# Monitors Andrews, Chapter 05

- More structure than semaphores.
- Can be implemented easily.
- Access to monitor variables is only through interface.
- Mutual exclusion of all monitor procedures is implicit: procedures in the same monitor cannot be executed concurrently.
- Condition synchronization is by condition variables.

```
monitor FIFOsemaphore {
  int s = 0; ## s >= 0
  cond pos; # signaled when s > 0
  procedure Psem() {
   if (s == 0)
     wait(pos);
   else
     s = s-1;
  }
  procedure Vsem() {
   if (empty(pos))
     s = s+1;
   else
     signal(pos);
  }
}
```

**Figure 5.3** FIFO semaphore using passing the condition.

#### • Example monitor

#### Monitors

- Programs with monitors usually use two kinds of modules: active processes and passive monitors.
- Shared variables are inside the monitors.
- Processes communicate by calling procedures in the same monitor.
- Provided by Java.
- Provided in Unix.

#### Monitors

• The book uses a simple syntax for static monitors:

```
monitor mname {
  declarations of permanent variables
  initialization statements
  procedures
}
```

• And calling:

```
call mname.opname(arguments)
```

## Three Properties of Monitors

- Only procedure names are visible outside the monitor.
- Monitors may not access variables declared outside the monitor.
- Permanent variables are initalized before any procedures are called.

#### **Monitor Invariants**

- Truth of the invariant should be established by the initialization.
- After any procedure is called, the invariant should remain true.

### Monitor Mutual Exclusion and Synchronization

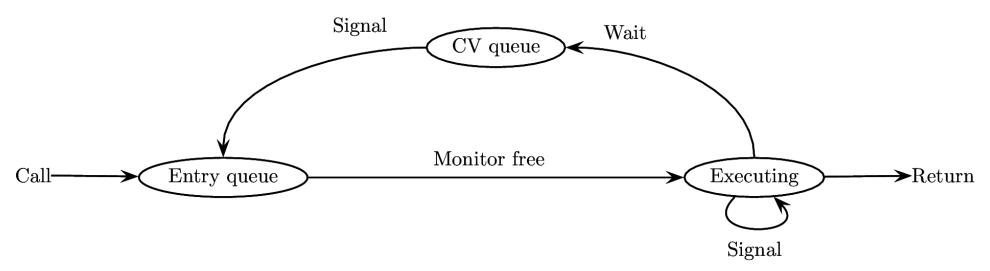
- Mutual exclusion is implicit:
   no two procedures in a monitor execute concurrently.
- Synchronization is explicit: uses condition variables.
- Condition variables are declared:

cond cv;

**Table 5.1** Operations on condition variables.

- Only called within the monitor.
- FIFO queue implicit, unless rank specified.

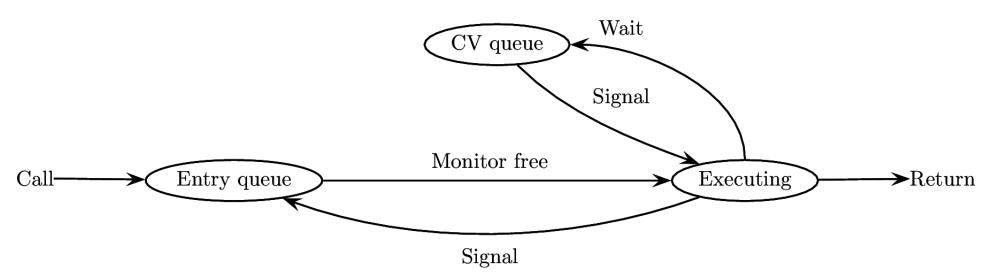
# Signal and continue



Signal and continue

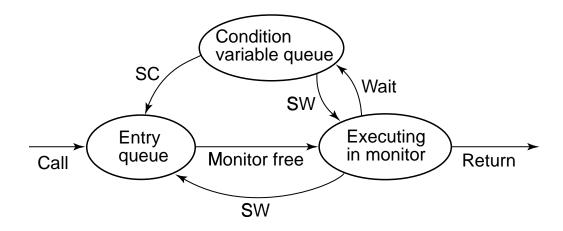
- The signaler continues and the signaled process waits.
- Nonpreemptive.

# Signal and Wait



Signal and wait

- The signaler waits and the signaled process executes now.
- Preemptive.



**Figure 5.1** State diagram for synchronization in monitors.

- Signal and continue used in Java and Pthreads.
- If signaled process put at front of entry queue: signal and urgent wait

```
monitor Semaphore {
  int s = 0; ## s >= 0
  cond pos; # signaled when s > 0
  procedure Psem() {
    while (s == 0) wait(pos);
    s = s-1;
  }
  procedure Vsem() {
    s = s+1;
    signal(pos);
  }
}
```

**Figure 5.2** Monitor implementation of a semaphore.

- Works for both SC and SW.
- SW is FIFO, but not SC.
- With SW, while can be replaced by if

```
monitor FIFOsemaphore {
  int s = 0; ## s >= 0
  cond pos; # signaled when s > 0
  procedure Psem() {
   if (s == 0)
     wait(pos);
   else
     s = s-1;
  }
  procedure Vsem() {
   if (empty(pos))
     s = s+1;
   else
     signal(pos);
  }
}
```

**Figure 5.3** FIFO semaphore using passing the condition.

## Differences between P and V and wait and signal

- wait and P:
  - wait always delays until a signal
  - P delays only if semaphore is zero
- signal and V:
  - signal has no effect on an empty queue
  - V either awakens a process or increments the semaphore

#### Book assumes Signal and Continue

- SC used in Unix, Java, and Pthreads.
- Makes signal\_all well-defined.
- It is compatible with priority-based scheduling.
- It has simpler formal semantics.
- Historically, SW was first proposed for use in monitors.

```
monitor Bounded Buffer {
  typeT buf[n]; # an array of some type T
  int front = 0,  # index of first full slot
  rear = 0;  # index of first empty slot
      count = 0; # number of full slots
  ## rear == (front + count) % n
  cond not full, # signaled when count < n</pre>
       not_empty; # signaled when count > 0
  procedure deposit(typeT data) {
    while (count == n) wait(not full);
    buf[rear] = data; rear = (rear+1) % n; count++;
    signal(not empty);
  procedure fetch(typeT &result) {
    while (count == 0) wait(not empty);
    result = buf[front]; front = (front+1) % n; count--;
    signal(not full);
```

**Figure 5.4** Monitor implementation of a bounded buffer.

```
monitor RW Controller {
  int nr = 0, nw = 0; ## (nr == 0 \lor nw == 0) \land nw <= 1
  cond oktoread; # signaled when nw == 0
  cond oktowrite; # signaled when nr == 0 and nw == 0
  procedure request_read() {
    while (nw > 0) wait(oktoread);
   nr = nr + 1;
  procedure release_read() {
  nr = nr - 1;
  if (nr == 0) signal(oktowrite); # awaken one writer
  procedure request_write() {
    while (nr > 0 \mid | nw > 0) wait(oktowrite);
    nw = nw + 1;
  procedure release_write() {
    nw = nw - 1;
    signal(oktowrite); # awaken one writer and
    signal_all(oktoread); # all readers
```

**Figure 5.5** Readers/writers solution using monitors.

```
monitor Shortest_Job_Next {
  bool free = true; ## Invariant SJN: see text
  cond turn; # signaled when resource available
  procedure request(int time) {
    if (free)
      free = false;
    else
     wait(turn, time);
  procedure release() {
    if (empty(turn))
      free = true
    else
      signal(turn);
```

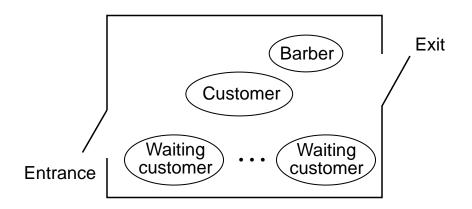
**Figure 5.6** Shortest-job-next allocation with monitors.

```
monitor Timer {
  int tod = 0;  ## invariant CLOCK -- see text
  cond check;  # signaled when tod has increased
  procedure delay(int interval) {
    int wake_time;
    wake_time = tod + interval;
    while (wake_time > tod) wait(check);
  }
  procedure tick() {
    tod = tod + 1;
    signal_all(check);
  }
}
```

**Figure 5.7** Interval timer with a covering condition.

```
monitor Timer {
  int tod = 0;  ## invariant CLOCK -- see text
  cond check;  # signaled when minrank(check)<=tod
  procedure delay(int interval) {
    int wake_time;
    wake_time = tod + interval;
    if (wake_time > tod) wait(check, wake_time);
  }
  procedure tick() {
    tod = tod+1;
    while (!empty(check) && minrank(check) <= tod)
        signal(check);
  }
}</pre>
```

Figure 5.8 Interval timer with priority wait.



**Figure 5.9** The sleeping barber problem.

```
monitor Barber Shop {
  int barber = 0, chair = 0, open = 0;
  cond barber_available; # signaled when barber > 0
  cond chair_occupied; # signaled when chair > 0
  cond door open; # signaled when open > 0
  cond customer left; # signaled when open == 0
  procedure get_haircut() {
   while (barber == 0) wait(barber_available);
   barber = barber - 1;
    chair = chair + 1; signal(chair_occupied);
   while (open == 0) wait(door_open);
   open = open - 1; signal(customer_left);
  procedure get next customer() {
   barber = barber + 1; signal(barber_available);
   while (chair == 0) wait(chair_occupied);
    chair = chair - 1;
 procedure finished_cut() {
    open = open + 1; signal(door_open);
   while (open > 0) wait(customer left);
```

**Figure 5.10** Sleeping barber monitor.

Skipping disk scheduler

```
#include <pthread.h>
#include <stdio.h>
#define SHARED 1
#define MAXSIZE 2000
                       /* maximum matrix size */
#define MAXWORKERS 4
                       /* maximum number of workers */
pthread_mutex_t barrier; /* lock for the barrier */
                          /* condition variable */
pthread_cond_t go;
int numWorkers;
                          /* number of worker threads */
int numArrived = 0;
                          /* number who have arrived */
/* a reusable counter barrier */
void Barrier() {
 pthread_mutex_lock(&barrier);
 numArrived++;
  if (numArrived < numWorkers)</pre>
   pthread cond wait(&go, &barrier);
  else {
    numArrived = 0; /* last worker awakens others */
    pthread_cond_broadcast(&go);
 pthread mutex unlock(&barrier);
void *Worker(void *);
int size, stripSize;
                      /* size == stripSize*numWorkers */
int sums[MAXWORKERS]; /* sums computed by each worker */
int matrix[MAXSIZE][MAXSIZE];
/* read command line, initialize, and create threads */
int main(int argc, char *argv[]) {
 int i, j;
 pthread_attr_t attr;
 pthread_t workerid[MAXWORKERS];
  /* set global thread attributes */
 pthread_attr_init(&attr);
 pthread_attr_setscope(&attr, PTHREAD_SCOPE_SYSTEM);
  /* initialize mutex and condition variable */
  pthread_mutex_init(&barrier, NULL);
 pthread_cond_init(&go, NULL);
  /* read command line */
  size = atoi(argv[1]);
 numWorkers = atoi(argv[2]);
  stripSize = size/numWorkers;
  /* initialize the matrix */
  for (i = 0; i < size; i++)
   for (j = 0; j < size; j++)
      matrix[i][j] = 1;
  /* create the workers, then exit main thread */
  for (i = 0; i < numWorkers; i++)</pre>
    pthread_create(&workerid[i], &attr,
                   Worker, (void *) i);
```

```
pthread_exit(NULL);
/* Each worker sums the values in one strip.
   After a barrier, worker(0) prints the total */
void *Worker(void *arg) {
  int myid = (int) arg;
  int total, i, j, first, last;
  /* determine first and last rows of my strip */
  first = myid*stripSize;
  last = first + stripSize - 1;
  /* sum values in my strip */
  total = 0;
  for (i = first; i <= last; i++)</pre>
    for (j = 0; j < size; j++)
      total += matrix[i][j];
  sums[myid] = total;
  Barrier();
  if (myid == 0) { /* worker 0 computes the total */
    for (i = 0; i < numWorkers; i++)</pre>
      total += sums[i];
    printf("the total is %d\n", total);
```

Figure 5.18 Parallel matrix summation using Pthreads.

```
// basic read or write; no exclusion
class RWbasic {
 protected int data = 0; // the "database"
 public void read() {
    System.out.println("read: " + data);
 public void write() {
   data++;
    System.out.println("wrote: " + data);
class Reader extends Thread {
  int rounds;
 RWbasic RW; // a reference to an RWbasic object
 public Reader(int rounds, RWbasic RW) {
    this.rounds = rounds;
    this.RW = RW;
 public void run() {
   for (int i = 0; i < rounds; i++) {
     RW.read();
class Writer extends Thread {
  int rounds;
 RWbasic RW;
 public Writer(int rounds, RWbasic RW) {
    this.rounds = rounds;
    this.RW = RW;
 public void run() {
   for (int i = 0; i < rounds; i++) {
      RW.write();
class Main {
  static RWbasic RW = new RWbasic();
 public static void main(String[] args) {
    int rounds = Integer.parseInt(args[0],10);
   new Reader(rounds, RW).start();
   new Writer(rounds, RW).start();
```

```
// mutually exclusive read and write methods
class RWexclusive extends RWbasic {
 public synchronized void read() {
    System.out.println("read: " + data);
 public synchronized void write() {
   data++;
   System.out.println("wrote: " + data);
class Reader extends Thread {
  int rounds;
 RWexclusive RW;
 public Reader(int rounds, RWexclusive RW) {
   this.rounds = rounds;
   this.RW = RW;
 public void run() {
    for (int i = 0; i < rounds; i++) {
      RW.read();
```

Exclusive readers/writers using Java.

```
// concurrent read or exclusive write
class ReadersWriters extends RWbasic {
 private int nr = 0;
 private synchronized void startRead() {
   nr++;
 private synchronized void endRead() {
   nr--;
    if (nr == 0) notify(); // awaken waiting Writers
  public void read() {
    startRead();
    System.out.println("read: " + data);
   endRead();
  public synchronized void write() {
   while (nr > 0) // delay if any active Readers
     try { wait(); }
       catch (InterruptedException ex) {return;}
    data++;
    System.out.println("wrote: " + data);
   notify();  // awaken another waiting Writer
```

True readers/writers using Java.