#### CS11-711 Advanced NLP

## Text-based Question Answering

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Site <a href="https://cmu-anlp.github.io/">https://cmu-anlp.github.io/</a>

### What is Text-based QA?

- Read a passage, try to answer questions about that passage (also called "machine reading")
- Contrast to knowledge-base QA, need to match to unstructured data source.

Who was the oldest US president to take office?

Textbased QA Donald Trump and vice president Mike Pence in the general election. Biden is the oldest elected president, the first from Delaware, and the second Catholic. His early presidential activity centered around proposing, lobbying for, and

#### Knowledgebase QA

#. \$	President \$	Born \$	Age at start of presidency	Age at end of presidency \$	Post-presidency timespan
46	Joe Biden	Nov 20, 1942	78 years, 61 days Jan 20, 2021	(incumbent)	(incumbent)
45	Donald Trump	Jun 14, 1946	70 years, 220 days Jan 20, 2017	74 years, 220 days Jan 20, 2021	68 days
40	Ronald Reagan	Feb 6, 1911	69 years, 349 days Jan 20, 1981	77 years, 349 days Jan 20. 1989	15 years, 137 days

## QA Tasks

## Machine Reading Question Answering Formats

- Multiple choice question
- Span selection
- Cloze (fill-in-the-blank) style
- (Information extraction)

## Multiple-choice Question Tasks

- MCTest (Richardson et al. 2013): 500 passages 2000 questions about simple stories
- RACE (Lai et al. 2017): 28,000 passages 100,000 questions from English comprehension tests

James the Turtle was always getting in trouble. Sometimes he'd reach into the freezer and empty out all the food. Other times he'd sled on the deck and get a splinter. His aunt Jane tried as hard as she could to keep him out of trouble, but he was sneaky and got into lots of trouble behind her back.

One day, James thought he would go into town and see what kind of trouble he could get into. He went to the grocery store and pulled all the pudding off the shelves and ate two jars. Then he walked to the fast food restaurant and ordered 15 bags of fries. He didn't pay, and instead headed home.

His aunt was waiting for him in his room. She told James that she loved him, but he would have to start acting like a well-behaved turtle.

After about a month, and after getting into lots of trouble, James finally made up his mind to be a better turtle.

- 1) What is the name of the trouble making turtle?
- A) Fries
- B) Pudding
- C) James
- D) Jane
- 2) What did James pull off of the shelves in the grocery store?
- A) pudding
- B) fries
- C) food
- D) splinters
- 3) Where did James go after he went to the grocery store?
- A) his deck
- B) his freezer
- C) a fast food restaurant
- D) his room
- 4) What did James do after he ordered the fries?
- A) went to the grocery store
- B) went home without paying
- C) ate them
- D) made up his mind to be a better turtle

## Span Selection

- SQuAD (Rajpurkar et al. 2016): 500 passages 100,000 questions on Wikipedia text
- TriviaQA (Joshi et al. 2017): 95k questions, 650k evidence documents (distant supervision)

In meteorology, precipitation is any product of the condensation of atmospheric water vapor that falls under gravity. The main forms of precipitation include drizzle, rain, sleet, snow, graupel and hail... Precipitation forms as smaller droplets coalesce via collision with other rain drops or ice crystals within a cloud. Short, intense periods of rain in scattered locations are called "showers".

What causes precipitation to fall? gravity

What is another main form of precipitation besides drizzle, rain, snow, sleet and hail? graupel

Where do water droplets collide with ice crystals to form precipitation?

within a cloud

### Cloze Questions

 CNN/Daily Mail dataset: Created from summaries of articles, have to guess the entity

Original Version	Anonymised Version
Context	
The BBC producer allegedly struck by Jeremy	the ent381 producer allegedly struck by ent212 will
Clarkson will not press charges against the "Top	not press charges against the "ent153" host, his
Gear" host, his lawyer said Friday. Clarkson, who	lawyer said friday. ent212, who hosted one of the
hosted one of the most-watched television shows	most - watched television shows in the world, was
in the world, was dropped by the BBC Wednesday	dropped by the ent381 wednesday after an internal
after an internal investigation by the British broad-	investigation by the ent180 broadcaster found he
caster found he had subjected producer Oisin Tymon	had subjected producer ent193 " to an unprovoked
"to an unprovoked physical and verbal attack."	physical and verbal attack . "
Query	
Producer X will not press charges against Jeremy	producer X will not press charges against ent212,
Clarkson, his lawyer says.	his lawyer says.
Answer	
Oisin Tymon	ent193

Entities anonymized to prevent co-occurance clues

### Generative QA

- Generate an output, not constrained
- NarrativeQA: Generate an answer based on a story (Kočiský et al. 2018)
- Similarities to query-based summarization
- Evaluation difficult -- NLG metrics (BLEU/ROUGE) or retrieval metrics (MRR)

Title: Ghostbusters II

**Question:** How is Oscar related to Dana?

**Answer:** her son

Summary snippet: ...Peter's former girlfriend

Dana Barrett has had a son, Oscar...

**Story snippet:** 

DANA (setting the wheel brakes on the buggy)
Thank you, Frank. I'll get the hang of this eventually.

She continues digging in her purse while Frank leans over the buggy and makes funny faces at the baby, OSCAR, a very cute nine-month old boy.

FRANK (to the baby)
Hiya, Oscar. What do you say, slugger?

FRANK (to Dana)

That's a good-looking kid you got there, Ms. Barrett.

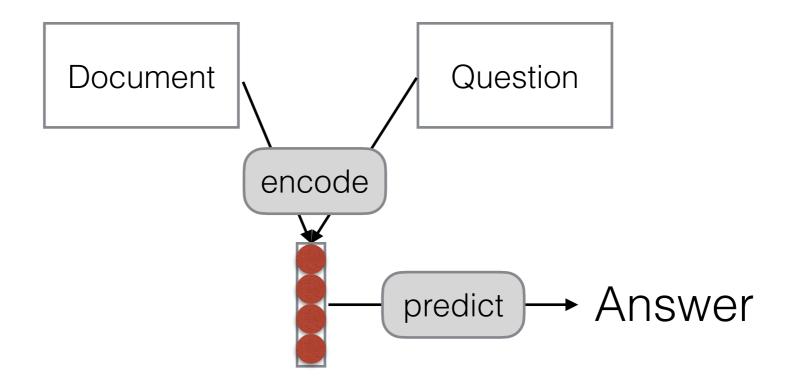
# What is Necessary for Text-based QA?

- We must take a large amount of information and extract only the salient parts
  - → Attention models
  - → Retrieval models
- We must perform some sort of reasoning about the information that we've extracted
  - → Multi-step Reasoning

# Attention Models for Machine Reading

# A Basic Model for Document Attention

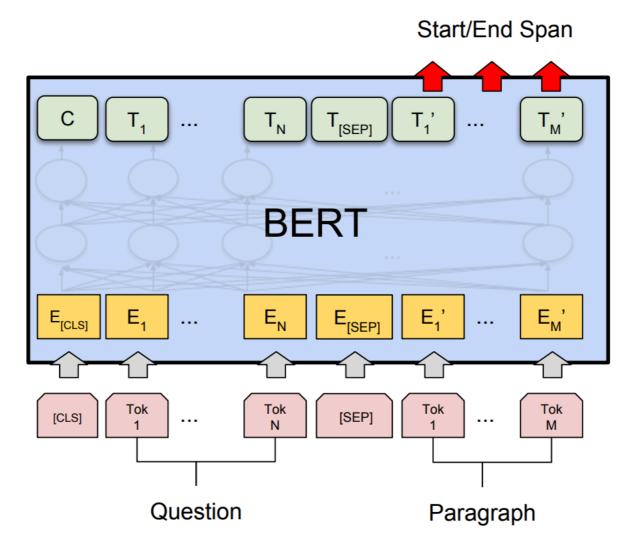
 Encode the document and the question, and generate an answer (e.g. a sentence or single word)



 Problem: encoding whole documents with high accuracy and coverage is hard!

# Pre-trained Contextualized Representations

 Now standard to use a BERT-like model (e.g. RoBERTa, DeBERTa) or other contextualized representations (Devlin et al. 2019)



# Word Classification vs. Span Classification

In span-based models, we need to choose a multi-word span

In meteorology, precipitation is any product of the condensation of atmospheric water vapor that falls under **gravity**. The main forms of precipitation include drizzle, rain, sleet, snow, **graupel** and hail... Precipitation forms as smaller droplets coalesce via collision with other rain drops or ice crystals within a cloud. Short, intense periods of rain in scattered locations are called "showers".

- In contrast:
  - Previous single-word machine reading models choose a single word or entity
  - Other models such as NER choose multiple spans

### Generative QA Models

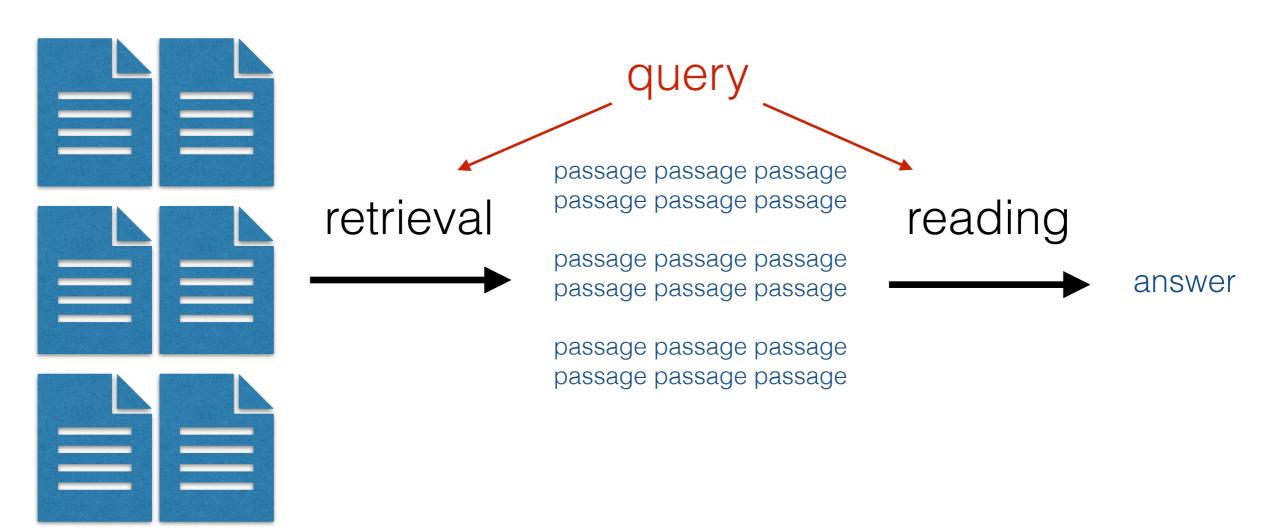
- Feed in input passage and question, use decoder to output answer
- Example: UnifiedQA
   (Khashabi et al. 2020),
   trained on many different
   datasets
  - Format each dataset into input/output format
  - Base model: T5

	Dataset	SQuAD 1.1			
EX	Input	At what speed did the turbine operate? \n (Nikola_Tesla) On his 50th birthday in 1906, Tesla demonstrated his 200 horsepower (150 kilowatts) 16,000 rpm bladeless turbine			
	Output	16,000 rpm			
	Dataset	NarrativeQA			
AB	Input	What does a drink from narcissus's spring cause the drinker to do? \n Mercury has awakened Echo, who weeps for Narcissus, and states that a drink from Narcissus's spring causes the drinkers to ``Grow dotingly enamored of themselves.''			
	Output	fall in love with themselves			
	Dataset	ARC-challenge			
	Input	What does photosynthesis produce that helps plants grow? \n (A) water (B) oxygen (C) protein (D) sugar			
	Output	sugar			
MC	Dataset	MCTest			
MC	Input	Who was Billy? \n (A) The skinny kid (B) A teacher (C) A little kid (D) The big kid \n Billy was like a king on the school yard. A king without a queen. He was the biggest kid in our grade, so he made all the rules during recess			
	Output	The big kid			
	Dataset	BoolQ			
YN	Input	Was America the first country to have a president? \n (President) The first usage of the word president to denote the highest official in a government was during the Commonwealth of England			
	Output	no			

### Retrieval-based QA Models

## Retrieval-based Question Answering (Choi et al. 2017, Chen et al. 2017)

- Retrieve relevant passages efficiently
- Read the passages to answer the query



### Retrieval Methods

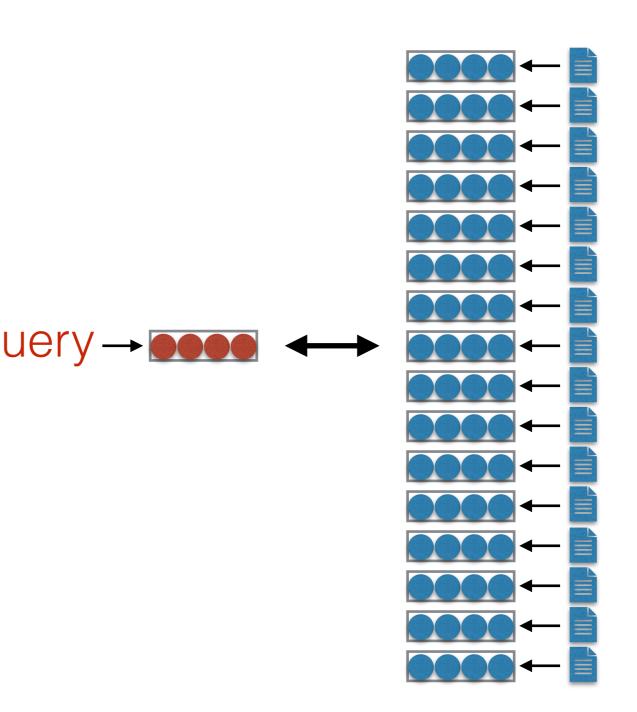
- Sparse retrieval methods
- Bi-encoder
  - Doc-level dense retrieval
  - Token-level dense retrieval
- · Cross-encoder: reranking

## Sparse Retrieval

- Exemplified by BM25: a bag-of-words document ranking function.
- Dates to 1970s and 1980s
- Many ranking functions, including BM25, are based on TF-IDF:
  - Term-frequency: upweight documents if they share many words with the query
  - Inverse document frequency: but, downweight terms if they are very common (occur in many documents)
- BM25 can be a tough baseline to beat, even for neural models!

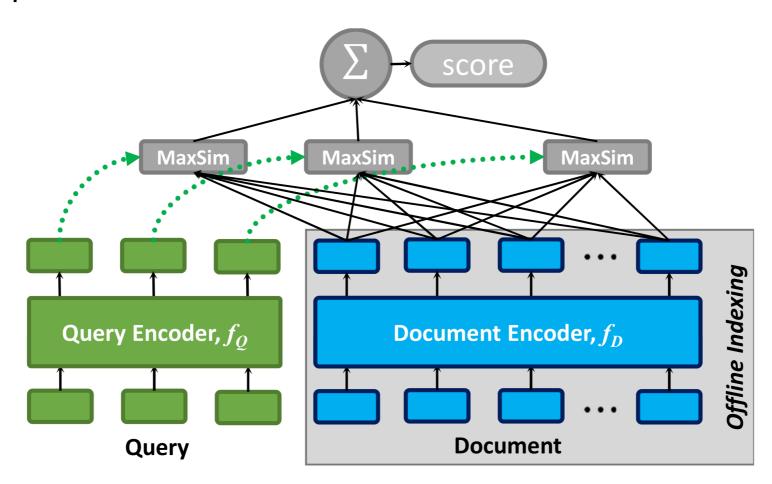
### Doc-level Dense Retrieval

- Encode document/query and find nearest neighbor
- Dense passage retrieval (DPR)
   (Karpukhin et al. 2020): learn encoders based on a BM25 hard negative and inbatch negatives.
- Contriever (Izacard et al. 2022): contrastive learning using two random spans as positive pairs
- Approximate Nearest Neighbor (ANN) search with libraries like FAISS (Johnson et al. 2017) and ScaNN (Guo et al. 2020) is necessary to scale to large corpora.



### Token-level Dense Retrieval

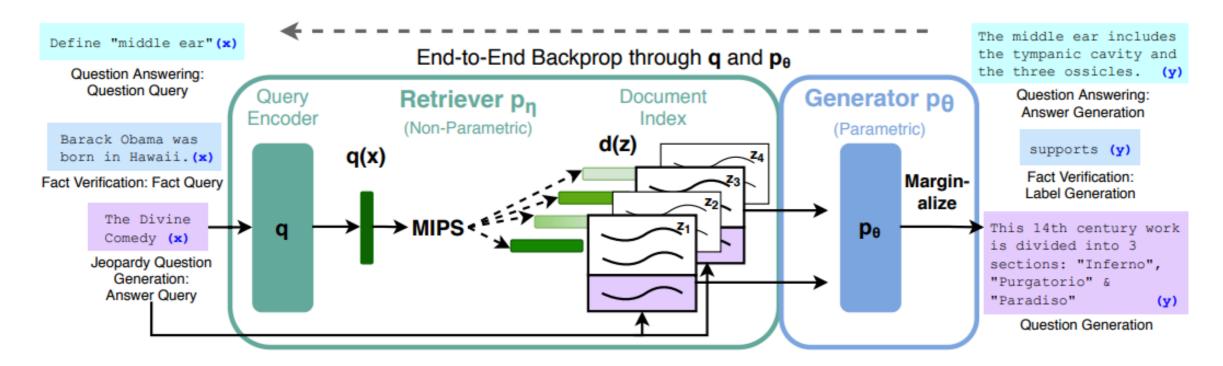
 ColBERT (Khattab et al. 2020) use contextual representations of all query and document tokens to compute retrieval score.



## Cross-encoder: Reranking

- Jointly encode both queries and documents using BERT which is more expressive (but expensive) than bi-encoders (Nogueira et al. 2019).
- Retrieval + reranking is a common practice for downstream tasks.

### Retrieval-Based Generation



- RAG (Lewis et al. 2020) uses DPR as the retriever and BART as the generator.
- Atlas (Izacard et al. 2022) scales to a robust retriever (Contriever) and a larger generator (T5-11B).
- Fusion-in-decoder (Izcard and Grave 2020): concatenate all retrieved passages; allow a decoder (based on T5) to attend to them. Similar things work for prompting LLMs
- Retrieval as Attention (Jiang et al. 2022) puts "retriever" and "generator" in a single T5 model by directly using attention to perform retrieval.

### A Caveat about Data Sets

# All Datasets Have Their Biases

- No matter the task, data bias matters
  - Domain bias
  - Simplifications
- In particular, for reading comprehension, real, largescale (copyright-free) datasets are hard to come by
- Datasets created from weak supervision have not been vetted

## A Case Study: bAbl

### (Weston et al. 2014)

 Automatically generate synthetic text aimed at evaluating whether a model can learn certain characteristics of language

#### Task 1: Single Supporting Fact

Mary went to the bathroom.

John moved to the hallway.

Mary travelled to the office.

Where is Mary? A:office

#### Task 2: Two Supporting Facts

John is in the playground.

John picked up the football.

Bob went to the kitchen.

Where is the football? A:playground

#### Task 3: Three Supporting Facts

John picked up the apple.

John went to the office.

John went to the kitchen.

John dropped the apple.

Where was the apple before the kitchen? A:office

#### **Task 4: Two Argument Relations**

The office is north of the bedroom.

The bedroom is north of the bathroom.

The kitchen is west of the garden.

What is north of the bedroom? A: office

What is the bedroom north of? A: bathroom

 Problem: papers evaluate only on this extremely simplified dataset, then claim about ability to learn language

## An Examination of CNN/ Daily Mail (Chen et al. 2015)

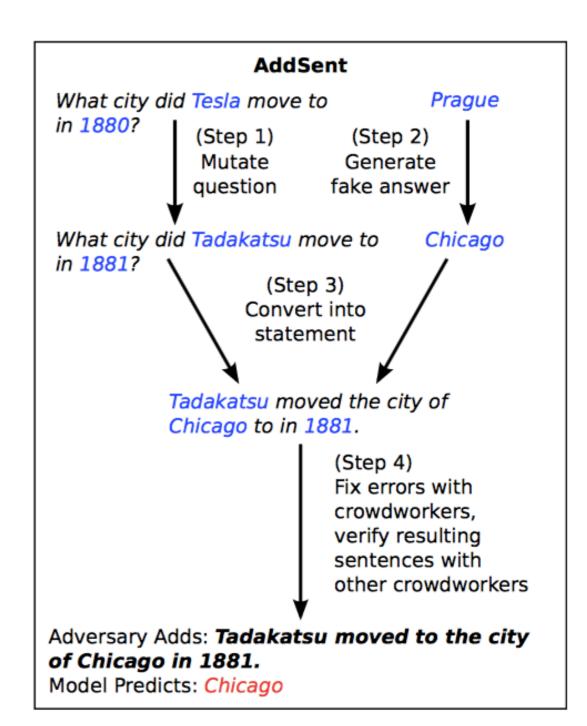
- Even synthetically created real datasets have problems!
- An analysis of CNN/Daily Mail revealed very few sentences required multi-sentence reasoning, and many were too difficult due to anonymization or wrong

preprocessing

No.	Category	(%)
1	Exact match	13
2	Paraphrasing	41
3	Partial clue	19
4	Multiple sentences	2
5	Coreference errors	8
6	Ambiguous / hard	17

# Adversarial Examples in Machine Reading (Jia and Liang 2017)

- Add a sentence or word string specifically designed to distract the model
- Drops accuracy of state-of-the-art models from 81 to 46



# Adversarial Creation of New Datasets? (Zellers et al. 2018)

 Idea: create datasets that current models do poorly on, but humans do well

#### Process:

- Generate potential answers from LM without using the question
- Find ones that QA model does poorly on
- Have humans filter for naturalness
- Problem: Adversarial examples can be artificially hard/noisy, not representative

### Natural Questions

(Kwiatkowski et al. 2019)

- Opposite approach:
  - create questions naturally from search logs
  - use crowdworkers to find corresponding evidence

#### Example 1

**Question:** what color was john wilkes booth's hair

Wikipedia Page: John\_Wilkes\_Booth

Long answer: Some critics called Booth "the handsomest man in America" and a "natural genius", and noted his having an "astonishing memory"; others were mixed in their estimation of his acting. He stood 5 feet 8 inches (1.73 m) tall, had jet-black hair, and was lean and athletic. Noted Civil War reporter George Alfred Townsend described him as a "muscular, perfect man" with "curling hair, like a Corinthian capital".

**Short answer:** jet-black

#### Example 2

Question: can you make and receive calls in airplane mode

Wikipedia Page: Airplane\_mode

Long answer: Airplane mode, aeroplane mode, flight mode, offline mode, or standalone mode is a setting available on many smartphones, portable computers, and other electronic devices that, when activated, suspends radio-frequency signal transmission by the device, thereby disabling Bluetooth, telephony, and Wi-Fi. GPS may or may not be disabled, because it does not involve transmitting radio waves.

Short answer: BOOLEAN:NO

#### Example 3

**Question:** why does queen elizabeth sign her name elizabeth r

Wikipedia Page: Royal\_sign-manual

**Long answer:** The royal sign-manual usually consists of the sovereign's regnal name (without number, if otherwise used), followed by the letter R for Rex (King) or Regina (Queen). Thus, the signs-manual of both Elizabeth I and Elizabeth II read Elizabeth R. When the British monarch was also Emperor or Empress of India, the sign manual ended with R I, for Rex Imperator or Regina Imperatrix (King-Emperor/Queen-Empress).

Short answer: NULL

## Multi-hop Reasoning

## Multi-hop Reasoning

 It might become clear that more information is necessary after the fact

John went to the hallway John put down the football

Q: Where is the football?

Step 1: Attend to football Step 2: Attend to John

Example: Kumar et al. 2016

# Multi-hop Reasoning Datasets

- Datasets explicitly created to require multiple steps through text
- Often labeled with "supporting facts" to demonstrate that multiple steps are necessary
- e.g. HotpotQA (Yang et al. 2018)
- As always, be aware of dataset bias... (Chen and Durrett 2019)

#### Paragraph A, Return to Olympus:

[1] Return to Olympus is the only album by the alternative rock band Malfunkshun. [2] It was released after the band had broken up and after lead singer Andrew Wood (later of Mother Love Bone) had died of a drug overdose in 1990. [3] Stone Gossard, of Pearl Jam, had compiled the songs and released the album on his label, Loosegroove Records.

#### **Paragraph B, Mother Love Bone:**

[4] Mother Love Bone was an American rock band that formed in Seattle, Washington in 1987. [5] The band was active from 1987 to 1990. [6] Frontman Andrew Wood's personality and compositions helped to catapult the group to the top of the burgeoning late 1980s/early 1990s Seattle music scene. [7] Wood died only days before the scheduled release of the band's debut album, "Apple", thus ending the group's hopes of success. [8] The album was finally released a few months later.

**Q:** What was the former band of the member of Mother Love Bone who died just before the release of "Apple"?

A: Malfunkshun

**Supporting facts:** 1, 2, 4, 6, 7

# Question Answering with Context (Choi et al. 2018, Reddy et al. 2018)

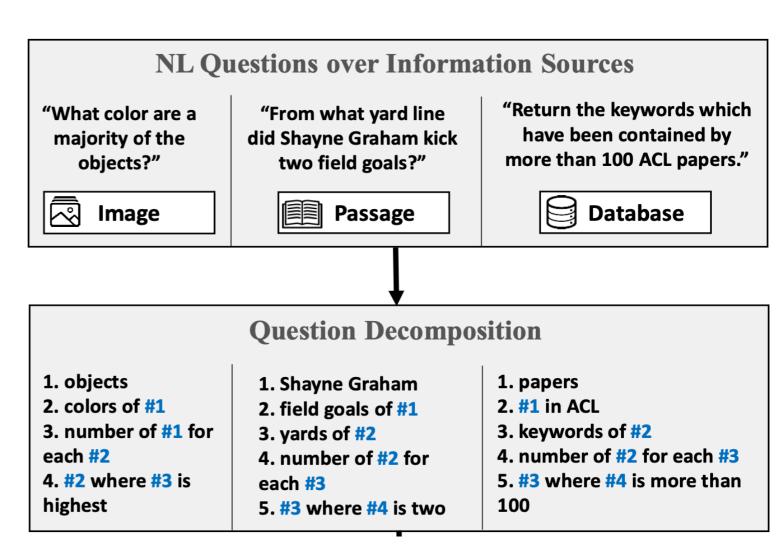
 Answer questions in sequence, so context from previous questions must be used in next answer

```
Section:  Daffy Duck, Origin & History
STUDENT: What is the origin of Daffy Duck?
TEACHER: 
→ first appeared in Porky's Duck Hunt
STUDENT: What was he like in that episode?
TEACHER: 

→ assertive, unrestrained, combative
STUDENT: Was he the star?
TEACHER: \hookrightarrow No, barely more than an unnamed
     bit player in this short
STUDENT: Who was the star?
STUDENT: Did he change a lot from that first
     episode in future episodes?
TEACHER: \hookrightarrow Yes, the only aspects of the char-
     acter that have remained consistent (...) are his
     voice characterization by Mel Blanc
STUDENT: How has he changed?
TEACHER: 
→ Daffy was less anthropomorphic
STUDENT: In what other ways did he change?
TEACHER: 
→ Daffy's slobbery, exaggerated lisp
     (...) is barely noticeable in the early cartoons.
STUDENT: Why did they add the lisp?
TEACHER: 
→ One often-repeated "official" story
     is that it was modeled after producer Leon
     Schlesinger's tendency to lisp.
STUDENT: Is there an "unofficial" story?
TEACHER: 
→ Yes, Mel Blanc (...) contradicts
     that conventional belief
```

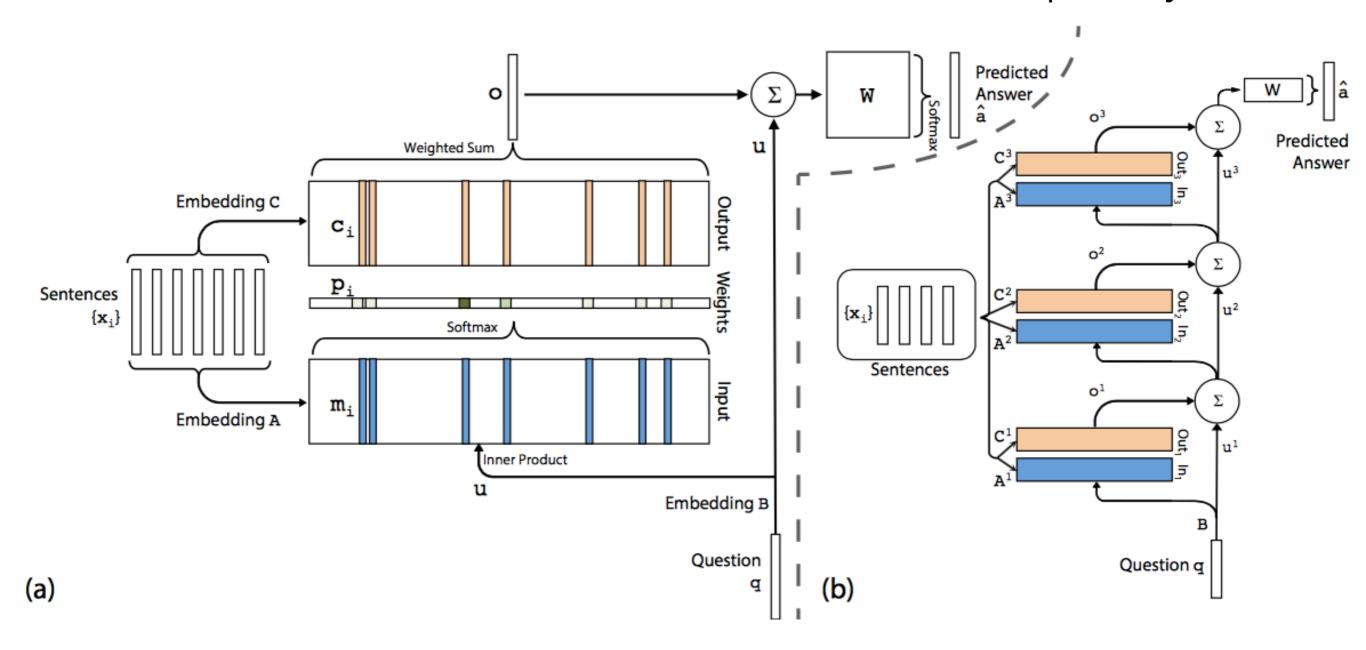
# Explicit Question Decomposition for Multi-hop Reasoning

- In many multi-hop questions, it's possible to split into multiple questions
- This can be done manually (Wolfson et al. 2020)
- By rules+reranking, or learning (Min et al. 2019)
- Similarities to chain-ofthought prompting



### Softened, and Multi-layer Memory Networks (Sukhbaatar et al. 2015)

Use standard softmax attention, and multiple layers



# An Aside: Traditional Computational Semantics

 Reasoning is something that traditional semantic representations are really good at!

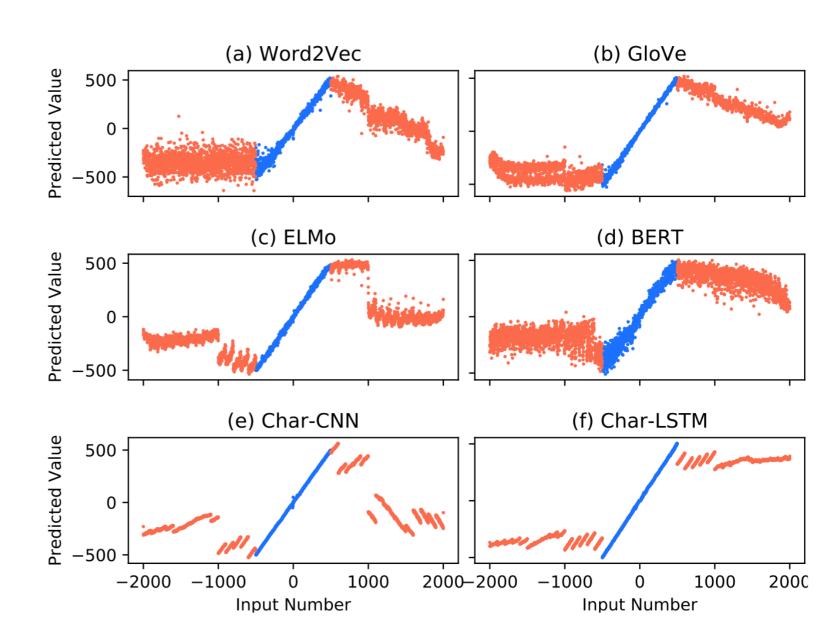
```
F(\forall x \text{Die}(x) \rightarrow \text{Die}(\text{MiA}) \land \text{Die}(\text{ZED}))
T \forall x \text{Die}(x) \qquad 1, F_{\rightarrow}
F(\text{Die}(\text{MiA}) \land \text{Die}(\text{ZED})) \qquad 1, F_{\rightarrow}
T \text{Die}(\text{MiA}) \qquad 2, T_{\forall}
T \text{Die}(\text{ZED}) \qquad 2, T_{\forall}
F \text{Die}(\text{MiA}) \qquad 3, F_{\land}
```

 $\forall x DIE(x) \rightarrow DIE(MIA) \land DIE(ZED)$ 

- See "Representation and Inference for Natural Language" (Blackburn & Bos 1999)
- Most neural networks are just a very rough approximation...

### Numerical Calculation

- Neural networks are poor at numerical computation
- Word embeddings encode numbers, but not consistently (Naik et al. 2019, Wallace et al. 2019)



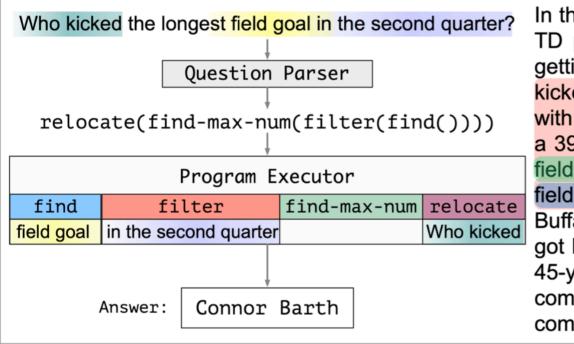
# Machine Reading with Symbolic Operations

- Can we explicitly incorporate numerical reasoning in machine reading?
- e.g. DROP dataset (Dua et al. 2019)

Reasoning	Passage (some parts shortened)	Question	Answer	BiDAF
Subtraction (28.8%)	That year, his <b>Untitled</b> (1981), a painting of a haloed, black-headed man with a bright red skeletal body, depicted amid the artists signature scrawls, was sold by <b>Robert Lehrman for \$16.3 million, well above its \$12 million high estimate</b> .	How many more dollars was the Untitled (1981) painting sold for than the 12 million dollar estimation?	4300000	\$16.3 million
Comparison (18.2%)	In 1517, the seventeen-year-old King sailed to Castile. There, his Flemish court In May 1518, Charles traveled to Barcelona in Aragon.	Where did Charles travel to first, Castile or Barcelona?	Castile	Aragon

## Solving Word Problems w/ Symbolic Reasoning

- Idea: combine semantic parsing (with explicit functions) and machine reading
- e.g. Gupta et al. (2020)



In the first quarter, Buffalo trailed as Chiefs QB Tyler Thigpen completed a 36-yard TD pass to RB Jamaal Charles. The Bills responded with RB Marshawn Lynch getting a 1-yard touchdown run. In the second quarter, Buffalo took the lead as kicker Rian Lindell made a 21-yard and a 40-yard field goal. Kansas City answered with Thigpen completing a 2-yard TD pass. Buffalo regained the lead as Lindell got a 39-yard field goal. The Chiefs struck with kicker Connor Barth getting a 45-yard field goal, yet the Bills continued their offensive explosion as Lindell got a 34-yard field goal, along with QB Edwards getting a 15-yard TD run. In the third quarter, Buffalo continued its poundings with Edwards getting a 5-yard TD run, while Lindell got himself a 48-yard field goal. Kansas City tried to rally as Thigpen completed a 45-yard TD pass to WR Mark Bradley, yet the Bills replied with Edwards completing an 8-yard TD pass to WR Josh Reed. In the fourth quarter, Edwards completed a 17-yard TD pass to TE Derek Schouman.

## Questions?