

CS11-711 Advanced NLP

# NLP Experimental Design

Daniel Fried

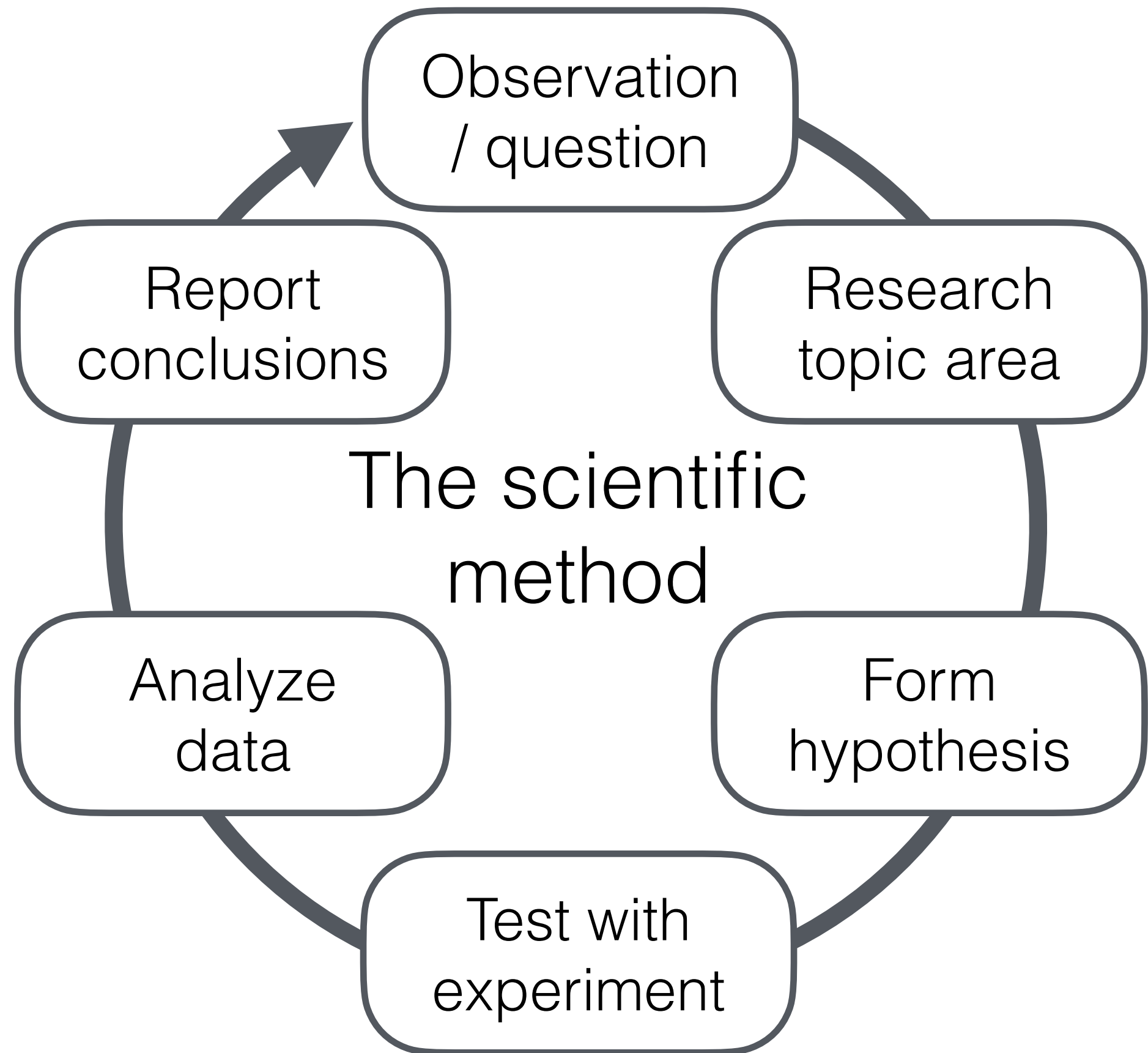


**Carnegie Mellon University**  
Language Technologies Institute

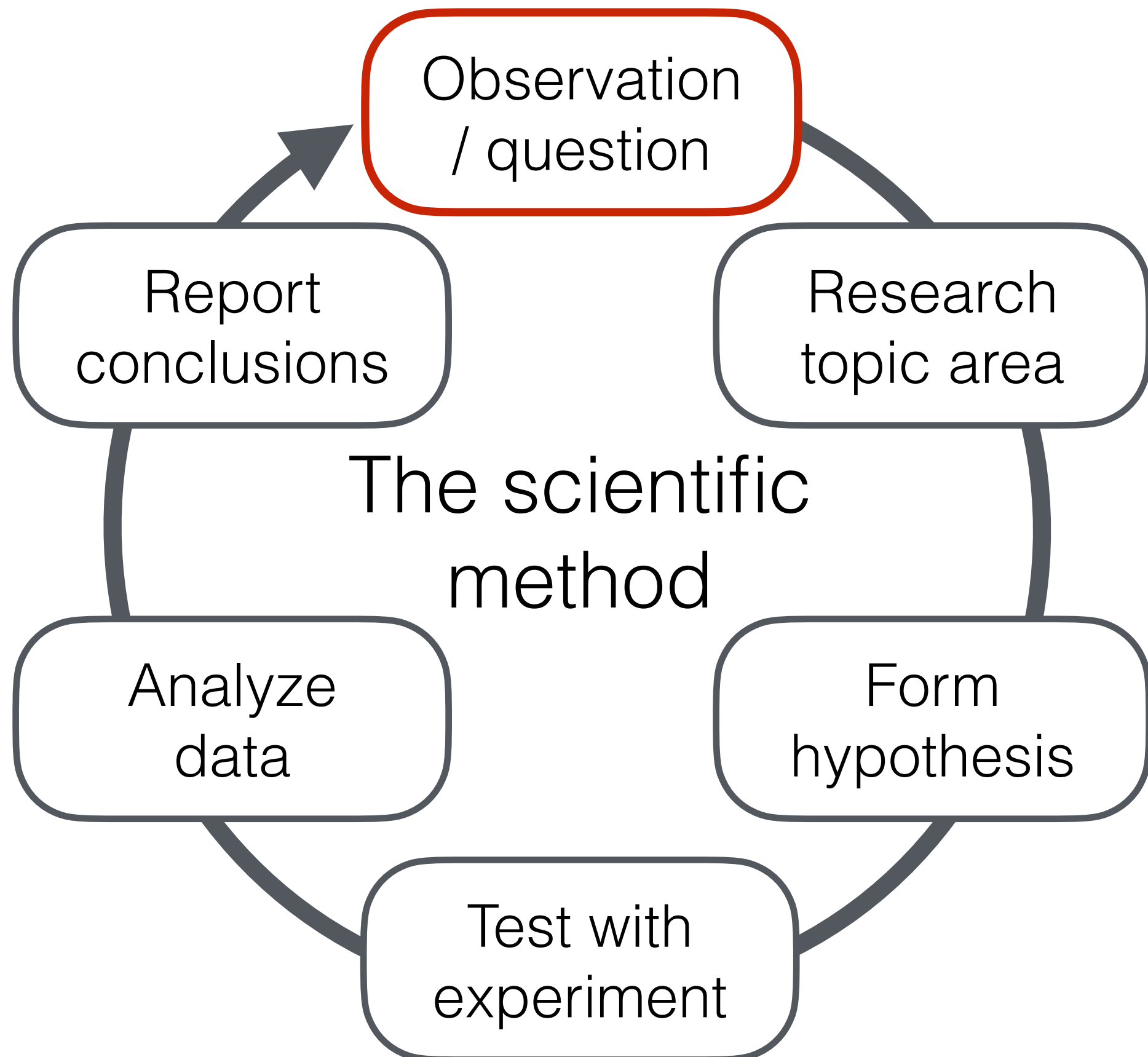
Site

<https://cmu-anlp.github.io/>

With slides from Graham Neubig. Thanks to Shaily Bhatt, Jordan Boyd-Graber, Joe Brucker, Hal Daume, Derguene Mbaye, Rajaswa Patil for content suggestions included here



# Identifying Good Research Directions



# Why Do We Research?

- **Applications-driven Research:** I would like to make a useful system, or make one work better.
- **Curiosity-driven Research:** I would like to know more about language, or the world viewed through language.
- NLP encompasses both, sometimes in the same paper

# Examples of Application-driven Research

- Pang et al. (2002) propose a task of *sentiment analysis*, because "labeling these articles with their sentiment would provide succinct summaries to readers".
- Reddy et al. (2019) propose a task of *conversational question answering* because "an inability to build and maintain common ground is part of why virtual assistants usually don't seem like competent conversational partners."
- Gehrmann et al. (2018) propose a method of *bottom-up abstractive summarization* because "NN-based methods for abstractive summarization produce outputs that are fluent but perform poorly at content selection."
- Kudo and Richardson (2018) propose a *method for unsupervised word segmentation* because "language-dependent processing makes it hard to train multilingual models, as we have to carefully manage the configurations of pre- and post-processors per language."

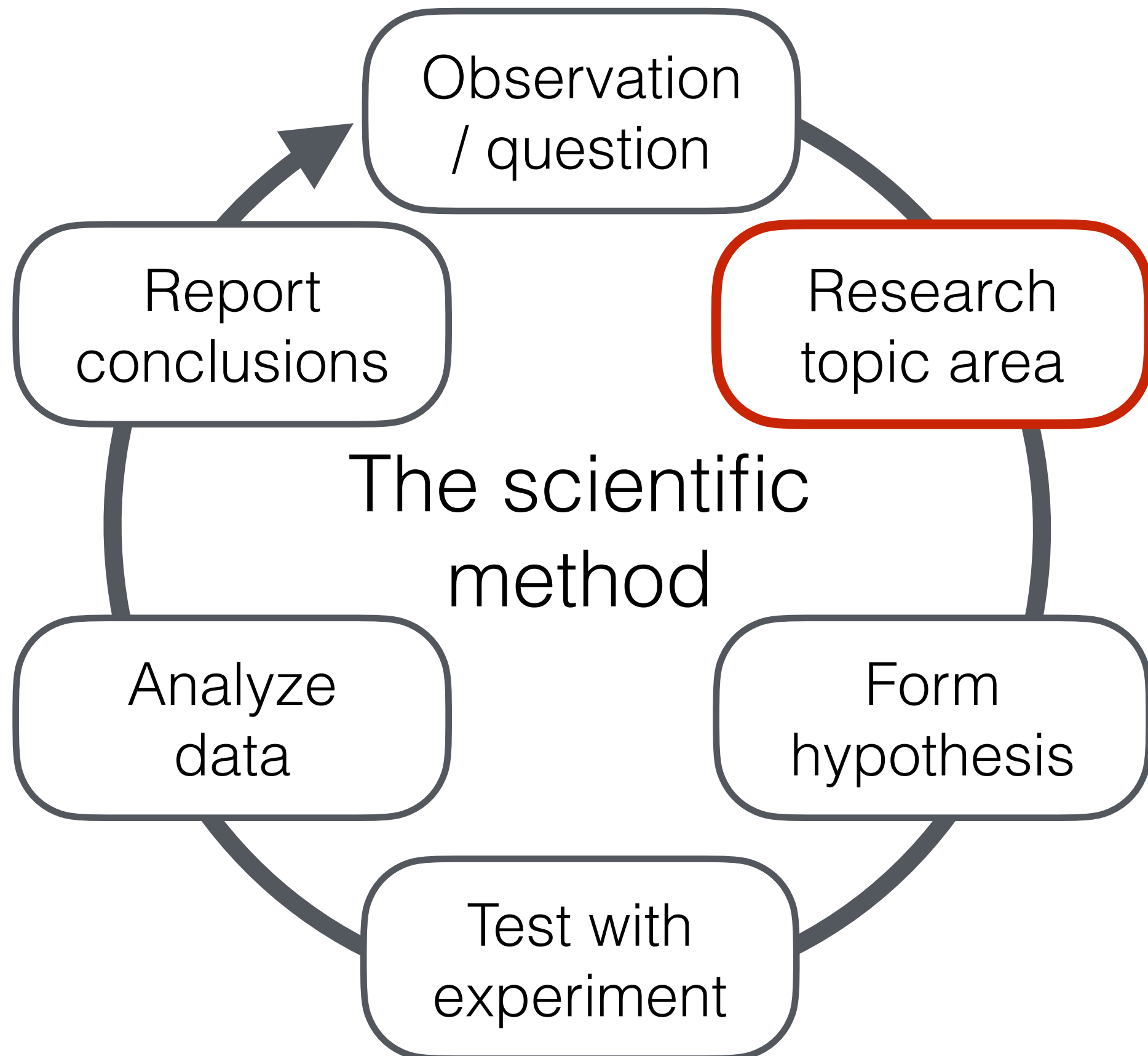
# Examples of Curiosity-Driven Research

- Rankin et al. (2017) ask what is the *difference between the language of real news with that of satire, hoaxes, and propaganda?*
- Cotterell et al. (2018) ask "*are all languages equally hard to language model?*"
- Tenney et al. (2019) quantify *where specific types of linguistic information are encoded in BERT.*

# How Do We Get Research Ideas?

- Turn a concrete understanding of existing research's failings to a higher-level experimental question.
  - **Bottom-up Discovery** of research ideas
  - Great tool for incremental progress, but may preclude larger leaps
- Move from a higher-level question to a lower-level concrete testing of that question.
  - **Top-down Design** of research ideas
  - Favors bigger ideas, but can be disconnected from reality

# Identifying Good Research Directions





# Research Survey Methods

- **Keyword search**
- Find **older/newer papers**
- Read **abstract/intro**
- Read **details of most relevant papers**
- [Make a short summary?]

# Some Sources of Papers in NLP



ACL Anthology

<https://aclanthology.org/>

Google Scholar

<https://scholar.google.com/>

# ACL Anthology

- Covers many prestigious venues in NLP
- Start with past 3-5 years of several top venues (e.g. ACL, EMNLP, NAACL, TACL)

## ACL Events

Venue	2021 – 2020		2019 – 2010										2009 – 2000										1999 – 1990																
AACL	20																																						
ACL	21	20	19	18	17	16	15	14	13	12	11	10	09	08	07	06	05	04	03	02	01	00	99	98	97	96	95	94	93	92	91	90							
ANLP													00										97			94			92										
CL	20		19	18	17	16	15	14	13	12	11	10	09	08	07	06	05	04	03	02	01	00	99	98	97	96	95	94	93	92	91	90							
CoNLL	20		19	18	17	16	15	14	13	12	11	10	09	08	07	06	05	04	03	02	01	00	99	98	97														
EACL	21		17			14			12				09	06			03							99	97		95			93			91						
EMNLP	20		19	18	17	16	15	14	13	12	11	10	09	08	07	06	05	04	03	02	01	00	99	98	97	96													
Findings	21	20																																					
NAACL	21		19	18	16			15		13			12	10	09	07		06	04		03	01		00															
SemEval	21	20	19	18	17	16	15	14	13	12	10		07			04			01			98																	
*SEM	21	20	19	18	17	16	15	14	13	12																													
TACL	21	20	19	18	17	16	15	14	13																														
WMT	20		19	18	17	16	15	14	13	12	11	10	09	08	07	06																							
WS	20		19	18	17	16	15	14	13	12	11	10	09	08	07	06	05	04	03	02	01	00	99	98	97	96	95	94	93	92	91	90							
SIGs	ANN   BIOMED   DAT   DIAL   EDU   EL   FSM   GEN   HAN   HUM   LEX   MEDIA   MOL   MORPHON   MT   NLL   PARSE   REP   S																																						

# Google Scholar

- Allows for search of papers by keyword

The screenshot shows the Google Scholar interface with the search term "neural entity recognition". The results page displays three articles. On the left, there are filters for time (Any time, Since 2021, Since 2020, Since 2017, Custom range...) and type (include patents, include citations). There are also links for "Review articles" and "Create alert".

**Articles** About 323,000 results (0.10 sec)

**Neural architectures for named entity recognition** [PDF] arxiv.org  
G Lample, M Ballesteros, S Subramanian... - arXiv preprint arXiv ..., 2016 - arxiv.org  
State-of-the-art named **entity recognition** systems rely heavily on hand-crafted features and domain-specific knowledge in order to learn effectively from the small, supervised training corpora that are available. In this paper, we introduce two new **neural** architectures---one ...  
☆ Cited by 3138 Related articles All 13 versions

**Boosting named entity recognition with neural character embeddings** [PDF] arxiv.org  
CN Santos, V Guimaraes - arXiv preprint arXiv:1505.05008, 2015 - arxiv.org  
Most state-of-the-art named **entity recognition** (NER) systems rely on handcrafted features and on the output of other NLP tasks such as part-of-speech (POS) tagging and text chunking. In this work we propose a language-independent NER system that uses ...  
☆ Cited by 325 Related articles All 5 versions

**NeuroNER: an easy-to-use program for named-entity recognition based on neural networks** [PDF] arxiv.org  
F Dernoncourt, JY Lee, P Szolovits - arXiv preprint arXiv:1705.05487, 2017 - arxiv.org  
Named-**entity recognition** (NER) aims at identifying **entities** of interest in a text. Artificial **neural** networks (ANNs) have recently been shown to outperform existing NER systems. However, ANNs remain challenging to use for non-expert users. In this paper, we present ...  
☆ Cited by 155 Related articles All 10 versions

View recent papers

View papers that cite this one

# Finding Older Papers

- Often as simple as following references

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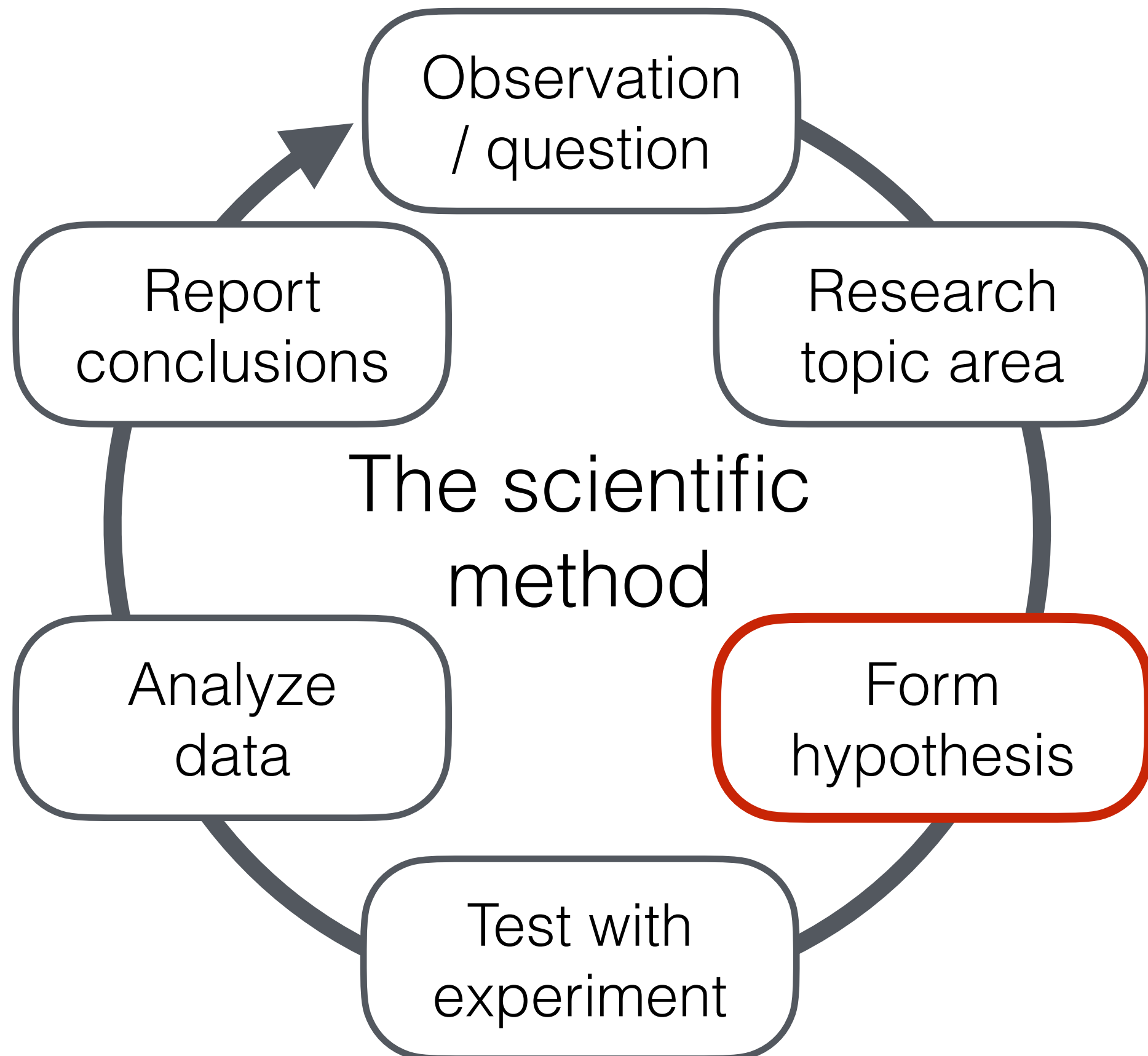
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# The Ups and Downs of Pre-emptive Surveys

- Surveying extensively before doing research:
  - Prevents you from duplicating work
  - Increases your "toolbox" of methods
  - Constrains your thinking (see Varian 1994)



# Identifying Good Research Directions



# Devising Final Research Questions/Hypotheses

- **Research Question:**

- One or several explicit questions regarding the thing that you want to know
- "Yes-no" questions often better than "how to"

- **Hypothesis:**

- What you think the answer to the question may be a-priori
- Should be *falsifiable*: if you get a certain result the hypothesis will be validated, otherwise disproved



# Curiosity-driven Questions + Hypotheses

## Are All Languages Equally Hard to Language-Model?

Modern natural language processing practitioners strive to create modeling techniques that work well on all of the world's languages. Indeed, most methods are portable in the following sense: Given appropriately annotated data, they should, in principle, be trainable on any language. However, despite this crude cross-linguistic compatibility, it is unlikely that all languages are equally easy, or that our methods are equally good at all languages.

Cotterell et al. (2018)

## What makes a particular podcast broadly engaging?

As a media form, podcasting is new enough that such questions are only beginning to be understood (Jones et al., 2021). Websites exist with advice on podcast production, including language-related tips such as reducing filler words and disfluencies, or incorporating emotion, but there has been little quantitative research into how aspects of language usage contribute to listener engagement.

Reddy et al. (2018)

# Application-driven Questions + Hypotheses

However, from these works, it is still not clear as to *when* we can expect pre-trained embeddings to be useful in NMT, or *why* they provide performance improvements. In this paper, we examine these questions more closely, conducting five sets of experiments to answer the following questions:

- Q1 Is the behavior of pre-training affected by language families and other linguistic features of source and target languages? (§3)
- Q2 Do pre-trained embeddings help more when the size of the training data is small? (§4)
- Q3 How much does the similarity of the source and target languages affect the efficacy of using pre-trained embeddings? (§5)
- Q4 Is it helpful to align the embedding spaces between the source and target languages? (§6)
- Q5 Do pre-trained embeddings help more in multilingual systems as compared to bilingual systems? (§7)

Qi et al. (2018)

Yes?  
Yes?  
Not much?  
Yes?  
Unclear

Although recent studies on ST have achieved promising results with end-to-end (E2E) models (Anastasopoulos and Chiang, 2018; Di Gangi et al., 2019; Zhang et al., 2020a; Wang et al., 2020; Dong et al., 2020), nevertheless, they mainly focus on sentence-level translation. One practical challenge when scaling up sentence-level E2E ST to the document-level is the encoding of very long audio segments, which can easily hit the computational bottleneck, especially with Transformers (Vaswani et al., 2017). So far, the research question of whether and how contextual information benefits E2E ST has received little attention.

Probably will help?

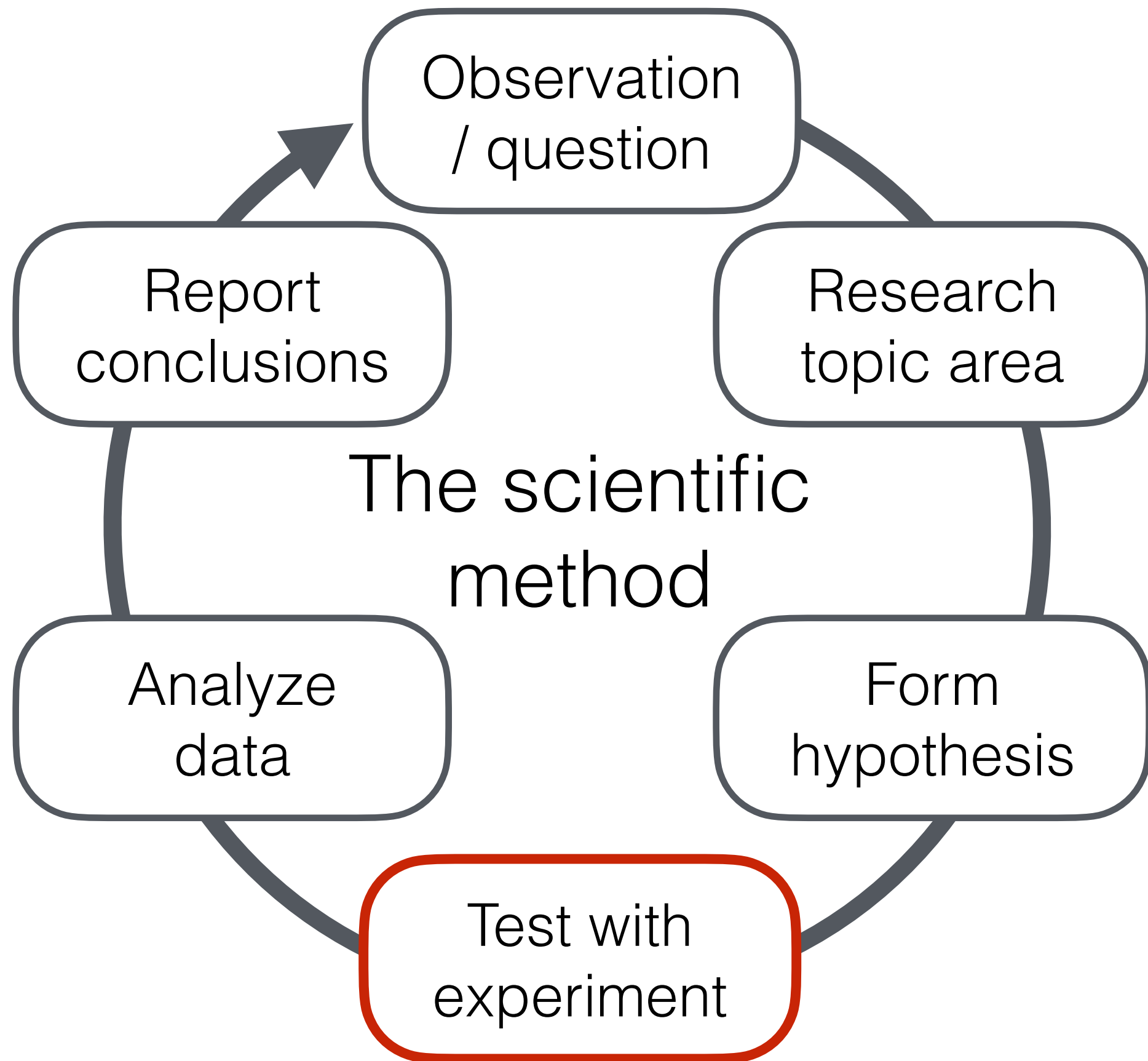
Zhang et al. (2021)

# Beware

"Does X Make Y Better?" "Yes"

- The above question/hypothesis is natural, but indirect
  - If the answer is "no" after your experiments, how do you tell what's going wrong?
- Usually you have an intuition about *why* X will make Y better (not just random)
- Can you think of other research questions/hypotheses that confirm/falsify these assumptions

# Performing Experiments



# Running Experiments

- Find data that will help answer your research question
- Run experiments and calculate numbers
- Calculate significant differences and analyze effects

# Obtaining Test Data

# Finding Datasets

- If **building on previous work**, safest to start with same datasets
- If **answering a new question**
  - Can you repurpose other datasets to answer the question?
  - If not, you'll have to create your own

# Dataset Lists



## Datasets

<https://github.com/huggingface/datasets>



<http://www.elra.info/en/lrec/shared-lrs/>



## Papers With Code

<https://paperswithcode.com/area/natural-language-processing>



# Annotating Data

(Tseng et al. 2020)

- Decide how much to annotate
- Sample appropriate data
- Create annotation guidelines
- Hire/supervise annotators
- Evaluate quality

# How Much Test/Dev Data Do I Need?

- Enough to have **statistically significant differences** (e.g.  $p < 0.05$ ) between methods
- How can I estimate how much is enough? **Power analysis** (see Card et al. 2020)
  - Make assumption about **effect size** between settings (e.g. expected accuracy difference between tested models)
  - Given effect size, significance threshold, determine how much data necessary to get significant effect in most trials

# How Much Training Data Do I Need?

- More is usually better
- But recently reasonable perf. with few-shot, zero-shot transfer + pre-trained models (+prompting?)
- Can do even better with intelligent data selection - active learning

# How Should I Sample Data?

- Coverage of the **domains** that you want to cover
- Coverage of the **language varieties**, **demographics** of users
- Documentation: **data statements for NLP** (Bender and Friedman 2018)

Curation Rationale	Speech Situation
Language Variety	Text Characteristics
Speaker Demographic	Recording Quality
Annotator Demographic	Other Comments

# Annotation Guidelines

- Try to annotate yourself, create annotation guidelines, iterate.
- e.g. Penn Treebank POS annotation guidelines (Santorini 1990)

## 2 LIST OF PARTS OF SPEECH WITH CORRESPONDING TAG

2

### Adverb—RB

This category includes most words that end in *-ly* as well as degree words like *quite*, *too* and *very*, posthead modifiers like *enough* and *indeed* (as in *good enough*, *very well indeed*), and negative markers like *not*, *n't* and *never*.

### Adverb, comparative—RBR

Adverbs with the comparative ending *-er* but without a strictly comparative meaning, like *later* in *We can always come by later*, should simply be tagged as RB.

### Adverb, superlative—RBS

## 4 Confusing parts of speech

This section discusses parts of speech that are easily confused and gives guidelines on how to tag such cases.

### CC or DT

When they are the first members of the double conjunctions *both ... and*, *either ... or* and *neither ... nor*, *both*, *either* and *neither* are tagged as coordinating conjunctions (CC), not as determiners (DT).

EXAMPLES: Either/DT child could sing.

But:

Either/CC a boy could sing or/CC a girl could dance.

Either/CC a boy or/CC a girl could sing.

Either/CC a boy or/CC girl could sing.

What:

Difficult  
Cases:

# Hiring Annotators

- **Yourself:** option for smaller-scale projects
- **Colleagues:** friends or other students/co-workers
- Online:
  - **Freelancers:** Through sites like UpWork
  - **Crowd Workers:** Through sites like Mechanical Turk
- Hire for a small job first to gauge timeliness/accuracy, then hire for bigger job!
- Note: *IRB approval* may be necessary

# Assessing Annotation Quality

- **Human Performance (Accuracy/BLEU/ROUGE):**  
Double-annotate some data, measure metrics

- **Kappa Statistic** (Carletta 1996):

$$\kappa \equiv \frac{p_o - p_e}{1 - p_e} = 1 - \frac{1 - \boxed{p_o}}{1 - \boxed{p_e}}$$

Observed agreement

Expected agreement

- If low you may need to:
  - Revisit guidelines
  - Hire better annotators
  - Rethink whether task is possible

# Data Statements for NLP

(Bender and Friedman 2018)

- A checklist of things to document about your dataset, e.g.

- Curation rationale
- Language variety
- Speaker demographic
- Annotator demographic
- Speech situation
- Text characteristics
- Recording quality
- Other notes



# Running Experiments

# Workflow Automation

- Modularize each step of experiment into directory in -> directory out
- Name directories by parameters  
`transformer-layer8-node512-dropout0.5-labelsmooth0.02`
- Don't re-run directories that are already done
- More sophisticated: duct-tape (<https://github.com/CoderPat/ducttape>)

# Evaluation

- See previous lectures!
- **Train on train, tune on dev, eval on test**
- **Types of metrics**
  - Accuracy
  - Precision/Recall/F-measure
  - NLG metrics
  - Extrinsic evaluation
- **Statistical significance**

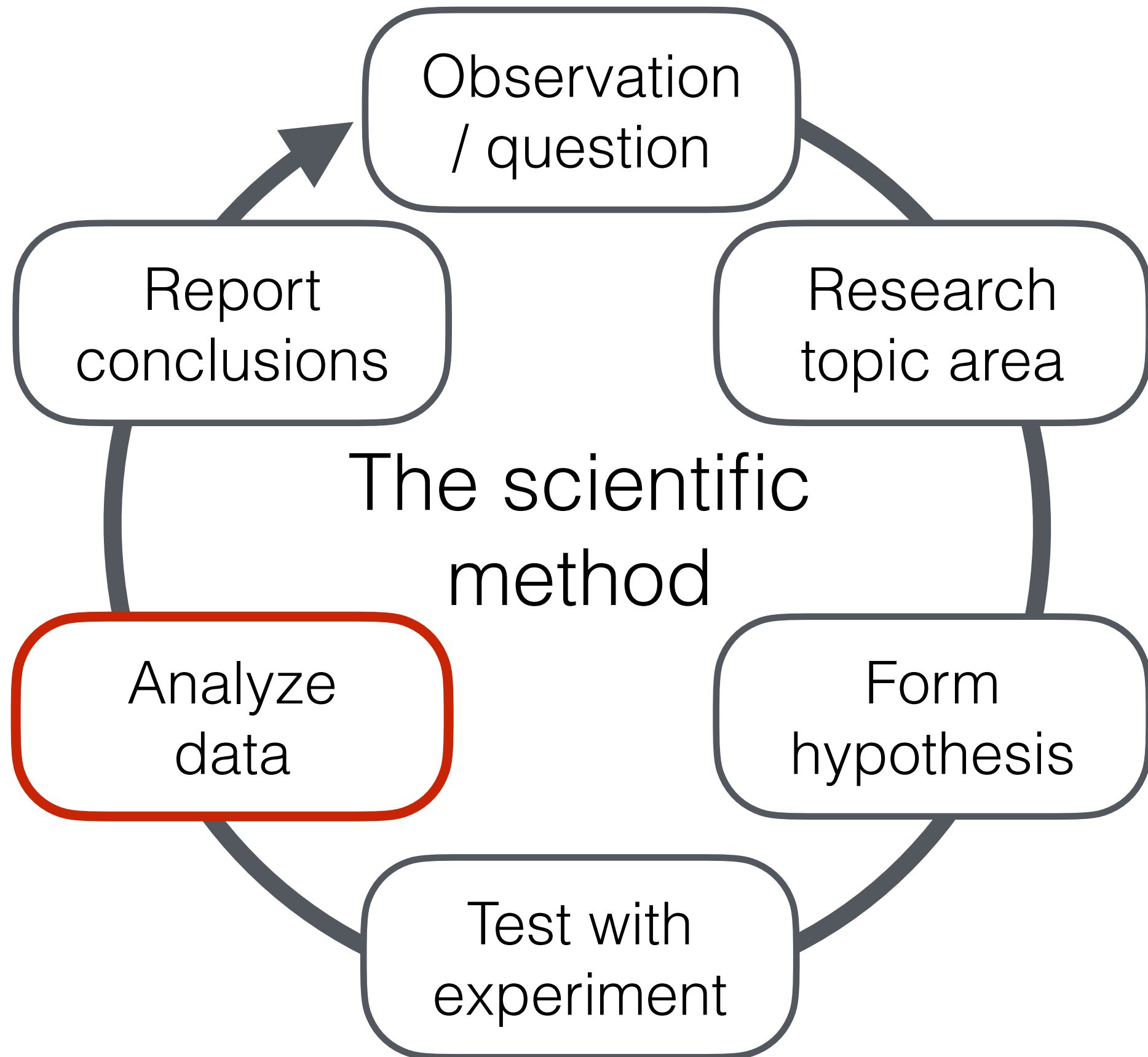
# Result Reporting

- **Plan results section** in advance!
  - Identifies unjustified experimental claims
  - Allows for planning in the "best case scenario"
- **Result generation scripts:**
  - Generate paper LaTeX directly from log files
  - Efficient, and minimizes errors
  - Also allows you to pre-emptively plan experiments

# Computational Resources

- **Online resources:**
  - Amazon Web Services (class credits)
  - Google Cloud/Colab + TensorFlow Research Cloud (TPU)
- **Build your own:**
  - Commodity GPUs RTX 3090 (24GB), A6000 (48GB)

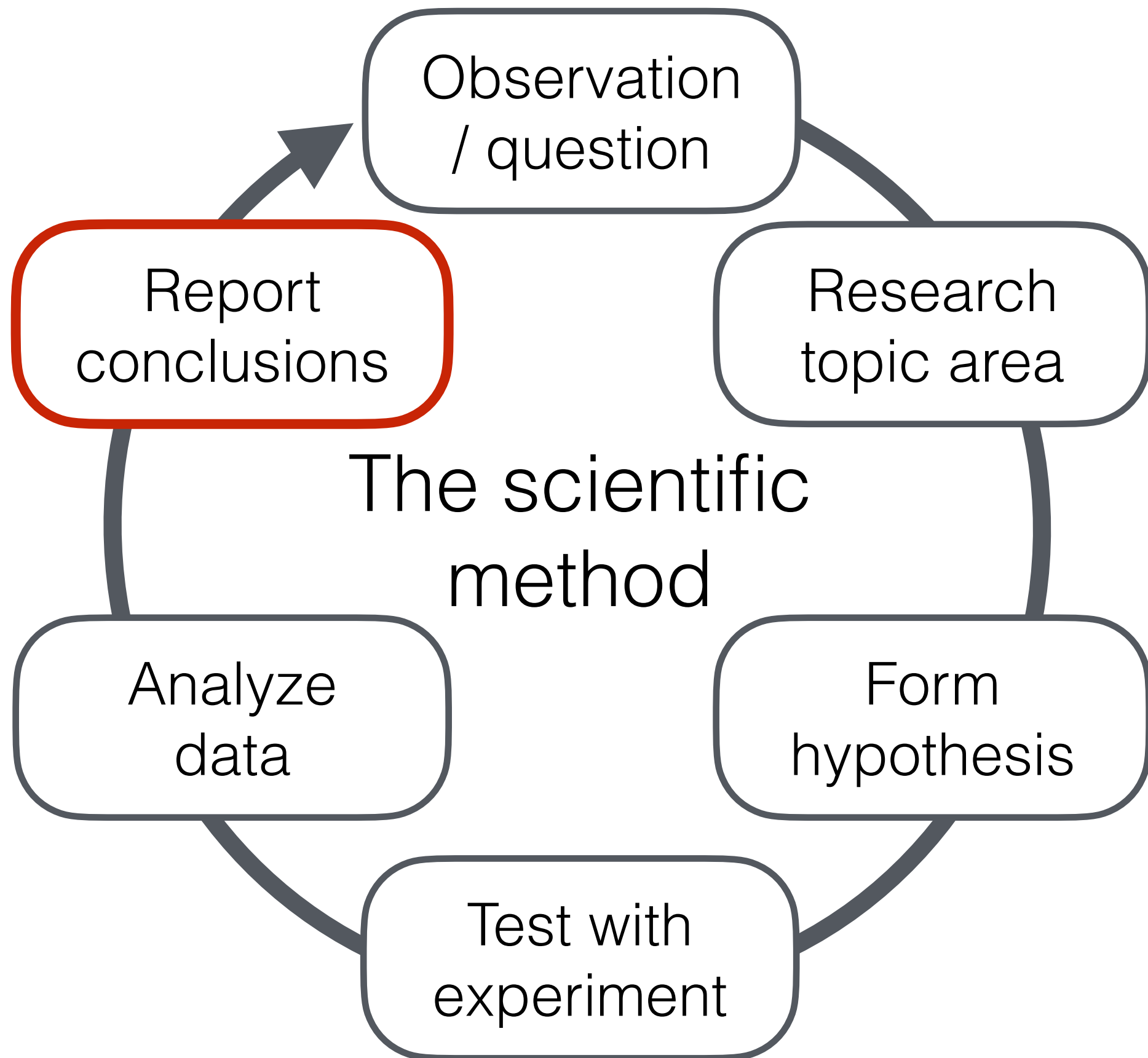
# Analyzing Data



# Data Analysis

- See "interpretation" lecture next
- Look at the data, of course!
- Quantitative analysis
- Qualitative analysis
- Model explanations

# Reporting Conclusions





# Paper Writing Process

- Too much for a single class, but highly recommend

How to Write a Great Research Paper  
Simon Peyton-Jones

<https://www.microsoft.com/en-us/research/academic-program/write-great-research-paper/>

# Questions