# **CSCI 669**

# **Embedding entities and relations for learning and interence in Knowledge bases**

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# **Topic:**

• learning representations of entities and relations in KBs using the neural-embedding approach

## Three contributions

- 1. Presents a general framework for multi-relational learning that unifies most multi-relational embedding models (eg. NTN, TransE)
  - eneities = low-dim vectors learned from a NN
  - relations = bilinear and/or linear mapping
- 2. Empirically evaluates different choices of entity representations and relation representations under this framework on link prediction task
  - shows a simple bilinear formulation achieves new state-of-the-art
- 3. Introduce a **"embedding-based rule extraction"**: new approach to mine logical rules (eg. BornInCity(a,b) ^ CityInCountry(b,c) -> Nationality(a,c)) using the learned relation embeddings
  - shows that such rules can be effectively extracted by modeling the composition of relation embeddings
  - embedding learned from the bilinear objective captures well compositional semantics or relaions via matrix multiplication
  - outperforms AMIE on mining rules that involve compositional reasoning

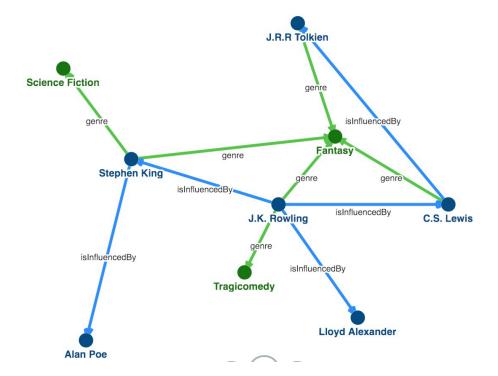
### Lesson learned

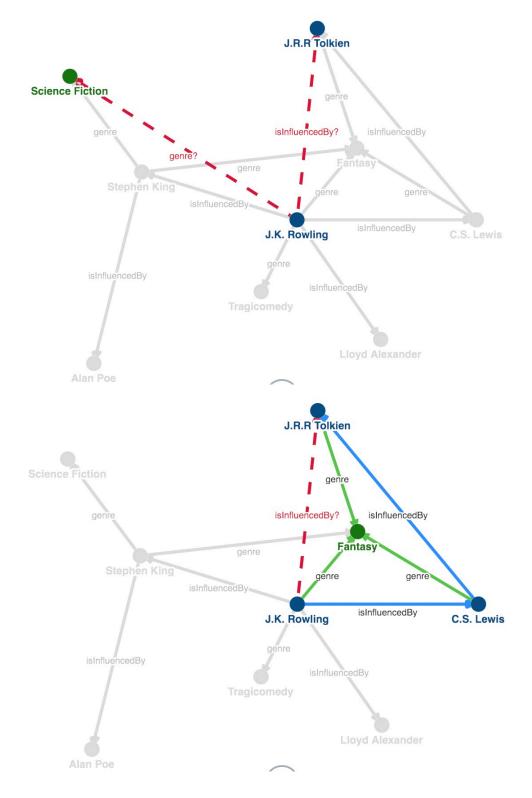
- 1. embedding learned from the bilinear objective are good at capturing relational semantics
- 2. composition of relations is characterized by matrix multiplication
- 3. their new embedding-based rule extraction approach achieves the state-of-the-art in mining Horn rules that involve compositional reasoning

# Set up

Large Knowledge bases: Freebase, DBPedia, YAGO

- entities and relations in RDF triple form (eg. (sub, pred, obj))
- really large: millions of entities, various relaions and billions of triples
- Why are these KBs interesting?
  - they can be used to improve various tasks, eg. information retrieval, QA, biological data mining
  - "Relational learning": learning the relations between entities from these large knowledge bases. Use low-dim representations of entities and relations
    - i. Tensor factorization
    - ii. Neural-embedding-based models [focus]
    - representations of entities and relations are learned using NN
       Both have shown good scalability and reasoning (in terms of validating unseen facts given an existing KB) (aka. generalizability)
- Link prediction in KBs (aka. KG completion)
  - a. KBs are incomplete: missing some entities and relations
  - b. learn from local and global connectivity pattern from entities and relationships of different types at the same time
  - c. Relation predicitions are then performed by using the learned patterns to generalize observed relationships between an entity of interest and all others





# **Related work**

### **Markov-logic networks**

- traditional statistical learning approach
- does not scale well

Different approach: embed multi-relational knowledge into low-dim representations of entities and relations

- improved scalability
- strong generalizability/reasoning on large-scale KBs Consider a training set S of triplets (h, l, t) where  $h, t \in E$  (set of entities) and  $l \in L$  set of relations.

#### 1. TransE

Relationships as translations in the embedding space (Bordes et al., 2013 NIPS)

#### • Key

If (h, l, t) holds, then the embedding of the t should be close to the h plus some vector that depends on the relationship (l). Otherwise, h + l should be far away from t

#### Learning

Minimize a margin-based ranking criterion over the training set with norm-constraints on entity vectors: the L2-norm of the embeddings of the entities is 1

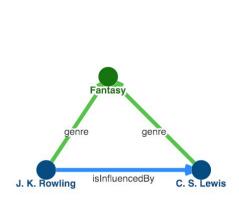
$$(\|\mathbf{h}\|_2^2 = \|\mathbf{t}\|_2^2 = 1)$$

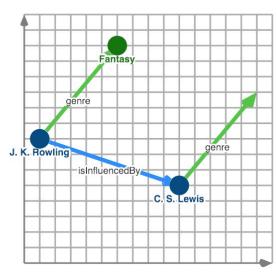
- $\circ$  This prevents the training process to trivially minimize L by increating entity embedding norms proportionally
- corrupted triplets: training triplets with either the head or tail replaced by a random entitiy (but not both at the same time)
- margin-based loss:

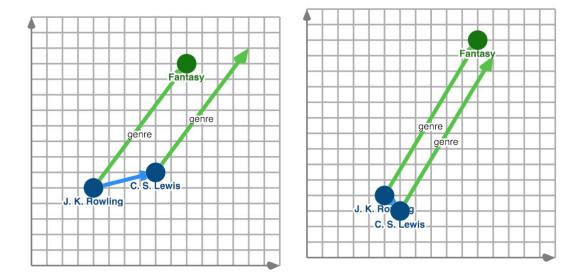
$$\mathcal{L} = \sum_{(m{h},m{\ell},m{t}) \in S} \sum_{(m{h}',m{\ell},m{t}') \in S'_{(m{h},m{\ell},m{t})}} ig[ \gamma + d(m{h} + m{\ell},m{t}) - d(m{h'} + m{\ell},m{t'}) ig]_+$$

where  $[x]_+$  denotes the positive part of  $x,\gamma>0$  is a margin hyperparameter, and  $S'_{(h,\ell,t)}=\left\{(h',\ell,t)|h'\in E\right\}\cup\left\{(h,\ell,t')|t'\in E\right\}.$ 

#### • Training demo



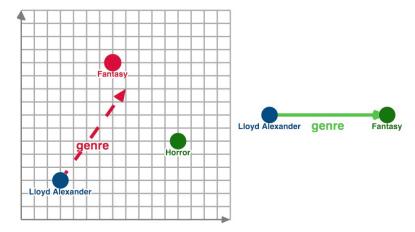




#### • Prediction

Q: (Loyd Alexander, genre, ?), what is Loyd Alexander's genre?

• Add the translation vector of "genre" relationship with "Loyd Alexander" entity vector and choose the nearest entity

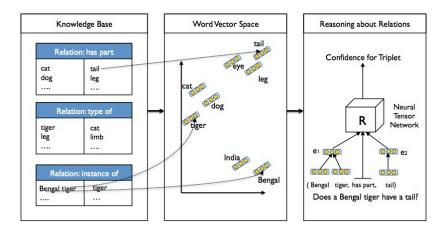


#### Image credit

#### 2. Neural Tensor Network (NTN)

Goal: state whether two entities (h, t) are in a certain relationship l and with what certainty eg) (h, l, t) = (Bental tiger, has part, tail) is true? with what certainty?

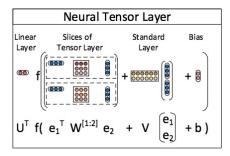
Overview



#### **Key**

Replace a standard linear NN layer with a bilinear tensor layer that relates the two entity vectors across multiple dimensions: "Neural tensor layer"

eg:) tensor layer with two slices



Math says:

$$g(e_1, R, e_2) = u_R^T f\left(e_1^T W_R^{[1:k]} e_2 + V_R \begin{bmatrix} e_1 \\ e_2 \end{bmatrix} + b_R\right),$$
 (1)

where  $f=\tanh$  is a standard nonlinearity applied element-wise,  $W_R^{[1:k]}\in\mathbb{R}^{d\times d\times k}$  is a tensor and the bilinear tensor product  $e_1^TW_R^{[1:k]}e_2$  results in a vector  $h\in\mathbb{R}^k$ , where each entry is computed by one slice  $i=1,\ldots,k$  of the tensor:  $h_i=e_1^TW_R^{[i]}e_2$ . The other parameters for relation R are the standard form of a neural network:  $V_R\in\mathbb{R}^{k\times 2d}$  and  $U\in\mathbb{R}^k,b_R\in\mathbb{R}^k$ .

#### vs. transE

$$g(e_1, R, e_2) = \|W_{R,1}e_1 - W_{R,2}e_2\|_1$$

#### **Score function**

$$s(h,\ell,t) = oldsymbol{h}^T oldsymbol{L} oldsymbol{t} + oldsymbol{\ell}_1^T oldsymbol{h} + oldsymbol{\ell}_2^T oldsymbol{t}$$

where  $m{L} \in \mathbb{R}^{k \times k}$ ,  $m{L}_1 \in \mathbb{R}^k$  and  $m{L}_2 \in \mathbb{R}^k$ , all of them depending on  $\ell$ .

#### **Training Objective**

Same as in TransE (contrasitve max-margin)

$$J(\mathbf{\Omega}) = \sum_{i=1}^{N} \sum_{c=1}^{C} \max\left(0, 1 - g\left(T^{(i)}\right) + g\left(T^{(i)}_{c}\right)\right) + \lambda \|\mathbf{\Omega}\|_{2}^{2},$$

#### Two improvements

- a. represent entities by the average of its word vectors: compositionality of language eg:) vec(homo-sapiens) = vec("hominid") + vec("sapiens") This can help with generalizability for predicting relations on unseen entities, eg. homo-erectus
- b. Initilize the word vectors with pre-trained vectors This takes advantage of general syntactic and semantic information from larger corpus.

Images credit: original paper

# This paper

#### Notation:

- Triplet:  $(e_1, R, e_2)$  where  $e_1$  is the head entity (subject), R is the relation and  $e_2$  is the tail (object)
- $y_e \in \mathbb{R}^n$ : entity representation of entity e in n-dimsion
- $Q_r$  (or  $V_r$ ):  $n \times m$  matrix (or m-dim vector) for linear transformation  $g_r^a$
- $T_r \in \mathcal{R}^{n \times n \times m}$ : bilinear transformation for  $g_r^b$

### Contribution1: General NN framework for multi-relational representation learning

1. Entity representations: project the input entity  $(x_{e1}, x_{e2})$  to  $(y_{e1}, y_{e2})$ :

$$\mathbf{y}_{e_1} = f(\mathbf{W}\mathbf{x}_{e_1}), \ \mathbf{y}_{e_2} = f(\mathbf{W}\mathbf{x}_{e_2})$$

 $\mathbf{y}_{e_1} = f\big(\mathbf{W}\mathbf{x}_{e_1}\big), \ \ \mathbf{y}_{e_2} = f\big(\mathbf{W}\mathbf{x}_{e_2}\big)$  where f can be a linear or non-linear function, and  $\mathbf{W}$  is a parameter matrix, which can be randomly initialized or initialized using pre-trained vectors.

2. Relation representations

Most existing scoring functions can be unified based on a basic linear transformation  $g_r^a$ , a bilinear transformation  $g_r^b$ , or their combination, where  $g_r^a$  are  $g_r^b$  are defined as:

$$g_r^a(\mathbf{y}_{e_1},\mathbf{y}_{e_2}) = \mathbf{A}_r^T \left(egin{array}{c} \mathbf{y}_{e_1} \ \mathbf{y}_{e_2} \end{array}
ight) \;\; ext{and} \;\;\; g_r^b(\mathbf{y}_{e_1},\mathbf{y}_{e_2}) = \mathbf{y}_{e_1}^T \mathbf{B}_r \mathbf{y}_{e_2},$$

which  $A_r$  and  $B_r$  are relation-specific parameters.

3. Summary of reformulated popular scoring functions

Models	$\mathbf{B}_r$	$\mathbf{A}_r^T$	Scoring Function			
Distance (Bordes et al., 2011)	-	$\left(\mathbf{Q}_{r_1}^T  -\mathbf{Q}_{r_2}^T\right)$	$-  g_r^a(\mathbf{y}_{e_1},\mathbf{y}_{e_2})  _1$			
Single Layer (Socher et al., 2013)	-	$\begin{pmatrix} \mathbf{Q}_{r1}^T & \mathbf{Q}_{r2}^T \end{pmatrix}$	$\mathbf{u}_r^T \tanh(g_r^a(\mathbf{y}_{e_1},\mathbf{y}_{e_2}))$			
TransE (Bordes et al., 2013b)	I	$(\mathbf{V}_r^T - \mathbf{V}_r^T)$	$-(2g_r^a(\mathbf{y}_{e_1},\mathbf{y}_{e_2})-2g_r^b(\mathbf{y}_{e_1},\mathbf{y}_{e_2})+  \mathbf{V}_r  _2^2)$			
NTN (Socher et al., 2013)	$\mathbf{T}_r$	$\begin{pmatrix} \mathbf{Q}_{r1}^T & \mathbf{Q}_{r2}^T \end{pmatrix}$	$\mathbf{u}_r^T \tanh \left( g_r^a(\mathbf{y}_{e_1}, \mathbf{y}_{e_2}) + g_r^b(\mathbf{y}_{e_1}, \mathbf{y}_{e_2}) \right)$			

#### Note:

- NTN is the most expressive model: contains both linear and bilinear relation operators
- TransE is the simplest model (fewest params): only linear relation operators with one-dim vectors
- $g_r^b(\mathbf{y}_{e_1},\mathbf{y}_{e_2}) = \mathbf{y}_{e_1}^T \mathbf{M}_r \mathbf{y}_{e_2}$ • Basic bilinear scoring function:

- special case of NTN w/o non-libnear layer and the linear operators, uses 2-d matrix operator  $M_r \in \mathcal{R}^{n \times n}$  rather than a tensor operator
- Further constraint:  $M_r$  is diagonal
- 4. Training objective

Minimize the margin-based ranking loss:

$$L(\Omega) = \sum_{(e_1, r, e_2) \in T} \sum_{(e_1', r, e_2') \in T'} \max \{ S_{(e_1', r, e_2')} - S_{(e_1, r, e_2)} + 1, 0 \}$$

### **Experiment 1**

Link prediction: Predict the correctness of unseen triplets

• Dataset: WordNet (WN), Freebase (FB15k)

• Metrics: Mean Reciprocal Rank(MRR), HITS@10 (top-10 accuracty), Mean Average Precision (MAP)

Models

a. NTN with 4 tensor slices as in (Socher et al., 2013)

b. Bilinear+Linear, NTN with 1 tensor slice and without the non-linear layer

c. TransE, a special case of Bilinear+Linear

d. Bilinear: using scoring function above

e. Bilinear-diag: a special case of Bilinear where the relation matrix is a diagonal matrix

#### Result

	FB15k		FB15k-401		WN		
107-22	MRR	HITS@10	MRR	HITS@10	MRR	HITS@10	
NTN	0.25	41.4	0.24	40.5	0.53	66.1	
Blinear+Linear	0.30	49.0	0.30	49.4	0.87	91.6	
TransE (DISTADD)	0.32	53.9	0.32	54.7	0.38	90.9	
Bilinear	0.31	51.9	0.32	52.2	0.89	92.8	
Bilinear-diag (DISTMULT)	0.35	57.7	0.36	58.5	0.83	94.2	

Table 2: Performance comparisons among different embedding models

- BILINEAR performs better than TransE, esp. on WN: captures more expressive relations
- Multiplicative vs. Addivtive interactions Overall superior performance of BILINEAR-DIAG (*DISTMULT*)than TransE(*DISTADD*)

	Predicting subject entities			Predicting object entities				
	1-to-1	1-to-n	n-to-1	n-to-n	1-to-1	1-to-n	n-to-1	n-to-n
DISTADD	70.0	76.7	21.1	53.9	68.7	17.4	83.2	57.5
DISTMULT	75.5	85.1	42.9	55.2	73.7	46.7	81.0	58.8

Table 3: Results by relation categories: one-to-one, one-to-many, many-to-one and many-to-many

### Contribution2: Embedding-based rule extraction

Use the learned embedding to extract logical rules from the KB

- Key is how to effectively explore the search space
- Proposed method's efficiency is affected by the number of distinct relation types (usually relatively small),
   not by the size of the KB graph
- Limit: Restricted to Horn rules, eg:

```
BornInCity(a,b) \land CityOfCountry(b,c) \implies Nationality(a,c)
```

• Consider Horn rule of length 2

$$B_1(a,b) \wedge B_2(b,c) \implies H(a,c)$$

- a. Body of the rule can be viewed as the composition of relations  $B_1$  and  $B_2$ , a new relation that has the property that entities a and c are in a relation iff there is an entity b which satisfies  $B_1$  and  $B_2$
- b. Model relation composition as multiplication(or addition) of two relation embeddings
  - addition for relation vector embeddings If  $y_a + V \approx y_b$  when B(a, b) holds, then  $y_a + V_1 \approx y_b$  and  $y_b + V_2 \approx y_c$  implies  $y_a + (V_1 + V_2) \approx y_c$
  - multiplication for relation matrix embeddings Given  $y_a$  are unit vectors and M is the bilinear transformation, when B(a,b) holds if  $y_a^T M y_b \approx 1$  and  $y_a^T M$  is still a unit vector, then  $y_a^T M_1 \approx y_b^T$  and  $y_a^T M_2 \approx y_b^T 1$  implies  $y_a^T (M_1 M_2) \approx y_c^T$
- c. Similarity score

The composition of  $B_1$  and  $B_2$  should be close to H in  $L_2$  (if vector) or Frobenius norm (if matrix) This distance metric allows us to rank possible pairs of relations with respect to the relevance of their composition to the target relation

- d. Reduce the search domain by argument (entities) type constraints
  - If the relation in the head is r, then we are only interested in relation pairs (p, q) s.t. (1)  $\mathcal{Y}_p \cap \mathcal{X}_q \neq \emptyset$ ; (2)  $\mathcal{X}_p \cap \mathcal{X}_r \neq \emptyset$ ; (3)  $\mathcal{Y}_q \cap \mathcal{Y}_r \neq \emptyset$
- Algorithm

```
Algorithm 1 EMBEDRULE
```

```
1: Input: KB = \{(e_1, r, e_2)\}, relation set R

2: Output: Candidate rules Q

3: for each r in R do

4: Select the set of start relations S = \{s : \mathcal{X}_s \cap \mathcal{X}_r \neq \emptyset\}

5: Select the set of end relations T = \{t : \mathcal{Y}_t \cap \mathcal{Y}_r \neq \emptyset\}
```

- 6: Find all possible relation sequences
  7: Select the K-NN sequences P' ⊆ P for r based on dist(M<sub>r</sub>, M<sub>p1</sub> ∘ · · · ∘ M<sub>pn</sub>)
- 8: Form candidate rules using P' where r is the head relation and  $p \in P'$  is the body in a rule
- 9: Add the candidate rules into Q
- 10: end for

• Examples of extracted Horn rules

A EXAMPLES OF THE EXTRACTED HORN RULES

Examples of length-2 rules extracted by EMBEDRULE with embeddings learned from DISTMULT-tanh-EV-init:

```
AwardInCeremany(a,b) \land CeremanyEventType(b,c) \implies AwardInEventType(a,c)
AtheletePlayInTeam(a,b) \land TeamPlaySport(b,c) \implies AtheletePlaySport(a,c)
TVProgramInTVNetwork(a,b) \land TVNetworkServiceLanguage(b,c) \implies TVProgramLanguage(a,c)
LocationInState(a,b) \land StateInCountry(b,c) \implies LocationInCountry(a,c)
BornInLocation(a,b) \land LocationInCountry(b,c) \implies Nationality(a,c)
```

Examples of length-3 rules extracted by EMBEDRULE with embeddings learned from DISTMULT-tanh-EV-init:

```
SportPlayByTeam(a,b) \land TeamInClub(b,c) \land ClubHasPlayer(c,d) \implies SportPlayByAthelete(a,d) MusicTrackPerformer(a,b) \land PeerInfluence(b,c) \land PerformRole(c,d) \implies MusicTrackRole(a,d) FilmHasActor(a,b) \land CelebrityFriendship(b,c) \land PersonLanguage(c,d) \implies FilmLanguage(a,d)
```

### **Experiment 2. Rule extraction**

- 1. Dataset: FB15k-401 (485,741 facts, 14,417 entities, and 373 relations)
- 2. Metric
  - Precision = ratio of predictions that are in the test (unseen) data to all the generted unseen predictions
- 3. Models

Embeddings trained from

- TRANSE (DISTADD)
- BILINEAR
- BILINEAR-DIAG (DISTMULT)
- DISTMULT-tanh-EV-init
- 4. Results

Figure 1 compares the predictions generated by the length-2 rules extracted by different methods. From left to right, the n-th data point represents the total number of predictions of the top n rules and the estimated precision of these predictions.

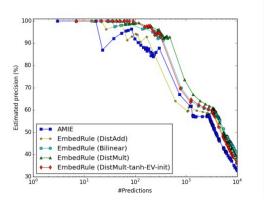


Figure 1: Aggregated precision of top length-2 rules extracted by different methods

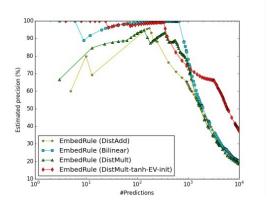


Figure 2: Aggregated precision of top length-3 rules extracted by different methods

- EMBEDRULE that uses embeddings trained from the bilinear objective
  - Suggests that the bilinear embeddings contain good amount of information about relations which allows for effective rule selection without looking at the entities
- Using multiplicative composition of matrix embeddings (from DISTMULT and BILINEAR) results in better performance compared to using additive composition of vector embeddings (from DISTADD)