

Solutions to Second Examination

CS 330 Discrete Structures
Fall Semester, 2013

46 students took the exam; the statistics were:

| | |
|---------|-------|
| Minimum | 0 |
| Maximum | 90 |
| Median | 41 |
| Average | 42.26 |
| Std Dev | 22.37 |

1. Coin Tossing

We have a peculiarly biased coin for which the probability of tossing heads changes after each flip. Initially (on the first flip), the probability of heads is $1/2$. But for each successive toss the probability decreases by a multiplicative factor of $1/4$, so that on the i th flip the probability of heads is $1/2 \times (1/4)^{i-1}$. We toss the coin n times.

(a) What is the probability that all n tosses were heads?

It is the product of the probabilities of heads on each toss:

$$\prod_{i=1}^n \frac{1}{2} \left(\frac{1}{4}\right)^{i-1} = \prod_{i=0}^{n-1} \frac{1}{2} \left(\frac{1}{4}\right)^i = \left(\frac{1}{2}\right)^n \left(\frac{1}{4}\right)^{0+1+2+\dots+(n-1)} = \left(\frac{1}{2}\right)^n \left(\frac{1}{4}\right)^{n(n-1)/2} = \left(\frac{1}{2}\right)^{n^2}.$$

(b) In general, what is the expected number of heads we will get?

It is the sum of the probabilities of heads on each toss:

$$\sum_{i=1}^n \frac{1}{2} \left(\frac{1}{4}\right)^{i-1} = \sum_{i=0}^{n-1} \frac{1}{2} \left(\frac{1}{4}\right)^i = \frac{1}{2} \left(\sum_{i=0}^{n-1} \left(\frac{1}{4}\right)^i \right) = \frac{1}{2} \left(\frac{1 - (1/4)^n}{3/4} \right) = \frac{3}{2} \left(1 - \frac{1}{4^n} \right).$$

2. Annihilators

Prove by induction on k that the operator $(\mathbf{E} - a)^{k+1}$ annihilates any sequence $\langle P(i)a^i \rangle$, where $P(i)$ is any polynomial in i of degree k .

This was suggested as a possible exam question in the lecture of October 16.

For the basis, $k = 0$ we have $(\mathbf{E} - a)^{0+1} = (\mathbf{E} - a)$ which we saw in class annihilates $\langle a^i \rangle$ and hence it also annihilates $c\langle a^i \rangle = \langle ca^i \rangle$ for any constant c ; of course a polynomial of degree 0 is just a constant. If the statement is true for k , $k \geq 0$, then $(\mathbf{E} - a)^{k+1}$ annihilates any sequence $\langle P(i)a^i \rangle$ where $P(i)$ is any polynomial in i of degree k . Then $(\mathbf{E} - a)^{k+2} \langle P(i)a^i \rangle = (\mathbf{E} - a)^{k+1} [(\mathbf{E} - a) \langle P(i)a^i \rangle]$. So, what is $(\mathbf{E} - a) \langle P(i)a^i \rangle$ when $P(i)$ is a polynomial of degree of degree $k + 1$, $P(i) = \sum_{j=0}^{k+1} c_j i^j$? $(\mathbf{E} - a) \langle P(i)a^i \rangle = (\mathbf{E} - a) \langle a^i \sum_{j=0}^{k+1} c_j i^j \rangle = \langle a^{i+1} \sum_{j=0}^{k+1} c_j (i+1)^j - aa^i \sum_{j=0}^{k+1} c_j i^j \rangle$ and the i^{k+1} terms cancel, leaving $\langle \hat{P}(i)a^i \rangle$ where $\hat{P}(i)$ is a polynomial of degree k . But by induction, then, $(\mathbf{E} - a)^{k+1}$ annihilates $\langle \hat{P}(i)a^i \rangle$ meaning that $(\mathbf{E} - a)^{k+2} \langle P(i)a^i \rangle = \langle 0 \rangle$ which is what we wanted to prove.

3. Rolling a Die

You roll a decimal die (that is, a ten-sided die) $n \geq 2$ times, recording the sequence of rolls.

- (a) Find a recurrence relation for the number of possible sequences in which there are no two consecutive sixes.

Call such a sequence “valid” and let v_n be the number of valid sequences of length n ; obviously, $v_0 = 1$ (the empty sequence) and $v_1 = 10$. If the first roll is not a 6 the sequence can be continued with any valid sequence of length $n - 1$; there are v_{n-1} such ways to continue the sequence and 9 choices for the first roll, a total of $9v_{n-1}$ by the rule of product. On the other hand, if the first roll is a 6, the next roll must not be 6, and the remaining $n - 2$ rolls can be any valid sequence; there are $9v_{n-2}$ ways to continue after the first throw by the rule of product. By the rule of sum then, $v_n = 9v_{n-1} + 9v_{n-2}$, $n \geq 2$.

- (b) Solve the recurrence using annihilators; you need not solve the simultaneous equations from the initial conditions.

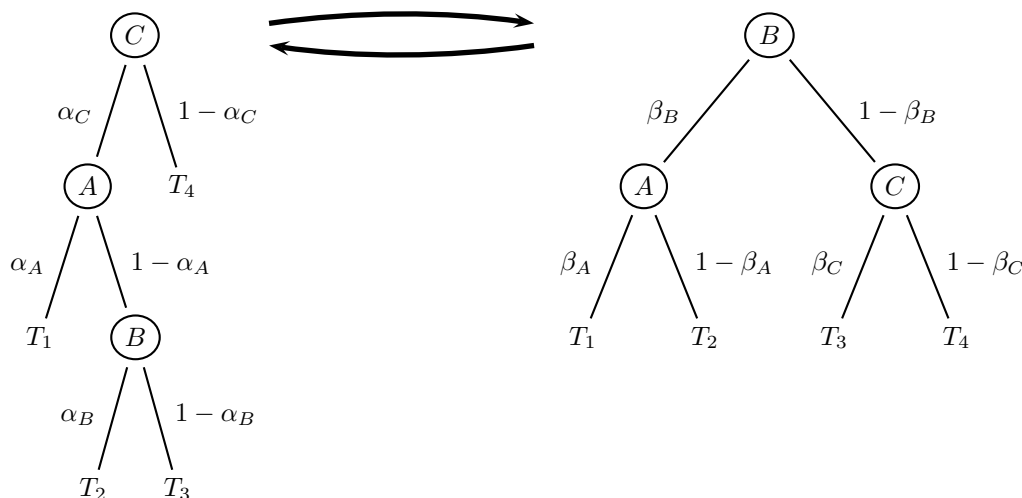
The annihilator is $(E^2 - 9E - 9) = (E - r_1)(E - r_2)$ where $r_1 = (9 + \sqrt{117})/2$ and $r_2 = (9 - \sqrt{117})/2$, so the solution is $v_n = \alpha_1 r_1^n + \alpha_2 r_2^n$. The initial conditions give us $v_0 = 1 = \alpha_1 + \alpha_2$ and $v_1 = 10 = \alpha_1 r_1 + \alpha_2 r_2$.

- (c) How does the probability that a sequence of n rolls of the die will have no two consecutive sixes grow as $n \rightarrow \infty$?

There are 10^n possible sequences of rolls of the die, of which $\alpha_1 \left(\frac{9 + \sqrt{117}}{2}\right)^n + O(1)$ have no two consecutive sixes. The probability thus grows (shrinks, actually) like $\left(\frac{5 + \sqrt{117}}{20}\right)^n$.

4. Double Rotations.

Consider the double rotation of a binary search tree shown below.



- (a) Explain why $\beta_B = \alpha_C \alpha_A + \alpha_C (1 - \alpha_A) \alpha_B$.

$\beta_B = \Pr\{x < B\}$ where x is what we are searching for. We need to go to either T_1 or T_2 . To go to T_1 in the left tree we have to go through both C and A ; by the rule of product that has probability $\alpha_C \alpha_A$. To go to T_2 in the left tree we have to go left through C and left through A , or left through C , right through A , and left through B ; by the rule of product that has probability $\alpha_C (1 - \alpha_A) \alpha_B$. The rule of sum gives the answer.

- (b) Express β_A and β_C in terms of α_A , α_B , and α_C .

Use Bayes' Theorem:

$$\begin{aligned}
 \beta_C &= \Pr\{x < C | x > B\} \\
 &= \frac{\Pr\{x < C\} \Pr\{x > B | x < C\}}{\Pr\{x > B\}} \\
 &= \frac{\alpha_C(1 - \alpha_A)(1 - \alpha_B)}{\Pr\{x > B\}} \\
 &= \frac{\alpha_C(1 - \alpha_A)(1 - \alpha_B)}{1 - \Pr\{x < B\}} \\
 &= \frac{\alpha_C(1 - \alpha_A)(1 - \alpha_B)}{1 - \alpha_C\alpha_A - \alpha_C(1 - \alpha_A)\alpha_B}
 \end{aligned}$$

and

$$\begin{aligned}
 \beta_A &= \Pr\{x < A | x < B\} \\
 &= \frac{\Pr\{x < A\} \Pr\{x < B | x < A\}}{\Pr\{x < B\}} \\
 &= \frac{\alpha_A\alpha_C}{\Pr\{x < B\}} \\
 &= \frac{\alpha_A\alpha_C}{\alpha_C\alpha_A + \alpha_C(1 - \alpha_A)\alpha_B} \\
 &= \frac{\alpha_A}{\alpha_A + (1 - \alpha_A)\alpha_B}
 \end{aligned}$$

5. Divide-and-Conquer

Having seen the power of recursion and divide-and-conquer, the TA decided to write a program to compute x^n ,

- (a) His first attempt was

```

function Power( $x, n$ )
1: if  $n = 0$  then
2:   return 1
3: else if  $n$  is odd then
4:   return  $x * \text{Power}(x, \lfloor n/2 \rfloor) * \text{Power}(x, \lfloor n/2 \rfloor)$ 
5: else
6:   return  $\text{Power}(x, \lfloor n/2 \rfloor) * \text{Power}(x, \lfloor n/2 \rfloor)$ 
7: end if

```

Analyze the time required by this algorithm.

$T(0) = c$, $T(n) = 2T(n/2) + k$, where the constants c and k are, respectively, the small constant amounts of time used to return 1, or test the parity of n and to multiply the two recursively computed values and return the result.

Using the Master Theorem from page 11 of the notes for October 14–21, we have $a = b = 2$ and $f(n) = k$, so we have the second case because $af(n/b)/f(n) = 2k/k = 2 > 1$; hence $T(n) = \Theta(n^{\log_b a}) = \Theta(n)$. Or, with the Master Theorem as given in the text (page 532), $a = b = 2$

and $d = 0$, so that $a = 2 > 1 = b^0$, giving $T(n) = \Theta(n^{\log_b a}) = \Theta(n)$. Or, using the secondary recurrences and annihilators, $t_i = T(n_i)$, where $n_i = 2n_{i-1}$, $n_0 = 1$ so that (as in class), $n_i = 2^i$, the annihilator for $t_i = 2t_{i-1} + k$ is $(\mathbf{E} - 2)(\mathbf{E} - 1)$, and so $t_i = T(n_i) = 2^i = n_i$; that is, $T(n) = \Theta(n)$.

(b) His second attempt was

function Power(x, n)

```

1: if  $n = 0$  then
2:   return 1
3: else
4:   integer  $t \leftarrow$  Power( $x, \lfloor n/2 \rfloor$ )
5:   if  $n$  is odd then
6:     return  $x * t * t$ 
7:   else
8:     return  $t * t$ 
9:   end if
10: end if
```

Analyze the time required by this algorithm.

Here we have $T(0) = c$, $T(n) = T(n/2) + k$.

Using the Master Theorem from page 11 of the notes for October 14–21, we have $a = 1$, $b = 2$ and $f(n) = k$, so we have the third case because $af(n/b)/f(n) = k/k = 1$; hence $T(n) = \Theta(\log n)$. Or, with the Master Theorem as given in the text (page 532), $a = 1$, $b = 2$ and $d = 0$, so that $a = 1 = b^0$ and $n^d = 1$, giving $T(n) = \Theta(\log n)$. To use annihilators, we use the same secondary recurrence as in part (a), which leads to $t_i = t_{i-1} + k$ which has annihilator $(\mathbf{E} - 1)^2$, so $t_i = T(n_i) = \Theta(i)$; that is $T(n) = \Theta(\log n)$.