# Notes on Canonical Singular Dynamics

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## 1 Classical formalism

Lagrangian with velocity

$$L^{\mathbf{v}} := L|_{\dot{a}=v} \tag{1.1}$$

Equations of motion

$$\sum_{j} M_{ij} \dot{v}_{j} = K_{i}^{\text{v}}, \quad \dot{q}_{i} = v_{i}. \tag{1.2}$$

where

$$M_{ij}(q,v)\coloneqq \frac{\partial^2 L^{\rm v}}{\partial v_i\,\partial v_j}. \tag{1.3}$$

Adding

$$p_i := \frac{\partial L^{\mathbf{v}}}{\partial v_i}.\tag{1.4}$$

Variation of

$$S[q,p;v] := \int \mathrm{d}t \left[ L^{\mathrm{v}} + \sum_i p_i (\dot{q}_i - v_i) \right]. \tag{1.5}$$

gives the Euler-Lagrange equations with velocities

$$\dot{q}_i = v_i, \quad \dot{p}_i = \frac{\partial L^{\rm v}}{\partial q_i}, \quad p_i = \frac{\partial L^{\rm v}}{\partial v_i}. \tag{1.6}$$

Hamiltonian with velocity

$$H^{\mathbf{v}}(q,p;v) \coloneqq \sum_{i} p_{i}v_{i} - L^{\mathbf{v}}. \tag{1.7} \label{eq:1.7}$$

Identities

$$\frac{\partial H^{\rm v}}{\partial q_i} \equiv -\frac{\partial L^{\rm v}}{\partial q_i}, \quad \frac{\partial H^{\rm v}}{\partial p_i} \equiv v_i, \quad \frac{\partial H^{\rm v}}{\partial v_i} \equiv p_i - \frac{\partial L^{\rm v}}{\partial v_i}. \tag{1.8}$$

Variation of

$$S[q,p;v] \coloneqq \int \mathrm{d}t \left[ \sum_i p_i \dot{q}_i - H^\mathrm{v} \right] \tag{1.9}$$

gives the canonical equations with velocities

$$\dot{q}_i = [q_i, H^{\mathbf{v}}]_{\mathbf{p}}, \quad \dot{p}_i = [p_i, H^{\mathbf{v}}]_{\mathbf{p}}, \quad \frac{\partial H^{\mathbf{v}}}{\partial v_i} = 0,$$
 (1.10)

where the Poisson bracket is defined as

$$[f^{\mathbf{v}}, g^{\mathbf{v}}]_{\mathbf{p}} := \sum_{i} \left( \frac{\partial f^{\mathbf{v}}}{\partial q_{i}} \frac{\partial g^{\mathbf{v}}}{\partial p_{i}} - \frac{\partial f^{\mathbf{v}}}{\partial p_{i}} \frac{\partial g^{\mathbf{v}}}{\partial q_{i}} \right). \tag{1.11}$$

 $v_a=\overline{v}_a(q,p;\{v_\alpha\})$  can be solved,  $a=1,2,\ldots,r_M;\,v_\alpha$  cannot be solved,  $\alpha=r_M+1,\ldots,n,$  where  $r_M=\operatorname{rank} M.$ 

(need to show  $v_a = \overline{v}_a(q,p_a)$ )

Primary constraints in the standard form

$$\Phi_{\alpha}(q,p) \coloneqq \left. \frac{\partial H^{\mathrm{v}}}{\partial v_{\alpha}} \right|_{\{v_{\alpha} = \overline{v}_{\alpha}\}} \equiv p_{\alpha} - \overline{p}_{\alpha}(q,\{p_{a}\}), \tag{1.12}$$

where

$$\overline{p}_{\alpha}(q, \{p_a\}) := \left. \frac{\partial L^{\mathbf{v}}}{\partial v_{\alpha}} \right|_{\{v_a = \overline{v}_a\}}. \tag{1.13}$$

Hamiltonian with primary constraint

$$H^{\mathrm{p}}\coloneqq \left.H^{\mathrm{v}}\right|_{\{v_{a}=\overline{v}_{a}\}}\equiv H^{\mathrm{v}}(q,p;\{\overline{v}^{a}(q,p_{a};\{v_{\alpha}\}),v_{\alpha}\}). \tag{1.14}$$

Subspace of primary constraints

$$\Gamma_{\mathbf{P}} = \{ (q, p) \mid \Phi_{\alpha}(q, p) = 0, \forall \alpha \}$$
(1.15)

Since

$$\left.\frac{\partial H^{\rm p}}{\partial v_{\alpha}}=\left.\frac{\partial H^{\rm v}}{\partial v_{\alpha}}\right|_{\{v_{a}=\overline{v}_{a}\}}=\Phi_{\alpha}\equiv p_{\alpha}-\overline{p}_{\alpha}(q,\{p_{a}\}), \tag{1.16}$$

 $H^{\rm p}$  is linear in  $v_{\alpha}$ . One writes

$$H^{\rm p}(q,\{p_a\};\{p_\alpha\},\{v_\alpha\}) = H(q,\{p_a\}) + \sum v_\alpha \Phi_\alpha, \eqno(1.17)$$

where  $H^{c}$  is the *canonical Hamiltonian* or simply *Hamiltonian*.

**Proposition**  $H^{c}$  is independent of  $\{p_{\alpha}\}.$ 

**Proposition** Canonical equations with primary constraints

$$\dot{q}_i = \left[q_i, H\right]_{\rm P} + \sum_{\beta} v_{\beta} \left[q_i, \phi_{\beta}\right]_{\rm P},\tag{1.18}$$

$$\dot{\boldsymbol{p}}_{i}=\left[\boldsymbol{p}_{i},\boldsymbol{H}\right]_{\mathrm{P}}+\sum_{\beta}\boldsymbol{v}_{\beta}\left[\boldsymbol{p}_{i},\boldsymbol{\phi}_{\beta}\right]_{\mathrm{P}},\tag{1.19}$$

$$\Phi_{\alpha}(q,p) = 0, \tag{1.20}$$

where  $v_{\beta}$ 's are undetermined. Note that eq. (1.18) for  $i=\alpha$  holds identically:  $\dot{q}_{\alpha}=\dot{q}_{\alpha}$ .

Weak equality:  $f_1 pprox f_2 \text{ iff } \left. f_1 \right|_{\Gamma_{\text{\tiny p}}} = \left. f_2 \right|_{\Gamma_{\text{\tiny p}}}.$ 

**Proposition** if f and g are two functions over the phase space  $\Gamma$ , and  $f \approx h$ , then

$$\frac{\partial}{\partial q_i} \left( f - \sum_{\beta} \phi_{\beta} \frac{\partial f}{\partial p_{\beta}} \right) \approx \frac{\partial}{\partial q_i} \left( h - \sum_{\beta} \phi_{\beta} \frac{\partial h}{\partial p_{\beta}} \right), \tag{1.21}$$

$$\frac{\partial}{\partial p_i} \left( f - \sum_{\beta} \phi_{\beta} \frac{\partial f}{\partial p_{\beta}} \right) \approx \frac{\partial}{\partial p_i} \left( h - \sum_{\beta} \phi_{\beta} \frac{\partial h}{\partial p_{\beta}} \right). \tag{1.22}$$

Corollary  $\forall H_1 \approx H$ ,

$$\dot{q}_i \approx [q_i, H]_{\text{p}}, \qquad \dot{p}_i \approx [p_i, H]_{\text{p}}.$$
 (1.23)

Primary and second constraints  $\phi_{\mu}^{(1,)},\phi_{\omega}^{(2,)};$  first and second class constraints  $\phi_{u}^{(,1)},\phi_{w}^{(,2)}.$ 

## 2 Examples

## 2.1 Toy examples

### Example 0

Gitman and Tyutin 1990, sec. 1.2

$$L = \frac{1}{2}(\dot{x} - y)^2 \tag{2.1}$$

Example 1

$$L = \frac{1}{2}\dot{x}^2 + \dot{x}y - \frac{1}{2}(x - y)^2.$$
 (2.2)

One has

$$L^{\mathbf{v}} = \frac{1}{2}v_x^2 + v_x y - \frac{1}{2}(x - y)^2, \tag{2.3}$$

so that

$$p_x = \frac{\partial L^{\mathbf{v}}}{\partial v_x} = v_x + y, \qquad p_y = 0, \tag{2.4}$$

thus

$$\overline{v}_x = p_x - y. \tag{2.5}$$

So that  $v_y$  is the primary inexpressible velocity.

The Hamiltonian with velocity reads

$$H^{\mathbf{v}}(q, p; v) = v_x p_x + v_y p_y - \frac{1}{2} v_x^2 - v_x y + \frac{1}{2} (x - y)^2, \tag{2.6}$$

whilst the Hamiltonians are

$$H^{\mathrm{p}}(q, p; \overline{v}_x, v_y) = H^{\mathrm{c}} + v_y \Phi_1, \tag{2.7}$$

$$H^{c}(q,p) = \frac{1}{2}(p_x - y)^2 + \frac{1}{2}(x - y)^2,$$
 (2.8)

where the only primary constraint  $\Phi_1 = p_y$ .

Persistence condition of  $\Phi_1$  leads to

$$0 \approx \left[ \Phi_1, H^{\rm p} \right]_{\rm p} = p_x + x - 2y =: \Phi_2. \tag{2.9}$$

Note that  $[\Phi_1,\Phi_2]=p_x-x$  does not vanish on  $\Gamma$ , thus  $\Phi_{1,2}$  are second-class constraints, and no more constraint can be generated. To solve for  $v_y$  one evaluates

$$0 =: [\Phi_1, H^p]_p = p_x - x - 2v_y, \tag{2.10}$$

so that  $v_y := (p_x - x)/2$  solves the constraint.

One also has

$$Q_{\alpha\beta} = \begin{bmatrix} \Phi_{\alpha}, \Phi_{\beta} \end{bmatrix}_{\mathrm{P}} = \begin{pmatrix} 0 & +2 \\ -2 & 0 \end{pmatrix}, \qquad (Q^{-1})_{\alpha\beta} = \begin{pmatrix} 0 & -1/2 \\ +1/2 & 0 \end{pmatrix}, \quad (2.11)$$

so that the Dirac brackets are defined as

$${[f,g]}_{\mathrm{D}} \coloneqq {[f,g]}_{\mathrm{P}} + \frac{1}{2} \Big( \big[f,p_y\big]_{\mathrm{P}} \big[p_x + x - 2y,g\big]_{\mathrm{P}} - \big[f,p_x + x - 2y\big]_{\mathrm{P}} \big[p_y,g\big]_{\mathrm{P}} \Big). \tag{2.12}$$

The fundamental ones different from Possion brackets are

$$[x, y]_{D} = [y, p_{x}]_{D} = \frac{1}{2}, \qquad [y, p_{y}]_{D} = 0.$$
 (2.13)

Last one different from book?

#### Example 2

$$L = \frac{1}{2}\dot{x}^2 + \dot{x}y + \frac{1}{2}(x - y)^2$$
 (2.14)

Primary constraint

$$p_{y} = 0; (2.15)$$

Hamiltonian with primary constraint

$$H^{p} = \frac{1}{2}p_{x}^{2} - p_{x}y - \frac{1}{2}x^{2} + xy + v_{y}p_{y}. \tag{2.16}$$

## Example 3

$$L = \frac{1}{2}(\dot{q}_2 - e^{q_1})^2 + \frac{1}{2}(\dot{q}_3 - q_2)^2. \tag{2.17}$$

## 2.2 Parametrised systems

#### Non-relativistic point particle

Kiefer 2012, sec. 3.1.1

$$S[q(t)] := \int_{t_1}^{t_2} \mathrm{d}t \, L\!\left(q, \frac{\mathrm{d}q}{\mathrm{d}t}\right) \tag{2.18}$$

#### Relativistic charged point particle

Landau and Lifshitz 1975, sec. 16, Kiefer 2012, sec. 3.1.2

$$S := \int -m \, \mathrm{d}s + e A_{\mu}(x) \, \mathrm{d}x^{\mu} =: \int \mathrm{d}\tau \, L, \tag{2.19}$$

where the Lagrangian reads

$$L = -m\sqrt{-\eta_{\mu\nu}\dot{x}^{\mu}\dot{x}^{\nu}} + q\dot{x}^{\mu}A_{\mu}(x). \tag{2.20}$$

$$M_{\mu\nu} := \frac{\partial^2 L^{\mathbf{v}}}{\partial v^{\mu} \partial v^{\nu}} = m \frac{-\eta_{\mu\nu}\eta_{\alpha\beta} + \eta_{\mu\alpha}\eta_{\nu\beta}}{(-\eta_{\alpha\sigma}v^{\rho}v^{\sigma})^{3/2}} v^{\alpha}v^{\beta}, \tag{2.21}$$

which has one and only one eigenvector with null eigenvalue

$$v^{\mu}M_{\mu\nu} = 0. {(2.22)}$$

Momenta

$$p_{\mu} = \frac{\partial L^{\mathbf{v}}}{\partial v^{\mu}} = \frac{m\eta_{\mu\nu}v^{\nu}}{\sqrt{-\eta_{\rho\sigma}v^{\rho}v^{\sigma}}} + qA_{\mu}. \tag{2.23}$$

If one chooses  $v^0$  to be the primary inexpressible velocity, then eliminating  $p_0$  in eq. (2.23) yields

$$v^{i} = \frac{\xi \eta^{ij} (p_{j} - qA_{j}) v^{0}}{\sqrt{m^{2} + \eta^{kl} (p_{k} - qA_{k}) (p_{l} - qA_{l})}},$$
(2.24)

where  $\xi = \operatorname{sgn} v^0$ . In the following  $\xi = +1$  will be chosen.

Inserting eq. (2.24) into the Hamiltonian with velocity

$$H^{\rm v} = v^{\mu} p_{\mu} - L^{\rm v} = m \sqrt{-\eta_{\mu\nu} v^{\mu} v^{\nu}} + v^{\mu} \big( p_{\mu} - q A_{\mu}(x) \big), \tag{2.25}$$

one obtains the Hamiltonian with primary constraint

$$H^{\rm p} = v^0 \bigg( p_0 - qA_0 + \sqrt{m^2 + \eta^{kl} (p_k - qA_k) (p_l - qA_l)} \bigg), \tag{2.26}$$

where only a primary constraint survives, which is obviously a first-class constraint

$$\phi^{(1,1)} = p_0 - qA_0 + \sqrt{m^2 + \eta^{kl}(p_k - qA_k)(p_l - qA_l)}, \tag{2.27}$$

and the canonical Hamiltonian vanishes

$$H^{c} = 0.$$
 (2.28)

To compare, note in the non-covariant formalism (Landau and Lifshitz 1975, sec. 8)

$$S = \int dt L, \qquad L = -m\sqrt{1 - \dot{\vec{x}}^2} - q\phi + q\dot{\vec{x}} \cdot \vec{A}, \qquad (2.29)$$

the system is regular, and the canonical Hamiltonian reads

$$H^{c} = \sqrt{m^{2} + (\vec{p} - q\vec{A})^{2}} + q\phi,$$
 (2.30)

which corresponds to setting  $\phi^{(1,1)}=0,$   $p_0\to -H^{\rm c}$   $(p_\mu=(-E,\vec p))$ , and noting  $A_\mu=\left(-\phi,\vec A\right)$ .

## Relativistic point particle with einbein

Blumenhagen, Lüst, and Theisen 2013, sec. 2.1

$$L \coloneqq \frac{1}{2} \left( e^{-1} \eta_{\mu\nu} \dot{x}^{\mu} \dot{x}^{\nu} - m^2 e \right) \tag{2.31}$$

$$p_{\mu} = \frac{\partial L^{\mathbf{v}}}{\partial v^{\mu}} = e^{-1} \eta_{\mu\nu} v^{\nu}, \qquad p_e = 0. \tag{2.32}$$

Choosing  $v^e$  to be the primary inexpressible velocity, one has

$$v^{\mu} = e\eta^{\mu\nu}p_{\nu}.\tag{2.33}$$

Hamiltonian with velocity

$$H^{\rm v} = v^{\mu} p_{\mu} + v^{e} p_{e} + \frac{1}{2} \left( -e^{-1} \eta_{\mu\nu} v^{\mu} v^{\nu} + m^{2} e \right); \tag{2.34}$$

Hamiltonian with primary constraint

$$H^{\rm p} = \frac{e}{2} \big( \eta^{\mu\nu} p_{\mu} p_{\nu} + m^2 \big) + v^e p_e; \tag{2.35} \label{eq:2.35}$$

canonical Hamiltonian

$$H^{\rm c} = \frac{e}{2} (\eta^{\mu\nu} p_{\mu} p_{\nu} + m^2). \tag{2.36}$$

The only primary constraint

$$\Phi^{(1,)} = p_e; \tag{2.37}$$

its time evolution

$$\begin{split} \left[\Phi^{(1,)}, H^{\mathrm{p}}\right]_{\mathrm{p}} &= \left[p_{e}, e\right]_{\mathrm{p}} \frac{1}{2} \left(\eta^{\mu\nu} p_{\mu} p_{\nu} + m^{2}\right) \\ &= -\frac{1}{2} \left(\eta^{\mu\nu} p_{\mu} p_{\nu} + m^{2}\right). \end{split} \tag{2.38}$$

Choose

$$\Phi^{(2,)} = \eta^{\mu\nu} p_{\mu} p_{\nu} + m^2, \tag{2.39}$$

whose Possion bracket with  $H^p$  vanishes; furthermore,

$$\left[\Phi^{(1,)}, \Phi^{(2,)}\right]_{\mathbf{p}} \equiv 0. \tag{2.40}$$

Thus one ends up with two first-class constraints.

#### 2.2.1 Neutral scalar field

Kiefer 2012, sec. 3.3

## 2.3 Maxwell-Proca theory

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} - \frac{1}{2}m^2A_{\mu}A^{\mu} + A_{\mu}J^{\mu}, \tag{2.41}$$

where m>0 corresponds to the Proca theory Gitman and Tyutin 1990, sec. 2.3, and m=0 the Maxwell theory H. J. Rothe and K. D. Rothe 2010, sec. 3.3.3, Gitman and Tyutin 1990, sec. 2.4.

Lagrangian density with velocity

$$\mathcal{L}^{\mathbf{v}} = \frac{1}{2} (V_i - \partial_i A_0)^2 - \frac{1}{4} F_{ij}^2 + \frac{m^2}{2} (A_0^2 - A_i^2) + A_0 J^0 + A_i J^i; \qquad (2.42)$$

momenta density

$$B^{0} := \frac{\partial \mathcal{L}^{\mathbf{v}}}{\partial V_{0}} = 0, \qquad B^{i} := \frac{\partial \mathcal{L}^{\mathbf{v}}}{\partial V_{i}} = V^{i} - \partial^{i} A_{0}; \tag{2.43}$$

Hamiltonians

$$\mathcal{H}^{\mathbf{p}} = \mathcal{H}^{\mathbf{c}} + V_0 \Phi_1, \tag{2.44}$$

$$\mathcal{H}^{\rm c} = \frac{1}{2} \big(B^i\big)^2 + B^i \partial_i A_0 + \frac{1}{4} F_{ij}^2 + \frac{m^2}{2} \big(-A_0^2 + A_i^2\big) - A_0 J^0 - A_i J^i, \quad (2.45)$$

(2.46)

where

$$\Phi_1 = B^0 \tag{2.47}$$

is the only primary constraint.

$$\begin{split} \left[ \Phi_1(\vec{x}_1), \mathcal{H}^{\mathrm{p}}(\vec{x}_2) \right]_{\mathrm{p}} &= \left[ \left( B^0 \right)_1, \left( B^i \partial_i A_0 - \frac{m^2}{2} A_0^2 - A_0 J^0 \right)_2 \right]_{\mathrm{p}} \\ &= \left( -B^i \partial_i + m^2 A_0 - J_0 \right)_2 \delta(\vec{x}_1 - \vec{x}_2), \end{split} \tag{2.48}$$

where  $J_0 = -J^0.$  Integration with  $\mathbb{d}^d x_2$  yields the secondary constraint

$$[\Phi_1, H^p]_p = \partial_i B^i + m^2 A_0 - J_0 =: \Phi_2,$$
 (2.49)

so that

$$[\Phi_1(\vec{x}_1), \Phi_2(\vec{x}_2)]_p = -m^2 \delta(\vec{x}_1 - \vec{x}_2). \tag{2.50}$$

One may further compute

$$\left[\Phi_{2}(\vec{x}_{1}),\mathcal{H}^{\mathrm{c}}(\vec{x}_{2})\right]_{\mathrm{p}} = \left[\left(\partial_{i}B^{i}\right)_{1},\left(\frac{1}{4}F_{jk}^{2} + \frac{m^{2}}{2}A_{j}^{2} - A_{j}J^{j}\right)_{2}\right]_{\mathrm{p}},\tag{2.51}$$

in which

$$\begin{split} \left[ \left( \partial_{i}B^{i} \right)_{1}, \left( \frac{1}{4}F_{jk}^{2} \right)_{2} \right]_{\mathbf{P}} &= \left( \partial_{j}A_{k} - \partial_{k}A_{j} \right)_{2} (\partial_{i})_{1} \left[ \left( B^{i} \right)_{1}, \left( \partial^{j}A^{k} \right)_{2} \right]_{\mathbf{P}} \\ &= - \left( F^{ij}\partial_{j} \right)_{2} (\partial_{i})_{1} \delta(\vec{x}_{1} - \vec{x}_{2}). \end{split} \tag{2.52}$$

The Poisson bracket can be evaluated to be

$$\left[\Phi_{2}(\vec{x}_{1}),\mathcal{H}^{\mathrm{c}}(\vec{x}_{2})\right]_{\mathrm{P}} = -\left(F^{ij}\partial_{j} + m^{2}A^{i} + J^{i}\right)_{2}(\partial_{i})_{1}\delta(\vec{x}_{1} - \vec{x}_{2}). \tag{2.53}$$

Integration with  $d^3x_2$  yields

$$\left[ \Phi_{2},H^{\rm c} \right]_{\rm p} = - \partial_{i} \big( m^{2} A^{i} + J^{i} \big). \tag{2.54} \label{eq:4.15}$$

For Proca theory m>0 , then the algorithm terminates, and one obtains a pure second-class system.

Introducing the standard coordinates

$$\alpha_i = A_i + m^{-2} \big( \partial_i B^0 - J_i \big), \qquad \beta^i = B^i; \tag{2.55} \label{eq:alpha_i}$$

$$\alpha_0 = A_0 + m^{-2}(\partial_i B^i - J_0), \qquad \beta^0 = B^0.$$
 (2.56)

Note that  $J^i = J_i$ . One has

$$\mathcal{H}^{p} = \mathcal{H}^{phy} + \mathcal{H}^{con} + \mathcal{H}^{irr}, \qquad (2.57)$$

where

$$\begin{split} \mathcal{H}^{\text{phy}} &= \frac{1}{2} (\beta^i)^2 + \frac{m^2}{2} \alpha_i^2 + \frac{1}{4} \big( \partial_i \alpha_j - \partial_j \alpha_i \big)^2 + \frac{1}{2m^2} \big( \partial_i \beta^i \big)^2 \\ &\quad + \frac{1}{m^2} J^0 \partial_i \beta^i, \end{split} \tag{2.58}$$

$$\mathcal{H}^{\text{con}} = -\frac{m^2}{2}\alpha_0^2 - \frac{1}{2m^2}(\partial_i \beta^0)^2, \tag{2.59}$$

$$\begin{split} \mathcal{H}^{\mathrm{irr}} &= \partial_i \bigg( \alpha_0 \beta^i - \beta^0 \alpha_i + \frac{1}{m^2} \big( \beta^0 \partial_i \beta^0 - \beta^i \partial_j \beta^j - J^0 \beta^i \big) \bigg) \\ &+ \frac{1}{2m^2} \Big( \big( J^0 \big)^2 - \big( J^i \big)^2 \Big). \end{split} \tag{2.60}$$

Further more,

$$\Phi_1 = beta^0, \qquad \Phi_2 = m^2 \alpha_0 \propto \alpha_0. \tag{2.61}$$

For Maxwell theory m = 0.

## 2.4 String theories

#### Nambu-Gotō action

Generalising the kinetic part of (2.19), one has

$$S_{\text{NG}} := -T \int_{\Sigma} dA =: -T \int_{\Sigma} d^2 \sigma \mathcal{L}, \tag{2.62}$$

where the Lagrangian density

$$\mathcal{L} = \sqrt{-\Gamma}, \quad \Gamma := \det \Gamma_{\alpha\beta}, \quad \Gamma_{\alpha\beta} := \frac{\partial X^{\nu}}{\partial \sigma^{\alpha}} \frac{\partial X_{\nu}}{\partial \sigma^{\alpha}}. \tag{2.63}$$

Historically Gotō 1971; Nambu 1970; Reference e.g. Blumenhagen, Lüst, and Theisen 2013 Kiefer 2012, sec. 3.2

#### Polyakov action

Generalising (2.31)

$$S_{\mathbf{P}}[X^{\mu}, h_{\alpha\beta}] = -\frac{T}{2} \int_{\Sigma} \mathcal{L}, \qquad (2.64)$$

where

$$\mathcal{L} := \sqrt{-h} h^{\alpha\beta} \Gamma_{\alpha\beta}. \tag{2.65}$$

Historically Brink, Di Vecchia, and Howe 1976; Deser and Zumino 1976; Polyakov 1981; Reference Kiefer 2012, sec. 3.2

#### 2.5 Gravitation theories

#### Closed Friedmann universe

This part adapts ibid., sec. 8.1.2.

The total action reads

$$S := S_{\text{EG}} + S_{\phi}, \tag{2.66}$$

where  $S_{\rm EG}$  follows (2.83), and

$$S_{\phi} \coloneqq \int_{\mathcal{M}} \mathbb{d}^4 x \sqrt{-g} \left( -\frac{1}{2} g^{\mu\nu} \left( \nabla_{\mu} \phi \right) (\nabla_{\nu} \phi) - m^2 \phi^2 \right). \tag{2.67}$$

Adapting

$$ds^2 = -N^2(t) dt^2 + a^2(t) d\Omega_3^2, \tag{2.68}$$

where

$$\Omega_3^2 = \mathrm{d}\chi^2 + \sin^2\chi \left(\mathrm{d}\theta^2 + \sin^2\theta \,\mathrm{d}\phi^2\right). \tag{2.69}$$

One has

$$\sqrt{-g} = Na^3 \sin^2 \chi \sin \theta, \qquad \sqrt{h} = a^3 \sin^2 \chi \sin \theta;$$
 (2.70)

whereas

$$R = \frac{6}{N^2} \left( -\frac{\dot{N}\dot{a}}{Na} + \frac{\ddot{a}}{a} + \left(\frac{\dot{a}}{a}\right)^2 \right) + \frac{6}{a^2}, \qquad K = \frac{3\dot{a}}{Na}. \tag{2.71}$$

$$S_{\rm EG} = \frac{A_3}{16\pi G} \left( \int_{t_1}^{t_2} {\rm d}t N a^3 (R - 2\Lambda) - \left[ \frac{6\dot{a}a^2}{N} \right]_{t_1}^{t_2} \right), \tag{2.72}$$

where

$$A_3 = \int \sin^2 \chi \sin \theta \, \mathrm{d}\chi \, \mathrm{d}\theta \, \mathrm{d}\phi = 2\pi^2. \tag{2.73}$$

The term proportional to  $\ddot{a}/a$  in the integrand can be integrated by parts

$$\int_{t_1}^{t_2} dt \, N a^3 \frac{6}{N^2} \frac{\ddot{a}}{a} = 6 \left( \left[ \frac{\dot{a} a^2}{N} \right]_{t_1}^{t_2} - \int_{t_1}^{t_2} dt \, \dot{a} \frac{d}{dt} \frac{a^2}{N^2} \right), \tag{2.74}$$

in which the first term cancels the Gibbons-Hawking-York term. One has

$$S_{\rm EG} = \frac{3\pi}{4G} \int_{t_1}^{t_2} {\rm d}t \left( -\frac{a}{N} \dot{a}^2 + Na - \frac{\Lambda}{3} Na^3 \right). \tag{2.75}$$

The matter part of the action reads

$$S_{\phi} = \pi^2 \int_{t_1}^{t_2} dt \, a^3 \left( \frac{1}{N} \dot{\phi}^2 - m^2 N \phi^2 \right). \tag{2.76}$$

Lagrangian with velocity

$$L^{v} = \frac{3\pi}{4G} \left( -\frac{a}{N} v^{a^{2}} + Na - \frac{\Lambda}{3} Na^{3} \right) + \pi^{2} a^{3} \left( \frac{1}{N} v^{\phi^{2}} - m^{2} N\phi^{2} \right). \tag{2.77}$$

Canonical momenta

$$p_N \coloneqq \frac{\partial L^{\mathbf{v}}}{\partial v^N} = 0, \quad p_a \coloneqq \frac{\partial L^{\mathbf{v}}}{\partial v^a} = -\frac{3\pi}{2G} \frac{a}{N} v^a, \quad p_\phi \coloneqq \frac{\partial L^{\mathbf{v}}}{\partial v^\phi} = 2\pi^2 \frac{a^3}{N} v^\phi. \tag{2.78}$$

Choosing  $v^N$  to be the primary inexpressible velocity, one obtains

$$H^{\mathbf{p}} = -NH_{\perp} + v^{N}\Phi, \tag{2.79}$$

$$H^{c} = -NH_{\perp}, \tag{2.80}$$

where

$$H_{\perp} := \frac{G}{3\pi} \frac{p_a^2}{a} - \frac{1}{4\pi^2} \frac{p_\phi^2}{a^3} - \frac{3\pi}{4G} \left(\frac{\Lambda}{3} a^2 - 1\right) a - \pi^2 m^2 a^3 \phi^2, \tag{2.81}$$

$$\Phi = p_N \tag{2.82}$$

are the Hamiltonian constraint and the primary constraint, respectively.

Evaluating the time evolution of  $\Phi$  yields

$$\left[\Phi, H^t\right]_{\mathbf{p}} = H_\perp,\tag{2.83}$$

so that the Hamiltonian constraint is indeed a constraint. There is no further constraint, and  $[\Phi, H_{\perp}]_p$  vanishes identically. Therefore there are two and only two first-class constraints.

#### 2.5.1 Einstein-Hilbert action

$$S_{\rm EG} = S_{\rm EH} + S_{\rm GHY},$$
 (2.84)

$$S_{\rm EH} = \frac{1}{16\pi G} \int_{\mathcal{M}} \mathrm{d}^4 x \sqrt{-g} (R - 2\Lambda), \tag{2.85}$$

and

$$S_{\text{GHY}} = -\frac{1}{8\pi G} \int_{\partial \mathcal{M}} d^3 x \sqrt{h} K, \qquad (2.86)$$

which is named after Gibbons and Hawking 1977; York 1972 but actually already mentioned in Einstein 1916. See Dyer and Hinterbichler 2009 for a brief review.

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