### Hawking Radiation

#### A Comparison of Pure-state and Thermal Descriptions

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#### Outline

Hawking Radiation

Model of Gravitation

Correlator of Field Strength

Distance between Density Operators



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#### Hawking Radiation

Model of Gravitation

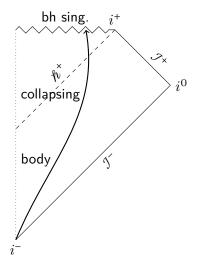
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### Background space-time: spherically collapsing body

Schematic conformal (Penrose-Carter) diagram



- ▶ QFT on fixed background
- $\blacktriangleright$  Each point represents an  $S^2$
- ▶ i<sup>∓</sup>: past / future time-like infinities
- ▶ i<sup>0</sup>: space-like infinity
- $\triangleright \mathcal{I}^{\mp}$ : past / future null inf.
- ▶ ħ<sup>+</sup>: future event horizon
- ► Thick line: boundary of the collapsing body



## Hawking Radiation

Results and interpretation [Haw74; Haw75]

 $\blacktriangleright$  An early-time vacuum on  $\mathcal{I}^-$  in collapsing background

$$\hat{a}(p) |h\rangle := 0 \quad \Rightarrow \quad \langle h | \hat{n}_a(p) | h\rangle =: \langle \hat{n}_a(p) \rangle_b \equiv 0 \quad (1)$$

evolves to a late-time state on  $\mathcal{I}^+ \cup \mathscr{R}^+$  with particles on  $\mathcal{I}^+$ 

$$\langle \hat{n}_{\mathsf{on} \ \mathcal{I}^+}(\omega) \rangle_h =: \langle \hat{n}_b(\omega) \rangle_h \approx \Gamma_\omega (e^{2\pi\omega/\kappa} - 1)^{-1}.$$
 (2)

▶ Comparing eq. (2) with the Bose–Einstein distribution

$$\langle \hat{n}(\omega) \rangle_{\mathsf{BE}} = (e^{\omega/T} - 1)^{-1},$$
 (3)

one may conclude that eq. (2) describes a grey-body radiation with the Hawking temperature,

$$T_{\mathsf{H}} \coloneqq \kappa/2\pi \equiv \hbar/\mathsf{ck} \cdot \kappa/2\pi.$$



### Hawking Radiation

#### Tension in the interpretation

▶ The state  $|h\rangle$  or its density operator is pure,

$$\hat{\rho}_h = |h\rangle \langle h|, \qquad (5)$$

whilst the of equilibrium bosonic ideal gas

$$\hat{\rho}_{\mathsf{BE}}(T) = Z^{-1} e^{-\widehat{H}/T} \sim Z^{-1} \sum_{E} e^{-E/T} |E\rangle \langle E| \qquad \textbf{(6)}$$

is thermal and mixed.

▶ How different are they? [Kie01; HR09]



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# Classical theory of (1+1)d Dilaton Gravity Model I [Cal+92; DK96; APR11]

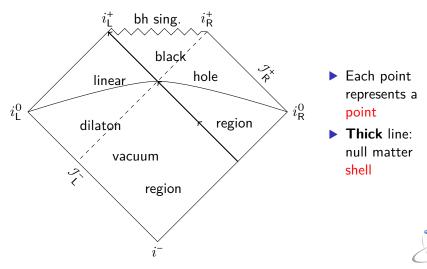
▶ The action of the dilaton gravity model reads

$$S = \int d^2x \sqrt{-g} \left\{ \frac{e^{-2\phi}}{G} \left[ R + 4(\nabla\phi)^2 + 4\lambda^2 \right] - \frac{1}{2} (\nabla f)^2 \right\}, \tag{7}$$

- $ightharpoonup \phi(x)$  the dilaton field, without which topological
- ightharpoonup f(x) a massless neutral scalar field representing matter
- $\lambda > 0$  the cosmological constant
- lacktriangle Has a solution which resembles the collapsing body in (3+1)-dimensional Einstein gravitation



# Classical theory of (1+1)d Dilaton Gravity Model II [Cal+92; DK96; APR11]



# Quantum theory of (1+1)d Dilaton Gravity Model I [DK96]

- ► Constraint system: Schrödinger quantisation does not apply
- ▶ (Formally) Dirac quantisation

$$\widehat{\mathcal{H}}_{\parallel}\Psi[g,\phi,f]=0,\qquad \widehat{\mathcal{H}}_{\perp}\Psi[g,\phi,f]=0. \tag{8}$$

- $\blacktriangleright$  Semi-classical approximation:  $\Psi=\mathrm{e}^{\mathrm{i}(\mathit{G}^{-1}S_{0}+S_{1}+\mathit{G}S_{2}+\ldots)}$ 
  - $ightharpoonup {
    m O}({\it G}^{-1})$ : Hamilton–Jacobi equation for pure gravity
  - $\bullet \ \mathrm{O}(G^0): \ \Psi = D[g,\phi]\chi[g,\phi,f]; \ \text{functional Schrödinger}$  equation for matter  $\mathring{\mathbb{I}}\partial_t\chi[f] = \widehat{H}_{\mathsf{m}}\chi[f], \ \text{where}$

$$\widehat{H}_{\rm m} = \frac{1}{2} \int_0^{+\infty} {\rm d}k \left( -\frac{\pmb\delta^2}{\pmb\delta f^2(k)} + k^2 f^2(k) \right). \tag{9} \label{eq:Hm}$$

► A quantum field theory in curved space-time can be derived!



# Quantum theory of (1+1)d Dilaton Gravity Model II [DK96]

▶ At early time, the vacuum wave functional is

$$\chi_0[f_{\rm e}] \propto \exp\left\{-\frac{1}{2} \int_{\mathbb{R}^+} {\rm d}k \, k \, f_{\rm e}^2(k)\right\} \sim \prod_k \exp\left\{\frac{1}{2} \frac{k}{\Lambda} \, f_{\rm e}^2\right\},$$
 (10)

while at late time it evolves to

$$\chi_b[f_{\mathsf{I}}] \propto \exp\left\{-\int_{\mathbb{R}} \mathrm{d}p \, p \coth\left(\frac{\mathrm{II}p}{2\lambda}\right) |f_{\mathsf{I}}(p)|^2\right\} \sim \prod_p \mathrm{e}^{\ldots}, \quad (11)$$

where  $f_{\rm e}(k)$  and  $f_{\rm I}(p)$  are the Fourier transform of the matter field at early and late time, respectively.

► At late time, particle-number expectations are

$$\langle \hat{n}_b(p) \rangle_{\chi_b} = \left( e^{2\pi |p|/\lambda} - 1 \right)^{-1},$$
 (12)

leading to a Hawking-like black-body temperature

$$T_{\mathsf{HD}} \coloneqq \lambda/2\pi.$$





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#### Correlation of Fourier Modes

#### The discrepancy

▶ The Fourier-mode correlators can be calculated,

$$\begin{split} \left\langle \hat{f}^{\dagger}(p_1)\hat{f}(p_2)\right\rangle &= \frac{1}{T_{\mathrm{HD}}}\delta(p_1-p_2) \cdot \begin{cases} \frac{1}{2}\frac{1}{q}, & \text{vacuum}; \\ \frac{1}{8}\frac{\tanh\frac{q}{4}}{\frac{q}{4}}, & \chi_b; \\ \frac{1}{4}\frac{\coth\frac{q}{2}}{\frac{q}{2}}, & \hat{\rho}_{\mathrm{BE}}(T_{\mathrm{HD}}), \end{cases} \end{split} \tag{14}$$

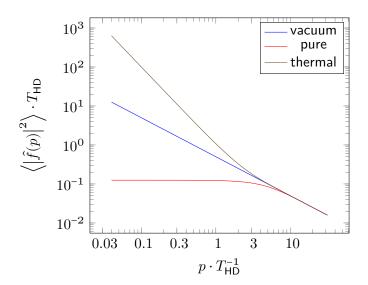
where  $q := p_1/T_{HD}$ 

▶ Diagonal elements (fluctuations) are plotted



#### Correlation of Fourier Modes

Fluctuation of the Fourier modes: diagram in log-log scale





#### Correlation of Fourier Modes

Fluctuation of the Fourier modes: interpretation

Vacuum fluctuation

$$\left\langle \left| \hat{f} \right|^2 \right\rangle_{\text{vac}} = \mathcal{O}(q^{-1})$$
 (15)

A black hole does not alter the high-energy processes

$$\left\langle \left| \hat{f} \right|^2 \right\rangle_{\chi_b} \approx \left\langle \left| \hat{f} \right|^2 \right\rangle_{\text{th}} \approx \left\langle \left| \hat{f} \right|^2 \right\rangle_{\text{vac}} = \mathcal{O}(q^{-1}), \quad |p| \gg T_{\text{HD}}$$
(16)

► A black hole suppresses low-energy fluctuation of the pure state, while enhancing that of the thermal state

$$\mathrm{O}(1) \sim \left\langle \left| \hat{f} \right|^2 \right\rangle_{\chi_b} \ll \left\langle \left| \hat{f} \right|^2 \right\rangle_{\mathrm{vac}} \ll \left\langle \left| \hat{f} \right|^2 \right\rangle_{\mathrm{th}} \sim \mathrm{O}(q^{-2}), \quad |p| \ll T_{\mathrm{HD}}$$
(17)

lacktriangle Critical scale  $|p| \sim T_{
m HD}$ 



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# Trace Distance [Wil09, ch. 9]

#### **Definitions**

 $\blacktriangleright$  Trace distance between Hermitian operators  $\widehat{M}$  and  $\widehat{N}$ 

$$T(\widehat{M}, \widehat{N}) := \frac{1}{2} \operatorname{tr} \sqrt{(\widehat{M} - \widehat{N})^{\dagger} (\widehat{M} - \widehat{N})}$$
 (18)

- ▶ For density operators  $\hat{\rho}$  and  $\hat{\sigma}$ ,
  - $ightharpoonup 0 \le T(\hat{\rho}, \widehat{\sigma}) \le 1;$
  - ► Controlled by fidelity in [FG99]

$$\left| 1 - F(\hat{\rho}, \widehat{\sigma}) \le T(\hat{\rho}, \widehat{\sigma}) \le \sqrt{1 - F^2(\hat{\rho}, \widehat{\sigma})}, \right| \tag{19}$$

where we only need

$$F(|\alpha\rangle,\widehat{\sigma}) := \langle \alpha \,|\, \widehat{\sigma} \,|\, \alpha\rangle^{\frac{1}{2}}. \tag{20}$$

lacksquare In our application, T is difficult while F can be obtained.



# Single-mode Distances between the Density Operators I

Bounds set by fidelity and eq. (19)

- ▶ The pure state can be decomposed upon discretisation  $\chi_b[f] \sim \sum_p \chi_b^{(p)}(f_p) \equiv \sum_p \left\langle f_p \, \middle| \, \chi_b^{(p)} \right\rangle$ , where  $f_p \coloneqq f(p)$
- $\blacktriangleright$  So is the thermal density operator  $\hat{\rho}_{\rm th}(T) \sim \bigotimes_n \hat{\rho}_{\rm th}^{(p)}(T)$
- ▶ Fidelity (in eq. (19)) can be factorised as well

$$F \equiv \langle \chi_b \, | \, \hat{\rho}_{\mathsf{th}} \, | \, \chi_b \rangle^{\frac{1}{2}} \sim \prod_p \left\langle \chi_b^{(p)} \, | \, \hat{\rho}_{\mathsf{th}}^{(p)} \, | \, \chi_b^{(p)} \right\rangle^{\frac{1}{2}} =: \prod_p F^{(p)}; \tag{21}$$

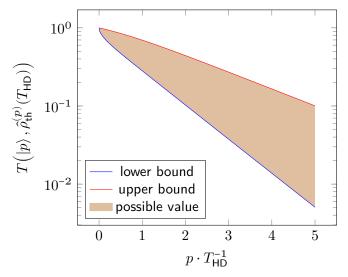
 $lackbox{F}^{(p)}$  can be computed in order to find bounds of T

$$F^{(p)}\!\left(|p\rangle\,, \hat{\rho}_{\mathrm{th}}^{(p)}(T_{\mathrm{HD}})\right) = \frac{\sqrt{u-1}}{\sqrt[4]{u^2+u+1}}, \quad u \coloneqq \mathrm{e}^q \equiv \mathrm{e}^{|p|/T_{\mathrm{HD}}}.$$



# Single-mode Distances between the Density Operators II

Bounds set by fidelity and eq. (19)





# All-modes Distances between the Density Operators I

Bounds set by fidelity and eq. (19)

lacktriangle 'Go to the continuum limit':  $\Lambda$  dimension regulator

$$\sum_{p} g(p) \to \frac{1}{2\pi\Lambda} \int \mathrm{d}p \, g(p), \tag{23}$$

Analogously, to regularise a product

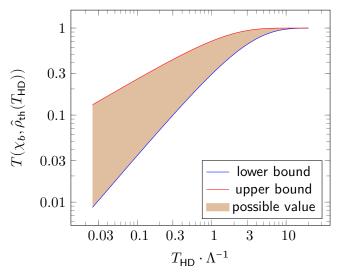
$$\prod_{p} f(p) \equiv \exp\left\{\sum_{p} \ln f(p)\right\} \to \exp\left\{\frac{1}{2\pi\Lambda} \int dp \ln f(p)\right\}$$
(24)

Regularised F can be calculated in order to set bounds of T

$$F(\chi_b, \hat{\rho}_{\rm th}) = \exp\biggl\{\frac{2}{2\pi\Lambda} \int_0^{+\infty} \mathrm{d}p \, \ln F^{(p)} \biggr\} = \exp\biggl(-\frac{\pi}{9} \frac{T_{\rm HD}}{\Lambda}\biggr) \tag{25}$$

# All-modes Distances between the Density Operators II

Bounds set by fidelity and eq. (19)





### Summary

- ► Compared the pure and the thermal descriptions of the radiation within the solvable dilaton gravity model
- ► Fourier-mode fluctuation: that of the thermal state diverges faster than the vacuum case does at low energy, while the pure-state fluc. remains finite; at high energies they converge.
- Trace distance: goes exponentially small with black hole temperature going to zero.
- Outlook
  - ▶ Understand the Fourier-mode fluctuation
  - Evaluate the real space correlator
  - ▶ Understand the regulator in total trace distance
  - ► Evaluate the exact trace distance



# For Further Reading I

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- Claus Kiefer. "Hawking radiation from decoherence". In: Classical Quantum Gravity 18.22 (Nov. 2001), pp. L151–L154.

## For Further Reading III



Sandu Popescu, Anthony J. Short, and Andreas Winter. "Entanglement and the foundations of statistical mechanics". In: *Nat. Phys.* 2.11 (Oct. 2006), pp. 754–758.



# More on Trace Distance [Wil09, ch. 9] Interpretation

Maximal probability-difference obtainable

$$T(\hat{\rho}, \hat{\sigma}) = \max_{0 \le \widehat{\Lambda} \le \widehat{1}} \operatorname{tr} \{ \widehat{\Lambda}(\hat{\rho} - \hat{\sigma}) \}, \tag{26}$$

where all eigenvalues of  $\widehat{\Lambda}$  are in the range [0,1]

- ▶ E.g.  $\widehat{\Lambda} := |\alpha\rangle\,\langle\alpha|$ ,  $|\alpha\rangle$  eigenstate of  $\widehat{A}$  with eigenvalue  $\alpha$ 
  - $lack \operatorname{tr} \left\{ \widehat{\Lambda} \widehat{
    ho} 
    ight\}$ : the probability of getting lpha in measuring  $\widehat{A}$
  - $\operatorname{tr}\{\widehat{\Lambda}(\widehat{\rho}-\widehat{\sigma})\}$ : the difference of the probability above
  - $ightharpoonup T(\hat{\rho}, \widehat{\sigma})$ : the maximal value of the difference above



# More on Fidelity

▶ General definition [Pet08, ch. 6]

$$F(|\alpha\rangle, |\beta\rangle) = |\langle \alpha \,|\, \beta\rangle| \tag{27}$$

$$F(|\alpha\rangle, \hat{\sigma}) = \sqrt{\langle \alpha \, | \, \hat{\sigma} \, | \, \alpha \rangle}$$
 (20 rev.)

$$F(\hat{\rho}, \hat{\sigma}) = \operatorname{tr} \sqrt{\hat{\rho}^{\frac{1}{2}} \hat{\sigma} \hat{\rho}^{\frac{1}{2}}}$$
 (28)

Intepretation: faithfulness

$$F(|\alpha\rangle, |\alpha\rangle) = 1 \tag{29}$$



# Another New Foundation of Statistical Physics [PSW06]

Specific and easy version of the construction

- ▶ Total isolated system U with energy constraint  $\left\langle \widehat{H}_{U} \right\rangle \coloneqq E_{U}$ , divided into a (sub)system S and an environment E
- ▶ Hilbert spaces  $\mathcal{H}_R\supseteq\mathcal{H}_U=\mathcal{H}_S\otimes\mathcal{H}_E;\ \hat{1}_R$  identity on  $\mathcal{H}_R$ , dimension  $d_R\coloneqq\dim\mathcal{H}_R<+\infty$
- $\blacktriangleright$  Equiprobable / maximal-ignorant state of U

$$\hat{\mathcal{E}}_R \coloneqq d_R^{-1} \hat{1}_R \in \mathcal{H}_R \tag{30}$$

- $\blacktriangleright$  Hamiltonians  $\widehat{H}_U = \widehat{H}_S + \widehat{H}_E + \widehat{H}_{\rm int}$
- ▶ Canonical state of S with energy constraint [LL80, § 28]

$$\widehat{\Omega}_{S}^{(\mathsf{E})} \coloneqq \operatorname{tr}_{E} \widehat{\mathcal{E}}_{R} \propto \exp \left(-\widehat{H}_{S}/T_{\mathsf{th}}\right) \tag{31}$$

▶ Theorem:  $\forall |\phi\rangle \in \mathcal{H}_B$ , the reduced state of S

$$\operatorname{tr}_{E} |\phi\rangle\langle\phi| =: \hat{\rho}_{S}(\phi) \approx \widehat{\Omega}_{S}^{(\mathsf{E})}.$$



# Another New Foundation of Statistical Physics [PSW06]

Generic and exact version of the construction

- ▶ Arbitrary constraint R; study the trace distance T between  $\hat{\rho}_{S}(\phi)$  and  $\hat{\Omega}_{S}$
- ▶ Lemma: average distance is small w.r.t.  $d_S/d_E^{\text{eff}}$

$$\left\langle T\left(\widehat{\rho}_{S}(\phi),\widehat{\Omega}_{S}\right)\right\rangle \leq \frac{1}{2}\sqrt{d_{S}/d_{E}^{\text{eff}}}$$
 (33)

▶ Theorem: probability of large deviation is exponentially small w.r.t. the distance; an easy version

$$\frac{V\left[\left\{|\phi\rangle \mid T\left(\hat{\rho}_S(\phi), \widehat{\Omega}_S\right) \ge d_R^{-\frac{1}{3}}\right\}\right]}{V\left[\left\{|\phi\rangle\right\}\right]} \le 4\exp\left(-\frac{2d_R^{\frac{1}{3}}}{9\pi^3}\right) \quad (34)$$

Effective dimension of E: setting  $\widehat{\Omega}_E = \operatorname{tr}_S \widehat{\mathcal{E}}_B$ ,

$$d_U/d_S \equiv d_E \geq d_E^{\rm eff} \coloneqq \left( \operatorname{tr} \widehat{\Omega}_E^2 \right)^{-1} \geq d_R/d_S. \tag{35)} \text{bcgs}$$