

Evaluation: Metrics and Benchmarks

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11-891: Neural Code Generation

<https://cmu-codegen.github.io/s2024/>

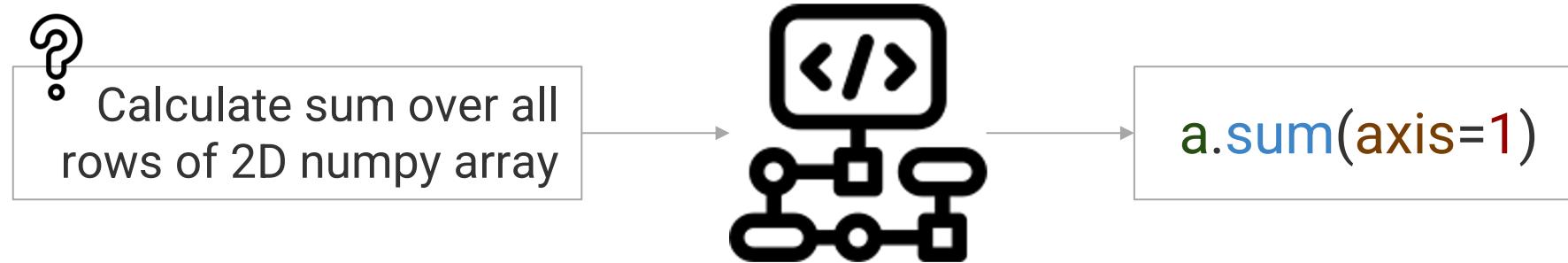


Language
Technologies
Institute

With slides from Zora Wang and Nikitha Rao

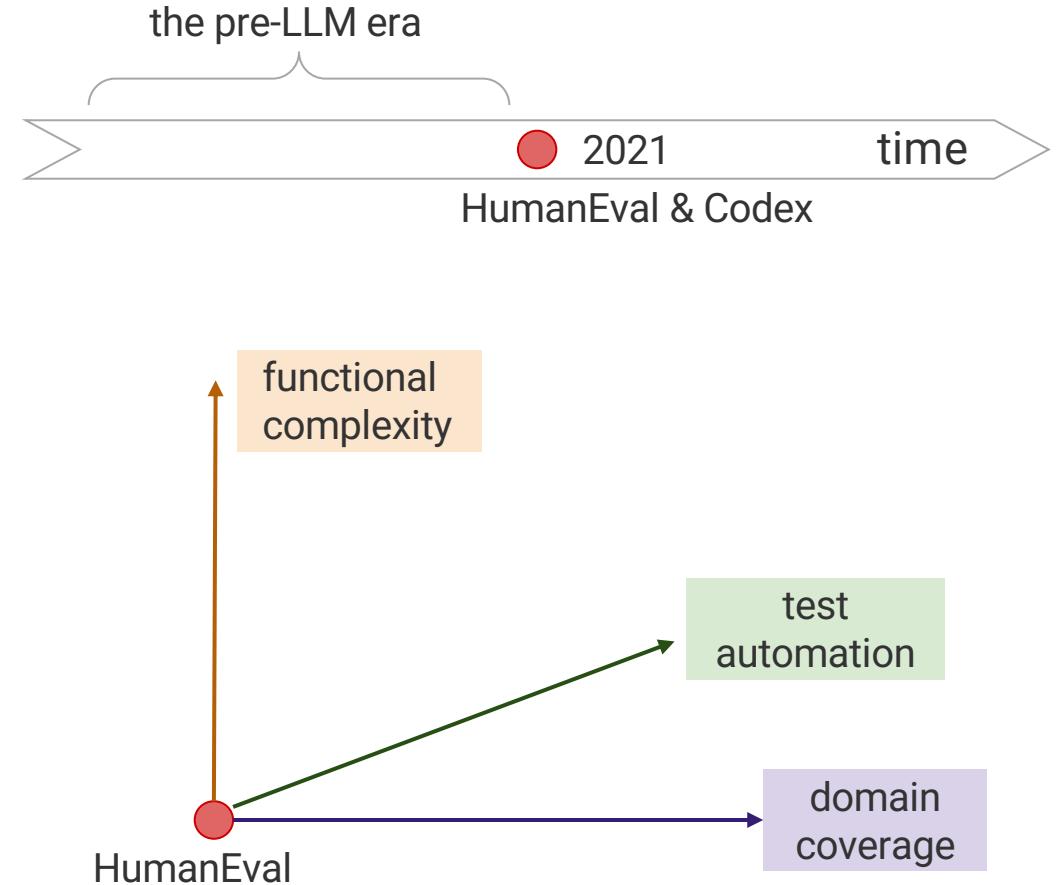
The NL2Code Task

- ▶ Given a natural language instruction Q , generate code implementation C



The Landscape for NL2Code Generation

- ▶ Transition of Evaluation Metrics:
 - ▷ Lexical
 - ▷ Neural based metrics
 - ▷ Test case execution
- ▶ Domain Coverage
 - ▷ Built-in grammar: `sum([1, 2, 4])`
 - ▷ Domain-specific: data science
 - ▷ Open domain: diverse Python libraries
- ▶ Functional Complexity
 - ▷ Simple (toy) functions: e.g., LeetCode
 - ▷ Class level
 - ▷ Repository level
- ▶ Test Automation
 - ▷ Human-written tests
 - ▷ Fuzzing methods
 - ▷ Integrating LLMs



Pre-2020

- ▶ Most code snippets were short, and evaluated using BLEU or exact match.
- ▶ Datasets were fairly large, with dedicated training sets.

Natural Language	Bash Command(s)
<i>find java files in the current directory tree that contain the pattern 'TODO' and print their names</i>	grep -l "TODO" *.java find . -name "*.java" -exec grep -il "TODO" {} \; find . -name "*.java" xargs -I {} grep -l "TODO" {}
<i>display the 5 largest files in the current directory and its sub-directories</i>	find . -type f sort -nk 5,5 tail -5 du -a . sort -rh head -n5 find . -type f -printf '%s %p\n' sort -rn head -n5
<i>search for all jpg images on the system and archive them to tar ball "images.tar"</i>	tar -cvf images.tar \$(find / -type f -name *.jpg) tar -rvf images.tar \$(find / -type f -name *.jpg) find / -type f -name "*.jpg" -exec tar -cvf images.tar {} \;

	Train	Dev	Test
# pairs	8,090	609	606
# unique nls	7,340	549	547

Pre-2020

- ▶ Most code snippets were short, and evaluated using BLEU or exact match.
- ▶ Datasets were fairly large, with dedicated training sets.

Dataset	PL	# pairs	# words	# tokens	Avg. # w. in nl	Avg. # t. in code	NL collection	Code collection	Semantic alignment	Introduced by
IFTTT	DSL	86,960	—	—	7.0	21.8	scraped	scraped	Noisy	(Quirk et al., 2015)
C#2NL*	C#	66,015	24,857	91,156	12	38				(Iyer et al., 2016)
SQL2NL*	SQL	32,337	10,086	1,287	9	46				(Zhong et al., 2018)
RegexLib	Regex	3,619	13,491	179*	36.4	58.8*				(Ling et al., 2016)
HeartStone MTG	Python	665	—	—	7	352*	game card description	game card source code	Good*	(Yao et al., 2018)
	Java	13,297	—	—	21	1,080*				(Locascio et al., 2016)
StaQC	Python	147,546	17,635	137,123	9	86	extracted using ML	extracted using ML	Noisy	(Zhong et al., 2017)
	SQL	119,519	9,920	21,413	9	60				(Dahl et al., 1994)
NL2RX	Regex	10,000	560	45**†	10.6	26*	synthesized & paraphrased	synthesized	Very Good	(Tang and Mooney, 2001)
WikiSQL	SQL	80,654	—	—	—	—				(Cai and Yates, 2013)
NLMAPS	DSL	2,380	1,014	—	10.9	16.0	synthesized given code	expert written	Very Good	(Haas and Riezler, 2016)
Jobs640*	DSL	640	391	58†	9.8	22.9				(Zelle and Mooney, 1996)
GEO880	DSL	880	284	60†	7.6	19.1	user written	expert written given NL	(Yih et al., 2016)	(Kushman and Barzilay, 2013)
Freebase917	DSL	917	—	—	—	—				(Dahl et al., 1994)
ATIS*	DSL	5,410	936	176†	11.1	28.1	search log	turker written	(Oda et al., 2015)	(Cai and Yates, 2013)
WebQSP	DSL	4,737	—	—	—	—				Ours
NL2RX-KB13	Regex	824	715	85**†	7.1	19.0*	expert written given code	scraped	Noisy	(Yih et al., 2016)
Django*	Python	18,805	—	—	14.3	—				(Quirk et al., 2015)
NL2Bash	Bash	9,305	7,790	6,234	11.7	7.7				

Evaluation Metrics

Reference Matching: BLEU

- ▶ Developed for machine translation (Papineni et al. 2002)
- ▶ Compares n-gram overlap between predicted and reference
- ▶ Typically, uses n-grams up to 4 (BLEU-4)

Reference: Taro visited Hanako

System: the Taro visited the Hanako

1-gram: 3/5

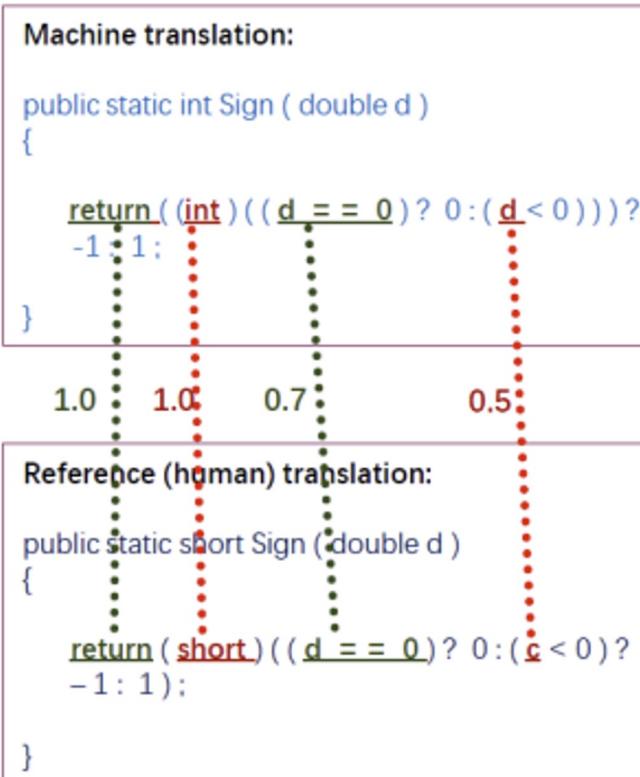
2-gram: 1/4

Brevity: $\min(1, |\text{System}|/|\text{Reference}|) = \min(1, 5/3)$ brevity penalty = 1.0

$$\begin{aligned}\text{BLEU-2} &= (3/5 * 1/4)^{1/2} * 1.0 \\ &= 0.387\end{aligned}$$

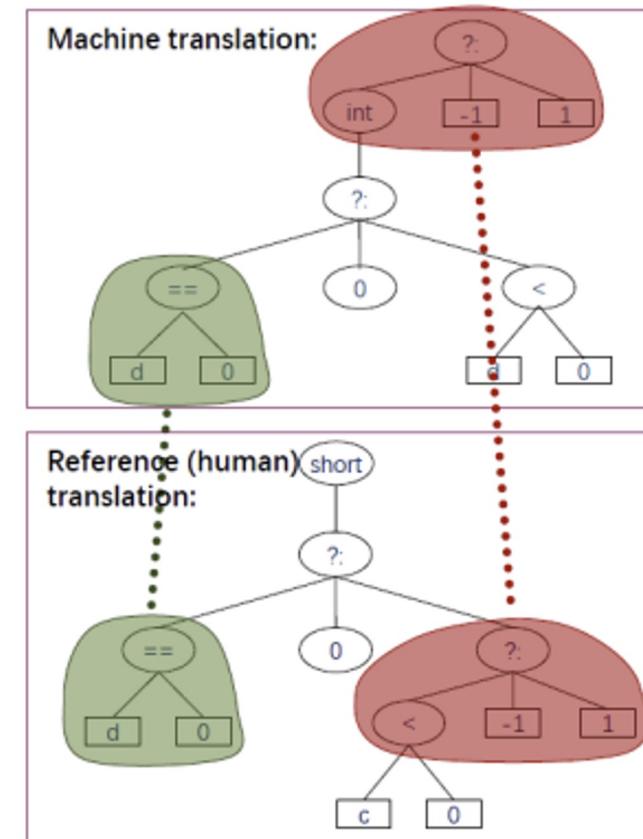
Reference Matching: CodeBLEU

Higher weight for keywords



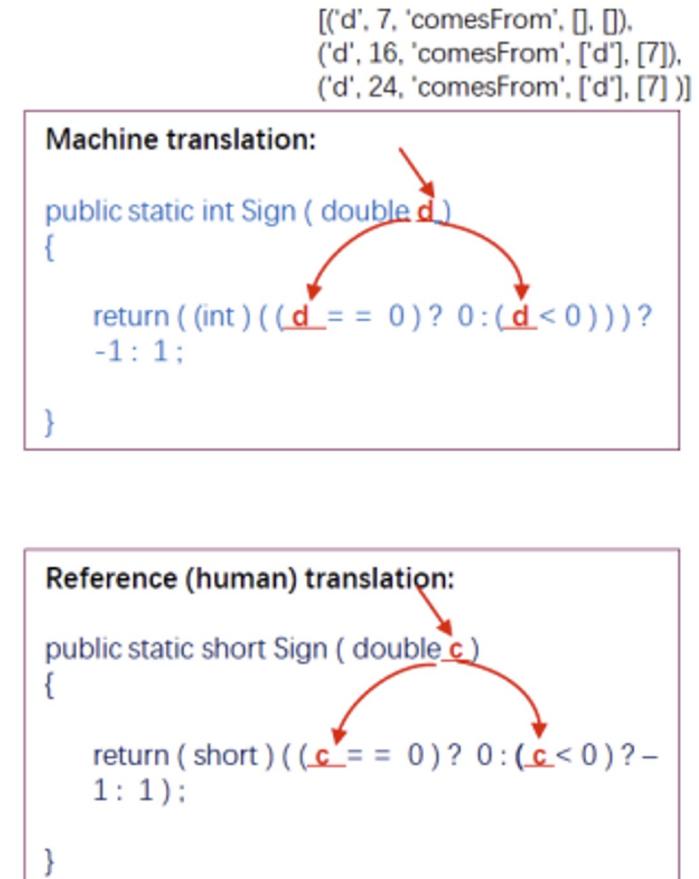
Weighted N-Gram Match

Match syntactic subtrees



Syntactic AST Match

Match data dependency graphs



Semantic Data-flow Match

$$\text{CodeBLEU} = \alpha \cdot \text{N-Gram Match (BLEU)} + \beta \cdot \text{Weighted N-Gram Match} + \gamma \cdot \text{Syntactic AST Match} + \delta \cdot \text{Semantic Data-flow Match}$$

Reference Matching: CodeBLEU

- ▶ When evaluating evaluation metrics, check correlation with human judgements.
- ▶ In CodeBLEU: rate code outputs on a Likert scale of general quality (1=very bad; 5=very good)

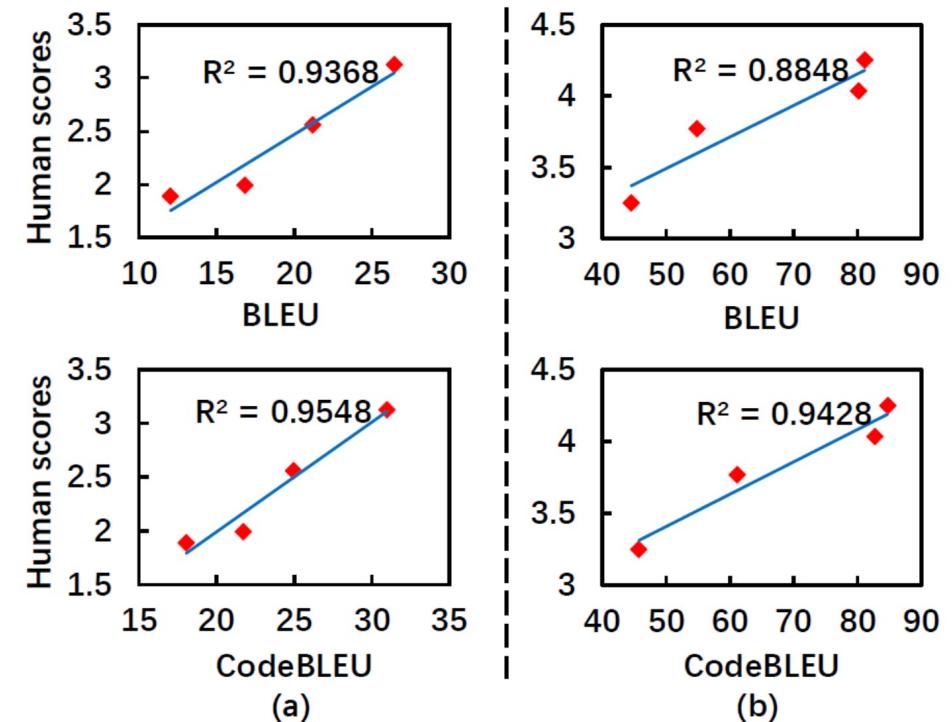
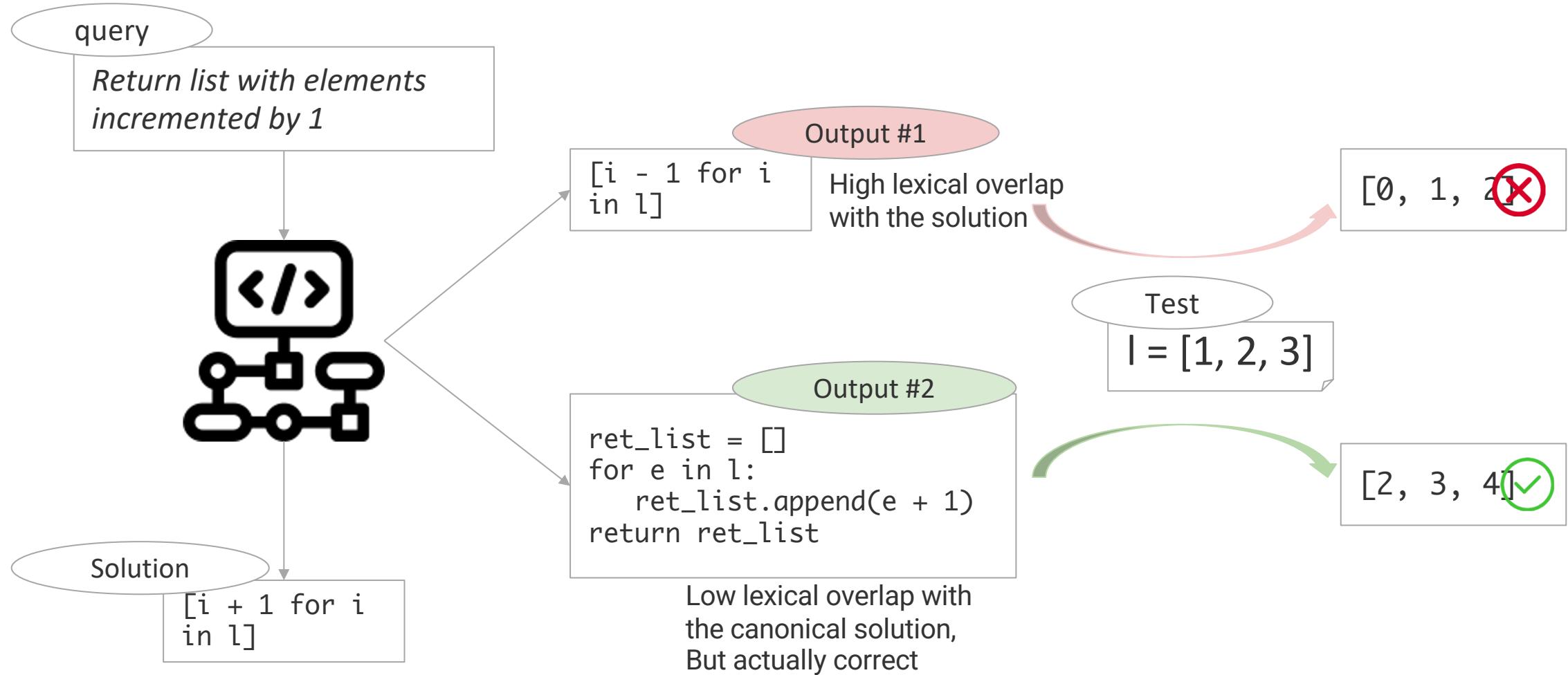


Figure 5: BLEU and CodeBLEU predict human evaluation scores. (a) Text-to-code; (b) Code translation.

Issues: Evaluations Are Not Rigorous



HumanEval Benchmark

- ▶ Evaluation: test case execution
- ▶ 164 hand-written examples, by authors of the paper
- ▶ Why human-written?
 - ▷ “It is important for these tasks to be hand-written, since our models are trained on a large fraction of GitHub, which already contains solutions to problems from a variety of sources.”

```
def solution(lst):
    """Given a non-empty list of integers, return the sum of all of the odd elements
    that are in even positions.
```

Examples

```
solution([5, 8, 7, 1]) =>12
solution([3, 3, 3, 3, 3]) =>9
solution([30, 13, 24, 321]) =>0
"""

```

```
return sum(lst[i] for i in range(0, len(lst)) if i % 2 == 0 and lst[i] % 2 == 1)
```

MBPP: Mostly Basic Python Programs

- ▶ Similar to HumanEval, but a bit easier
- ▶ 974 short Python problems, written by crowdworkers
 - ▷ 58% mathematical, 43% list processing, 19% string processing

MBPP: Mostly Basic Python Programs

- ▶ Model performance is sensitive to sampling temperature and number of candidates (similar findings in HumanEval/Codex paper)

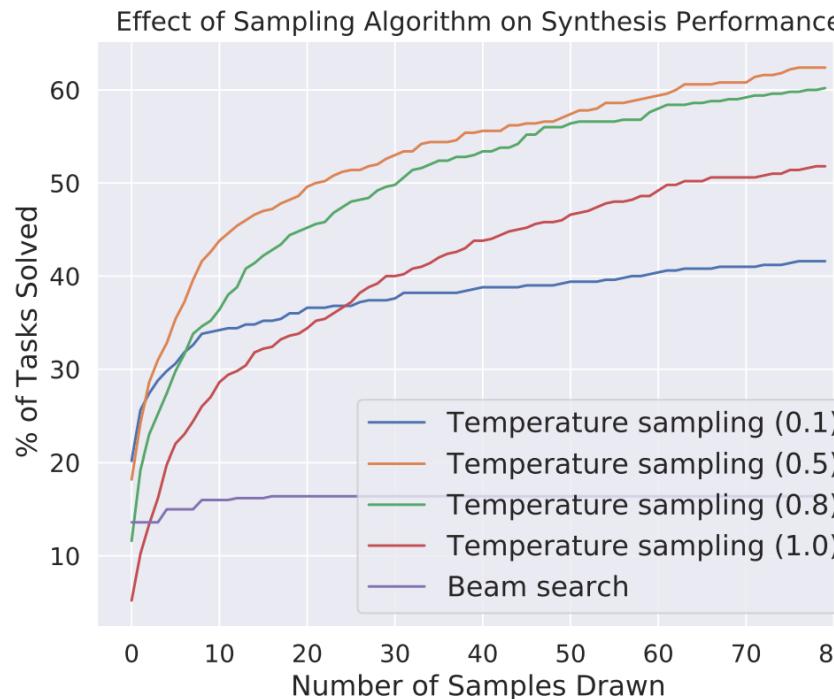


Figure 9: Higher temperatures achieve better scaling with more samples, but perform worse with a smaller budget.

MBPP: Mostly Basic Python Programs

- ▶ BLEU against a reference solution is uncorrelated with whether samples pass execution tests (similar findings in Codex paper).

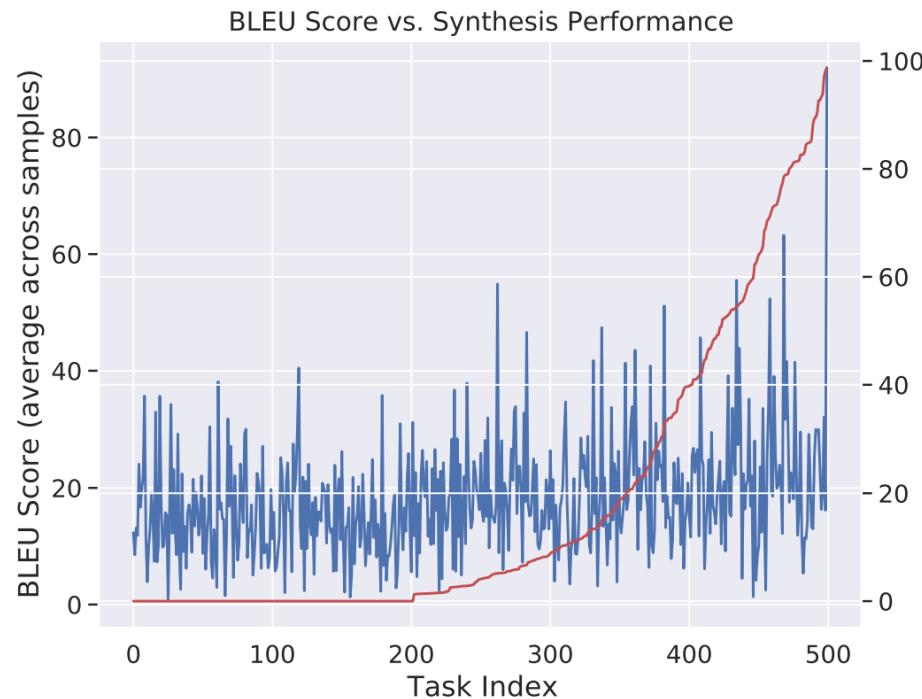


Figure 10: Comparison of BLEU score and synthesis performance for the 137B parameter model. No strong correlation is observed.

MBPP: Mostly Basic Python Programs

- ▶ Model evaluated is a large Google LLM, LaMDA, trained mostly on natural language, which has some interaction ability.

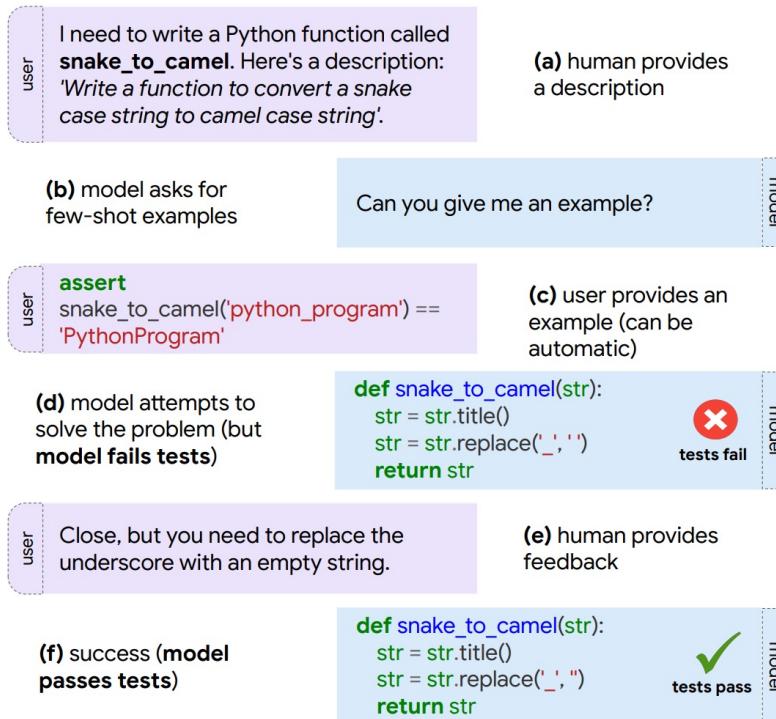


Figure 12: An overview of the “flow” of the human-model collaboration experiments. The human gives a description of the desired program and then guides the model toward the correct solution via dialog.

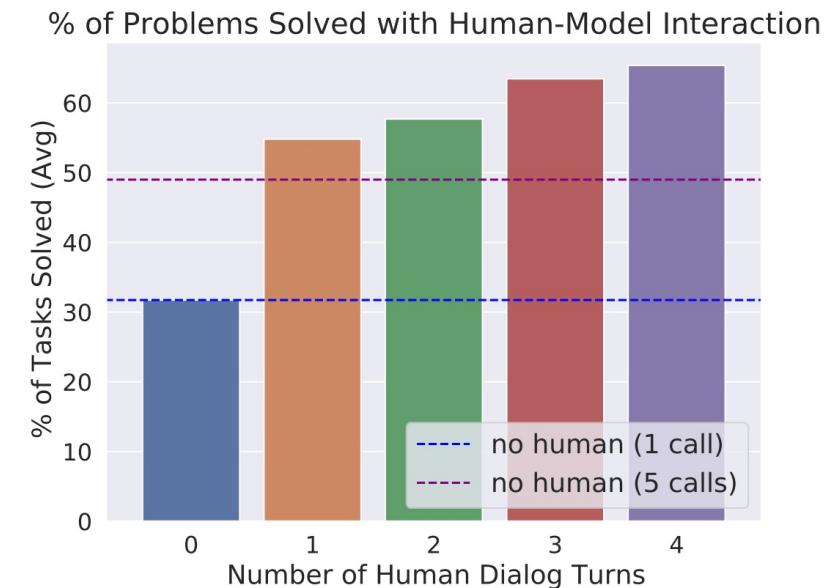
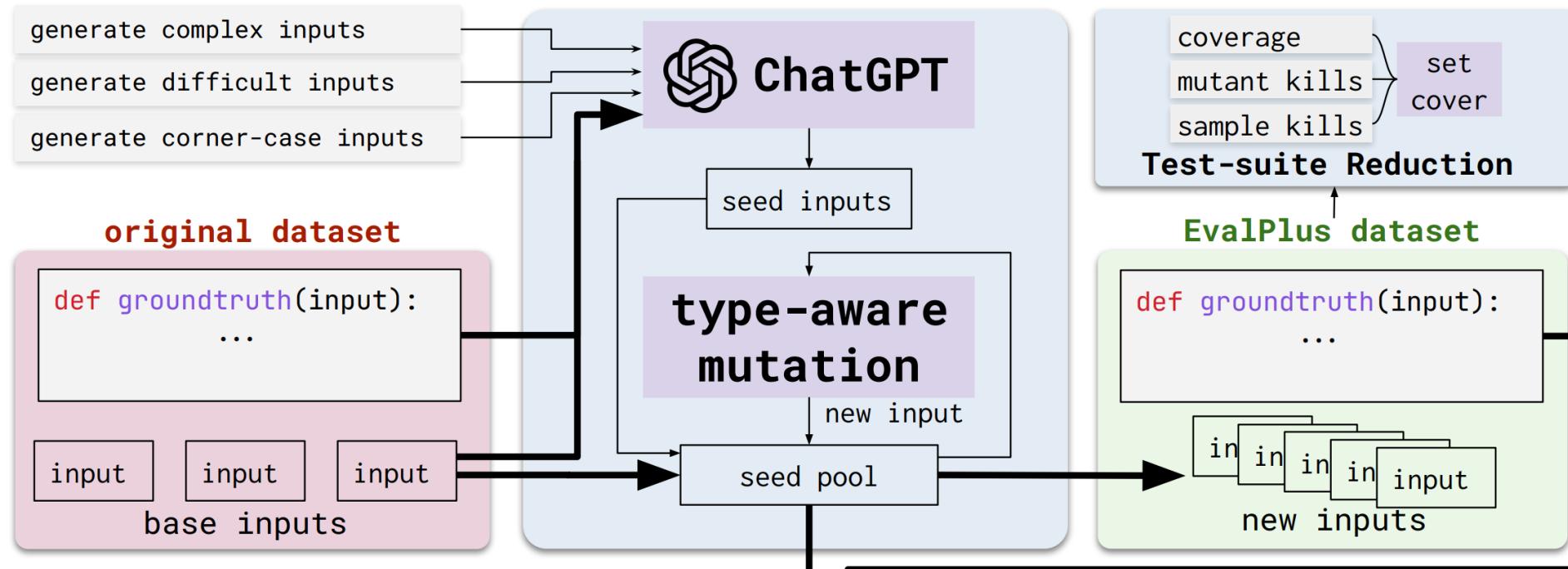


Figure 13: Percent of problems solved as the number of human dialog interventions increases. With 4 interventions, the solve rate increases from 30% to over 65%. Except for the purple horizontal baseline (which corresponds to 5 samples from the model), all pass-rates in this figure were computed using a single sample from the model.

Automated & Improved Testing

- ▶ EvalPlus: use LLMs and *fuzzing* (type-aware mutation) to create test cases
- ▶ Prompt ChatGPT with the GT solution, some inputs, and instructions to generate more



Automated & Improved Testing

- ▶ EvalPlus: use LLMs and *fuzzing* (type-aware mutation) to create test cases
- ▶ Fuzzing: mutate inputs to the functions, apply the groundtruth function, and use the input-output pair to make a new test case.

Table 1: List of basic type-aware mutations over input x .

Type	Mutation	Type	Mutation
<code>int float</code>	Returns $x \pm 1$	List	$\begin{cases} \text{Remove/repeat a random item } x[i] \\ \text{Insert/replace } x[i] \text{ with } \text{Mutate}(x[i]) \end{cases}$
<code>bool</code>	Returns a random boolean	Tuple	Returns <code>Tuple(Mutate(List(x)))</code>
<code>NoneType</code>	Returns <code>None</code>	Set	Returns <code>Set(Mutate(List(x)))</code>
<code>str</code>	$\begin{cases} \text{Remove a sub-string } s \\ \text{Repeat a sub-string } s \\ \text{Replace } s \text{ with } \text{Mutate}(s) \end{cases}$	Dict	$\begin{cases} \text{Remove a key-value pair } k \rightarrow v \\ \text{Update } k \rightarrow v \text{ to } k \rightarrow \text{Mutate}(v) \\ \text{Insert } \text{Mutate}(k) \rightarrow \text{Mutate}(v) \end{cases}$

Automated & Improved Testing

- ▶ EvalPlus: use LLMs and *fuzzing* (type-aware mutation) to create test cases
- ▶ Optionally, minify the test sets while preserving code coverage and edge case detection.

Table 2: Overview of EvalPlus-improved benchmarks.

	Size	#Tests			#Tasks	
		Avg.	Medium	Min.	Max.	
HUMAN-EVAL		9.6	7.0	1	105 ²	
HUMAN-EVAL ⁺		764.1	982.5	12	1,100	164
HUMAN-EVAL ⁺ -MINI		16.1	13.0	5	110	
		Size	pass@k	k=1*	k=1	k=10
GPT-4 [49]	N/A	base	88.4			
		+extra	76.2			
Phind-CodeLlama [52]	34B	base	71.3	71.6	90.5	96.2
		+extra	67.1	67.0	85.0	92.5
WizardCoder-CodeLlama [38]	34B	base	73.2	61.6	85.2	94.5
		+extra	64.6	54.5	78.6	88.9
ChatGPT [48]	N/A	base	73.2	69.4	88.6	94.0
		+extra	63.4	62.5	82.1	91.1

Automated & Improved Testing

- ▶ EvalPlus: use LLMs and *fuzzing* (type-aware mutation) to create test cases
- ▶ These extra tests substantially reduce the pass@k of many models!

	Size	pass@k	k=1*	k=1	k=10	k=100
GPT-4 [49]	N/A	base	88.4			
		+extra	76.2			
Phind-CodeLlama [52]	34B	base	71.3	71.6	90.5	96.2
		+extra	67.1	67.0	85.0	92.5
WizardCoder-CodeLlama [38]	34B	base	73.2	61.6	85.2	94.5
		+extra	64.6	54.5	78.6	88.9
ChatGPT [48]	N/A	base	73.2	69.4	88.6	94.0
		+extra	63.4	62.5	82.1	91.1

MultiPL-E

- ▶ Key idea: it's relatively easy to translate test cases on simple types (e.g. no matrices or functions) from Python to other languages.
- ▶ This allows porting HumanEval & MBPP to 18 other languages.

(a) Original Python assertion.

```
assert lsi([0]) == (None, None)
```

(b) Equivalent R.

```
if(!identical(lsi(c(0)), c(NULL, NULL))) {  
    quit('no', 1)}
```

(c) Equivalent JavaScript.

```
assert.deepEqual(lsi([0]), [void 0, void 0]);
```

Figure 4: Example of a translated assertion.

(a) Original Python docstring from HumanEval #95.

Given a `dictionary`, return `True` if all keys are strings in lower case or all keys are strings in upper case, else return `False`. The function should return `False` if the given `dictionary` is empty.

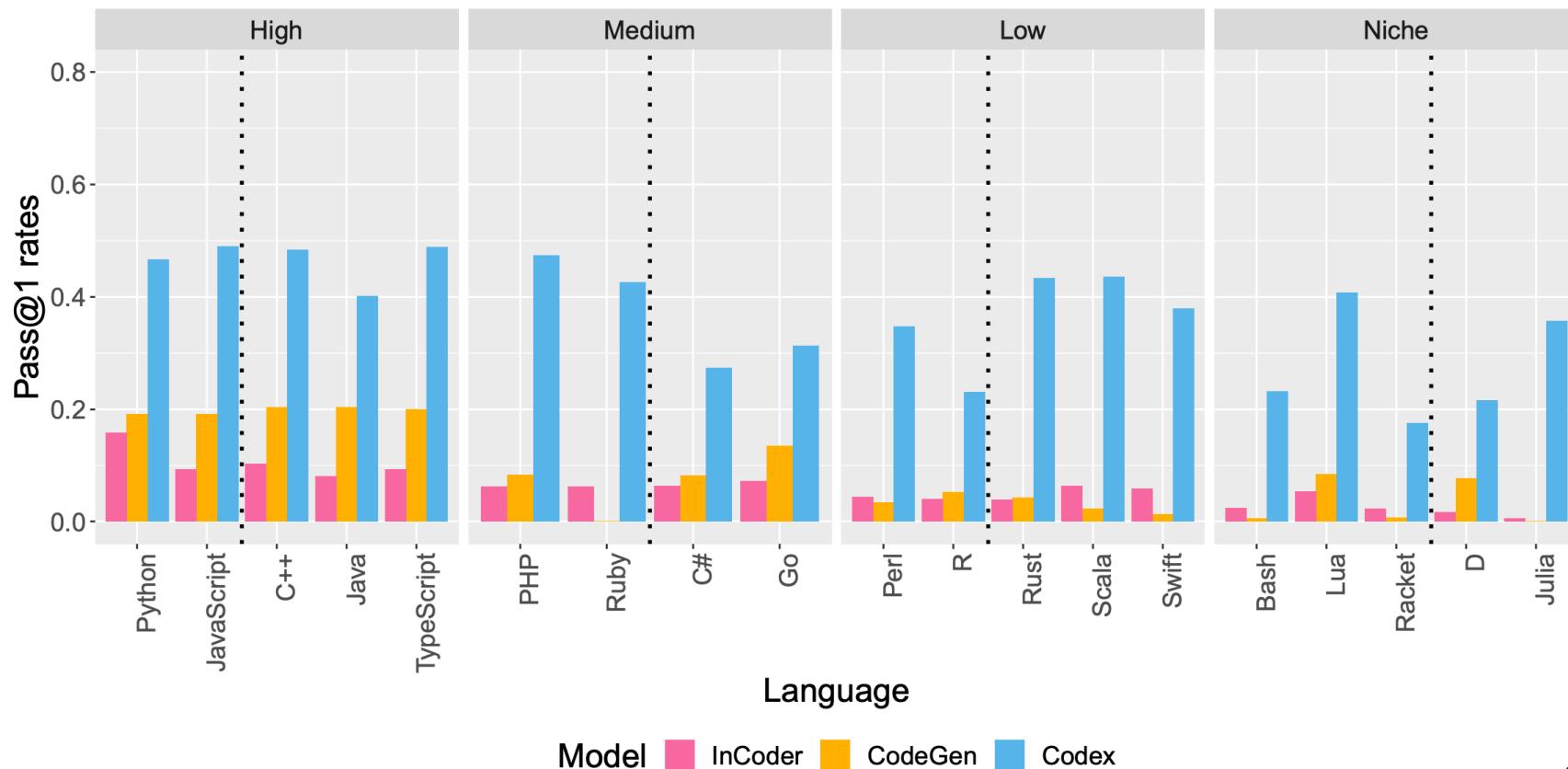
(b) Terminology translated to Perl.

Given a `hash`, return `1` if all keys are strings in lower case or all keys are strings in upper case, else return `""`. The function should return `""` if the given `hash` is empty.

Figure 5: A Python docstring and its Perl translation. Errors (e.g., “is” for “if”) are from the original benchmark.

MultiPL-E

- ▶ Models are generally better on “high-resource” languages with more code on GitHub.
- ▶ More analysis of this in the Data lecture, with Starcoder.



Incorrect Code Can Be Valuable Too!

- ▶ Code might be easily editable to achieve a good solution.

Levenshtein distance: number of character edits required to transform.

$$\text{EDIT-SIM} = 1 - \frac{\text{lev}(\text{gen}, \text{ref})}{\max(\text{len}(\text{gen}), \text{len}(\text{ref}))}$$

Reference Code Snippet

```
def even_odd_count(num):
    even_count = 0
    odd_count = 0
    for i in str(abs(num)):
        if int(i)%2==0:
            even_count +=1
        else:
            odd_count +=1
    return (even_count, odd_count)
```

Generated Code Snippet

```
def even_odd_count(num):
    even_count = 0
    odd_count = 0
    for i in str(num):
        if int(i) % 2 == 0:
            even_count += 1
        else:
            odd_count += 1
    return even_count, odd_count
```

Functional Metric

pass = 0

Similarity Metric

edit similarity = 0.93

Human preference

preference = 0.9

Incorrect Code Can Be Valuable Too!

- ▶ Dibia et al. compare metrics to evaluate 5 model outputs on HumanEval.
 - ▷ EditDistance, BLEU, Pass@1
- ▶ Professional programmers with Python experience rate on:
 - ▷ **Accuracy:** judge if the snippets are functionally equivalent (judging is easier than writing!)
 - ▷ **Value:** How useful is the snippet as a starting point?
 - ▷ **Effort:** how much effort to modify the solution into a correct one?

Incorrect Code Can Be Valuable Too!

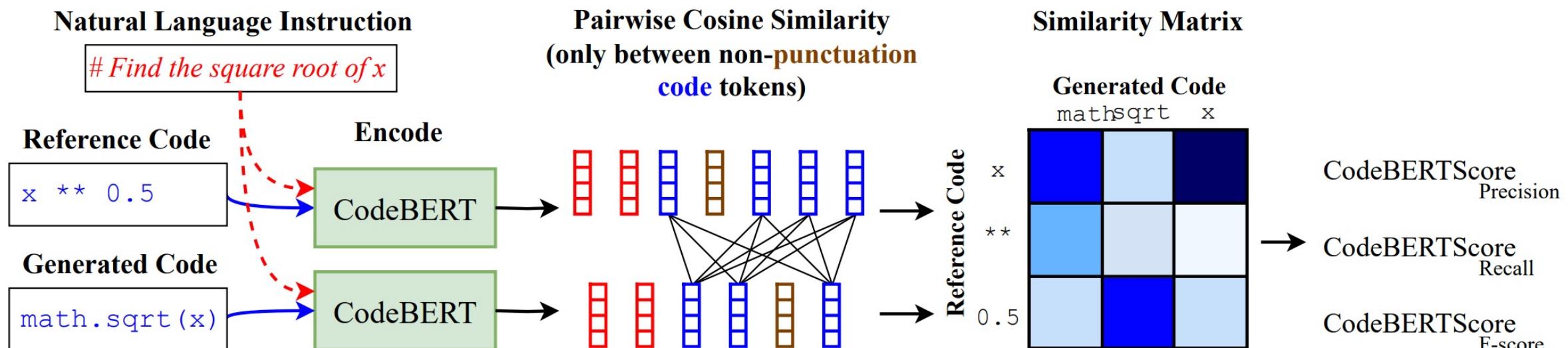
- ▶ Value is nearly perfectly correlated with effort (accuracy less so).
- ▶ Of all metrics, Pass@1 is most correlated with Value
- ▶ But, Edit sim > BLEU and a combination is best (as dissimilar, incorrect code is bad).

$$\text{COMBINED} = \min(1.0, \text{PASS} + \text{EDIT-SIM})$$

	Human Judgement			Offline Metrics			
	Value	Accuracy	Effort	Pass	Edit Sim	bleu	Combined
Value	1.00						
Accuracy	0.87	1.00					
Effort	0.94	0.86	1.00				
Pass	0.61	0.66	0.62	1.00			
Edit Sim	0.48	0.46	0.51	0.33	1.00		
bleu	0.36	0.34	0.39	0.19	0.68	1.00	
Combined	0.70	0.71	0.72	0.89	0.61	0.38	1.00

CodeBERTScore: Model-based Evaluation

- ▶ Captures some intuitions about incorrect code being useful
 - ▶ BLEU and edit distance only give points for exactly matching code
 - ▶ Takes NL code descriptions into account
-
- ▶ Use vector similarity from CodeBERT representations
 - ▶ Recall: every reference vector has ≥ 1 candidate vector with high similarity
 - ▶ Precision: every candidate vector has ≥ 1 reference vector with high similarity



Domains of Code

HumanEval Looks Like Toy Examples?

▶ HumanEval Examples

```
def incr_list(l: list):
    """Return list with elements incremented by 1.
    >>> incr_list([1, 2, 3])
    [2, 3, 4]
    >>> incr_list([5, 3, 5, 2, 3, 3, 9, 0, 123])
    [6, 4, 6, 3, 4, 4, 10, 1, 124]
    """
    return [i + 1 for i in l]
```

```
def solution(lst):
    """Given a non-empty list of integers, return the sum of all of the odd elements
    that are in even positions.

    Examples
    solution([5, 8, 7, 1]) ==>12
    solution([3, 3, 3, 3, 3]) ==>9
    solution([30, 13, 24, 321]) ==>0
    """
    return sum(lst[i] for i in range(0, len(lst)) if i % 2 == 0 and lst[i] % 2 == 1)
```

Real-World Development

Asking the user for input until they give a valid response

Asked 9 years, 6 months ago Modified 1 year, 5 months ago Viewed 1.0m times

I am writing a program that accepts user input.

750

```
#note: Python 2.7 users should use `raw_input`, the equivalent of 3.X's `input`
age = int(input("Please enter your age: "))
if age >= 18:
    print("You are able to vote in the United States!")
else:
    print("You are not able to vote in the United States.")
```

The screenshot shows a GitHub repository page for the `transformers` library. The repository has 1.1k stars, 22.9k forks, and 114k issues. It contains 262 branches and 139 tags. The main branch is active. The repository is public and was last updated 1 hour ago. The commit history includes several recent changes from the maintainer `hi-sushanta`, such as removing redundant classes and updating documentation. The repository is associated with the URL huggingface.co/transformers and is tagged with various technologies like Python, NLP, Machine Learning, and PyTorch.

Broadening Domains

- ▶ Leetcode Style: HumanEval, APPS, MBPP
 - ▷ Manually written or collected from code contest websites
 - ▷ Only uses Python built-in grammar
- ▶ Limited Domains: e.g., Data Science
 - ▷ DS-1000: StackOverflow questions
 - ▷ ARCADE: Interactive Jupyter Notebooks
 - ▷
- ▶ Open Domain: ODEX
 - ▷ 79 Python libraries
 - ▷ Four natural languages

NumPy	SciPy	Pandas	TensorFlow	PyTorch	Scikit-learn	Matplotlib
<p>Problem: I could not find a built-in function in Python to generate a log uniform distribution given a min and max value (the R equivalent is here), something like: <code>logunif(min, max, base)</code> that returns n log uniformly distributed in the range min and max.</p> <p>The closest I found though was <code>numpy.random.uniform</code>. That is, given range of x, I want to get samples of given size (n) that suit log-uniform distribution. Any help would be appreciated!</p> <p>A: <code> import numpy as np min = 1 max = np.e n = 10000 </code> BEGIN SOLUTION <code> [insert] </code> END SOLUTION <code> print(result) </code></p>	<p>Reference Solution</p> <pre>import scipy.stats result = scipy.stats.loguniform.rvs(a = min, b = max, size = n)</pre>	<p>Automatic Evaluation</p> <p>Test case 1</p> <pre>min = 1 max = np.e n = 10000 ans = ... # generated by Reference solution</pre> <p>Test code</p> <pre>np.testing.assert_array_equal(result.shape, ans.shape) from scipy.stats import ks_2samp # Kolmogorov-Smirnov Test judges whether the two sampled # from similar distribution assert ks_2samp(result, ans)[0] <= 0.1</pre> <p>Surface form constraints <code>for</code> and <code>while</code> should not appear in Syntax Tree</p>				

NumPy example problem involving randomness, requiring the use of a specialist knowledge test.

APPS

- ▶ 10,000 problems taken from contest and exercise sites
- ▶ 3 difficulty levels
- ▶ Has test cases

Problem

You are given two integers n and m . Calculate the number of pairs of arrays (a, b) such that: the length of both arrays is equal to m ; each element of each array is an integer between 1 and n (inclusive); $a_i \leq b_i$ for any index i from 1 to m ; array a is sorted in non-descending order; array b is sorted in non-ascending order. As the result can be very large, you should print it modulo $10^9 + 7$. Input: The only line contains two integers n and m ($1 \leq n \leq 1000$, $1 \leq m \leq 10$). Output: Print one integer – the number of arrays a and b satisfying the conditions described above modulo $10^9 + 7$.

Model Output

```
n, m = map(int, input().split())
mod = 10**9+7

fact = [1, 1]
factinv = [1, 1]
inv = [0, 1]

for i in range(2, n+1):
    fact.append((fact[-1] * i) % mod)
    inv.append((-inv[mod % i] * (mod//i)) % mod)
    factinv.append((factinv[-1] * inv[-1]) % mod)

def cmb(n, r, mod):
    if (r < 0) or (n < r):
        return 0
    r = min(r, n - r)
    return fact[n] * factinv[r] * factinv[n-r] % mod

print((cmb(n, m, mod) * cmb(m-1, n-1, mod)) % mod)
```

CodeContests (AlphaCode)

- ▶ Similar to APPS but focuses on improving test coverage, via input mutation (like EvalPlus).

Split	Problems	Tests per problem			Solutions per problem (% correct)		
		Example	Hidden	Generated	C++	Python	Java
Train	13328	2.0	14.8	79.1	493.4 (27%)	281.1 (47%)	147.9 (46%)
Valid	117	1.5	12.9	190.0	231.6 (47%)	137.2 (55%)	131.1 (54%)
Test	165	1.7	9.4	192.7	196.0 (45%)	97.3 (54%)	105.2 (51%)

- ▶ Manual inspection shows high false-positive rate of model-produced solutions.

Dataset	Tests / problem	False Positive (FP) Rate	FP or Slow Rate
APPS	20.99	60%	70%
HumanEval	7.77	30%	N/A
CodeContests raw	12.4	62%	88%
CodeContests	203.7	4%	46%

DS-1000

- ▶ 1,000 data science problems, based on StackOverflow questions
- ▶ Domain-specific test cases, e.g. matplotlib plots have their elements programmatically extracted

① Manually Selecting and Modifying StackOverflow Problems

Here is a sample dataframe:

```
6   df = pd.DataFrame({"A": [1, 2, 3], "B": [4, 5, 6]})  
7  
8 I'd like to add inverses of each existing column to the dataframe  
9 and ... [omitted for brevity]  
10  
11 try:  
12  
13     inv_df = df.join(df.apply(lambda x: 1/x).add_prefix("inv_"))
```

- ✓ High vote
- ✓ Testable
- ✓ Useful
- ✓ Representative

② Adding Code Context

```
import pandas as pd  
df = pd.DataFrame({"A": [1, 2, 3],  
                   "B": [4, 5, 6]})  
### BEGIN SOLUTION  
[insert]  
### END SOLUTION  
print(result)
```

③ Implementing Automatic Tests

Test cases
...[omit for brevity]

```
pd.testing.assert_frame_equal(result,  
                               ans)
```

Surface-form constraints
for and while should not appear in Syntax Tree

④ Perturbing Original Problem

... I'd like to apply the **exponential** function to each existing column ... The resulting dataframe should look like so:

```
result = pd.DataFrame({"A": [1, 2, 3],  
                      "B": [4, 5, 6],  
                      "exp_A": [e^1, e^2, e^3],  
                      "exp_B": [e^4, e^5, e^6]})  
... [omitted for brevity]
```

⑤ Red Teaming

```
df = pd.DataFrame({"A": [1, 2, 3],  
                   "B": [4, 5, 6]})  
### BEGIN SOLUTION  
# A known WRONG SOLUTION  
result = df.join(df.apply(lambda  
x:math.e**x).add_prefix('exp_'))  
### END SOLUTION  
print(result)
```

DS-1000

- ▶ Perturb the problems to reduce chances of memorization, since models may have been trained on StackOverflow
- ▶ “Surface” perturbations: don’t change solution. “Semantic”: do, but try to keep difficulty the same (e.g. max -> min)

	Pandas	NumPy	Scikit-learn	SciPy	TensorFlow	PyTorch	Overall
Origin _{surface}	37.3	61.2	52.6	33.0	64.9	64.8	53.2
Surface	31.9 -5.4	58.4 -2.8	55.7 +3.1	32.1 -0.9	58.0 -8.9	50.0 -14.8	49.8 -3.4
Origin _{semantic}	36.8	56.7	60.6 [*]	40.3	71.3	65.1	47.2
Semantic	33.2 -3.6	49.0 -7.7	38.9 [*] -21.7	34.3 -6.0	42.5 -25.8	30.5 -34.6	38.2 -9.0
Origin _{difficult}	39.9	52.7	5.0 [*]	58.1	73.0 [*]	53.8 [*]	46.8
Difficult Rewrite	17.7 -22.2	27.1 -25.6	0.0 [*] -5.0	13.8 -44.3	38.0 [*] -35.0	28.8 [*] -25.0	21.0 -25.8

ARCADE

► Executable problems from Jupyter notebooks

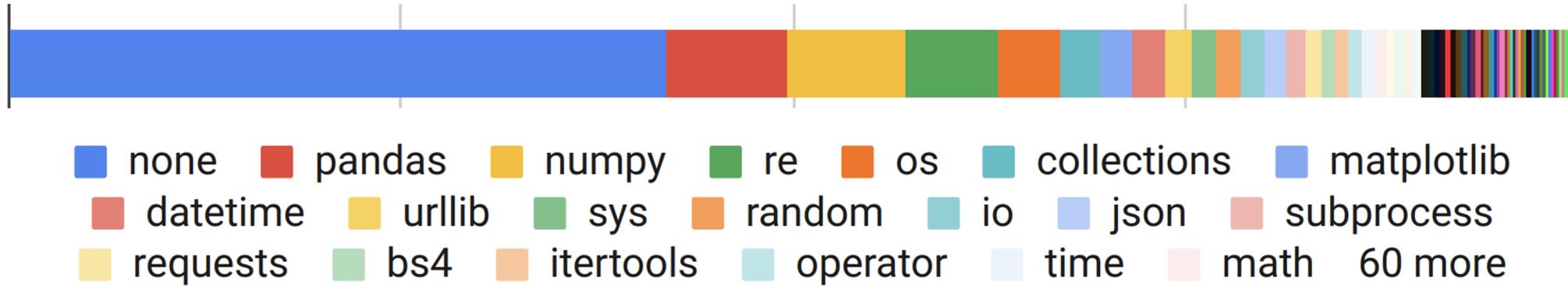
Prompt Prefix (Exemplars)	Which countries host at least two Olympic games? 
	# Solution: Let's solve this problem step-by-step. <i>preamble</i>
	# Step 1: Get the counts each country hosted Olympics
	count_df = df['Country'].value_counts()
	# Step 2: Get the rows whose average score is above 90
	filtered_df = count_df[count_df >= 2]
	# Step 3: Get the country names as a list <i>explanation</i>
	filtered_df.index.tolist()
[1]	import pandas as pd df = pd.read_csv('stores.csv')
[2]	# Schema of Dataframes: # Columns in df with example values: # Stu_Name (Mike),Engineering (90),English (89),Math (92)
[3]	Get the students with an average score above 90 
[3a]	► Vanilla Prediction (no exemplars): df['Science_Avg'] = (df['Engineering']+df['Math'])/2 df[df['Science_Avg'] > 90][['Stu_Name', 'Science_Avg']]

Models	pass@30 # API	Lines of Code (LoC)	Comment Lines	Tokens / Line	API / Line
Baseline (Tab. 2)	47.7	4.9	2.3	0.1	21.1 3.2
+ More Context	49.3	4.9	2.3	0	21.1 3.1
<i>Prompting with Additional Few-shot Exemplars</i>					
Vanilla Code	49.9	5.3	2.4	0.1	20.8 3.1
Step-by-Step Code	51.9	5.6	3.2	0.1	17.8 2.7
+ Preamble	51.9	5.9	3.5	0.2	16.9 2.5
+ Pre. + Explanation	52.5	6.8	4.2	3.3	14.9 2.2

[3b]	► Step-by-Step Prompting (with exemplars):
	# Solution: Let's solve this problem step-by-step. <i>preamble</i>
	# Step 1: Create a new column with the average score of
	# engineering and math <i>explanation</i>
	df['Science_Avg'] = (df['Engineering'] + df['Math']) / 2
	# Step 2: Get the rows whose average score is above 90
	df_score_above_90 = df[df['Science_Avg'] > 90]
	# Step 3: Return the student name and average scores
	result = df_score_above_90[['Stu_Name', 'Science_Avg']]

ODEX: Open-Domain, with Evaluation

► Larger Domain Coverage



► Test execution on real-world coding queries

- Collected from StackOverflow questions
- Support four natural languages as input
 - English, Spanish, Japanese, Russian

import requests

def function(files, url, data):

"""multipartのリクエストで複数のデータ`files`、`data`を`url`にPOSTする
(POST multiple data `files`、`data` to `url` with multipart request)"""

a



return requests.post(url, files=files, data=data)

a

```
# test case
r = requests.Response()
r.status_code = 200
requests.post = Mock(return_value = r)
file_path = 'a.txt'
```

Dataset	Samples	Domain	Executable?	Avg. Test Cases	Data Source	NL
JulCe (Agashe et al., 2019)	1,981	open	✗	-	GitHub Notebooks	en
HumanEval (Chen et al., 2021)	164	4	✓	7.7	Hand-written	en
MBPP (Austin et al., 2021)	974	8	✓	3.0	Hand-written	en
APPS (Hendrycks et al., 2021)	10,000	0	✓	13.2	Competitions	en
DSP (Chandell et al., 2022)	1,119	16	✓	2.1	Github Notebooks	en
MTPB (Nijkamp et al., 2022)	115	8	✓	5.0	Hand-written	en
Exe-DS (Huang et al., 2022)	534	28	✓	-	GitHub Notebooks	en
DS-1000 (Lai et al., 2022)	1,000	7	✓	1.6	StackOverflow	en
CoNaLa (Yin et al., 2018)	2,879	open	✗	-	StackOverflow	en
MCoNaLa (Wang et al., 2022)	896	open	✗	-	StackOverflow	es, ja, ru
ODEX	945	79	✓	1.8	StackOverflow Hand-Written	en, es, ja, ru

(calculate the improper integral given by the function f
from the number `n` to infinity)
"""

return

return sympy.integrate(f, (sympy.symbols('x'), n, sympy.oo))

d

```
# test case
x = sympy.symbols('x')
f = (x * x)
n = 1
assert str(function(f, n)) == 'oo'
```

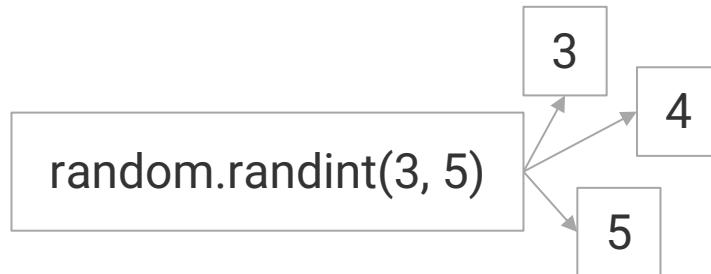
ODEX: Unique Challenges for Execution

Closed-domain code: easy to execute and verify

```
assert func([1, 2, 10]) == [2, 3, 11]
```

Open-domain code:

- ▶ Random outputs
- ▶ Specialized verification
- ▶ (Potentially) not reproducible queries
 - ▶ HTTP requests, e.g., `requests.post("https://def.xyz", data={'key': 'value'})`



```
In [1]: import numpy as np  
In [2]: a = np.array([1, 2, 3])  
In [3]: b = np.array([1, 2, 3])
```

```
In [4]: assert (a == b)  
-----  
ValueError  
Cell In[4], line 1  
----> 1 assert (a == b)  
  
Traceback (most recent call last)  
  
ValueError: The truth value of an array with more than one element is ambiguous.  
  
In [5]: np.array_equal(a, b)  
Out[5]: True
```

Significant Performance Gaps: Open vs. Closed

- ▶ Although Codex performs better overall
- ▶ CodeGen has smaller domain gaps

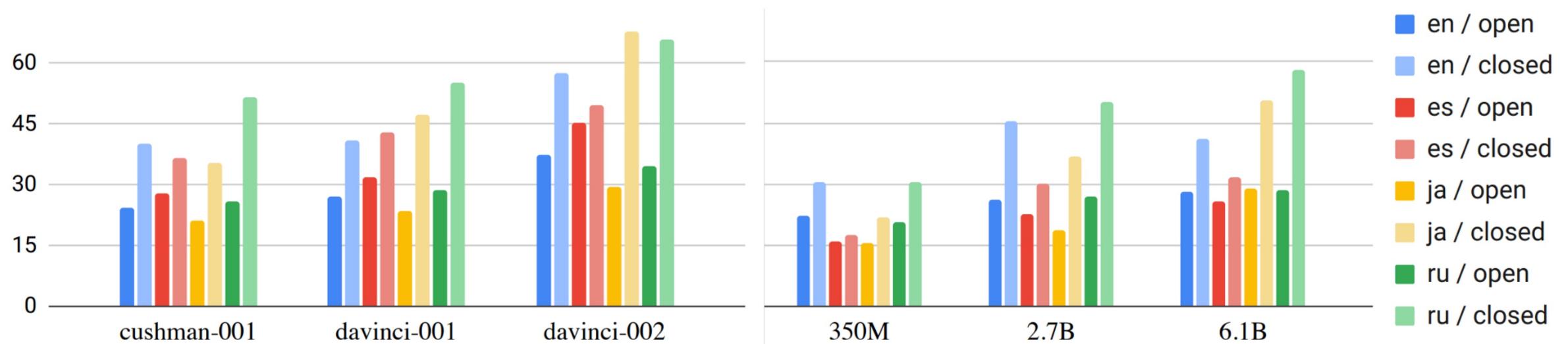


Figure 7: CODEX (left) and CODEGEN (right) *pass@1* on open- and closed-domain problems in each language.

Code Complexity

Functional Code

- ▶ Function Level: HumanEval, MBPP
- ▶ Class Level: ClassEval

HumanEval Function Test

```
METADATA = {\n    'author': 'jt',\n    'dataset': 'test'\n}
def check(candidate):\n    assert candidate([1.0, 2.0, 3.9, 4.0, 5.0, 2.2], 0.3) == True\n    assert candidate([1.0, 2.0, 3.9, 4.0, 5.0, 2.2], 0.05) == False\n    ...\n
```

MBPP Function Test

```
[\n    "assert get_ludic(10) == [1, 2, 3, 5, 7]",\n    "assert get_ludic(25) == [1, 2, 3, 5, 7, 11, 13, 17, 23, 25]", ...\n]
```

ClassEval Method Test

```
class VendingMachineTestPurchaseItem(unittest.TestCase):\n    def test_purchase_item(self):\n        vm = VendingMachine()\n        vm.inventory = {'Coke': {'price': 1.25, 'quantity': 10}}\n        vm.balance = 1.25\n        self.assertEqual(vm.purchase_item('Coke'), 0.0)\n        self.assertEqual(vm.inventory, {'Coke': {'price': 1.25, 'quantity': 9}})\n    def test_purchase_item_2(self):\n        vm = VendingMachine()\n        vm.inventory = {'Coke': {'price': 1.25, 'quantity': 10}}\n        vm.balance = 1.25\n        self.assertEqual(vm.purchase_item('Pizza'), False)\n        self.assertEqual(vm.inventory, {'Coke': {'price': 1.25, 'quantity': 10}})\n    ...
```

Import Statements

Class Name

`from datetime import datetime`
VendingMachine:
 """This is a class to simulate a vending machine, including adding products, inserting coins, purchasing products, viewing balance, replenishing product inventory, and displaying product information. """

Class Constructor

`def __init__(self):`
 """
 Initializes the vending machine's inventory and balance.
 """

`self.inventory= []`
`self.balance= {}`

Method Signature

`def purchase_item(self, item_name):`
 """ Purchases a product from the vending machine and returns the balance after the purchase.

Import Statements

Class Name

Class Description

Class Constructor

Method Signature

Functional Description

ClassEval Class Test

```
class VendingMachineTestMain (unittest.TestCase):\n    def setUp(self) -> None:\n        self.vm = VendingMachine()\n        self.vm.inventory = {'Coke': {'price': 1.25, 'quantity': 10}}\n        self.vm.balance = 0\n    def test_all(self):\n        self.assertEqual(vm.insert_coin(1.25), 1.25)\n        self.assertEqual(vm.purchase_item('Coke'), 0.0)\n        self.assertEqual(vm.inventory, {'Coke': {'price': 1.25, 'quantity': 9}})\n        self.assertEqual(vm.restock_item('Coke', 10), True)\n        self.assertEqual(vm.inventory, {'Coke': {'price': 1.25, 'quantity': 19}})\n        self.assertEqual(vm.display_items(), 'Coke - $1.25 [19]')\n    ...
```

Test Fixtures:

setUp

"Write a python function to find the first repeated character in a given string."

Figure 1: Examples in Existing Benchmarks

returns False.

```
>>> vendingMachine.inventory = {'Coke': {'price': 1.25, 'quantity': 10}}
>>> vendingMachine.restock_item('Coke', 10)
True
>>> vendingMachine.inventory
{'Coke': {'price': 1.25, 'quantity': 20}}
"""
```

Parameter/Return Description

Example Input/Output

Figure 2: An Example of Class Skeleton in ClassEval

Functional Complexity

- ▶ Function Level: HumanEval, MBPP
- ▶ Class Level: ClassEval
- ▶ Repository Level:
 - ▷ RepoCoder
 - ▶ Retrieval-augmented generation
 - ▶ Multiple iterations
 - ▷ RepoEval
 - ▶ Collected 14 Github Repositories
 - ▶ Metrics:
 - ▷ exact match
 - ▷ exact similarity
 - ▷ execution

```
# Below are some referential code fragments Retrieved  
from other files: Code  
# -----  
# the below code fragment can be found in:  
# tests/test_pipelines_common.py  
# -----  
# @unittest.skipIf(torch_device != "cuda")  
# def test_to_device(self):  
#     components = self.get_dummy_components()  
#     pipe = self.pipeline_class(**components)  
#     pipe.progress_bar(disable=None)  
#     pipe.to("cpu")  
# -----  
"""Based on above, complete the following code:"""  
  
@unittest.skipIf(torch_device != "cuda") Unfinished  
def test_float16_inference(self): Code  
    components = self.get_dummy_components()  
    pipe = self.pipeline_class(**components) Model  
    pipe.to(torch_device) Prediction
```

Figure 3: A visual example demonstrating the format of the RepoCoder prompt, which combines the retrieved code snippets from the repository with the unfinished code present in the target file.