

# Sorting in Linear Time: fastest (but special purpose) sorting algorithms

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# Comparison Sorts

- Sorting by comparing pairs of numbers
- Algorithms that sort  $n$  numbers in  $O(n^2)$  time
  - Insertion, Selection, Bubble
- Algorithms that sort  $n$  numbers in  $O(n \lg n)$  time
  - Merge, Heap and Quick

# Comparison Sorts

Any comparison sort must make  $\Omega(n \lg n)$  comparisons.

So  $O(n \lg n)$  is the most efficient they can be!

Now we will talk about three sorting algorithms – counting, radix and bucket – that are linear

So they must use a strategy other than comparing pairs of numbers.

# Counting Sort

- *Assume that each of the  $n$  input elements is an integer in the range 0 to  $k$  for some integer  $k$ .*

COUNTING\_SORT( $A, B, k$ )

let  $C[0 \dots k]$  be a new array

1 **for**  $i = 0$  **to**  $k$

2      $c[i] = 0$

3 **for**  $j = 1$  **to**  $A.length$

4      $c[A[j]] = c[A[j]] + 1$

//  $c[i]$  now contains the number of  
elements equal to  $i$

5 **for**  $i = 1$  **to**  $k$

6      $c[i] = c[i] + c[i - 1]$

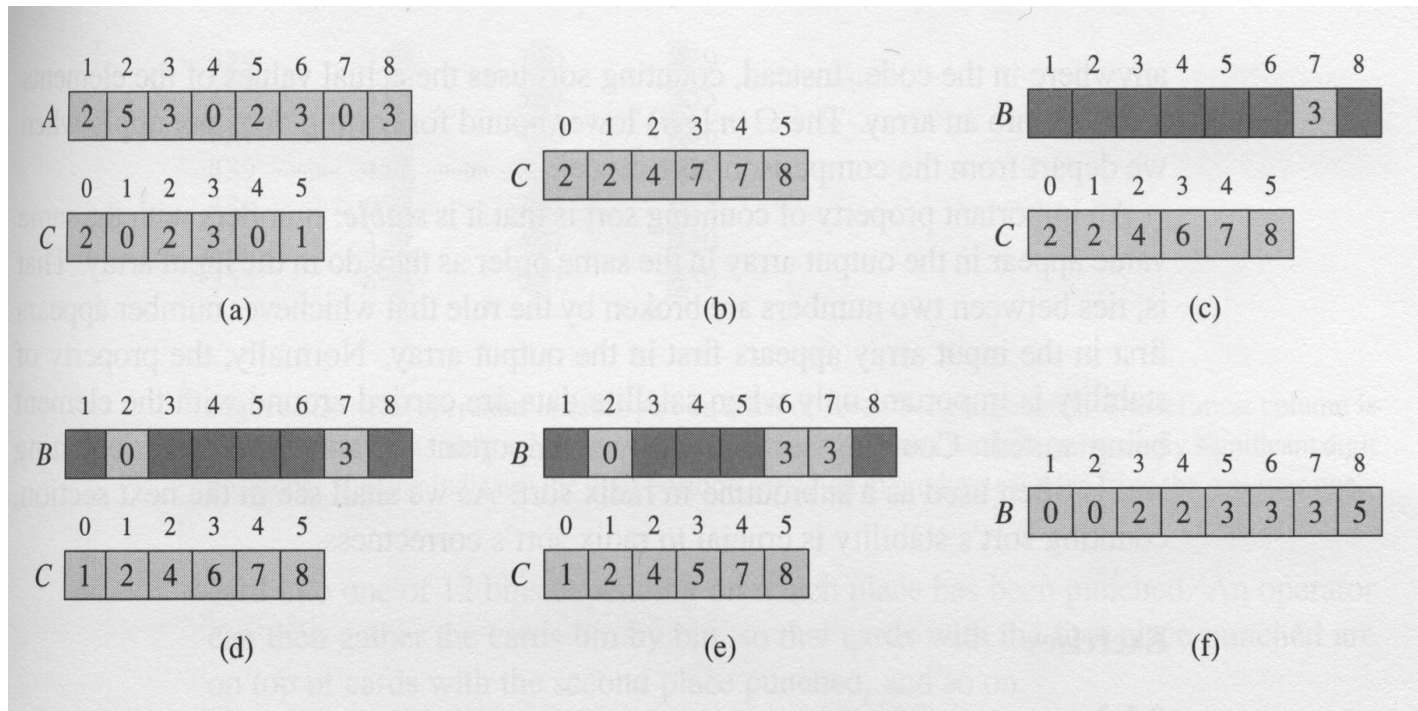
//  $c[i]$  now contains the number of  
elements less than or equal to  $i$

7 **for**  $j = A.length$  **downto** 1

8      $B[c[A[j]]] = A[j]$

9      $c[A[j]] = c[A[j]] - 1$

# The operation of Counting-sort on an input array $A[1..8]$



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Approximate Analysis:  $\Theta(k + n)$

$\Theta(n)$  when  $k < n$

$\Theta(k)$  when  $k > n$

What if  $k \gg n$ ?

Counting sort is *not* an *in-place* algorithm.

Counting sort is ***stable*** (numbers with the same value appear in the output array in the same order as they do in the input array.)

# Counting Sort Thinking Assignments

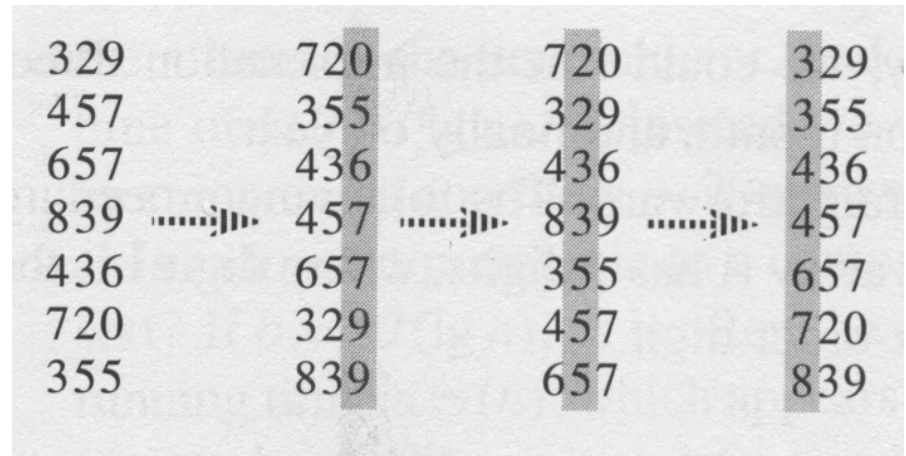
- Modify the algorithm to sort  $n$  integers in the range  $i-j$ ,  $i < j$ , where  $i > 0$
- Modify the algorithm to sort  $n$  integers in the range  $i-j$ ,  $i < j$ , where  $i \neq 0$  and either or both of  $i$  and  $j$  may be negative

# Radix Sort

$\text{RADIX\_SORT}(A, d)$

1 **for**  $i = 1$  **to**  $d$

2     use a stable sort to sort array  $A$  on digit  $i$





Given  $n$   $d$ -digit numbers in which each digit can take on up to  $k$  possible values, Radix sort correctly sorts these number in  $\Theta(d(n + k))$  time if Counting Sort is used.

$k = 10$  so for large  $n$ ,  $n+k$  can be approximated as  $n$ , Radix sort runs in  $\Theta(dn) = \Theta(n)$  time because  $d$  is also a small constant.

# Radix Sort Thinking Assignments

- Why is it important that the sorting algorithm used by Radix sort be stable?
- Radix sort is not an in-place algorithm...why?

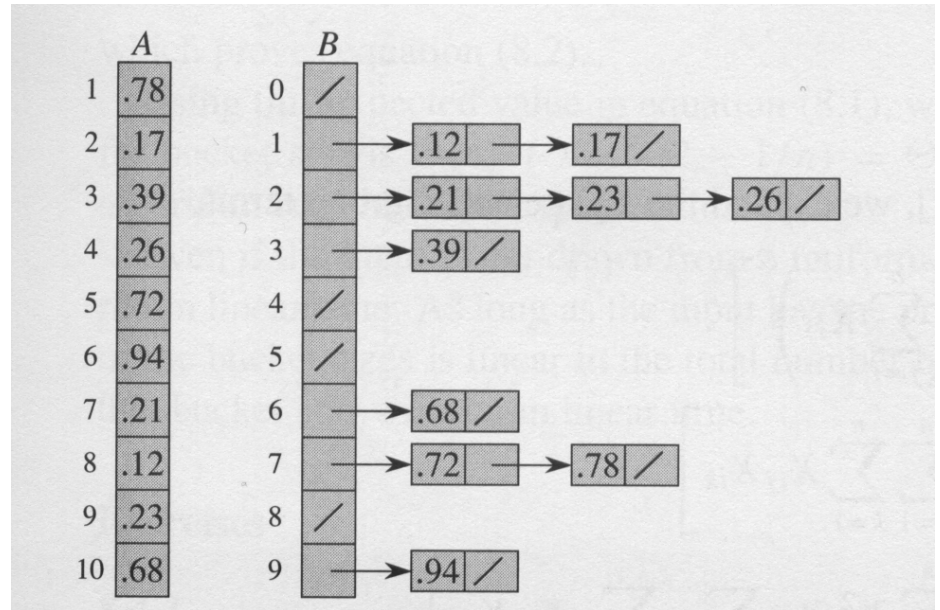
# Radix Sort Thinking Assignments

- Suppose you were to use the Counting Sort algorithm in step 2 of Radix Sort. Will Radix Sort work correctly for all negative integers? Why or why not? If not, can you modify Radix and/or Counting Sort to make this work?
- Suppose you were to use the Counting Sort algorithm in step 2 of Radix Sort. Will Radix Sort work correctly for mixed +ve and -ve integers? Why or why not? If not, can you modify Radix and/or Counting Sort to make this work?

# Bucket Sort

BUCKET\_SORT( $A$ )

```
1   $n = A.length$ 
2  let  $B[0 \dots n-1]$  be a new array
3  for  $i = 0$  to  $n-1$ 
4      make  $B[i]$  an empty list
5  for  $i = 1$  to  $n$ 
6      insert  $A[i]$  into
          list  $B[\lfloor nA[i] \rfloor]$ 
7  for  $i = 0$  to  $n-1$ 
8      sort list  $B[i]$  with insertion sort
9  concatenate  $B[0], B[1], \dots, B[n-1]$  in order
```



# Bucket Sort Complexity

Bucket sort is linear,  $O(n)$ , because if the numbers are distributed uniformly across each of the  $n$  buckets, each bucket will only have on average **one** number in it.

# Bucket Sort Thinking Assignments

- The algorithm requires an input of real numbers uniformly distributed over the interval  $[0,1)$ . Why is it important that the input to Bucket sort be uniformly distributed over this interval?
- Instead of simply adding new numbers to the head of the linked lists and later sorting the lists using Insertion Sort, you can maintain sorted linked lists by adding a new number in its correct sorted location in step 6 and do away with steps 7 and 8. Will this change the complexity of the algorithm? If so, how? If not, why not?

# Bucket Sort Thinking Assignments

- The algorithm uses  $n$  buckets for  $n$  input numbers in the range  $[0,1)$  and some buckets may be empty while others contain more than one number and need to be sorted. But if we restrict the input to  $n$  integers in a range  $[0,k]$  as was the case for Counting Sort, and if you use  $k+1$  buckets, you can avoid having to use Insertion sort. Why?
- How will you modify the Bucket Sort algorithm to accomplish this? Compare this modified algorithm with Counting Sort in terms of space and time efficiency.
- How will you modify the algorithm step 6 to accommodate real number input from some interval  $[0,p)$ ,  $p>1$ , not  $[0,1)$ ?
- How will you modify the algorithm step 6 to accommodate real number input from some interval  $[i,j)$ , not  $[0,1)$ ?

# Ch. 8 Reading Assignments

Omit 8.1

Read 8.2

Read 8.3 (omit lemmas and proofs)

Read 8.4 (omit average case analysis p.202-203)



## Ch. 8 Thinking Assignments

Do Exercises:

8.2-1:8.2-4

8.3-1:8.3-3

8.4-1:8.4-2

# Why study sorting?

A universal problem! Many applications incorporate sorting.

There is a wide variety of sorting algorithms, and they use a rich set of techniques. So sorting provides a good case study of a variety of algorithm design techniques resulting in algorithms of differing complexities.

# Popular Sorting Algorithms

Quadratic Sorts  $O(n^2)$

Bubble, Insertion, Selection

A sort that improved on this (of historical interest only)

Shell Sort  $O(n^{1.5})$

Efficient Sorts  $O(n \lg n)$

Merge, Heap, Quick

Linear (specialized) sorts  $O(n)$

Counting, Radix, Bucket

For a larger list, see [https://en.wikipedia.org/wiki/Sorting\\_algorithm](https://en.wikipedia.org/wiki/Sorting_algorithm)

# Four important properties

- Time Efficiency
- Space Efficiency: in-place
- Stability
- Recursive/Non-recursive

## Thinking Assignments

- Which of the sorting algorithms we discussed are in-place?
- Which of the sorting algorithms we discussed are stable?