Evaluation of Large Language Models on ontology reasoning tasks and implications for neurosymbolic approaches

This manuscript (<u>permalink</u>) was automatically generated from <u>cmungall/gpt-reasoning-manuscript@52cc841</u> on June 7, 2023.

Authors

- Sierra Moxon

Environmental Genomics and Systems Biology Division, Lawrence Berkeley National Laboratory, Berkeley, CA, $94720 \cdot Funded$ by Grant XXXXXXXX

- Nico Matentzoglu
- · Hyeongsik Kim
 - D XXXX-XXXX-XXXX
- Chris Mungall [™]
 - © 0000-0002-6601-2165 · ♠ cmungall

Environmental Genomics and Systems Biology Division, Lawrence Berkeley National Laboratory, Berkeley, CA, 94720

Abstract

Reasoning is a core component of human intelligence, and a key goal of Artificial Intelligence (AI) research. Reasoning has traditionally been the domain of symbolic AI, but recent advances in deep learning and in particular Large Language Models (LLMs) such as GPT-4 indicate that LLMs may have some latent reasoning ability. This has been investigated in some domains such as mathematical reasoning, but the ability of LLMs to reason over knowledge representation formalisms such as Description Logics (DL) has not been systematically tested. Understanding the accuracy of this latent reasoning behavior is important for designing LLM-based applications, in particular in deciding between single-pass approaches vs hybrid or multi-agent approaches.

To investigate the latent abilities of LLMs to perform symbolic ontological reasoning, we created a series of benchmarks covering the EL++ fragment of the Web Ontology Language (OWL). We also created a GPT-based reasoning agent that uses a few-shot in-context learning approach to reason over OWL ontologies.

Our results show that for small ontologies and certain simple tasks such as transitive SubClassOf reasoning, or inferring familial relationships, GPT-4 frequently yields the correct answers to benchmark questions, although less than the 100% guaranteed accuracy of a symbolic reasoner. For other tasks, LLMs performed less well, and in particular LLMs seem fundamentally unable to detect inconsistencies in even simple small test cases.

Our results indicate that a maximalist single-pass approach to building LLMs knowledge-based applications inherently leads to lower accuracy, and that a hybrid multi-agent approach combining the best aspects of language models and symbolic reasoning over knowledge bases should be favored.

Code is available from https://github.com/monarch-initiative/ontogpt, and analysis from TODO zenodo DOI

Introduction

Motivation

The field of Artificial Intelligence (AI) has historically been divided into two schools of thought: symbolic AI and connectionist AI. Symbolic AI is exemplified by ontologies and knowledge bases, which represent knowledge as a set of symbols together with rules or formal model theories for manipulating those symbols. Connectionist AI is exemplified by methods derived from statistics and machine learning, in more recently deep learning neural network architectures. The field of neurosymbolic AI aims to combine the best aspects of both symbolic and connectionist AI, but currently most approaches fall in one of the two camps.

The most striking recent development in machine learning has been the development of Large Language Models (LLMs), which show exceptional abilities in tasks such as question-answering and text summarization, as well as the ability to generalize from a handful of examples (in context or few-shot learning) [1]. The abilities of the latest generation of LLMs such as GPT-4 seem to suggest that LLMs may be able to perform reasoning tasks that were previously the domain of symbolic AI, in the same way that a human may incorporate reasoning as a part of natural language question-answering. If LLMs are in fact able to effectively perform symbolic reasoning as part of their normal prompt completion process, this would suggest less of a role for symbolic AI and explicit rule-based reasoning. If instead LLMs are only able to perform reasoning in a limited set of circumstances, this would

suggest that LLM-based applications should employ hybrid or multi-agent approaches. An example of this approach is frameworks such as LangChain, or the ChatGPT plugin system which allows interfacing with external Python programs or tools such as Mathematica.

Some previous studies have examined the mathematical reasoning abilities of LLMs, and others have examined "common-sense" reasoning abilities. Existing datasets for testing reasoning ability include LogiQA [2] and ReClor [3]. These are aimed at testing reasoning abilities in the context of natural language understanding. For example, LogiQA contains as instance with paragraph "David knows Mr. Zhang's friend Jack, and Jack knows David's friend Ms. Lin. Everyone of them who knows Jack has a master's degree, and everyone of them who knows Ms. Lin is from Shanghai." and question "Who is from Shanghai and has a masters degree?". Liu et al adapted these for evaluation using prompt-based LLMs such as GPT [4].

However, there are no LLM-based benchmarks for testing the kinds of symbolic reasoning abilities needed for working with ontologies and knowledge bases such as the Gene Ontology (GO) or the Human Phenotype Ontology (HPO). These abilities are necessary for using ontologies in tasks such as interpreting high-throughput genomic data or prioritizing variants in genetic diseases. Existing OWL reasoning benchmarks such as OWL2Bench and LUBM are designed to test the performance at scale rather than accuracy, since accuracy can generally be proven based on the formal semantics of ontology languages.

Here we describe the creation of a set of benchmarks that can be used to test the reasoning abilities of LLMs against the commonly used EL++ subset of the Description Logic (DL) language, OWL (Web Ontology Language). We created a reasoner agent ReasonerGPT that uses an in-context approach to solving reasoning problems, and we evaluated this against our benchmarks.

Contributions

We make the following contributions:

- We have curated a collection of benchmarks for testing ontological reasoning ability
- We created a GPT-based reasoning agent that is intended to perform ontological reason using a few-shot learning approach, using instruction prompting and in-context examples.
- We have evaluated the reasoning ability of GPT-3.5-turbo and GPT-4 on these benchmarks

Methods

Semi-automatic generation of reasoning benchmarks from ontologies

We created a methods to generate 8 classes of benchmarks, summarized in 1

 Table 1: Different ontology tasks

code	task	description		
sat	OntologyCoherencyTask	A task to determine if an ontology is coherent.		
indirect	EntailedIndirectSuperClassTask	A task to determine the indirect superclasses of a class.		
superc	EntailedTransitiveSuperClassTas k	A task to determine the all transitive superclasses of a class.		
expr	EntailedSubClassOfExpressionT ask	A task to determine the subclasses of a class expression.		

code	task	description		
dir-sup	EntailedDirectSuperClassTask	A task to determine the direct superclasses of a class.		
mrca	MostRecentCommonSubsumer Task	A task to determine the most specific common ancestors.		
abox	ABoxTask	A task to infer assertions over property chains and transitvity in aboxes.		
tc	TaxonConstraintTask	A task to infer validity of a term for a particular taxon.		

These are designed to test the kinds of reasoning abilities that are relevant for common knowledge-based inference tasks. For example, the mrca tasks tests the ability to find commonalities using an ontology, as used in semantic similarity algorithms underpinning phenotype-based variant prioritization. The abox task is useful for link prediction over instance data, the to task tests the ability to check the validity of predicted gene functional associations, and the expr task reflects the ability to perform the kind of transitive reasoning underpinning gene set enrichment.

For each task, we randomly generate 20 tasks using a fragment of either the Gene Ontology (GO) or the Family History Knowledge Base (FHK) ontology.

GPT-based reasoning agent

We created a GPT-based reasoning agent that is intended to perform ontological reason using a fewshot learning approach, using instruction prompting and in-context examples.

The structure of the prompts used in the agent is as follows:

```
I will provide an ontology as a list of axioms using OWL syntax. I will then
ask a question about the ontology.
Answer the question, after performing reasoning over the ontology.
If there are multiple answers, list them all each on a separate line
starting with the minus symbol ("-"), like a markdown list.
Here are some examples:

{{ examples }}
--
Here is the actual ontology

{{ ontology }}

Query:

{{ question }}
{{ task_specific_prompt }}
```

Examples are task specific and can be parameterized by the means in which the LLM is expected to "show its working". For example, the expr task includes examples such as the following:

```
- P type TransitiveProperty
- E2 SubClassOf P some E
- E SubClassOf B
- B SubClassOf P some A
- C SubClassOf A
- D SubClassOf Q some B

QUERY:
What are the entailed subclasses of the expression PartOf Some P?
Include indirect (transitive) descendants.

ANSWERS:
- B [ B SubClassOf P some A ; ]
- E [ E SubClassOf B ; B SubClassOf P some A ; ]
- E2 [ B SubClassOf P some A ; E SubClassOf B ; E2 SubClassOf P some E ; ]
```

The actual ontology is provided with by using controlled natural language descriptions of Description Logic axioms. Opaque ontology identifiers are translated to CamelCase labels, or, optionally, to labels obfuscated via base64 encoding of the labels.

An example task ontology is:

```
- OrganelleEnvelope SubClassOf PartOf Some IntracellularOrganelle
- CellCortex SubClassOf Cytoplasm
- CellCortexRegion SubClassOf PartOf Some CellCortex
- CellCortexRegion SubClassOf CellCortex
- CellCortexRegion SubClassOf CytoplasmicRegion
- NuclearMembrane SubClassOf PartOf Some Nucleus

    NuclearMembrane SubClassOf PartOf Some NuclearEnvelope

- IntracellularMembraneBoundedOrganelle SubClassOf PartOf Some
        IntracellularAnatomicalStructure
- IntracellularMembraneBoundedOrganelle SubClassOf IntracellularOrganelle
- Vacuole SubClassOf PartOf Some Cytoplasm

    Vacuole SubClassOf IntracellularMembraneBoundedOrganelle

- IntracellularOrganelle SubClassOf PartOf Some
        IntracellularAnatomicalStructure
- NuclearEnvelope SubClassOf PartOf Some Nucleus

    NuclearEnvelope SubClassOf OrganelleEnvelope

- Nucleus SubClassOf IntracellularMembraneBoundedOrganelle
- CytoplasmicRegion SubClassOf PartOf Some Cytoplasm
- CytoplasmicRegion SubClassOf Cytoplasm

    Cytoplasm SubClassOf PartOf Some IntracellularAnatomicalStructure
```

Finally, the question is generated according to a task-specific template.

For the expr template is:

What are the entailed subclasses of the expression {{ predicate }} Some {{ filler }}?

For example:

What are the entailed subclasses of the expression PartOf Some IntracellularAnatomicalStructure?

Chain of thought methods

Chain of thought prompts have been demonstrated to improve LLM reasoning abilities.

We explore 3 alternate methods for exploring the effect of chain of thought:

- 1. no explanations solicited
- 2. post-hoc explanation-based
- 3. chain-of-thought reasoning

For the first, no explanations are requested or shown in the examples.

For the second, the OWL axioms are included after each answer

For the third, natural language descriptions of the reasoning steps are shown prior to each answer.

Models

We used both gpt-3.5-turbo and gpt-4 models (TODO: Falcon). We interfaced the GPT models via the OpenAl API.

Scoring

We calculated standard precision, recall, and accuracy metrics for each execution. We only evaluate the core answer, and do not attempt to evaluate the explanations. All tasks yield a list of answers, although some tasks only yield a single valid/invalid answer, so precision/recall on these tasks are individually zero or one.

Implementation

The reasoning agent is implemented as part of the OntoGPT system.

Interfacing with ontologies is done via the Ontology Access Kit (OAK) Library.

Results

Core Results

We ran all non-obfuscated benchmarks through all models and scored the results, summarized in 2.

Table 2: Results over all tasks (no obfuscation)

model	mthd	abox	expr	inds	mrca	sat	sup	tc
gpt-3.5- turbo	basic	0.64	0.72	0.74	0.07	0.00	0.81	0.55
gpt-3.5- turbo	cot	0.31	0.62	0.59	0.10	0.00	0.58	0.55
gpt-3.5- turbo	expl	0.57	0.76	0.56	0.03	0.00	0.69	0.62
gpt-4	basic	1.00	0.84	0.94	0.15	0.00	0.96	0.75
gpt-4	cot	0.89	0.66	0.81	0.15	0.00	0.79	0.30
gpt-4	expl	0.99	0.85	0.81	0.18	0.00	0.96	0.55

These results show that generally GPT-4 improves over GPT-3.5-turbo. They also show that attempting to elicit an explanation or chain of thought reasoning actually reduces accuracy, and the best results are found using the basic strategy.

Obfuscation

To test whether the LLM performance was dependent on prior knowledge of the ontologies used, we performed an additional test with obfuscated ontologies. Here we obfuscated classes, individuals, and predicates, replacing each with its base 64 representation. However, we left builtin OWL language constructs such as SubClassOf intact. These results are show in 3.

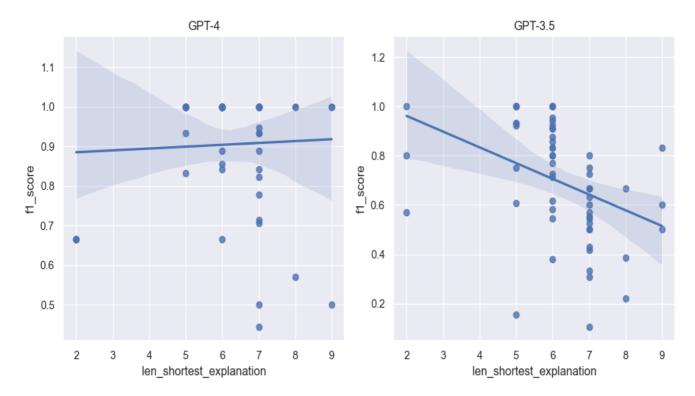
Table 3: Results on obfuscated ontologies

model	method	abox	expr	inds	mrca	sat	sup
gpt-3.5- turbo	basic	0.00	0.27	0.26	0.00	0.00	0.43
gpt-3.5- turbo	chain_of_tho ught	0.00	0.13	0.16	0.10	0.00	0.39
gpt-3.5- turbo	explanation	0.00	0.22	0.21	0.05	0.00	0.47
gpt-4	basic	0.76	0.55	0.84	0.20	0.00	0.91
gpt-4	chain_of_tho ught	0.57	0.82	0.51	0.20	0.00	0.72
gpt-4	explanation	0.63	0.73	0.70	0.17	0.00	0.81

Obfuscation resulted in drastically reduced performance for GPT-3.5 in the abox task. The decrease is less marked with GPT-4. On other tasks, obfuscation had little effect on GPT-4, and in some cases performance was slightly improved.

Effect of chain lengths

We explored the effect of the complexity of the explanation for an inference on performance, using the transitive reasoning task. This is shown in ??



Effect of path length on accuracy of transitive reasoning task. The x-axis shows the length of the path, the y axis is the accuracy (F1).

For GPT-3.5 performance degraded with the size of explanation (length of paths). For GPT-4, performance remained constant regardless of path length.

Discussion

Implications of study

In this study we set out to investigate the performance of LLMs on ontological reasoning tasks. We consider the question of whether LLMs are actually performing reasoning to be out of scope. It may be the case that the LLMs are simply relying on higher-order pattern matching against a massive bank of examples.

We are concerned with performance, because we observe that there is a tendency to use LLMs as single-pass question-answer agents, where reasoning may be a subcomponent of the overall task. Sometimes the reasoning step might not be explicit, and the effects of incomplete reasoning may not be readily apparent. For example, when performing a task such as gene set summarization, we would like the LLM to take into account hierarchical classification of gene descriptors, and to make use of basic reasoning as part of finding commonalities. If it is the case that LLMs are incomplete reasoners on reasoning-specific tasks, it is likely they will yield incomplete results on tasks that involve a reasoning component. This would suggest that hybrid strategies that combine LLMs with more traditional reasoning would yield the best results.

There are a number of different mechanisms by which an LLM-based architecture could leverage a hybrid approach. The ChatGPT plugin system allows an LLM to be combined with crisp knowledge base lookup and sound inference procedures - for example, via the Mathematica plugin. Frameworks such as LangChain allow for use of the ReAct and MKLR patterns, in which the LLM can act as a controller, and select an external service or agent for a component of task. Currently there are no symbolic reasoner plugins of the form used for ontological reasoning, but these could form a powerful part of a hybrid neurosymbolic system.

Benchmark limitations

Our benchmark currently only covers the EL++ subset of OWL (the taxon constraint task is explicitly formulated to include non-EL axioms, but the task can easily be reformulated as an EL++ one).

The benchmarks are intentionally small, due to the need to fit in current LLM content windows (8k for the standard GPT-4 model). Most ontologies are typically larger, so even in cases where LLMs perform well, it doesn't necessarily hold that an LLM with a larger context window will perform as well for larger ontologies.

Conclusions

We created a series of benchmarks for evaluating the performance of LLMs on ontological reasoning tasks in the EL++ subset.

We have demonstrated that LLMs are capable of simulating reasoning for certain simple tasks, with GPT-4 outperforming the smaller GPT-3.5. However, even when they perform well, they do not perform as well as standard symbolic reasoners which are guaranteed to be sound and complete. This indicates that single-pass LLM based approaches on knowledge-oriented tasks may hide incomplete results, and that hybrid neuro-symbolic approaches are likely to yield better performance on complex real-world knowledge-oriented tasks.

References

1. Language Models are Few-Shot Learners

Tom B Brown, Benjamin Mann, Nick Ryder, Melanie Subbiah, Jared Kaplan, Prafulla Dhariwal, Arvind Neelakantan, Pranav Shyam, Girish Sastry, Amanda Askell, ... Dario Amodei arXiv (2020) https://doi.org/gpmv43

DOI: 10.48550/arxiv.2005.14165

2. LogiQA: A Challenge Dataset for Machine Reading Comprehension with Logical Reasoning

Jian Liu, Leyang Cui, Hanmeng Liu, Dandan Huang, Yile Wang, Yue Zhang arXiv(2020) https://doi.org/gr9cn5 DOI: 10.48550/arxiv.2007.08124

3. ReClor: A Reading Comprehension Dataset Requiring Logical Reasoning

Weihao Yu, Zihang Jiang, Yanfei Dong, Jiashi Feng arXiv (2020) https://doi.org/gr9cn4
DOI: 10.48550/arxiv.2002.04326

4. Evaluating the Logical Reasoning Ability of ChatGPT and GPT-4

Hanmeng Liu, Ruoxi Ning, Zhiyang Teng, Jian Liu, Qiji Zhou, Yue Zhang *arXiv* (2023) https://doi.org/gr9cn6

DOI: 10.48550/arxiv.2304.03439