), & \text{if } n = m + 1 \end{cases} \quad (6.25)$$

$$\text{zip}_{\pi} \ n \ (x, y) = \begin{cases} \text{refl} & \text{if } n = 0 \\ \lambda i, (\text{hd } x, \text{hd } y), (\lambda \_, \text{zip}_{\pi} \ m \ (\text{tl } x, \text{tl } y) \ i), & \text{if } n = m + 1 \end{cases}, \quad (6.26)$$

we can then define

$$\text{zip}_{\text{lift}} \ (x, y) := \text{lift}_{\mathbf{M}} \ \text{zip}_X \ \text{zip} \ (x, y). \quad (6.27)$$

### Equality of Zip Definitions

We would expect that the two definitions for zip are equal

$$\text{transport}_{?} \ a \equiv \text{zip}_{\text{lift}} \ a \quad (6.28)$$

$$\equiv \text{lift}_{\mathbf{M}} \ \text{zip}_X \ \text{zip}_{\pi} \ (x, y) \quad (6.29)$$

$$\equiv (\lambda n, \text{zip}_X \ n \ (x, y)), (\lambda n \ i, \text{zip}_{\pi} \ n \ (x, y) \ i) \quad (6.30)$$

zero case  $X$

$$\text{zip}_X \ 0 \ (x, y) \equiv \mathbf{1} \quad (6.31)$$

Successor case  $X$

$$\text{zip}_X \ (m + 1) \ (x, y) \equiv (\text{hd } x, \text{hd } y), (\lambda \_, \text{zip}_X \ m \ (\text{tl } x, \text{tl } y)) \quad (6.32)$$

$$\equiv (\text{hd } x, \text{hd } y), (\lambda \_, ? \ (\text{tl } a)) \quad (6.33)$$

$$\equiv (\text{hd } (\text{transport}_{?} a)), (\lambda \_, \text{transport}_{?} (\text{tl } a)) \quad (6.34)$$

$$\equiv \text{transport}_? a \quad (6.35)$$

$$(6.36)$$

Zero case  $\pi$ :  $(\lambda i, \text{zip}_\pi 0 (x, y) i) \equiv \text{refl}$ .

$$\equiv (), (\lambda i, \text{zip}_\pi 0 (x, y) i) \quad (6.37)$$

$$\equiv \text{!}, \text{refl} \quad (6.38)$$

$$(6.39)$$

successor case

$$\equiv (\text{zip}_X (m + 1) (x, y)), (\lambda i, \text{zip}_\pi (m + 1) (x, y) i) \quad (6.40)$$

$$\equiv ((\text{hd } x, \text{hd } y), (\lambda \_, \text{zip}_X m (\text{tl } x, \text{tl } y))), (\lambda i, (\text{hd } x, \text{hd } y), (\lambda \_, \text{zip}_\pi m (\text{tl } x, \text{tl } y) i)) \quad (6.41)$$

Complete this proof

### 6.3.6 Examples of Fixed Points

#### Zeros

Let us try to define the zero stream, we do this by lifting the functions

$$\text{const}_X (n : \mathbb{N}) (c : \mathbb{N}) := \begin{cases} \text{!} & n = 0 \\ (c, \lambda \_, \text{const}_X m c) & n = m + 1 \end{cases} \quad (6.42)$$

$$\text{const}_\pi (n : \mathbb{N}) (c : \mathbb{N}) := \begin{cases} \text{refl} & n = 0 \\ \lambda i, (c, \lambda \_, \text{const}_\pi m c i) & n = m + 1 \end{cases} \quad (6.43)$$

to get the definition of zero stream

$$\text{zeros} := \text{lift}_M \text{const}_X \text{const}_\pi 0. \quad (6.44)$$

We want to show that we get the expected properties, such as

$$\text{hd zeros} \equiv 0 \quad (6.45)$$

$$\text{tl zeros} \equiv \text{zeros} \quad (6.46)$$

#### Spin

We want to define spin, as being the fixed point  $\text{spin} = \text{later spin}$ , so that is again a final coalgebra, but of a  $M$ -type (which is a final coalgebra)

Since it is final, it also must be unique, meaning that there is just one program that spins forever, without returning a value, meaning every other program must return a value. If we just

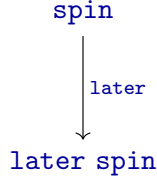


Figure 6.5: TODO

## 6.4 Stream Formalization using **M**-types

As described earlier, given a type  $A$  we define the stream of that type as

$$\mathbf{stream} \ A := \mathbf{M}_{(A, \lambda \_, 1)} \quad (6.47)$$

When taking the head of a stream, we get

$$\mathbf{hd} \ (\mathbf{cons} \ x \ xs) \equiv \pi_1 \ \mathbf{out} \ (\mathbf{cons} \ x \ xs) \quad (6.48)$$

$$\equiv \pi_1 \ \mathbf{out} \ (\mathbf{in} \ (x, \lambda \_, xs)) \quad (6.49)$$

$$\equiv \pi_1 \ (x, \lambda \_, xs) \quad (6.50)$$

$$\equiv x \quad (6.51)$$

and similarly for the tail of the stream

$$\mathbf{tl} \ (\mathbf{cons} \ x \ xs) \equiv \pi_2 \ \mathbf{out} \ (\mathbf{cons} \ x \ xs) \quad (6.52)$$

$$\equiv \pi_2 \ \mathbf{out} \ (\mathbf{in} \ (x, \lambda \_, xs)) \quad (6.53)$$

$$\equiv \pi_2 \ (x, \lambda \_, xs) \quad (6.54)$$

$$\equiv xs \quad (6.55)$$

and the other direction is also true

$$\mathbf{cons}(\mathbf{hd} \ s, \mathbf{tl} \ s) \equiv \mathbf{in} \ (\mathbf{hd} \ s, \mathbf{tl} \ s) \quad (6.56)$$

$$\equiv \mathbf{in} \ (\pi_1 \ (\mathbf{out} \ s), \pi_2 \ (\mathbf{out} \ s)) \quad (6.57)$$

$$\equiv \mathbf{in} \ (\mathbf{out} \ s) \quad (6.58)$$

$$\equiv s. \quad (6.59)$$

When forming elements of the **M**-type, we want to do it by lifting it though the definition of the **M**-type, meaning we want to define a function  $\mathbf{cons}' : (\mathbb{N} \rightarrow A) \rightarrow \mathbf{stream} \ A$  as

$$\mathbf{cons}' f = \mathbf{lift}_M (\lambda c n, f \ c) \quad (6.60)$$

$$\mathbf{cons}' f = \mathbf{lift}_M (\lambda c n, f \ c) \quad (6.61)$$

## 6.5 ITrees as **M**-types

We want the following rules for ITrees

$$\frac{r : R}{\mathbf{Ret} \ r : \mathbf{itree} \ E \ R} \mathbf{I}_{\mathbf{Ret}} \quad (6.62)$$

$$\frac{A:\mathcal{U} \quad a:\mathbf{E} \, A \quad f:A \rightarrow \mathbf{itree} \, \mathbf{E} \, R}{\mathbf{Vis} \, a \, f:\mathbf{itree} \, \mathbf{E} \, R} \mathbf{I}_{\mathbf{Vis}}. \quad (6.63)$$

Elimination rules

$$\frac{t:\mathbf{itree} \, \mathbf{E} \, R}{\mathbf{Tau} \, t:\mathbf{itree} \, \mathbf{E} \, R} \mathbf{E}_{\mathbf{Tau}}. \quad (6.64)$$

### 6.5.1 Delay Monad

We start by looking at itrees without the **Vis** constructor, this type is also know as the delay monad  
check this statement

. We construct this type by letting  $S = (\mathbf{1} + R, \lambda\{\mathbf{inl} \_ \rightarrow \mathbf{1} ; \mathbf{inr} \_ \rightarrow \mathbf{0}\})$ , we then get the polynomial functor

$$\mathbf{P}_S(X) = \sum_{x:\mathbf{1}+R} \lambda\{\mathbf{inl} \_ \rightarrow \mathbf{1} ; \mathbf{inr} \_ \rightarrow \mathbf{0}\} x \rightarrow X, \quad (6.65)$$

which is equal to

$$\mathbf{P}_S(X) = X + R \times (\mathbf{0} \rightarrow X). \quad (6.66)$$

We know that  $\mathbf{0} \rightarrow X \equiv \mathbf{1}$ , so we can reduce further to

$$\mathbf{P}_S(X) = X + R \quad (6.67)$$

meaning we get the following diagram.

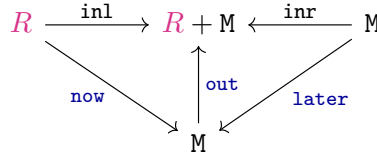


Figure 6.6: Delay monad

Meaning we can define the operations **now** and **later** using  $\mathbf{in} = \mathbf{out}^{-1}$  together with the injections **inl** and **inr**.

(Later = Tau, Ret = Now)

### 6.5.2 Tree

Now lets look at the example where we remove the **Tau** constructor. We let

$$S = \left( R + \sum_{A:\mathcal{U}} \mathbf{E} \, A, \lambda\{\mathbf{inl} \_ \rightarrow \mathbf{0} ; \mathbf{inr} \, (A, e) \rightarrow A\} \right). \quad (6.68)$$

This will give us the polynomial functor

$$\mathbf{P}_S(X) = \sum_{x:R+\sum_{A:\mathcal{U}} \mathbf{E} \, A} \lambda\{\mathbf{inl} \_ \rightarrow \mathbf{0} ; \mathbf{inr} \, (A, e) \rightarrow A\} x \rightarrow X, \quad (6.69)$$

which simplifies to

$$\mathbf{P}_S(X) = (R \times (\mathbf{0} \rightarrow X)) + \left( \sum_{A:\mathcal{U}} \mathbf{E} \, A \times (A \rightarrow X) \right), \quad (6.70)$$

and further

$$P_S(X) = R + \sum_{A:\mathcal{U}} E A \times (A \rightarrow X). \quad (6.71)$$

We get the following diagram for the P-coalgebra.

$$\begin{array}{ccccc}
 R & \xrightarrow{\text{inl}} & R + \sum_{A:\mathcal{U}} E A \times (A \rightarrow M) & \xleftarrow{\text{inr}} & \sum_{A:\mathcal{U}} E A \times (A \rightarrow M) \\
 & \searrow \text{Ret} & \uparrow \text{out} & \swarrow \text{Vis} & \\
 & & M & & 
 \end{array}$$

Figure 6.7: TODO

Again we can define **Ret** and **Vis** using the **in** function.

### 6.5.3 ITrees

Now we should have all the knowledge needed to make ITrees using **M**-types. We define ITrees by the container

$$S = \left( \mathbf{1} + R + \sum_{A:\mathcal{U}} (E A) \ , \ \lambda \{ \text{inl} (\text{inl} \_) \rightarrow \mathbf{1} ; \text{inl} (\text{inr} \_) \rightarrow \mathbf{0} ; \text{inr}(A, \_) \rightarrow A \} \right). \quad (6.72)$$

Such that the (reduced) polynomial functor becomes

$$P_S(X) = X + R + \sum_{A:\mathcal{U}} ((E A) \times (A \rightarrow X)) \quad (6.73)$$

Giving us the diagram

$$\begin{array}{ccccc}
 R & \xrightarrow{\text{inl} \circ \text{inr}} & M + R + \sum_{A:\mathcal{U}} (E A \times (A \rightarrow M)) & \xleftarrow{\text{inr}} & \sum_{A:\mathcal{U}} E A \times (A \rightarrow M) \\
 & \searrow \text{Ret} & \uparrow \text{out} & \swarrow \text{Vis} & \\
 & & M & & \\
 & & \downarrow \text{Tau} & & \\
 & & M & & 
 \end{array}$$

Figure 6.8: TODO



## Chapter 7

# Additions to the Cubical Agda Library

### 7.1 $\Sigma$ ap

$$\Sigma\text{ap} : \left( \sum_{x:X} Yx \equiv \sum_{x':X'} Y'x' \right) \equiv \left( \sum_{p:X \equiv X'} Y \equiv_p Y' \right) \quad (7.1)$$

Describe the proof of this? / Is this relevant / Should it be in the appendix?



## Chapter 8

# Conclusion

conclude on the problem statement from the introduction



# Bibliography

- [1] Michael Gordon Abbott, Thorsten Altenkirch, and Neil Ghani. Categories of containers. In *Foundations of Software Science and Computational Structures, 6th International Conference, FOSSACS 2003 Held as Part of the Joint European Conference on Theory and Practice of Software, ETAPS 2003, Warsaw, Poland, April 7-11, 2003, Proceedings*, pages 23–38, 2003.
- [2] Marcelo Fiore, Andrew M. Pitts, and S. C. Steenkamp. Constructing infinitary quotient-inductive types. *CoRR*, abs/1911.06899, 2019.
- [3] Jesse Hughes. *A study of categories of algebras and coalgebras*. PhD thesis, Carnegie Mellon University, 2001.
- [4] Jan J. M. M. Rutten. Universal coalgebra: a theory of systems. *Theor. Comput. Sci.*, 249(1):3–80, 2000.
- [5] Amin Timany and Matthieu Sozeau. Cumulative inductive types in coq. *LIPICs: Leibniz International Proceedings in Informatics*, 2018.



## Appendix A

# The Technical Details

