#### **CMPT 606**



# **Database Storage**

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#### **Outline**

- Database Storage Technologies
- RAID Technology
- Database File Organization
- Buffer Manager

#### **Acknowledgment**

Some slides are based on textbook slides & CMU DB Course <a href="https://15445.courses.cs.cmu.edu/fall2019/">https://15445.courses.cs.cmu.edu/fall2019/</a>

### **Course Outline – Database Inner Working**

#### Storage

- Disk Manager
- Buffer Pool Manager
- Access Methods

Query Planning and Execution

Concurrency Control

Recovery

### **Disk-Oriented Architecture**

- Disk-Oriented Architecture = primary storage location of the database is on non-volatile disk.
  - The DBMS's components manage the movement of data between Disk (non-volatile) and Memory (volatile).
- Storage Engine Design Goals
  - Allow the DBMS to manage databases that exceed the available amount of memory
  - Reading/writing to disk is expensive, so I/O must be managed carefully to avoid large waits and performance degradation

# **Database Storage Technologies**







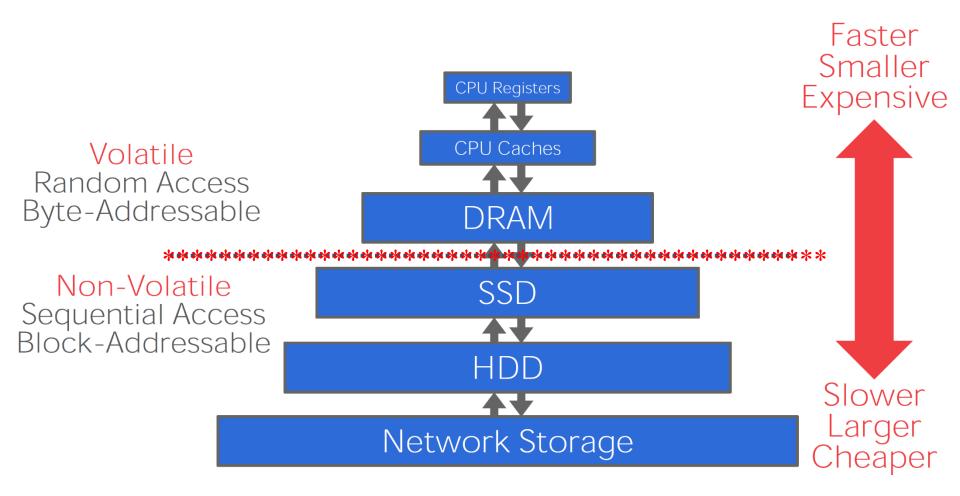
### Why study the physical level of DBMS

- Someone has to write the DBMS software and its file manager!
- Some DB systems give the database administrator a range of physical storage options
  - Intelligent use of these options can make a very significant (and user-noticeable) difference in the way the system performs.
  - To "tune" the system properly, the DBA must understand what is happening at the physical level.
- Some of the techniques and algorithms can be used to solve other problems in other contexts

### Key components of DBMS performance

- The performance of the DBMS <u>Storage Engine</u> is often the key component of overall performance.
- There are two attributes that can be optimized:
- 1. Response time defined as the time between the issuance of a command and the time that output for the command begins to be available.
- e.g. if the command is a select statement, the time until the first row of the result appears
- => we want to minimize this
- 2. Throughput the number of operations that can be completed per unit time.
- => we want to maximize this

# **Storage Hierarchy**



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New trend: **Non-volatile memory** (e.g., Intel® Optane<sup>TM</sup>)

https://www.intel.com/content/www/us/en/architecture-and-technology/intel-optane-technology.html

### **Storage Hierarchy - Primary storage**

#### Primary storage: Fastest media but volatile

- Can hold subset of a database used by current transactions.
- Volatile = data is lost when an application terminates (normally or due to a power failure or crash)

#### Cache

- Data and instructions in cache when needed by CPU.
- On-board (L1) cache on same chip as CPU, L2 cache on separate chip.
- Capacity couple of MBs, access time few nanoseconds

#### Main memory

- All active programs and data need to be in main memory.
- Capacity couple of GBs, access time 10-100 nanoseconds

#### **Storage Hierarchy - Secondary & Tertiary Storage**

- Secondary storage: non-volatile, moderately fast access time
  - Also called online storage
  - Stores the current version of entire database typically on a magnetic disk.
  - Access time in milliseconds
- Tertiary storage: non-volatile, slow access time
  - also called off-line storage often used for archiving older versions of the database
  - Large capacity, access time seconds / minutes.
  - E.g. magnetic tape, optical storage

# Large speed gap between Memory and Disk

 The large <u>speed gap</u> between Memory and Disk remains the key issue in DBMS performance.

- Time to access information in disk is the major determining factor in system performance.
- The *number of disk I/Os* (block accesses) is often used to measure the cost of a database operation.

# **Relative Daps in Access Time**



Source: <a href="https://gist.github.com/hellerbarde/2843375">https://gist.github.com/hellerbarde/2843375</a>

- Each level is thousands of times faster than the level below it.
- Dominance of I/O cost: A modern CPU can execute millions of instructions while reading a block.

### **Hard Disks**

- Secondary storage device of choice.
- Data is stored and retrieved in units called *disk blocks* or *pages* (typically 4 or 16 kilobytes)
- Unlike RAM, time to retrieve a disk page varies depending upon location on disk.
  - Reading several pages in sequence from a disk takes much less time than reading several random pages
- Therefore, relative placement of pages on disk has major impact on DBMS performance!

### What's Inside A Disk Drive?

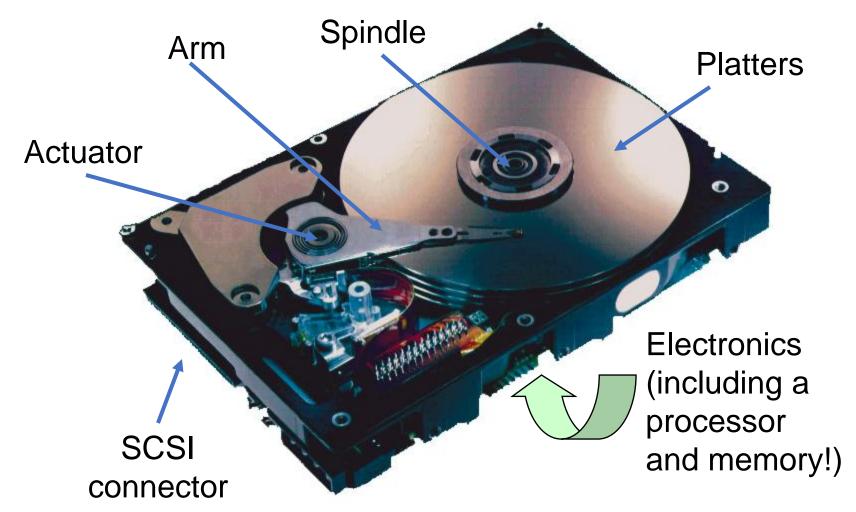
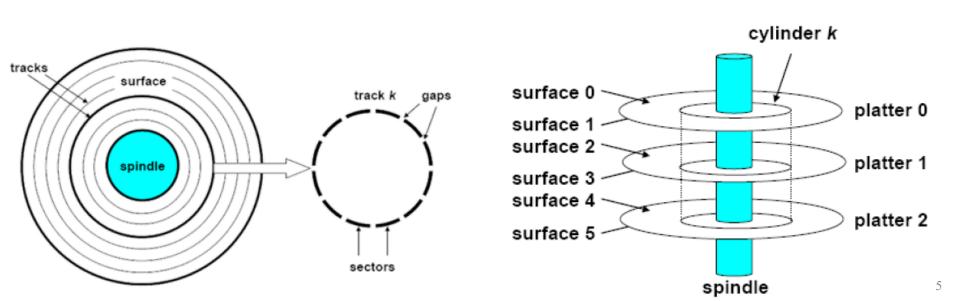


Image courtesy of Seagate Technology

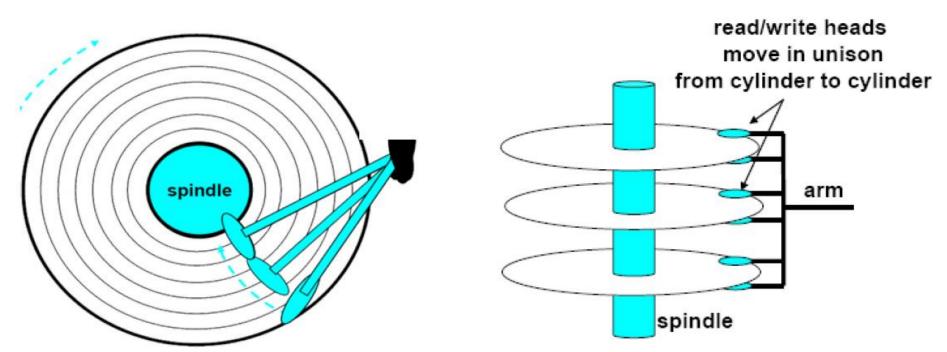
# **Disk Physical Structure**

- Disks consist of platters, each with two surfaces
- Each surface consists of concentric rings called tracks
- Each track consists of sectors separated by gaps
  - Track capacities vary typically from 4 to 50 Kbytes or more
- All tracks under heads at the same time make a *cylinder* (imaginary!).
- Only one head reads/writes at any one time.

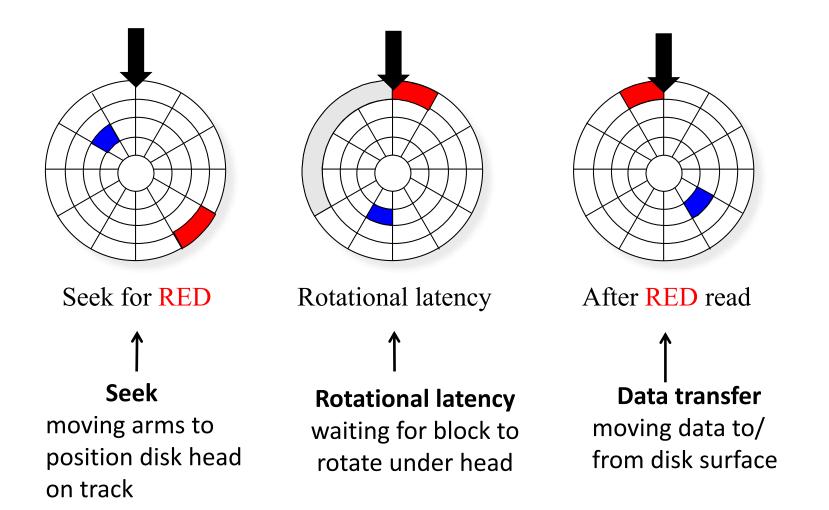


# Disk Operation (Single-Platter View)

- The disk surface spins at a fixed rotational rate
- The read/write head is attached to the end of the arm and flies over the disk surface
- By moving radially, the arm can position the read/ write head over any track



### **Disk Access – Service Time Components**



Typically about 1% of the time is actually spent on data transfer, the rest is access time.

#### **Performance Measures of Disks**

- Access time the time it takes from when a read or write request is issued to when data transfer begins.
   Consists of:
  - Seek time time it takes to reposition the arm over the correct track.
    - 4 to 10 milliseconds on typical disks
  - Rotational latency time it takes for the sector to be accessed to appear under the head.
    - 4 to 11 milliseconds on typical disks (5400 to 15000 r.p.m.)
  - Data-transfer rate the rate at which data can be retrieved from or stored to the disk.
    - 25 to 100 MB per second rate, lower for inner tracks
- Access time dominated by seek time and rotational latency.
  - Disk is about 40,000 times slower than RAM

### Disk speeds are dominated by access time

- For this reason, information on disk is always organized in Blocks – blocks are basic units of transfer and storage
  - relatively large chunks (4 or 16 kilobytes) of contiguous information that is read/written as a unit.
  - it always reads or writes the whole block containing a desired piece of information.
    - A system never reads or writes a single disk byte.
    - The block size B is fixed for each system.
    - Typical block sizes range from 4 to 16 kilobytes
- Mapping between logical blocks and actual (physical) sectors
  - Maintained by hardware/firmware device called disk controller.
  - Converts requests for logical blocks into (surface,track,sector) triples.

# **Optimization of Disk Block Access**

A major goal of the design of DBMS file systems is to minimize the time spent waiting for disk accesses. 3 ways this is done:

- 1) Store related information on the same or nearby blocks: read and write of data on *contiguous disk blocks* and eliminates seek time and rotational delay time for all but the first block transfer
- Files may get fragmented over time (if data is inserted to/deleted from the file) => reorganize the database files to speed up access
- 2) Keeping copies of recently-used information in buffers in memory, so that if the same information is needed again if can be accessed without having to go to the disk again
- 3) Parallelism spreading information across multiple disks, so that several disks can be going through the physical operations needed to access information at the same time

# **Example: reading two disk blocks**

#### Assume

- -- average seek time = 10 ms
- -- average rotational latency = 3 ms
- -- transfer time for 1 block = 0.01875 ms

### Adjacent block on same track

-- access time = 10 + 3 + 2\*(0.01875) ms = 13.0375 ms

#### Random block

-- access time = 2\*(10 + 3 + 0.01875) ms = 26.0375 ms

# **RAID Technology**



# Parallelizing Disk Access using RAID Technology

- RAID: Redundant Arrays of Independent Disks
  - an array of independent disks acting as a single higher-performance logical disk, providing:
    - high capacity and high speed by using multiple disks in parallel
      - reduce the large speed gap between disks and the memory
    - high reliability by storing data redundantly, so that data can be recovered even if a disk fails

# **RAID** goals



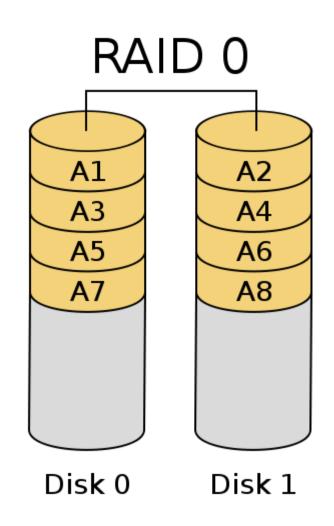
#### RAID systems seek:

- to improve throughput by a technique known as striping, in which a single file is spread over multiple disks.
  - Parallelize large accesses to reduce response time: multiple accesses to different parts of the same file can often be performed in parallel (assuming that the parts being accessed are on different disks).
- to improve reliability by replication of data, so that if a disk fails, the data it contained is available somewhere else.
  - => improve throughput for reads -if there are multiple copies of an item, then any copy can be read.
  - but creates an issue on write though since all copies must be updated.

# RAID 0 - a.k.a. Striping

- Requires two or more disks
- No lost drive space due to striping
- Fastest read and write performance.
- Raid level 0 has no redundant data and hence has the best write performance at the risk of data loss
  - Offers no data protection.
- The more disks, the more risk.

Used in high-performance applications where data loss is not critical



# **Data striping**

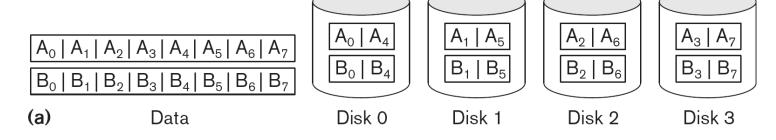
- RAID uses a concept called **data striping** = distribute blocks over *n* disks in a round robin fashion.
  - Make disks appear as a single large, fast disk.
  - Requests for different blocks can run in parallel if the blocks reside on different disks

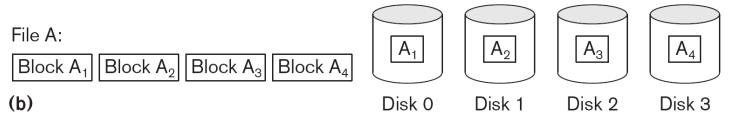
#### **Figure 17.13**

Striping of data across multiple disks.

(a) Bit-level striping across four disks.

(b) Block-level striping across four disks.

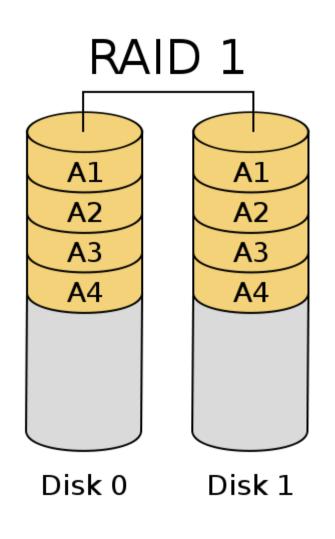




### RAID 1 - a.k.a. Mirroring

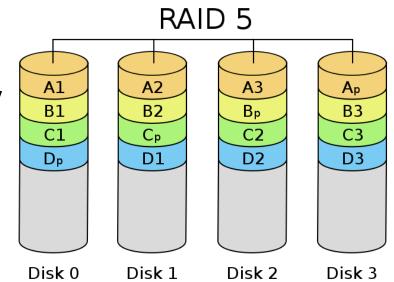
- Raid level 1 uses mirrored disks
- Write speed of one disk
- Read speed of two disks
- Capacity is equal to the size of one

Popular for applications such as storing log files in a database system.



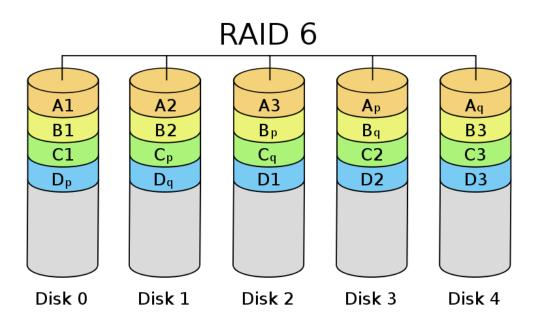
### **RAID 5 - Striping with Distributed Parity**

- Considered best compromise between speed and storage efficiency:
  - Good performance ( as blocks are striped ) but slower writes due to parity
  - Good redundancy (distributed parity)
- Requires 3 or more drives
- Stripe across all drives with parity
- Can loose 1 drive and still function
- Capacity is n-1 where n is number of drives in array



#### **RAID 6 - RAID 5 on Steroids**

- 4 or more disk
- Is a stripe with two parity drives
- Can loose two drives and still function
- Capacity is n-2 where
   n is number of drives
   in array
- Protect against up to two disk failures by using just two redundant disks



# **Comparison of Single RAID Levels**

	RAID 0	RAID 1	RAID 5	RAID 6
Diagram				
Description	Striping	Mirroring	Striping with Parity	Striping with Dual Parity
Minimum Disks	2	2	3	4
Array Capacity	No. of Drives x Drive Capacity	Drive Capacity	(No. of Drives - 1) x Drive Capacity	(No. of Drives - 2) x Drive Capacity

# **Comparison of Single RAID Levels**

	RAID 0	RAID 1	RAID 5	RAID 6
Storage Efficiency	100%	50%	(Num of drives – 1) / Num of drives	(Num of drives – 2) / Num of drives
Fault Tolerance	None	1 Drive failure	1 Drive failure	2 Drive failures
High Availability	None	Good	Good	Very Good
Degradation during <u>rebuild</u>	NA	<ul><li>Slight degradation</li><li>Rebuilds very fast</li></ul>	<ul> <li>High degradation</li> <li>Slow Rebuild (due to write penalty of parity)</li> </ul>	<ul> <li>Very High degradation</li> <li>Very Slow Rebuild (due to write penalty of dual parity)</li> </ul>

# **Understanding the Parity**

- RAID 5 and RAID 6 store parity information against data for rebuild
- Parity can be calculated using a simple XOR
- eg— "ABCDEFGHIJKL" on a 4 disk RAID 5 array

Disk 1	Disk 2	Disk 3	Disk 4
A (01000001)	B (01000010)	C (01000011)	${P - 01000000}$
Parity {P}	D	E	F
G	Parity {P}	Н	1
J	K	Parity {P}	L

- If Disk 2 fails then the data "B" can be recalculated as (01000001 XOR 01000011 XOR 01000000) => 01000010 => B
- More info @ <a href="http://www.youtube.com/watch?v=LTq4pGZtzho">http://www.youtube.com/watch?v=LTq4pGZtzho</a>

### RAID 10 a.k.a. 1+0

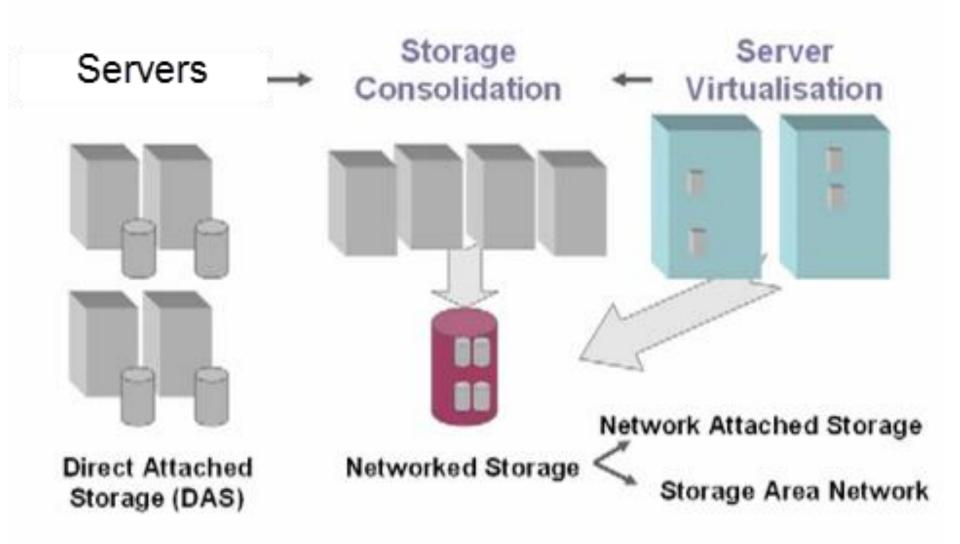
INAID TO a.K.a. Ti	RAID 1 RAID 1		
Diagram	A1		
Description	Mirroring then Striping		
Minimum Disks	Even number > 4		
Maximum Disks	Controller Dependant		
Array Capacity	(Size of Drive) * (Number of Drives ) / 2		
Storage Efficiency	50%		
Fault Tolerance	Multiple drive failure as long as 2 drives from same RAID 1 set do not fail		
High Availability	Excellent		

RAID 0

### **Choice of RAID Level**

- Factors in choosing RAID level
  - Monetary cost
  - Performance: Number of I/O operations per second, and bandwidth during normal operation
  - Performance during failure
  - Performance during rebuild of failed disk
- RAID 0 is used only when data safety is not important
  - E.g. data can be recovered quickly from other sources
- Level 6 is rarely used since levels 1 and 5 offer adequate safety for most applications

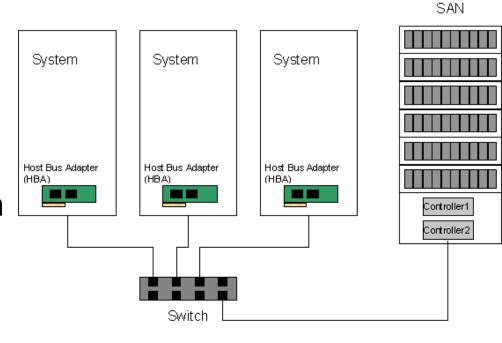
# **Networked Storage**



Source: <a href="http://www.youtube.com/watch?v=2T99tW1KEMc">http://www.youtube.com/watch?v=2T99tW1KEMc</a>

# Storage Area Network (SAN)

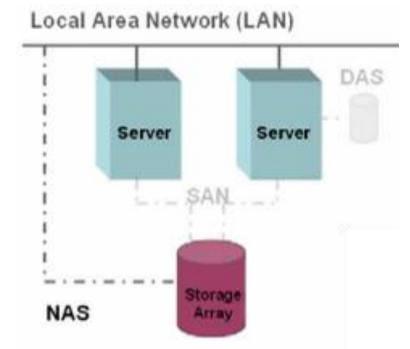
- Online storage peripherals are configured as nodes on a high-speed network and can be attached and detached from servers in a very flexible manner
- Servers see SAN as a virtual drives
- Dedicated access each part of the SAN is dedicated to each server
- Block based storage



- Storage traffic segregating from the rest of the LAN. It typically uses Fiber Channel connectivity

# **Network Attached Storage (NAS)**

- File Server optimized to serve files over the main LAN (OS dedicated to file system)
- File based storage
- Servers see NAS as a Network Share (need to map it to a drive)
- Suitable for sharing files



# **Database File Organization**



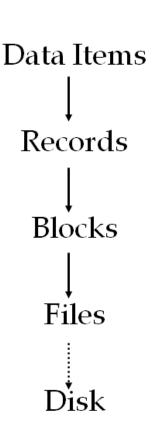
#### **Database Storage**

Database Storage addresses 2 problems:

- Problem #1:How the DBMS represents the database in files on disk.
  - File Storage
  - Page Layout
  - Record Layout
- Problem #2:How the DBMS manages its memory and efficiently move data back-andforth from disk.

## File Organization

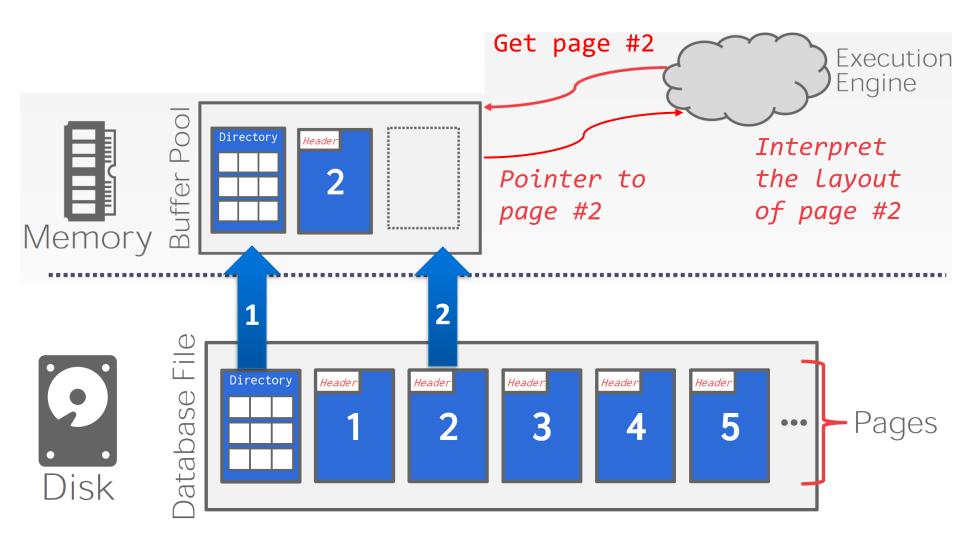
- The DBMS stores a database as one or more *files* on disk.
  - The OS doesn't know anything about the contents of these files.
- Each file has a collection of pages (aka blocks)
- Each page is a sequence of records.
- A record is a sequence of *fields*.



#### **Storage Manager**

- The storage manager is responsible for maintaining a database's files.
  - It organizes the files as a collection of pages.
  - Tracks data read/written to pages.
  - Tracks the available space.

# **How the Storage Engine Works?**

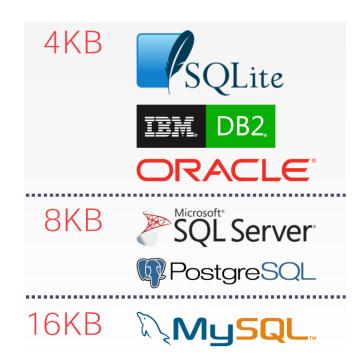


### Why NOT use the OS?

- In DBMS the OS is NOT used for moving data pages in and out of memory.
- DBMS (almost) always wants to control things itself and can do a better job at it.
  - Flushing dirty pages to disk in the correct order.
  - Specialized prefetching.
  - Buffer replacement policy.
  - Thread/process scheduling.

## **Database Pages**

- A <u>page</u> is a fixed-size block of data (4 to 16KB).
  - It can contain records, metadata, indexes, log records...
- Each page is given a unique identifier.
- The DBMS uses an indirection layer (i.e., a dictionary) to map page ids to physical locations.



#### **Heap File**

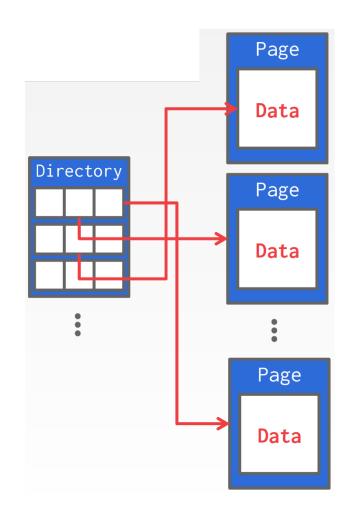
- DBMSs manage pages in files on disk in different ways:
  - Heap File Organization
  - Sequential / Sorted File Organization
  - Hashing File Organization
- A heap file is an unordered collection of pages where records are stored in random order.
   Supported operations include:
- → Create / Get / Write / Delete Page
- → Iterate over all pages
- Need meta-data to keep track of what pages exist and which ones have free space. Typically using a Page Directory

### **Heap File**

- A linear search through the file records is necessary to search for a record
  - This requires reading and searching half the file blocks on the average, and is hence quite expensive
- Record insertion is efficient as new records are inserted at the end of the file
- Reading the records in order of a particular field requires sorting the file records.
- Deleted rows create gaps in file
  - File must be periodically compacted to recover space

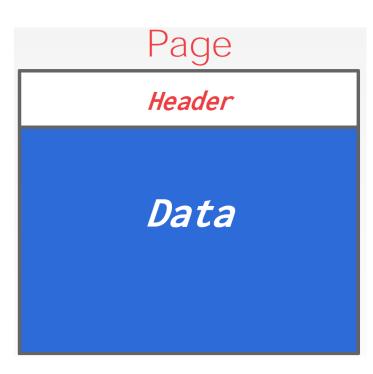
# **Heap File – Page Directory**

- The DBMS maintains special pages that tracks the location of data pages in the database files.
- The directory also records the number of free slots per page.
- The DBMS has to make sure that the directory pages are in sync with the data pages.



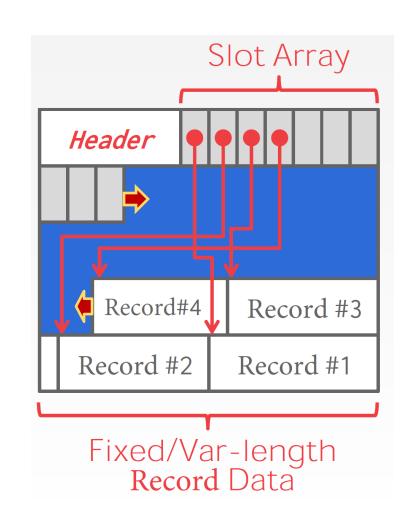
#### **Page Layout**

- Every page contains a header of meta-data about the page's contents:
  - Page Size
  - Checksum
  - DBMS Version
  - Compression Information
- The page data is organized using 3 approaches:
  - Row-oriented
  - Column-oriented
  - Log-structured



#### **Row-oriented Storage**

- A data layout that contiguously stores the values belonging to the columns that make up the entire row.
- The most common layout scheme is called slotted pages.
- The slot array maps "slots" to the records' starting position offsets.
- The header keeps track of:
  - The # of used slots
  - The offset of the starting location of the last slot used.

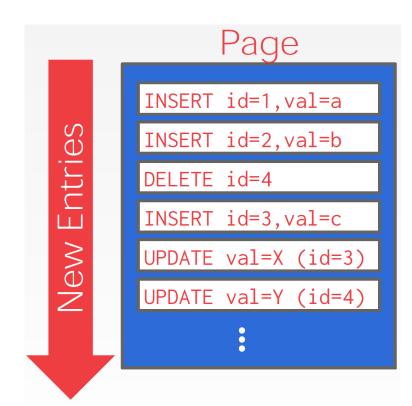


#### **Row-oriented Storage**

- Row storage model (aka "n-ary storage model")
- The DBMS stores all attributes for a single record contiguously in a page.
- Ideal for On-line Transaction Processing (OLTP)
  workloads where queries tend to operate only on
  an individual entity and insert heavy workloads.
- Advantages
  - Fast inserts, updates, and deletes.
  - Good for queries that need the entire tuple.
- Disadvantages
  - Not good for scanning large portions of the table and/or a subset of the attributes.

### Log-structured Organization

- Instead of storing records in pages, the DBMS only stores log records.
- The system appends log records to the file of how the database was modified:
  - Inserts store the entire tuple.
  - Deletes mark the tuple as deleted.
  - Updates contain the delta of just the attributes that were modified.







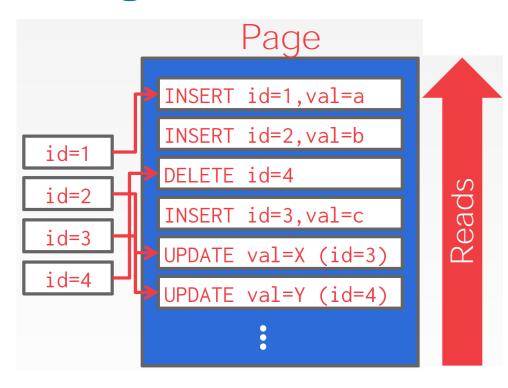


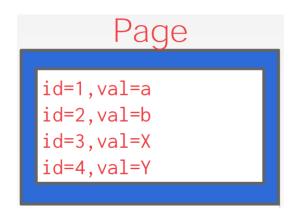


### **Log-structured Organization**

- To read a record, the DBMS scans the log backwards and "recreates" the record
- Indexes can be used to allow it to jump to locations in the log.

 Periodically compact the log to improve read performance.



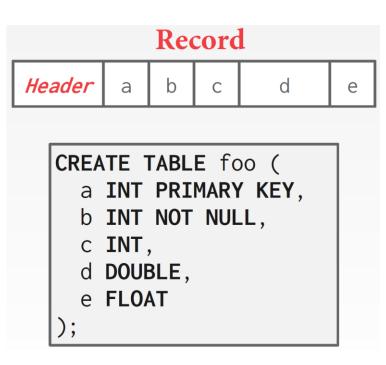


### **Record Layout**

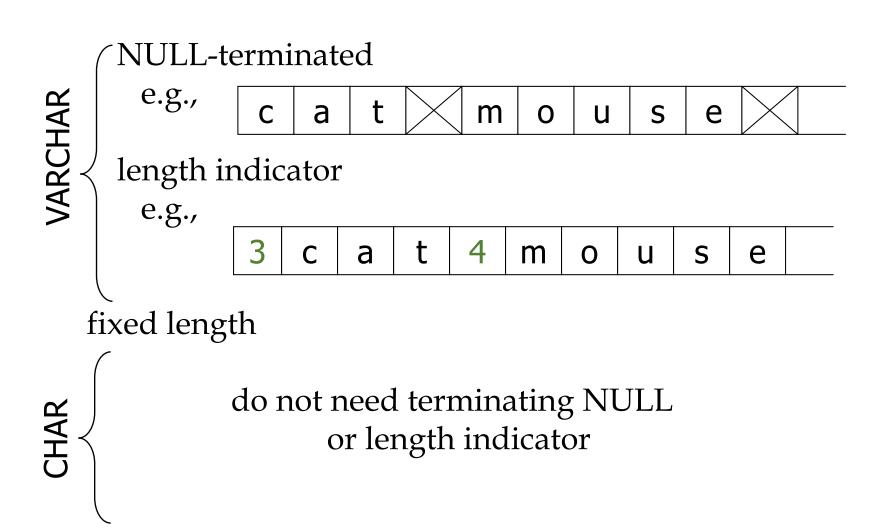
 The DBMS assigns each record a unique record identifier to keep track of individual records, commonly:

#### Record id = pageId + slot#

- A record is essentially a sequence of bytes.
- It is the job of the DBMS to interpret those bytes into attribute types and values.
- DBMS's catalog contain the schema information about tables that the system uses to figure out the record's layout.

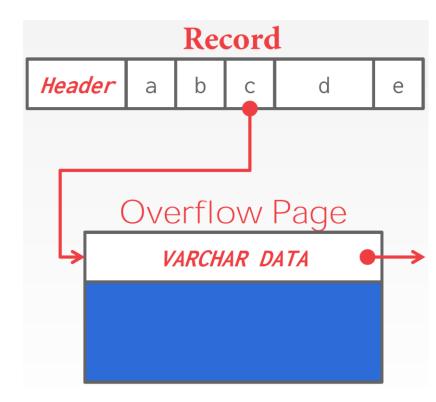


#### **Handling String of characters**



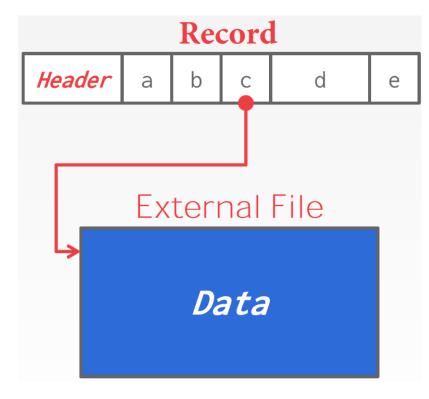
### **Storing Large Values**

- Most DBMSs don't allow a record to exceed the size of a single page.
- To store values that are larger than a page, the DBMS uses separate overflow storage pages.



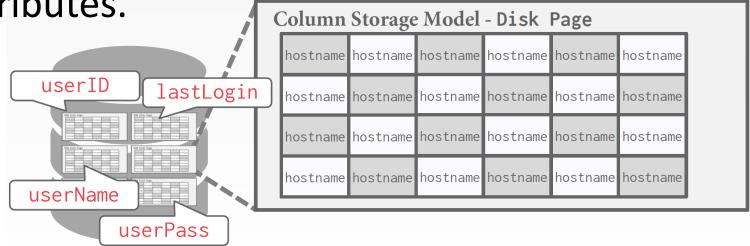
# **External Value Storage**

- Some systems allow you to store a really large value in an external file. Treated as a BLOB type.
  - Oracle: BFILE data type
  - Microsoft: FILESTREAM data type
- The DBMS cannot manipulate the contents of an external file
  - No durability protections
  - No transaction protections



# **Column Storage Model**

- The DBMS stores the values of a single column for all records contiguously in a page.
- Ideal for On-line Analytical Processing (OLAP) workloads where read-only queries perform large scans over a subset of the table's attributes.













## **Column Storage Model**

#### Advantages

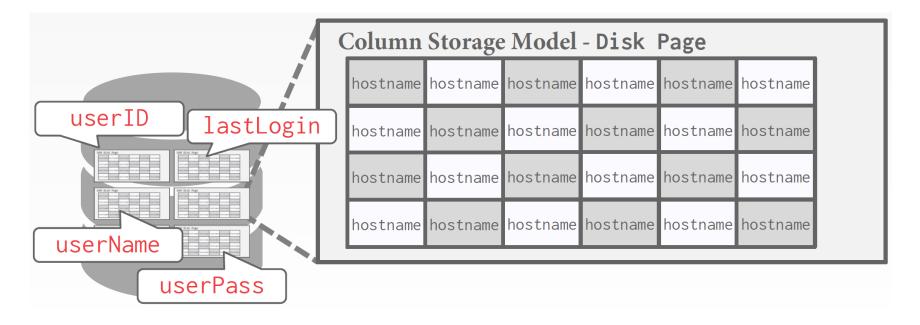
- Reduces the amount wasted I/O because the DBMS only reads the data that it needs.
- Better performing aggregation over large volumes of data. Or for queries that only need a few columns from a wide table.
- Better query processing and data compression

#### Disadvantages

- Slow for point queries returning many columns because of record stitching
- Slow inserts, updates, and deletes because of record splitting

## **Example**

```
SELECT COUNT(U.lastLogin),
EXTRACT(month FROM U.lastLogin) AS month
FROM useracct AS U
WHERE U.hostname LIKE '%.gov'
GROUP BY EXTRACT(month FROM U.lastLogin)
```



#### **Getting Columns Belonging to a Record**

To put the records back together we can use:

- Choice #1: Fixed-length Offsets
  - Each value is the same length for an attribute. Then when the system wants the attribute for a specific record, it knows how to jump to that spot in the file.
- Choice #2: Embedded Tuple Ids
  - Each value is stored with its record id in a column.

Most DBMSs use fixed-length offsets

Offsets										
	А	В	С	D						
0		ш	ш	ш						
1		ш	ш	ш						
2										
3										

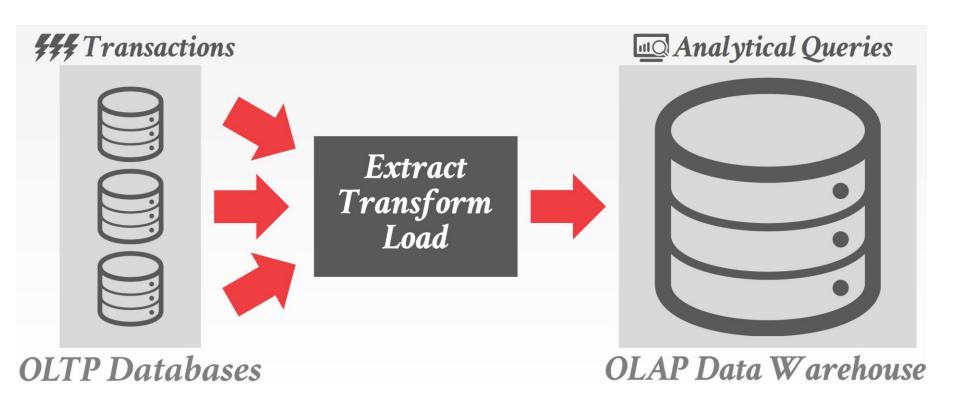
	A		В		С		D
0		0		0		0	
1		1		1		1	
2		2		2		2	
3		3		3		3	

Embedded Ids

#### **OLTP vs. OLAP**

- On-line Transaction Processing:
  - Simple queries that read/update a small amount of data that is related to a single/few entities in the database.
- On-line Analytical Processing:
  - Complex read intensive queries that read a lot of data to compute aggregates.
- The DBMS can store records in different ways that are better for either OLTP or OLAP workloads:
  - OLTP = Row Store
  - OLAP = Column Store

### **Extract Transform Load (ETL)**

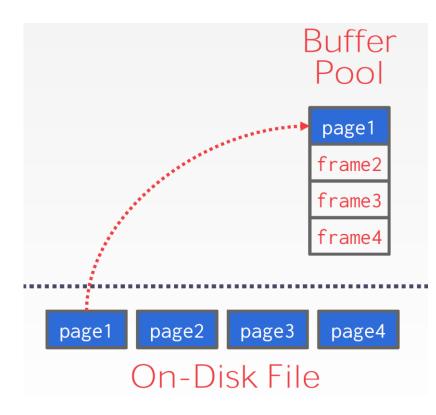


# **Buffer Manager**



#### **Buffer Pool**

- Data must be in RAM for DBMS to operate on it!
- Buffer pool is an in-memory cache of pages read from disk
- Buffer Pool = Memory region organized as an array of fixedsize pages.
  - An array entry is called a frame
- When the DBMS requests a page, a copy is placed from disk into one of these frames
- Enables the higher level DBMS components to assume that the needed data is in main memory



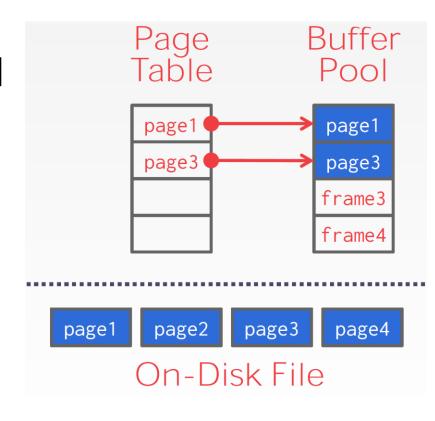
#### **Buffer Pool Manager**

Why not use the Operating System for the task??

- DBMS may be able to anticipate access patterns
- => Hence, may also be able to perform prefetching
- DBMS needs the ability to force pages to disk

#### **Buffer Pool Metadata**

- The page table keeps track of database pages that are currently in the buffer pool frames.
- Also maintains additional meta-data per page:
  - Pin Counter
  - Dirty Flag
- Page table contains:



#### **Buffer Pool Metadata**

- Pin Counter: Tracks the number of threads that are currently accessing the frame.
  - A thread has to increment the counter before they access the frame.
  - If Pin Counter > 0, then the storage manager is not allowed to evict that frame from memory.
- Dirty Flag: set to 1 when a page is modified
  - This indicates to storage manager that the page must be written back to disk

#### When a Page is Requested ...

- If requested page is not in pool:
  - If no free frame available, choose a frame for replacement
    Only frames with pin\_count == 0 are candidates!
  - If frame is not *dirty*, then simply evict it.
  - If frame is *dirty*, write it to disk to ensure that its changes are persisted.
  - Read requested page into chosen frame
- Pin the page and return its address

#### More on Buffer Management

- Requestor of page must eventually unpin it (to indicate it is no longer needed) + indicate whether page has been modified: *dirty* bit is used for this.
- Page in pool may be requested many times,
  - —A pin count is used.
  - To pin a page, pin\_count++
  - A page is a candidate for replacement if pin count == 0 ("unpinned")

### **Buffer Replacement Policies**

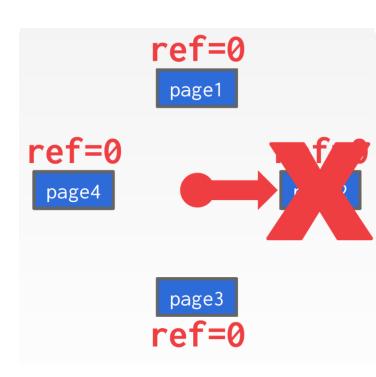
- The buffer pool provides space for a limited number of disk pages
- When the DBMS needs to free up a frame to make room for a new page, it must decide which page to evict from the buffer pool
- Frame is chosen for replacement by a replacement policy:
  - Least-recently-used (LRU)
  - LRU-K
  - Private Pool Space per Query
  - Priority hints

## **Least Recently Used (LRU) Policy**

- Least Recently Used (LRU) Policy:
  - Maintain a timestamp of when each page was last accessed.
  - When the DBMS needs to evict a page, select the one with the oldest timestamp.

#### Clock

- Approximation of LRU without needing a separate timestamp per page.
  - Each page has a reference bit
  - When a page is accessed, set to 1
- Organize the pages in a circular buffer with a "clock hand":
  - Upon sweeping, check if a page's bit is set to 1.
  - If yes, set to zero. If no, then evict
  - Clock hand remembers position between evictions

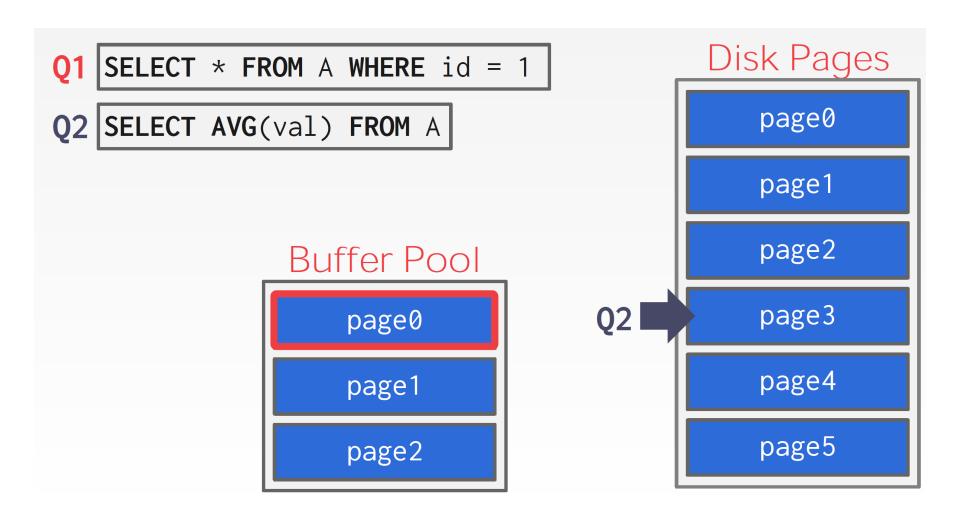


#### **LRU Problems**

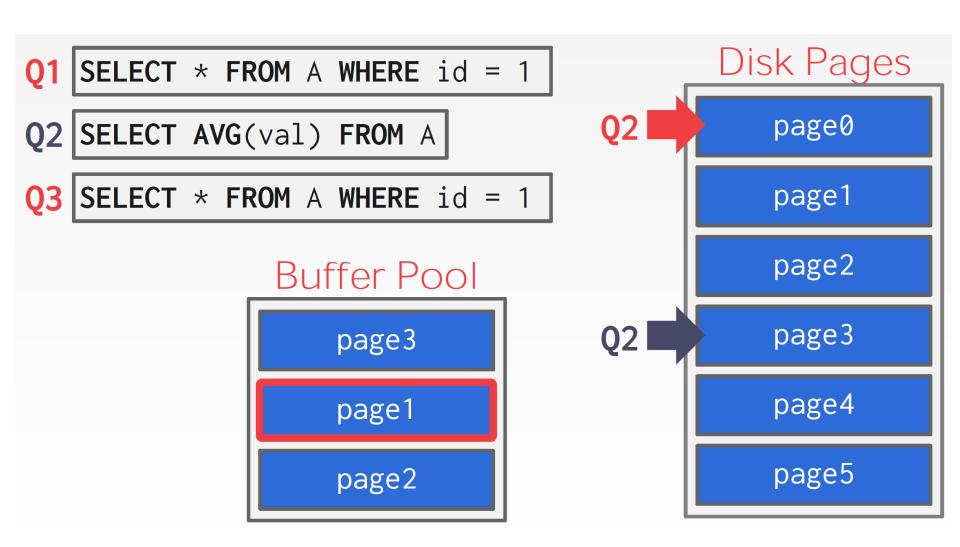
- LRU and CLOCK replacement policies are susceptible to sequential flooding
  - A query performs a sequential scan that reads every page => causing trashing the buffer pool contents due to a sequential scan
  - This pollutes the buffer pool with pages that are read once and then never again

 The most recently used page is actually the most unneeded page.

# **Sequential Flooding**



# **Sequential Flooding**



#### **LRU-K**

- Track the history of the last K references as timestamps and compute the interval between subsequent accesses
- The DBMS then uses this history to estimate the next time that page is going to be accessed

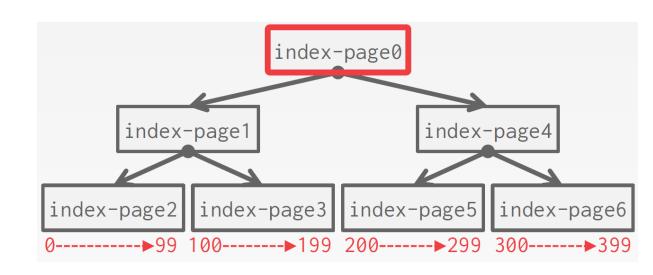
### **Private Pool Space per Query**

- The DBMS chooses which pages to evict on a per transaction/query basis. This minimizes the pollution of the buffer pool from each query.
  - Keep track of the pages that a query has accessed.

# **Priority hints**

- The DBMS knows what the context of each page during query execution
- It can provide hints to the buffer pool on whether a page is important or not

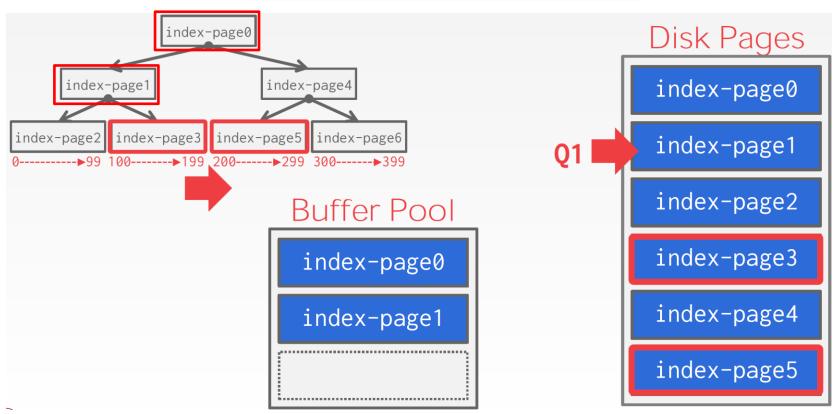
e.g., The **root** of a B+Tree index is always kept on the Buffer Pool



#### **Pre-fetching**

- The DBMS can prefetch pages based on the query plan
  - Sequential Scans
  - Index Scans





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#### **Summary**

- I/O times dominate DBMS performance
- Techniques to improve I/O time:
  - Buffer Pool manager: leverages the semantics about the query plan to make better Pre-fetching and Eviction decisions.
  - RAID Technology
  - Store related data on contiguous blocks
  - To keep good performance, the DBMS must occasionally rebuild the database files to merge in the overflow pages and reclaim unused blocks