# Cosmological Perturbations

Most of the conventions and notations in [6, ch. 5] will be followed. Suppose the metric can be expanded up to the linear order as

$$g = g^{(0)} + \epsilon g^{(1)} + O(\epsilon^2). \tag{1}$$

The background metric  $g^{(0)}$  takes the Robertson–Walker form

$$g_{\mu\nu}^{(0)} \, \mathrm{d}x^{\mu} \, \mathrm{d}x^{\nu} = -N^2(t) \, \mathrm{d}t^2 + a^2(t) \, \mathrm{d}\Omega_{3\mathrm{F}}^2, \tag{2}$$

in which  $d\Omega_{3F}^2 = d\chi^2 + \chi^2(d\theta^2 + \sin^2\theta d\phi^2)$  is the dimensionless flat spatial metric. The linear perturbation can be decomposed into scalar, vector and tensor parts

$$g_{00}^{(1)} = -E, (3)$$

$$g_{i0}^{(1)} = g_{i0}^{(1)} = F_{,i} + G_{i}, \tag{4}$$

$$g_{ij}^{(1)} = A\delta_{ij} + B_{,i,j} + C_{i,j} + C_{j,i} + D_{ij}. \tag{5}$$

Here one has some weird condition, where the contractions do not follow the one-up-one-down tradition

$$C_{i,i} = G_{i,i} = 0, \quad D_{ij,i} = 0, \quad D_{ii} = 0.$$
 (6)

# 1 Metric perturbation under diffeomorphism

Consider a diffeomorphism generated by  $\xi^{\mu}$ 

$$x^{\mu} \to \overline{x}^{\mu} = x^{\mu} - \epsilon \xi^{\mu}. \tag{7}$$

The generator  $\xi^{\mu}$  can in turn be decomposed into  $\xi_0 = \zeta$ ,  $\xi_i = \xi_{,i}^{S} + \xi_i^{V}$ .

One has here again some weird condition, where the contractions do not follow the one-up-one-down tradition

$$\xi_{i,i}^{\mathbf{V}} = 0. \tag{8}$$

The Lie derivative of the metric  $\mathbb{L}_{\xi}g$  is

$$\left(\mathbb{L}_{\xi}g\right)_{\mu\nu} = \xi^{\lambda}g_{\mu\nu,\lambda} + \xi^{\lambda}{}_{,\mu}g_{\lambda\nu} + \xi^{\lambda}{}_{,\nu}g_{\mu\lambda}.\tag{9}$$

In components and expansion, these are

$$\left(\mathbb{L}_{\xi}g\right)_{00} = 2\dot{\zeta} - 2\zeta \frac{\dot{N}}{N} + O(\epsilon), \tag{10}$$

$$\left(\mathbb{L}_{\xi}g\right)_{i0} = \left(\mathbb{L}_{\xi}g\right)_{0i} = \left(\zeta - 2\frac{\dot{a}}{a}\xi^{\mathrm{S}} + \dot{\xi}^{\mathrm{S}}\right)_{i} + \left(-2\frac{\dot{a}}{a}\xi^{\mathrm{V}}_{i} + \dot{\xi}^{\mathrm{V}}_{i}\right) + O(\epsilon), \quad (11)$$

$$\left(\mathbb{L}_{\xi}g\right)_{ii} = \left(\mathbb{L}_{\xi}g\right)_{ij} = -\frac{2a\dot{a}}{N^{2}}\zeta\delta_{ij} + 2\xi^{\mathrm{S}}_{,i,j} + \xi^{\mathrm{V}}_{i,j} + \xi^{\mathrm{V}}_{j,i} + O(\epsilon). \tag{12}$$

## 2 Scalar perturbations

$$-N^2 - \epsilon E + O(\epsilon^2) \to -N^2 - \epsilon E + \epsilon \left(2\dot{\zeta} - 2\zeta \frac{\dot{N}}{N}\right) + O(\epsilon^2), \tag{13}$$

so one can write

$$\mathbb{L}_{\xi}E = -2\dot{\zeta} + 2\zeta \frac{\dot{N}}{N}.\tag{14}$$

Similarly one can read-off

$$\mathbb{L}_{\xi}F = \zeta - 2\frac{\dot{a}}{a}\xi^{S} + \dot{\xi}^{S},\tag{15}$$

$$\mathbb{L}_{\xi} A = -\frac{2a\dot{a}}{N^2} \zeta,\tag{16}$$

$$\mathbb{L}_{\xi} B = 2\xi^{S}. \tag{17}$$

The four scalar perturbations are generated by  $\zeta$  and  $\xi^S$ , so that only two independent perturbations exists. It is clear that

$$\mathbb{L}_{\xi} \left( \frac{F}{a} - \frac{d}{dt} \frac{B}{2a} \right) = \frac{\zeta}{a}. \tag{18}$$

One can verify that

$$\mathbb{L}_{\xi} \left\{ \frac{E}{2N} + \frac{d}{dt} \left[ \frac{a}{N} \left( \frac{F}{a} - \frac{d}{dt} \frac{B}{2a} \right) \right] \right\} = 0, \tag{19}$$

$$\mathbb{L}_{\xi} \left\{ \frac{A}{2} + \frac{a^2 \dot{a}}{N^2} \left( \frac{F}{a} - \frac{\mathrm{d}}{\mathrm{d}t} \frac{B}{2a} \right) \right\} = 0. \tag{20}$$

- 3 Vector perturbations
- 4 Tensor perturbations
- 5 Scalar field perturbation under diffeomorphism
- 6 Perturbation in Arnowitt-Deser-Misner Hamiltonian formalism

Up to boundary terms, the Hamiltonian action for General Relativity in terms of Arnowitt–Deser–Misner variables is [3, ch.4.2.2]

$$S = \int dt \, dx^3 \left\{ \mathfrak{p}^{ij} \dot{h}_{ij} + \mathfrak{P} \dot{N} + \mathfrak{P}^i \dot{N}_i - N \mathfrak{H}^{\perp} - N_i \mathfrak{H}^i - \mathfrak{P} V - \mathfrak{P}^i V_i \right\}, \tag{21}$$

$$\mathfrak{H}^{\perp} = \frac{\varkappa}{\sqrt{\mathfrak{h}}} \left( h_{ik} h_{jl} + h_{il} h_{kj} - h_{ij} h_{kl} \right) \mathfrak{p}^{ij} \mathfrak{p}^{kl} - \frac{\sqrt{\mathfrak{h}}}{2\varkappa} R[h], \tag{22}$$

$$\mathfrak{H}^i = -2\mathfrak{p}^{ij}_{\ |j},\tag{23}$$

where  $\{V,V_i\}$  are velocity of N and  $N_i$  and play the role of Lagrange multipliers. Technical details about the boundary terms can be found in [5, ch. 4.2] and the references therein. Note that  $\{N,N_i,h_{ij};\mathfrak{P},\mathfrak{P}^i,\mathfrak{p}^{ij}\}$  are not the unique choice of canonical variables for General Relativity in Hamiltonian formalism; instead, they are a special parametrisation of the phase space. One can also choose the components of the original four-metric and their conjugate momenta  $\{g_{\mu\nu};\mathfrak{p}^{\mu\nu}\}$  as canonical variables, as Dirac has done in [2]. The two approaches are different in some subtle aspects; see [4] for a comparison.

Gauge transformations in the Arnowitt–Deser–Misner canonical variables are generated by [1]

$$G = -\int d^3x \left\{ \left[ \xi_{\perp} \left( \mathfrak{H}^{\perp} + N_{|i} \mathfrak{P}^i + (N \mathfrak{P}^i)_{|i} + (N_i \mathfrak{P})^{|i} \right) + \dot{\xi}_{\perp} \mathfrak{P} \right] + \left[ \xi_i \left( \mathfrak{H}^i + N_j^{|i} \mathfrak{P}^j + (N_j \mathfrak{P}^i)^{|j} + N^{|i} \mathfrak{P} \right) + \dot{\xi}_i \mathfrak{P}^i \right] \right\}.$$
(24)

Possible boundary terms have not been discussed so far. The infinitesimal gauge transformation of  $\{N, N_i\}$  is

$$\delta N = [N, G]_{\rm D} = \xi_{\perp}^{|i} N_z i - \dot{\xi}_{\perp} - \xi_i N^{|i}, \tag{25}$$

$$\delta N_i = -\xi_{\perp} N_{|i} + \xi_{\perp|i} N - \xi_j N_i^{|j} + \xi_i^{|j} N_j - \dot{\xi}_i, \tag{26}$$

which can be found in [4]. Transformations for  $g_{ij}$  and the momenta have to be worked out as

$$\delta \mathfrak{P} = -(\xi_{\perp} \mathfrak{P}^i)_{|i} - \xi_{\perp|i} \mathfrak{P}^i - (\xi_i \mathfrak{P})^{|i}, \tag{27}$$

$$\delta \mathfrak{P}^{i} = -\xi_{\perp |i} \mathfrak{P} - (\xi_{j} \mathfrak{P}^{i})^{|j} - \xi_{j}^{|i} \mathfrak{P}^{j}, \tag{28}$$

where only the primary constraints are involved;

$$\begin{split} \delta g_{ij} &= -\frac{\partial}{\partial \mathfrak{p}^{ij}} (\xi_{\perp} \mathfrak{H}^{\perp} + \xi_{i} \mathfrak{H}^{i}) \\ &= -\xi^{\perp} \frac{2\varkappa}{\sqrt{\mathfrak{h}}} (h_{ik} h_{jl} + h_{il} h_{kj} - h_{ij} h_{kl}) \mathfrak{p}^{kl} - \xi_{i|j} - \xi_{j|i}, \qquad (29) \\ \delta \mathfrak{p}^{ij} &= \frac{\partial}{\partial g_{ij}} (\xi_{\perp} \mathfrak{H}^{\perp} + \xi_{i} \mathfrak{H}^{i}) \\ &= \xi_{\perp} \left\{ \frac{\varkappa}{\sqrt{\mathfrak{h}}} \left[ (4\delta^{i}{}_{k} \delta^{j}{}_{m} - h^{ij} h_{km}) h_{ln} \right. \\ &\left. - \frac{1}{2} (4\delta^{i}{}_{k} \delta^{j}{}_{l} - h^{ij} h_{kl}) h_{mn} \right] \mathfrak{p}^{kl} \mathfrak{p}^{mn} \\ &+ \frac{\sqrt{\mathfrak{h}}}{2\varkappa} G^{ij} [h] \right\} + \frac{\sqrt{\mathfrak{h}}}{2\varkappa} \left( \xi_{\perp|k} |^{k} h^{ij} - \xi_{\perp}^{|(i|j)} \right) \\ &- (\xi_{k} \mathfrak{p}^{ij})^{|k} + 2\xi_{k|l} h^{k(i} \mathfrak{p}^{j)l}, \qquad (30) \end{split}$$

where  $G^{ij}[h] = R^{ij}[h] - h^{ij}R[h]/2$ , and only the secondary constraints are involved. In eq. (30), the first two lines come from the variation of the 'kinetic' term in  $\mathfrak{H}^{\perp}$ , the third comes from the 'potential' term in  $\mathfrak{H}^{\perp}$ , and the last line from  $\mathfrak{H}^{i}$ . The results can be checked with [5, p. 4.2.7].

#### 6.1 Expansion of the action with fluctuations

### Some useful results

#### First variations

First variation of  $h^{ij}$ 

$$\delta h^{ij} = -h^{ik}h^{jl}\,\delta h_{kl} = -h^{i(k}h^{l)j}\,\delta h_{kl}. \tag{31}$$

First variation of  $\mathfrak{h} = \det h_{ij}$ 

$$\delta \mathfrak{h} = \frac{1}{2} \mathfrak{h} h^{ij} \, \delta h_{ij}. \tag{32}$$

First variation of  $\Gamma^{i}_{jk}$ 

$$\delta \Gamma^{i}{}_{jk} = \frac{1}{2} h^{il} \left\{ - \left( \delta h_{jk} \right)_{|l} + \left( \delta h_{kl} \right)_{|j} + \left( \delta h_{lj} \right)_{|k} \right\}. \tag{33}$$

First variation of  $R_{ij}[h]$  and  $R^{ij}[h]$ 

$$\delta R_{ij}[h] = \left(\delta \Gamma^k_{\phantom{k}ij}\right)_{|k} - \left(\delta \Gamma^k_{\phantom{k}ik}\right)_{|j}, \tag{34}$$

$$\delta R^{ij}[h] = -2 R^{ki} h^{jl} \, \delta h_{kl} + h^{ik} \, \bar{\delta} u^{jl}_{k|l}, \tag{35}$$

where

$$\bar{\delta}u^{ij}_{k} := h^{il}\,\delta\Gamma^{j}_{kl} - h^{ij}\,\delta\Gamma^{l}_{kl}.\tag{36}$$

Equation (34) can be obtained by using normal coordinates.

First variation of  $\sqrt{\mathfrak{h}}R[h]$ 

$$\delta\left(\sqrt{\mathfrak{h}}R[h]\right) = \sqrt{\mathfrak{h}}\left\{-G^{ij}[h]\,\delta h_{ij} + \bar{\delta}u^{ji}{}_{j|i}\right\}. \tag{37}$$

#### Second variations

Second variation of  $h^{ij}$ 

$$\delta^2 h^{ij} = (h^{im} h^{jl} h^{kn} + h^{ik} h^{jm} h^{ln}) \delta h_{kl} \delta h_{mn}$$
(38)

Second variation of  $\mathfrak{h} = \det h_{ij}$ 

$$\delta^{2}\mathfrak{h} = -\frac{1}{4}\mathfrak{h}(h^{ik}h^{jl} + h^{il}h^{kj} - h^{ij}h^{kl})\,\delta h_{ij}\,\delta h_{kl}. \tag{39}$$

First variation of  $\left(\delta h_{ij}\right)_{|k|}$ 

$$\delta \left\{ \left( \delta h_{ij} \right)_{|k} \right\} = -2\delta \Gamma^l_{k(i)} \delta h_{j)l}. \tag{40}$$

Second variation of  $\Gamma^{i}_{\ jk}$ 

$$\delta^2 \Gamma^i{}_{jk} = -\frac{1}{2} h^{im} \, \delta \Gamma^l{}_{jk} \, \delta h_{lm}. \tag{41}$$

Second variation of  $\sqrt{\mathfrak{h}}R[h]$ 

$$\begin{split} \delta^{2} \left( \sqrt{\mathfrak{h}} R \right) &= \sqrt{\mathfrak{h}} \bigg\{ \delta h_{ij} \, \delta h_{kl} \left[ \frac{1}{4} R (h^{ik} h^{jl} + h^{il} h^{jk} - h^{ij} h^{kl}) \right. \\ & \left. + \frac{1}{2} (R^{ij} h^{kl} + R^{kl} h^{ij} - R^{ik} h^{jl} - R^{il} h^{jk} - R^{jk} h^{il} - R^{il} h^{kj}) \right] \\ & \left. + h^{ik} \, \bar{\delta} u^{jl}_{k|l} + \delta \left( \bar{\delta} u^{ji}_{j|i} \right) \right\} \end{split} \tag{42}$$

In a general background, the second variations of the quantities are much more tedious.

In Robertson-Walker background,

### References

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