

Before the rise of *um*

Derek Denis and Tim Gadanidis

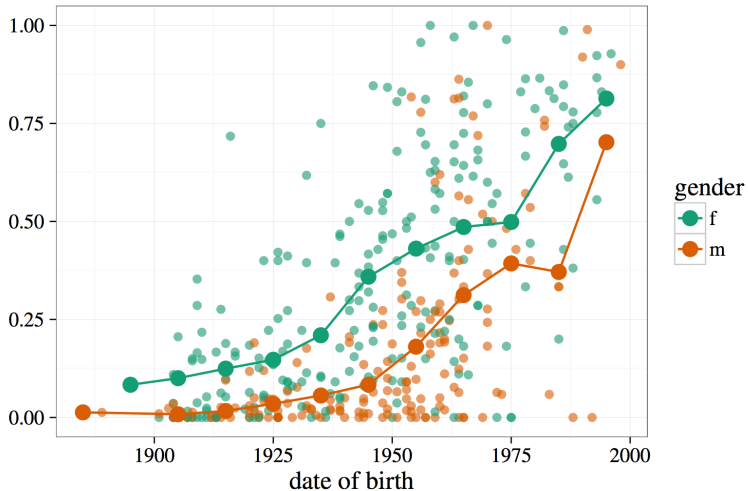
University of Toronto (Mississauga)

DiPVaC4

May 28, 2018

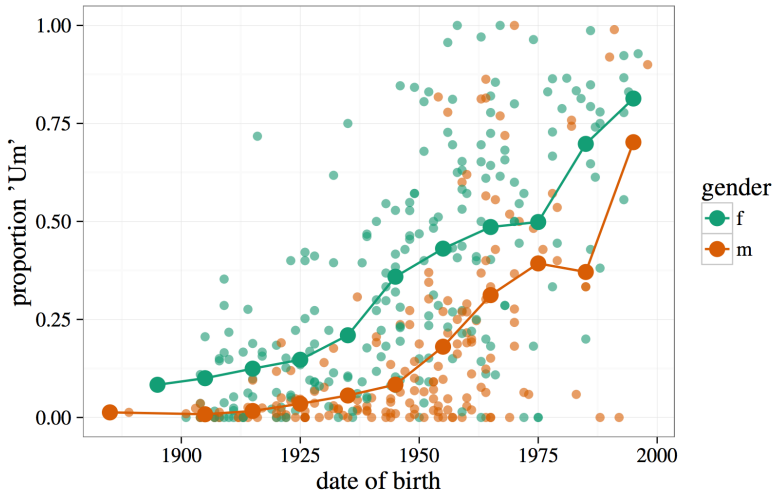
Introduction

“A textbook language change in progress”



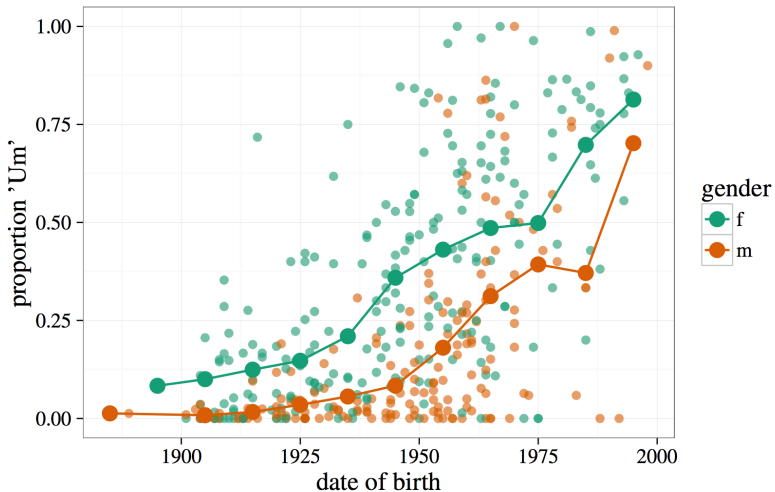
(Fruehwald, 2016: 43)

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(UHM): “undergoing a language change just like any other” (p. 46)

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Psycholinguistic/processing: ‘filled pauses’/‘disfluencies’

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- Clark and Fox Tree (2002): (UHM) signals an incoming delay in speech; *uh* signals a short delay while *um* signals a long one.

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Pragmatic/interpersonal: 'pragmatic marker'

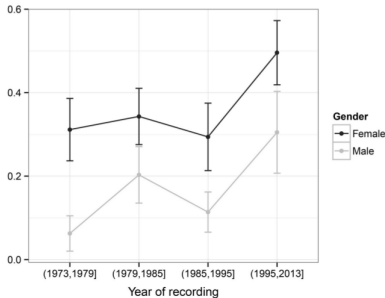
- Tottie (2016): (UHM) is a pragmatic marker indicating speaker planning ('planners'; 'I'm thinking').
- Tottie (2017): (UHM) in writing function as stance adverbs.
 - *Uh*, that's hogwash. (p. 15)
 - A sudden bear made a huge crash in the forest. One guy, *um*, taking care of business, came running back into camp.
- Gadanidis (2018): (UHM) in CMC has a range of sociopragmatic meanings.

Change in progress

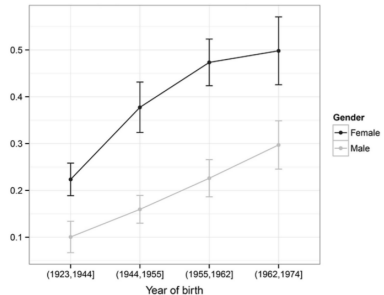
There's also a clear change in progress underway in real-time and apparent-time.

- Fruehwald (2016): Women and young people more likely to use *um* than *uh*
- Wieling et al. (2016): Same trend across five other Germanic languages¹

PNC: proportion of UM over UH (395 speakers)



Switchboard: proportion of UM over UH (520 speakers)



¹ Dutch, German, Norwegian, Danish, Faroese

Our talk

Our question: why *um*?

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 - **Hypothesis:** a new function of (UHM) led to the rise of *um*.
 - We test functional expansion *cum* positional differences:
“turn initial *um* may be the best candidate for a new discourse function coming into use” (Fruehwald 2016: 46).

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 - We test functional expansion *cum* positional differences:
“turn initial *um* may be the best candidate for a new discourse function coming into use” (Fruehwald 2016: 46).
- A (modified) variationist approach:
 - First, we consider the relative proportions of *um* and *uh*; a traditional linguistic variable.
 - Second, we consider the relative frequency of the (UHM) variable itself to help interpret the patterns we find with the proportions.

Data, methods

Farm Work and Farm Life Since 1890 Oral Histories

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- Oral histories “are a vestige of an earlier vernacular, its structure, its features, its grammar, its sounds” (Denis, 2016).



Extraction and coding

- Extracted every instance of 'uh' or 'um' from transcripts
 - Transcription protocol emphasised faithful reproduction of *uh* and *um*
 - Unrelated uses like 'uh oh' were excluded
- Also extracted data from interviewers, which we analyze separately
- Coded for the following factors:
 - Social factors (year of birth, gender, region)
 - Utterance position (initial or non-initial)
 - e.g. “Uh as a rule they harrowed it before they um drilled it.”
 - Including initial collocations with other discourse-pragmatic markers like 'well', and cliticized 'and-uh', etc.
 - So utterance-initial does not have to be turn-initial (c.f. Fruehwald, 2016; Wieling et al., 2016)
 - Cliticization, e.g. 'and-uh' 'but-uh' (following Tottie, 2017)

Results

Cross-community comparison

Community	Raw N <i>uh</i>	Raw N <i>um</i>	% <i>um</i>	Mean <i>uh</i> /1000	Mean <i>um</i> /1000	Mean UHM/1000
Niagara	1864	357	16.1	21.3	4.1	25.4
E. Ont.	1563	168	9.7	22.6	2.4	25.0
F-INT	321	318	49.8	12.4	12.3	24.7
M-INT	255	51	16.7	13.2	2.6	15.8
S. Board	—	—	28.3	22.1	7.5	29.6
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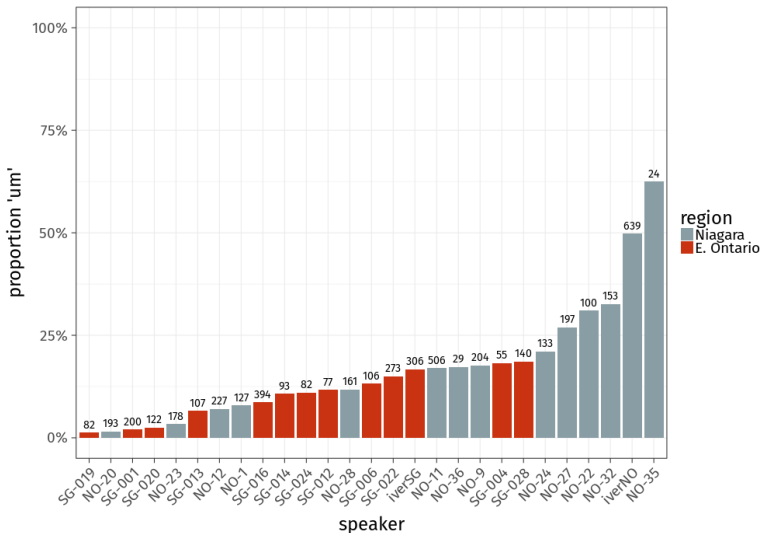
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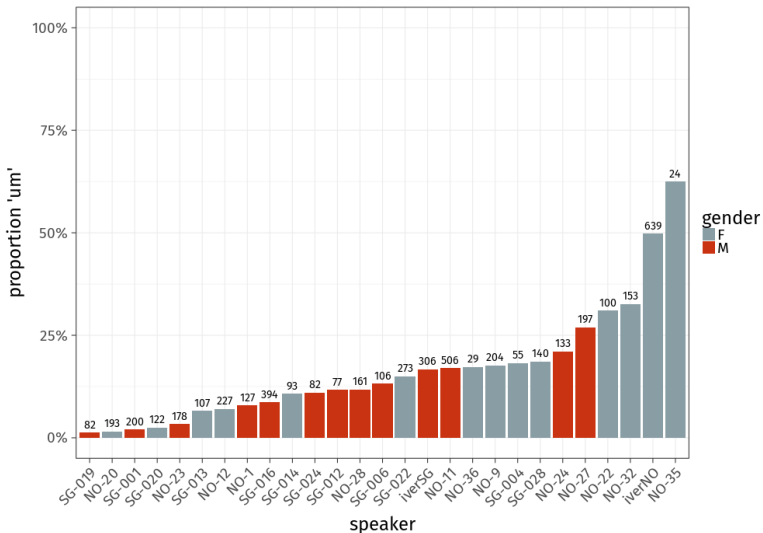
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 - But female interviewer is substantially more *um*-full
- Relative frequency of the UHM variable in our data is on par with other corpora **but** ...
 - We are very cautious about making this comparison due to extremely different methods of data collection and transcription (c.f. Pichler, 2010).

Proportion per speaker by region



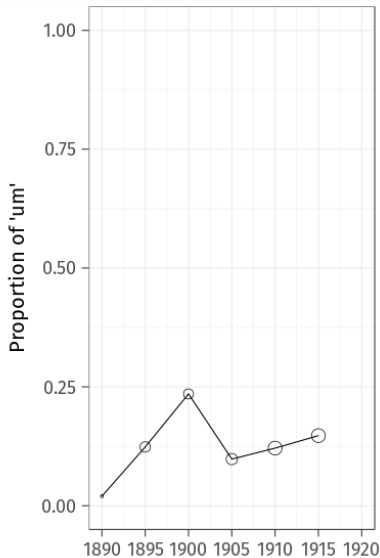
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- No clear pattern by *region*

Proportion per speaker by gender

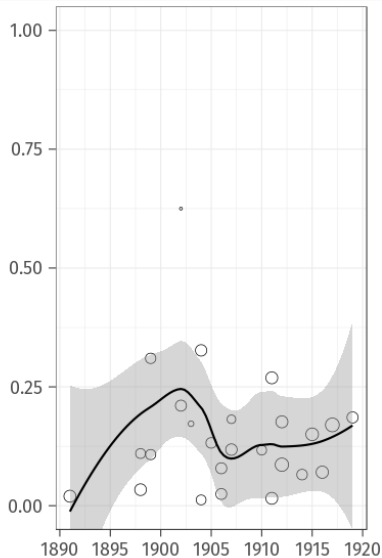


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Proportional frequency in apparent time

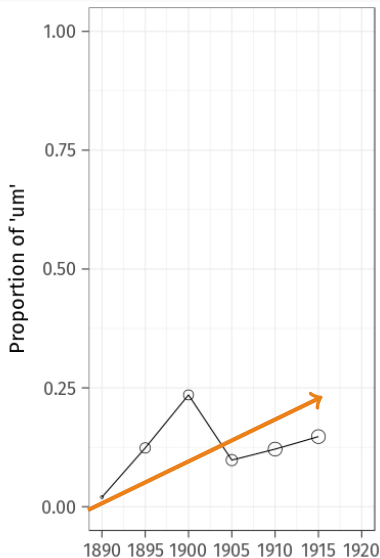


Year of Birth (binned by 5 years)

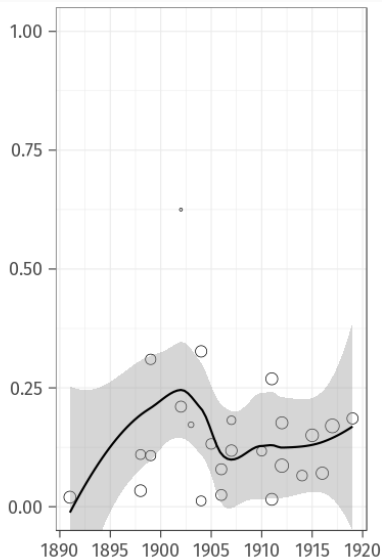


Year of Birth (continuous)

Proportional frequency in apparent time

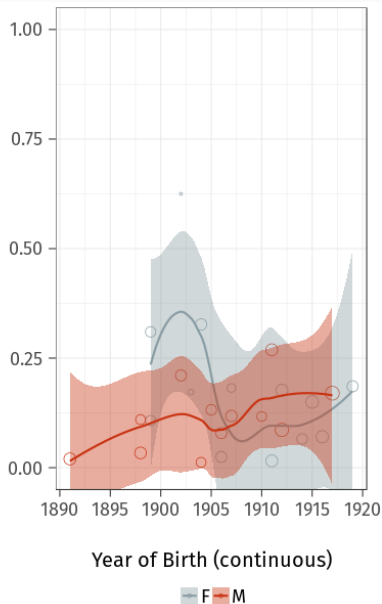
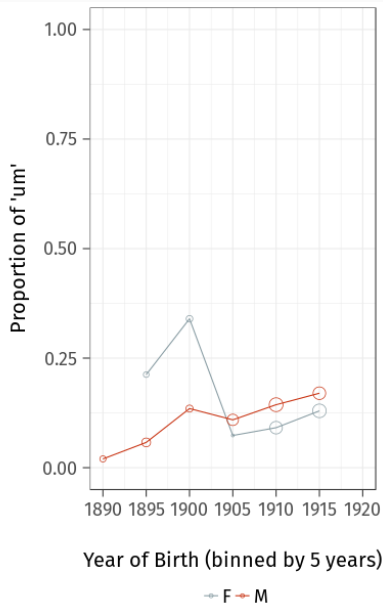


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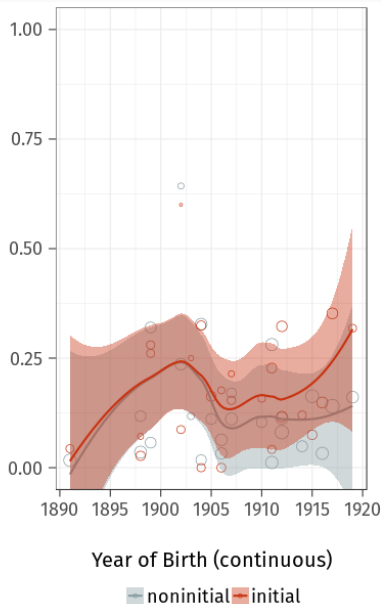
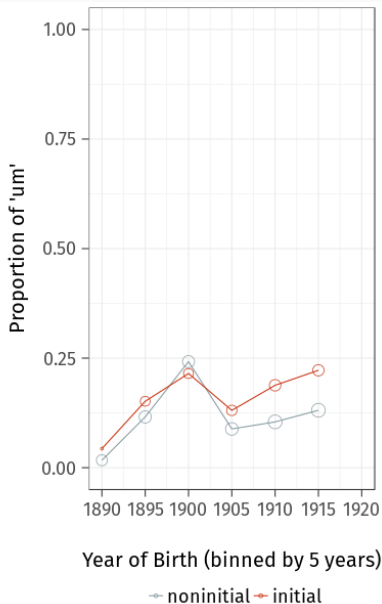


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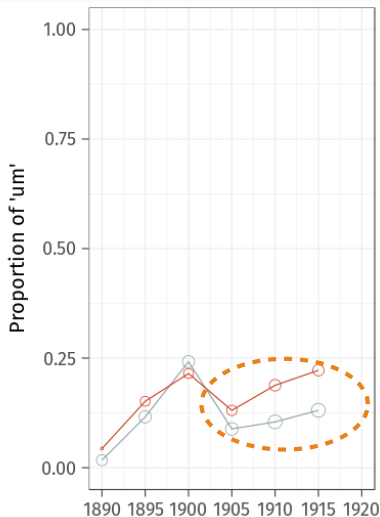
Proportional frequency in apparent time by gender



Proportional frequency in apparent time by position

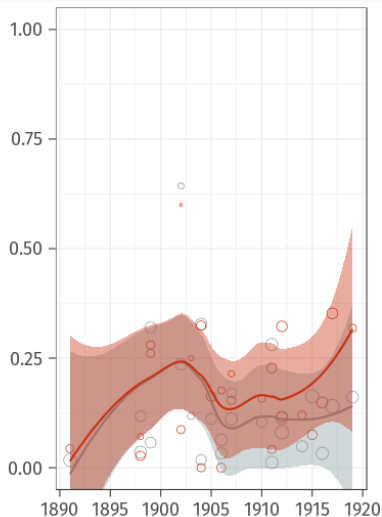


Proportional frequency in apparent time by position



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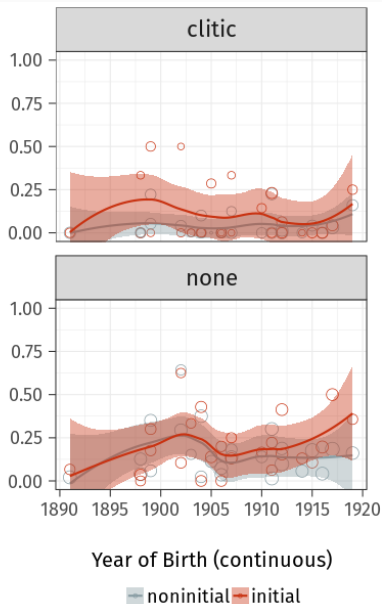
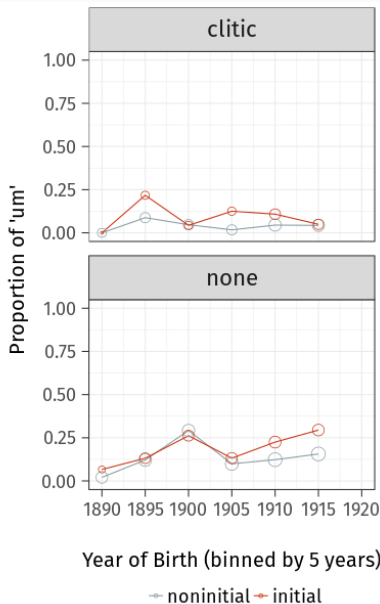
— noninitial — initial



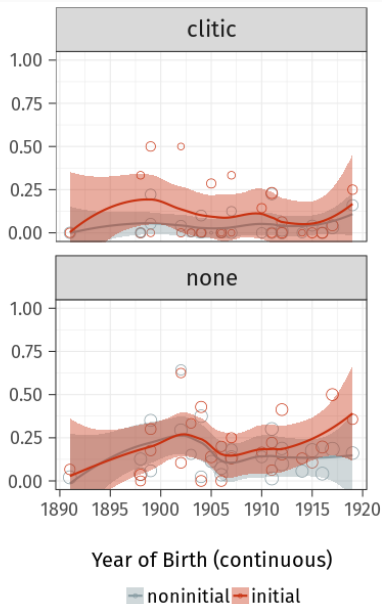
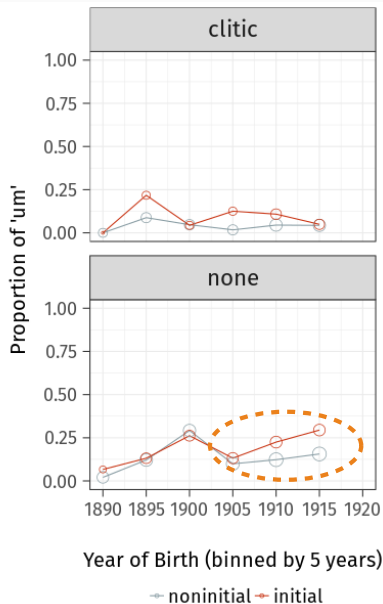
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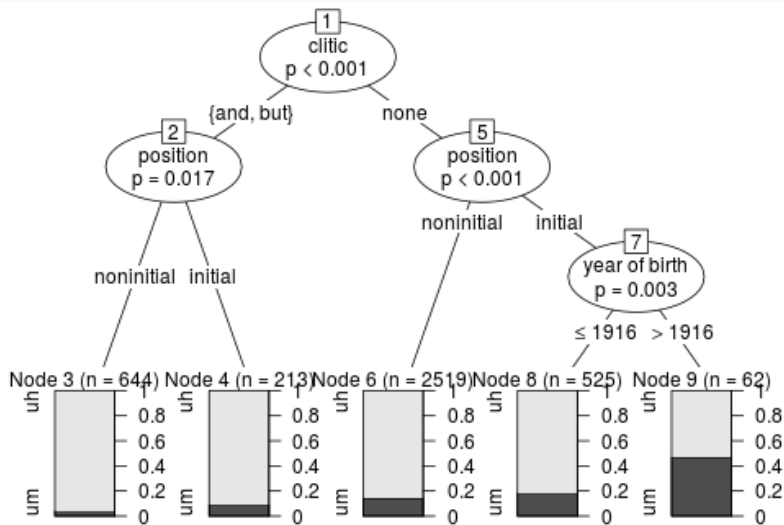
Proportional frequency in apparent time by position and clitic



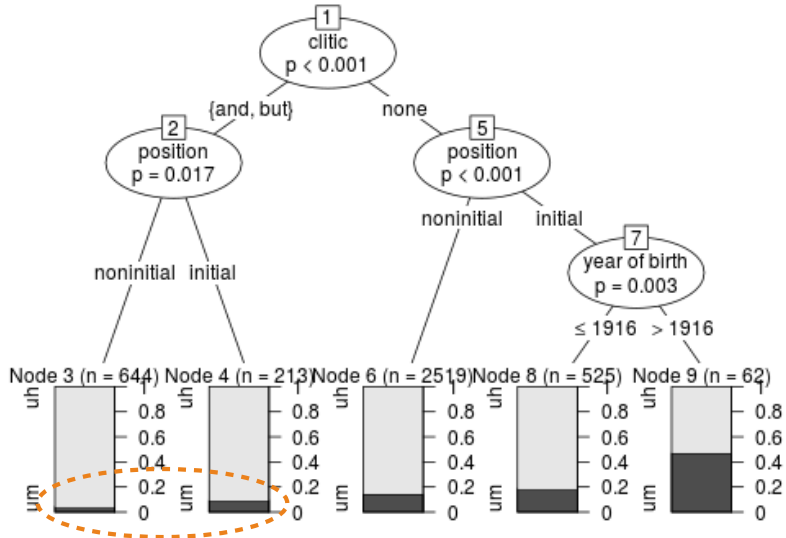
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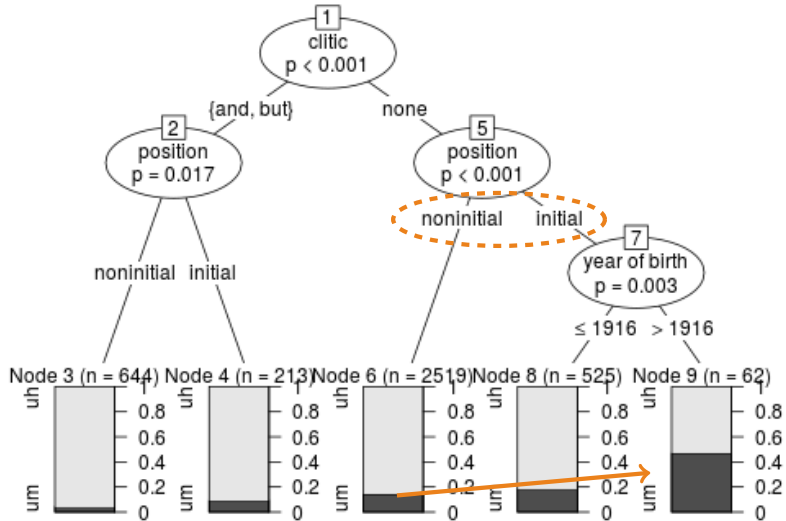
Conditional inference tree: farmers



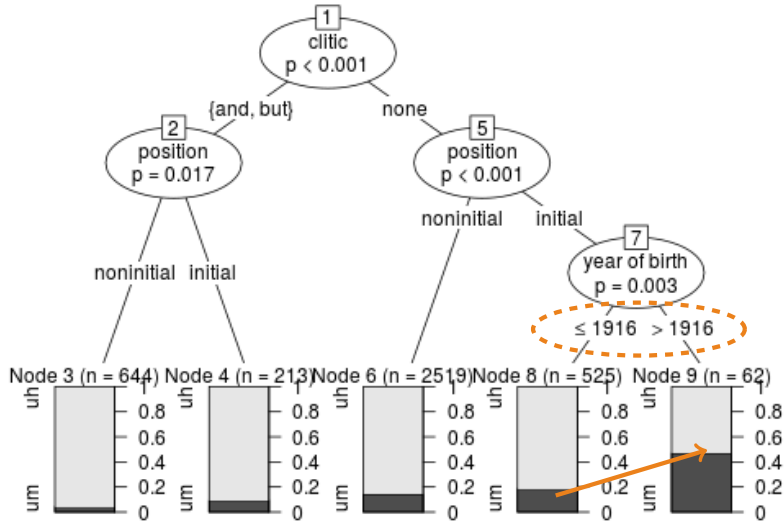
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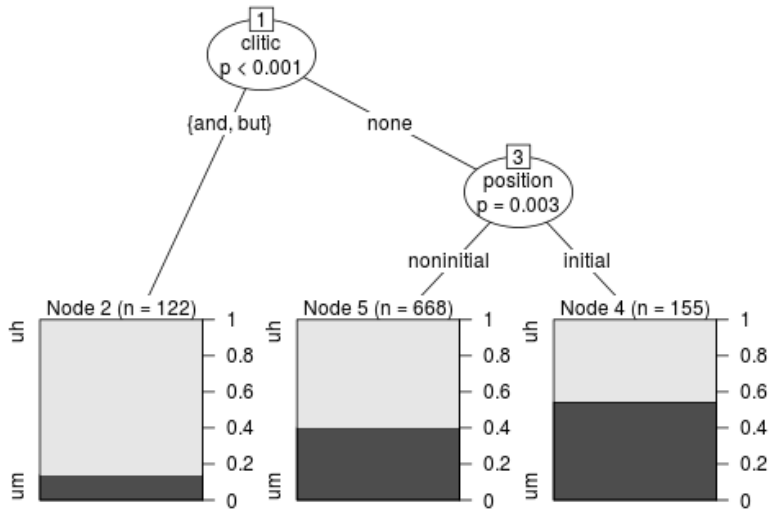
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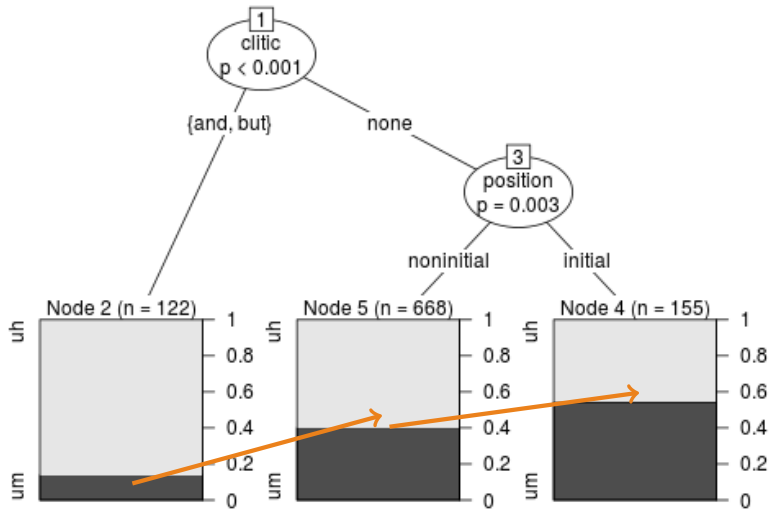
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Conditional inference tree: interviewers



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Interim summary

- We see the beginning of the S-curve of change toward *um* in apparent time.
 - While other work has shown that women lead this change, in our data, older women actually use more *um* than the younger women.
 - (more on this later)
- Looking at the internal factors:
 - Cliticized forms favour *uh*
 - Some evidence of positional divergence (possibly consistent with a new utterance-initial discourse function that favours *um*, Fruehwald, 2016)
- Conditional inference trees confirm that internal constraints persist with the younger interviewers (though the baseline rate of *um* is higher).

Assessing functional expansion

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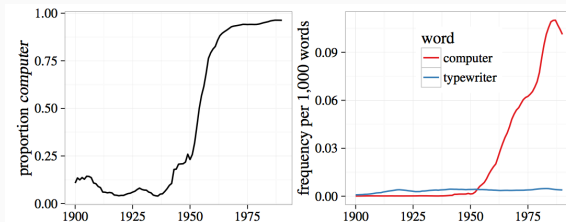
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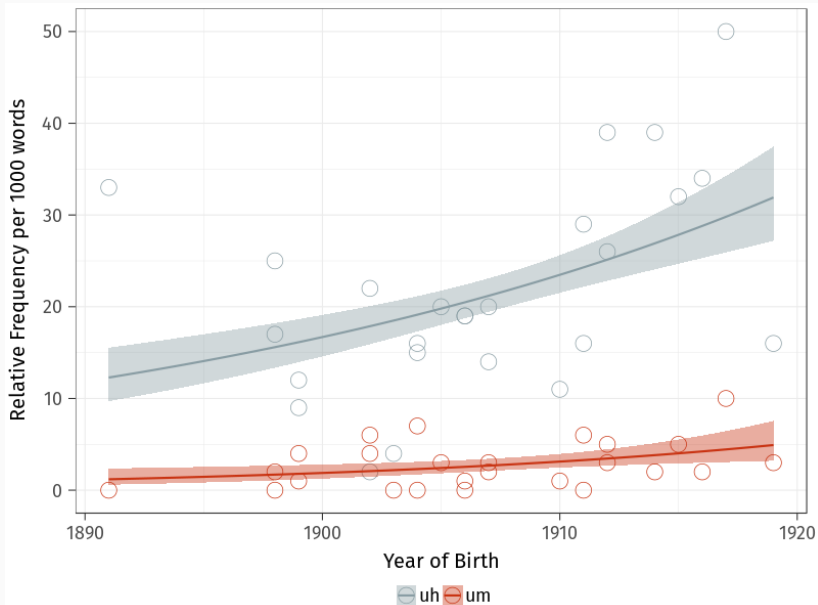
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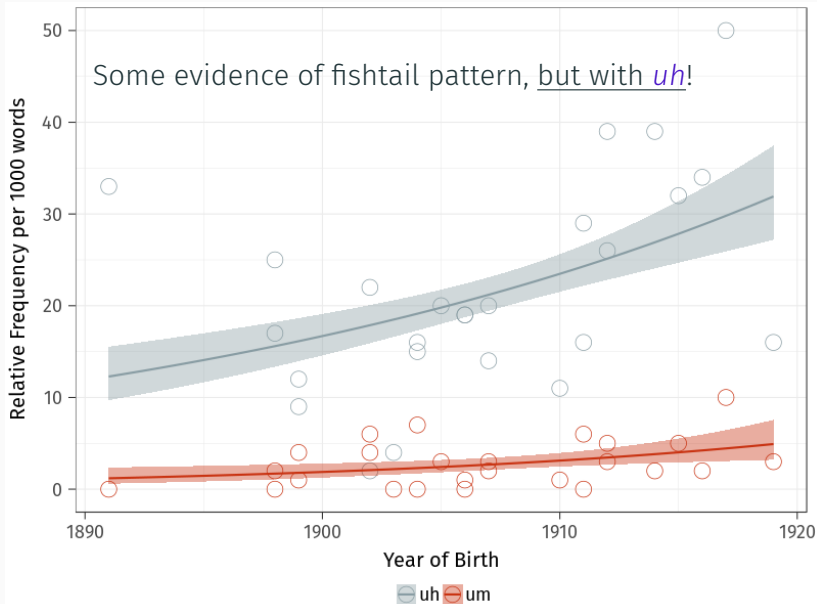
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 - New discourse-pragmatic functions should be additive on the relative frequency.
 - If the new function is restricted to one variant, the relative frequency of one variant is expected to rise.
 - Expect a **fishtail pattern** as with *computer* and *typewriter* over time: once *computer* gained its contemporary meaning, its relative frequency grew additively as that meaning became more frequent.



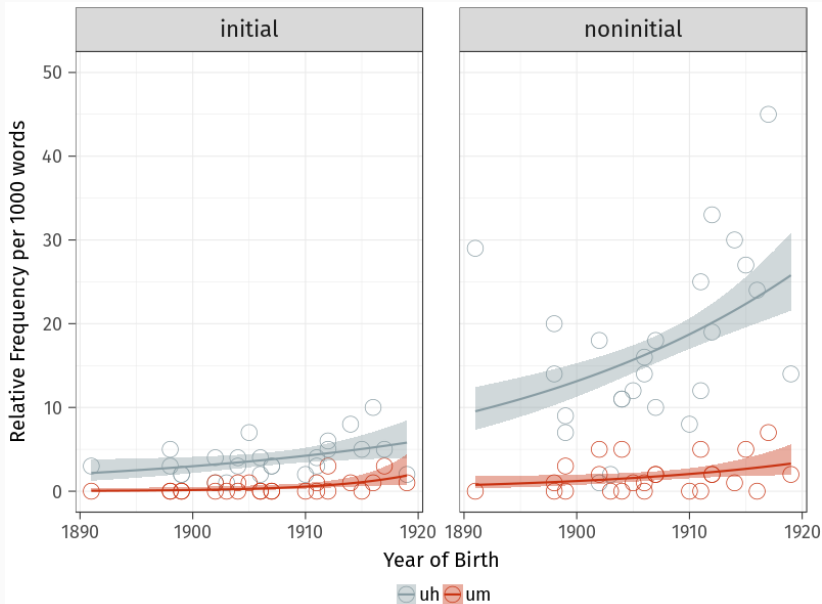
Relative frequency



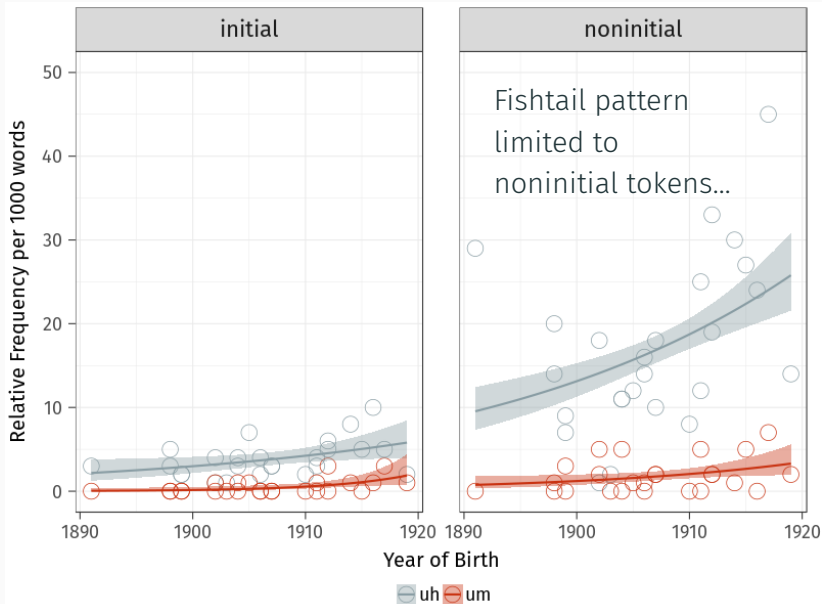
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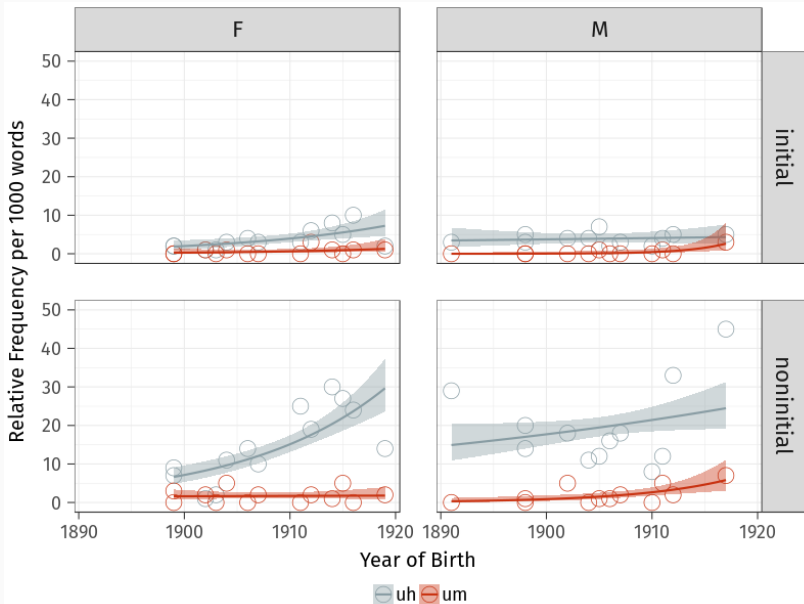
Relative frequency by position



Relative frequency by position



Relative frequency by gender and position



Relative frequency by gender and position



Contrasting two speakers

High UHM user (b. 1917)

INT: And what types of fruit . did you grow?

NO-11: Well the uh . originally uh when they came- uh grandfather bought the property in nineteen hundred and uh . um . to begin with there was very- there were very few fruit trees on it and they planted .uh . our orchard of uh . peaches. And uh waiting- while they waited for the peaches to come into bearing, they planted raspberries between the rows, so it started out as principally a raspberry farm I suppose but . it evolved into a farm that uh principally grew peaches and cherries mainly sweet cherries.

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Low UHM user (b. 1903)

INT: Okay. And how much . older was the very oldest?

NO-36: The oldest was born ... in eighteen ninety two ... and then my sister Lianne, eighteen ninety four ... Greg, eighteen ninety eight . Sally nineteen hundred and one ... I was born nineteen hundred and three . and that's it.

INT: Okay, and how old was your dad when you were born? At-

NO-36: ... I- ... how old was my dad when I was born? Oh.

INT: I think we had figured out that he was probably somewhere around forty five.

NO-36: Oh yes.

INT: And your mom was?

NO-36: Thirty . five?

INT: Thirty- oh-

NO-36: Is that it?

INT: Yup. Good.

Conclusion

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- Importance of looking at discourse-pragmatic variation from multiple quantitative angles.
 - Conflicting views on functional expansion.
- Exploring data from before the rise of *um* hasn't offered us an explanation for its dramatic rise.
 - Fruehwald (2016) didn't find an effect of position either.
 - The actuation problem remains elusive!
- But when we do find suggestive evidence of a different change—seems to be a change from unfilled pauses to filled pauses, *most of which are being filled by uh*
- Something for future investigation!

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