

# Technical report

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# 1 Introduction

## 2 Theory and existing frameworks

### 2.1 $\mathcal{R}ml$

We have two representations of  $Rml$ , continuations and distributions. Both build on a monad, for ease of use.

The data structure used to represent  $Rml$  terms is as follows:

```
Inductive Rml :=
| Var : (N * Type) → Rml
| Const : ∀ (A : Type), A → Rml
| Let_stm : (N * Type) → Rml → Rml → Rml
| Fun_stm : Type → (N * Type) → Rml → Rml
| If_stm : Rml → Rml → Rml → Rml
| App_stm : Type → Rml → Rml → Rml
| Let_rec : Type → Type → N → N → Rml → Rml → Rml.
```

We use all cog types, as possible types of  $Rml$  expressions, since there are no real restrictions on the types. We encode variables, as a type and a natural number, so two variables are the same only if they have the same number and refer to the same type.

We have defined a relation `well_formed`, that checks that no variables are escaping the scope of an  $Rml$  program, that is there is always a binding for an expression of type `Var p`. We furthermore define a relation `rml_valid_type`, which checks that a given  $Rml$  expression can be typed under a given type. We have shown that if a  $Rml$  program is valid then it is well formed. We have then constructed a simplified form of  $Rml$  called  $sRml$  (for simple  $Rml$ ), to make it easier to reason about and evaluate expressions, with the following data structure:

```
Inductive sRml {A : Type} :=
| sVar : N → sRml
| sConst : A → sRml
| sFun : ∀ C (p : N * Type), A = (p.2 → C) → ·sRml C → sRml
| sIf : ·sRml bool → sRml → sRml → sRml
| sApp : ∀ T, ·sRml (T → A) → ·sRml T → sRml
| sFix : ∀ B (nf nx : N), ·sRml (B → A) → ·sRml B → sRml.
```

That is  $Rml$  where we remove expressions with variables, from `let_stm` statements (not `let_rec` statements). We then show that given a valid typing of an  $Rml$  expression, we can simplify that expression, and maintain the valid typing (under the same type). With this we can make an interpreter from an interpreter of  $sRml$ , which can be constructed as

(for continuations). We have a similar function for Rml, using the possibility distributions as interpretations. We see similar patterns arising, since both interpretations are monadic.

## 2.2 EasyCrypt and pwhile

EASyCRYPT is a framework that has been developed in order to help in the construction of machine-checkable proofs about cryptographic constructions and protocols. A standard approach to this kind of proofs is based on so-called games; in EASyCRYPT cryptographic algorithms as well as games are modelled as *modules* consisting of procedures written in a simple imperative language called **pwhile**. The **p** in **pwhile** stands for “probabilistic”, so in total the name refers to a probabilistic extension of the well-known minimalistic **while** language.

We will in this section give an overview of the language as well as its interpretation in Coq, which is due to a development by Pierre-Yves Strub<sup>1</sup>. We will not concern ourselves with the module system of EASyCRYPT since the focus of the present development is on probabilistic languages and their interpretation rather than their use.

**pwhile** consists of the following expressions and commands:

$$\begin{aligned} \text{exp} &::= x \mid \text{const} \mid \text{prp } \text{pred } \text{mem} \mid e_1 \ e_2 \\ \text{cmd} &::= \text{abort} \mid \text{skip} \mid x := e \mid x \$ = e \\ &\quad \mid \text{if } b \text{ then } c_1 \text{ else } c_2 \mid \text{while } b \text{ do } c \mid c_1; c_2 \end{aligned}$$

Explain what prp is all about

The result of interpreting a program in **pwhile** is a distribution over memories.

## 2.3 Complete partial orders

A partially ordered set (poset) is a set with an associated binary ordering relation  $\leq$  which is both reflexive and transitive. The order is partial when the ordering relation is not defined on every pair of elements in the set.

There exist a number of different completeness properties that a poset can have. We will here have a look at  $\omega$ -complete partial orders, which we will use in order to interpret general recursion and randomised programs.

**Definition 1.**  *$\omega$ -complete partial order ( $\omega$ -cpo)*

An  $\omega$ -cpo is a partially ordered set that, additionally, has a distinct least element and where there exist least upper bounds on all monotonic sequences.

<sup>1</sup><https://github.com/strub/xhl>

Is it enough to describe what the semantics is, or should I extract the formal semantics from the xhl development?

### 2.3.1 Recursive definitions as fixed point iterations

Before using  $\omega$ -cpo's to interpret recursion, let us first have a look at some interesting things that our definition entails.

A monotonic sequence on an  $\omega$ -cpo  $X$  can be viewed as a monotonic function  $f : \mathbb{N} \xrightarrow{m} X$  where  $f(n)$  is the  $n$ th element of the sequence (or the least upper bound of the sequence, if  $n$  is larger than the length of the sequence).

There is a standard way of defining fixed point iterations on an  $\omega$ -cpo:

Consider an operator  $F : X \xrightarrow{m} X$  on some  $\omega$ -cpo  $X$ ; with this we define the monotonic sequence  $F_i \mapsto \underbrace{F(F(\dots F(0_X)\dots))}_{i \text{ times}}$  of repeated application of  $F$  to the least element of  $X$ .

By our choice of  $F$  and the definition of  $\omega$ -cpo's, it is clear that there has to exist a least upper bound on  $F_i$ . This least upper bound is the fixed point of  $F$  and it will hold that  $\text{fix } F = F(\text{fix } F)$  if  $F$  is continuous.

For an  $\omega$ -cpo with underlying set  $B$  we can also define an  $\omega$ -cpo on functions from any set  $A$  whose co-domain is  $B$ .

To reiterate the definition, let us think of what we need for an  $\omega$ -cpo. We need an ordering relation, a least element, and a least upper bound operation. Those can be defined as follows:

$$\begin{aligned} f \leq_{A \rightarrow B} g &\Leftrightarrow \forall x : f(x) \leq_B g(x) && (\text{pointwise order}) \\ 0_{A \rightarrow B} &:= f(x) = 0_B && (\text{least element}) \\ \text{lub}_{A \rightarrow B} f_n &:= g(x) = \text{lub}_B(f_n(x)) && (\text{least upper bound operation}) \end{aligned}$$

The result of an interpretation of programs in the language of discourse will be in an  $\omega$ -cpo, so according to the above discussion functions will have an  $\omega$ -cpo structure as well. Together with the above definition of fixed points we can use this structure to interpret general recursive definitions.

We define a functional,  $F$ , taking as input a function and “adding a step to it”. Let us look at the example of the factorial function  $f(n) = n!$ . The recursive definition is well known:

$$fac(n) := \text{if } n = 0 \text{ then } 1 \text{ else } n \cdot fac(n - 1)$$

For the interpretation of this definition, we want to define  $F : (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow (\mathbb{N} \rightarrow \mathbb{N})$  in such a way that its fixed point is the same as the above recursive definition. We choose

$$F(F_i(n)) := \text{if } n = 0 \text{ then } 1 \text{ else } n \cdot F_i(n - 1)$$

Where  $F_0(n)$  is  $0_{\mathbb{N} \rightarrow \mathbb{N}}$  (the function that takes a natural number and returns 0), by the above definition of the least element in the  $\omega$ -cpo defined on a function space. By repeated application of  $F$  the function will slowly approach the real factorial function, which is the fixed point of  $F$ . The beginning of the iteration will be

$$\begin{aligned}
F_1(n) = F(F_0(n)) &= \begin{cases} 1 & \text{if } n \text{ is } 0 \\ 0 & \text{otherwise} \end{cases} \\
F_2(n) = F(F(F_0(n))) &= \begin{cases} 1 & \text{if } n \text{ is } 0 \\ 1 & \text{if } n \text{ is } 1 \\ 0 & \text{otherwise} \end{cases} \\
F_3(n) = F(F(F(F_0(n)))) &= \begin{cases} 1 & \text{if } n \text{ is } 0 \\ 1 & \text{if } n \text{ is } 1 \\ 2 & \text{if } n \text{ is } 2 \\ 0 & \text{otherwise} \end{cases}
\end{aligned}$$

In this case it is easy to see that  $F_0 \leq F_1 \leq F_2 \leq F_3 \leq \dots$ . In the general case this follows from the fact that  $F$  has to be monotone and  $F_0$  is always the least element of the function space  $F$  operates on.

### 2.3.2 Interpreting random definitions

A measure is a linear function  $\mu$  from a set  $A$  to non-negative real numbers. It also preserves least upper bounds.

$M\beta = (\beta \rightarrow [0,1]) \rightarrow [0,1]$  The  $(\beta \rightarrow [0,1])$  part describes a probability distribution. We can view our programs as transformations of probability distributions.

”a term  $e$  of type  $\beta$  is translated to a purely functional one  $[e]$  which is understood as a measure on the same type.”

The w-cpo structure on  $[0,1]$ ; why is it an w-cpo?

@Bas: What do we need the structure for?

I am confused by what it says on page 574: First we talk about  $\mu(f)$  for  $f:A \rightarrow [0,1]$  and then we say that  $\mu$  is a measure on  $A$ . Shouldn't it be on probability distributions over  $A$  (so  $A \rightarrow [0,1]$ )?

Monadic transformation. Should we add a subsection on monads or should we just mention them?

## 3 Our approach

### 3.1 Translating while to a functional language

In order to do the translations properly, let us first have a look at a translation from the simple, widely known **while** language to a simple functional language resembling  $\mathcal{R}ml$ . The

thought behind this is that once this translation is in place, all we have to do to translate `pwhile` to  $\mathcal{R}m1$  is to add probability.

- (1)  $exp ::= x|n|\mathbf{true}|\mathbf{false}|f\ x$
- (2)  $stm ::= \mathbf{skip}|x := e|\mathbf{if}\ e\ \mathbf{then}\ s_1\ \mathbf{else}\ s_2|\mathbf{while}\ e\ \mathbf{do}\ s|s_1; s_2$

The syntax of our functional language is the same as  $\mathcal{R}m1$  modulo the pre-defined randomised functions.

The translation of expressions is completely straightforward: variables are mapped to variables, constants to constants, and function applications to function applications.

In order to translate statements we choose a set of SML-style matching rules; this choice is due to the translation of sequences being dependent on what the first statement is. We will in the following write the translation of a `while` statement  $s$  to an expression in our functional language as  $\llbracket s \rrbracket$ .

The result of a computation in `while` is the state of a memory, while the result of a functional computation is a value. A simple way to make up for this difference is by choosing a variable name that is designated the return variable and encapsulates the information we are interested in after the computation. This is the result of a program translated from `while` to our functional language; in the following we choose  $x_r$  as the symbol for the chosen return variable.

- (3)  $\mathbf{skip}; s \mapsto \llbracket s \rrbracket$
- (4)  $\mathbf{skip} \mapsto x_r$
- (5)  $x := e; s \mapsto \mathbf{let}\ x := e\ \mathbf{in}\ \llbracket s \rrbracket$
- (6)  $x_r := e \mapsto e$
- (7)  $x := e \mapsto x_r$
- (8)  $(\mathbf{if}\ e\ \mathbf{then}\ s_1\ \mathbf{else}\ s_2); s_3 \mapsto \mathbf{if}\ e\ \mathbf{then}\ \llbracket s_1; s_3 \rrbracket\ \mathbf{else}\ \llbracket s_2; s_3 \rrbracket$
- (9)  $\mathbf{if}\ e\ \mathbf{then}\ s_1\ \mathbf{else}\ s_2 \mapsto \mathbf{if}\ e\ \mathbf{then}\ \llbracket s_1 \rrbracket\ \mathbf{else}\ \llbracket s_2 \rrbracket$
- (10)  $(\mathbf{while}\ e\ \mathbf{do}\ s_1); s_2 \mapsto \mathbf{let}\ \mathbf{rec}\ f\ x := \mathbf{if}\ e\ \mathbf{then}\ \llbracket s_1; f\ x \rrbracket\ \mathbf{else}\ \llbracket s_2 \rrbracket\ \mathbf{in}\ f\ 0$
- (11)  $\mathbf{while}\ e\ \mathbf{do}\ s_1 \mapsto \mathbf{let}\ \mathbf{rec}\ f\ x := \mathbf{if}\ e\ \mathbf{then}\ \llbracket s_1; f\ x \rrbracket\ \mathbf{else}\ x_r\ \mathbf{in}\ f\ 0$

@Bas: Is this description of our return and  $x_r$  sufficiently clear?

Note that in 10 and 11 we create recursive functions with a name and an argument, both of which are not present in the `while` construct we translate from. This means that we have to be careful about the translation: Both  $f$  and  $x$  have to be chosen fresh; and even fresher than that, they can not occur in the body of the `while` loop we are translating either, because that would break the recursive call.

Further notice that the recursive functions are always called with a dummy argument. This is because they act as procedures, but since our syntax requires an argument for recursive definitions, we give a dummy argument.

### 3.2 Translation from Rml to typed $\lambda$ -calculus

This section is preliminary and needs either huge changes or deletion before the report is finalised.

Rml	typed $\lambda$ -calculus
Var $(x, A)$	$x : A$
Const $A \ c$	$c : A$
Let $(x, A) \ e_1 \ e_2$	$(\lambda x : A. e_2) \ e_1$
Fun $(x, A) \ e$	$\lambda x : A. e$
App $e_1 \ e_2$	$e_1 \ e_2$
Let rec $(f, A \rightarrow B) (x, A) \ e_1 \ e_2$	$(\lambda f : A \rightarrow B. e_2) (Y (\lambda f : A \rightarrow B. \lambda x : A. e_1))$

The problem here is that we need to translate  $e_1$  and  $e_2$  to their simple forms, so we do an intermediate translation:

*Let*

#### 3.2.1 Example: Fib

Expression:

```

Let_rec (f,  $\mathbb{N} \rightarrow \mathbb{N}$ ) (x,  $\mathbb{N}$ )
  (if  $x \leq 0$ 
   then 0
   else  $f \ (x - 1) + f \ (x - 2)$ )
(f 3)

```

Typing:

```

Let_rec (f,  $\mathbb{N} \rightarrow \mathbb{N}$ ) (x,  $\mathbb{N}$ )
  ((if (x  $\leq$  0 :  $\mathbb{B}$ )
   then (0 :  $\mathbb{N}$ )
   else (f :  $\mathbb{N} \rightarrow \mathbb{N}$ ) (x - 1 :  $\mathbb{N}$ ) + (f :  $\mathbb{N} \rightarrow \mathbb{N}$ ) (x - 2 :  $\mathbb{N}$ ) :  $\mathbb{N}$ )
  ((f :  $\mathbb{N} \rightarrow \mathbb{N}$ ) (3 :  $\mathbb{N}$ ) :  $\mathbb{N}$ )

```

Semi-simple

```
Let_stm f
  sFix
    sFun (f,  $\mathbb{N} \rightarrow \mathbb{N}$ )
      sFun (x,  $\mathbb{N}$ )
        ((if (x ≤ 0)
          then 0
          else f (x - 1) + f (x - 2)))
    (f 3)
```

Simple form:

```
sApp sFix
  sFun (f,  $\mathbb{N} \rightarrow \mathbb{N}$ )
    sFun (x,  $\mathbb{N}$ )
      ((if (x ≤ 0)
        then 0
        else f (x - 1) + f (x - 2)))
  3
```

### 3.3 Interpreting $\lambda$ -calculus in the space of $\omega$ -cpo

What do  $\omega$ -cpo have to do with this?

### 3.4 Interpreting while directly

This should probably mainly refer back to the interpretation of `pwhile`.

### 3.5 All translations (forward)

What is the point of this section?



Rml	@sRml A	typed $\lambda$ -calculus
Var $(x, A)$	sVar $x$	$x : A$
Const $A\ c$	sConst $c$	$c : A$
Let $(x, T)\ e_1\ e_2$	$e'_2$	$(\lambda x : T, e_2 : A)\ (e_1 : T) : A$
Fun $(x, T)\ e$	sFun $S\ (x, T)\ e'$	$(\lambda x : T, e : S) : T \rightarrow S$
App $T\ e_1\ e_2$	sApp $T\ e'_1\ e'_2$	$(e_1 : T \rightarrow A)\ (e_2 : T) : A$
Let rec $T\ S\ f\ x\ e_1\ e_2$	sApp $(T \rightarrow S)$ (sFun $A\ (f, T \rightarrow S)\ e'_2)$ (sFun $S\ (x, T)$ (sFix $T\ f\ x\ e'_1\ (\text{sVar } x)))$	$(\lambda f : T \rightarrow S, e_2 : A)$ $(Y\ (\lambda f : T \rightarrow S, \lambda x : T, e_1 : S) : T \rightarrow S) : A$

## 4 Our contribution

## 5 Comparisons and future work

## 6 Conclusion

## 7 Appendix

Example - Error: Stack Overflow.

```

Fixpoint replace_all_variables_aux_type
  A (x : Rml) (env : seq (N * Type * Rml))
  (fl : seq (N * Type)) '{env_valid : valid_env env fl}
  '{x_valid : rml_valid_type A (map fst env) fl x} : sRml A

with replace_all_variables_aux_type_const
  A0 A a (env : seq (N * Type * Rml))
  (fl : seq (N * Type)) '{env_valid : valid_env env fl}
  '{x_valid : rml_valid_type A0 (map fst env) fl (Const A a)} : sRml A0
with replace_all_variables_aux_type_let
  A p x1 x2 (env : seq (N * Type * Rml))
  (fl : seq (N * Type)) '{env_valid : valid_env env fl}
  '{x_valid : rml_valid_type A (map fst env) fl (Let_stm p x1 x2)} : sRml A
with replace_all_variables_aux_type_fun
  A T p x (env : seq (N * Type * Rml))
  (fl : seq (N * Type)) '{env_valid : valid_env env fl}
  '{x_valid : rml_valid_type A (map fst env) fl (Fun_stm T p x)} : sRml A
with replace_all_variables_aux_type_if
  A x1 x2 x3 (env : seq (N * Type * Rml))
  (fl : seq (N * Type)) '{env_valid : valid_env env fl}
  '{x_valid : rml_valid_type A (map fst env) fl (If_stm x1 x2 x3)} : sRml A
with replace_all_variables_aux_type_app
  A T x1 x2 (env : seq (N * Type * Rml))
  (fl : seq (N * Type)) '{env_valid : valid_env env fl}
  '{x_valid : rml_valid_type A (map fst env) fl (App_stm T x1 x2)} : sRml A
with replace_all_variables_aux_type_let_rec A T T0 n n0 x1 x2 (env : seq (N * Type * Rml))
  (fl : seq (N * Type)) '{env_valid : valid_env env fl}
  '{x_valid : rml_valid_type A (map fst env) fl (Let_rec T T0 n n0 x1 x2)} : sRml A.

Proof.
  (** Structure **)
  {
    induction x ; intros ; refine (sVar (0,A)).
  }

  all: refine (sVar (0,A)).
Defined.

```