



Language
Technologies
Institute

Carnegie
Mellon
University

Advanced NLP

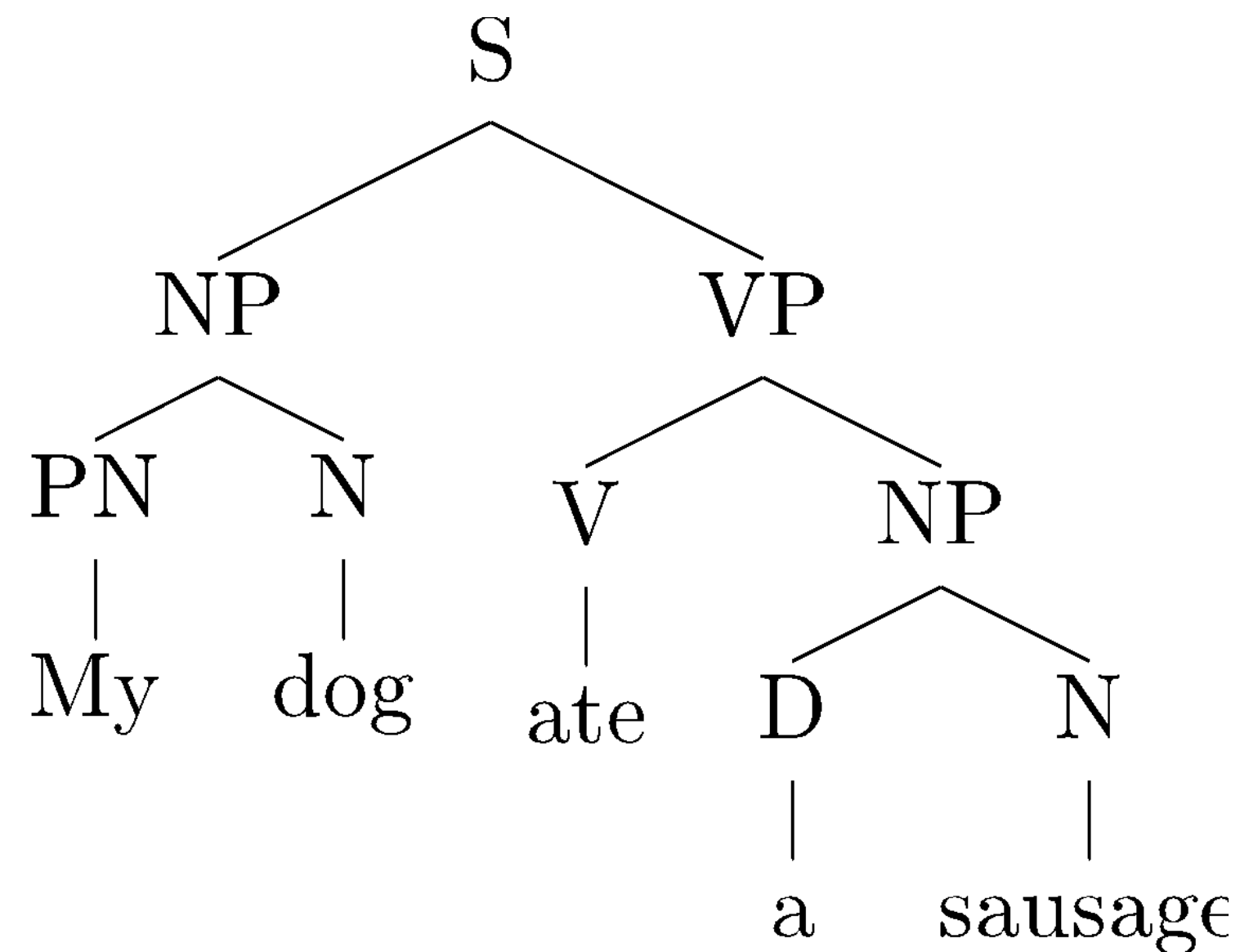
11-711 · October 2023

Syntax and parsing 2; Semantics 1

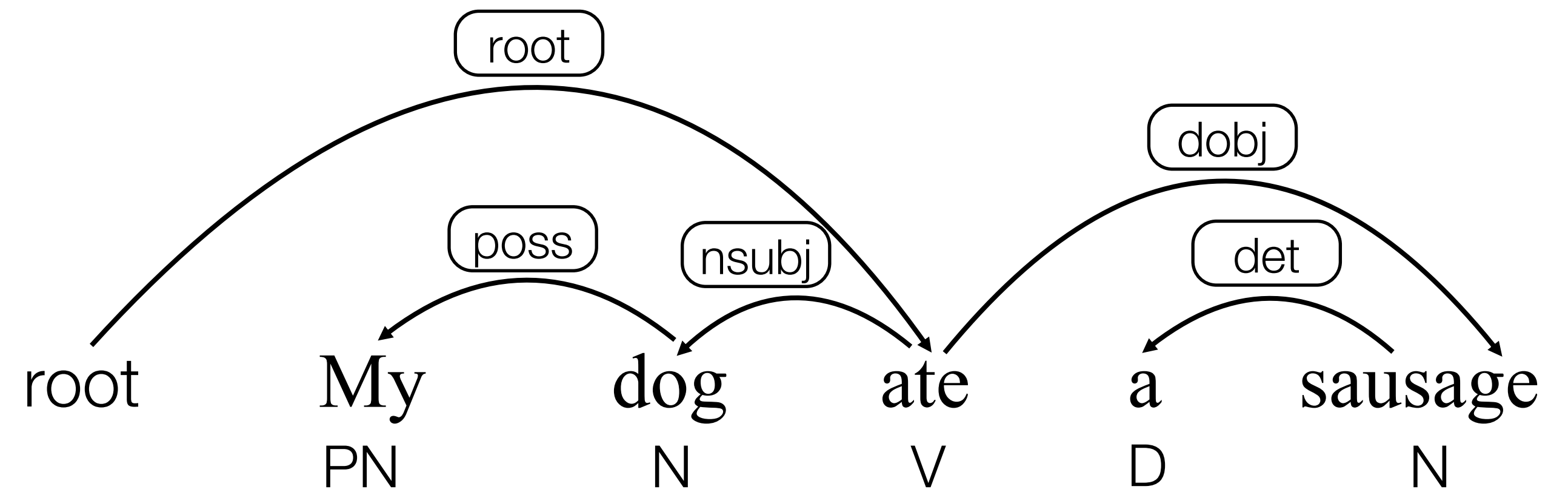
(Some slides adapted from Lori Levin, Noah Smith, and J&M)

Recap: Parsing

- The process of predicting **syntactic representations**
- Different types of syntactic representations are possible, for example:

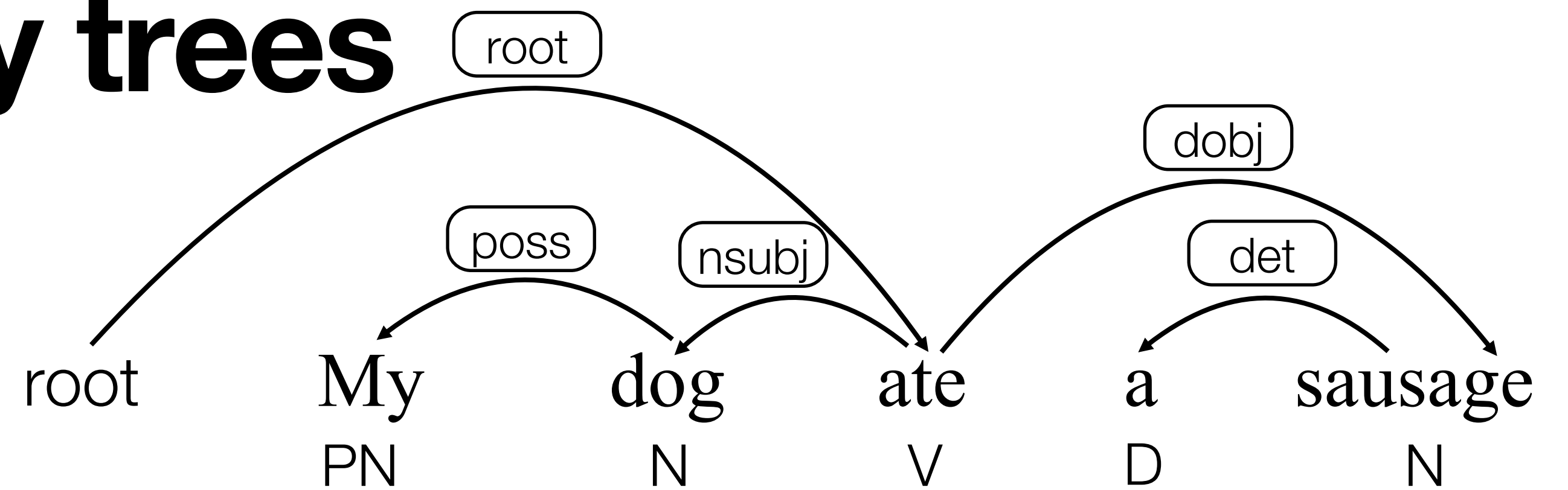


constituency (aka phrase-structure) tree



dependency tree

Recap: Dependency trees



- Nodes are words (along with part-of-speech tags)
- Directed arcs encode syntactic dependencies between words
- Labels are types of relations between words
 - **poss**: possessive
 - **dobj**: direct object
 - **nsbj**: (noun) subject
 - **det**: determiner

Dependency Parsers

Two main approaches:

- Transition-based dependency parsing
- Graph-based dependency parsing

Transition-based dependency parsing

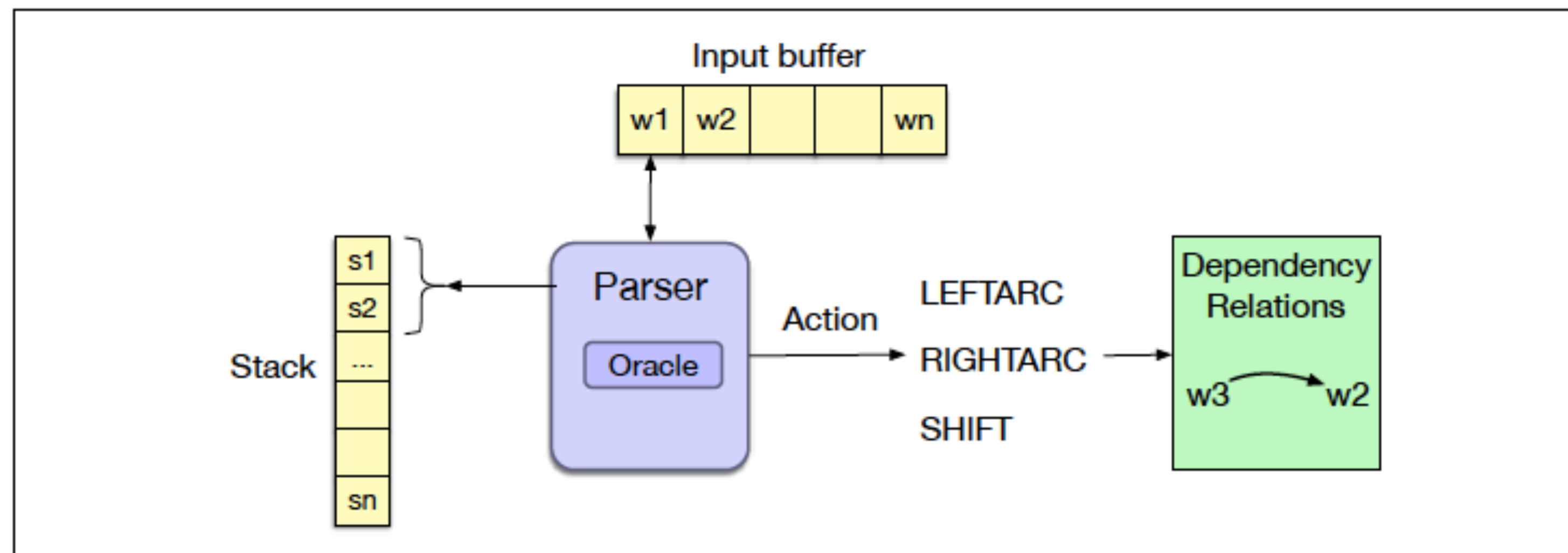
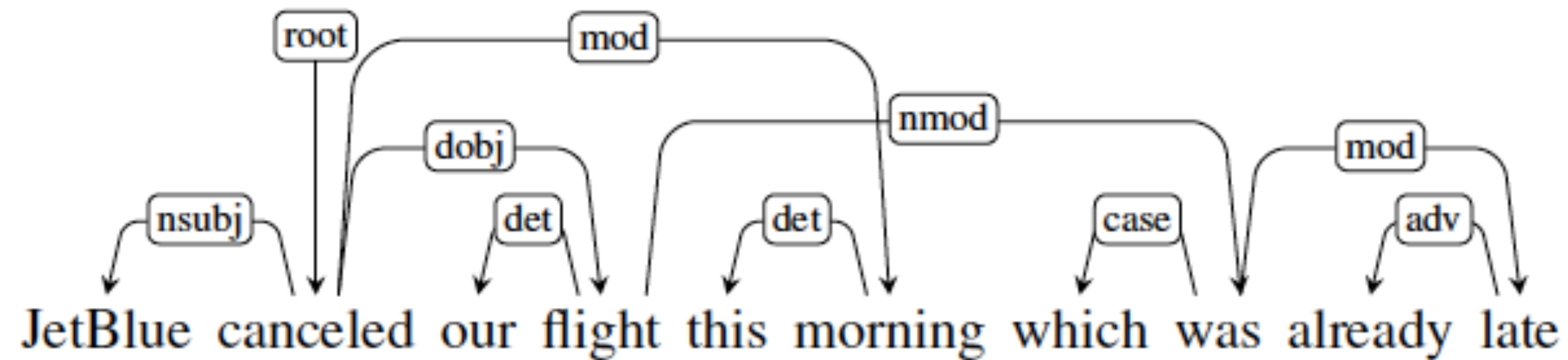


Figure 14.5 Basic transition-based parser. The parser examines the top two elements of the stack and selects an action by consulting an oracle that examines the current configuration.

- Oracle is learned from a treebank
- Complexity is linear in length of sentence
- Cannot produce non-projective parse trees

Non-projective parse tree



(14.3)

- Note crossing edges
- Not that common in English, but very common in free word order languages

Graph-based dependency parsing

- Score every edge in fully-connected graph
- Find maximum spanning tree starting at ROOT
- Scoring is learned from a treebank
- Not linear time, but can produce non-projective parse trees

Graph-based dependency parsing

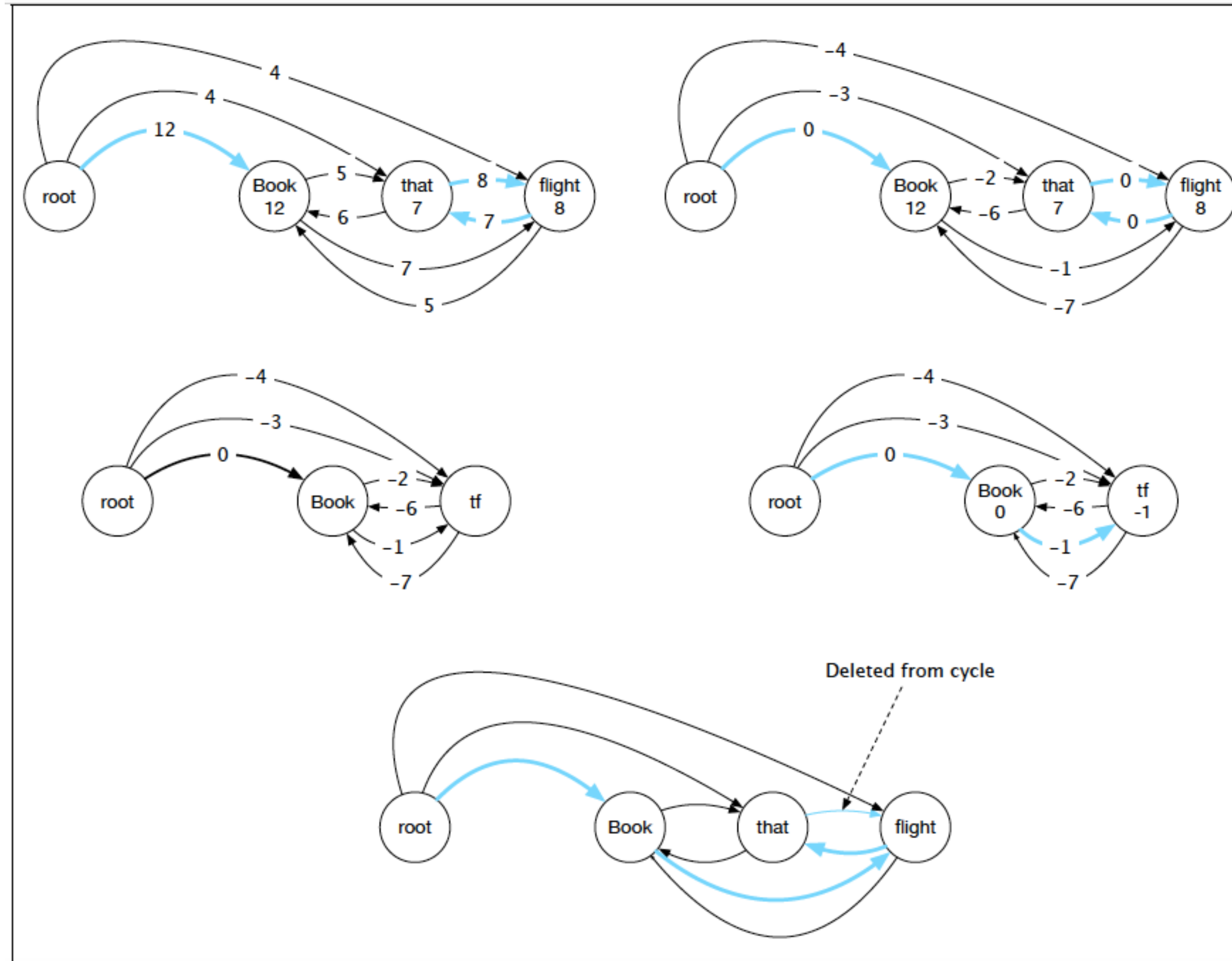


Figure 14.14 Chu-Liu-Edmonds graph-based example for *Book that flight*

Recap: Chomsky Hierarchy

- Type 3: Finite State Machines/Regular Expressions/Regular Grammars

- $A \rightarrow Bw$ or $A \rightarrow w$

- Type 2: Push Down Automata/Context Free Grammars

- $A \rightarrow \gamma$ where γ is any sequence of terminals/non-terminals

- Type 1: Linear-Bounded Automata/Context Sensitive Grammars

- $\alpha A \beta \rightarrow \alpha \gamma \beta$ where γ is not empty

- Type 0: Turing Machines/Unrestricted Grammars

- $aAb \rightarrow aab$ but $bAb \rightarrow bb$

Recap: Mildly Context-Sensitive Grammars

- We really like CFGs, but are they in fact expressive enough to capture all human grammar?
- Many approaches start with a “CF backbone”, and add registers, equations, or hacks, that are ***not*** CF.
- Several non-hack extensions (CCG, TAG, etc.) turn out to be weakly equivalent!
 - “Mildly context sensitive”
 - So CSFs get even less respect...
 - And so much for the Chomsky Hierarchy being such a big deal

Feature structures and Verb Subcategorization Frames

Review: Inflectional Morphology and syntactic agreement

- Morphology is the study of the internal structure of words.
 - **Derivational morphology.** How new words are created from existing words.
 - *[grace]*
 - *[[grace]ful]*
 - *[un[grace]ful]*
 - **Inflectional morphology.** How **features** relevant to the **syntactic context** of a word are marked on that word.
 - This example illustrates number (singular and plural) and tense (present and past).
 - Green indicates irregular. Blue indicates zero marking of inflection. Red indicates regular inflection.
 - This student walks.
 - These students walk.
 - These students walked.
 - **Compounding.** Creating new words by combining existing words
 - With or without spaces: surfboard, golf ball, blackboard

Review: Features, morphology, FSTs:

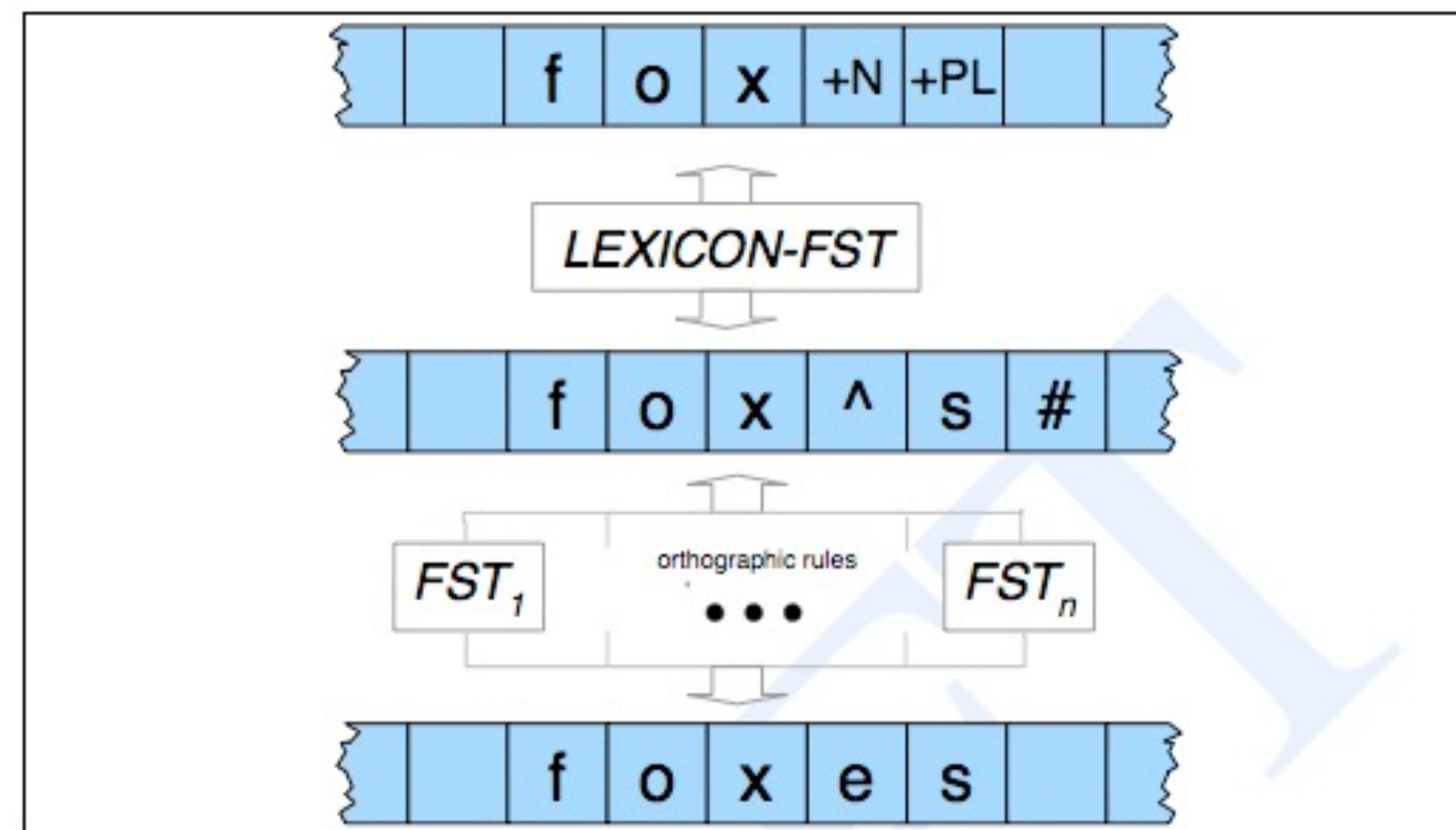


Figure 3.19 Generating or parsing with FST lexicon and rules

parse



generate

Linguistic features

- (Linguistic “features” vs. ML “features”.)
- Human languages usually include *agreement* constraints; in English, e.g., subject/verb
 - I often swim
 - **He** often swims
 - They often swim
- *Could* have a separate category for each minor type: N1s, N1p, ..., N3s, N3p, ...
 - *Each* with its own set of grammar rules!

A day without features...

- NP1s \rightarrow Det-s N1s
- NP1p \rightarrow Det-p N1p
- ...
- NP3s \rightarrow Det-s N3s
- NP3p \rightarrow Det-p N3p
- ...
- S1s \rightarrow NP1s VP1s
- S1p \rightarrow NP1p VP1p
- S3s \rightarrow NP3s VP3s
- S3p \rightarrow NP3p VP3p

Linguistic features

- *Could* have a separate category for each minor type: N1s, N1p, ... , N3s, N3p, ...
 - *Each* with its own set of grammar rules!
- Much better: represent these regularities using independent ***features***: number, gender, person, ...
- Features are typically introduced by lexicon; checked and propagated by ***constraint equations*** attached to grammar rules

Feature Structures (FSs)

Having multiple orthogonal features with values leads naturally to ***Feature Structures***:

[Det

[root: *a*]

[number: sg]]

A feature structure's values can in turn be FSs:

[NP

[agreement: [[number: sg]

[person: 3rd]]]]

Feature Path: <NP agreement person>

Adding constraints to CFG rules

- $S \rightarrow NP VP$
 $\langle NP \text{ number} \rangle = \langle VP \text{ number} \rangle$
- $NP \rightarrow Det \text{ Nominal}$
 $\langle NP \text{ head} \rangle = \langle \text{Nominal head} \rangle$
 $\langle Det \text{ head agree} \rangle = \langle \text{Nominal head agree} \rangle$

FSs from lexicon, constrs. from rules

Lexicon entry:

[Det
[root: *a*]
[number: sg]]

Rule with constraints:

NP → Det Nominal

<NP number> = <Det number>

<NP number> = <Nominal
number>

- Combine to get result:

[NP [Det
[root: *a*]
[number: sg]]
[Nominal [number: sg] ...]
[number: sg]]

Similar issue with VP types

Another place where grammar rules could explode:

Jack laughed

VP \rightarrow Verb *for many **specific** verbs*

Jack found a key

VP \rightarrow Verb NP *for many **specific** verbs*

Jack gave Sue the paper

VP \rightarrow Verb NP NP *for many **specific** verbs*

Verb Subcategorization

Verbs have sets of allowed args. Could have many sets of VP rules.
Instead, have a SUBCAT feature, marking sets of allowed arguments:

+none -- Jack laughed
+np -- Jack found a key
+np+np -- Jack gave Sue the paper
+vp:inf -- Jack wants to fly
+np+vp:inf -- Jack told the man to go
+vp:ing -- Jack keeps hoping for the best
+np+vp:ing -- Jack caught Sam looking at his desk
+np+vp:base -- Jack watched Sam look at his desk
+np+pp:to -- Jack gave the key to the man

+pp:loc -- Jack is at the store
+np+pp:loc -- Jack put the box in the corner
+pp:mot -- Jack went to the store
+np+pp:mot -- Jack took the hat to the party
+adjp -- Jack is happy
+np+adjp -- Jack kept the dinner hot
+sthat -- Jack believed that the world was flat
+sfor -- Jack hoped for the man to win a prize

50-100 possible *frames* for English; a single verb can have several.
(Notation from James Allen “Natural Language Understanding”)

Verb frames are *not* totally semantic

- It does seem to be partly lexical:

John wants to fly

John likes to fly

John likes flying

*John wants flying

- Can vary with dialect:

??The car needs washed (*only in Pittsburghese?!*)

Frames for “ask”

(in J+M notation)

| Subcat | Example |
|---------------|--|
| <i>Quo</i> | asked [<i>Quo</i> “What was it like?”] |
| <i>NP</i> | asking [<i>NP</i> a question] |
| <i>Swh</i> | asked [<i>Swh</i> what trades you’re interested in] |
| <i>Sto</i> | ask [<i>Sto</i> him to tell you] |
| <i>PP</i> | that means asking [<i>PP</i> at home] |
| <i>Vto</i> | asked [<i>Vto</i> to see a girl called Evelyn] |
| <i>NP Sif</i> | asked [<i>NP</i> him] [<i>Sif</i> whether he could make] |
| <i>NP NP</i> | asked [<i>NP</i> myself] [<i>NP</i> a question] |
| <i>NP Swh</i> | asked [<i>NP</i> him] [<i>Swh</i> why he took time off] |

Adding transitivity constraint

- $S \rightarrow NP VP$
 $\langle NP \text{ number} \rangle = \langle VP \text{ number} \rangle$
- $NP \rightarrow Det \text{ Nominal}$
 $\langle NP \text{ head} \rangle = \langle \text{Nominal head} \rangle$
 $\langle Det \text{ head agree} \rangle = \langle \text{Nominal head agree} \rangle$
- $VP \rightarrow \text{Verb } NP$
 $\langle VP \text{ head} \rangle = \langle \text{Verb head} \rangle$
 $\langle VP \text{ head subcat} \rangle = +np$ (*which means transitive*)

Applying a verb subcat feature

Lexicon entry:

[Verb
[root: *found*]
[head: find]
[subcat: +np]]

Rule with constraints:

VP → Verb NP
<VP head> = <Verb head>
<VP head subcat> = +np

- Combine to get result:

[VP [Verb
[root: *found*]
[head: find]
[subcat: +np]]
[NP ...]
[head: find [subcat: +np]]]]

Relation to LFG constraint notation

- VP → Verb NP
 <VP head> = <Verb head>
 <VP head subcat> = +np

from JM book is the same as the LFG expression

- VP → Verb NP
 (↑ head) = (↓ head)
 (↑ head subcat) = +np

Unification

- Merging FSs (and failing if not possible) is often done through ***Unification***
- Simple FS examples:

[number sg] \sqcup [number sg] = [number sg]

[number sg] \sqcup [number pl] **FAILS**

[number sg] \sqcup [number []] = [number sg]

[number sg] \sqcup [person 3rd] = [number sg,
person 3rd]

New kind of “=” sign

- Already had two meanings in programming:
 - “:=” means “*make* the left be equal to the right”
 - “==” means “the left and right *happen to be* equal”
- Now, a third meaning:
 - \sqsubset “=” means “make the left and the right *be the same thing* (from now on)” (and *fail* if not possible)
 - (Like Lisp **EQ**.)

Seems tricky. Why bother?

- Unification allows the systems that use it to handle many complex phenomena in “simple” elegant ways:
 - There seems to be a dog in the yard.
 - There seem to be dogs in the yard
- Unification makes this work smoothly.
 - Make the Subjects of the clauses EQ:
 <VP subj> = <VP COMP subj>
 [VP [subj: (1)] [COMP [subj: (1)]]]

(Ask Lori Levin for LFG details.)

Complexity

- Unification parsing is “quite expensive”.
 - NP-Complete in some versions!
- So maybe *too* powerful?
 - (like GoTo or Call-by-Name?)
 - Add restrictions to make it tractable:
 - Tomita’s Pseudo-unification (Tomabechei too)
 - Gerald Penn work on tractable HPSG: ALE

Semantic roles

and PropBank and FrameNet

- Before we talk about semantic roles, we need to talk about semantics (meaning).

Key Challenge of Meaning

- We actually **say** very little - much more is left unsaid, because it's assumed to be widely known.
- Examples:
 - Reading newspaper stories
 - Using restaurant menus
 - Learning to use a new piece of software

Meaning Representation Languages

- Symbolic representation that does two jobs:
 - Conveys the meaning of a **sentence**
 - Represents (some part of) the **world**
- We're assuming a very literal, context-independent, inference-free version of meaning!
 - Semantics vs. linguists' "pragmatics"
 - "Meaning representation" vs some philosophers' use of the term "semantics".
- For now we'll use **first-order logic**. Also called First-Order Predicate Calculus. Logical form.

Representing NL meaning

- Fortunately, there has been a lot of work on this (since Aristotle, at least)
 - Panini in India too
- Especially, *formal mathematical logic* since 1850s (!), starting with George Boole etc.
 - Wanted to replace NL proofs with something more formal
- Deep connections to set theory

Model-Theoretic Semantics

- **Model:** a simplified representation of (some part of) the world: **sets** of objects, properties, relations (**domain**).
- **Non-logical vocabulary:** like variable and function names
 - Each element **denotes** (maps to) a well-defined part of the model. (*“Grounding”*.)
 - Such a mapping is called an **interpretation**
- **Logical vocabulary:** used to *compose* larger meanings
 - like reserved words in programming languages
 - or function words in grammar

A Model

- **Domain:** Noah, Karen, Rebecca, Frederick, Green Mango, Casbah, Udipi, Thai, Mediterranean, Indian
- **Properties:** Green Mango and Udipi are crowded; Casbah is expensive
- **Relations:** Karen likes Green Mango, Frederick likes Casbah, everyone likes Udipi, Green Mango serves Thai, Casbah serves Mediterranean, and Udipi serves Indian
- $n, k, r, f, g, c, u, t, m, i$
- $\text{Crowded} = \{g, u\}$
- $\text{Expensive} = \{c\}$
- $\text{Likes} = \{(k, g), (f, c), (n, u), (k, u), (r, u), (f, u)\}$
- $\text{Serves} = \{(g, t), (c, m), (u, i)\}$

Some English

- *Karen likes Green Mango and Frederick likes Casbah.*
- *Noah and Rebecca like the same restaurants.*
- *Noah likes expensive restaurants.*
- *Not everybody likes Green Mango.*
- What we want is to be able to represent these statements in a way that lets us compare them to our model.
- **Truth-conditional semantics:** need operators and their meanings, given a particular model.

First-Order Logic

- **Terms** refer to elements of the domain: **constants**, **functions**, and **variables**
 - Noah, SpouseOf(Karen), X
- **Predicates** are used to refer to sets and relations; predicate applied to a term is a **Proposition**
 - Expensive(Casbah)
 - Serves(Casbah, Mediterranean)
- Logical connectives (**operators**):
 - \wedge (and), \vee (or), \neg (not), \Rightarrow (implies), ...
- **Quantifiers** ...

Logical operators: truth tables

| A | B | $A \wedge B$ | $A \vee B$ | $A \Rightarrow B$ |
|---|---|--------------|------------|-------------------|
| 0 | 0 | 0 | 0 | 1 |
| 0 | 1 | 0 | 1 | 1 |
| 1 | 0 | 0 | 1 | 0 |
| 1 | 1 | 1 | 1 | 1 |

- Only really need \wedge and \neg

“ $A \vee B$ ” is “ $(\neg A) \wedge (\neg B)$ ”

“ $A \Rightarrow B$ ” is “ $\neg (A \wedge \neg B)$ ” or “ $\neg A \vee B$ ”

Quantifiers in FOL

- Two ways to use variables:
 - refer to one anonymous object from the domain (**existential**; \exists ; “there exists”)
 - refer to all objects in the domain (**universal**; \forall ; “for all”)
- *A restaurant near CMU serves Indian food*
 $\exists x \text{ Restaurant}(x) \wedge \text{Near}(x, \text{CMU}) \wedge \text{Serves}(x, \text{Indian})$
- *All expensive restaurants are far from campus*
 $\forall x \text{ Restaurant}(x) \wedge \text{Expensive}(x) \Rightarrow \neg \text{Near}(x, \text{CMU})$

Inference

- Big idea: extend the knowledge base, or check some proposition against the knowledge base.
- **Forward chaining** with modus ponens: given α and $\alpha \Rightarrow \beta$, we know β .
- **Backward chaining** takes a query β and looks for propositions α and $\alpha \Rightarrow \beta$ that would prove β .
 - Not the same as backward reasoning (*abduction*).
 - Used by Prolog
- Both are sound, neither is complete by itself.

Inference example

- Starting with these facts:

Restaurant(Udipi)

$\forall x \text{ Restaurant}(x) \Rightarrow \text{Likes}(\text{Noah}, x)$

- We can “turn a crank” and get this *new* fact:

Likes(Noah, Udipi)

FOL: Meta-theory

- Well-defined set-theoretic semantics
- **Sound:** can't prove false things
- **Complete:** can prove everything that logically follows from a set of axioms (e.g., with “resolution theorem prover”)
- Well-behaved, well-understood
- Mission accomplished?

FOL: But there are also “Issues”

- “Meanings” of sentences are *truth values*.
- *Extensional* semantics (vs. *Intensional*); Closed World issue
- Only *first-order* (no quantifying over *predicates* [which the book does without comment!]).
- Not very good for “*fluents*” (time-varying things, real-valued quantities, etc.). Heard of Zeno?
- Brittle: *anything* follows from *any* contradiction(!)
- **Goedel incompleteness**: “This statement has no proof”!

FOL: But there are also “Issues”

- “Meanings” of sentences are *truth values*.
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- Only **first-order** (no quantifying over *predicates* [which the book does without comment]).
- Not very good for “*fluents*” (time-varying things, real-valued quantities, etc.)
- Brittle: **anything** follows from *any* contradiction(!)
- **Goedel incompleteness**: “This statement has no proof”!
 - (Finite axiom sets are incomplete w.r.t. the real world.)
- **So**: Most systems use the FOL **descriptive** apparatus (with extensions) but not its **inference** mechanisms.

Lots More To Say About MRLs!

- See chapter 17 for more about:
 - Representing events and states in FOL
 - Dealing with optional arguments (e.g., “eat”)
 - Representing time
 - Non-FOL approaches to meaning
- Interest in this topic (in NLP) waned during the 1990s and early 2000s.
 - It has come back, with the rise of semi-structured databases like Wikipedia.

Semantic roles

and PropBank and FrameNet

Semantic Cases/Thematic Roles

- Developed in late 1960's and 1970's (Fillmore and others)
- Postulate a limited set of abstract **semantic relationships** between a verb & its arguments: thematic roles or case roles
- Part of the verb's (**predicate's**) semantics

Verbs' subcat frames and roles change together

- *John broke the window with a hammer.*
- *The hammer broke the window.*
- *The window broke.*
- *John broke the window when Bill threw him into it.*

Related problem: Mismatch between FOPC and linguistic arguments

- *John broke the window with a hammer.*
 - Broke(j,w,h)
- *The hammer broke the window.*
 - Broke(h,w)
- *The window broke.*
 - Broke(w)
- Relationship between 1st argument and the predicate is implicit, inaccessible to the system

Thematic Role example

- *John broke the window with the hammer*
- *John*: AGENT role
window: THEME role
hammer: INSTRUMENT role
- Extend LF notation to explicitly use semantic roles

Thematic Roles

- Is there a precise way to define meaning of AGENT, THEME, etc.?
- By definition:
 - “The AGENT is an instigator of the action described by the sentence.”
- Testing via sentence rewrite:
 - *John intentionally broke the window*
 - **The hammer intentionally broke the window*

Thematic Roles [2]

- THEME
 - Describes the primary object undergoing some change or being acted upon
 - For transitive verb X, “what was Xed?”
 - *The gray eagle saw the mouse*
“What was seen?” (A: the mouse)
- (Also called “PATIENT”)

Can We Generalize?

- **Thematic roles** describe general patterns of participants in generic events.
- This gives us a kind of shallow, partial semantic representation.
- First proposed by Panini, before 400 BC!

Thematic Roles

| <i>Role</i> | <i>Definition</i> | <i>Example</i> |
|-------------|--------------------------------------|---|
| Agent | Volitional causer of the event | The waiter spilled the soup. |
| Force | Non-volitional causer of the event | The wind blew the leaves around. |
| Experiencer | | Mary has a headache. |
| Theme | Most directly affected participant | Mary swallowed the pill . |
| Result | End-product of an event | We constructed a new building . |
| Content | Proposition of a propositional event | Mary knows you hate her . |
| Instrument | | You shot her with a pistol . |
| Beneficiary | | I made you a reservation. |
| Source | Origin of a transferred thing | I flew in from Pittsburgh . |
| Goal | Destination of a transferred thing | Go to hell ! |

Thematic Roles

| Patient | Role | Definition | Example |
|---------|-------------|--------------------------------------|---|
| | Agent | Volitional causer of the event | The waiter spilled the soup. |
| | Force | Non-volitional causer of the event | The wind blew the leaves around. |
| | Experiencer | | Mary has a headache. |
| | Theme | Most directly affected participant | Mary swallowed the pill . |
| | Result | End-product of an event | We constructed a new building . |
| | Content | Proposition of a propositional event | Mary knows you hate her . |
| | Instrument | | You shot her with a pistol . |
| | Beneficiary | | I made you a reservation. |
| | Source | Origin of a transferred thing | I flew in from Pittsburgh . |
| | Goal | Destination of a transferred thing | Go to hell ! |

Dumb joke!

Review: Verb Subcategorization

Verbs have sets of allowed args. Could have many sets of VP rules.
Instead, have a SUBCAT feature, marking sets of allowed arguments:

| | |
|---|---|
| +none -- Jack laughed | +pp:loc -- Jack is at the store |
| +np -- Jack found a key | +np+pp:loc -- Jack put the box in the corner |
| +np+np -- Jack gave Sue the paper | +pp:mot -- Jack went to the store |
| +vp:inf -- Jack wants to fly | +np+pp:mot -- Jack took the hat to the party |
| +np+vp:inf -- Jack told the man to go | +adjp -- Jack is happy |
| +vp:ing -- Jack keeps hoping for the best | +np+adjp -- Jack kept the dinner hot |
| +np+vp:ing -- Jack caught Sam looking at his desk | +sthat -- Jack believed that the world was flat |
| +np+vp:base -- Jack watched Sam look at his desk | +sfor -- Jack hoped for the man to win a prize |
| +np+pp:to -- Jack gave the key to the man | |

50-100 possible ***frames*** for English; a single verb can have several.
(Notation from James Allen “Natural Language Understanding”)

Thematic Grid or Case Frame

- Example: break
 - The child broke the vase.

| | | | | |
|---|-------|--|-------|---|
| < | agent | | theme | > |
| | subj | | obj | |
 - The child broke the vase with a hammer.

| | | | | | | |
|---|-------|--|-------|--|-------|---|
| < | agent | | theme | | instr | > |
| | subj | | obj | | PP | |
 - The hammer broke the vase.

| | | | | |
|---|-------|--|-------|---|
| < | theme | | instr | > |
| | obj | | subj | |
 - The vase broke.

| | | |
|---|-------|---|
| < | theme | > |
| | subj | |

Thematic Grid or Case Frame

- Example: break

- The child broke the vase. < agent theme >
 subj obj

- The child broke the vase with a hammer.

< agent theme instr >
 subj obj PP

- The hammer broke the vase. < theme instr >
 obj subj

- The vase broke. < theme >
 subj

The Thematic Grid or Case Frame shows

- How many arguments the verb has
- What roles the arguments have
- Where to find each argument
 - For example, you can find the agent in the subject position

Diathesis Alternation:

a change in the number of arguments or the grammatical relations associated with each argument

- Chris gave a book to Dana.
agent theme goal
subj obj PP
- A book was given to Dana by Chris.
agent theme goal
PP subj PP
- Chris gave Dana a book.
agent theme goal
subj obj2 obj
- Dana was given a book by Chris.
agent theme goal
PP obj subj

The Trouble With Thematic Roles

- They are not formally defined.
- Some roles generalize well, but not all.
- General roles are overly general:
 - “*agent verb theme with instrument*” and “*instrument verb theme*” ...
 - The cook opened the jar with the new gadget.
→ The new gadget opened the jar.
 - Susan ate the sliced banana with a fork.
→ #The fork ate the sliced banana.

Two Datasets

- Proposition Bank (**PropBank**): verb-specific thematic roles
- **FrameNet**: “frame”-specific thematic roles
- These are **both** lexicons containing case frames/thematic grids for each verb.

Proposition Bank (PropBank)

- A set of **verb-sense-specific** “frames” with informal English glosses describing the roles
- Conventions for labeling optional modifier roles
- Penn Treebank is labeled with those verb-sense-specific semantic roles.

“Agree” in PropBank

- **arg0**: agreeer
- **arg1**: proposition
- **arg2**: other entity agreeing
- The **group** agreed **it wouldn't make an offer**.
- Usually **John** agrees with **Mary** on **everything**.
- arg0 is proto-agent, arg1 proto-patient

“Fall (move downward)” in PropBank

- **arg1**: logical subject, patient, thing falling
- **arg2**: extent, amount fallen
- **arg3**: starting point
- **arg4**: ending point
- **argM-loc**: medium
- **Sales** fell to **\$251.2 million** from **\$278.8 million**.
- The average **junk bond** fell **by 4.2%**.
- The **meteor** fell through **the atmosphere**, crashing into Cambridge.

FrameNet

- FrameNet is similar, but abstracts from specific verbs, so that semantic **frames** are first-class citizens.
- For example, there is a single frame called **change_position_on_a_scale**.

change_position_on_a_scale

| Core Roles | |
|---------------------|--|
| ATTRIBUTE | The ATTRIBUTE is a scalar property that the ITEM possesses. |
| DIFFERENCE | The distance by which an ITEM changes its position on the scale. |
| FINAL_STATE | A description that presents the ITEM's state after the change in the ATTRIBUTE's value as an independent predication. |
| FINAL_VALUE | The position on the scale where the Item ends up. |
| INITIAL_STATE | A description that presents the ITEM's state before the change in the ATTRIBUTE's value as an independent predication. |
| INITIAL_VALUE | The initial position on the scale from which the ITEM moves away. |
| ITEM | The entity that has a position on the scale. |
| VALUE_RANGE | A portion of the scale, typically identified by its end points, along which the values of the ATTRIBUTE fluctuate. |
| Some Non-Core Roles | |
| DURATION | The length of time over which the change takes place. |
| SPEED | The rate of change of the VALUE. |
| GROUP | The GROUP in which an ITEM changes the value of an ATTRIBUTE in a specified way. |

Oil **rose** in price by 2%

It has **increased** to having them 1 day a month.

Microsoft shares **fell** to 7 5/8.

Colon cancer incidence **fell** by 50% among men.

Many words, not just verbs, share the same frame:

Verbs: advance, climb, decline, decrease, diminish, dip, double, drop, dwindle, edge, explode, fall, fluctuate, gain, grow, increase, jump, move, mushroom, plummet, reach, rise, rocket, shift, skyrocket, slide, soar, swell, swing, triple, tumble

Nouns: decline, decrease, escalation, explosion, fall, fluctuation, gain, growth, hike, increase, rise, shift, tumble

Adverb: increasingly

Conversely, one word has many frames

Example: rise

- **Change-position-on-a-scale:** Oil ROSE in price by two percent.
- **Change-posture:** a **protagonist** changes the overall position or posture of a body.
 - **Source:** starting point of the change of posture.
 - **Charles** ROSE **from his armchair**.
- **Get-up:** A **Protagonist** leaves the place where they have slept, their **Bed**, to begin or resume domestic, professional, or other activities. Getting up is distinct from Waking up, which is concerned only with the transition from the sleeping state to a wakeful state.
 - **I** ROSE from **bed**, threw on a pair of camouflage shorts and drove my little Toyota Corolla to a construction clearing a few miles away.
- **Motion-directional:** In this frame a **Theme** moves in a certain **Direction** which is often determined by gravity or other natural, physical forces. The Theme is not necessarily a self-mover.
 - **The balloon** ROSE **upward**.
- **Sidereal-appearance:** An **Astronomical_entity** comes into view above the horizon as part of a regular, periodic process of (apparent) motion of the **Astronomical_entity** across the sky. In the case of the sun, the appearance begins the day.
 - At the time of the new moon, **the moon** RISES at about the same time the sun rises, and it sets at about the same time the sun sets.

Each day **the sun's** RISE offers us a new day.

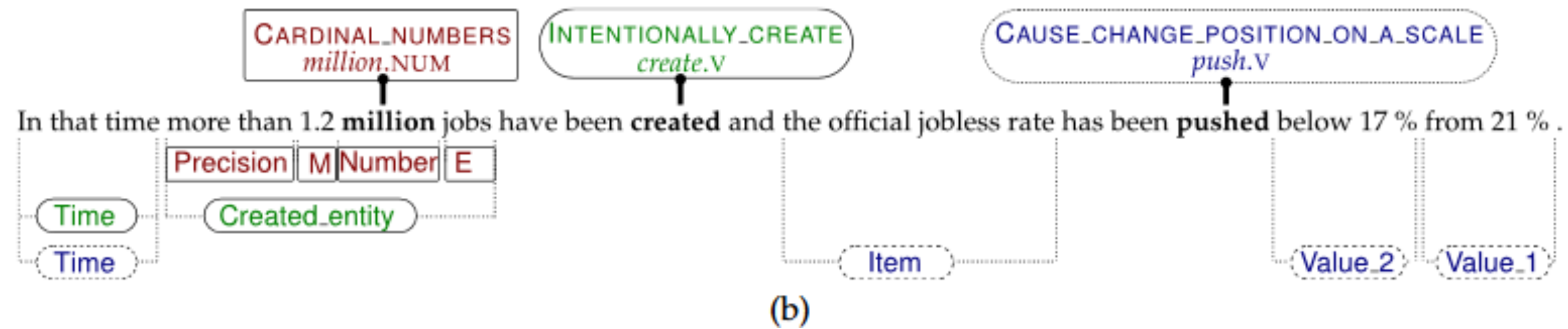
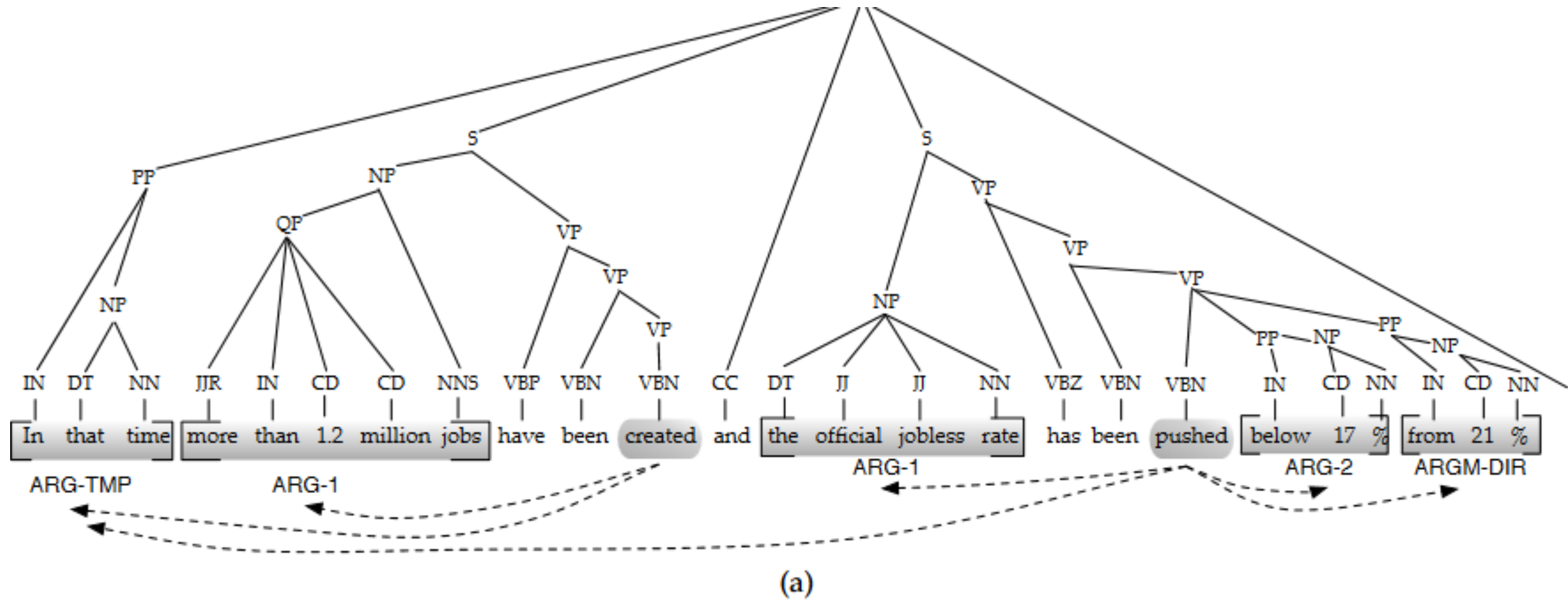
FrameNet

- Frames are not just for verbs!
- **Verbs:** advance, climb, decline, decrease, diminish, dip, double, drop, dwindle, edge, explode, fall, fluctuate, gain, grow, increase, jump, move, mushroom, plummet, reach, rise, rocket, shift, skyrocket, slide, soar, swell, swing, triple, tumble
- **Nouns:** decline, decrease, escalation, explosion, fall, fluctuation, gain, growth, hike, increase, rise, shift, tumble
- **Adverb:** increasingly

FrameNet

- Includes inheritance and causation relationships among frames.
- Examples included, but little fully-annotated corpus data.

PropBank vs FrameNet



SemLink

- It would be really useful if these different resources were interconnected in a useful way.
- SemLink project is (was?) trying to do that
- Unified Verb Index (UVI) connects
 - PropBank
 - VerbNet
 - FrameNet
 - WordNet/OntoNotes

Semantic Role Labeling

- Input: sentence
- Output: for each **predicate***, labeled spans identifying each of its **arguments**.
- Example:

[agent The batter] hit [patient the ball] [time yesterday]
- Somewhere between syntactic parsing and full-fledged compositional semantics.

***Predicates** are sometimes identified in the input, sometimes not.

But wait. How is this different from dependency parsing?

- Semantic role labeling
 - [agent The batter] hit [patient the ball] [time yesterday]
- Dependency parsing
 - [subj The batter] hit [obj the ball] [mod yesterday]

But wait. How is this different from dependency parsing?

- Semantic role labeling
 - [**agent** The batter] hit [**patient** the ball] [**time** yesterday]
 - Dependency parsing
 - [**subj** The batter] hit [**obj** the ball] [**mod** yesterday]
- These are not the same task.
- Semantic role labeling is much harder.

Subject vs agent

- **Subject** is a grammatical relation
- **Agent** is a semantic role
- In English, a **subject** has these properties
 - It comes before the verb
 - If it is a pronoun, it is in nominative case (in a finite clause)
 - I/he/she/we/they hit the ball.
 - *Me/him/her/us/them hit the ball.
 - If the verb is in present tense, it agrees with the subject
 - She/he/it hits the ball.
 - I/we/they hit the ball.
 - *She/he/it hit the ball.
 - *I/we/they hits the ball.
 - I hit the ball.
 - I hit the balls.

Subject vs agent

- In the most **typical** sentences (for some definition of “typical”), the **agent** is the **subject**:
 - The batter hit the ball.
 - Chris opened the door.
 - The teacher gave books to the students.
- Sometimes the **agent** is **not** the subject:
 - The ball was hit by the batter.
 - The balls were hit by the batter.
- Sometimes the **subject** is **not** the agent:
 - The door opened.
 - The key opened the door.
 - The students were given books.
 - Books were given to the students.

Semantic Role Labeling

- Input: sentence
- Output: segmentation into roles, with labels
- Example from J&M II book:
 - [arg0 The Examiner] issued [arg1 a special edition] [argM-tmp yesterday]
 - (In Propbank notation, arg0 is proto-agent, arg1 is proto-patient.)