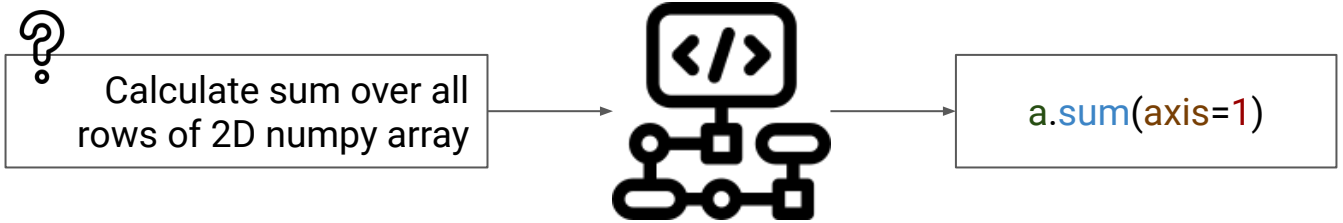
Natural language to Code Generation

Zora Wang, Nikitha Rao
Nov 9th, 2023

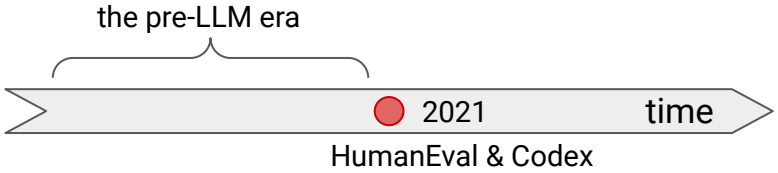
The NL2Code Task

Given a natural language instruction Q, generate code implementation C

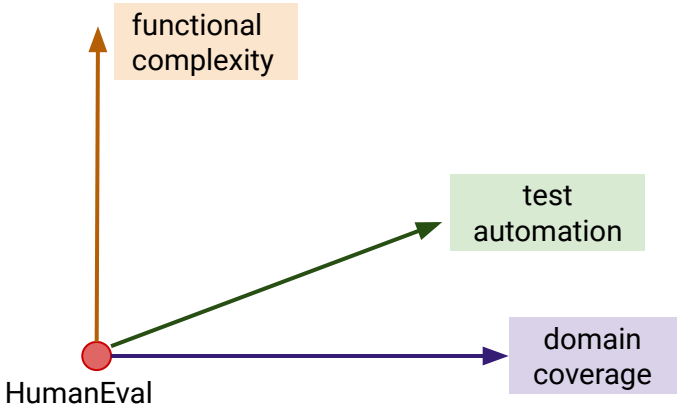


The Landscape for NL2Code Generation

- Transition of Evaluation Metrics:
  - Lexical, neural based metrics
  - Test case execution



- Domain Coverage
  - Built-in grammar: “sum([1, 2, 4])
  - Domain-specific: data science
  - Open domain: diverse Python libraries
- Functional Complexity
  - Simple (toy) functions: e.g., LeetCode
  - Class level
  - Repository level
- Test Automation
  - Human-written tests
  - Fuzzing methods
  - Integrating LLMs



What We Had for Code Generation

Benchmark: **CodeXGLUE**, CoNaLa

- code-code (clone detection, defect detection, cloze test, code completion, code repair, and code-to-code translation)
- text-code (natural language code search, text-to-code generation)
- code-text (code summarization)
- text-text (documentation translation)

Model

- CodeBERT, GraphCodeBERT

Evaluation Metrics

- Lexical based: BLEU
- Syntax based: CodeBLEU

Category	Task	Dataset Name	Language	Train/Dev/Test Size	Baselines	Task definition
Code-Code	Clone Detection	BigCloneBench	Java	900K/416K/416K	CodeBERT	Predict semantic equivalence for a pair of codes.
		POJ-104	C/C++	32K/8K/12K		Retrieve semantically similar codes.
	Defect Detection	Devign	C	21k/2.7k/2.7k		Identify whether a function is vulnerable.
	Cloze Test	CT-all	Python, Java, PHP, JavaScript, Ruby, Go	-/-/176k		Tokens to be predicted come from the entire vocab.
		CT-max/min	Python, Java, PHP, JavaScript, Ruby, Go	-/-/2.6k		Tokens to be predicted come from {max, min}.
	Code Completion	PY150	Python	100k/5k/50k	CodeGPT	Predict following tokens given contexts of codes.
		GitHub Java Corpus	Java	13k/7k/8k		
	Code Repair	Bugs2Fix	Java	98K/12K/12K	Encoder-Decoder	Automatically refine codes by fixing bugs.
	Code Translation	CodeTrans	Java-C#	10K/0.5K/1K		Translate the codes from one programming language to another programming language.
Text-Code	NL Code Search	CodeSearchNet, AdvTest	Python	251K/9.6K/19K	CodeBERT	Given a natural language query as input, find semantically similar codes.
		CodeSearchNet, WebQueryTest	Python	251K/9.6K/1k		Given a pair of natural language and code, predict whether they are relevant or not.
	Text-to-Code Generation	CONCODE	Java	100K/2K/2K	CodeGPT	Given a natural language docstring/comment as input, generate a code.
Code-Text	Code Summarization	CodeSearchNet	Python, Java, PHP, JavaScript, Ruby, Go	908K/45K/53K	Encoder-Decoder	Given a code, generate its natural language docstring/comment.
Text-Text	Documentation Translation	Microsoft Docs	English-Latvian/Danish/Norwegian/Chinese	156K/4K/4K		Translate code documentation between human languages (e.g. En-Zh), intended to test low-resource multi-lingual translation.

What We Had for Code Generation

Benchmark:

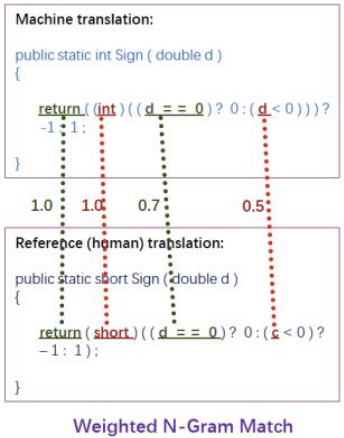
- CodeXGLUE, CoNaLa

Model

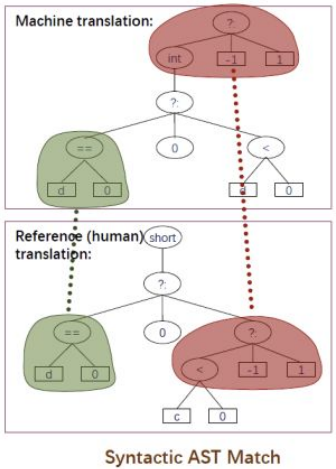
- CodeBERT, GraphCodeBERT

Evaluation Metrics

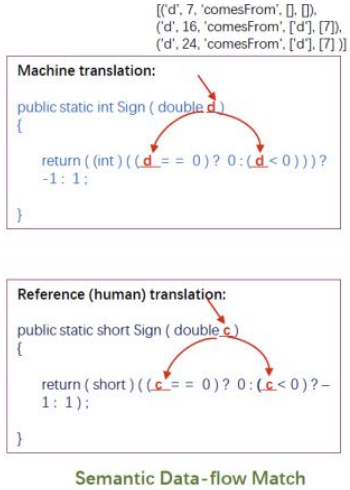
- Lexical based: BLEU
- Syntax based: **CodeBLEU**



Weighted N-Gram Match



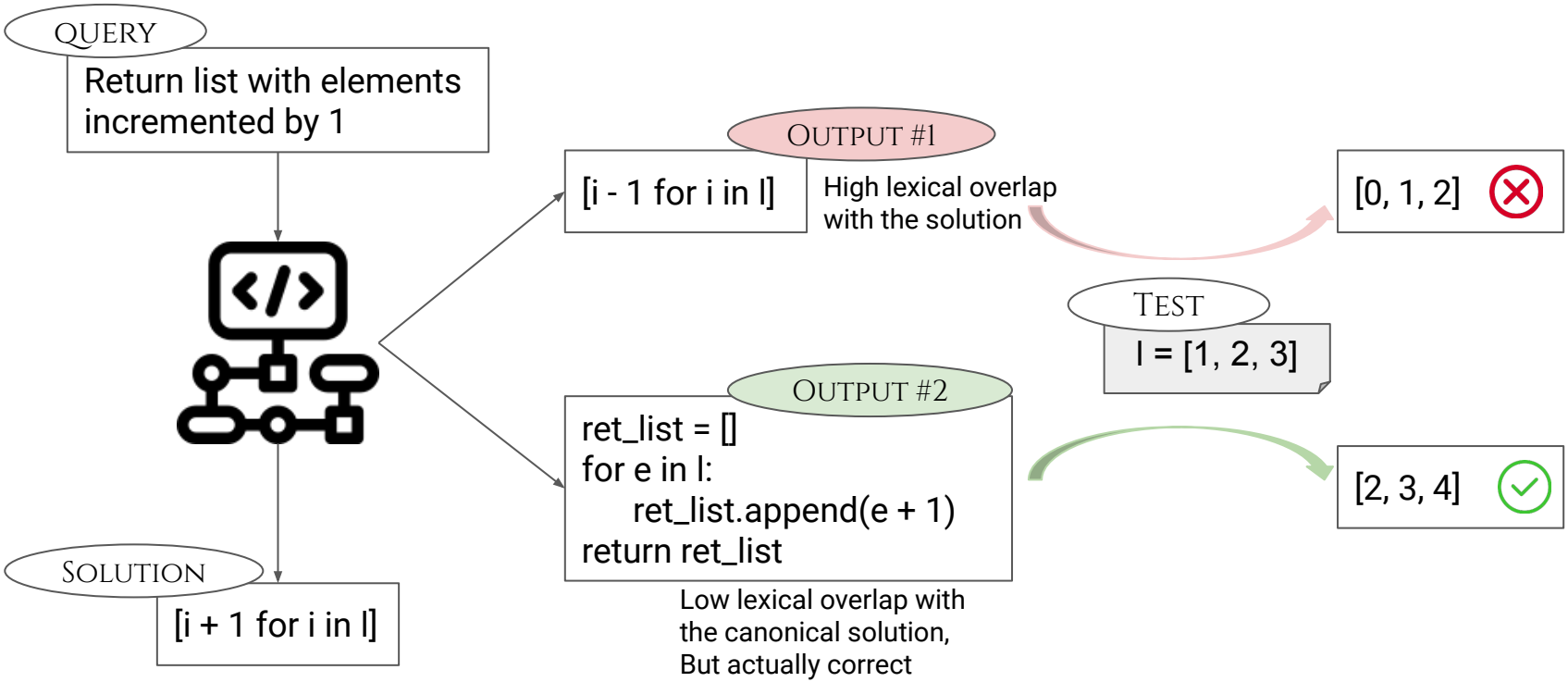
Syntactic AST Match



Semantic Data-flow Match

$$\text{CodeBLEU} = \alpha \cdot \text{N-Gram Match (BLEU)} + \beta \cdot \text{Weighted N-Gram Match} + \gamma \cdot \text{Syntactic AST Match} + \delta \cdot \text{Semantic Data-flow Match}$$

Issues: Evaluations Are Not Rigorous

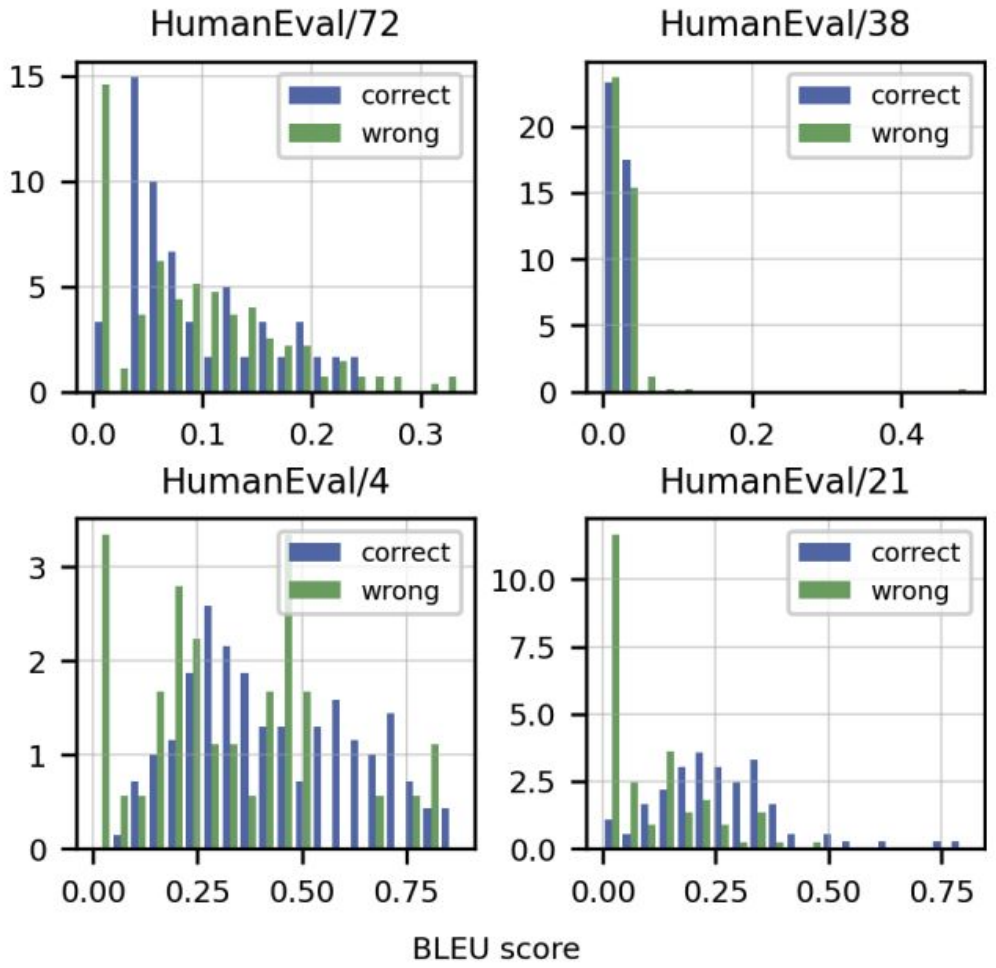


HumanEval Benchmark -

Evaluation: test case execution

164 hand-written examples

- Why human-written?
  - “It is important for these tasks to l
    fraction of GitHub, which already
- Safety: Sandbox for Executing
  - “Since publicly available programs
    incorrect, executing these progran
- Optimizing BLEU != Improvin



HumanEval Looks Like Toy Examples?

HumanEval Examples

```python
def incr_list(l: list):
    """Return list with elements incremented by 1.
    >>> incr_list([1, 2, 3])
    [2, 3, 4]
    >>> incr_list([5, 3, 5, 2, 3, 3, 9, 0, 123])
    [6, 4, 6, 3, 4, 4, 10, 1, 124]
    """
    return [i + 1 for i in l]
```

```python
def solution(lst):
    """Given a non-empty list of integers, return the sum of all of the odd elements
    that are in even positions.

    Examples
    solution([5, 8, 7, 1]) ==>12
    solution([3, 3, 3, 3, 3]) ==>9
    solution([30, 13, 24, 321]) ==>0
    """
    return sum(lst[i] for i in range(0,len(lst)) if i % 2 == 0 and lst[i] % 2 == 1)
```

Real-World Development Code

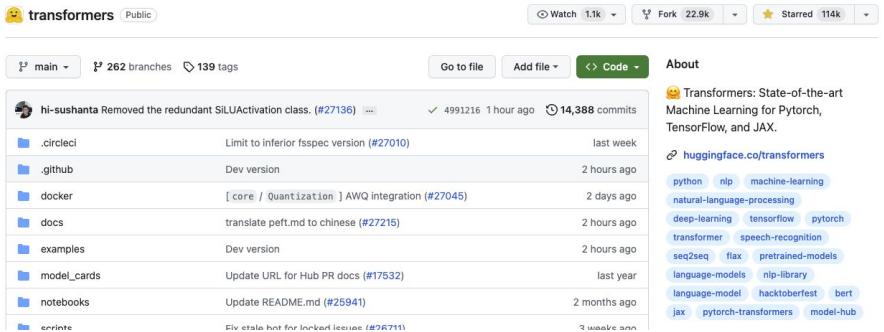
Asking the user for input until they give a valid response

Asked 9 years, 6 months ago Modified 1 year, 5 months ago Viewed 1.0m times

750

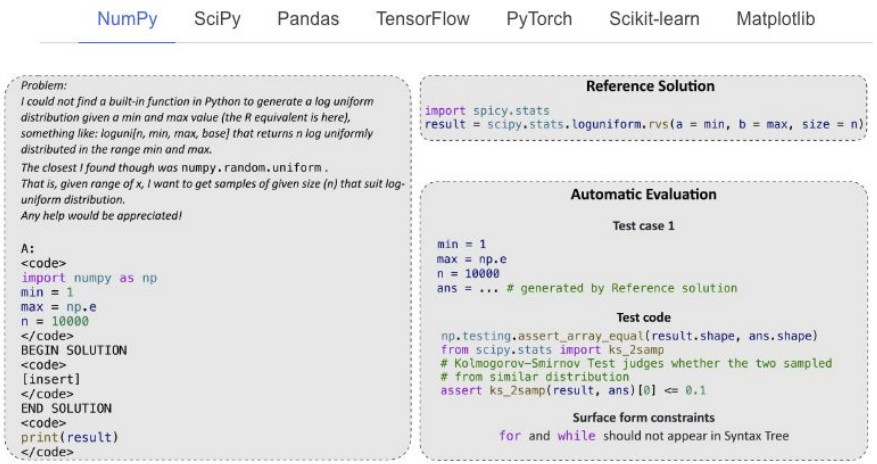
I am writing a program that accepts user input.

```python
#note: Python 2.7 users should use `raw_input`, the equivalent of 3.X's `input`
age = int(input("Please enter your age: "))
if age >= 18:
    print("You are able to vote in the United States!")
else:
    print("You are not able to vote in the United States.")
```



Domain Exploration

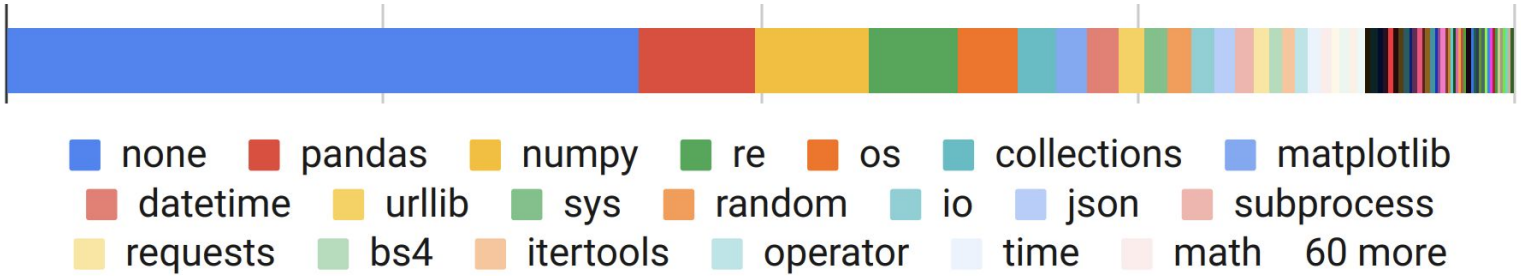
- Leetcode Style: HumanEval, APPS, MBPP
  - Manually written or collected from code contest websites
  - Only uses Python built-in grammar
- Limited Domains: e.g., Data Science
  - DS-1000: StackOverflow questions
  - ARCADE: Interactive Jupyter Notebooks
  - ExeDS
  - … …
- Open Domain: ODEX
  - 79 Python libraries
  - Four natural languages



NumPy example problem involving randomness, requiring the use of a specialist knowledge test.

Execution-Based Evaluation for Open-Domain Code Generation

- Larger Domain Coverage



- Test execution on real-world coding queries
  - Collected from StackOverflow questions
- Support four natural languages as input
  - English, Spanish, Japanese, Russian

```
import requests

def function(files, url, data):
    """multipartのリクエストで複数のデータ`files`, `data`を`url'にPOSTする
    (POST multiple data `files`, `data` to `url' with multipart request)
```

a

```
    return requests.post(url, files=files, data=data)

# test case
r = requests.Response()
r.status_code = 200
requests.post = Mock(return_value = r)
file_path = 'a.txt'
```

a

Dataset	Samples	Domain	Executable?	Avg. Test Cases	Data Source	NL
JuICe (Agashe et al., 2019)	1,981	open	✗	-	GitHub Notebooks	en
HumanEval (Chen et al., 2021)	164	4	✓	7.7	Hand-written	en
MBPP (Austin et al., 2021)	974	8	✓	3.0	Hand-written	en
APPS (Hendrycks et al., 2021)	10,000	0	✓	13.2	Competitions	en
DSP (Chandel et al., 2022)	1,119	16	✓	2.1	Github Notebooks	en
MTPB (Nijkamp et al., 2022)	115	8	✓	5.0	Hand-written	en
Exe-DS (Huang et al., 2022)	534	28	✓	-	GitHub Notebooks	en
DS-1000 (Lai et al., 2022)	1,000	7	✓	1.6	StackOverflow	en
CoNaLa (Yin et al., 2018)	2,879	open	✗	-	StackOverflow	en
MCoNaLa (Wang et al., 2022)	896	open	✗	-	StackOverflow	es, ja, ru
ODEX	945	79	✓	1.8	StackOverflow Hand-Written	en, es, ja, ru

```
    (calculate the improper integral given by the function `f`
    from the number `n` to infinity)
    """
    return
```

```
    return sympy.integrate(f, (sympy.symbols('x'), n, sympy.oo))

# test case
x = sympy.symbols('x')
f = (x * x)
n = 1
assert  str(function(f, n)) == 'oo'
```

d

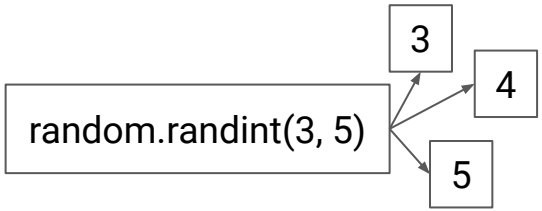
ODEX: Unique Challenges for Execution

Closed-domain code: easy to execute and verify

```
assert func([1, 2, 10]) == [2, 3, 11]
```

Open-domain code:

- Random outputs



- Specialized verification

```
In [1]: import numpy as np

In [2]: a = np.array([1, 2, 3])

In [3]: b = np.array([1, 2, 3])
```

```
In [4]: assert (a == b)
---------------------------------------------------------------------------
ValueError                                Traceback (most recent call last)
Cell In[4], line 1
----> 1 assert (a == b)

ValueError: The truth value of an array with more than one element is ambiguous.

In [5]: np.array_equal(a, b)
Out[5]: True
```

- (Potentially) not reproducible queries
  - HTTP requests, e.g., requests.post("https://def.xyz", data={'key': 'value'})

Significant Performance Gaps: Open vs. Closed

- Although Codex performs better overall
- CodeGen has smaller domain gaps

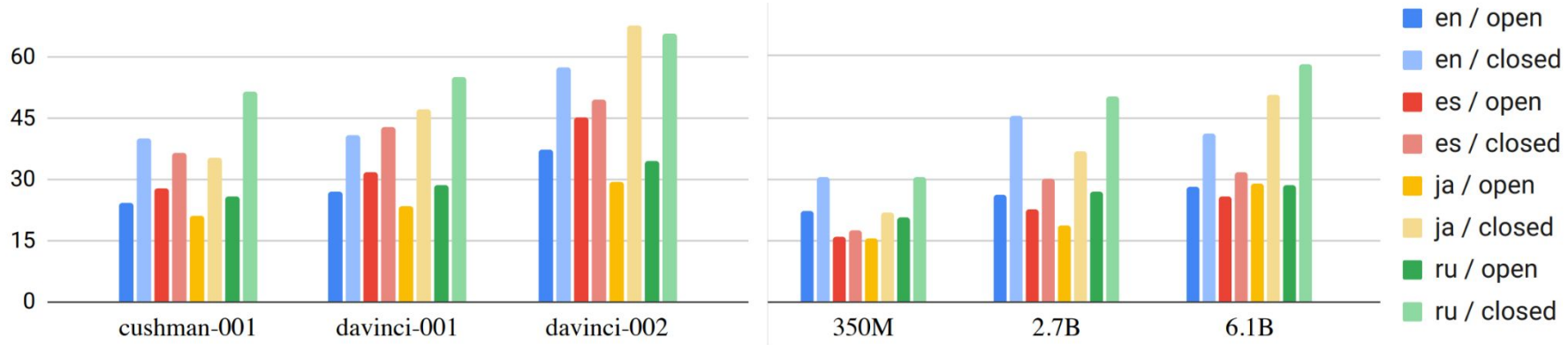


Figure 7: CODEX (left) and CODEGEN (right) *pass@1* on open- and closed-domain problems in each language.

Functional Complexity

- Function Level: HumanEval, MBPP
- Class Level: ClassEval

HumanEval Function Test

```
METADATA = {\n    'author': 'jt',\n    'dataset': 'test'\n}
def check(candidate):
    assert candidate([1.0, 2.0, 3.9, 4.0, 5.0, 2.2], 0.3) == True
    assert candidate([1.0, 2.0, 3.9, 4.0, 5.0, 2.2], 0.05) == False
  ...
```

MBPP Function Test

```
[
    "assert get_ludic(10) == [1, 2, 3, 5, 7]",
    "assert get_ludic(25) == [1, 2, 3, 5, 7, 11, 13, 17, 23, 25]", ...
]
```

ClassEval Method Test

```
class VendingMachineTestPurchaseItem(unittest.TestCase):
  def test_purchase_item (self):
    vm = VendingMachine()
    vm.inventory = {'Coke': {'price': 1.25, 'quantity': 10}}
    vm.balance = 1.25
    self.assertEqual(vm.purchase_item('Coke'), 0.0)
    self.assertEqual(vm.inventory, {'Coke': {'price': 1.25, 'quantity': 9}})
  def test_purchase_item_2(self):
    vm = VendingMachine()
    vm.inventory = {'Coke': {'price': 1.25, 'quantity': 10}}
    vm.balance = 1.25
    self.assertEqual(vm.purchase_item('Pizza'), False)
    self.assertEqual(vm.inventory, {'Coke': {'price': 1.25, 'quantity': 10}})
  ...
```

ClassEval Class Test

```
class VendingMachineTestMain (unittest.TestCase):
  def setUp(self) -> None:                                  Test Fixtures:
    self.vm = VendingMachine()                                  setUp
    self.vm.inventory = {'Coke': {'price': 1.25, 'quantity': 10}}
    self.vm.balance = 0
  def test_all(self):
    self.assertEqual(vm.insert_coin(1.25), 1.25)
    self.assertEqual(vm.purchase_item('Coke'), 0.0)
    self.assertEqual(vm.inventory, {'Coke': {'price': 1.25, 'quantity': 9}})
    self.assertEqual(vm.restock_item('Coke', 10), True)
    self.assertEqual(vm.inventory, {'Coke': {'price': 1.25, 'quantity': 19}})
    self.assertEqual(vm.display_items(), 'Coke - $1.25 [19]')
  ...
```

Figure 4: Test Cases in Existing Benchmarks and ClassEval

"Write a python function to find the first repeated character in a given string."

Figure 1: Examples in Existing Benchmarks

```
from datetime import datetime                                   Import Statements
class VendingMachine:                                           Class Name
    """This is a class to simulate a vending machine, including adding products, inserting
coins, purchasing products, viewing balance, replenishing product inventory, and
displaying product information. """                             Class Description
  def __init__(self):
    """
    Initializes the vending machine's inventory and balance.
    """                                                         Class Constructor
    self.inventory= []
    self. balance= {}
  def purchase_item(self, item_name):                           Method Signature
    """ Purchases a product from the vending machine and returns the balance after the
purchase.                                                       Functional Description
returns False.                                                  Parameter/Return Description
    >>> vendingMachine.inventory = {'Coke': {'price': 1.25, 'quantity': 10}}
    >>> vendingMachine.restock_item('Coke', 10)
    True
    >>> vendingMachine.inventory
    {'Coke': {'price': 1.25, 'quantity': 20}}                   Example Input/Output
    """
  ...
```

Figure 2: An Example of Class Skeleton in ClassEval

Functional Complexity

- Function Level: HumanEval, MBPP
- Class Level: ClassEval
- Repository Level:
  - RepoCoder
    - Retrieval-augmented generation
    - Multiple iterations
  - RepoEval
    - Collected 14 Github Repositories
    - Metrics:
      - exact match
      - exact similarity
      - execution

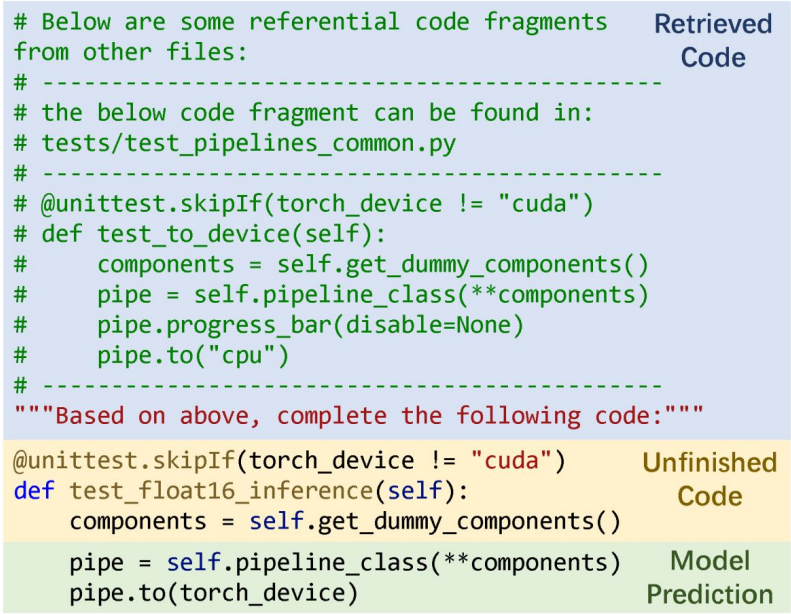


Figure 3: A visual example demonstrating the format of the RepoCoder prompt, which combines the retrieved code snippets from the repository with the unfinished code present in the target file.

Functional Complexity

- Function Level: HumanEval, MBPP
- Class Level: ClassEval
- Repository Level: RepoCoder & RepoEval
- Repo-Level Pull Requests: SWE-Bench

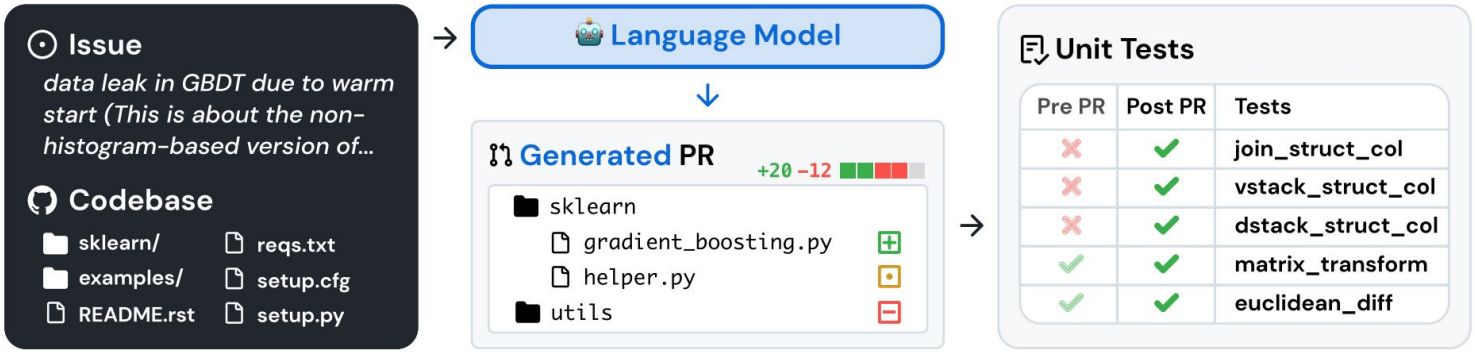


Figure 1: SWE-bench sources task instances from real-world Python repositories by connecting GitHub issues to merged pull request solutions that resolve related tests. Provided with the issue text and a codebase snapshot, models generate a patch that is evaluated against real tests.

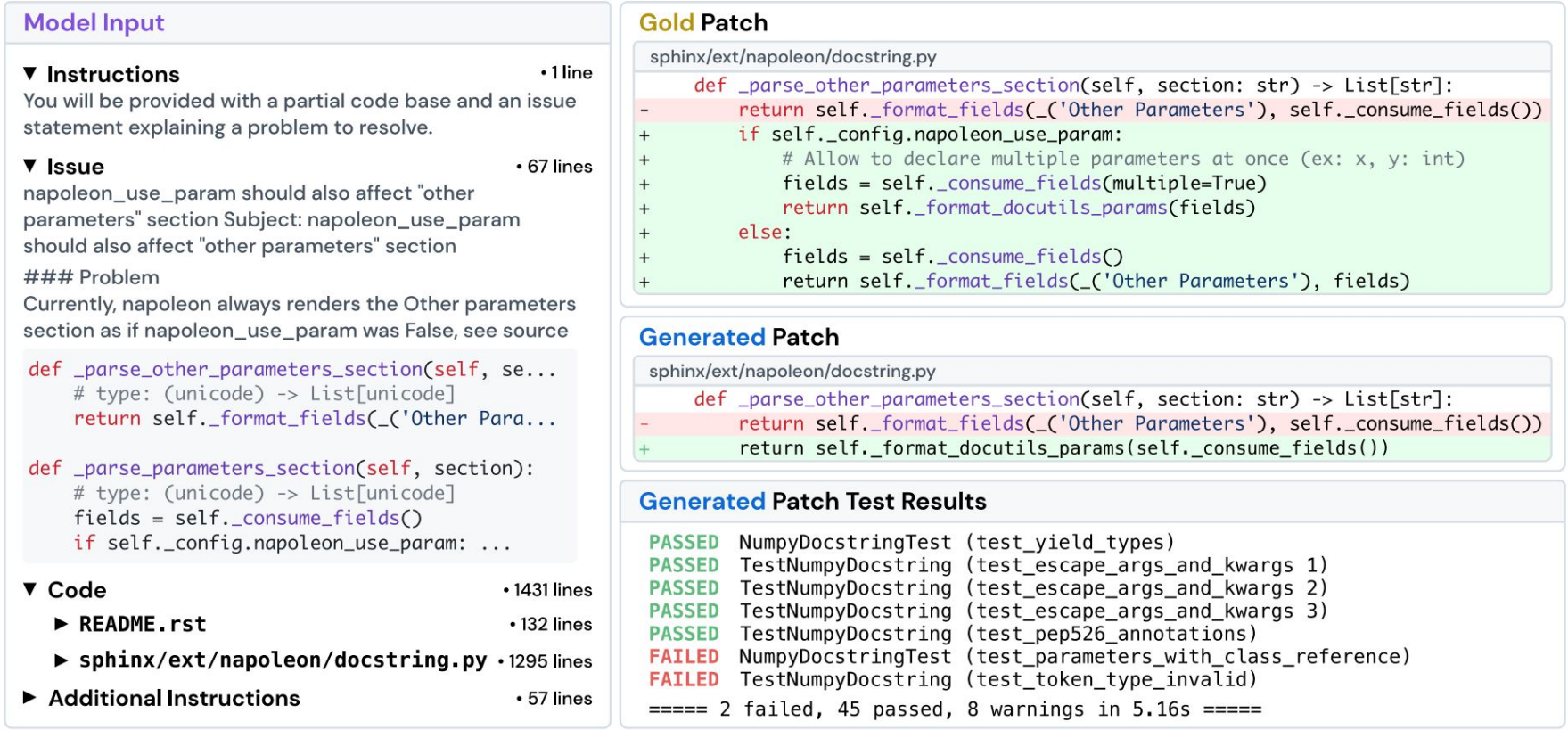
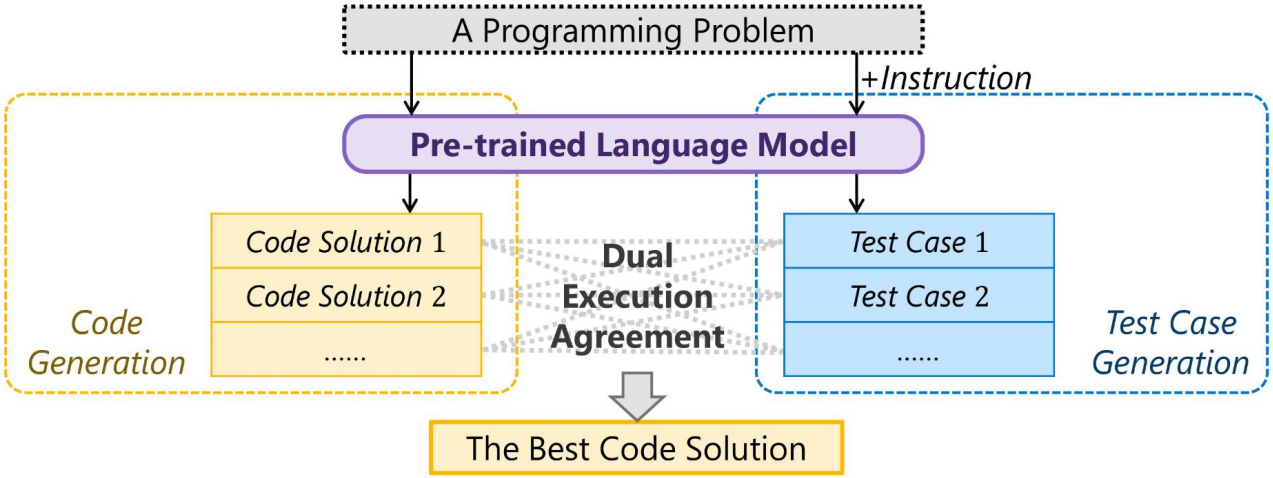


Figure 6: We show an example of an formatted task instance, a model prediction, and the testing framework logs. Results and inputs are stylized for readability. In the gold and generated patch file, red-highlighted lines represent deletions and green-highlighted lines represent additions.

Automated & Improved Testing

- Initial approach: human (expert) write test cases
- Evaluate on code without annotated tests? CodeT
  - Models generate solutions and test cases at the same time
  - Cross-validation between multiple solutions and tests



Automated & Improved Testing

- Initial approach: human (expert) write test cases
- LLMs to create test cases → EvalPlus
  - Scale-up test generation, reduce human effort
  - More sufficient tests, can find wrong solutions

Table 2: Overview of EvalPlus-improved benchmarks.

	#Tests				#Tasks
	Avg.	Medium	Min.	Max.	
HumanEval	9.6	7.0	1	105[2]	
HumanEval+	764.1	982.5	12	1,100	164
HumanEval+-mini	16.1	13.0	5	110	

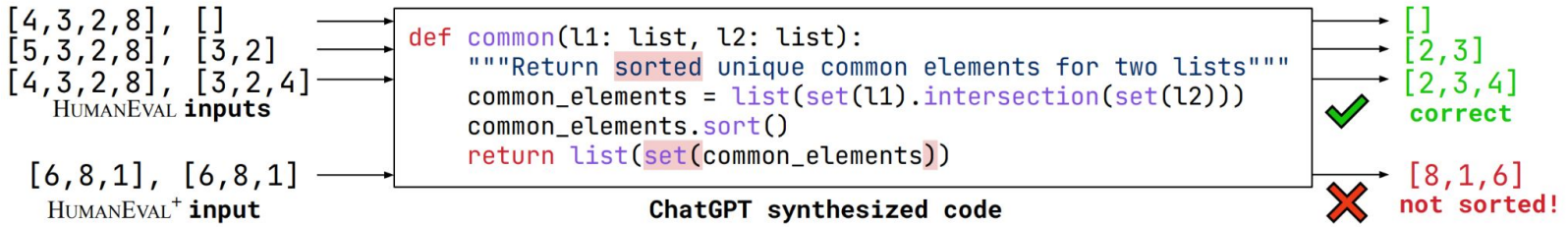


Figure 1: Exemplary wrong code synthesized by ChatGPT for HumanEval #58.

Automated & Improved Testing

- Initial approach: human (expert) write test cases
- LLMs to create test cases → EvalPlus
- Fuzzing: a common method in software engineering
  - Type-aware value mutations / alterations
  - Limitation: can only apply to Python basic types, not open-domain ones, e.g., numpy array

Table 1: List of basic type-aware mutations over input x.

Type	Mutation	Type	Mutation
`int` | `float`	Returns $x \pm 1$	`List`	Remove/repeat a random item $x[i]$ Insert/replace $x[i]$ with `Mutate`$(x[i])$
`bool`	Returns a random boolean	`Tuple`	Returns `Tuple(Mutate(List(`x`)))`
`NoneType`	Returns `None`	`Set`	Returns `Set(Mutate(List(`x`)))`
`str`	Remove a sub-string s Repeat a sub-string s Replace s with `Mutate`(s)	`Dict`	Remove a key-value pair $k \to v$ Update $k \to v$ to $k \to$ `Mutate`(v) Insert `Mutate`$(k) \to$ `Mutate`(v)