

# Large $N$ Tensor and SYK Models

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## Abstract

The SYK model proposed by Sachdev, Ye, and Kitaev consists of Majorana fermions that interact randomly four at a time. The model develops a dense spectrum above the ground state, due to which the model becomes nearly conformal. This suggests that a holographic dual may exist, which makes the SYK model interesting in the study of quantum gravity. It has been found that the SYK model is similar to large  $N$  tensor models: in both models, only the melonic diagrams survive in the large  $N$  limit. In this thesis, we explore the large  $N$  tensor model with  $O(N)^3$  symmetry containing two flavors of Majorana fermions in the fundamental representation. Its quartic Hamiltonian depends on a real parameter  $\alpha$ . We derive the kernels of the four point functions. With the spectra that we find from the kernels, we calculate the scaling dimensions of several types of conformal primaries. We also find a duality relation between two Hamiltonians of different values of  $\alpha$ . This is not a perfect duality, because the normalization of energy scales with the transformation. Nevertheless, the ratios of the energies are the same, and the operator dimensions are preserved. In addition, we discover that for  $\alpha < 1$  the scaling dimensions of one of the conformal primaries become complex, rendering the model unstable.

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# 1 Introduction

The SYK model is a quantum mechanical model of Majorana fermions which interact with one another randomly. This model, proposed by Kitaev [1] in his study of black holes and holography, is a variant of the model proposed by Sachdev and Ye [2]. The fact that the SYK model becomes approximately conformal in the infrared, and that it is maximally chaotic, makes it a good candidate for the holographic dual of extremal black holes.

Tensor models, first suggested by Gurau and others [3–5, 20, 21] have been found by Witten [6] to be similar to the SYK model. Since then, several tensor models have been under extensive study [7–9].

In this thesis, we study a two flavour tensor model in the large  $N$  limit. The model has the same number of degrees of freedom as a complex model, but it only possesses an  $O(N)^3$  symmetry. The Hamiltonian of the model is dependent on a parameter  $\alpha$ , which controls the strength of the decoupled term of the flavours in the Hamiltonian in relation to the coupled term.

This two flavour tensor model is a generalization of different tensor models that have been studied over the years. By tuning the  $\alpha$ , one can move between several different SYK-like models that have been studied in various papers. When  $\alpha$  is 0, we arrive at a model which has some similarities with the two-flavor SYK-like model of [10] but without the disorder. At  $\alpha = -1$ , we arrive at the complex tensor model of [8]. When  $\alpha$  is 1 or  $\infty$ , we find the flavourless tensor model studied in [7].

In section 1.1 we do a brief review on the SYK model. We introduce the techniques that were utilized to derive the two point functions and the four point functions of the model. In section 1.2, we introduce the various tensor models that have been studied over the years.

In section 2.1, we derive the propagator of the two flavour tensor model. In section 2.2, we calculate the kernels of the four point functions  $\langle \psi_0^{abc} \psi_0^{abc} \psi_0^{a'b'c'} \psi_0^{a'b'c'} \rangle$ ,  $\langle \psi_0^{abc} \psi_0^{abc} \psi_1^{a'b'c'} \psi_1^{a'b'c'} \rangle$ ,  $\langle \psi_0^{abc} \psi_1^{abc} \psi_0^{a'b'c'} \psi_1^{a'b'c'} \rangle$  and  $\langle \psi_0^{abc} \psi_1^{abc} \psi_1^{a'b'c'} \psi_0^{a'b'c'} \rangle$ . With the spectra that we obtain from the kernels, we calculate the scaling dimensions of the following conformal primaries:  $\psi_0 \partial_t^{2n+1} \psi_0 \pm$

$\psi_1 \partial_t^{2n+1} \psi_1$ ,  $\psi_0 \partial_t^{2n} \psi_1 - \psi_1 \partial_t^{2n} \psi_0$  and  $\psi_0 \partial_t^{2n+1} \psi_1 + \psi_1 \partial_t^{2n+1} \psi_0$ .

In section 2.3, we go on to find a duality relation between two different values of  $\alpha$  through a  $\pi/4$  rotation of the Majorana fermions. We go on to check that the spectra obtained from the kernels of the four point functions transform in the same way.

In section 2.4, we look at the instability of this two flavour model at  $\alpha < 1$ : it is found in this section that for  $\alpha < 1$  the conformal primary operator  $\psi_0 \psi_1 - \psi_1 \psi_0$  has a complex scaling dimension, which renders the model unstable.

## 1.1 The SYK Model

The Hamiltonian of the model in which four fermions interact at a time is given as follows [1]

$$H = \sum_{iklm} j_{iklm} \psi_i \psi_k \psi_l \psi_m \quad (1)$$

where  $\langle j_{iklm} \rangle = 0$ , and  $\langle j_{iklm}^2 \rangle = 3! J^2 / N^3$

The more general model in which  $q$  Majorana fermions interact at a time is the following

$$H = i^{\frac{q}{2}} \sum_{1 \leq i_1 < i_2 < \dots < i_q \leq N} j_{i_1, i_2, \dots, i_q} \psi_{i_1} \psi_{i_2} \dots \psi_{i_q} \quad (2)$$

Where  $\langle j_{i_1 i_2 \dots i_q} \rangle = 0$ , and  $\langle j_{i_1 i_2 \dots i_q}^2 \rangle = (q-1)! J^2 / N^{q-1}$ .

What makes this theory most interesting is that the SYK model is likely to have a holographic dual. The SYK model becomes approximately conformal in the infrared, and there is a reparametrization symmetry which is broken to  $SL(2, \mathbb{R})$ . This is a characteristic shared by theories of gravity of near extremal blackholes in that they develop a nearly  $AdS_2$  background [11].

Furthermore, the chaotic dynamics is also an interesting feature of the SYK model. The growth of the out of time order four point function in this model suggests that inherently,

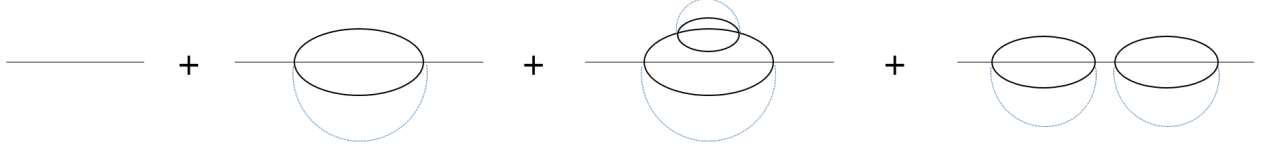


Figure 1: Perturbative expansion of the SYK Model's two point function. The dotted blue lines denote disorder averaging.

chaotic dynamics are present. This growth of the four point function reveals that the theory is maximally chaotic. This feature matches that of gravity theories. These two features of the SYK model make it an interesting model in the study of quantum gravity, due to the AdS/CFT duality [11].

### 1.1.1 The Large $N$ Limit of the SYK Model

The SYK model is solvable in the large  $N$  limit. For example, let us look at the Euclidean propagator  $G(\tau) \equiv \langle T(\psi(\tau)\psi(0)) \rangle = \langle \psi(\tau)\psi(0) \rangle \theta(\tau) - \langle \psi(0)\psi(\tau) \rangle \theta(-\tau)$  where  $\theta(\tau)$  is a Heaviside step function. For a free Majorana fermion, the propagator would simply be  $G_{free}(\tau) = \frac{1}{2} \text{sgn}(\tau)$ ,  $G_{free}(\omega) = -\frac{1}{i\omega}$ . Here, we can introduce a finite temperature  $1/\beta$  by letting  $\tau \sim \tau + \beta$  [11].

By perturbative expansion, one can find the corrections to the two point function caused by the interaction between the fermions. Figure 1 illustrates the diagrammatic representation of the perturbative expansion. In doing the perturbative expansion, we perform disorder averaging, and only consider melonic diagrams as illustrated in figure 1. The reason why we do the disorder averaging is simple: As  $\langle j_{i_1 i_2 \dots i_q} \rangle = 0$ , diagrams average to 0 unless vertices of the type  $j_{i_1 i_2 \dots i_q}$  occur in even numbers.

Moreover, the reason why we only consider melonic diagrams is that the most amount of index contractions occur when the diagrams are melonic. Let us take a moment to define what a melonic diagram is here: As illustrated in figure 2, melonic diagrams are diagrams created by replacing a propagator with a two loop diagram, as illustrated in the middle of

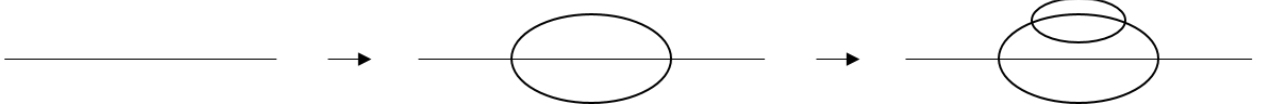


Figure 2: The iterative procedure of creating melonic diagrams. A propagator as in the leftmost figure is transformed into a two loop diagram as in the middle. Another iterative procedure creates a higher loop-order melonic diagram as illustrated in the rightmost figure [6].

the figure [6].

This thesis does not spend time proving the reason behind melonic dominance in the large  $N$  limit, but we illustrate this point in figure 3. The diagram on the left is melonic, whereas the one on the right is not. The melonic diagram in the left would be summed  $N^6$  times, for there are  $N^6$  ways that we can choose indices  $j, k, l, m, n$ , and  $p$ . This would result in an amplitude of  $\langle j^2 \rangle^2 N^6 = J^4$ . On the other hand, for the diagram on the right, we only have  $N^4$  degrees of freedom: due to disorder averaging,  $i, j, k, l$  has to equal  $n, p, k, l$ , and  $o, j, n, m$ , has to equal  $o, p, i, m$ . For these relations to hold,  $n$  has to equal  $i$ , and  $p, j$ . As a result we have five indices that we can choose freely, which results in the diagram on the right being summed  $N^5$  times. Hence the amplitude of the diagram would be proportional to  $\langle j^2 \rangle^2 N^5 = J^4/N$ . Clearly, in the large  $N$  limit the non-melonic term of the right diagram vanishes, while the melonic term of the left diagram stays.

This melonic dominance leads to a simplification in the perturbative expansion of a two point function. This simplification is illustrated in figure 4.

In the IR limit, we can ignore the left hand term, and this leads us to the following Schwinger-Dyson equation

$$\int d\tau' \Sigma(\tau, \tau') G(\tau', \tau'') = -\delta(\tau - \tau''), \quad \Sigma(\tau, \tau') = J^2 G(\tau, \tau')^3 \quad (3)$$

In such a limit, the theory has reparametrization invariance. Thus, using the conformal two point function ansatz, one finds

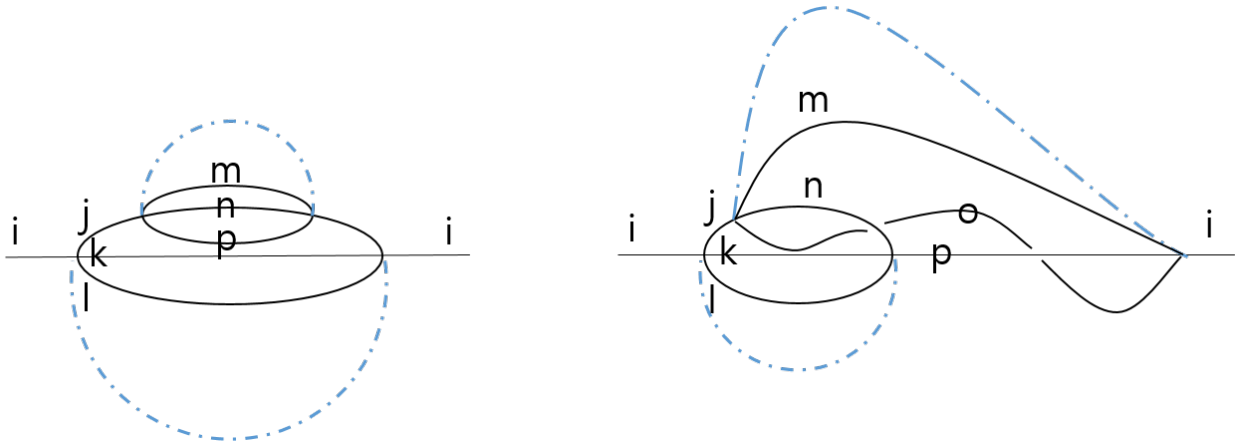


Figure 3: Left: Melonic Diagram, Right: Non-melonic diagram

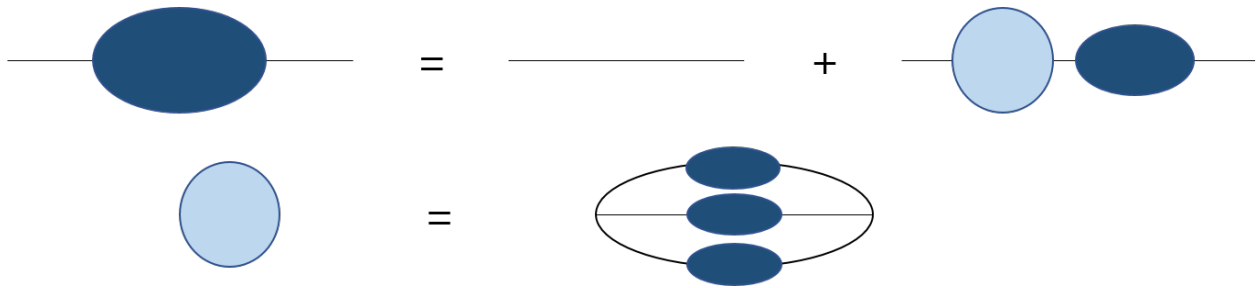


Figure 4: The navy blue circle diagram denotes the dressed two point function, and the light blue circle diagram denotes the one particle irreducible contributions.

$$G(\tau) = -\left(\frac{1}{4\pi J^2}\right)^{\frac{1}{4}} \frac{\text{sgn}(\tau)}{|\tau|^{1/2}} \quad (4)$$

The Appendix provides additional information on the behavior of a two point function in a conformal field theory.

### 1.1.2 Four Point Functions of the SYK Model

Because of the dominance of melonic diagrams in the large  $N$  limit, the SYK model's four point functions become dominated by a particular set of Feynman diagrams called the ladder diagrams. Hence, the four point function of the SYK model can be computed by calculating the ladder diagrams that compose it. In this section, we explain how the four point function  $\langle \psi_i(t_1) \psi_i(t_2) \psi_j(t_3) \psi_j(t_4) \rangle$  can be computed using this technique, following [12].

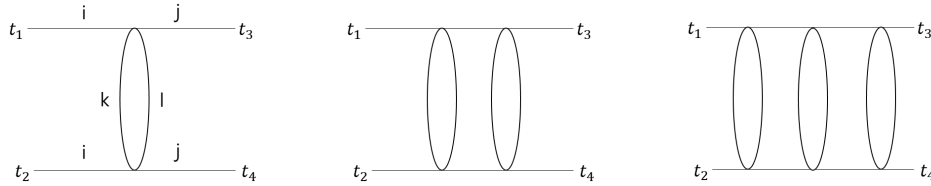


Figure 5: The four point function consists of ladder diagrams

Examples of ladder diagrams are illustrated in figure 5. Notice that the amplitude of the ladder diagrams with a nonzero number of rungs is of order  $1/N$ . For example, the leftmost diagram of figure 5 has two free indices: one can freely choose  $k, l$ . Hence, the amplitude of the diagram is proportional to  $\langle j^2 \rangle N^2 = J^2/N$ . In general, all ladder diagrams of the SYK model are of order  $1/N$ .

The ladder diagrams themselves can be computed by finding the kernels that comprise the diagrams. Kernels are what one needs to add to an  $n$  rung ladder diagram to make it into an  $n + 1$  rung ladder diagram. An example of this process is drawn in figure 6.

The kernel for the SYK model is given as the following



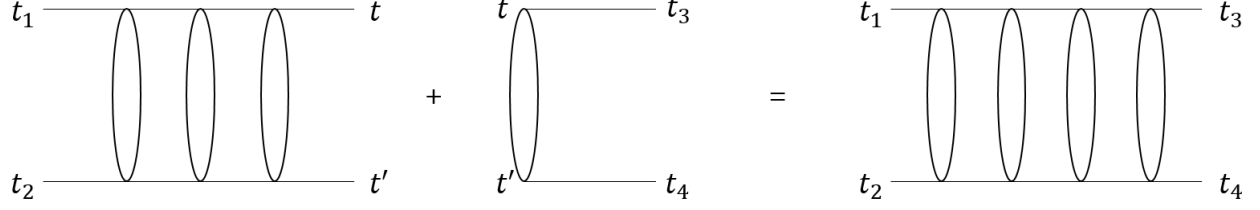


Figure 6: Adding the kernel (the middle diagram) into a ladder diagram (as on the left) creates a ladder diagram with one more rung (as on the right)

$$K(t, t', t_3, t_4) = -3J^2 G(t - t')^2 G(t - t_3) G(t' - t_4) \quad (5)$$

Using the result for  $G(\tau)$  that we obtained in the previous section

$$K(t, t', t_3, t_4) = -\frac{3}{4\pi} \frac{\text{sgn}(t - t_3) \text{sgn}(t' - t_4)}{|t - t_3|^{1/2} |t' - t_4|^{1/2} |t - t'|} \quad (6)$$

Let us call the  $n$  rungged ladder diagram of the four point function  $\langle \psi_i(t_1) \psi_i(t_2) \psi_j(t_3) \psi_j(t_4) \rangle \Gamma_{SYK}^n(t_1, t_2, t_3, t_4)$ . Then, the following equation holds

$$\Gamma_{SYK}^{n+1}(t_1, t_2, t_3, t_4) = \int dt dt' \Gamma_{SYK}^n(t_1, t_2, t, t') K(t, t', t_3, t_4) \quad (7)$$

Therefore, writing  $O_{SYK}(t_1, t_2, t_3, t_4)$  as the sum of the connected ladder diagrams, it satisfies the following

$$\begin{aligned} O_{SYK}(t_1, t_2, t_3, t_4) &= \sum_{n=1}^{\infty} \Gamma_{SYK}^n(t_1, t_2, t_3, t_4) \\ &= \Gamma_{SYK}^1(t_1, t_2, t_3, t_4) \\ &\quad + \int dt dt' O_{SYK}(t_1, t_2, t, t') K(t, t', t_3, t_4) \end{aligned} \quad (8)$$

In low energies/high interactions the theory is conformal. Thus, this symmetry can be used to diagonalize the kernel. Define  $v_{SYK}(t_1, t_2)$  as the following

$$v_{SYK}(t_1, t_2) = \frac{\text{sgn}(t_1 - t_2)}{|t_1 - t_2|^h} \quad (9)$$

Then, the following holds

$$\int dt dt' v_{SYK}(t, t') K(t, t', t_1, t_2) = g(h) v_{SYK}(t_1, t_2) \quad (10)$$

where  $g(h) = -\frac{3}{2} \frac{1}{(1-h) \tan(\pi h/2)}$ .

Now, using the  $SL(2, R)$  invariance, it is possible to find the complete set of eigenfunctions. They turn out to be

$$v_{\mu\omega}(t_1, t_2) = \frac{\text{sgn}(t_1 - t_2)}{\sqrt{4\pi}|t_1 - t_2|} e^{-i\omega(t_1+t_2)/2} \left( J_\mu(|\omega(t_1 - t_2)/2|) + \frac{\tan(\mu\pi/2) + 1}{\tan(\mu\pi/2) - 1} J_{-\mu}(|\omega(t_1 - t_2)/2|) \right) \quad (11)$$

With this complete set of eigenfunctions and equation 8, the four point function  $O_{SYK}$  is found to be the following

$$O_{SYK}(t_1, t_2, t_3, t_4) = \frac{3J}{\sqrt{4\pi}} \int d\mu d\omega \frac{v_{\mu\omega}^*(t_1, t_2) v_{\mu\omega}(t_3, t_4)}{1 - g(\mu)} \quad (12)$$

where  $N_\mu = (2\mu)^{-1}$  for  $\mu = 3/2 + 2n$ , and  $\mu = (2\mu)^{-1} \sin(\pi\mu)$  for  $\mu = ir$ .

Using similar techniques, Gross and Rosenhaus found that any  $N$  point function of the SYK model can be explicitly computed in the large  $N$  limit with the operator product expansion [13].

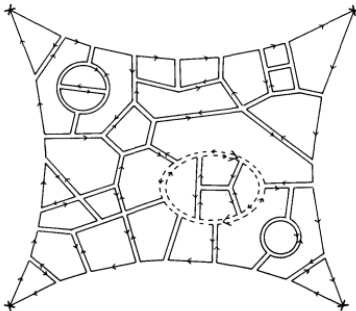


Figure 7: For the matrix models, planar diagrams as drawn above dominates [15]

## 1.2 Tensor Models

Just as the SYK model is solvable in the large  $N$  limit, quantum field theories with a large number of fields related by symmetries are greatly simplified in the large  $N$  limit. In a way, this limit acts just like a classical limit would, where in this case the classical limit is achieved in the dual gravitational theory [13].

Vector models were the first to be studied in the large  $N$  limit. The scalar  $O(N)$  vector model had the interaction term  $\frac{1}{4}\lambda\phi_a\phi_a\phi_b\phi_b$ , and was easily solved in the large  $N$  limit where  $gN$  is fixed. In this limit tadpole diagrams dominate, and summation over these diagrams is fairly straightforward [14]. Then came matrix models, with the interaction term  $\phi_{ab}\phi_{bc}\phi_{cd}\phi_{da}$ . In the large  $N$  limit where  $gN$  is fixed, planar diagrams dominate the perturbative expansion [15]; an example of a planar diagram is given in figure 7. This allows for a solution in low dimensional cases, although the theory is not solvable in general [16].

Naturally, what came next were tensor models [17–19]. Gurau and others found ways to tune the interaction terms of a tensor field so that a tensor model of rank equal to or larger than 3 can be solvable in the large  $N$  limit [3–5, 20, 21]. Subsequently, Witten generalized Gurau’s construction of rank 3 tensors from the  $d = 0$  tensor integral case to a  $d$  dimensional QFT. The interaction term of the Gurau - Witten model is given as follows [6]



Figure 8: The propagator  $\langle \phi_{abc} \phi_{a'b'c'} \rangle = \delta^{aa'} \delta^{bb'} \delta^{cc'}$ .

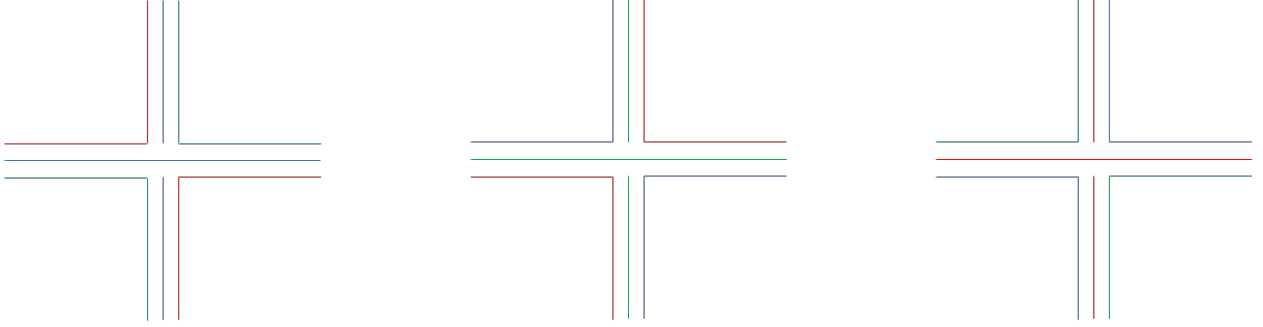


Figure 9: Vertex in the Klebanov - Tarnopolsky Model.

$$V = g \psi_0^{abc} \psi_1^{ade} \psi_2^{fbe} \psi_3^{fdc} + c.c. \quad (13)$$

As will be discussed in the next section, the tensor models are dominated by melonic diagrams in the large  $N$  limit, where  $g^2 N^3$  is held fixed. This dominance of melonic diagrams at large  $N$  is a characteristic that is shared with the SYK model [6]: this particular similarity prompted Witten to put forward his  $U(N)^6$  symmetric Gurau-Witten tensor model as a disorderless replication of the SYK-like model.

### 1.2.1 The Dominance of Melonic Diagrams at Large $N$

The tensor models that Gurau and Witten proposed exhibit a dominance of melonic diagrams at large  $N$ . In this section, we use the model studied by Klebanov and Tarnopolsky as an example. Their model has the interaction term  $\frac{1}{4} g \psi^{a_1 b_1 c_1} \psi^{a_1 b_2 c_2} \psi^{a_2 b_1 c_2} \psi^{a_2 b_2 c_1}$ , and consequently the propagator and vertex functions take the forms that are illustrated in figures 8 and 9 [7].

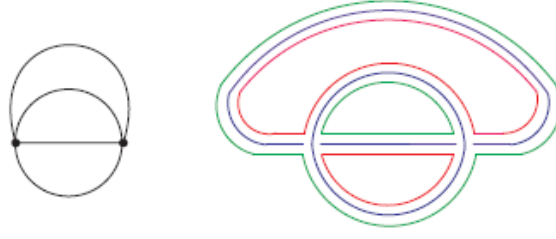


Figure 10: This diagram has 6 index loops, and hence will be summed up  $N^6$  times [7]. The number of index loops can be counted by adding together the number of index loops in each subgraph created by a single color.

In perturbative expansions, a diagram with  $\mathcal{N}$  index loops have an amplitude that is summed up  $N^{\mathcal{N}}$  times: For example, the diagram in figure 10 has 6 index loops, and hence gets added  $N^6$  times.

Consequently, a diagram with the most number of index loops at a given number of vertices exhibits the largest amplitude. In the Klebanov - Tarnopolsky model, for a fixed number of vertices, melonic diagrams have the most amount of loops. It is not too difficult to prove this, and the proof is given in [21]. A quick example of the dominance of melonic diagrams is as follows.

In the left diagram of figure 11 there are two insertions of the Hamiltonian. Since the free propagator is a delta function, the vertices must be of the same type. Hence, summing up over  $a, b, c$ , and  $a', b', c'$ , one sums the diagram up  $N^6$  times. This term is therefore expected to have an amplitude proportional to  $g^2 N^6$ .

On the other hand, in the right diagram of figure 11, there are four insertions of the Hamiltonian. Doing a similar analysis we find that at the rightmost vertex, propagators of the type  $\psi_{ab''c''}$ ,  $\psi_{a''b''c'}$ ,  $\psi_{a''bc'}$ , and  $\psi_{abc}$  meet. Due to the constraint induced by the Hamiltonian,  $a'' = a'$ , and  $c'' = c'$ . All in all, in summing up over  $a, b, c$ ,  $a', b', c'$ , and  $a'' b'' c''$ , one adds the diagram  $N^7$  times. Therefore, the term is expected to have an amplitude

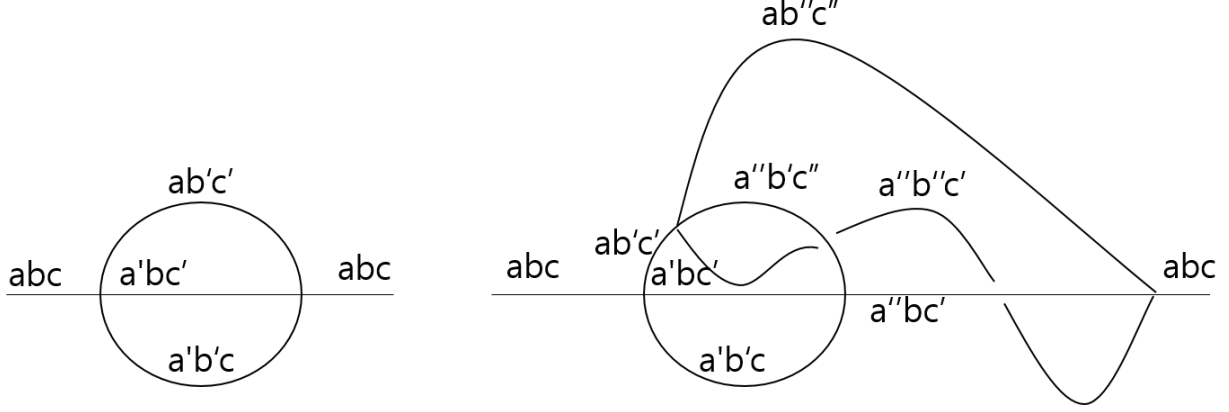


Figure 11: Diagrams that contribute to  $\langle \phi_{abc} \phi_{abc} \rangle$ . Left is melonic, right is not.

proportional to  $g^4 N^7$ .

In the large  $N$  limit,  $g^2 N^3$  is kept constant while  $N$  is taken to infinity. Hence, the left diagram of figure 11 scales as  $O(1/N)$  compared to the left and goes to zero in the large  $N$  limit. Similarly, all non-melonic diagrams amplitudes go to zero in the large  $N$  limit.

### 1.2.2 Flavourless Tensor Model

This model mentioned in the above section was first explored by Carrozza and Tanasa in  $d = 0$  [21], and extended by Klebanov and Tarnopolsky to the fermionic  $d = 1$  case [7]. This model is similar to the Gurau-Witten model, with the difference being that there is  $1/4$  of the degrees of freedom. The model is likewise dominated by melon diagrams. The interaction term of the model is given below

$$V = \frac{1}{4} g \psi^{a_1 b_1 c_1} \psi^{a_1 b_2 c_2} \psi^{a_2 b_1 c_2} \psi^{a_2 b_2 c_1} \quad (14)$$

Klebanov and Tarnopolsky also studied the same model, but in the case of complex fermions, for which the interaction term was the following

$$V = \frac{1}{4}g \left( \bar{\psi}^{a_1 b_1 c_1} \bar{\psi}^{a_1 b_2 c_2} \bar{\psi}^{a_2 b_1 c_2} \bar{\psi}^{a_2 b_2 c_1} + \psi^{a_1 b_1 c_1} \psi^{a_1 b_2 c_2} \psi^{a_2 b_1 c_2} \psi^{a_2 b_2 c_1} \right) \quad (15)$$

This model, first proposed in [8], has a  $SU(N) \times O(N) \times SU(N) \times O(1)$  symmetry.

## 2 The Two Flavour Tensor Model

The interaction term of the two flavour tensor model is defined as follows

$$\begin{aligned}
H = & \frac{g}{2} \{ \psi_0^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} - \psi_0^{a_1 b_1 c_1} \psi_0^{a_2 b_1 c_2} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_2 c_1} + \psi_0^{a_1 b_1 c_1} \psi_0^{a_2 b_2 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \} \\
& + \frac{\alpha g}{4} \{ \psi_0^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1} + \psi_1^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} \}
\end{aligned} \tag{16}$$

It is of note that this Hamiltonian is invariant under the transformation

$$\psi_i^{abc} \rightarrow A_{a'}^a B_{b'}^b C_{c'}^c \psi_i^{a'b'c'} \tag{17}$$

Here,  $A$ ,  $B$ , and  $C$  are orthogonal matrices, so the Hamiltonian has an  $O(N)^3$  symmetry.

A slightly wordier version of the Hamiltonian is as follows. First let us define

$$I_1(a_i, b_i, c_i) = \delta_{a_1 a_2} \delta_{b_1 b_3} \delta_{c_1 c_4} \delta_{b_2 b_4} \delta_{c_2 c_3} \delta_{a_3 a_4} - \delta_{a_1 a_3} \delta_{b_1 b_2} \delta_{c_1 c_4} \delta_{a_2 a_4} \delta_{c_2 c_3} \delta_{b_3 b_4} + \delta_{a_1 a_3} \delta_{b_1 b_4} \delta_{c_1 c_2} \delta_{a_2 a_4} \delta_{b_2 b_3} \delta_{c_3 c_4} \tag{18}$$

$$I_2(a_i, b_i, c_i) = \delta_{a_1 a_2} \delta_{b_1 b_3} \delta_{c_1 c_4} \delta_{b_2 b_4} \delta_{c_2 c_3} \delta_{a_3 a_4} \tag{19}$$

Then, the Hamiltonian can be written as

$$\begin{aligned}
H = & \frac{g}{2} I_1(a_i, b_i, c_i) \psi_0^{a_1 b_1 c_1} \psi_0^{a_2 b_2 c_2} \psi_1^{a_3 b_3 c_3} \psi_1^{a_4 b_4 c_4} \\
& + \frac{\alpha g}{4} I_2(a_i, b_i, c_i) (\psi_0^{a_1 b_1 c_1} \psi_0^{a_2 b_2 c_2} \psi_0^{a_3 b_3 c_3} \psi_0^{a_4 b_4 c_4} + \psi_1^{a_1 b_1 c_1} \psi_1^{a_2 b_2 c_2} \psi_1^{a_3 b_3 c_3} \psi_1^{a_4 b_4 c_4})
\end{aligned} \tag{20}$$



## 2.1 The Propagator

The propagator of the two flavour tensor model can be calculated through the Schwinger-Dyson equation, similar to how we calculated the propagator for the SYK model. The Schwinger-Dyson equation is depicted graphically in figure 12.

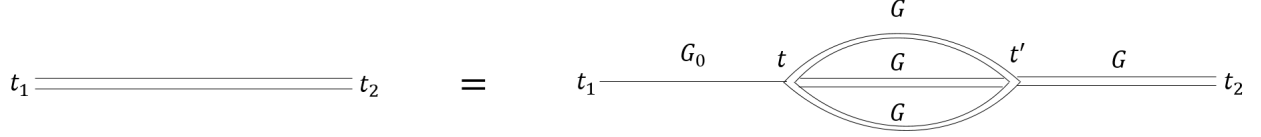


Figure 12: Schwinger-Dyson equation. Double lines indicate the dressed propagators, and single lines indicate undressed propagators.

The Schwinger-Dyson equation can be written down as

$$G(t_2 - t_1) = G_0(t_2 - t_1) + g^2(3 + \alpha^2)N^3 \int dt dt' G_0(t - t_1)G(t' - t)^3 G(t_2 - t') \quad (21)$$

At large interactions, the first term can be ignored, and this gives us

$$G(t_2 - t_1) = g^2(3 + \alpha^2)N^3 \int dt dt' G_0(t - t_1)G(t' - t)^3 G(t_2 - t') \quad (22)$$

Since  $G_0(t_2 - t_1) = \frac{\text{sgn}(t_2 - t_1)}{|t_2 - t_1|^{1/2}}$ , we find the propagator to be the following

$$G(t_2 - t_1) = - \left( \frac{1}{4\pi(3 + \alpha^2)g^2 N^3} \right)^{\frac{1}{4}} \frac{\text{sgn}(t_2 - t_1)}{|t_2 - t_1|^{1/2}} \quad (23)$$

## 2.2 Four Point Functions

Here, we repeat the process that we went through in the calculation of the four point functions of the SYK model in section 1.1.2. We do not compute the full four point function, but rather exploit them to find the spectra.

### 2.2.1 Four Point Functions and the Scaling Dimensions of $\langle \psi_0^{abc} \psi_0^{abc} \psi_0^{a'b'c'} \psi_0^{a'b'c'} \rangle$ and $\langle \psi_0^{abc} \psi_0^{abc} \psi_1^{a'b'c'} \psi_1^{a'b'c'} \rangle$

As shown previously, the four point functions can be found by computing the kernel of the ladder diagram, i.e., the individual ladders. There are two types of kernels for the four point functions  $\langle \psi_0^{abc}(t_1) \psi_0^{abc}(t_2) \psi_0^{a'b'c'}(t_3) \psi_0^{a'b'c'}(t_4) \rangle$ ,  $\langle \psi_0^{abc}(t_1) \psi_0^{abc}(t_2) \psi_1^{a'b'c'}(t_3) \psi_1^{a'b'c'}(t_4) \rangle$ , and  $\langle \psi_1^{abc}(t_1) \psi_1^{abc}(t_2) \psi_1^{a'b'c'}(t_3) \psi_1^{a'b'c'}(t_4) \rangle$ . Let us deal with the first two four point functions to simplify the argument. The last four point function is identical to the first, and hence without loss of generality, one can consider only the first two four point functions.

The two kernels are illustrated in figure 13.  $K_{reg}$  does not change the flavour of what comes from the left. That is, if the particles that were coming from the left were  $\psi_0$ s, then after going through the  $K_{reg}$  kernel, the particles that come out would still be  $\psi_0$ s. On the other hand,  $K_{irreg}$  switches the flavour of the particles as they go through them. For example, if the particles coming from the left were  $\psi_0$ s, then the particles going out to the right would be  $\psi_1$ s.

Let us calculate the two different types of kernels. The kernel is formed from two insertions of the Hamiltonian

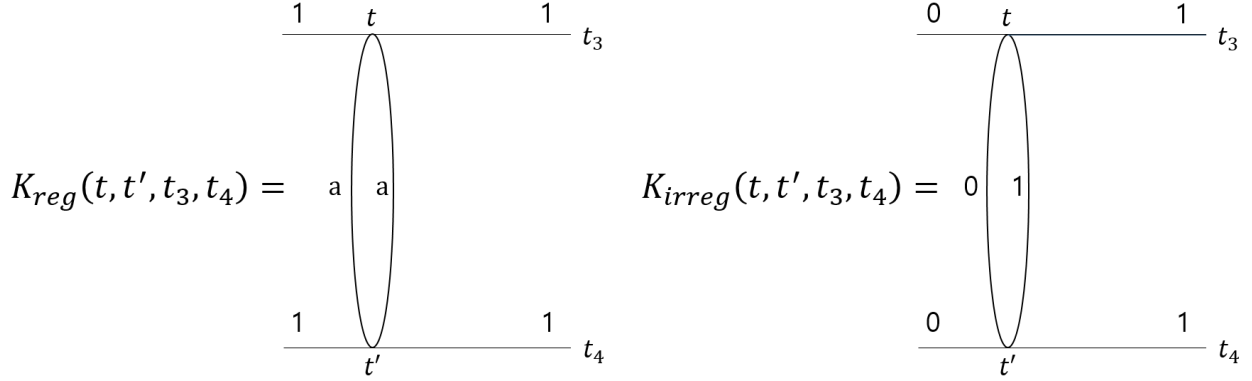


Figure 13: The kernels of the four point function  $\langle \psi_0^{abc}(t_1)\psi_0^{abc}(t_2)\psi_1^{a'b'c'}(t_3)\psi_1^{a'b'c'}(t_4) \rangle$  and  $\langle \psi_0^{abc}(t_1)\psi_0^{abc}(t_2)\psi_0^{a'b'c'}(t_3)\psi_0^{a'b'c'}(t_4) \rangle$ .

$$\begin{aligned}
K = & \left\{ \frac{g^2}{4} I_1(a_i, b_i, c_i) I_1(a'_i, b'_i, c'_i) \psi_0^{a_1 b_1 c_1}(t) \psi_0^{a_2 b_2 c_2}(t) \psi_1^{a_3 b_3 c_3}(t) \psi_1^{a_4 b_4 c_4}(t) \psi_0^{a'_1 b'_1 c'_1}(t') \psi_0^{a'_2 b'_2 c'_2}(t') \right. \\
& \psi_1^{a'_3 b'_3 c'_3}(t') \psi_1^{a'_4 b'_4 c'_4}(t') + \frac{\alpha^2 g^2}{16} I_2(a_i, b_i, c_i) I_2(a'_i, b'_i, c'_i) \psi_0^{a_1 b_1 c_1}(t) \psi_0^{a_2 b_2 c_2}(t) \psi_0^{a_3 b_3 c_3}(t) \psi_0^{a_4 b_4 c_4}(t) \\
& \psi_0^{a'_1 b'_1 c'_1}(t') \psi_0^{a'_2 b'_2 c'_2}(t') \psi_0^{a'_3 b'_3 c'_3}(t') \psi_0^{a'_4 b'_4 c'_4}(t') + \frac{\alpha^2 g^2}{16} I_2(a_i, b_i, c_i) I_2(a'_i, b'_i, c'_i) \psi_1^{a_1 b_1 c_1}(t) \psi_1^{a_2 b_2 c_2}(t) \\
& \left. \psi_1^{a_3 b_3 c_3}(t) \psi_1^{a_4 b_4 c_4}(t) \psi_1^{a'_1 b'_1 c'_1}(t') \psi_1^{a'_2 b'_2 c'_2}(t') \psi_1^{a'_3 b'_3 c'_3}(t') \psi_1^{a'_4 b'_4 c'_4}(t') \right\} \psi_1^{a' b' c'}(t_3) \psi_1^{a' b' c'}(t_4)
\end{aligned} \tag{24}$$

Note that we set the flavour of the external lines as 1 without loss of generality, and that the terms in which  $I_1$  and  $I_2$  are multiplied together are ignored, for their contractions lead to tadpole diagrams.

$I_1 I_1$  interactions give the following term for  $K$ , with Wick contractions. Note that we contract  $a_4 b_4 c_4$  and  $a'_4 b'_4 c'_4$  with  $a' b' c'$ , which gives us  $\delta_{a_4 a'_4} \delta_{b_4 b'_4} \delta_{c_4 c'_4}$ . Other contractions of  $a' b' c'$  with  $a_i b_i c_i$  and  $a'_i b'_i c'_i$  give the same amplitude due to symmetry under the interchange of the position of  $\psi_1^{a_i b_i c_i}$ , and hence can be accounted for by multiplying the resulting kernel from the contraction of  $a_4 b_4 c_4$  and  $a'_4 b'_4 c'_4$  with  $a' b' c'$  by 4

$$\begin{aligned}
& \frac{g^2}{4} I_1(a_i, b_i, c_i) I_1(a'_i, b'_i, c'_i) \psi_0^{a_1 b_1 c_1}(t) \psi_0^{a_2 b_2 c_2}(t) \psi_1^{a_3 b_3 c_3}(t) \psi_1^{a_4 b_4 c_4}(t) \\
& \psi_0^{a'_1 b'_1 c'_1}(t') \psi_0^{a'_2 b'_2 c'_2}(t') \psi_1^{a'_3 b'_3 c'_3}(t') \psi_1^{a'_4 b'_4 c'_4}(t') \psi_1^{a' b' c'}(t_3) \psi_1^{a' b' c'}(t_4) \\
& = g^2 \left( \delta_{a_1 a_2} \delta_{b_1 b_3} \delta_{c_1 c_4} \delta_{b_2 b_4} \delta_{c_2 c_3} \delta_{a_3 a_4} - \delta_{a_1 a_3} \delta_{b_1 b_2} \delta_{c_1 c_4} \delta_{a_2 a_4} \delta_{c_2 c_3} \delta_{b_3 b_4} + \delta_{a_1 a_3} \delta_{b_1 b_4} \delta_{c_1 c_2} \delta_{a_2 a_4} \delta_{b_2 b_3} \delta_{c_3 c_4} \right) \\
& \quad \left( \delta_{a'_1 a'_2} \delta_{b'_1 b'_3} \delta_{c'_1 c'_4} \delta_{b'_2 b'_4} \delta_{c'_2 c'_3} \delta_{a'_3 a'_4} - \delta_{a'_1 a'_3} \delta_{b'_1 b'_2} \delta_{c'_1 c'_4} \delta_{a'_2 a'_4} \delta_{c'_2 c'_3} \delta_{b'_3 b'_4} + \delta_{a'_1 a'_3} \delta_{b'_1 b'_4} \delta_{c'_1 c'_2} \delta_{a'_2 a'_4} \delta_{b'_2 b'_3} \delta_{c'_3 c'_4} \right) \\
& \quad \delta_{a_4 a'_4} \delta_{b_4 b'_4} \delta_{c_4 c'_4} \psi_0^{a_1 b_1 c_1} \psi_0^{a_2 b_2 c_2} \psi_1^{a_3 b_3 c_3} \psi_0^{a'_1 b'_1 c'_1} \psi_0^{a'_2 b'_2 c'_2} \psi_1^{a'_3 b'_3 c'_3} G(t_3 - t) G(t_4 - t') \\
& = g^2 \left( \delta_{a_3 a'_3} \delta_{b_2 b'_2} \delta_{c_1 c'_1} \delta_{a_1 a_2} \delta_{b_1 b_3} \delta_{c_2 c_3} \delta_{a'_1 a'_2} \delta_{b'_1 b'_3} \delta_{c'_2 c'_3} + \delta_{a_3 a'_2} \delta_{b_2 b'_1} \delta_{c_1 c'_3} \delta_{a_1 a_2} \delta_{b_1 b_3} \delta_{c_2 c_3} \delta_{b'_1 b'_2} \delta_{c'_1 c'_3} \delta_{a'_2 a'_3} \right. \\
& \quad \left. + \delta_{a_3 a'_1} \delta_{b_2 b'_3} \delta_{c_1 c'_2} \delta_{a_1 a_2} \delta_{b_1 b_3} \delta_{c_2 c_3} \delta_{c'_1 c'_2} \delta_{a'_1 a'_3} \delta_{b'_2 b'_3} + \text{cyclic permutations of a, b, c} \right) \\
& \quad \psi_0^{a_1 b_1 c_1} \psi_0^{a_2 b_2 c_2} \psi_1^{a_3 b_3 c_3} \psi_0^{a'_1 b'_1 c'_1} \psi_0^{a'_2 b'_2 c'_2} \psi_1^{a'_3 b'_3 c'_3} G(t_3 - t) G(t_4 - t')
\end{aligned} \tag{25}$$

Now let us perform the second set of wick contractions. Wick contraction between  $\psi_0^{a_1 b_1 c_1}$  and  $\psi_0^{a'_2 b'_2 c'_2}$  or  $\psi_0^{a'_1 b'_1 c'_1}$  and  $\psi_0^{a_2 b_2 c_2}$  results in an amplitude of  $g^2 N$ . Consequently, this is ignored in the large N limit. An intuitive reason why this is so can be seen in the Appendix. Continuing with the calculations

$$\begin{aligned}
& = g^2 \left( \delta_{a_3 a'_3} \delta_{b_2 b'_2} \delta_{c_1 c'_1} \delta_{a_1 a_2} \delta_{b_1 b_3} \delta_{c_2 c_3} \delta_{a'_1 a'_2} \delta_{b'_1 b'_3} \delta_{c'_2 c'_3} + \delta_{a_3 a'_2} \delta_{b_2 b'_1} \delta_{c_1 c'_3} \delta_{a_1 a_2} \delta_{b_1 b_3} \delta_{c_2 c_3} \delta_{b'_1 b'_2} \delta_{c'_1 c'_3} \delta_{a'_2 a'_3} \right. \\
& \quad \left. + \delta_{a_3 a'_1} \delta_{b_2 b'_3} \delta_{c_1 c'_2} \delta_{a_1 a_2} \delta_{b_1 b_3} \delta_{c_2 c_3} \delta_{c'_1 c'_2} \delta_{a'_1 a'_3} \delta_{b'_2 b'_3} + \text{cyclic permutations of a, b, c} \right) \\
& \quad \left\{ \delta_{a_2 a'_2} \delta_{b_2 b'_2} \delta_{c_2 c'_2} \delta_{a_3 a'_3} \delta_{b_3 b'_3} \delta_{c_3 c'_3} \psi_0^{a_1 b_1 c_1} \psi_0^{a'_1 b'_1 c'_1} + \delta_{a_1 a'_1} \delta_{b_1 b'_1} \delta_{c_1 c'_1} \delta_{a_3 a'_3} \delta_{b_3 b'_3} \delta_{c_3 c'_3} \psi_0^{a_2 b_2 c_2} \psi_0^{a'_2 b'_2 c'_2} \right. \\
& \quad \left. + \delta_{a_1 a'_1} \delta_{b_1 b'_1} \delta_{c_1 c'_1} \delta_{a_2 a'_2} \delta_{b_2 b'_2} \delta_{c_2 c'_2} \psi_1^{a_3 b_3 c_3} \psi_1^{a'_3 b'_3 c'_3} \right\} G(t_3 - t) G(t_4 - t') G(t - t')^2 \\
& = 3g^2 N^3 \left\{ \delta_{a_1 a'_1} \delta_{b_1 b'_1} \delta_{c_1 c'_1} \psi_0^{a_1 b_1 c_1} \psi_0^{a'_1 b'_1 c'_1} + \delta_{a_2 a'_2} \delta_{b_2 b'_2} \delta_{c_2 c'_2} \psi_0^{a_2 b_2 c_2} \psi_0^{a'_2 b'_2 c'_2} \right. \\
& \quad \left. + \delta_{a_3 a'_3} \delta_{b_3 b'_3} \delta_{c_3 c'_3} \psi_1^{a_3 b_3 c_3} \psi_1^{a'_3 b'_3 c'_3} \right\} G(t_3 - t) G(t_4 - t') G(t - t')^2
\end{aligned} \tag{26}$$

These repetitive and numerous calculations can be simplified tremendously if one uses the graphical notation of the Hamiltonian as outlined in the Appendix. Using the graphical

method outlined in the Appendix, we find that the terms in which  $I_2$  are multiplied with one another gives

$$3\alpha^2 g^2 N^3 \psi_1^{abc}(t) \psi_1^{abc}(t') G(t-t')^2 G(t_3-t) G(t_4-t) \quad (27)$$

Summing the  $I_1 I_1$  and the  $I_2 I_2$  terms together, we find that the kernel  $K$  is

$$3g^2 N^3 \{ (1 + \alpha^2) \psi_1^{abc}(t) \psi_1^{abc}(t') + 2\psi_0^{abc}(t) \psi_0^{abc}(t') \} G(t-t')^2 G(t_3-t) G(t_4-t) \quad (28)$$

Therefore, since we contracted with  $\psi_1^{a'b'c'}$  from the left, we determine that  $K_{reg}$  and  $K_{irreg}$  are the following from their definitions

$$K_{reg}(t_1, t_2, t_3, t_4) = 3g^2 (1 + \alpha^2) N^3 G(t-t')^2 G(t_3-t) G(t_4-t) \quad (29)$$

$$K_{irreg}(t_1, t_2, t_3, t_4) = 6g^2 N^3 G(t-t')^2 G(t_3-t) G(t_4-t) \quad (30)$$

Now, let us define  $\Gamma$  as below

$$\Gamma_{same}^n(t_1, t_2, t_3, t_4) = \langle \psi_0(t_1) \psi_0(t_2) \psi_0(t_3) \psi_0(t_4) \rangle \text{ 's } n \text{ rung ladder} \quad (31)$$

$$\Gamma_{diff}^n(t_1, t_2, t_3, t_4) = \langle \psi_0(t_1) \psi_0(t_2) \psi_1(t_3) \psi_1(t_4) \rangle \text{ 's } n \text{ rung ladder} \quad (32)$$

Then, they would satisfy the following graphical equations as drawn in figure 14. This is equivalent to the following

$$\Gamma_{same}^{N+1}(t_1, t_2, t_3, t_4) = \int dt dt' \Gamma_{same}^N(t_1, t_2, t, t') K_{reg}(t, t', t_3, t_4) + \Gamma_{diff}^N(t_1, t_2, t, t') K_{irreg}(t, t', t_3, t_4) \quad (33)$$

$$\Gamma_{diff}^{N+1}(t_1, t_2, t_3, t_4) = \int dt dt' \Gamma_{diff}^N(t_1, t_2, t, t') K_{reg}(t, t', t_3, t_4) + \Gamma_{same}^N(t_1, t_2, t, t') K_{irreg}(t, t', t_3, t_4) \quad (34)$$

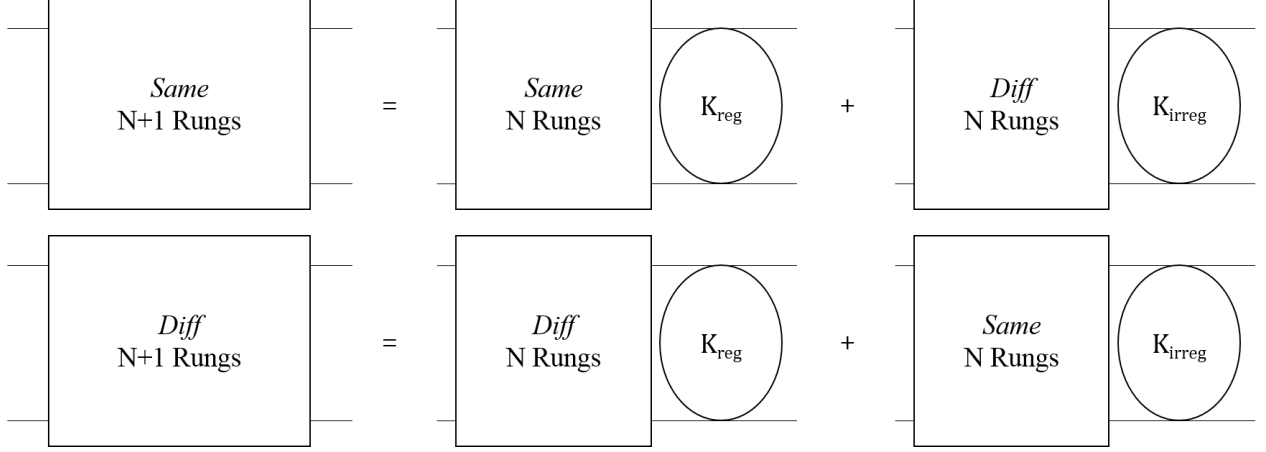


Figure 14: The kernels of the four point function  $\langle \psi_0^{abc}(t_1)\psi_0^{abc}(t_2)\psi_1^{a'b'c'}(t_3)\psi_1^{a'b'c'}(t_4) \rangle$  and  $\langle \psi_0^{abc}(t_1)\psi_0^{abc}(t_2)\psi_0^{a'b'c'}(t_3)\psi_0^{a'b'c'}(t_4) \rangle$  satisfies the following equation with the ladder diagrams.

Consequently, the kernel can be written down as the following. Here, the additional negative sign comes from the way the wick contraction occurs with the leftover  $\psi_0$ s and  $\psi_1$ s

$$-3g^2 N^3 \begin{bmatrix} 1 + \alpha^2 & 2 \\ 2 & 1 + \alpha^2 \end{bmatrix} \left( \frac{1}{4\pi(3 + \alpha^2)g^2 N^3} \right) \frac{\text{sgn}(t_3 - t) \text{sgn}(t_4 - t')}{|t_3 - t|^{1/2} |t_4 - t'|^{1/2} |t - t'|} \quad (35)$$

Diagonalizing the kernel, the eigen-ladders come from the following four point functions

$$\langle \psi_0^{abc}(t_1)\psi_0^{abc}(t_2)\psi_1^{abc}(t_3)\psi_1^{abc}(t_4) + \psi_0^{abc}(t_1)\psi_0^{abc}(t_2)\psi_0^{abc}(t_3)\psi_0^{abc}(t_4) \rangle \quad (36)$$

$$\langle \psi_0^{abc}(t_1)\psi_0^{abc}(t_2)\psi_1^{abc}(t_3)\psi_1^{abc}(t_4) - \psi_0^{abc}(t_1)\psi_0^{abc}(t_2)\psi_0^{abc}(t_3)\psi_0^{abc}(t_4) \rangle \quad (37)$$

Each has a corresponding eigen-kernel of

$$K_1 = -\frac{3}{4\pi} \frac{\text{sgn}(t_3 - t) \text{sgn}(t_4 - t')}{|t_3 - t|^{1/2} |t_4 - t'|^{1/2} |t - t'|} \quad (38)$$

$$K_2 = -\frac{3}{4\pi} \frac{-1 + \alpha^2}{3 + \alpha^2} \frac{\text{sgn}(t_3 - t) \text{sgn}(t_4 - t')}{|t_3 - t|^{1/2} |t_4 - t'|^{1/2} |t - t'|} \quad (39)$$

Now, taking  $t_1$  and  $t_2$  to  $t_0$ , let us define  $T_1$  and  $T_2$  to be the following

$$T_1(t_0, t_1, t_2) = \lim_{t_1, t_2 \rightarrow t_0} \langle \psi_0^{abc}(t_1) \psi_0^{abc}(t_2) \psi_0^{a'b'c'}(t_3) \psi_0^{a'b'c'}(t_4) + \psi_0^{abc}(t_1) \psi_0^{abc}(t_2) \psi_1^{a'b'c'}(t_3) \psi_1^{a'b'c'}(t_4) \rangle \quad (40)$$

$$T_2(t_0, t_1, t_2) = \lim_{t_1, t_2 \rightarrow t_0} \langle \psi_0^{abc}(t_1) \psi_0^{abc}(t_2) \psi_0^{a'b'c'}(t_3) \psi_0^{a'b'c'}(t_4) - \psi_0^{abc}(t_1) \psi_0^{abc}(t_2) \psi_1^{a'b'c'}(t_3) \psi_1^{a'b'c'}(t_4) \rangle \quad (41)$$

Then, the following equations hold

$$T_1(t_0, t_1, t_2) = -G(t_1 - t_3)G(t_2 - t_4) + G(t_4 - t_1)G(t_2 - t_3) + \int dt dt' T_1(t_0, t, t') K_1(t, t', t_1, t_2) \quad (42)$$

$$T_2(t_0, t_1, t_2) = G(t_1 - t_3)G(t_2 - t_4) - G(t_4 - t_1)G(t_2 - t_3) + \int dt dt' T_2(t_0, t, t') K_2(t, t', t_1, t_2) \quad (43)$$

Now,  $T_1$  and  $T_2$  are three point functions. Also, at large interactions, we can ignore the non-integral term. Therefore writing  $T_1(t_0, t_1, t_2)$  and  $T_2(t_0, t_1, t_2)$  as  $v_1(t_0, t_1, t_2)$ , and  $v_2(t_0, t_1, t_2)$  for this limit we find

$$\begin{aligned} g_1(h) v_1(t_0, t_1, t_2) &= \int dt dt' K_1(t, t', t_1, t_2) v_1(t_0, t, t') \\ g_2(h) v_1(t_0, t_1, t_2) &= \int dt dt' K_2(t, t', t_1, t_2) v_1(t_0, t, t') \end{aligned} \quad (44)$$

Since the theory is conformal in this limit

$$v_{1,2}(t_0, t_1, t_2) = \frac{\text{sgn}(t_1 - t_2)}{|t_0 - t_1|^h |t_0 - t_2|^h |t_1 - t_2|^{1/2-h}} \quad (45)$$

where  $h$  is the scaling dimension of the following operators  $T_1$  and  $T_2$ .

Now, the  $SL(2)$  invariance lets us take  $t_0$  to infinity, and consequently we can just consider  $v_{1,2}(t_0, t_1, t_2) = \frac{\text{sgn}(t_1 - t_2)}{|t_1 - t_2|^{1/2-h}}$ . The eigenvalues to these eigenfunctions are

$$g_1(h) = -\frac{3}{2} \frac{\tan(\frac{\pi}{2}(h - 1/2))}{h - 1/2} \quad (46)$$

$$g_2(h) = -\frac{3}{2} \frac{\tan(\frac{\pi}{2}(h - 1/2)) - 1 + \alpha^2}{h - 1/2} \frac{1 + \alpha^2}{3 + \alpha^2} \quad (47)$$

Since the sum of ladder diagrams is  $\frac{1}{1-K}$ , its amplitude is dominated by eigenvalues with  $g(h) = 1$ . Furthermore,  $T_1$  and  $T_2$  are composed of the of the following conformal primaries

$$O_1^{2n+1} = \psi_0 \partial_t^{2n+1} \psi_0 + \psi_1 \partial_t^{2n+1} \psi_1 \quad (48)$$

$$O_2^{2n+1} = \psi_0 \partial_t^{2n+1} \psi_0 - \psi_1 \partial_t^{2n+1} \psi_1 \quad (49)$$

This is because with the Taylor expansion of  $T_1$  and  $T_2$ , it is found that  $T$  is composed of  $\psi_0 \partial_t^n \psi_0 \pm \psi_1 \partial_t^n \psi_1$ . However, when  $n = 2k$ , the operators are not conformal primaries, and hence can be ignored.

Consequently, the scaling dimensions of the bilinear conformal primary operators  $O_1^{2n+1}$  and  $O_2^{2n+1}$  are  $h$  that satisfy  $g_1(h) = 1$  and  $g_2(h) = 1$ .

Now, let us apply this method in the special case of  $\alpha = 1$ .  $g_1(h)$  and  $g_2(h)$  are as depicted in figure 15.

The operators  $\psi_0 \partial_t^{2n+1} \psi_0 + \psi_1 \partial_t^{2n+1} \psi_1$  correspond to  $g_1$ . Since  $g_1(h) = 1$  at  $h = 2.00, 3.77, 5.68, 7.63, 9.60 \dots$ , the scaling dimensions are taken to be 2.00, 3.77, 5.68, 7.63, 9.60 and so on. It eventually converges to  $2n + \frac{3}{2}$ .



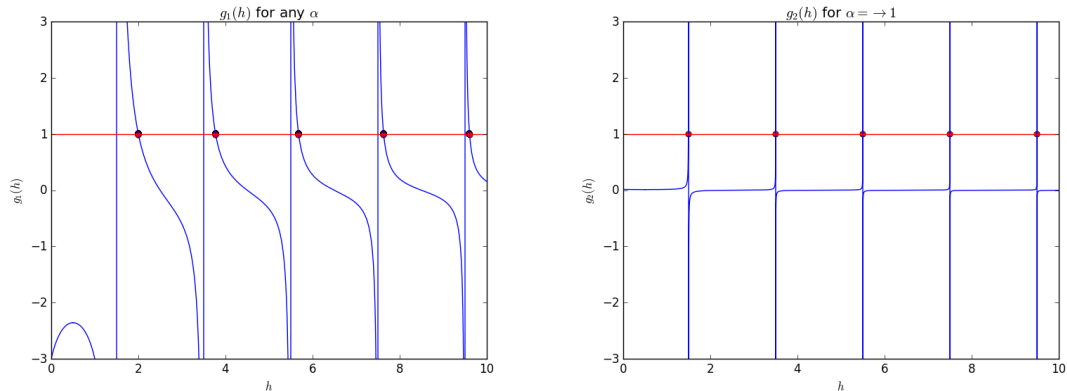


Figure 15: Left:  $g_1(h)$  for any  $\alpha$ . Right:  $g_2(h)$  for  $\alpha \rightarrow 1$ ,  $\alpha = 0.99$

Similarly, the operators  $\psi_0 \partial_t^{2n+1} \psi_0 - \psi_1 \partial_t^{2n+1} \psi_1$  correspond to  $g_2$ . Here, the  $h$  in which  $g_2(h) = 1$  is dependent on  $\alpha$ . The situation in which  $\alpha = \pm 1$  is particularly interesting: since the coefficient is zero, it seems that there are no solutions. This is not completely true due to the poles from the tangent function. This behavior can be studied by taking the limit of  $\alpha \rightarrow \pm 1$ .

For  $\alpha \rightarrow 1$ , we find that the solutions are  $h = 1.5, 3.5, 5.5, 7.5, 9.5 \dots$ . Hence, the scaling dimension of the operators is  $2n + \frac{3}{2}$ . This happens in the  $\alpha \rightarrow 1$  limit because the tangent function in  $g_2$  must diverge in order to cancel out the coefficient which goes to zero. The reason why this behavior occurs in the limit of  $\alpha \rightarrow 1$  is further discussed in section 2.2.3.

Note that  $g_1(h)$  is analogous to the flavourless real fermion model [7]. A sanity check is taking the limit of  $\alpha \rightarrow \infty$ . In this limit the second eigenvalue converges to the first eigenvalue, and this is as expected: since the two flavours are decoupled, we expect the theory to be identical to [7], the value to which  $g_2(h)$  converges show that it indeed is.

Further proof of this result comes from Gross and Rosenhaus's results for the SYK model at  $f = 2$  [10]. Their result is that

$$\begin{aligned}
K^{kk}(\tau_i) &= -J^2 \frac{q_k - 1}{K_k Q_k} G_k(\tau_{13}) G_k(\tau_{24}) \frac{1}{G_k(\tau_{34})^2} \prod_{a=1}^f G_a(\tau_{34})^{q_a} \\
K^{kl}(\tau_i) &= -J^2 \frac{q_l}{K_k Q_k} G_k(\tau_{13}) G_k(\tau_{24}) \frac{1}{G_k(\tau_{34}) G_l(\tau_{34})} \prod_{a=1}^f G_a(\tau_{34})^{q_a}
\end{aligned} \tag{50}$$

Where  $q_a$  is the number of times the  $a$ th fermion appears in the interaction term.  $Q_k = \prod_{k \neq a} q_k$ , and  $K_k = \frac{N_k}{\sum N_i}$ . Letting  $q_1 = 2$ ,  $q_2 = 2$ ,  $N_1 = N$ , and  $N_2 = N$

$$\begin{aligned}
K^{kk} &= -J^2 G_k(\tau_{13}) G_k(\tau_{24}) G_l(\tau_{34})^2 \\
K^{kl} &= -2J^2 G_k(\tau_{13}) G_k(\tau_{24}) G_k(\tau_{34}) G_l(\tau_{34})
\end{aligned} \tag{51}$$

$$K(\tau_i) = -J^2 \begin{bmatrix} 1 & 2 \\ 2 & 1 \end{bmatrix} \left( \frac{b_k}{J^2 \Delta_k} \right)^4 G(\tau_{13}) G(\tau_{24}) G(\tau_{34})^2$$

Where  $\prod_{a=1}^f b_a^{q_a} = \frac{1}{2\pi} (1 - 2\Delta_k) \tan(\pi \Delta_k)$ . Plugging in  $\Delta = 1/4$

$$- \begin{bmatrix} 1 & 2 \\ 2 & 1 \end{bmatrix} \left( \frac{1}{4\pi} \right) \frac{\text{sgn}(\tau_1 - \tau_3) \text{sgn}(\tau_2 - \tau_4)}{|\tau_1 - \tau_3|^{1/2} |\tau_2 - \tau_4|^{1/2} |\tau_3 - \tau_4|} \tag{52}$$

This is identical to the kernel when  $\alpha = 0$  in our model.

### 2.2.2 Four Point Functions and the Scaling Dimensions of $\langle \psi_0^{abc} \psi_1^{abc} \psi_0^{a'b'c'} \psi_1^{a'b'c'} \rangle$ and $\langle \psi_0^{abc} \psi_1^{abc} \psi_1^{a'b'c'} \psi_0^{a'b'c'} \rangle$

$\langle \psi_0^{abc}(t_1) \psi_1^{abc}(t_2) \psi_0^{a'b'c'}(t_3) \psi_1^{a'b'c'}(t_4) \rangle$  and  $\langle \psi_0^{abc}(t_1) \psi_1^{abc}(t_2) \psi_1^{a'b'c'}(t_3) \psi_0^{a'b'c'}(t_4) \rangle$  can be computed in the same manner as in the previous section. The kernel for the two four point functions are as drawn in figure 16.  $K_{norm}$  keeps the flavour order. For example, if  $\psi_0$  and  $\psi_1$  came in from the left, the  $\psi_0$  and  $\psi_1$  emerges from the right. On the other hand,  $K_{inv}$  switches the flavour order of what is coming from the left. That is, if  $\psi_0$  and  $\psi_1$  came in from the left, the  $\psi_1$  and  $\psi_0$  emerges from the right.

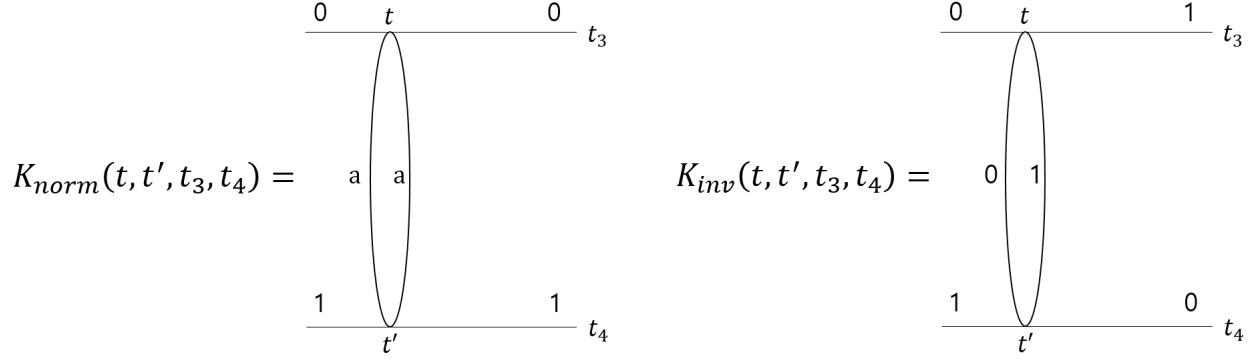


Figure 16: The kernels of the four point function  $\langle \psi_0^{abc}(t_1) \psi_1^{abc}(t_2) \psi_0^{a'b'c'}(t_3) \psi_1^{a'b'c'}(t_4) \rangle$  and  $\langle \psi_0^{abc}(t_1) \psi_1^{abc}(t_2) \psi_1^{a'b'c'}(t_3) \psi_0^{a'b'c'}(t_4) \rangle$

$K_{inv}$  is the following

$$\begin{aligned}
& \frac{g^2}{4} I_1(a_i, b_i, c_i) I_1(a'_i, b'_i, c'_i) \psi_0^{a_1 b_1 c_1}(t) \psi_0^{a_2 b_2 c_2}(t) \psi_1^{a_3 b_3 c_3}(t) \psi_1^{a_4 b_4 c_4}(t) \\
& \psi_0^{a'_1 b'_1 c'_1}(t') \psi_0^{a'_2 b'_2 c'_2}(t') \psi_1^{a'_3 b'_3 c'_3}(t') \psi_1^{a'_4 b'_4 c'_4}(t') \psi_0^{a' b' c'}(t_3) \psi_1^{a' b' c'}(t_4) \\
& = -6N^3 g^2 \psi_0^{abc} \psi_1^{abc} G(t_3 - t) G(t_4 - t') G(t - t')^2
\end{aligned} \tag{53}$$

$K_{norm}$ , on the other hand, is of the following form. The factor of 2 comes from the fact that although we only do the contraction of  $\psi_0 \psi_0 \psi_1 \psi_1 \psi_1 \psi_1 \psi_1 \psi_1$ , the contraction of  $\psi_0 \psi_0 \psi_0 \psi_0 \psi_0 \psi_0 \psi_1 \psi_1$  should also be considered

$$\begin{aligned}
& \frac{\alpha g^2}{8} \times 2 I_1(a_i, b_i, c_i) I_2(a'_i, b'_i, c'_i) \psi_0^{a_1 b_1 c_1}(t) \psi_0^{a_2 b_2 c_2}(t) \psi_1^{a_3 b_3 c_3}(t) \psi_1^{a_4 b_4 c_4}(t) \\
& \psi_1^{a'_1 b'_1 c'_1}(t') \psi_1^{a'_2 b'_2 c'_2}(t') \psi_1^{a'_3 b'_3 c'_3}(t') \psi_1^{a'_4 b'_4 c'_4}(t') \psi_0^{a' b' c'}(t_3) \psi_1^{a' b' c'}(t_4) \\
& = -6\alpha g^2 N^3 \psi_0^{a'' b'' c''}(t) \psi_1^{a'' b'' c''}(t') G(t_3 - t) G(t_4 - t') + o(N^2)
\end{aligned} \tag{54}$$

Similar to the previous section, let us define  $\Gamma$  as the  $n$  rungged eigen-ladders of the kernels, coming from the following four point functions

$$\Gamma_{sym}^n(t_1, t_2, t_3, t_4) = \langle \psi_0(t_1)\psi_1(t_2)\psi_0(t_3)\psi_1(t_4) + \psi_0(t_1)\psi_1(t_2)\psi_1(t_3)\psi_0(t_4) \rangle \text{ 's } n \text{ rungged ladder} \quad (55)$$

$$\Gamma_{anti}^n(t_1, t_2, t_3, t_4) = \langle \psi_0(t_1)\psi_1(t_2)\psi_0(t_3)\psi_1(t_4) - \psi_0(t_1)\psi_1(t_2)\psi_1(t_3)\psi_0(t_4) \rangle \text{ 's } n \text{ rungged ladder} \quad (56)$$

$\Gamma_{sym}^n(t_1, t_2, t_3, t_4)$  we denote symmetric, since it is symmetric under the interchange of  $t_3$  and  $t_4$ . On the other hand, the second we call anti-symmetric, for it is anti-symmetric under the interchange of  $t_3$  and  $t_4$ .

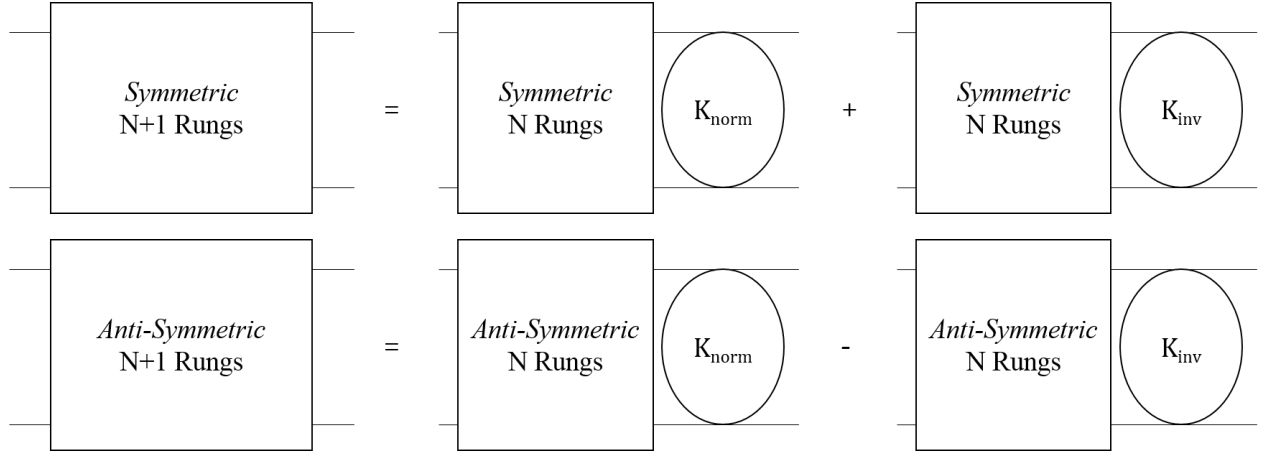


Figure 17: The ladder diagram of  $\langle \psi_0(t_1)\psi_1(t_2)\psi_0(t_3)\psi_1(t_4) \pm \psi_0(t_1)\psi_1(t_2)\psi_1(t_3)\psi_0(t_4) \rangle$  with  $N + 1$  rungs satisfy these relations with the  $N$  rungged ladder diagrams.

Then,  $\Gamma_{sym}^0(t_1, t_2, t_3, t_4) = -G(t_1 - t_3)G(t_2 - t_4) + G(t_2 - t_3)G(t_1 - t_4)$  and  $\Gamma_{anti}^0(t_1, t_2, t_3, t_4) = -G(t_1 - t_3)G(t_2 - t_4) - G(t_1 - t_2)G(t_3 - t_4)$ .

The equations in figure 17 can be translated into the following integral equations

$$\begin{aligned} \Gamma_{sym}^{n+1}(t_1, t_2, t_3, t_4) &= \int dt dt' \Gamma_{sym}^n(t_1, t_2, t, t') K_{norm}(t, t'; t_3, t_4) + \Gamma_{sym}^n(t_1, t_2, t, t') K_{inv}(t, t'; t_3, t_4) \\ &= -(6 + 6\alpha)g^2 N^3 \Gamma_{sym}^n(t_1, t_2, t, t') G(t - t_3) G(t' - t_4) G(t - t')^2 \end{aligned} \quad (57)$$

$$\begin{aligned}
\Gamma_{anti}^{n+1}(t_1, t_2, t_3, t_4) &= \int dt dt' \Gamma_{anti}^n(t_1, t_2, t, t') K_{norm}(t, t'; t_3, t_4) - \Gamma_{anti}^n(t_1, t_2, t, t') K_{inv}(t, t'; t_3, t_4) \\
&= (6 - 6\alpha) \Gamma_{anti}^n(t_1, t_2, t, t') G(t - t_3) G(t' - t_4) G(t - t')^2
\end{aligned} \tag{58}$$

Just as we did in the previous section, we again take the limit of  $t_1, t_2 \rightarrow t_0$ . Let us define  $T_3$  and  $T_4$  as the following

$$T_3(t_0, t_1, t_2) = \lim_{t_1, t_2 \rightarrow t_0} \langle \psi_0(t_1) \psi_1(t_2) \psi_0(t_3) \psi_1(t_4) + \psi_0(t_1) \psi_1(t_2) \psi_1(t_3) \psi_0(t_4) \rangle \tag{59}$$

$$T_4(t_0, t_1, t_2) = \lim_{t_1, t_2 \rightarrow t_0} \langle \psi_0(t_1) \psi_1(t_2) \psi_0(t_3) \psi_1(t_4) - \psi_0(t_1) \psi_1(t_2) \psi_1(t_3) \psi_0(t_4) \rangle \tag{60}$$

$T_3$  and  $T_4$  satisfy the following equations

$$\begin{aligned}
T_3(t_0, t_1, t_2) &= -\delta(t_1 - t_3) \delta(t_2 - t_4) + \delta(t_4 - t_1) \delta(t_2 - t_3) \\
&\quad + T_3(t_0, t, t') \left\{ K_{norm}(t, t', t_1, t_2) + K_{inv}(t, t', t_1, t_2) \right\}
\end{aligned} \tag{61}$$

$$\begin{aligned}
T_4(t_0, t_1, t_2) &= -\delta(t_1 - t_3) \delta(t_2 - t_4) - \delta(t_4 - t_1) \delta(t_2 - t_3) \\
&\quad + T_4(t_0, t, t') \left\{ K_{norm}(t, t', t_1, t_2) - K_{inv}(t, t', t_1, t_2) \right\}
\end{aligned} \tag{62}$$

The first terms can be ignored again at large interactions. Thus writing down  $T_{3,4}(t_0, t_1, t_2)$  as  $v_{3,4}(t_0, t_1, t_2)$ , we find

$$g_{3,4}(h) v_{3,4}(t_0, t_1, t_2) = \int dt dt' v_{3,4}(t_0, t, t') \left\{ K_{norm}(t, t', t_1, t_2) \pm K_{inv}(t, t', t_1, t_2) \right\} \tag{63}$$

For  $T_3(t_0, t_1, t_2)$ , since it is symmetric under the interchange of  $t_1$  and  $t_2$ , we can use  $v_3(t_0, t_1, t_2) = \frac{1}{|t_0 - t_1|^h |t_0 - t_2|^h |t_1 - t_2|^{1/2 - h}}$  as the eigenfunction. On the contrary, for  $T_4(t_0, t_1, t_2)$ ,

we can use  $v_4(t_0, t_1, t_2) = \frac{\text{sgn}(t_1 - t_2)}{|t_0 - t_1|^h |t_0 - t_2|^h |t_1 - t_2|^{1/2 - h}}$ , since it is anti-symmetric under the interchange of  $t_1$  and  $t_2$ .

In addition, the  $SL(2)$  invariance lets us take  $t_0$  to infinity, and consequently we can just consider  $v_3(t_0, t_1, t_2) = \frac{1}{|t_1 - t_2|^{1/2 - h}}$  and  $v_4(t_0, t_1, t_2) = \frac{\text{sgn}(t_1 - t_2)}{|t_1 - t_2|^{1/2 - h}}$ . The eigenvalues to these eigenfunctions are

$$g_3(h) = \frac{3 - 3\alpha}{(3 + \alpha^2)} \frac{\tan(\pi h/2 + \pi/4)}{h - \frac{1}{2}} \quad (64)$$

$$g_4(h) = -\frac{3 + 3\alpha}{(3 + \alpha^2)} \frac{\tan(\pi h/2 - \pi/4)}{h - \frac{1}{2}} \quad (65)$$

Since  $T_3$  and  $T_4$  correspond to  $O_3^{2n} = \psi_0 \partial_t^{2n} \psi_1 - \psi_1 \partial_t^{2n} \psi_0$  and  $O_4^{2n+1} = \psi_0 \partial_t^{2n+1} \psi_1 + \psi_1 \partial_t^{2n+1} \psi_0$ , one can find the scaling dimensions of the above operators through finding when  $g_{3,4}(h) = 1$ .

Let us find the scaling dimensions of the operators at  $\alpha = 1$ . Note that since  $g_3 = 0$  at this value of  $\alpha$ , we find the scaling dimensions of the operators  $\psi_0 \partial_t^{2n} \psi_1 - \psi_1 \partial_t^{2n} \psi_0$  by taking the limit of  $\alpha \rightarrow 1^+$ .  $g_3(h)$  and  $g_4(h)$  are as illustrated in figure 18.

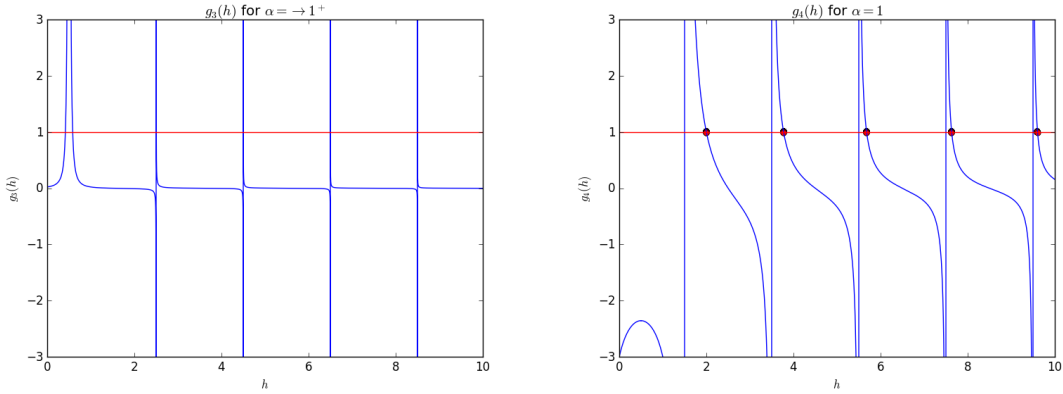


Figure 18: Left:  $g_3(h)$  at  $\alpha \rightarrow 1^+$ , Right:  $g_4(h)$  at  $\alpha = 1$ .

$g_3(h)$  goes to 1 at 0.5, 2.5, 4.5, 6.5, 8.5, .... Therefore, the scaling dimensions of  $\psi_0 \partial_t^{2n} \psi_1 - \psi_1 \partial_t^{2n} \psi_0$  are  $2n + \frac{1}{2}$ . This happens since the coefficient  $\frac{3-3\alpha}{3+\alpha^2}$  goes to 0 as  $\alpha$  approaches 1. For  $g_3(h)$  to be 1,  $\tan(\pi h/2 + \pi/4)$  needs to diverge to counteract the coefficient in front of it, and this only happens at  $h = 2n + 1/2$ . Note that the pole around  $h = 0.5$  switches sign if  $\alpha$  approaches 1 from below as in figure 19. Thus, the scaling dimension near 0.5 does not exist anymore. The reason why this scaling dimension vanishes is discussed later in section 2.4.

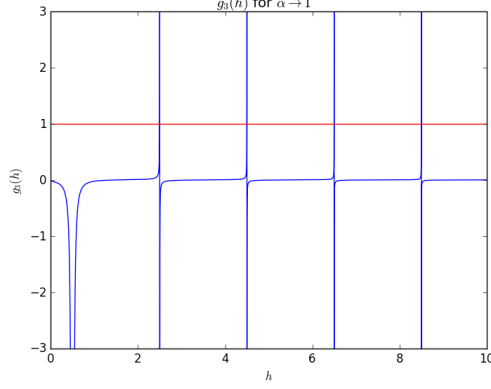


Figure 19:  $g_3(h)$  at  $\alpha \rightarrow 1^-$ .

Similarly,  $g_4(h)$  goes to 1 at 2.00, 3.77, 5.68, 7.63, 9.60, ..., the scaling dimensions of  $\psi_0 \partial_t^{2n+1} \psi_1 + \psi_1 \partial_t^{2n+1} \psi_0$  are 2.00, 3.77, 5.68, 7.63, 9.60, ..., and it approaches  $2n + \frac{3}{2}$  with the increase of  $n$ .

The reason why at  $\alpha = 1$  the scaling dimensions of  $\psi_0 \partial_t^{2n} \psi_1 - \psi_1 \partial_t^{2n} \psi_0$  is exactly  $h = 2n + 1/2$  is interesting, and will be explained in the next section.

We can check this result by comparing it to that of some other models. The complex bipartite fermion model [8] studied in an unpublished work by Klebanov and Tarnopolsky has the Hamiltonian

$$H = \frac{1}{4} g \left( \psi^{a_1 b_1 c_1} \psi^{a_1 b_2 c_2} \psi^{a_2 b_1 c_2} \psi^{a_2 b_2 c_1} + \bar{\psi}^{a_1 b_1 c_1} \bar{\psi}^{a_1 b_2 c_2} \bar{\psi}^{a_2 b_1 c_2} \bar{\psi}^{a_2 b_2 c_1} \right) \quad (66)$$

Klebanov and Tarnopolsky found that on the symmetric sector

$$g_{sym}(h) = \frac{3}{2} \frac{\tan(\frac{\pi}{2}(h + \frac{1}{2}))}{h - 1/2} \quad (67)$$

Writing  $\psi^{abc} = \psi_0^{abc} + i\psi_1^{abc}$  and  $\bar{\psi}^{abc} = \psi_0^{abc} - i\psi_1^{abc}$  we find

$$\begin{aligned} H = g & \left( \psi_0^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} + \psi_0^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} + \psi_0^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1} \right) \\ & - \frac{g}{2} \left( \psi_0^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1} + \psi_1^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} \right) \end{aligned} \quad (68)$$

Therefore, the complex bipartite tensor model corresponds to  $\alpha = -1$  in our Hamiltonian. Putting  $\alpha = -1$  in the formula that we found for  $g_3(h)$

$$g_3(h) = \frac{3}{2} \frac{\tan(\pi h/2 + \pi/4)}{h - \frac{1}{2}} \quad (69)$$

$g_3(h) = g_{sym}(h)$ . Therefore, we see a match.

### 2.2.3 $\alpha = 1$ and the Scaling Dimensions of $\psi_0 \partial_t^n \psi_1$

At  $\alpha = 1$ , doing a transformation of  $\tilde{\psi}_0 = \frac{1}{\sqrt{2}}(\psi_0 + \psi_1)$  and  $\tilde{\psi}_1 = \frac{1}{\sqrt{2}}(\psi_0 - \psi_1)$  transforms the Hamiltonian as follows

$$H = \frac{g}{2} (\tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_0^{a_1 b_2 c_2} \tilde{\psi}_0^{a_2 b_1 c_2} \tilde{\psi}_0^{a_2 b_2 c_1} + \tilde{\psi}_1^{a_1 b_1 c_1} \tilde{\psi}_1^{a_1 b_2 c_2} \tilde{\psi}_1^{a_2 b_1 c_2} \tilde{\psi}_1^{a_2 b_2 c_1}) \quad (70)$$

Similarly

$$\begin{aligned} O_2^{2n+1} &= \psi_0 \partial_t^{2n+1} \psi_0 - \psi_1 \partial_t^{2n+1} \psi_1 \\ &= \tilde{\psi}_1 \partial_t^{2n+1} \tilde{\psi}_0 + \tilde{\psi}_0 \partial_t^{2n+1} \tilde{\psi}_1 \end{aligned} \quad (71)$$



$$\begin{aligned}
O_3^{2n} &= \psi_0 \partial_t^{2n} \psi_1 - \psi_1 \partial_t^{2n} \psi_0 \\
&= \tilde{\psi}_1 \partial_t^{2n} \tilde{\psi}_0 - \tilde{\psi}_0 \partial_t^{2n} \tilde{\psi}_1
\end{aligned} \tag{72}$$

Since  $\tilde{\psi}_0$  and  $\tilde{\psi}_1$  are decoupled, no anomalous dimensions occur in their products; also, the dimensions of the operators  $\tilde{\psi}_i$  are  $1/4$ . Hence,  $\tilde{\psi}_1 \partial_t^{2n+1} \tilde{\psi}_0 + \tilde{\psi}_0 \partial_t^{2n+1} \tilde{\psi}_1$  simply takes the form  $(\frac{1}{\Lambda})^{2n+3/2}$ . Similarly,  $\tilde{\psi}_1 \partial_t^{2n} \tilde{\psi}_0 - \tilde{\psi}_0 \partial_t^{2n} \tilde{\psi}_1$  takes the form  $(\frac{1}{\Lambda})^{2n+1/2}$ . Hence, the scaling dimensions of  $O_2^{2n+1}$  is  $2n + \frac{3}{2}$ , and  $O_3^{2n}$ ,  $2n + \frac{1}{2}$ .

In general, the scaling dimension of the operator  $\tilde{\psi}_0 \partial_t^n \tilde{\psi}_1$  is going to be  $n + \frac{1}{2}$ , due to the decoupling of  $\tilde{\psi}$  with one another.

#### 2.2.4 $\alpha = -3$ and the Scaling Dimensions of $\tilde{\psi}_i \partial_t^{2n+1} \tilde{\psi}_i$

Another special case is  $\alpha = -3$ . A transformation of  $\tilde{\psi}_0 = \frac{1}{\sqrt{2}}(\psi_0 + \psi_1)$  and  $\tilde{\psi}_1 = \frac{1}{\sqrt{2}}(\psi_0 - \psi_1)$  transforms the Hamiltonian as follows

$$H = -g(\tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_0^{a_1 b_2 c_2} \tilde{\psi}_1^{a_2 b_1 c_2} \tilde{\psi}_1^{a_2 b_2 c_1} + \tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_1^{a_1 b_2 c_2} \tilde{\psi}_0^{a_2 b_1 c_2} \tilde{\psi}_1^{a_2 b_2 c_1} + \tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_1^{a_1 b_2 c_2} \tilde{\psi}_1^{a_2 b_1 c_2} \tilde{\psi}_0^{a_2 b_2 c_1}) \tag{73}$$

This corresponds to  $\alpha = 0$ , and consequently would match the SYK model from [10] at  $q_1 = 2, q_2 = 2, N_1 = N, N_2 = N$ .

Gross and Rosenhaus found the spectra of  $\psi_i \partial_t^{2n+1} \psi_i$  to be the following

$$g_k(h) = \rho(h) \sigma_k \tag{74}$$

where  $\sigma_k = fq - 1$  in the symmetric case, and  $-1$  in the antisymmetric case

$$\rho(h) = -\frac{\psi(\Delta)}{\psi(1-\Delta)} \frac{\psi(1-\Delta-\frac{h}{2})}{\psi(\Delta-\frac{h}{2})} \tag{75}$$

$\psi(\Delta) = 2i \cos(\pi\Delta) \Gamma(1-2\Delta)$ , and plugging this relation, we find that

$$g_k(h) = -\frac{1}{2} \frac{\tan\left(\frac{\pi}{2}(h - 1/2)\right)}{h - 1/2} \sigma_k \quad (76)$$

therefore, the symmetric spectra is

$$g_{sym}(h) = -\frac{3}{2} \frac{\tan\left(\frac{\pi}{2}(h - 1/2)\right)}{h - 1/2} \quad (77)$$

and the antisymmetric spectra

$$g_{anti}(h) = \frac{1}{2} \frac{\tan\left(\frac{\pi}{2}(h - 1/2)\right)}{h - 1/2} \quad (78)$$

Now let us compare these results to ours. At  $\alpha = -3$ , the spectra are as follows

$$\begin{aligned} g_1(h) &= -\frac{3}{2} \frac{\tan\left(\frac{\pi}{2}(h - 1/2)\right)}{h - 1/2} \\ g_2(h) &= -\frac{\tan\left(\frac{\pi}{2}(h - 1/2)\right)}{h - 1/2} \\ g_3(h) &= \frac{\tan\left(\frac{\pi}{2}(h + 1/2)\right)}{h - 1/2} \\ g_4(h) &= \frac{1}{2} \frac{\tan\left(\frac{\pi}{2}(h - 1/2)\right)}{h - 1/2} \end{aligned} \quad (79)$$

On the other hand

$$\begin{aligned} O_1^{2n+1} &= \psi_0 \partial_t^{2n+1} \psi_0 + \psi_1 \partial_t^{2n+1} \psi_1 \\ &= \tilde{\psi}_0 \partial_t^{2n+1} \tilde{\psi}_0 + \tilde{\psi}_1 \partial_t^{2n+1} \tilde{\psi}_1 \end{aligned} \quad (80)$$

$$\begin{aligned}
O_4^{2n+1} &= \psi_0 \partial_t^{2n+1} \psi_1 + \psi_1 \partial_t^{2n+1} \psi_0 \\
&= \tilde{\psi}_0 \partial_t^{2n+1} \tilde{\psi}_0 - \tilde{\psi}_1 \partial_t^{2n+1} \tilde{\psi}_1
\end{aligned} \tag{81}$$

Hence,  $g_1$  and  $g_4$  corresponds to the operators studied in [10]. The results clearly match, with  $g_1 = g_{sym}$ , and  $g_4 = g_{anti}$ . Note that [10] does not include operators of the form  $\psi_0 \partial_t^n \psi_1$ . Therefore,  $g_2$  and  $g_3$  cannot be compared to [10].

## 2.3 Dualities in the Two Flavour Tensor Model

As was shown previously, a duality between different values of  $\alpha$  exists in this model. For example, we have just seen that  $\alpha = 1$  is equivalent to  $\alpha = \infty$ . This duality is due to the fact that the  $SU(2, R)$  transformation of Majoranas take us to a different basis in which the Hamiltonian is represented with a different coefficient for the non-coupling term.

Let us transform the Majorana fermions by  $\psi_0 = \frac{1}{\sqrt{2}}(\tilde{\psi}_0 + \tilde{\psi}_1)$ ,  $\psi_1 = \frac{1}{\sqrt{2}}(\tilde{\psi}_0 - \tilde{\psi}_1)$ . The  $\tilde{\psi}$  clearly satisfies the fermion anti-commutation relations.

In doing the transformation, the term  $\tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_0^{a_1 b_2 c_2} \tilde{\psi}_0^{a_2 b_1 c_2} \tilde{\psi}_0^{a_2 b_2 c_1}$  transforms as the following

$$\begin{aligned}
&\tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_0^{a_1 b_2 c_2} \tilde{\psi}_0^{a_2 b_1 c_2} \tilde{\psi}_0^{a_2 b_2 c_1} \\
&= \frac{1}{4} (\psi_0^{a_1 b_1 c_1} + \psi_1^{a_1 b_1 c_1}) (\psi_0^{a_1 b_2 c_2} + \psi_1^{a_1 b_2 c_2}) (\psi_0^{a_2 b_1 c_2} + \psi_1^{a_2 b_1 c_2}) (\psi_0^{a_2 b_2 c_1} + \psi_1^{a_2 b_2 c_1}) \\
&= \frac{1}{4} (\psi_0^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1} + \psi_1^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} \\
&\quad + \psi_0^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} + \psi_1^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1} \\
&\quad + \frac{1}{2} (\psi_0^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} + \psi_0^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} + \psi_0^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1} \\
&\quad + \psi_1^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1} + \psi_1^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} + \psi_0^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1} \\
&\quad + \psi_1^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1} + \psi_1^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} + \psi_0^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} \\
&\quad + \psi_1^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1})
\end{aligned} \tag{82}$$

Similarly,  $\tilde{\psi}_1^{a_1 b_1 c_1} \tilde{\psi}_1^{a_1 b_2 c_2} \tilde{\psi}_1^{a_2 b_1 c_2} \tilde{\psi}_1^{a_2 b_2 c_1}$  transforms as

$$\begin{aligned}
& \tilde{\psi}_1^{a_1 b_1 c_1} \tilde{\psi}_1^{a_1 b_2 c_2} \tilde{\psi}_1^{a_2 b_1 c_2} \tilde{\psi}_1^{a_2 b_2 c_1} \\
&= \frac{1}{4} (\psi_0^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1} + \psi_1^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1}) \\
&\quad - \psi_0^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} - \psi_1^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1} \\
&\quad + \frac{1}{2} (\psi_0^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} + \psi_0^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} + \psi_0^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1})
\end{aligned} \tag{83}$$

Summing the two equations up

$$\begin{aligned}
& \tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_0^{a_1 b_2 c_2} \tilde{\psi}_0^{a_2 b_1 c_2} \tilde{\psi}_0^{a_2 b_2 c_1} + \tilde{\psi}_1^{a_1 b_1 c_1} \tilde{\psi}_1^{a_1 b_2 c_2} \tilde{\psi}_1^{a_2 b_1 c_2} \tilde{\psi}_1^{a_2 b_2 c_1} \\
&= \frac{1}{2} (\psi_0^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1} + \psi_1^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1}) \\
&\quad + (\psi_0^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} + \psi_0^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} + \psi_0^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1})
\end{aligned} \tag{84}$$

Therefore, the Hamiltonian at  $\alpha = 1$  can be transformed to the following

$$\begin{aligned}
H &= \frac{g}{4} (\psi_0^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1} + \psi_1^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1}) \\
&\quad + \frac{g}{2} (\psi_0^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} + \psi_0^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} + \psi_0^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1}) \\
&= \frac{g}{2} (\tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_0^{a_1 b_2 c_2} \tilde{\psi}_0^{a_2 b_1 c_2} \tilde{\psi}_0^{a_2 b_2 c_1} + \tilde{\psi}_1^{a_1 b_1 c_1} \tilde{\psi}_1^{a_1 b_2 c_2} \tilde{\psi}_1^{a_2 b_1 c_2} \tilde{\psi}_1^{a_2 b_2 c_1})
\end{aligned} \tag{85}$$

The other interaction term transforms in the following manner

$$\begin{aligned}
& \psi_0^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} - \psi_0^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} + \psi_0^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1} \\
&= \frac{3}{4} (\tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_0^{a_1 b_2 c_2} \tilde{\psi}_0^{a_2 b_1 c_2} \tilde{\psi}_0^{a_2 b_2 c_1} + \tilde{\psi}_1^{a_1 b_1 c_1} \tilde{\psi}_1^{a_1 b_2 c_2} \tilde{\psi}_1^{a_2 b_1 c_2} \tilde{\psi}_1^{a_2 b_2 c_1}) \\
&\quad - \frac{1}{2} (\tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_0^{a_1 b_2 c_2} \tilde{\psi}_1^{a_2 b_1 c_2} \tilde{\psi}_1^{a_2 b_2 c_1} - \tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_1^{a_1 b_2 c_2} \tilde{\psi}_0^{a_2 b_1 c_2} \tilde{\psi}_1^{a_2 b_2 c_1} + \tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_1^{a_1 b_2 c_2} \tilde{\psi}_1^{a_2 b_1 c_2} \tilde{\psi}_0^{a_2 b_2 c_1})
\end{aligned} \tag{86}$$

Hence, adding the two interaction terms up, one finds the following correspondence between Hamiltonians with different  $\alpha$ s

$$\begin{aligned}
H &= \frac{g}{2} (\psi_0^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} - \psi_0^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} + \psi_0^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1}) \\
&+ \frac{\alpha g}{4} (\psi_0^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1} + \psi_1^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1}) \\
&= \frac{(\alpha + 3)g}{8} (\tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_0^{a_1 b_2 c_2} \tilde{\psi}_0^{a_2 b_1 c_2} \tilde{\psi}_0^{a_2 b_2 c_1} + \tilde{\psi}_1^{a_1 b_1 c_1} \tilde{\psi}_1^{a_1 b_2 c_2} \tilde{\psi}_1^{a_2 b_1 c_2} \tilde{\psi}_1^{a_2 b_2 c_1}) \\
&+ \frac{(\alpha - 1)g}{4} (\tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_0^{a_1 b_2 c_2} \tilde{\psi}_1^{a_2 b_1 c_2} \tilde{\psi}_1^{a_2 b_2 c_1} - \tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_1^{a_1 b_2 c_2} \tilde{\psi}_0^{a_2 b_1 c_2} \tilde{\psi}_1^{a_2 b_2 c_1} + \tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_1^{a_1 b_2 c_2} \tilde{\psi}_1^{a_2 b_1 c_2} \tilde{\psi}_0^{a_2 b_2 c_1}) \\
&= \frac{g'}{2} (\tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_0^{a_1 b_2 c_2} \tilde{\psi}_1^{a_2 b_1 c_2} \tilde{\psi}_1^{a_2 b_2 c_1} - \tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_1^{a_1 b_2 c_2} \tilde{\psi}_0^{a_2 b_1 c_2} \tilde{\psi}_1^{a_2 b_2 c_1} + \tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_1^{a_1 b_2 c_2} \tilde{\psi}_1^{a_2 b_1 c_2} \tilde{\psi}_0^{a_2 b_2 c_1}) \\
&+ \frac{\alpha' g'}{4} (\tilde{\psi}_0^{a_1 b_1 c_1} \tilde{\psi}_0^{a_1 b_2 c_2} \tilde{\psi}_0^{a_2 b_1 c_2} \tilde{\psi}_0^{a_2 b_2 c_1} + \tilde{\psi}_1^{a_1 b_1 c_1} \tilde{\psi}_1^{a_1 b_2 c_2} \tilde{\psi}_1^{a_2 b_1 c_2} \tilde{\psi}_1^{a_2 b_2 c_1})
\end{aligned} \tag{87}$$

Here

$$g' = \frac{(\alpha - 1)g}{2}, \quad \alpha' = \frac{\alpha + 3}{\alpha - 1} \tag{88}$$

Therefore,  $H_\alpha$  and  $H_{\alpha'}$  where  $\alpha' = \frac{\alpha+3}{\alpha-1}$  are equivalent up to a scaling of the interaction strength. This equivalence relation is reflected in the behavior of  $g_i(h)$ .

$g_1(h)$  is naturally invariant under  $\alpha \rightarrow \alpha'$ . Now let us look at how  $g_2(h)$  transforms

$$\begin{aligned}
g_2'(h) &= -\frac{3}{2} \frac{1 - \alpha^2 \tan(\frac{\pi}{2}(h - 1/2))}{3 + \alpha^2} \frac{1}{h - 1/2} \\
&= -\frac{3}{2} \frac{(\alpha + 3)^2 - (\alpha - 1)^2 \tan(\frac{\pi}{2}(h - 1/2))}{(\alpha + 3)^2 + 3(\alpha - 1)^2} \frac{1}{h - 1/2} \\
&= -\frac{3}{2} \frac{8\alpha + 8 \tan(\frac{\pi}{2}(h - 1/2))}{4\alpha^2 + 12} \frac{1}{h - 1/2} \\
&= g_4(h)
\end{aligned} \tag{89}$$

Hence,  $g_2(h)$  transforms to  $g_4(h)$ . Now let us look at  $g_3(h)$

$$\begin{aligned}
g_3(h) &= \frac{3 - 3\alpha' \tan(\frac{\pi}{2}(h + 1/2))}{3 + \alpha'^2} \frac{1}{h - 1/2} \\
&= -\frac{3(\alpha - 1)^2 - 3(\alpha + 3)(\alpha - 1) \tan(\frac{\pi}{2}(h + 1/2))}{(3(\alpha - 1)^2 + (\alpha + 3)^2)} \frac{1}{h - 1/2} \\
&= -\frac{12(\alpha - 1) - 3(\alpha + 3) \tan(\frac{\pi}{2}(h + 1/2))}{4\alpha^2 + 12} \frac{1}{h - 1/2} \\
&= \frac{3 - 3\alpha \tan(\frac{\pi}{2}(h + 1/2))}{\alpha^2 + 3} \frac{1}{h - 1/2}
\end{aligned} \tag{90}$$

Hence,  $g_3(h)$  transforms to itself.

All this behavior makes sense: with the  $\psi$  to  $\tilde{\psi}$  transformation,  $O_i$  operators transform as the following

$$\begin{aligned}
O_1 &= \psi_0 \partial^{2n+1} \psi_0 + \psi_1 \partial^{2n+1} \psi_1 \\
&= \tilde{\psi}_0 \partial^{2n+1} \tilde{\psi}_0 + \tilde{\psi}_1 \partial^{2n+1} \tilde{\psi}_1 = O'_1
\end{aligned} \tag{91}$$

$$\begin{aligned}
O_2 &= \psi_0 \partial^{2n+1} \psi_0 - \psi_1 \partial^{2n+1} \psi_1 \\
&= \tilde{\psi}_0 \partial^{2n+1} \tilde{\psi}_1 + \tilde{\psi}_1 \partial^{2n+1} \tilde{\psi}_0 = O'_4
\end{aligned} \tag{92}$$

$$\begin{aligned}
O_3 &= \psi_0 \partial^{2n} \psi_1 - \psi_1 \partial^{2n+1} \psi_0 \\
&= \tilde{\psi}_1 \partial^{2n+1} \tilde{\psi}_0 - \tilde{\psi}_0 \partial^{2n+1} \tilde{\psi}_1 = O'_3
\end{aligned} \tag{93}$$

The way that  $O_1$  and  $O_4$  transform to itself (upto a sign for  $O_4$ ), and the way that  $O_2$  transform to  $O_3$  and vice versa provides a clear prediction to the behavior of  $g_i(h)$  under the duality transformations.

Note that this duality is not a perfect one: as  $g$  scales with the transformation, the energy spectrum also scales at the same time, although the relative ratios stay the same.

The operator dimensions stay the same, however, because the normalization of  $g$  cancels in them.

Also,  $g'$  goes to 0 as  $\alpha \rightarrow 1$ . This is due to the definition of the Hamiltonian. If we were to define  $\tilde{g} = \alpha g$ , and  $\beta = 1/\alpha$ , which corresponds to then the transformation is as follows

$$\tilde{g}' = \frac{1+3\beta}{2}\tilde{g} \quad \& \quad \beta' = \frac{1-\beta}{1+3\beta} \quad (94)$$

In this case, however,  $\tilde{g}'$  goes to zero as  $\beta$  approaches  $-\frac{1}{3}$ , which is problematic in its own way.

## 2.4 Complex Scaling Dimensions

In this section, we examine if there exist any complex roots that satisfy the equation  $g(h) = 1$ . If such complex roots were to exist, then that means that a conformal primary has a complex scaling dimension, which leads to a destabilization of the model.

Let us write  $h - 1/2 = x$  for ease of notation. Then, we need to have the following, for the coefficients in  $g_i$  in front of the part dependent on  $h$  are all real numbers

$$Im\left\{\frac{\tan\left(\frac{\pi}{2}x\right)}{x}\right\} = 0 \quad (95)$$

for  $g_1$ ,  $g_2$ , and  $g_4$ . For  $g_3$ , we need to have

$$Im\left\{\frac{\cot\left(\frac{\pi}{2}x\right)}{x}\right\} = 0 \quad (96)$$

Now, writing  $x = z_1 + iz_2$ , where  $z_1, z_2 \in R$  we find the following

$$\frac{\tan\left(\frac{\pi}{2}x\right)}{x} = \frac{\frac{-\sinh\frac{\pi}{2}z_2 \cosh\frac{\pi}{2}z_2 + i \sin\frac{\pi}{2}z_1 \cos\frac{\pi}{2}z_1}{\sinh^2\frac{\pi}{2}z_2 - \cos^2\frac{\pi}{2}x}}{-z_2 + iz_1} \quad (97)$$

$$\frac{\cot\left(\frac{\pi}{2}x\right)}{x} = \frac{\frac{-\sinh\frac{\pi}{2}z_2 \cosh\frac{\pi}{2}z_2 - i \sin\frac{\pi}{2}z_1 \cos\frac{\pi}{2}z_1}{\sinh^2\frac{\pi}{2}z_2 - \sin^2\frac{\pi}{2}x}}{-z_2 + iz_1} \quad (98)$$

Since  $\sinh^2\frac{\pi}{2}z_2 - \sin^2\frac{\pi}{2}x$  is real

$$-\sinh(\pi z_2)/\sin(\pi z_1) = -z_2/z_1 \quad (99)$$

$$\sinh(\pi z_2)/\sin(\pi z_1) = -z_2/z_1 \quad (100)$$

we find that the only solutions to the previous equation with  $z_2 \neq 0$  happens at  $z_1 = 0$ . Therefore, complex roots of  $g_i(h) = 1$  take the form  $\frac{1}{2} + ik$ . Now, plugging this in, we find the following

$$g_1\left(\frac{1}{2} + ik\right) = -\frac{3}{2} \frac{\tanh\left(\frac{\pi}{2}k\right)}{k} \quad (101)$$

$$g_2\left(\frac{1}{2} + ik\right) = -\frac{3}{2} \frac{1 + \alpha^2 \tanh\left(\frac{\pi}{2}k\right)}{3 + \alpha^2} \frac{1}{k} \quad (102)$$

$$g_3\left(\frac{1}{2} + ik\right) = \frac{3 - 3\alpha \coth\left(\frac{\pi}{2}k\right)}{3 + \alpha^2} \frac{1}{k} \quad (103)$$

$$g_4\left(\frac{1}{2} + ik\right) = -\frac{3 + 3\alpha \tanh\left(\frac{\pi}{2}k\right)}{3 + \alpha^2} \frac{1}{k} \quad (104)$$

$\frac{\tanh\frac{\pi}{2}k}{k}$  moves between 0 and  $\pi/2$ . Hence, with some algebra, we find that  $g_1, g_2, g_4$  never can equal 1 where  $h = \frac{1}{2} + ik$ . Consequently, they have no complex roots.

On the other hand,  $\frac{\coth\frac{\pi}{2}k}{k}$  moves between 0 and  $\infty$ . Therefore,  $g_3$  has roots of the form  $\frac{1}{2} + ik$  when  $(3 - 3\alpha)/(3 + \alpha^2)$  is larger than 0. Therefore, when  $\alpha < 1$   $g_3$  has complex roots.



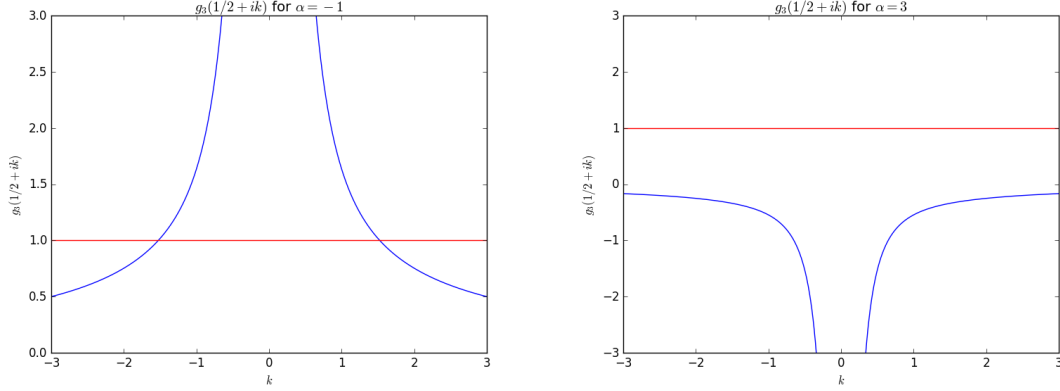


Figure 20: Left:  $g_3\left(\frac{1}{2} + ik\right)$  at  $\alpha = -1$ , Right:  $g_3\left(\frac{1}{2} + ik\right)$  at  $\alpha = 3$

This point is illustrated in figure 20. In the figure, for  $\alpha = -1$ , it is evident that there exist roots of the form  $\frac{1}{2} + ik$ , whereas for  $\alpha = 3$ , they do not.

Consequently, for  $\alpha < 1$ , since the conformal primary  $\psi_0\psi_1 - \psi_0\psi_1$  has a complex scaling dimension, the model is rendered unstable. Going back to section 2.2.2, the reason why the real scaling dimension near 0.5 disappears as  $\alpha$  crosses 1 from above is because of this instability.

### 3 Conclusion

In this thesis, we have investigated the two flavour tensor model with the Hamiltonian in equation 16. This two flavour tensor model exhibits melonic dominance in the large  $N$  limit. Using the Schwinger - Dyson equation, we found the propagator and determined it to be the following at large interactions

$$G(t_2 - t_1) = - \left( \frac{1}{4\pi(3 + \alpha^2)g^2N^3} \right)^{\frac{1}{4}} \frac{\text{sgn}(t_2 - t_1)}{|t_2 - t_1|^{1/2}} \quad (105)$$

We went on to compute the kernels of the four point functions  $\langle \psi_0^{abc} \psi_0^{abc} \psi_0^{a'b'c'} \psi_0^{a'b'c'} \rangle$ , and  $\langle \psi_0^{abc} \psi_0^{abc} \psi_1^{a'b'c'} \psi_1^{a'b'c'} \rangle$ . Using these four point functions we determined the spectrum of the operators  $O_{1,2}^{2n+1} = \psi_0 \partial_t^{2n+1} \psi_0 \pm \psi_1 \partial_t^{2n+1} \psi_1$  to be to be the following

$$g_1(h) = -\frac{3}{2} \frac{\tan\left(\left(\frac{\pi}{2}\left(h - \frac{1}{2}\right)\right)\right)}{h - \frac{1}{2}} \quad (106)$$

$$g_2(h) = -\frac{3-1+\alpha^2}{2} \frac{\tan\left(\left(\frac{\pi}{2}\left(h - \frac{1}{2}\right)\right)\right)}{3+\alpha^2} \frac{1}{h - \frac{1}{2}} \quad (107)$$

Similarly, we calculated the kernels of the four point functions  $\langle \psi_0^{abc} \psi_1^{abc} \psi_0^{a'b'c'} \psi_1^{a'b'c'} \rangle$ , and  $\langle \psi_0^{abc} \psi_1^{abc} \psi_1^{a'b'c'} \psi_0^{a'b'c'} \rangle$ . With it, we determined the spectrum of the operators  $O_3^{2n} = \psi_0 \partial_t^{2n} \psi_1 - \psi_1 \partial_t^{2n} \psi_0$  and  $O_4^{2n+1} = \psi_0 \partial_t^{2n+1} \psi_1 + \psi_1 \partial_t^{2n+1} \psi_0$  to be the following

$$g_3(h) = \frac{3-3\alpha}{3+\alpha^2} \frac{\tan\left(\left(\frac{\pi}{2}\left(h + \frac{1}{2}\right)\right)\right)}{h - \frac{1}{2}} \quad (108)$$

$$g_4(h) = -\frac{3+3\alpha}{3+\alpha^2} \frac{\tan\left(\left(\frac{\pi}{2}\left(h + \frac{1}{2}\right)\right)\right)}{h - \frac{1}{2}} \quad (109)$$

We compared the results of the spectra that we obtained here with the two flavour SYK model that Gross and Rosenhaus studied [10], and the complex bipartite model studied by Klebanov and Tarnopolsky [7]. Both show a good match.

Using the spectra of the operators  $O$ , it is possible to find their scaling dimensions by finding the roots of  $g_i(h) = 1$ . A special case that we looked at is when  $\alpha = 1$ . We determined the scaling dimensions of  $O_1$  and  $O_4$  to be 2.00, 3.77, 5.68, 7.63,  $\dots$  and converging to  $2n+3/2$ . For  $O_2$ , we found the scaling dimensions to be  $2n+3/2$ , and for  $O_3$ , to be  $2n+1/2$ .

At  $\alpha = 1$ , we found that a rotation of the Majorana fermions decouples the Hamiltonian into two separate  $O(N)^3$ . This decoupling explains why the scaling dimensions of  $O_1$  and  $O_4$  are even or odd integers plus  $1/2$ , and suggest that the operators  $\psi_0 \partial_t^n \psi_1$  is going to be of dimension  $n+1/2$ .

At  $\alpha = -3$ , we found that with a rotation of the Majorana fermions, the model becomes equivalent to the two flavour SYK model studied by Gross and Rosenhaus in [10]. We checked that the spectra of the  $\psi_i \partial_t^{2n+1} \psi_i$  is identical to one another.

In addition we studied the duality relations between two different values of  $\alpha$ . Rotating the Majorana fermions by 45 degrees, we arrived at the conclusion that the theory with the coefficient  $\alpha$  in the Hamiltonian is equivalent to that with

$$g' = \frac{(\alpha - 1)g}{2}, \quad \alpha' = \frac{\alpha + 3}{\alpha - 1} \quad (110)$$

This rotation transform  $g_2$  to  $g_4$  and vice versa, and  $g_1$  and  $g_3$  to themselves. This is not a true duality, for  $g' = (\alpha - 1)g/2$ , and hence the energy levels scale too. Nevertheless, the ratio of the energy eigenvalues is preserved. Furthermore, the operator dimensions are preserved for the normalization of  $g$  cancels in the scaling dimensions.

Last, we looked for the existence of complex roots to the equation  $g(h) = 1$ . We found that for  $\alpha < 1$ , there always exist a pair of complex roots of the form  $\frac{1}{2} + ik$ , and hence we conclude that the model is unstable for  $\alpha < 1$ .

In turn, predictions can be made on the variables of the holographic gravity dual. Formal energy levels of the form  $\frac{1}{2} + ik$  correspond to scalar fields in  $AdS_2$  whose  $m^2$  is below the Breitenlohner-Freedman bound  $m_{BF}^2 = -\frac{1}{4}$ . Since  $\Delta = \frac{1}{2} \pm \sqrt{\frac{1}{4} + m^2}$  [22–24], we predict

$$m^2 = -\frac{1}{4} - k^2 \quad (111)$$

Where  $k$  is a root of  $g_3(\frac{1}{2} + ik) = 1$ . For the complex bipartite model, it is found that  $m^2 = -2.576$ , and for the two flavour SYK model,  $m^2 = -1.398$ .

# A Graphical Notation of the Hamiltonian

The calculations in this thesis were greatly simplified with the use of graphical notations. For example, in the calculation of the kernel  $K_{reg}$ , one had to contract hundreds of delta functions with one another, leading to computations that spanned several pages. However, using graphical notations, this calculation can be done with simple comparisons of tetrahedrons.

In short, each  $\psi^4$  term in the Hamiltonian can be represented with a tetrahedron as illustrated in figure 21 and 22. This is done by writing the Hamiltonian with several delta functions. Recall that when we were calculating the four point function  $\langle \psi_0^{a_1 b_1 c_1} \psi_0^{a_2 b_2 c_2} \psi_0^{a_3 b_3 c_3} \psi_0^{a_4 b_4 c_4} \rangle$  the Hamiltonian was written as the following

$$H = \frac{g}{2} I_1(a_i, b_i, c_i) \psi_0^{a_1 b_1 c_1} \psi_0^{a_2 b_2 c_2} \psi_1^{a_3 b_3 c_3} \psi_1^{a_4 b_4 c_4} + \frac{\alpha g}{4} I_2(a_i, b_i, c_i) (\psi_0^{a_1 b_1 c_1} \psi_0^{a_2 b_2 c_2} \psi_0^{a_3 b_3 c_3} \psi_0^{a_4 b_4 c_4} + \psi_1^{a_1 b_1 c_1} \psi_1^{a_2 b_2 c_2} \psi_1^{a_3 b_3 c_3} \psi_1^{a_4 b_4 c_4}) \quad (112)$$

where

$$I_1(a_i, b_i, c_i) = \delta_{a_1 a_2} \delta_{b_1 b_3} \delta_{c_1 c_4} \delta_{b_2 b_4} \delta_{c_2 c_3} \delta_{a_3 a_4} - \delta_{a_1 a_3} \delta_{b_1 b_2} \delta_{c_1 c_4} \delta_{a_2 a_4} \delta_{c_2 c_3} \delta_{b_3 b_4} + \delta_{a_1 a_3} \delta_{b_1 b_4} \delta_{c_1 c_2} \delta_{a_2 a_4} \delta_{b_2 b_3} \delta_{c_3 c_4} \quad (113)$$

$$I_2(a_i, b_i, c_i) = \delta_{a_1 a_2} \delta_{b_1 b_3} \delta_{c_1 c_4} \delta_{b_2 b_4} \delta_{c_2 c_3} \delta_{a_3 a_4} \quad (114)$$

Now, in this expression, one can consider  $\psi_i^{a_j b_j c_j}$  as the vertex  $i$  of a tetrahedron, and each  $\delta$  function as an edge of a tetrahedron. For examples, compare the first term of  $I_1$  with the leftmost figure of 21.

Vertices 1 and 2, and 3 and 4 are connected in the  $a$  indice, which corresponds to  $\delta_{a_1 a_2}$  and  $\delta_{a_3 a_4}$ . Similarly, vertices 1 and 3, and 2 and 4 are connected in the  $b$  color, and this leads to  $\delta_{b_1 b_3}$  and  $\delta_{b_2 b_4}$ . Last, vertices 1 and 4, and 2 and 3 are connected in the  $c$  color, and this corresponds to  $\delta_{c_1 c_4}$  and  $\delta_{c_2 c_3}$ . Multiplying all these delta functions, one arrives at the first term of  $I_1(a_i, b_i, c_i)$ .

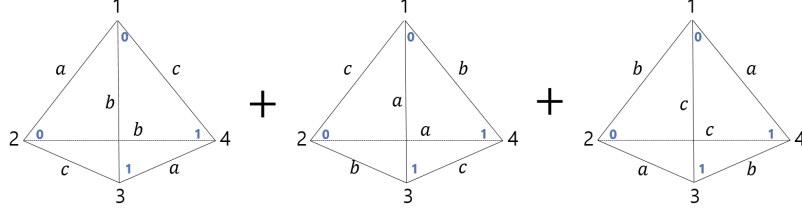


Figure 21: The first term of the Hamiltonian,  $\psi_0^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} - \psi_0^{a_1 b_1 c_1} \psi_0^{a_2 b_1 c_2} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_2 c_1} + \psi_0^{a_1 b_1 c_1} \psi_0^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} \psi_1^{a_1 b_2 c_2}$  in graphical notations. Each vertex is a Majorana, whose order is denoted by the black number. The blue numbers denote the flavour. Each edge is a delta function between the indices of the Majoranas.

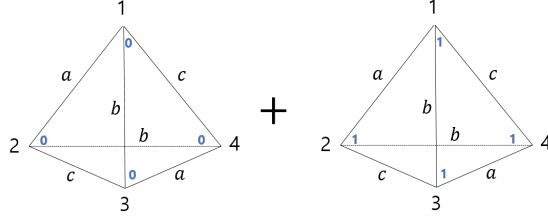


Figure 22: The Second Term of the Hamiltonian,  $\psi_0^{a_1 b_1 c_1} \psi_0^{a_1 b_2 c_2} \psi_0^{a_2 b_1 c_2} \psi_0^{a_2 b_2 c_1}$  in Graphical Notations. Each vertex is a Majorana, whose order is denoted by the black number. The blue numbers denote the flavour. Each edge is a delta function between the indices of the Majoranas.

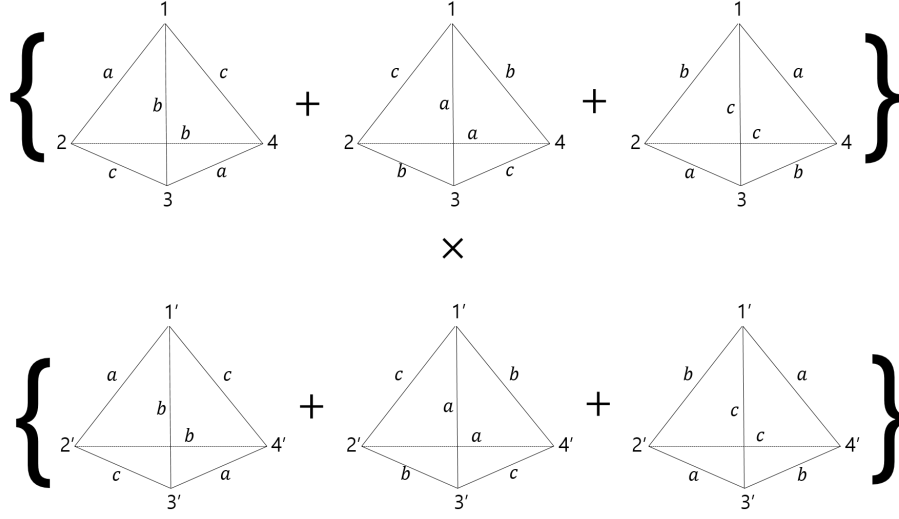


Figure 23:  $I_1(a_i, b_i, c_i) \times I_1(a'_i, b'_i, c'_i)$  is of the form above in the diagrammatic representation.

All in all, the first term in the Hamiltonian (minus the factor) corresponds to figure 21, and the second term, to figure 22. Now let us use this graphical representation to simplify computations.

We shall calculate the first term of the kernel that we found for  $\langle \psi_0^{abc}(t_1) \psi_0^{abc}(t_2) \psi_0^{abc}(t_3) \psi_0^{abc}(t_4) \rangle$  and  $\langle \psi_0^{abc}(t_1) \psi_0^{abc}(t_2) \psi_1^{abc}(t_3) \psi_1^{abc}(t_4) \rangle$ . The kernel is created from the wick contractions of

$$\frac{g^2}{4} I_1(a_i, b_i, c_i) I_1(a'_i, b'_i, c'_i) \psi_0^{a_1 b_1 c_1} \psi_0^{a_2 b_2 c_2} \psi_1^{a_3 b_3 c_3} \psi_1^{a_4 b_4 c_4} \psi_0^{a'_1 b'_1 c'_1} \psi_0^{a'_2 b'_2 c'_2} \psi_1^{a'_3 b'_3 c'_3} \psi_1^{a'_4 b'_4 c'_4} \psi_1^{a' b' c'} \psi_1^{a' b' c'} \quad (115)$$

This object, written without  $\psi_1^{a' b' c'}$  and expressed graphically is as illustrated in figure 23.

Now let us contract  $\psi_1^{a' b' c'}$ s with  $\psi_1^{a_4 b_4 c_4}$  and  $\psi_1^{a'_4 b'_4 c'_4}$ . Since the Hamiltonian is symmetric under the interchange of  $a_3 b_3 c_3$  with  $a_4 b_4 c_4$ , it is enough to multiply the resulting object by

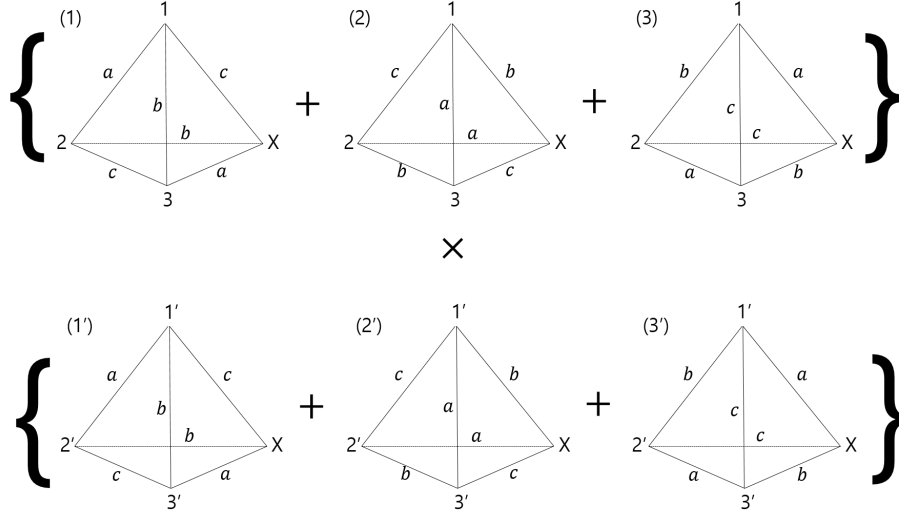


Figure 24: The calculation of the kernel, after the contraction of  $\psi_1^{a'b'c'}$ s with  $\psi_1^{a_4b_4c_4}$  and  $\psi_1^{a'_4b'_4c'_4}$ .

4 and not do further contractions of  $\psi_1^{a'b'c'}$  with other  $\psi_1$ s.

As a result of the contraction, one obtains  $\delta_{a_4a'}\delta_{b_4b'}\delta_{c_4c'}\delta_{a'_4a'}\delta_{b'_4b'}\delta_{c'_4c'}$ . This leads to  $\delta_{aa'}\delta_{bb'}\delta_{cc'}$ , and hence one can equate vertex 4 with vertex 4'. This change is reflected in figure 24.

Now, one can perform wick contractions between 1 and 2 with 1' and 2', and 3 with 3'. One must only choose two contractions from the three available contractions for two  $\psi_i$ s need to contract with the  $\psi_i$ s coming from the left of the kernel.

Suppose we perform wick contractions between 1 and 1', and 2 and 2'. We obtain what is illustrated in figure 25.

Now lifting out the parentheses, we find 9 pairs of tetrahedrons. Note that one only needs to perform calculations on a choice of three pairs only, a viable choice of which would be tetrahedron pairs (1)(1'), (1)(2'), and (1)(3'). This is because the Hamiltonian is symmetric

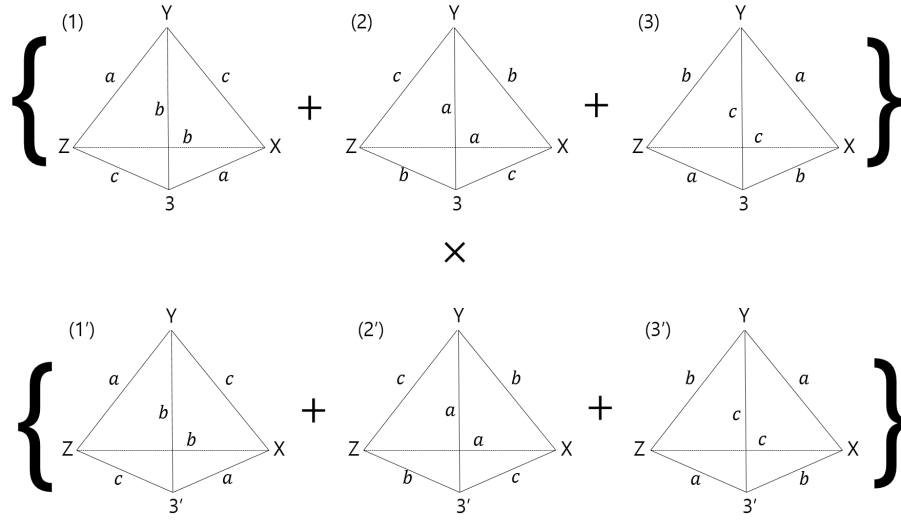


Figure 25: The calculation of the kernel, after the contraction of  $\psi_1^{a_1 b_1 c_1}$  with  $\psi_1^{a'_1 b'_1 c'_1}$  and  $\psi_1^{a_2 b_2 c_2}$  with  $\psi_1^{a'_2 b'_2 c'_2}$ .



under the cyclic rotation of  $a$ ,  $b$ , and  $c$ :  $(2)(2')$ ,  $(2)(3')$ , and  $(2)(1')$  would return exactly the same result, and the same goes for pairs that contain  $(3)$ .

Now, pair  $(1)(1')$  gives

$$\begin{aligned} & \delta_{a_Y a_Z} \delta_{a_X a_3} \delta_{b_Y b_3} \delta_{b_Z b_X} \delta_{c_Z c_3} \delta_{c_X c_Y} \delta_{a_Y a_Z} \delta_{a_X a'_3} \delta_{b_Y b'_3} \delta_{b_Z b_X} \delta_{c_Z c'_3} \delta_{c_X c_Y} \psi_1^{a_3 b_3 c_3} \psi_1^{a'_3 b'_3 c'_3} \\ &= N^3 \delta_{a_3 a'_3} \delta_{b_3 b'_3} \delta_{c_3 c'_3} \psi_1^{a_3 b_3 c_3} \psi_1^{a'_3 b'_3 c'_3} \end{aligned} \quad (116)$$

On the other hand, pair  $(1)(2')$  gives

$$\begin{aligned} & \delta_{a_Y a_Z} \delta_{a_X a_3} \delta_{b_Y b_3} \delta_{b_Z b_X} \delta_{c_Z c_3} \delta_{c_X c_Y} \delta_{c_Y c_Z} \delta_{c_X c'_3} \delta_{a_Y a'_3} \delta_{a_Z a_X} \delta_{b_Z b'_3} \delta_{b_X b_Y} \psi_1^{a_3 b_3 c_3} \psi_1^{a'_3 b'_3 c'_3} \\ &= \delta_{a_3 a'_3} \delta_{b_3 b'_3} \delta_{c_3 c'_3} \psi_1^{a_3 b_3 c_3} \psi_1^{a'_3 b'_3 c'_3} \end{aligned} \quad (117)$$

Similarly, pair  $(1)(3')$  gives  $\delta_{a_3 a'_3} \delta_{b_3 b'_3} \delta_{c_3 c'_3} \psi_1^{a_3 b_3 c_3} \psi_1^{a'_3 b'_3 c'_3}$ . In the large  $N$  limit, the first pair  $(1)(1')$  dominates. Multiplying by 3 - we do this because we only looked at the pairs that contain tetrahedron  $(1)$  - we find  $3N^3 G(t-t')^2 G(t-t_3) G(t'-t_4)$ . The Greens function come from the wick contractions that we have done earlier.

Now, contracting  $\psi_0^{a_2 b_2 c_2}$  with  $\psi_0^{a'_2 b'_2 c'_2}$  and  $\psi_1^{a_3 b_3 c_3}$  with  $\psi_1^{a'_3 b'_3 c'_3}$  gives the same result, but leaves behind  $\psi_0 \psi_0$ . The same is true in the case in which  $\psi_0^{a_1 b_1 c_1}$  with  $\psi_0^{a'_1 b'_1 c'_1}$  and  $\psi_1^{a_3 b_3 c_3}$  with  $\psi_1^{a'_3 b'_3 c'_3}$  are contracted. This is because the diagram that one obtains after the contraction is similar to figure 25: The only difference is just that the face X, Y, Z are on a different face now.

Now, suppose we contract  $\psi_0^{a_1 b_1 c_1}$  with  $\psi_0^{a'_2 b'_2 c'_2}$  and  $\psi_1^{a_3 b_3 c_3}$  with  $\psi_1^{a'_3 b'_3 c'_3}$ . This provides us with a picture as drawn in figure 26.

Now, try to match the face XYZ of the top row to that of the bottom row: whenever the colors  $a$ ,  $b$ ,  $c$  of the edges of the top and bottom row XYZs match with one another, it would give a factor of  $N$  for it corresponds to the contraction of two delta functions with one another. However, the maximum factor that one gets here is going to be  $N$  because there is

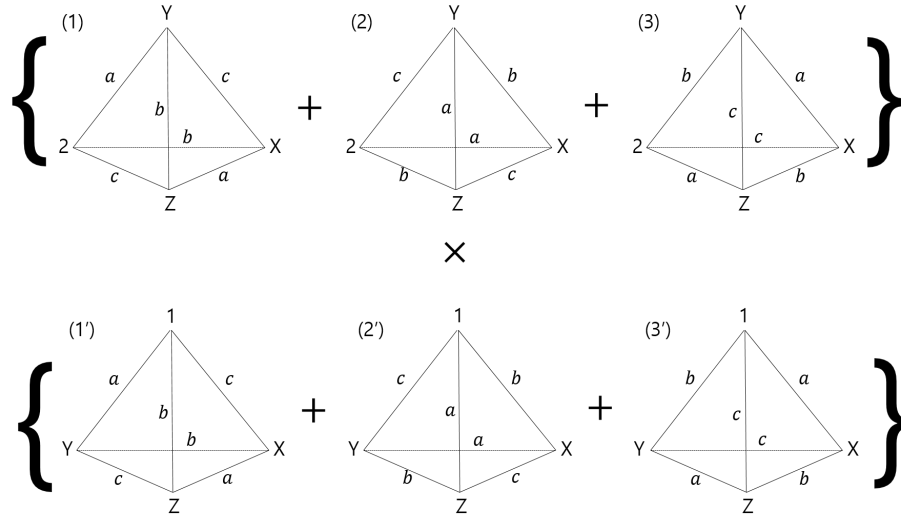


Figure 26: The calculation of the kernel, after the contraction of  $\psi_1^{a_1 b_1 c_1}$  with  $\psi_1^{a'_2 b'_2 c'_2}$  and  $\psi_1^{a_3 b_3 c_3}$  with  $\psi_1^{a'_3 b'_3 c'_3}$ .

no way to make more than two edges match one another. Hence this wick contraction gets ignored in the large  $N$  limit for it gets dwarfed by other terms.

We make a quick digression here: after doing the wick contraction, in matching the face XYZ of the top row to the bottom row, if the remaining points are on the same side, one gets a factor of  $3N^3$ . On the other hand, if the remaining points are on the opposite side, one gets a factor of the order  $N$ .

This is because if the the two remaining points are on the same side, then one out of the three pairs (1)(1'), (1)(2'), and (1)(3') that we look at exhibits a complete match of the colors on all sides of the faces XYZ. This gives a factor of  $N^3$ . The other two pairs would be completely mismatching and hence would vanish in the large  $N$  limit. After considering pairs with tetrahedrons (2) and (3), one arrives at the factor of  $3N^3$ .

On the contrary, if the two remaining points are on opposite sides, one can never arrive at a complete match of the colors on all the edges of the face XYZ of the top row to the face XYZ of the bottom row. The most that one can get is a single match, and subsequently one arrives at the factor of the order  $N$ .

Consequently, the contribution of the  $I_1(a_i, b_i, c_i)I_1(a'_i, b'_i, c'_i)$  term to the kernel is

$$-3g^2N^3 \begin{bmatrix} 1 & 2 \\ 2 & 1 \end{bmatrix} G(t_3 - t)G(t_4 - t')G(t - t')^2 \quad (118)$$

Where the minus sign comes from the contraction of the remaining  $\psi$  terms from the kernel with  $\psi$  terms outside of the kernel.

Let us quickly check this result with what we had computed earlier: the result here should match the kernel that we obtained in section 2.2.1. at  $\alpha = 0$ . This is because when  $\alpha = 0$ , then the kernel would not have any  $I_2(a_i, b_i, c_i)I_2(a'_i, b'_i, c'_i)$  terms, and hence the  $I_1(a_i, b_i, c_i)I_1(a'_i, b'_i, c'_i)$  contribution to the kernel that we found here would dominate. The expressions indeed match, and hence the graphical method that we obtained here does hold.

## B Conformal Field Theory

In this section we give a quick introduction of Conformal Field Theory - giving emphasis to the two point and three point functions. What we discuss here was written after [25].

A conformal field theory is a quantum field theory that is invariant under the conformal group. A conformal group is a group of mappings that preserve the angle. More concretely, a transformation belongs in the conformal group if the metric of the transformed coordinates is a scalar multiple of that of the original coordinates

$$g_{\rho\sigma} \frac{\partial x'^{\rho}}{\partial x^{\mu}} \frac{\partial x'^{\sigma}}{\partial x^{\nu}} = \Lambda(x) g_{\mu\nu} \quad (119)$$

In this section we will talk about conformal invariance in flat space  $R^d$  where  $d \neq 2$ . This is more relevant to our thesis because our theory is at  $D = 1$ : With conformal groups in  $d = 2$  an infinite number of symmetries occur which are dealt with the Virasoro algebra, and this is not very useful in the objects that we deal with in our thesis.

In flat space, the Poincare group - transformations that preserve the minkowski metric - belong in the conformal group. In addition to the Poincare group, the conformal group includes scaling transformations, and the special conformal transformation

$$x'^{\mu} = \lambda x^{\mu} \quad (120)$$

$$x'^{\mu} = \frac{x^{\mu} - (x \cdot x) b^{\mu}}{1 - 2(b \cdot x) + (b \cdot b)(x \cdot x)} \quad (121)$$

It is trivial that the former is a conformal transformation, for a scaling of the coordinates  $dx'^{\mu} = \lambda dx^{\mu}$  would make the metric become  $g'_{\mu\nu} = \frac{1}{\lambda^2} g_{\mu\nu}$ . The reason why the latter is conformal is a bit more complex.

Let us define the following transformation as an inversion:  $x^{\mu} = \frac{x^{\mu}}{x \cdot x}$ . Then the special conformal transformation satisfies the following:  $x'^{\mu} = \frac{x'^{\mu}}{x' \cdot x'} = x^{\mu} = \frac{x^{\mu}}{x \cdot x} - b^{\mu}$ . Therefore, a special conformal transformation is an inversion followed by a translation, then another inversion.

An inversion, however, is a conformal transformation:  $x^\mu = \frac{x^\mu}{x \cdot x}$ , where the scalar coefficient following the transformation of the metric is  $\Lambda(x) = \frac{1}{(x \cdot x)}$ . Hence, since all three components of a special conformal transformation are conformal, a special conformal transformation is a conformal transformation.

Some more differential analysis lead to the fact that the transformations that we discussed so far are all of the possible conformal transformations. Consequently, a conformal group in  $R^{p+q}$  where  $p + q \neq 2$  is composed of translations, rotations, dilatations, and special conformal transformations. Note that we do not include inversion transformations in the conformal group, since it is a discrete transformation.

An example of a conformal field theory is the massless scalar  $\phi^4$  theory at  $d = 4$ . The action, given as

$$S = \int d^4x \frac{1}{2} (\partial_\mu \phi)^2 + \frac{\lambda}{4!} \phi^4 \quad (122)$$

is invariant under the conformal transformation  $x \rightarrow x'$ , and  $\phi(x) \rightarrow \phi'(x')$ , where

$$\phi'(x') = \lambda^{-\Delta} \phi(x) \quad (123)$$

and  $\lambda = \left| \frac{\partial x'}{\partial x} \right|^{1/d}$ ,  $\Delta = 1$ .  $\left| \frac{\partial x'}{\partial x} \right|$  is the jacobian of the transformation.

Note that a massive scalar  $\phi^4$  theory is not conformal, for the same transformation is going to make the integral of the mass term be of  $\lambda^2$ .

The scaling dimension of the operator  $\phi$  is  $\Delta = 1$ . In general, the scaling dimension of an operator  $O$  is defined as the action of a dilatation on the operator  $O$

$$O(\lambda x) = \lambda^{-\Delta} O(x) \quad (124)$$

Now conformal invariance in CFTs impose some important restrictions on the two-point functions and the three-point functions. Let us first look at two-point functions

$$\begin{aligned}
\langle \phi_1(x_1)\phi_2(x_2) \rangle &= \frac{1}{Z} \int D\Phi \phi_1(x_1)\phi_2(x_2)e^{-S[\Phi]} \\
&= \left| \frac{\partial x'}{\partial x} \right|_{x=x_1}^{\Delta_1/d} \left| \frac{\partial x'}{\partial x} \right|_{x=x_2}^{\Delta_2/d} \frac{1}{Z} \int D\Phi \phi_1(x'_1)\phi_2(x'_2)e^{-S[\Phi]} \\
&= \left| \frac{\partial x'}{\partial x} \right|_{x=x_1}^{\Delta_1/d} \left| \frac{\partial x'}{\partial x} \right|_{x=x_2}^{\Delta_2/d} \langle \phi_1(x_1)\phi_2(x_2) \rangle
\end{aligned} \tag{125}$$

Now, rotation invariance implies  $\langle \phi_1(x_1)\phi_2(x_2) \rangle = f(|x_1 - x_2|)$ . Furthermore, invariance to dilatations  $x \rightarrow \lambda x$  indicate that  $f(\lambda x) = \lambda^{-(\Delta_1+\Delta_2)} f(x)$ . Therefore,  $\langle \phi_1(x_1)\phi_2(x_2) \rangle = \frac{d_{12}}{|x_1 - x_2|^{\Delta_1+\Delta_2}}$

Doing a special conformal transformation, we find that only when  $\Delta_1 = \Delta_2$  can equation 125 can hold, and consequently

$$\langle \phi_1(x_1)\phi_2(x_2) \rangle = \frac{d_{12}\delta_{\Delta_1\Delta_2}}{|x_1 - x_2|^{\Delta_1+\Delta_2}} \tag{126}$$

Now let us look at three point functions. Similar to what was done above, due to invariance under translations, rotations, dilatations, and special conformal transformations, the three point function is forced to satisfy the following equation

$$\langle \phi_1(x_1)\phi_2(x_2)\phi_3(x_3) \rangle = \frac{\lambda_{123}}{|x_{12}|^{\Delta-2\Delta_3}|x_{23}|^{\Delta-2\Delta_1}|x_{31}|^{\Delta-2\Delta_2}} \tag{127}$$

Where  $x_{ij} = |x_i - x_j|$ , and  $\Delta = \Delta_1 + \Delta_2 + \Delta_3$ . These  $\lambda_{123}$  is important in defining a CFT. We quickly sketch the reason why.

In a conformal field theory, a conformal primary operator is an operator which commutes with  $K_\mu$ . Now there is a correspondence between an operator and a state. This correspondence leads to the operator product expansion, in which means that a product of two

operators can be expressed as a sum of conformal primaries with some coefficients: these coefficients are unique, and hence defines a CFT. [25]

## C Useful Integrals

The following integral comes in handy in calculating the spectra of the ladder diagrams [7]

$$\begin{aligned} \int_{-\infty}^{\infty} du \frac{\text{sgn}(u-t_1) \text{sgn}(u-t_2)}{|u-t_1|^a |u-t_2|^b} &= l_{a,b}^+ \frac{1}{|t_1-t_2|^{a+b-1}} \\ \int_{-\infty}^{\infty} du \frac{\text{sgn}(u-t_2)}{|u-t_1|^a |u-t_2|^b} &= l_{a,b}^- \frac{\text{sgn}(t_1-t_2)}{|t_1-t_2|^{a+b-1}} \end{aligned} \quad (128)$$

Where,  $l_{a,b}^{\pm} = \beta(1-a, a+b-1) \pm (\beta(1-b, a+b-1) - \beta(1-a, 1-b))$

For the operators  $O_{1,2} = \psi_0 \partial_t^{2n+1} \psi_0 \pm \psi_1 \partial_t^{2n} \psi_1$  and  $O_4 = \psi_0 \partial_t^{2n} \psi_1 - \psi_1 \partial_t^{2n} \psi_0$ , the following integral comes in handy

$$\begin{aligned} \int dt dt' \frac{\text{sgn}(t-t')}{|t-t'|^{1/2-h}} \frac{\text{sgn}(t-t_1) \text{sgn}(t'-t_2)}{|t-t_1|^{1/2} |t'-t_2|^{1/2} |t-t'|} \\ = \int dt dt' \frac{\text{sgn}(t-t') \text{sgn}(t-t_1) \text{sgn}(t-t_2)}{|t-t_1|^{1/2} |t'-t_2|^{1/2} |t-t'|^{3/2-h}} \\ = l_{\frac{3}{2}-h, \frac{1}{2}}^+ l_{1-h, \frac{1}{2}}^- \frac{\text{sgn}(t_1-t_2)}{|t_1-t_2|^{1/2-h}} \\ = 2\pi \frac{\tan(\frac{\pi}{2}(h-\frac{1}{2}))}{h-1/2} \frac{\text{sgn}(t_1-t_2)}{|t_1-t_2|^{1/2-h}} \end{aligned} \quad (129)$$

For the operator  $O_3 = \psi_0 \partial_t^{2n} \psi_1 + \psi_1 \partial_t^{2n} \psi_0$ , this integral comes in handy

$$\begin{aligned}
& \int dt dt' \frac{1}{|t-t'|^{1/2-h}} \frac{\text{sgn}(t-t_1) \text{sgn}(t'-t_2)}{|t-t_1|^{1/2} |t'-t_2|^{1/2} |t-t'|} \\
&= \int dt dt' \frac{\text{sgn}(t-t_1) \text{sgn}(t-t_2)}{|t-t_1|^{1/2} |t'-t_2|^{1/2} |t-t'|^{3/2-h}} \\
&= l_{\frac{3}{2}-h, \frac{1}{2}}^- l_{\frac{1}{2}, 1-h}^+ \frac{1}{|t-t'|^{1/2-h}} \\
&= 2\pi \frac{\tan(\frac{\pi}{2}(h+\frac{1}{2}))}{h-\frac{1}{2}} \frac{1}{|t-t'|^{1/2-h}}
\end{aligned} \tag{130}$$



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Jaewon Kim

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