

## **Fault Tolerant System Design**

#### Lecturer

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#### Office hours

- No fixed time
- Send me an email with your questions or ask for a meeting

### **Teaching Assistant**

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PhD Student, Electronic Systems
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#### Text book

- E. Dubrova, Fault-Tolerant Design: An Introduction, draft
- Available from my homepage

#### **Course evaluation**

- Midterm exam (20%)
- Final exam (60%)
- 5 assignments (20%)

### **Assignments**

- 5 assignments, worth 20% of the final grade
  - each consists of 5-6 tasks, worth 1-3 points
  - should be handled to me on the due date
  - late assignments will get reduced points (-25% per day)

#### **Examinations**

- Midterm exam, 45 min, worth 20% of the final grade
  - will be done during 45 min on a lecture in the middle of the course, 4-5 tasks
  - cannot be re-done
- Final exam, 4 hours, worth 60% of the final grade
  - 4 hours, 10-12 tasks

#### PhD students

- Additional component for PhD students:
  - select 2 interesting papers/problems, related to the course material
  - bring them to me for discussion
  - I will select one of them
  - you will read this paper/solve the problem,
     write a 2-page report and give a 20 min talk at the last lecture

### **Objectives**

- understanding fault tolerance
  - faults and their effects (errors, failures)
  - redundancy techniques
  - evaluation of fault-tolerant systems
- balance
  - concepts, underlying principles
  - applications

#### **Overview**

- Introduction
  - definition of fault tolerance, applications
- Fundamentals of dependability
  - dependability attributes: reliability, availability, safety
  - dependability impairments: faults, errors, failures
  - dependability means
- Dependability evaluation techniques
  - common measures: failure rate, MTTF, MTTR
  - reliability block diagrams
  - Markov processes

#### **Overview**

- Redundancy techniques
  - space redundancy
    - hardware redundancy
    - information redundancy
    - software redundancy
  - time redundancy



**Introduction to Fault Tolerance** 

#### **Fault tolerance**

fault-tolerance is the ability of a system to continue performing its function in spite of faults

broken connection hardware

bug in program software

## Easily testable system

 Easily testable system is one whose ability to work correctly can be verified in a simple manner

### Why do we need fault-tolerance?

- It is practically impossible to build a perfect system
  - suppose a component has the reliability 99.99%
  - a system consisting of 100 non-redundant components will have the reliability 99.01%
  - a system consisting of 10.000 components will have the reliability 36.79%
- It is hard to forsee all the factors

### Redundancy

- Redundancy is the provision of functional capabilities that would be unnecessary in a fault-free environment
  - replicated hardware component
  - parity check bit attached to digital data
  - a line of program verfiying the correctness of the resut

- early computer systems
  - basic components had very low reliability
  - fault-tolerant techniques were need to overcome it
    - redundant structures with voting
    - error-detection and error correction codes

- early computer systems
  - EDVAC (1949)
    - duplicate ALU and compare results of both
    - continue processing if agreed, else report error
  - Bell Relay Computer (1950)
    - 2 CPU's
    - one unit begin executing the next instruction if the other encounts an error
  - IBM650, UNIVAC (1955)
    - parity check on data transfers

- Advent of transistors
  - more reliable components
  - led to temporary decrease in the emphasis on fault-tolerant computing
  - designers thought it is enough to depend on the improved reliability of the transistor to guarantee correct computations

- last decades
  - more critical applications
    - space programs, military applications
    - control of nuclear power stations
    - banking transactions
  - VLSI made the implementation of many redundancy techniques practical and cost effective
  - Other than hardware component faults need to be tolerated:
    - transient faults (soft errors) caused by environmental factors
    - software faults

- safety-critical applications
  - critical to human safety
    - aircraft flight control
  - environmental disaster must be avoided
    - chemical plants, nuclear plants
  - requirements
    - 99.9999% probability to be operational at the end of a 3-hour period

- mission-critical applications
  - it is important to complete the mission
  - repair is impossible or prohibitively expensive
    - Pioneer 10 was launched 2 March 1970, passed Pluto 13 June 1983
- requirements
  - 95% probability to be operational at the end of mission (e.g. 10 years)
  - may be degraded or reconfigured before (operator interaction possible)

- bisness-critical applications
  - users want to have a high probability of receiving service when it is requested
  - transaction processing (banking, stock exchange or other time-shared systems)
    - ATM: < 10 hours/year unavailable</li>
    - airline reservation: < 1 min/day unavailable</li>

- maintenance postponement applications
  - avoid unscheduled maintenance
  - should continue to function until next planned repair (economical benefits)
  - examples:
    - remotely controlled systems
    - telephone switching systems (in remote areas)

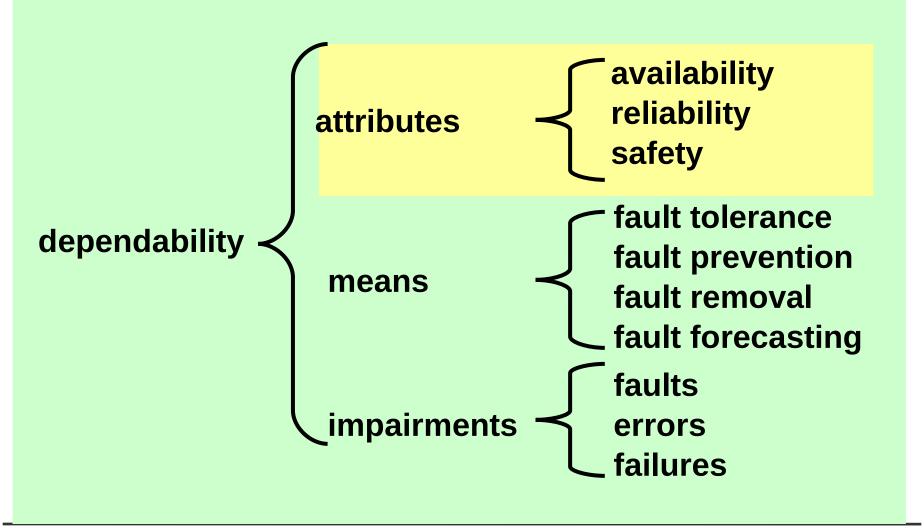
#### Goals of fault tolerance

The main goal of fault tolerance is to increase the dependability of a system

### **Dependability**

Dependability
is the ability of a system to
deliver its intended level of
service to its users

### **Dependability tree**



## Reliability

 R(t) is the probability that a system operates without failure in the interval [0,t], given that it worked at time 0

- We need high reliability when:
  - even momentary periods of incorrect performance are unacceptable (aircraft, heart pace maker)
  - no repair possible (satellite, spacecraft)

# High reliability examples

- airplane:
  - R(several hours) = 0.999 999 9 = 0.9<sub>7</sub>

- spacecraft:
  - -R(several years) = 0.95



### Reliability versus fault tolerance

- Fault tolerance is a technique that can improve reliability, but
  - a fault tolerant system does not necessarily have a high reliability
  - a system can be designed to tolerate any single error, but the probability of such error to occur can be so high that the reliability is very low



## Reliability versus fault tolerance

- A highly reliable system is not necessarily fault tolerant
  - a very simple system can be designed using very good components such that the probability of hardware failing is very low
  - but if the hardware fails, the system cannot continue its functions

### How fault tolerance helps

- Fault tolerance can improve a system's reliability by keeping the system operational when hardware or software faults occur
  - a computer system with one redundant processor can be designed to continue working correctly even if one of the processors fails
  - QUESTION: Will a fault-tolerant system always be more reliable than an individual component?

## **Availability**

 A(t) is the probability that a system is functioning correctly at the instant of time t

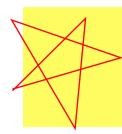
- depends on
  - how frequently the system becomes nonoperational
  - how quickly it can be repaired

### Steady-state availability

- Often the availability assumes a timeindepentent value after some initial time interval
- This value is called steady-state availability
   A<sub>ss</sub>
- Steady-state availability is often specified in terms of downtime per year

```
A_{ss} = 90\%, downtime = 36.5 days/year
```

 $A_{ss} = 99\%$ , downtime = 3.65 days/year



## Reliability versus availability

- reliability depends on an interval of time
- availability is taken at an instant of time
- a system can be highly available yet experience frequent periods of being nonoperational as long as the length of each period is extremely short

# High availability examples

### examples

- transaction processing
  - ATM: A<sub>ss</sub>=0.9<sub>3</sub> (< 10 hours/year unavailable)
  - banking: A<sub>ss</sub>=0.997 (< 10 s/hour unavailable)</li>
- computing
  - supercomputer centres
     A<sub>ss</sub>=0.997 (< 10 days/year unavailable)</li>
- embedded
  - telecom: A<sub>ss</sub>=0.9<sub>5</sub> (< 5 min./year unavailable)</li>

### How fault tolerance helps

- Fault tolerance can improve a system's availability by keeping the system operational when a failure occur
  - a spare processor can perform the functions of the system, keeping its available for use, while the primary processor is being repaired

# Safety

 Safety is the probability that a system will either perform its function correctly or will discontinue its operation in a safe way

- System is safe
  - if it functions correctly, or
  - if it fails, it remains in a safe state

### High safety examples

- railway signalling
  - all semaphores red
- nuclear energy
  - stop reactor if a problem occur
- banking
  - don't give the money if in doubt

### Reliability versus safety

- Reliability is the probability that a system will perform its functions correctly
- Safety is the probability that a system will either work correctly or will stop in a manner that causes no harm

### How fault tolerance helps

- Fault tolerance techniques can improve safety by turning a system off if a failure of a certain sort is detected
  - in a nuclear power plant the reaction process should be stopped if some discrepancy is detected

# Summary: attributes of dependability

- reliability:
  - continuity of service
- availability:
  - readiness for usage
- safety:
  - non-occurrence of catastrophic consequences on environment

#### **Next lecture**

- Faults, error and failures
- Design philosophies to combat faults