Mostly-Static Processor Architecture

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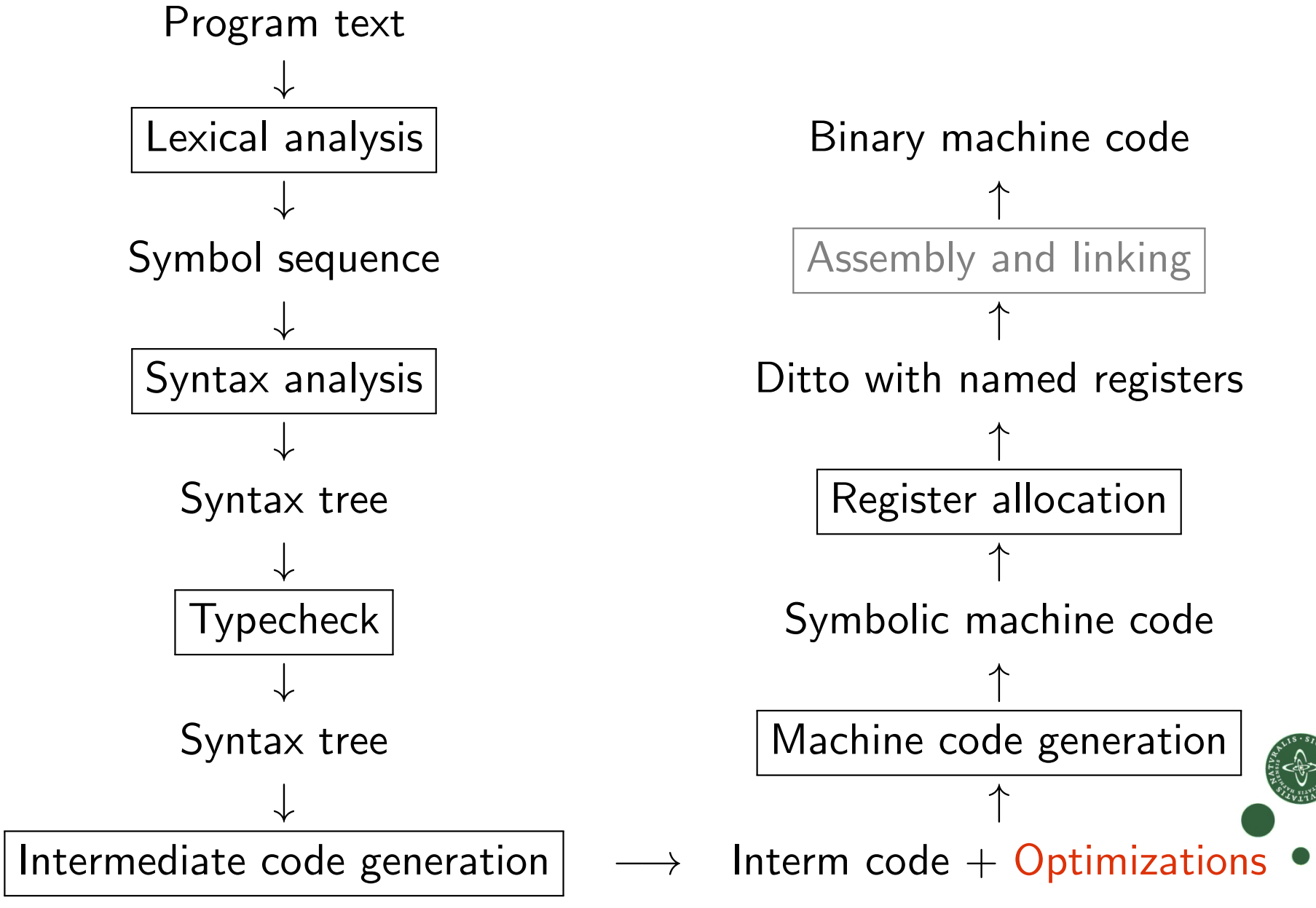
Structure of a Compiler



Overview

- Processor (& ISA): "the brain", drive the architectural design.
- Will recall MIPS and exceptions, which, albeit implemented in software, impose architectural constraints.
- Starting Point: 5-stage pipeline, executing instructions in program order. At this level we look at how to best exploit ILP.
- Mechanisms to handle hazards: forwarding, stalling, flushing.
- Look at 5-stage static pipeline extensions:
  - superpipelined: deeper pipelines, clocked faster, supporting more complex instructions, e.g., floating point,
  - superscalar: fetch and execute multiple instrs in each cycle,
  - core multi-threading: as a simple solution to resolving hazards.

Overview Continuation

- Dynamically Scheduled OoO Processors are NOT covered until the end of the course!
  - daunting task because instructions are executed out of order, but data dependencies, conditional branches outcome, and exceptions must be correctly processed (as if in program order).
  - requires complex hardware support for speculative execution.

- Complexity of OoO Processors prevents wide-superscalar pipelines $\Rightarrow$ next lectures on software track study compiler optimizations to keep hwd simple & clock rates high:
  - VLIW: simple hardware allowing a large number of instrs to be processed every cycle: data dependencies, speculative execution and exceptions are handled statically.
  - Vector Processors: compiler restructures code into vector instructions, in which the same instruction is applied on many scalar operands. Can be deeply pipelined at high-clock rates.

1. Instruction Set Architectures (ISA)
   - RISC vs CISC
   - MIPS Instruction Format & Mixes
   - Exceptions
2. Static 5-Stage Pipeline
   - Naive Architecture
   - Resolving Data Hazards for the 5-Stage Pipeline
   - Resolving Control Hazards for the 5-Stage Pipeline
   - Handling Precise Exceptions in the 5-Stage Pipeline
3. Out-Of-Order Instruction Completion
   - Data, Control, Structural Hazards & Exceptions

ISA: RISC vs CISC

Reduced Instruction Set Architecture (RISC):

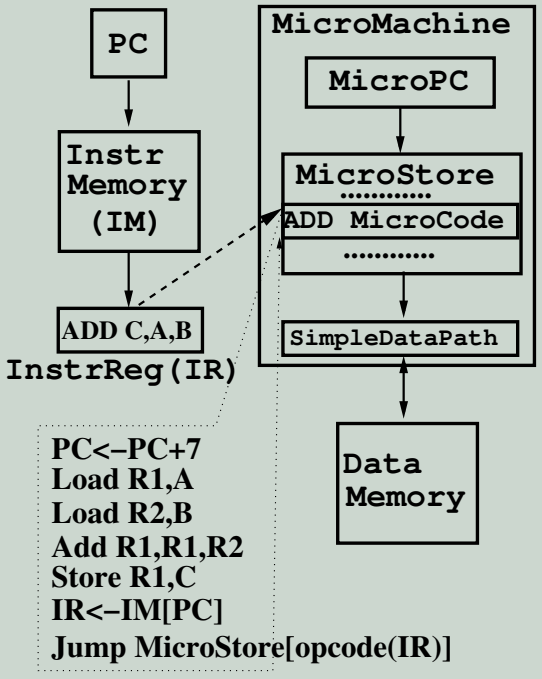
- start with the minimum # of primitive instrs & add only if justified by performance gains.
- Constant instr size, regular formats ⇒ simplified decoding, promotes pipelining & short cycle time.

Complex Instruction Set Architecture (CISC):

- Premises: compact instr stream ⇒ effective time/space instr-memory utilization ⇒ variable instr length and format.
- Misguided tendency to provide complex ISA to help assembly programmers or to compile high-level languages.
- DEC Vax-11 ISA successful in the 70-80s.
  Intel iAPX432 1980s zenith of complexity: >200 opcodes, 6-300 bits instr length with Huffman coding, in the context of ADA.

ISA: RISC vs CISC (Continuation)

Execution of a CISC instruction



Complex instr fetched from `InstrMemory` & decoded:

- opcode and operand types determines the entry in `Microstore` where its sequence of μinstrs reside,
- μinstructions are similar to the one of MIPS,
- the last μinstruction execution directs the `InstrMemory` to fetch the next complex instr.
- Inefficient execution because μprogram exhibit little ILP & extra cycles are required for the interpretation of ANY instr (simple or complex).
- RISC: removes the interpretation overhead by compiling directly to μcode, which is exposed to compiler optimizations.

- RISC & CISC refers NOT to hwd simplicity (cost of implementing CISC is marginal). Today architectures are CISC due to legacy concerns, but morally RISC:
- CISC instr translated to a sequence of RISC, executed by a RISC-ISA mechanism.
- CISC: IBM System370..., IntelX86 (Pentium4,AMD Turion), Motorola 68000,
- RISC: SunSPARC(SPARCT2), PowerPC(601 IBM), Alpha(DEC), IA64(Itanium2).

MIPS Instruction Set

Instructions in 32-bit format aligned in memory. Addresses assumed aligned (why?). Each instr has one opcode and up to three operands:

Arithmetic/Logic: use integer registers `R0...R31`. Unsigned (`ADDU,SUBU,MULTU`) and logical (`OR,AND,NOR,NAND`) instructions do not raise exceptions. Signed instr (`ADD,SUB,MULT`) raise under/overflow exceptions. `ADD R1,R2,R3` ≡ `R1←R2+R3` and `ADDI R1,R2,#8` ≡ `R1←R2+8`. `SLT R1,R2,R3` ≡ `R1 ← if R2 < R3 then 1 else 0`.

Floating Point: Numbers in sign-exponent-mantisa widens the range of representable numbers. Use float registers `F0...F31`. Can be executed as procedures/macros, but much faster in hardware. No immediate field! Single (`ADD.S,SUB.S,MUL.S`) and double (`ADD.D...`) precision instrs. A double register is hold in 2 consecutive registers and is even numbered: `MUL.S F1,F2,F3` ≡ `F1←F2*F3` and `ADD.D F0,F2,F4` ≡ `F0←F2+F4`.

Memory Access: move operands from/to memory: 1 value reg & 1 address reg & 1 displ. `LB,LH,LW,LD,L.S,L.D` loads a byte, half/single/double integer word, and a single/double float. Similar for store `SB,SH,SW,SD,S.S,S.D`: `LW R1,8(R2)` ≡ `R1←MEM[R2+8]` and `SW R1,8(R2)` ≡ `MEM[R2+8]←R1`.

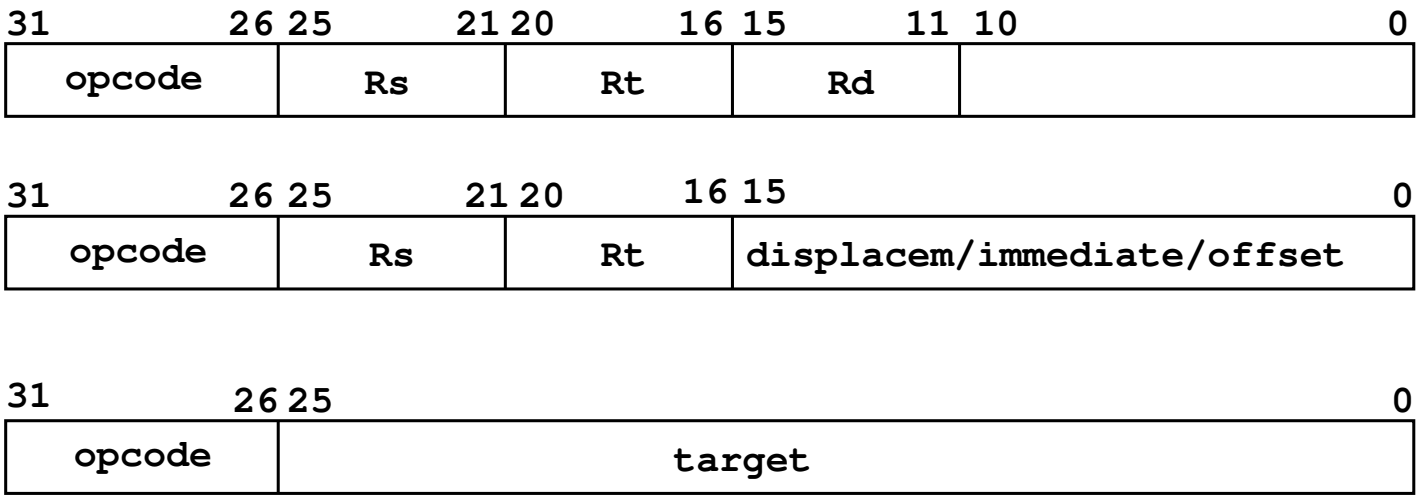
Branch/Jump: `BNE R1,R2,loop` branches when `R1`≠`R2`; branches use PC-relative targets `SLT R1,R2,R3; BEZ R1,loop` ≡ `if R2` ≥ `R3 then goto loop`. Jump to an immediate-field (`J target`) or to a register target (`JR R1`) sets PC to target's value. Jump-And-Link (`JAL target`), used for procedure calls, first saves the return address in `R31` then jumps.

Instruction Formats

Most instructions may be executed in one cycle; complex ones require more cycles, e.g, integer/float multiplication/division.

Operand addresses must be aligned (address is a multiple of its size) $\Rightarrow$ simplifies mem interface (operand cannot span two cache lines).

Three Instruction Formats



Instruction Mixes

Static Mixes: the distribution of instruction types in a program, e.g., used to determine the size of instruction caches.

Dynamic Mix: refers to the number of executed instructions, e.g., instructions in a loop are repeatedly executed. Used as a primary guideline in processor design.

Table Below shows a rough estimate of the dynamic mix of integer benchmarks:

OpCode Class	Fraction	CPI
Load	25%	high
Store	12%	low
ALU	40%	low
Branches	20%	high
Jump	2%	low
JAL	1%	low

Loads high CPI is due to the memory/bandwidth wall.

Branches high CPI is because they break the predictability of the segment of instructions fetched in the pipeline.

Processor Design must address these and other issues.

Exceptions

- Rare events triggered by hardware & direct the processor to execute a handler.
- Similar with branches (share same hardware), but the difference is that the exception handler is NOT explicitly scheduled in code.
- Exception handling is part of ISA specification:

  Imprecise e.g., hwd failure, mem-access violations, are NOT synchronized with an instruction because process is terminated after handler.

  Precise e.g., under/overflow, page faults @ instruction `i`. Instrs preceding `i` must finish & instrs `i` and following aborted. Execution resumed from instr `i`.

- Precise exceptions place constraints on hardware & compiler:
  - exceptions are rare and unpredictable $\Rightarrow$
  - no need to speed up the handling BUT build the mechanism & minimize its common-case (conservative) overhead.

Exceptions, Interrupts, Traps

- I/O device interrupts, e.g., DMA
- Operating Systems Calls (TRAP instruction similar to JAL).
- Instruction Tracing & Breakpoints, e.g., CPU traps every instr, valuable info for arch design.
- Integer/Floating Point Exceptions (process resumed or not)
- Page Faults (CPU trapped to the kernel).
- Misaligned Memory Accesses
- Memory protection violation, e.g., out-of-bounds, access rights
- Undefined instruction, e.g., used to extend ISA in software,
- Hardware & power failure.

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Classic RISC 5-Stage Static Pipeline

- Pipeline improves instruction throughput. Think assembly line in which every worker/robot works on and is specialized for one stage only. Have you ever switched apartments?
- Pipeline stages need to be very similar. Instruction execution good candidate because goes through same stages: fetch, decode, execute, write results.
- RISC well suited for pipelining because efficiency requires minimal differences in format & execution of various instruction types.
- Main Problem is to handle efficiently data and control dependencies between instructions. Techniques that alleviate such negative effects are constrained by exception handling.

The 5 Stages of the Classic RISC Pipeline

Instruction	I-Fetch IF	I-Decode ID	Execute EX	Memory ME	WriteBack WB
LW R1,20(R2)	fetch; PC+=4	decode; fetch R2	compute addr minus(R2+20)	read mem	write in R1
SW R1,20(R2)	fetch; PC+=4	decode; fetch R1 and R2	compute addr minus(R2+20)	write mem	-
ADD R1,R2,R3	fetch; PC+=4	decode; fetch R2 and R3	compute (R2+R3)	-	write in R1
ADDI R1,R2,imm	fetch; PC+=4	decode; fetch R2	compute (R2+imm)	-	write in R1
BEQ R1,R2,offs	fetch; PC+=4	decode; fetch R1 and R2 and in || (PC+offs) as the target address	compute (R1-R2); take branch if 0	-	-
J target	fetch; PC+=4	decode; take branch	-	-	-

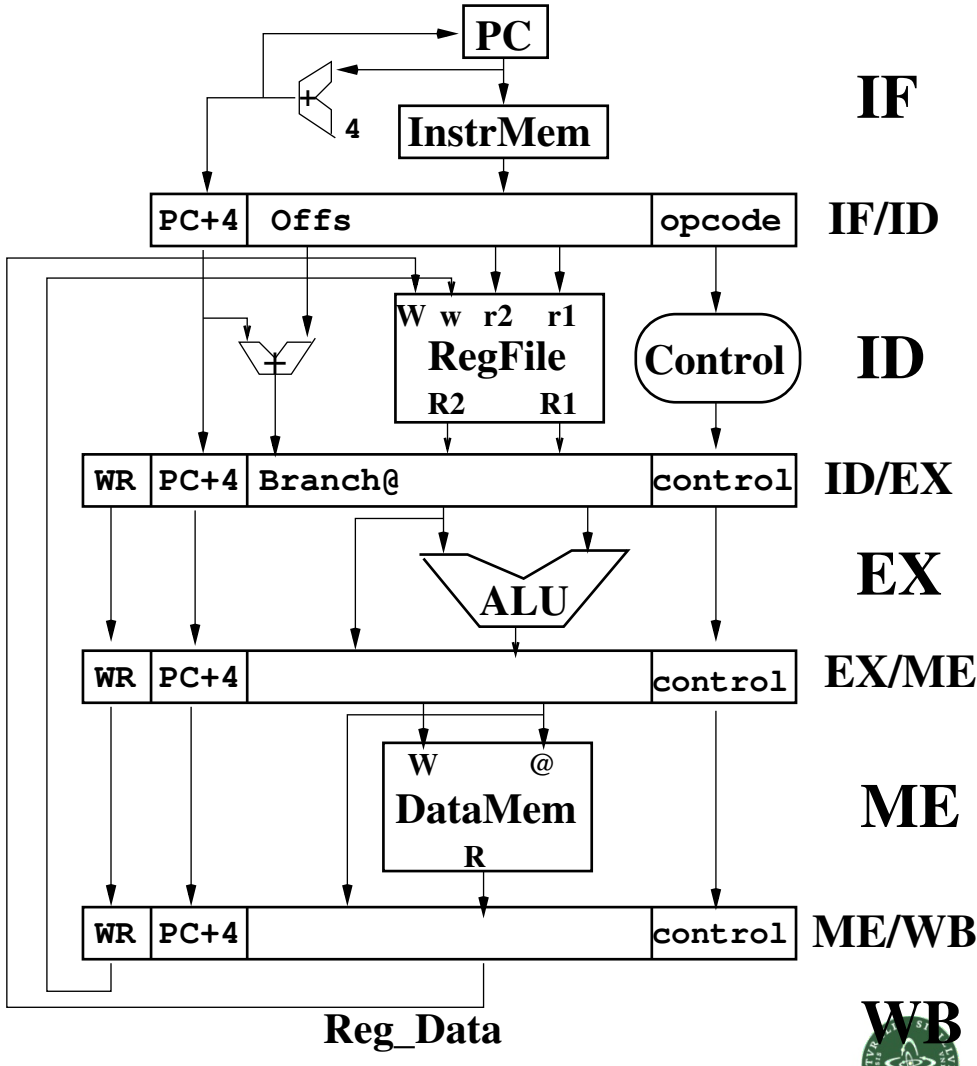
- Pipeline improves instr throughput. Think assembly line in which every worker (robot) works on and is specialized for one stage only. Switched appartments?

- IF is the same. ID: 2 regs (always) fetched + target address computed for branch.
- EX computes address, or values, or compares registers and take or not a branch.
- ME is active for loads and stores (for others NOOP). WB updates output reg.
- floating point and MUL/DIV do not fit yet ⇒ subroutines.

- Instructions move through pipeline in program order!
- CPU is frozen on a cache miss! Clock is stopped & resumed after miss is serviced.

Classic RISC Pipeline Architecture

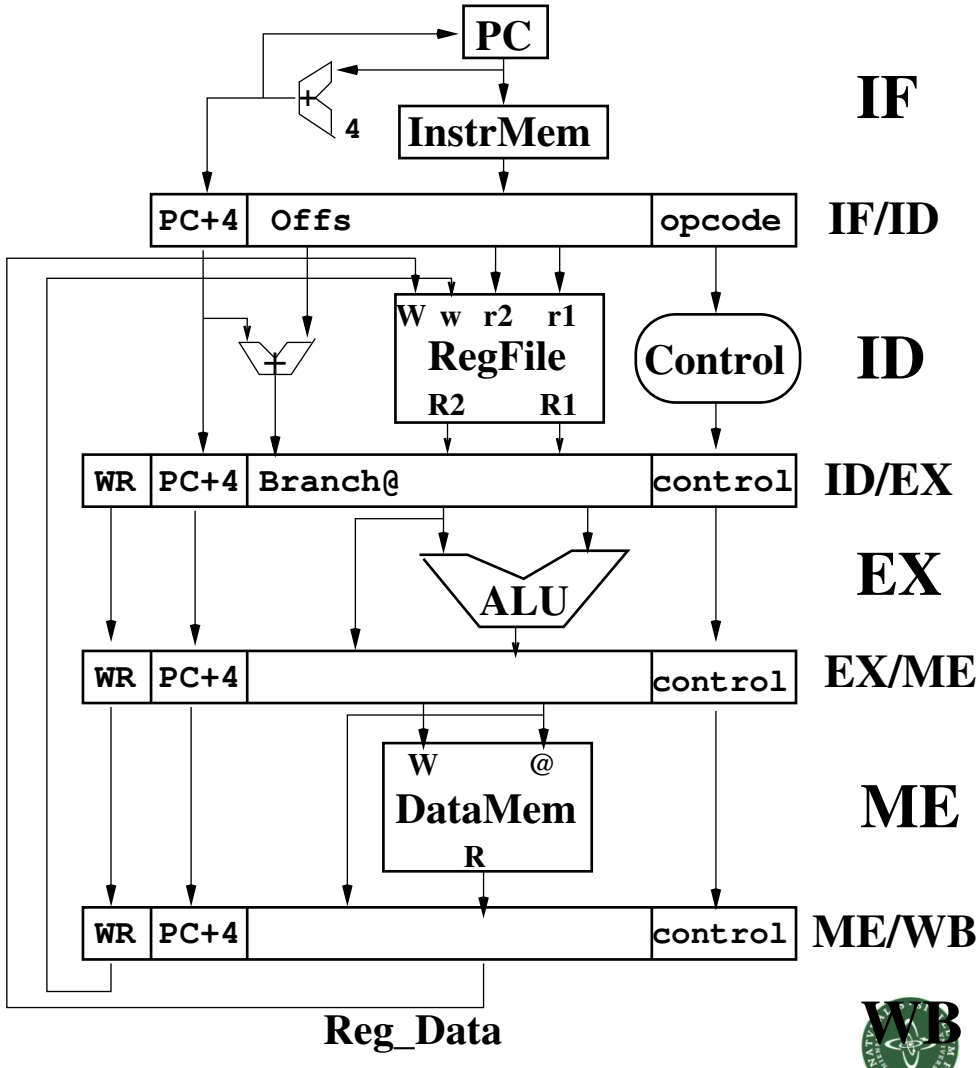
- Data-Path Resources: instr memory (cache), register file (2 read & one write ports), ALU & data mem (cache)
- Pipeline Registers, clocked every cycle, separate any 2 consec stages (`ID/EX`)
- Clean Pipeline Design: instr is recoded as it moves through pipeline, and carries the whole info needed to complete execution.
- IF: every clock PC+4 and in parallel current instr is fetched from cache. At the trailing edge of the clock PC is updated and instr is stored in `IF/ID`,
- ID: opcode decoded to *control signals*, which will be connected to and (de)activate various units of `EX,ME,WB`. Two input registers are always fetched, PC+4 carried in case of exception.



This design assumes independent load, store, and ALU instructions, i.e., instructions do not share registers or memory locations.

Classic RISC Pipeline Architecture

- EX: control signals of EX are applied and stripped. One input reg connected to ALU. 2nd ALU input is either a register or, not shown, the 16 least significant digits (immed/displ). For load/stores ALU computes addresses. The value to store bypasses ALU.
- ME: control signals of ME are applied and stripped. For load/stores the address is the ALU output, and feeds into the address bus of the memory. The value to store connected to the input data bus. Values are stored/loaded from mem at trailing edge. ALU instrs bypass ME stage.
- WB: remaining control signals applied. The mem value (for load) or from ALU is stored to output register at the trailing edge of the clock. WR field used to index the register file.



This design assumes independent load, store, and ALU instructions, i.e., instructions do not share registers or memory locations.

Data Hazards for the 5-Stage Pipeline

Data Hazards: Property of Software

```
RAW (True Dependency)     WAR (Anti Dependency)     WAW (Output dependency)
S1    X  = ..             S1    .. = X              S1    X = ...
S2    .. = X              S2    X  = ..             S2    X = ...
// S2; S1 gives a different result than S1; S2 => Hazard
```

What hazards can occur in the 5-Stage Pipeline?

- Dependencies on memory operands do not cause hazards because loads/stores are executed in ME stage in program order.
- WAW hazards are not possible because output-register is updated at the end of WB stage in program order. Same argument holds for WAR hazards.
- RAW hazards are real! Exercise: In the table below, which instrs cause hazards?

	Clock⇒	C1	C2	C3	C4	C5	C6	C7	C8	C9
I1	ADD R1,R2,R3	IF	ID	EX	ME	WB				
I2	ADDI R3,R1,#4		IF	ID	EX	ME	WB			
I3	LW R5,0(R1)			IF	ID	EX	ME	WB		
I4	ORI R6,R1,#9				IF	ID	EX	ME	WB	
I5	SUBI R1,R1,R7					IF	ID	EX	ME	WB

RAW Data Hazard Example

	Clock⇒	C1	C2	C3	C4	C5	C6	C7	C8	C9
I1	ADD R1,R2,R3	IF	ID	EX	ME	WB				
I2	ADDI R3,R1,#4		IF	ID	EX	ME	WB			
I3	LW R5,0(R1)			IF	ID	EX	ME	WB		
I4	ORI R6,R1,#9				IF	ID	EX	ME	WB	
I5	SUBI R1,R1,R7					IF	ID	EX	ME	WB

What hazards can occur in the 5-Stage Pipeline?

- I1 updates register R1 at the trailing edge of clock C5.
- All other instructions use (read) R1, hence potential hazards.
- I5 reads R1 in ID stage in clock C6, after I1 has updated it in C5, hence RAW dependency is respected (I5 correctly reads the value produced by I1)
- All other instructions I2, I3, and I4 read R1 in ID stage sooner than end of clock C5 ⇒ hence they all read a stale value ⇒ I2, I3, and I4 cause hazards.

Resolving RAW Hazards Generated by ALU Instr

	Clock⇒	C1	C2	C3	C4	C5	C6	C7	C8	C9
I1	ADD R1,R2,R3	IF	ID	EX	ME	WB				
I2	ADDI R3,R1,#4		IF	ID	EX	ME	WB			
I3	LW R5,0(R1)			IF	ID	EX	ME	WB		
I4	ORI R6,R1,#9				IF	ID	EX	ME	WB	
I5	SUBI R1,R1,R7					IF	ID	EX	ME	WB

- The hazard by I4 is solved by a small modification in the register file, named register forwarding: if the same register is read and updated in the same cycle then the updated value is forwarded to the reader. Easy to implement, requires several multiplexers to the register file's ports.
- For the hazards by I2 and I3, observe that the `R1` value is available at the end of C3, while I2 and I3 uses it at the beginning of C4 and C5, respectively.
- The new value (of `R1`) can be forwarded to both ALU inputs
  - from register `EX/ME`, at the beginning of C4, thus solving the hazard of I2.
  - from register `ME/WB` (via `REG_data`), at the beginning of C5, thus solving the hazard of I3.

Resolving RAW Hazard Generated by a Load

	Clock⇒	C1	C2	C3	C4	C5	C6	C7	C8	C9
I1	LW R1,0(R3)	IF	ID	EX	ME	WB				
I2	ADDI R3,R1,#4		IF	ID	EX	ME	WB			
I3	LW R5,0(R1)			IF	ID	EX	ME	WB		
I4	ORI R6,R1,#9				IF	ID	EX	ME	WB	
I5	SUBI R1,R1,R7					IF	ID	EX	ME	WB

- The new value (of the load to `R1`) is available at the end of C4 (end of ME).
- Hazards of I3 and I4 are taken care by register-to-register forwarding,
- It is not possible to forward the new value in time for I2:
  - because I2 needs it at the beginning of C4 in EX, during which the load accesses memory!
  - This hazard need to be detected and I2 (and all following instructions) must be stalled as below ⇒ 1 cycle is lost.

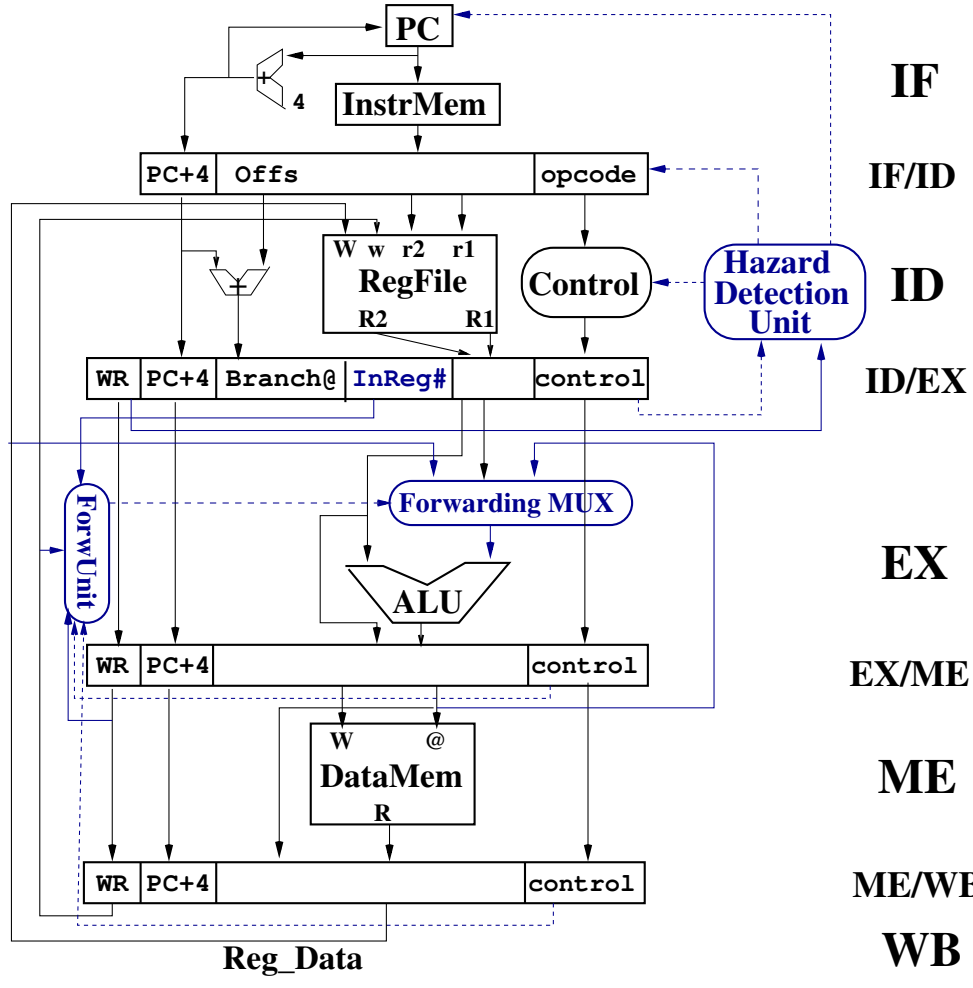
	Clock⇒	C1	C2	C3	C4	C5	C6	C7	C8	C9
I1	LW R1,0(R3)	IF	ID	EX	ME	WB				
I2	ADDI R3,R1,#4		IF	ID	ID	EX	ME	WB		
I3	LW R5,0(R1)			IF	IF	ID	EX	ME	WB	
I4	ORI R6,R1,#9					IF	ID	EX	ME	WB
I5	SUBI R1,R1,R7						IF	ID	EX	ME

Implementing Forwarding

- Modifications are shown in blue, dotted lines denote control lines.

- Operand Forwarding uses one three-way multiplexer to **each** input of ALU, but only one is shown. `ForwardingMUX` selects between:
  (1) the "normal" value of `ID/EX`,
  (2) the ALU value, latched in `EX/ME`,
  (3) the WB value, latched in `REG_data`.

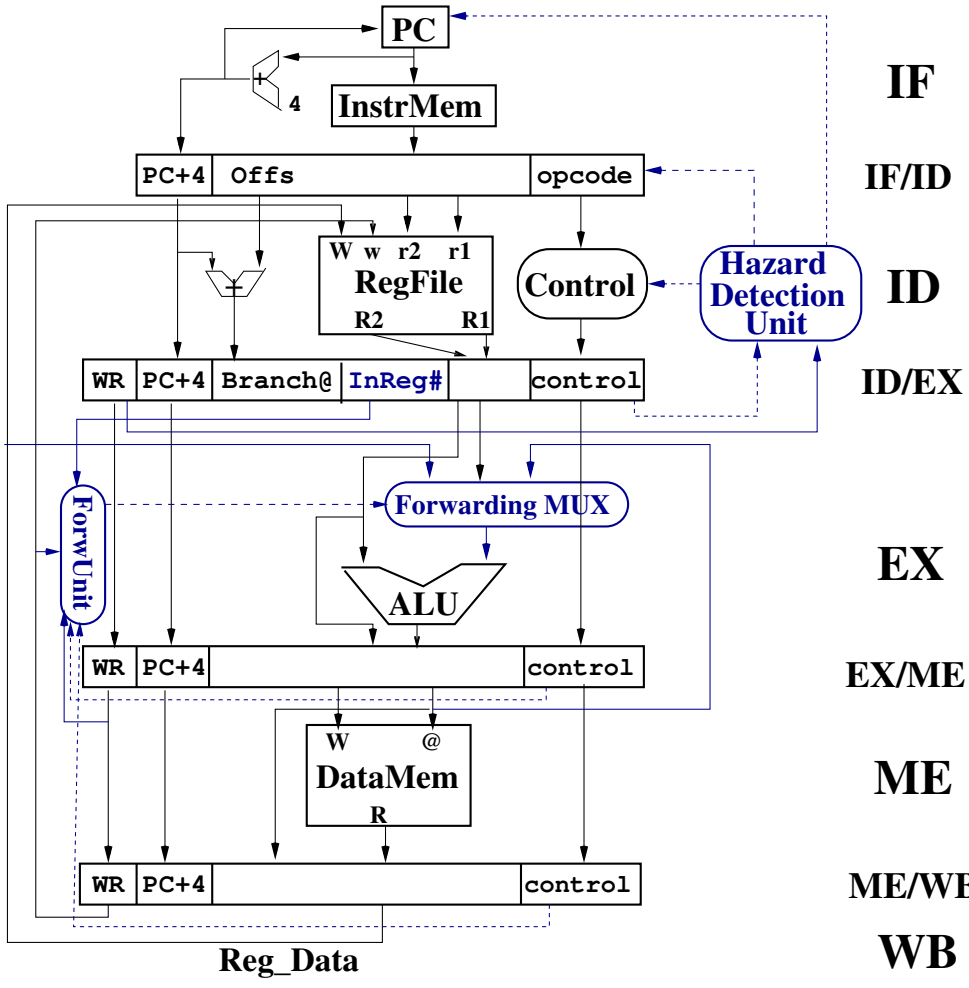
- Forwarding Unit controls the 2 MUX:
  (1) Compares the `WR` fields in `EX/ME` and `ME/WB` with the input-register numbers of the currently instr in EX.
  (2) If match selects `EX/ME` or `ME/WB`,
  (3) Else selects `ID/EX`, no hazard.



Forwarding logic is simple, the major complexity is to bus operand values across pipeline stages.

Implementing Stalling

- Modifications are shown in blue, dotted lines denote control lines.
- Hazard Detection Unit (HDU) stalls the pipeline whenever a load is immediately followed by a dependent instr,
- It checks whether the instruction in EX is a load whose destination register is the same as one of the input registers of the next instruction, i.e., the one in ID.
- If so then HDU stalls the IF and ID stages and propagates a NOOP to EX stage. Accomplished by disabling the clock of PC, IF and ID.



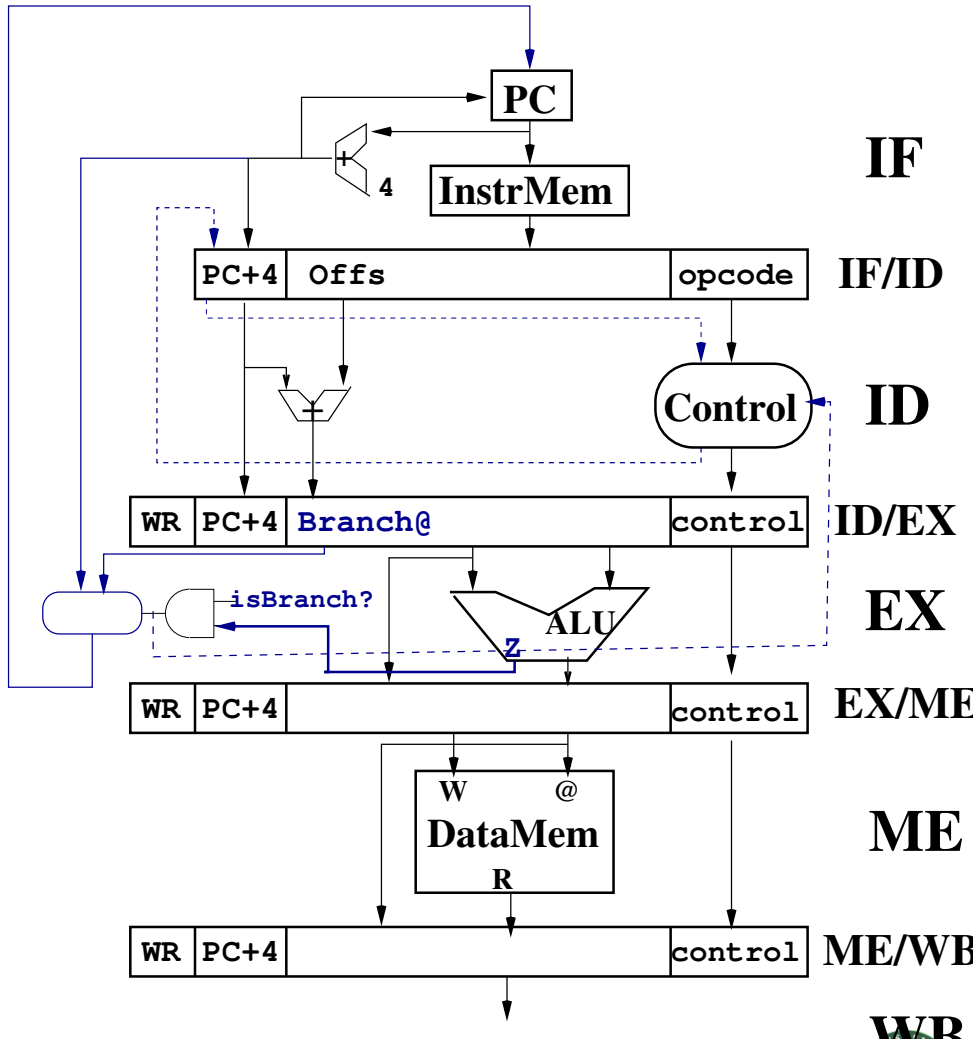
Stalling is simpler than forwarding, since no operand value is bussed, but also less efficient, since one clock is lost.

Resolving Control Hazards

- A branch is assumed not taken until the end of EX, when its condition was evaluated by ALU. (Target address is computed in ID.) If ALU's Z bit is set ⇒ branch taken by performing 2 actions:

1. Target address is latched into PC at the end of cycle. If Z=1 (and instr in EX is a branch) then MUX feeding into PC selects the branch target.
2. IF and ID stages must be flushed. This is done by Control when its input is 1, by zeroing out the control fields.

- Jumps with absolute addresses are taken at the end of IF.
- Indirect jumps (JR) are taken at the end of ID, because register containing the target address must be fetched ⇒ instr in IF must be flushed.



Modifications are colored blue.

Two cycles are lost on a taken branch, and one on an indirect jump.

Structural Hazards & Precise Exceptions

- 5-stage pipeline exhibits NO structural hazards! (conflicts to shared resources).

- Precise exceptions may be triggered in all stages but WB, e.g., page fault in IF/ME, undefined instr in ID, and arithmetic overflow in EX. Whenever they occur:

1. faulting & following instructions are squashed,
2. all instructions preceding the faulty one must complete,
3. execution of exception handler must start.

- However, taking the exception in the same cycle it occurs is very complex:

1. to-be-flushed stages depend on the stage in which exception occurred,
2. multiple exceptions may occur in the same cycle in different stages, and
3. exceptions must be taken in program order, e.g., page faults occurring in IF, but later, a preceding instruction in EX causes another exception.

- A Radical Solution is to flag an exception but keep it silent until instr reaches WB. The first exception on an instr is recorded in an exception-status register (ESR) and the instr is NOOPed. (ESR is carried through the whole pipeline.) Exceptions are taken in WB stage, hence in program order.
- One issue is that a store in ME must be disabled if the preceding instr takes an exception $\Rightarrow$ requires additional hardware.

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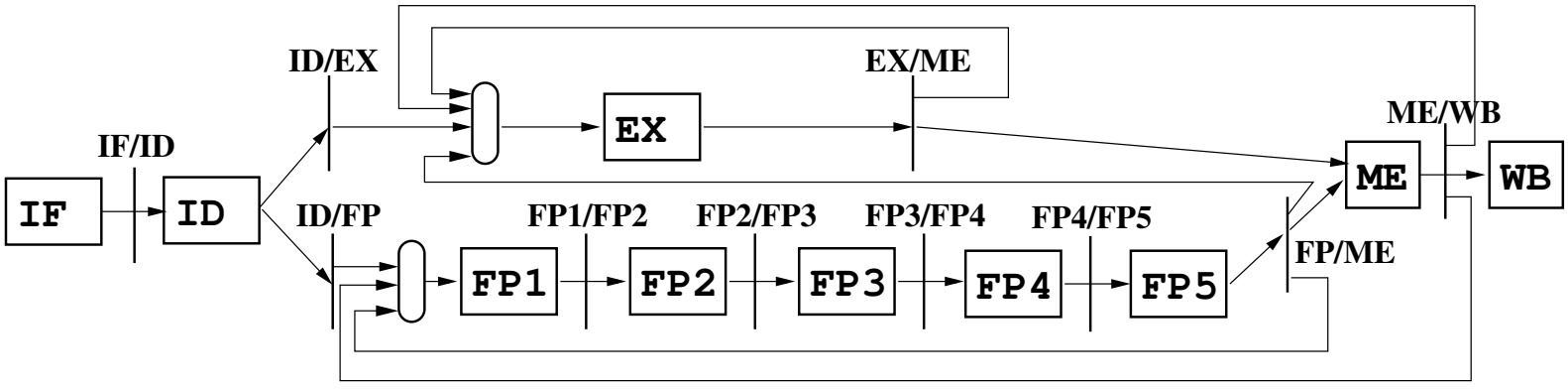
Out-Of-Order Instruction Completion: Data Hazards



- Based on instr's opcode the decoder sends an instr either on the integer (load/store/ALU/branches) or floating-point pipeline, but all instr go through ME and WB stages. Machine has two separate register files, integer & float.

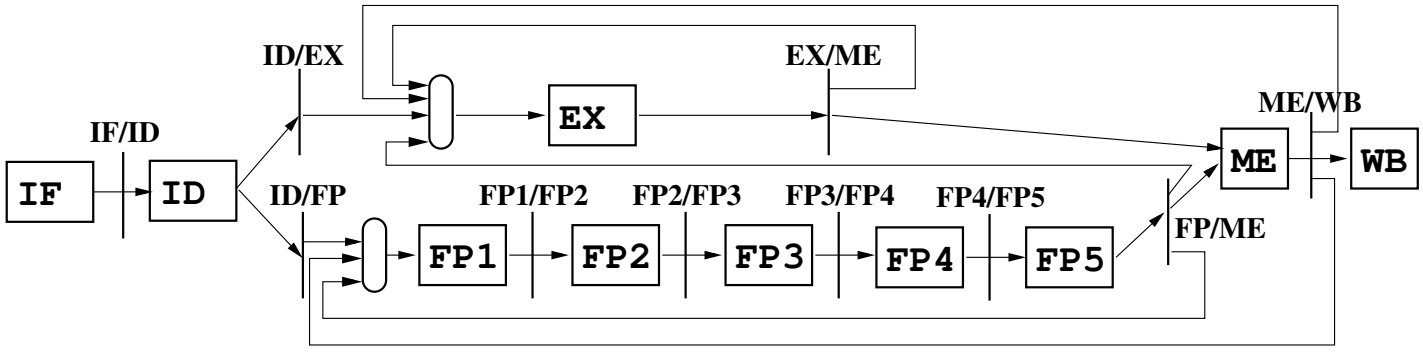
- Data Hazards:

1 Forwarding is more complicated because float stores need the values of both an integer address and a float register ⇒ a forwarding path was added from `FP/ME` to the input of EX.

2 Hazard Detection Unit (HDU) is more complicated because both RAW and WAW hazards are possible. HDU must stall instrs in ID stage if they have register dependencies with a preceding instruction ⇒ source & destination registers of an instr in ID are checked against ALL destination registers of instrs in execution.

3 Data hazards on memory operands NOT possible because all instructions move through ME in program order.

Metrics: Latency of Operation & Initiation Interval



- Latency of Operation of an instruction is the max # of clocks that the next instruction has to wait (in ID) to avoid a data hazard (RAW/WAW).
- For the pipeline above: it is 1 for Load, and for the rest it is (# of clocks of EX - 1) because closest fwd is at the end of EX.
- Latency of FP unit is large (4) ⇒ stalls are more frequent than in 5-stage pipeline:

	Clock⇒	C1	C2	C3	C4	C5	C6	C7	C8	C9	C10	C11
I1	L.D F4,0(R2)	IF	ID	EX	ME	WB						
I2	MULT.D F0,F4,F6		IF	ID	ID	FP1	FP2	FP3	FP4	FP5	ME	WB
I3	S.D F0,0(R2)			IF	IF	ID	ID	ID	ID	ID	EX	ME

- Initiation Interval is the min # of cycles between issuing 2 instrs of the same type to execution units.
- In our case is 1 because both integer and float units are fully pipelined, and linear. If float unit would not be pipelined, it would be 5. For dynamically scheduled pipelines it might be variable, i.e., depending on what instructions were previously issued.

Control & Structural Hazards

- Control Hazards solved similarly as in static, 5-stage pipeline.
- Structural Hazards: separate integer and float register files, BUT a float load/store may reach WB stage in the same cycle as a preceding float arithmetic instr ⇒ structural hazard on the write port of FP register file. This is possible because FP instrs in ME use a bus that bypasses memory ≠ from the bus of integer instrs.

	Clock⇒	C1	C2	C3	C4	C5	C6	C7	C8	C9	C10
I1	ADD.D F2,F4,F2	IF	ID	FP1	FP2	FP3	FP4	FP5	ME	WB	
I2	ADD.D F6,F4,F6		IF	ID	FP1	FP2	FP3	FP4	FP5	ME	WB
I3	L.D F8, 0(R1)			IF	ID	EX	ME	WB			
I4	L.D F10,0(R2)				IF	ID	EX	ME	WB		
I4	L.D F14,0(R3)					IF	ID	EX	ME	WB	

- I1 and I5 being both in ME at C8 is Not a problem because I1 does not access memory. But in the next clock both instrs write the FP register file.
- Can be solved by stalling one of the instrs in ID, or by providing two write ports to the floating point register file.

Precise Exceptions in OoO Linear Pipelines

- Major Drawback of OoO pipelines is that precise exceptions are hard to implement, e.g., because instrs reach WB stage out of order.
- Sometimes not implemented: hardware signals the software handler that an exception happened around some PC counter. This is not possible for page faults and strict FP standards (IEEE).
- Conservative technique of stalling in ID until all previous instrs are free of exceptions may stifle pipelining.
- Architecture can be modified to force in-order traversal of WB stage, hence exception can be taken in program order as in static 5-stage pipeline, but at the cost of additional forwarding, and in addition a store can be issued only when all preceding instr are certified free of exceptions.