Notes on Lagrangian Singular Dynamics

Yi-Fan Wang (王一帆)

May 19, 2017

1 Classical formalism

Lagrangian with velocity

$$L^{\mathbf{v}} := \left. L \right|_{\dot{a} = v} \tag{1.1}$$

Equations of motion

$$\sum_{j} M_{ij} \dot{v}_j = K_i^{\text{v}}, \quad \dot{q}_i = v_i. \tag{1.2}$$

where

$$M_{ij}(q,v) \coloneqq \frac{\partial^2 L}{\partial v_i \, \partial v_j}. \tag{1.3} \label{eq:mass}$$

2 Examples

2.1 Toy examples

Example 0

Gitman and Tyutin 1990, sec. 1.2

$$L = \frac{1}{2}(\dot{x} - y)^2 \tag{2.1}$$

Example 1

$$L = \frac{1}{2}\dot{x}^2 + \dot{x}y - \frac{1}{2}(x - y)^2. \tag{2.2}$$

Example 2

$$L = \frac{1}{2}\dot{x}^2 + \dot{x}y + \frac{1}{2}(x - y)^2$$
 (2.3)

Example 3

$$L = \frac{1}{2}(\dot{q}_2 - \mathbf{e}^{q_1})^2 + \frac{1}{2}(\dot{q}_3 - q_2)^2. \tag{2.4}$$

2.2 Parametrised systems

Non-relativistic point particle

Kiefer 2012, sec. 3.1.1

$$S[q(t)] := \int_{t_0}^{t_1} \mathrm{d}t \, L\!\left(q, \frac{\mathrm{d}q}{\mathrm{d}t}\right) \tag{2.5}$$

Relativistic charged point particle

Landau and Lifshitz 1975, sec. 16, Kiefer 2012, sec. 3.1.2

$$S := \int_{\gamma} -m \, \mathrm{d}s + e A_{\mu}(x) \, \mathrm{d}x^{\mu} =: \int_{\tau_0}^{\tau_1} \mathrm{d}\tau \, L, \tag{2.6}$$

where the Lagrangian reads

$$L = -m\sqrt{-\eta_{\mu\nu}\dot{x}^{\mu}\dot{x}^{\nu}} + q\dot{x}^{\mu}A_{\mu}(x). \tag{2.7}$$

$$M_{\mu\nu} := \frac{\partial^2 L}{\partial \dot{x}^{\mu} \, \partial \dot{x}^{\nu}} = m \frac{-\eta_{\mu\nu} \eta_{\alpha\beta} + \eta_{\mu\alpha} \eta_{\nu\beta}}{\left(-\eta_{\rho\sigma} \dot{x}^{\rho} \dot{x}^{\sigma}\right)^{3/2}} \dot{x}^{\alpha} \dot{x}^{\beta}, \tag{2.8}$$

which has one and only one zero eigenvector

$$\dot{x}^{\mu}M_{\mu\nu} = 0.$$
 (2.9)

Euler-Lagrange derivatives

$$E_{\mu} = \left(\frac{\partial}{\partial x^{\mu}} - \frac{\mathrm{d}}{\mathrm{d}\tau} \frac{\partial}{\partial \dot{x}^{\mu}}\right) L \equiv K_{\mu} - M_{\mu\nu} \ddot{x}^{\nu}, \tag{2.10}$$

where

$$K_{\mu} := -qF_{\mu\nu}\dot{x}^{\nu},\tag{2.11}$$

and

$$F_{\mu\nu} \coloneqq \partial_{\mu} A_{\nu} - \partial_{\nu} A_{\mu}. \tag{2.12}$$

Contracting the zero eigenvector with eq. (2.10) yields

$$\dot{x}^{\mu}E_{\mu} = \dot{x}^{\mu}K_{\mu} - \dot{x}^{\mu}M_{\mu\nu}\ddot{x}^{\nu} \equiv 0, \tag{2.13}$$

so that it generates a gauge identity, and no further constraint exists. Thus the system has a symmetry

$$\delta x^{\mu} = \dot{x}^{\mu} \delta \lambda. \tag{2.14}$$

Relativistic point particle with einbein

Blumenhagen, Lüst, and Theisen 2013, sec. 2.1

$$L := \frac{1}{2} \left(e^{-1} \eta_{\mu\nu} \dot{x}^{\mu} \dot{x}^{\nu} - m^2 e \right) \tag{2.15}$$

Euler-Lagrange derivatives

$$E_{\mu} := \left(\frac{\partial}{\partial x^{\mu}} - \frac{\mathbb{d}}{\mathbb{d}\tau} \frac{\partial}{\partial \dot{x}^{\mu}}\right) L = e^{-1} \eta_{\mu\nu} \left(\frac{\dot{e}}{e} \dot{x}^{\nu} - \ddot{x}^{\nu}\right), \tag{2.16}$$

$$E_e := \left(\frac{\partial}{\partial e} - \frac{\mathrm{d}}{\mathrm{d}\tau} \frac{\partial}{\partial \dot{e}}\right) L = -\frac{1}{2} \left(\frac{1}{e^2} \eta_{\mu\nu} \dot{x}^\mu \dot{x}^\nu + m^2\right), \tag{2.17}$$

and collectively $E^{(0)} = \begin{pmatrix} E_{\mu} & E_{e} \end{pmatrix}^{\mathsf{T}}$.

$$M^{(0)} := M = \begin{pmatrix} e^{-1} \eta_{\mu\nu} & 0^{\mu} \\ 0^{\nu} & 0 \end{pmatrix}, \tag{2.18}$$

so that the system is singular, with $w^{(0)} = (0^{\mu}; 1)$.

One can choose $u^{(0)} = (0^{\mu}; e^2)$, so that

$$\phi^{(0)} := u^{(0)} \cdot E^{(0)} = e^2 E_e \tag{2.19}$$

$$= -\frac{1}{2} (\eta_{\mu\nu} \dot{x}^{\mu} \dot{x}^{\nu} + m^2 e^2), \tag{2.20}$$

and thus

$$E_1^{(1)} \coloneqq \dot{\phi}^{(0)} = e \big(2 \dot{e} E_e + e \dot{E}_e \big) \tag{2.21}$$

$$= -m^2 e \dot{e} - \eta_{\mu\nu} \dot{x}^{\mu} \ddot{x}^{\nu}. \tag{2.22}$$

 $\begin{aligned} \text{Collectively, } E^{(1)} &= \left(\left(E^{(0)} \right)^{\mathsf{T}} \quad E_1^{(1)} \right)^{\mathsf{T}}. \\ \text{Straightforwardly,} \end{aligned}$

$$M^{(1)} = \begin{pmatrix} e^{-1} \eta_{\mu\nu} & 0^{\mu} \\ 0^{\nu} & 0 \\ \eta_{\mu\nu} \dot{x}^{\mu} & 0 \end{pmatrix}, \tag{2.23}$$

and the new zero eigenvector $w^{(1)}=(e\dot{x}^{\mu};0,-1).$

One finds that

$$w^{(1)} \cdot E^{(1)} = e \dot{x}^{\mu} E_{\mu} - E_{1}^{(1)} = e \big(\dot{x}^{\mu} E_{\mu} - 2 \dot{e} E_{e} - e \dot{E}_{e} \big) \eqno(2.24)$$

$$= \eta_{\mu\nu} \frac{\dot{e}}{e} \dot{x}^{\mu} \dot{x}^{\nu} + m^2 e \dot{e} = -2e \dot{e} E_e, \tag{2.25}$$

so that a gauge identity

$$G := \dot{x}^{\mu} E_{\mu} - e \dot{E}_{e} \equiv 0 \tag{2.26}$$

is obtained.

$$G\epsilon = E_{\mu}\dot{x}^{\mu}\epsilon + E_{e}(\dot{e}\epsilon + e\dot{\epsilon}) - \frac{\mathbb{d}}{\mathbb{d}\tau}(eE_{e}\epsilon), \tag{2.27}$$

so that

$$\delta x^{\mu} = \dot{x}^{\mu} \epsilon, \tag{2.28}$$

$$\delta e = \dot{e}\epsilon + e\dot{\epsilon}.\tag{2.29}$$

2.2.1 Neutral scalar field

Kiefer 2012, sec. 3.3

2.3 Maxwell-Proca theory

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} - \frac{1}{2}m^2A_{\mu}A^{\mu} + A_{\mu}J^{\mu}$$
 (2.30)

where m>0 corresponds to the Proca theory Gitman and Tyutin 1990, sec. 2.3, and m=0 the Maxwell theory H. J. Rothe and K. D. Rothe 2010, sec. 3.3.3, Gitman and Tyutin 1990, sec. 2.4.

$$\mathcal{L} \equiv \frac{1}{2} \left(-\eta^{\alpha\beta} \eta^{\mu\nu} + \eta^{\alpha\nu} \eta^{\beta^{\mu}} \right) \left(\partial_{\mu} A_{\alpha} \right) \left(\partial_{\nu} A_{\beta} \right) - \frac{1}{2} m^2 \eta^{\alpha\beta} A_{\alpha} A_{\beta} + A_{\alpha} J^{\alpha} \tag{2.31}$$

$$\begin{split} E^{\alpha} &= \left(\frac{\partial}{\partial A_{\alpha}} - \partial_{\mu} \frac{\partial}{\partial (\partial_{\mu} A_{\alpha})} \right) \mathcal{L} \\ &= -m^{2} A_{\beta} \eta^{\alpha\beta} + J^{\alpha} - (-\eta^{\alpha\beta} \eta^{\mu\nu} + \eta^{\alpha\nu} \eta^{\beta^{\mu}}) \partial_{\mu} \partial_{\nu} A_{\beta}. \end{split} \tag{2.32}$$

2.4 String theories

Nambu-Gotō action

Generalising the kinetic part of (2.6), one has

$$S_{\text{NG}} := -T \int_{\Sigma} dA =: -T \int_{\Sigma} d^2 \sigma \mathcal{L}, \qquad (2.33)$$

where the Lagrangian density

$$\mathcal{L} = \sqrt{-\Gamma}, \quad \Gamma := \det \Gamma_{\alpha\beta}, \quad \Gamma_{\alpha\beta} := \frac{\partial X^{\nu}}{\partial \sigma^{\alpha}} \frac{\partial X_{\nu}}{\partial \sigma^{\alpha}}. \tag{2.34}$$

Historically Gotō 1971; Nambu 1970; Reference e.g. Blumenhagen, Lüst, and Theisen 2013 Kiefer 2012, sec. 3.2

Polyakov action

Generalising (2.15)

$$S_{\mathbf{P}}[X^{\mu}, h_{\alpha\beta}] = -\frac{T}{2} \int_{\Sigma} \mathcal{L}, \qquad (2.35)$$

where

$$\mathcal{L} := \sqrt{-h} h^{\alpha \beta} \Gamma_{\alpha \beta}. \tag{2.36}$$

Historically Brink, Di Vecchia, and Howe 1976; Deser and Zumino 1976; Polyakov 1981; Reference Kiefer 2012, sec. 3.2

$$\sqrt{\qquad \qquad (2.37)}$$

2.5 Gravitation theories

Closed Friedmann universe

This part adapts ibid., sec. 8.1.2.

The total action reads

$$S := S_{\text{EG}} + S_{\phi}, \tag{2.38}$$

where $S_{\rm EG}$ follows (2.60), and

$$S_{\phi} := \int_{\mathcal{M}} \mathbb{d}^4 x \sqrt{-g} \left(-\frac{1}{2} g^{\mu\nu} \left(\nabla_{\mu} \phi \right) (\nabla_{\nu} \phi) - m^2 \phi^2 \right). \tag{2.39}$$

Adapting

$$ds^2 = -N^2(t) dt^2 + a^2(t) d\Omega_3^2, \tag{2.40}$$

where

$$\Omega_3^2 = \mathrm{d}\chi^2 + \sin^2\chi \left(\mathrm{d}\theta^2 + \sin^2\theta\,\mathrm{d}\phi^2\right). \tag{2.41}$$

One has

$$\sqrt{-g} = Na^3 \sin^2 \chi \sin \theta, \qquad \sqrt{h} = a^3 \sin^2 \chi \sin \theta;$$
 (2.42)

whereas

$$R = \frac{6}{N^2} \left(-\frac{\dot{N}\dot{a}}{Na} + \frac{\ddot{a}}{a} + \left(\frac{\dot{a}}{a}\right)^2 \right) + \frac{6}{a^2}, \qquad K = \frac{3\dot{a}}{Na}.$$
 (2.43)

$$S_{\rm EG} = \frac{A_3}{16\pi G} \left(\int_{t_1}^{t_2} {\rm d}t N a^3 (R - 2\Lambda) - \left[\frac{6 \dot{a} a^2}{N} \right]_{t_1}^{t_2} \right), \tag{2.44}$$

where

$$A_3 = \int \sin^2 \chi \, \sin \theta \, \mathrm{d}\chi \, \mathrm{d}\theta \, \mathrm{d}\phi = 2 \pi^2. \tag{2.45}$$

The term proportional to \ddot{a}/a in the integrand can be integrated by parts

$$\int_{t_1}^{t_2} \mathrm{d}t \, N a^3 \frac{6}{N^2} \frac{\ddot{a}}{a} = 6 \left(\left[\frac{\dot{a} a^2}{N} \right]_{t_1}^{t_2} - \int_{t_1}^{t_2} \mathrm{d}t \, \dot{a} \frac{\mathrm{d}}{\mathrm{d}t} \frac{a^2}{N^2} \right), \tag{2.46}$$

in which the first term cancels the Gibbons-Hawking-York term. One has

$$S_{\rm EG} = \frac{3\pi}{4G} \int_{t_1}^{t_2} dt \left(-\frac{a}{N} \dot{a}^2 + Na - \frac{\Lambda}{3} Na^3 \right). \tag{2.47}$$

The matter part of the action reads

$$S_{\phi} = \pi^2 \int_{t_1}^{t_2} dt \, a^3 \left(\frac{1}{N} \dot{\phi}^2 - m^2 N \phi^2 \right). \tag{2.48}$$

One derives the Euler-Lagrange derivatives

$$E_N = \frac{3\pi}{4G} \left(\frac{a\dot{a}^2}{N^2} + a - \frac{\Lambda a^3}{3} \right) - \pi^2 a^3 \left(\frac{\dot{\phi}^2}{N^2} - m^2 N \phi^2 \right), \tag{2.49}$$

$$E_{a} = \frac{3\pi}{4G} \left(-\frac{\dot{a}^{2}}{N} + N - \Lambda N a^{2} + \frac{2a\ddot{a}}{N} - \frac{2a\dot{a}\dot{N}}{N^{2}} \right) + 3\pi^{2}a^{2} \left(\frac{\dot{\phi}^{2}}{N} - m^{2}N\phi^{2} \right), \tag{2.50}$$

$$E_{\phi} = 2\pi^{2} \left(-m^{2} N a^{3} \phi - \frac{3a^{2} \dot{a} \dot{\phi}}{N} - \frac{a^{3} \ddot{\phi}}{N} + \frac{a^{3} \dot{\phi} \dot{N}}{N^{2}} \right), \tag{2.51}$$

and the primary mass matrix reads

$$\mathbf{M}^{(0)} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & -\frac{3\pi}{2G} \frac{a}{N} & 0 \\ 0 & 0 & 2\pi^2 \frac{a^3}{N}, \end{pmatrix}$$
 (2.52)

so that the system is singular, with $w^{(0)} = \begin{pmatrix} 1 & 0 & 0 \end{pmatrix} =: u^{(0)}$.

Therefore, the only primary constraint

$$\phi^{(0)} := u^{(0)} \cdot E^{(0)} = E_N, \tag{2.53}$$

and

$$\begin{split} E^{(1)} &:= \dot{\phi}^{(0)} = \dot{E}_{N} \\ &= \frac{3\pi}{4G} \dot{a} \left(\frac{\dot{a}^{2}}{N^{2}} + 2\frac{a\ddot{a}}{N^{2}} - \frac{2a\dot{a}\dot{N}}{N^{3}} + 1 - \Lambda a^{2} \right) \\ &- \pi^{2} a^{2} \left(3\frac{\dot{a}\dot{\phi}^{2}}{N^{2}} + 2\frac{a\dot{\phi}\ddot{\phi}}{N^{2}} - 2\frac{a\dot{N}\dot{\phi}^{2}}{N^{3}} - m^{2}\phi \left(3N\phi\dot{a} + a\phi\dot{N} + 2aN\dot{\phi} \right) \right). \end{split} \tag{2.55}$$

The secondary mass matrix

$$\mathbf{M}^{(1)} = \begin{pmatrix} 0 & 0 & 0\\ 0 & -\frac{3\pi}{2G} \frac{a}{N} & 0\\ 0 & 0 & 2\pi^2 \frac{a^3}{N}\\ 0 & -\frac{3\pi}{2G} \frac{a\dot{a}}{N^2} & 2\pi^2 \frac{a^3\dot{a}}{N^2}, \end{pmatrix}$$
(2.56)

and an additional left zero

$$w^{(1)} := \begin{pmatrix} 0 & \dot{a} & \dot{\phi} & -N \end{pmatrix} =: v^{(1)} \tag{2.57}$$

is obtained, resulting in a gauge identity

$$0 \equiv G = \dot{a}E_a + \dot{\phi}E_\phi - N\dot{E}_N,\tag{2.58}$$

which terminates the algorithm. The gauge transformation reads

$$\delta N = -\dot{N}\epsilon - N\dot{\epsilon}, \qquad \delta a = \dot{a}\epsilon, \qquad \delta \phi = \dot{\phi}\epsilon.$$
 (2.59)

2.5.1 Einstein gravity

$$S_{\rm EG} = S_{\rm EH} + S_{\rm GHY},$$
 (2.60)

where the Einstein-Hilbert action

$$S_{\rm EH} = \frac{1}{16\pi G} \int_{\mathcal{M}} \mathrm{d}^4 x \sqrt{-g} \left(R - 2\Lambda\right), \tag{2.61}$$

and the Gibbons-Hawking-York action

$$S_{\text{GHY}} = -\frac{1}{8\pi G} \int_{\partial \mathcal{M}} d^3 x \sqrt{h} K, \qquad (2.62)$$

which is named after Gibbons and Hawking 1977; York 1972 but actually already mentioned in Einstein 1916. See Dyer and Hinterbichler 2009 for a brief review.

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