CS11-711 Advanced NLP Attention and Transformers

Daniel Fried and Robert Frederking with slides from Graham Neubig



Carnegie Mellon University

Language Technologies Institute

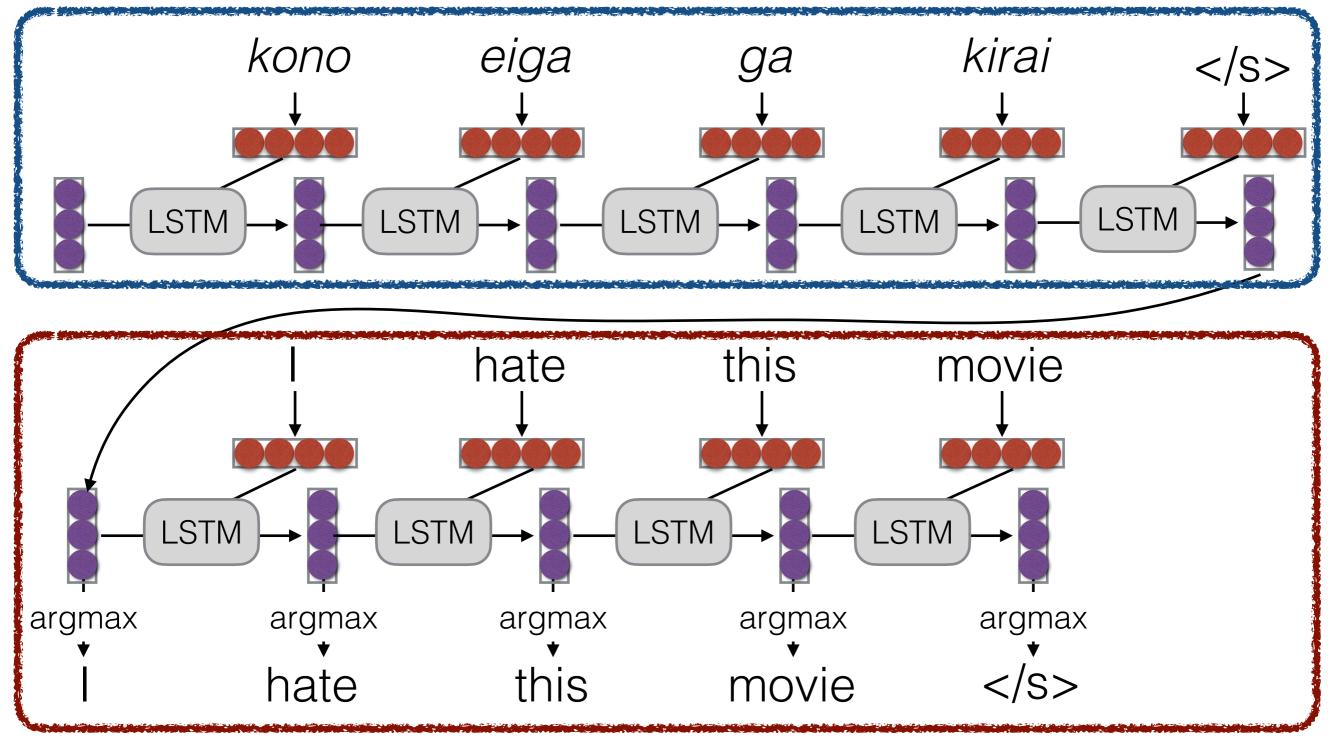
Site

https://cmu-anlp.github.io/

Encoder-decoder Models

(Sutskever et al. 2014)

Encoder



Decoder

Sentence Representations

Problem!

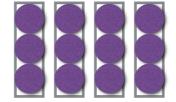
"You can't cram the meaning of a whole %&!\$ing sentence into a single \$&!*ing vector!"

— Ray Mooney

 But what if we could use multiple vectors, based on the length of the sentence.

this is an example ----

this is an example -----



Attention

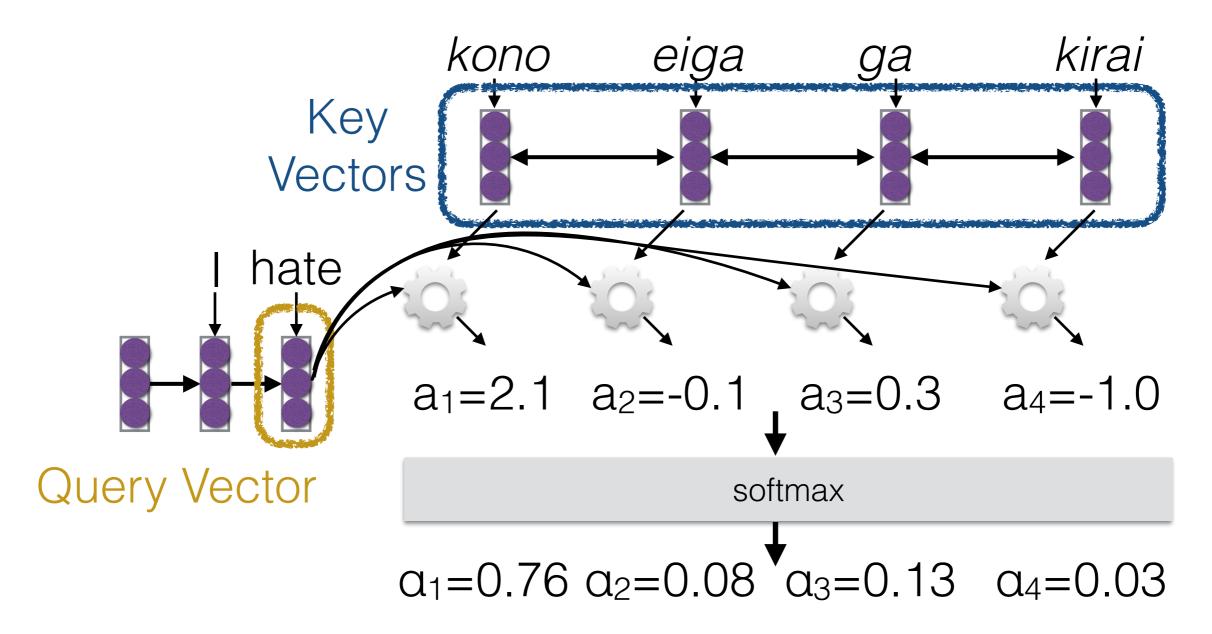
Basic Idea

(Bahdanau et al. 2015)

- Encode each word in the sentence into a vector
- When decoding, perform a linear combination of these vectors, weighted by "attention weights"
- Use this combination in picking the next word

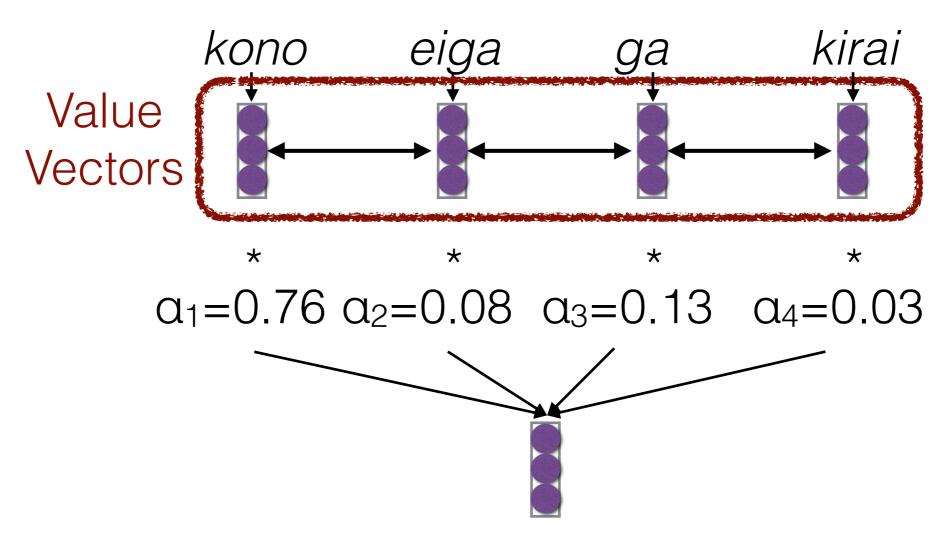
Calculating Attention (1)

- Use "query" vector (decoder state) and "key" vectors (all encoder states)
- For each query-key pair, calculate weight
- Normalize to add to one using softmax



Calculating Attention (2)

 Combine together value vectors (usually encoder states, like key vectors) by taking the weighted sum



Use this in any part of the model you like

A Graphical Example

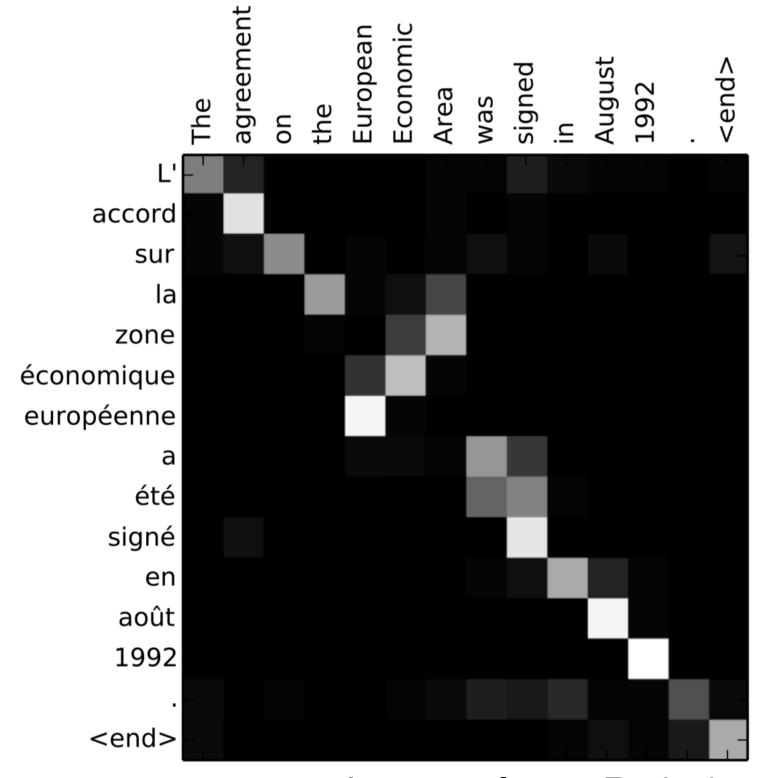


Image from Bahdanau et al. (2015)

Attention Score Functions (1)

- **q** is the query and **k** is the key
- Multi-layer Perceptron (Bahdanau et al. 2015)

$$a(\boldsymbol{q}, \boldsymbol{k}) = \boldsymbol{w}_2^{\mathsf{T}} \mathrm{tanh}(W_1[\boldsymbol{q}; \boldsymbol{k}])$$

- Flexible, often very good with large data
- Bilinear (Luong et al. 2015)

$$a(\boldsymbol{q}, \boldsymbol{k}) = \boldsymbol{q}^{\mathsf{T}} W \boldsymbol{k}$$

Attention Score Functions (2)

Dot Product (Luong et al. 2015)

$$a(\boldsymbol{q}, \boldsymbol{k}) = \boldsymbol{q}^{\mathsf{T}} \boldsymbol{k}$$

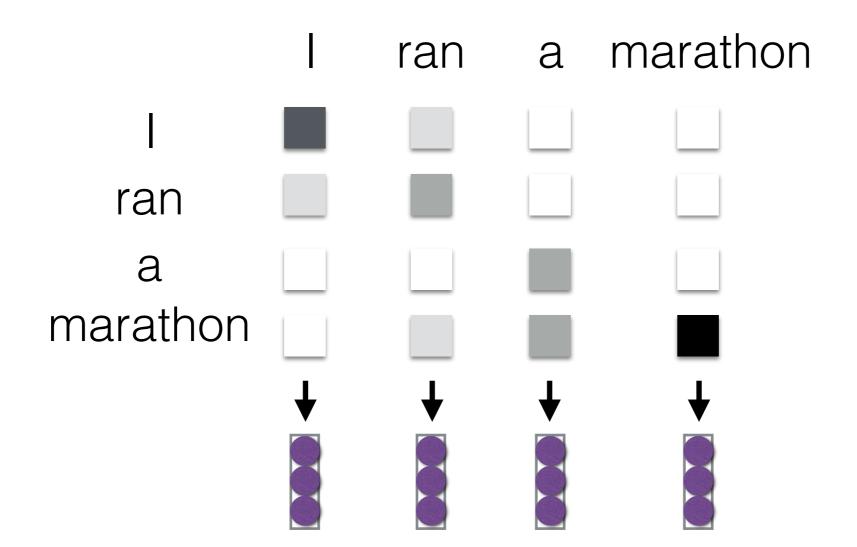
- No parameters! But requires sizes to be the same.
- Scaled Dot Product (Vaswani et al. 2017)
 - Problem: scale of dot product increases as dimensions get larger
 - Fix: scale by size of the vector

$$a(\boldsymbol{q}, \boldsymbol{k}) = \frac{\boldsymbol{q}^{\mathsf{T}} \boldsymbol{k}}{\sqrt{|\boldsymbol{k}|}}$$

Self Attention

(Cheng et al. 2016, Vaswani et al. 2017)

 Each element in the sentence attends to other elements → context sensitive encodings!
 ran should have a different representation than in "I ran a company"

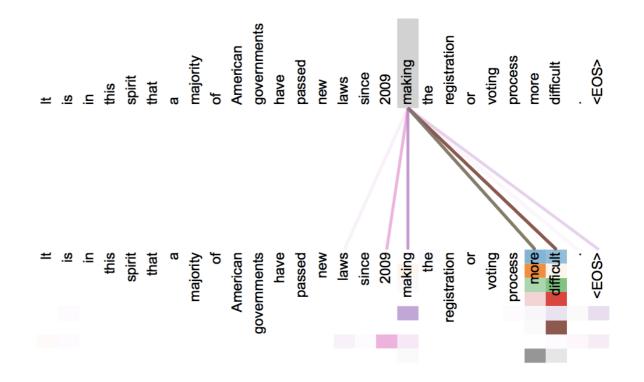


Multi-headed Attention

- Idea: multiple attention "heads" focus on different parts of the sentence
- e.g. Different heads for "copy" vs regular (Allamanis et al. 2016)

Target		Attention Vectors				
m_1	set	$oldsymbol{lpha} = oldsymbol{\kappa} =$	<pre><s>{ this . use Browser Cache = use Browser Cache; } </s> <s>{ this . use Browser Cache = use Browser Cache; } </s></pre>	0.012		
m_2	use	$oldsymbol{lpha} = oldsymbol{\kappa} =$	<pre><s> { this . use Browser Cache = use Browser Cache; } </s> <s> { this . use Browser Cache = use Browser Cache; } </s></pre>	0.974		
m_3	browser	$oldsymbol{lpha} = oldsymbol{\kappa} =$	<pre><s>{ this . use Browser Cache = use Browser Cache; } </s> <s>{ this . use Browser Cache = use Browser Cache; } </s></pre>	0.969		
m_4	cache	$oldsymbol{lpha} = oldsymbol{\kappa} =$	<pre><s> { this . use Browser Cache = use Browser Cache; } </s> <s> { this . use Browser Cache = use Browser Cache; } </s></pre>	0.583		
m_5	End	$oldsymbol{lpha} = oldsymbol{\kappa} =$	<pre><s> { this . use Browser Cache = use Browser Cache; } </s> <s> { this . use Browser Cache = use Browser Cache; } </s></pre>	0.066		

 Or multiple independently learned heads (Vaswani et al. 2017)



Or one head for every hidden node! (Choi et al. 2018)

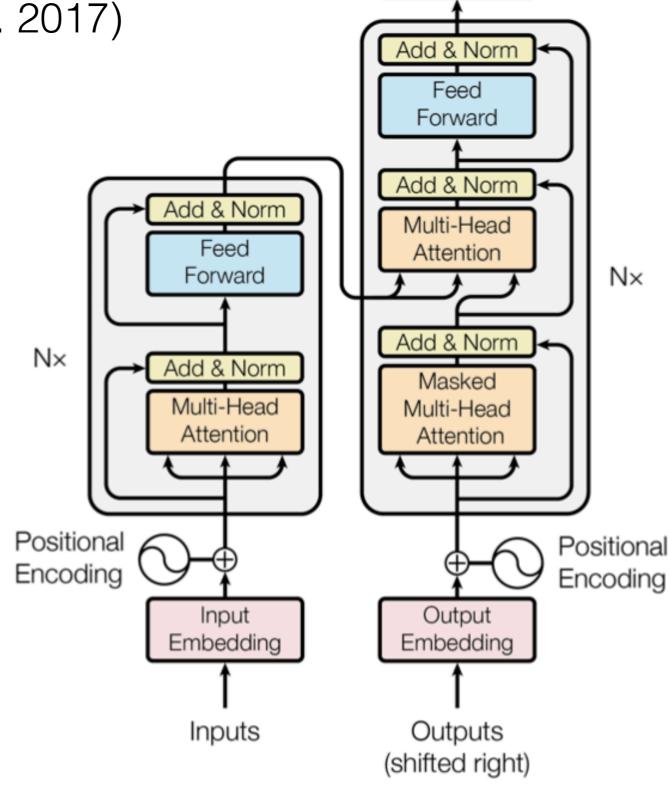
Transformers

See supplemental slides

Summary of the "Transformer"

(Vaswani et al. 2017)

- A sequence-to-sequence model based (almost) entirely on attention
- Strong results on translation, generation, a wide variety of other tasks
- Fast: only matrix multiplications



Output

Probabilities

Softmax

Linear

Model Tricks

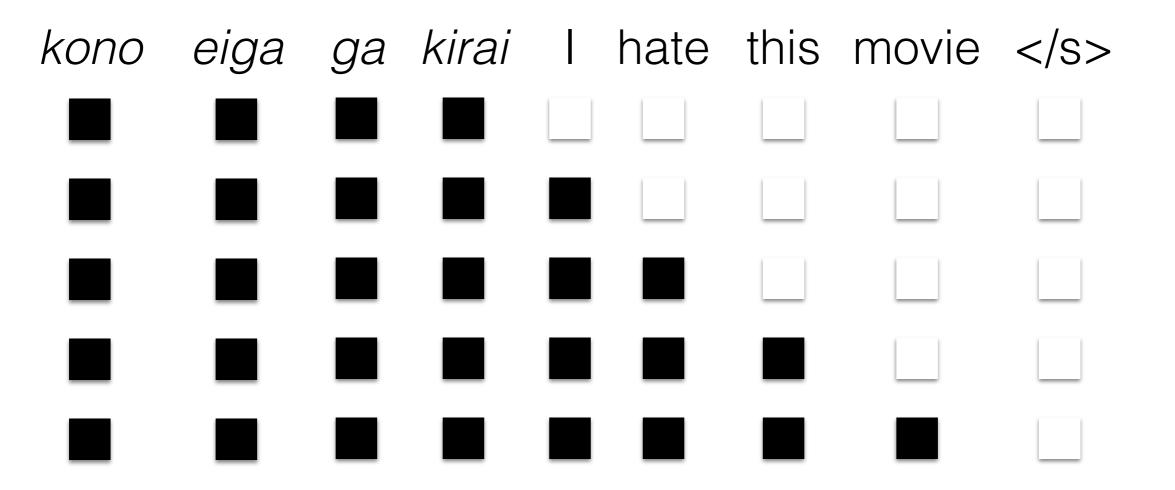
- Self Attention: Each layer combines words with others
- Multi-headed Attention: 8 attention heads learned independently
- Multi-layer perceptron: Transform attended vectors
- Residual connections: Train deep models; each layer computes a modification to the "residual stream"
- Positional Encodings: Make sure that even if we don't have RNN, can still distinguish positions

Training Tricks

- Layer Normalization: Help ensure that layers remain in reasonable range
- Specialized Training Schedule: Adjust default learning rate of the Adam optimizer
- Label Smoothing: Insert some uncertainty in the training process
- Masking for Efficient Training

Masking for Training

- We want to perform training in as few operations as possible using big matrix multiplies
- We can do so by "masking" the results for the output



More Resources

 The Annotated Transformer: PyTorch implementation of Vaswani et al. 2017, interleaved with the original paper text. Helpful for Assignment 1! https://nlp.seas.harvard.edu/2018/04/03/attention.html

A Mathematical Framework for Transformer
 Circuits: Build intuition for self-attention and simplified
 Transformer layers.

https://transformer-circuits.pub/2021/framework/index.html

Extensions to Attention

Hard Attention

- Instead of a soft interpolation, make a **zero-one decision** about where to attend (Xu et al. 2015)
 - Harder to train, requires methods such as reinforcement learning (see later classes)
- Perhaps this helps interpretability? (Lei et al. 2016)

Review

the beer was n't what i expected, and i'm not sure it's "true to style", but i thought it was delicious. a very pleasant ruby red-amber color with a relatively brilliant finish, but a limited amount of carbonation, from the look of it. aroma is what i think an amber ale should be - a nice blend of caramel and happiness bound together.

Ratings

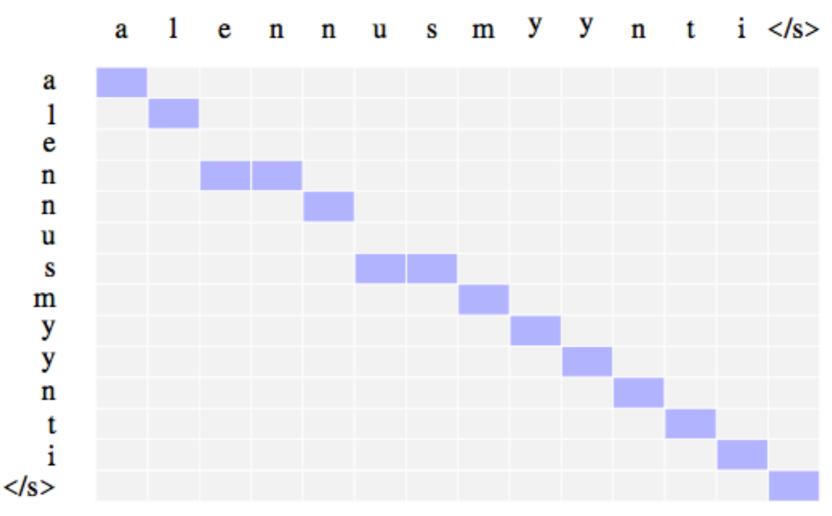
Look: 5 stars

Smell: 4 stars

Monotonic Attention

(e.g. Graves et al. 2006, Yu et al. 2016)

- In some cases, we might know the output will be in the same order as the input
 - Speech recognition, incremental translation, morphological inflection (?), summarization (?)



• Basic idea: discrete decisions about whether to read more

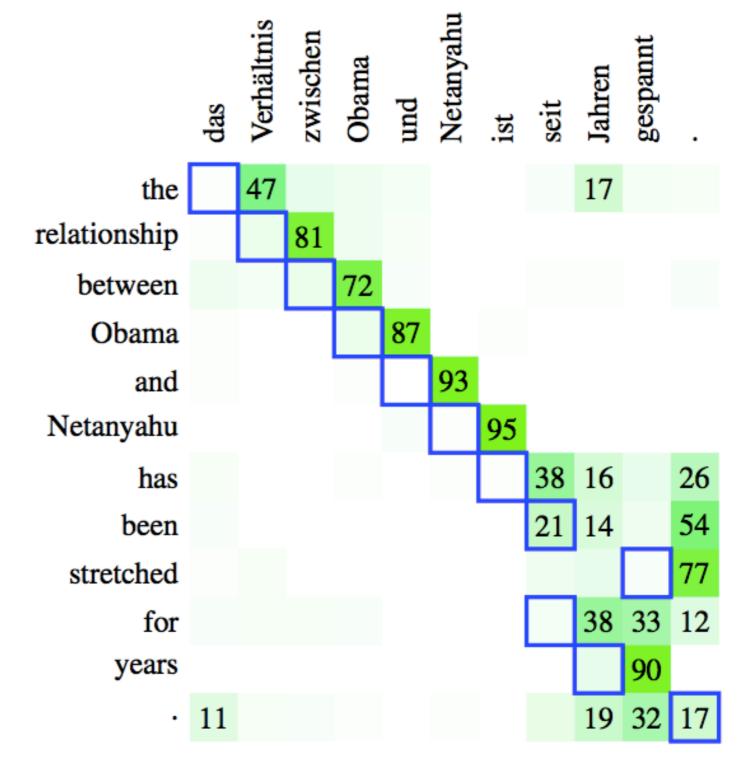
Attention is not Alignment!

(Koehn and Knowles 2017)

 Attention is often blurred

 Attention is often off by one

 It can even be manipulated to be non-intuitive! (Jain and Wallace 2019, Pruthi et al. 2020)



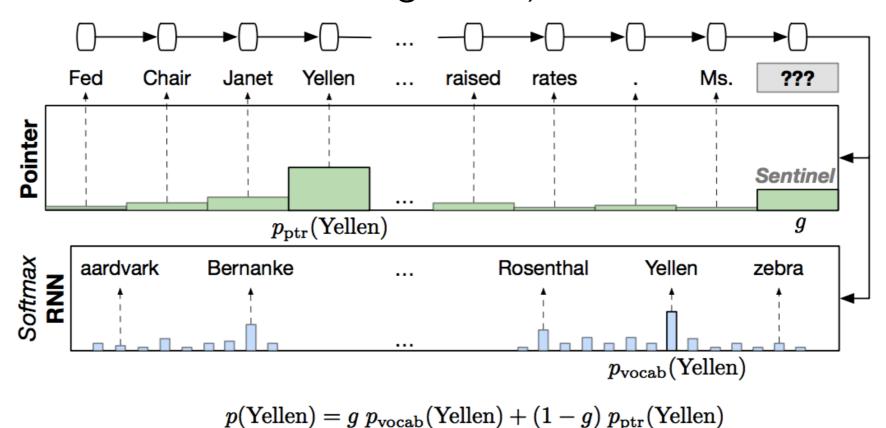
Supervised Training (Mi et al. 2016)

- Sometimes we can get "gold standard" alignments a-priori
 - Manual alignments
 - Pre-trained with strong alignment model
- Train the model to match these strong alignments

What Else Can We Attend To?

Copying from History

 In language modeling, attend to the previous words (Merity et al. 2016, Jia and Liang 2016)



 In translation, attend to either input or previous output (Vaswani et al. 2017)

Dictionary Probabilities

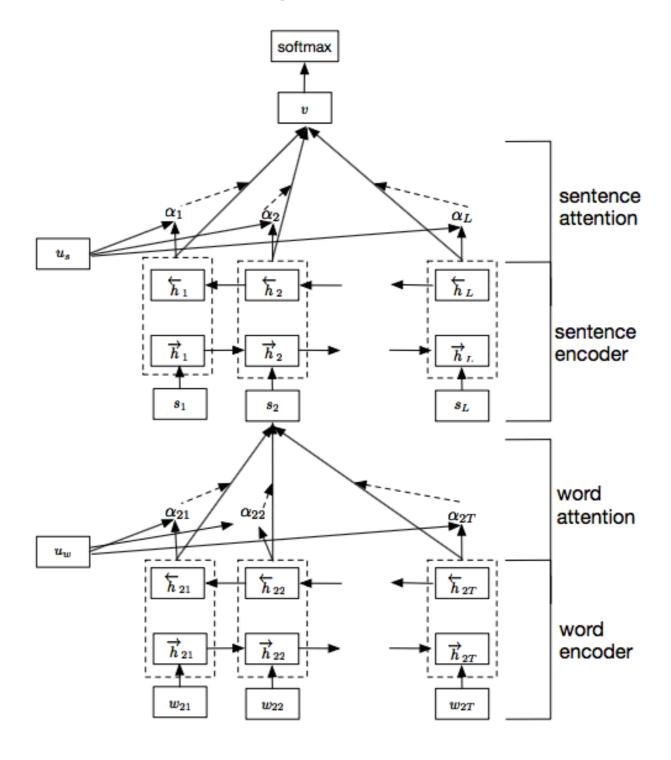
 If you have a translation dictionary, use it to bias outputs (Arthur et al. 2016)

Attention	I 0.05	come 0.01	from - 0.02	Tunisia 0.93		
watashi ore	0.6 0.2	0.03 0.01	0.01	0.0	0.03 0.01	
kuru kara	0.01 0.02	0.3 0.1	0.01 0.5	0.0 0.01	0.00 0.02	
chunijia oranda	0.0 0.0	0.0 0.0	0.0 0.0	0.96 0.0	0.89 0.00	
		ence-leve ability mat	l dictionar rix		Dictionary probability for current word	

Hierarchical Structures

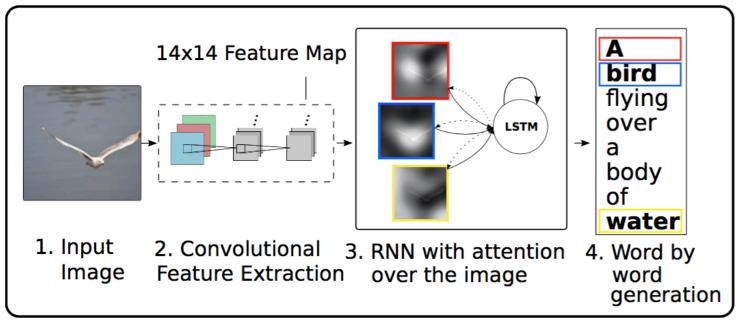
(Yang et al. 2016)

 Encode with attention over each sentence, then attention over each sentence in the document

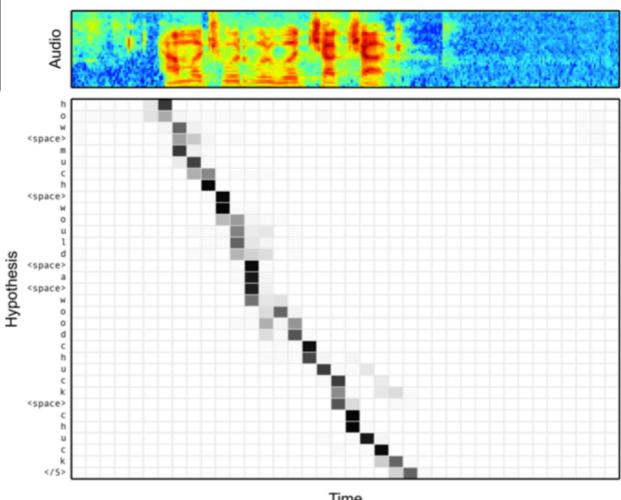


Various Modalities

• Images (Xu et al. 2015)



Speech (Chan et al. 2015)



Multiple Sources

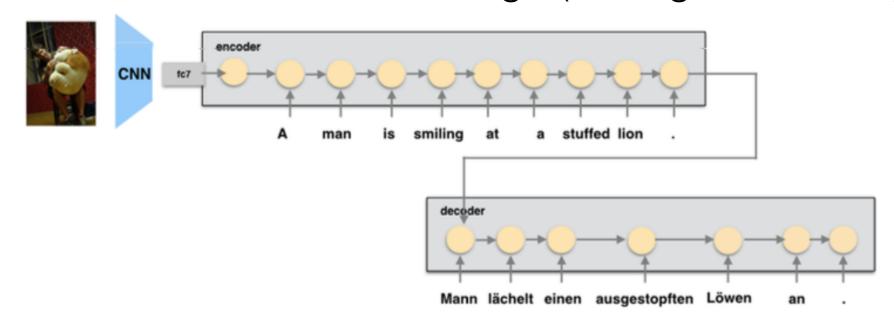
Attend to multiple sentences (Zoph et al. 2015)

Source 1: UNK Aspekte sind ebenfalls wichtig.

Target: UNK aspects are important, too

Source 2: Les aspects UNK sont également importants.

- Libovicky and Helcl (2017) compare multiple strategies
- Attend to a sentence and an image (Huang et al. 2016)



Questions?