

# Database Systems Lecture #07

Sang-Wook Kim Hanyang University



# Objectives



- ◆ To learn relational algebra
  - Operations and operators of relational algebra
  - Query expressions with relational algebra



#### **Outline**



- Relational Algebra
- ◆ SELECT Operation
- ◆ PROJECT Operation
- ◆ Relational Algebra Expressions
- ◆ Set Operations
- **◆** CARTESIAN PRODUCT Operation
- ◆ JOIN Operation
- A Complete Set of Relational Algebra Operations

### Relational Algebra



- ◆ Basic set of operations for the relational model
- ◆ Used for specifying retrieval requests
- ◆ A result of a retrieval is represented as a new relation



# **Relational Algebra**



- ◆ Types
  - SELECT
  - PROJECT
  - Set operations
  - JOIN
  - ...



# **SELECT Operation**



◆ Format

$$\sigma_{\text{selection condition}>}(R)$$



### **SELECT Operation**



#### Meaning

- Selects a subset of the tuples from a relation R that satisfies a selection condition c
- Selection condition c is a Boolean expression
  - <attribute name> <comparison op> <constant value>
  - <attribute name> <comparison op> <attribute name>
- A resulting relation contains *only* the tuples which satisfies c from r(R)



# **SELECT Operation**



#### ◆ Examples

$$\begin{split} &\sigma_{\text{Dno}=4}(\text{EMPLOYEE}) \\ &\sigma_{\text{Salary}>30000}(\text{EMPLOYEE}) \end{split}$$

# $\sigma_{(\mathsf{Dno}=4\;\mathsf{AND}\;\mathsf{Salary}>25000)\;\mathsf{OR}\;(\mathsf{Dno}=5\;\mathsf{AND}\;\mathsf{Salary}>30000)}(\mathsf{EMPLOYEE})$

Fname	Minit	Lname	<u>Ssn</u>	Bdate	Address	Sex	Salary	Super_ssn	Dno
Franklin	T	Wong	333445555	1955-12-08	638 Voss, Houston, TX	М	40000	888665555	5
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	4
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	М	38000	333445555	5





#### ◆ Format

$$\pi_{\text{}}(R)$$





- Meaning
  - Selects columns specified in attribute list L from table R
    - Discards the other columns
  - A resulting relation contains those tuples with attributes specified in L from r(R)
  - The result of PROJECT operation is a set of distinct tuples

project operation tuple .
ex) πsex(EMPLOYEE) - tuple 2 (male,female)





#### ◆ Examples

 $\pi_{Lname,\;Fname,\;Salary}(\text{EMPLOYEE})$ 

Lname	Fname	Salary
Smith	John	30000
Wong	Franklin	40000
Zelaya	Alicia	25000
Wallace	Jennifer	43000
Narayan	Ramesh	38000
English	Joyce	25000
Jabbar	Ahmad	25000
Borg	James	55000





#### ◆ Examples

 $\pi_{\text{Sex, Salary}}(\text{EMPLOYEE})$ 

Sex	Salary
M	30000
М	40000
F	25000
F	43000
М	38000
М	25000
M	55000



# Relational Algebra Expressions



- ◆ Sequence of relational algebra operations
- ◆ Complex queries can be represented as a relational algebra expression
  - A relational algebra expression results in a relation



# Relational Algebra Expressions



#### ◆ Examples

- "Retrieve the first name, last name, and salary of all employees who work in department number 5"
  - $\pi_{\text{Fname, Lname, Salary}}(\sigma_{\text{Dno}=5}(\text{EMPLOYEE}))$
- Each intermediate result can have a relation name
  - DEP5\_EMPS  $\leftarrow \sigma_{Dno=5}(EMPLOYEE)$ RESULT  $\leftarrow \pi_{Fname, Lname, Salary}(DEP5_EMPS)$



### Relational Algebra Expressions



#### ◆ Examples

- "Retrieve the first name, last name, and salary of all employees who work in department number 5"
  - $\pi_{\text{Fname, Lname, Salary}}(\sigma_{\text{Dno}=5}(\text{EMPLOYEE}))$
- Each attribute of a result relation can be *renamed* 
  - TEMP  $\leftarrow \sigma_{\mathsf{Dno}=5}(\mathsf{EMPLOYEE})$  $R(\mathsf{First\_name}, \mathsf{Last\_name}, \mathsf{Salary}) \leftarrow \pi_{\mathsf{Fname}, \, \mathsf{Lname}, \, \mathsf{Salary}}(\mathsf{TEMP})$





- ◆ Relational algebra operations from set theory
  - UNION operation
    - $R_1 \cup R_2$
  - INTERSECTION operation
    - $R_1 \cap R_2$
  - SET DIFFERENCE (MINUS) operation
    - $\blacksquare$   $R_1 R_2$
  - CARTESIAN PRODUCT (CROSS PRODUCT) operation
    - $\blacksquare$   $R_1 \times R_2$





#### ◆ Example

 $\begin{array}{l} \mathsf{DEP5\_EMPS} \leftarrow \sigma_{\mathsf{Dno}=5}(\mathsf{EMPLOYEE}) \\ \mathsf{RESULT1} \leftarrow \pi_{\mathsf{Ssn}}(\mathsf{DEP5\_EMPS}) \\ \mathsf{RESULT2}(\mathsf{Ssn}) \leftarrow \pi_{\mathsf{Super\_ssn}}(\mathsf{DEP5\_EMPS}) \\ \mathsf{RESULT} \leftarrow \mathsf{RESULT1} \ \cup \ \mathsf{RESULT2} \end{array}$ 

#### RESULT1

Ssn		
123456789		
333445555		
666884444		
453453453		

#### RESULT2

Ssn		
333445555		
888665555		

#### **RESULT**

Ssn
123456789
333445555
666884444
453453453
888665555





- Union compatibility (type compatibility)
  - Two relations should have the same type of tuples
  - ullet For set operations  $\cup$ ,  $\cap$ , and -
    - The two relation  $R(A_1, A_2, ..., A_n)$ ,  $S(B_1, B_2, ..., B_n)$  should have
      - Same number of attributes n
    - Two attributes in the corresponding attribute pair should have the domains compatible (similar) to each other
      - $dom(A_i) = dom(B_i) (1 \le i \le n)$





#### ◆ Examples

Two union compatible relations

#### **STUDENT**

Fn	Ln
Susan	Yao
Ramesh	Shah
Johnny	Kohler
Barbara	Jones
Amy	Ford
Jimmy	Wang
Ernest	Gilbert

#### **INSTRUCTOR**

Fname	Lname
John	Smith
Ricardo	Browne
Susan	Yao
Francis	Johnson
Ramesh	Shah





- ◆ Examples
  - STUDENT U INSTRUCTOR

Fn	Ln
Susan	Yao
Ramesh	Shah
Johnny	Kohler
Barbara	Jones
Amy	Ford
Jimmy	Wang
Ernest	Gilbert
John	Smith
Ricardo	Browne
Francis	Johnson





- ◆ Examples
  - STUDENT ∩ INSTRUCTOR

Fn	Ln
Susan	Yao
Ramesh	Shah





- ◆ Examples
  - STUDENT INSTRUCTOR

Fn	Ln
Johnny	Kohler
Barbara	Jones
Amy	Ford
Jimmy	Wang
Ernest	Gilbert





- ◆ Examples
  - INSTRUCTOR STUDENT

Fname	Lname
John	Smith
Ricardo	Browne
Francis	Johnson





#### ◆ Format

$$R \times S$$

or

$$R(A_1, A_2, ..., A_n) \times S(B_1, B_2, ..., B_m)$$





#### Meaning

- Produces a new relation by combining every tuple from one relation with every tuple from the other relation
- $\bullet$   $Q(A_1, A_2, ..., A_n, B_1, B_2, ..., B_m)$ 
  - Number of attributes: n + m
  - Number of tuples:  $n_R * n_S$
- Useful only when followed by a selection that matches values of attributes





#### ◆ Example

 "Retrieve a list of names of each female employee's dependents"

```
\begin{split} & \mathsf{FEMALE\_EMPS} \leftarrow \sigma_{\mathsf{Sex}=`F`}(\mathsf{EMPLOYEE}) \\ & \mathsf{EMPNAMES} \leftarrow \pi_{\mathsf{Fname},\;\mathsf{Lname},\;\mathsf{Ssn}}(\mathsf{FEMALE\_EMPS}) \\ & \mathsf{EMP\_DEPENDENTS} \leftarrow \mathsf{EMPNAMES} \times \mathsf{DEPENDENT} \\ & \mathsf{ACTUAL\_DEPENDENTS} \leftarrow \sigma_{\mathsf{Ssn}=\mathsf{Essn}}(\mathsf{EMP\_DEPENDENTS}) \\ & \mathsf{RESULT} \leftarrow \pi_{\mathsf{Fname},\;\mathsf{Lname},\;\mathsf{Dependent\_name}}(\mathsf{ACTUAL\_DEPENDENTS}) \end{split}
```





#### ◆ Example

$$\begin{aligned} & \mathsf{FEMALE\_EMPS} \leftarrow \sigma_{\mathsf{Sex}=`F`}(\mathsf{EMPLOYEE}) \\ & \mathsf{EMPNAMES} \leftarrow \pi_{\mathsf{Fname,\ Lname,\ Ssn}}(\mathsf{FEMALE\_EMPS}) \end{aligned}$$

#### FEMALE\_EMPS

Fname	Minit	Lname	Ssn	Bdate	Address		Salary	Super_ssn	Dno
Alicia	J	Zelaya	999887777	1968-07-19	-19 3321Castle, Spring, TX		25000	987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	291Berry, Bellaire, TX		43000	888665555	4
Joyce	Α	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5

#### **EMPNAMES**

Fname	Lname	Ssn			
Alicia	Zelaya	999887777			
Jennifer	Wallace	987654321			
Joyce	English	453453453			





#### ◆ Example

#### EMP\_DEPENDENTS ← EMPNAMES × DEPENDENT

#### **EMP\_DEPENDENTS**

_							
Fname	Lname	Ssn	Essn	Dependent_name	Sex	Bdate	
Alicia	Zelaya	999887777	333445555	Alice	F	1986-04-05	
Alicia	Zelaya	999887777	333445555	Theodore	М	1983-10-25	
Alicia	Zelaya	999887777	333445555	Joy	F	1958-05-03	
Alicia	Zelaya	999887777	987654321	Abner	М	1942-02-28	
Alicia	Zelaya	999887777	123456789	Michael	М	1988-01-04	
Alicia	Zelaya	999887777	123456789	Alice	F	1988-12-30	
Alicia	Zelaya	999887777	123456789	Elizabeth	F	1967-05-05	
Jennifer	Wallace	987654321	333445555	Alice	F	1986-04-05	
Jennifer	Wallace	987654321	333445555	Theodore	М	1983-10-25	
Jennifer	Wallace	987654321	333445555	Joy	F	1958-05-03	
Jennifer	Wallace	987654321	987654321	Abner	М	1942-02-28	
Jennifer	Wallace	987654321	123456789	Michael	M	1988-01-04	
Jennifer	Wallace	987654321	123456789	Alice	F	1988-12-30	
Jennifer	Wallace	987654321	123456789	Elizabeth	F	1967-05-05	





#### ◆ Example

$$\begin{aligned} & \mathsf{ACTUAL\_DEPENDENTS} \leftarrow \sigma_{\mathsf{Ssn} = \mathsf{Essn}}(\mathsf{EMP\_DEPENDENTS}) \\ & \mathsf{RESULT} \leftarrow \pi_{\mathsf{Fname,\ Lname,\ Dependent\_name}}(\mathsf{ACTUAL\_DEPENDENTS}) \end{aligned}$$

#### **ACTUAL DEPENDENTS**

Fname	Lname	Ssn	Essn	Dependent_name	Sex	Bdate	
Jennifer	Wallace	987654321	987654321	Abner	М	1942-02-28	

#### RESULT

Fname	Lname	Dependent_name
Jennifer	Wallace	Abner





- Necessity
  - Used to process relationships among tuples stored in different relations





- ◆ Example
  - "Retrieve the name of the manager of each department"

#### **DEPT\_MGR**

Dname	Dnumber	Mgr_ssn	 Fname	Minit	Lname	Ssn	
Research	5	333445555	 Franklin	Т	Wong	333445555	
Administration	4	987654321	 Jennifer	S	Wallace	987654321	
Headquarters	1	888665555	 James	E	Borg	888665555	





#### ◆ Example

- Using CARTESIAN PRODUCT
  - ALL\_PRODUCT ← DEPARTMENT × EMPLOYEE
  - DEPT\_MGR  $\leftarrow \sigma_{Mgr\_ssn=Ssn}$ ALL\_PRODUCT
  - RESULT  $\leftarrow \pi_{Dname, Lname, Fname}(DEPT\_MGR)$





- ◆ Example
  - Using JOIN

```
\begin{array}{l} \mathsf{DEPT\_MGR} \leftarrow \mathsf{DEPARTMENT} \bowtie_{\mathsf{Mgr\_ssn} = \mathsf{Ssn}} \mathsf{EMPLOYEE} \\ \mathsf{RESULT} \leftarrow \pi_{\mathsf{Dname},\; \mathsf{Lname},\; \mathsf{Fname}}(\mathsf{DEPT\_MGR}) \end{array}
```



# **THETA JOIN Operation**



◆ Format

$$R\bowtie_{<\text{join condition}>} S$$



# **THETA JOIN Operation**



#### Meaning

- Produces a new relation by combining every tuple from one relation with every tuple from the other relation
  - Whenever the combination satisfies the join condition c
- SELECT operation after CARTESIAN PRODUCT
- Join condition *c.* 
  - <condition> AND <condition> AND...AND <condition>
  - <condition> =  $A_i \theta B_j$
  - $\theta = \{=, <, \leq, >, \geq, \neq\}$



# **EQUIJOIN Operation**



- Meaning
  - Only = comparison operator used in a JOIN operation
  - The join result always has a pair of attributes that have identical values in every tuple





#### ◆ Format

```
R1A FK R2B PK \rightarrow equijoin R1A FK R2B PK \rightarrow natural join attribute A1 1 B1 1 A2 2 B2 2 A2 2 B2 2 A3 3
```





#### Meaning

- EQUIJOIN
  - The join result always has a pair of attributes that have identical values in every tuple => Unnatural!
- Second (superfluous) attribute in an EQUIJOIN condition is removed in the final result
- Before joining, two join attributes must have the same name in both relations



join attribute가

identical

가





#### ◆ Examples

 "Combine each PROJECT tuple with the DEPARTMENT tuple that controls the project"

$$\begin{array}{l} \mathsf{DEPT} \leftarrow \rho_{(\mathsf{Dname},\; \mathsf{Dnum},\; \mathsf{Mgr\_ssn},\; \mathsf{Mgr\_start\_date})}(\mathsf{DEPARTMENT}) \\ \mathsf{PROJ\_DEPT} \leftarrow \mathsf{PROJECT} \star \mathsf{DEPT} & & \\ \hline \\ \end{array}$$

Makes attributes have the same name

#### PROJ\_DEPT

Pname	<u>Pnumber</u>	Plocation	Dnum	Dname	Mgr_ssn	Mgr_start_date
ProductX	1	Bellaire	5	Research	333445555	1988-05-22
ProductY	2	Sugarland	5	Research	333445555	1988-05-22
ProductZ	3	Houston	5	Research	333445555	1988-05-22
Computerization	10	Stafford	4	Administration	987654321	1995-01-01
Reorganization	20	Houston	1	Headquarters	888665555	1981-06-19
Newbenefits	30	Stafford	4	Administration	987654321	1995-01-01





#### ◆ Examples

"Combine each DEPARTMENT tuple with its location"

DEPT\_LOCS ← DEPARTMENT \* DEPT\_LOCATIONS

Already have same name

#### **DEPT\_LOCS**

Dname	Dnumber	Mgr_ssn	Mgr_start_date	Location
Headquarters	1	888665555	1981-06-19	Houston
Administration	4	987654321	1995-01-01	Stafford
Research	5	333445555	1988-05-22	Bellaire
Research	5	333445555	1988-05-22	Sugarland
Research	5	333445555	1988-05-22	Houston





- ◆ Two relations can be joined with more than one JOIN attribute
  - With different meanings





#### ◆ Examples

"Associate each DEPARTMENT with its manager"

 "Associate each EMPLOYEE with the department for which the EMPLOYEE works"

EMPLOYEE\*DEPARTMENT

join attribute





- ◆ A single relation can be used in both sides of JOIN operation
  - Treated as a JOIN between two identical copies of the same relation
  - Renaming is useful





#### ◆ Examples

 "Retrieve the name of each EMPLOYEE and the name of its supervisor"

```
SUPERVISOR(Super_ssn, Sfname, Slname)
```

$$\leftarrow \pi_{Ssn, Fname, Lname}(EMPLOYEE)$$

```
TEMP ← EMPLOYEE * SUPERVISOR
```

```
= EMPLOYEE ⋈ EMPLOYEE (super.ssn = ssn)
```

RESULT 
$$\leftarrow \pi_{Fname, Lname, Sfname, Slname}$$
 (TEMP)



# A Complete Set of Relational Algebra Operations



- ◆ Complete set
  - Any relational algebra operation can be expressed
  - As a sequence of operations from this set

R1(Z) div R2(Y)

a4 b3 a1 b4

- Produces a relation R(X) that includes all tuples t[X] in R1(Z) that appear in R1 in combination with every tuple from R2(Y), where  $Z = X \cup Y$ .

```
ex) T <- R div S
R
                                               T B
A B
                                                 b1
a1 b1 a2 b4
                                                 b4
a2 b1 a3 b4
                   a2
a3 b1
                   a3
a4 b1
a1 b2
a3 b2
a2 b3
a3 b3
```

### A Complete Set of Relational Algebra Operations



- Complete set of relational algebra
  - {SELECT, PROJECT, UNION, SET DIFFERENCE, CARTESIAN PRODUCT
  - JOIN?
    - can be represented as a SELECT after CARTESIAN **PRODUCT**
  - INTERSECTION?
    - Meaning?  $R \cap S = (R \cup S) ((R-S) \cup (S-R))$
    - How to express by the complete set?

How to express by the complete set?

- DIVISION?
  - Meaning?
- T1  $\leftarrow \pi Y(R)$  $T2 < -\pi Y((S X T1)-R)$ T <- T1 - T2

T <- R % S



# A Complete Set of Relational Algebra Operations



- Relationally complete languages
  - Query languages capable of express complete set of relational algebra operations



# Summary



OPERATION	PURPOSE	NOTATION
SELECT	Selects all tuples that satisfy the selection condition from a relation $R$ .	$\sigma_{\text{selection condition}}(R)$
PROJECT	Produces a new relation with only some of the attributes of <i>R</i> , and removes duplicate tuples.	$\pi_{\text{}}(R)$
THETA JOIN	Produces all combinations of tuples from $R_1$ and $R_2$ that satisfy the join condition.	$R_1 \bowtie_{< \text{join condition}>} R_2$
EQUIJOIN	Produces all the combinations of tuples from $R_1$ and $R_2$ that satisfy a join condition with only equality comparisons.	$\begin{array}{c} R_1 \bowtie_{<\text{join condition}>} R_2, \text{ OR} \\ R_1 \bowtie_{(<\text{join attributes 1}>),} \\ (<\text{join attributes 2}>) \end{array} R_2$
NATURAL JOIN	Same as EQUIJOIN except that the join attributes of $R_2$ are not included in the resulting relation; if the join attributes have the same names, they do not have to be specified at all.	$\begin{array}{c} R_1 \star_{< \text{join condition}>} R_2, \\ \text{OR } R_1 \star_{(< \text{join attributes 1>}),} \\ \text{OR } R_1 \star_{R_2} \end{array}$



# Summary



OPERATION	PURPOSE	NOTATION
UNION	Produces a relation that includes all the tuples in $R_1$ or $R_2$ or both $R_1$ and $R_2$ ; $R_1$ and $R_2$ must be union compatible.	$R_1 \cup R_2$
INTERSECTION	Produces a relation that includes all the tuples in both $R_1$ and $R_2$ ; $R_1$ and $R_2$ must be union compatible.	$R_1 \cap R_2$
DIFFERENCE	Produces a relation that includes all the tuples in $R_1$ that are not in $R_2$ ; $R_1$ and $R_2$ must be union compatible.	$R_1 - R_2$
CARTESIAN PRODUCT	Produces a relation that has the attributes of $R_1$ and $R_2$ and includes as tuples all possible combinations of tuples from $R_1$ and $R_2$ .	$R_1 \times R_2$
DIVISION	Produces a relation $R(X)$ that includes all tuples $t[X]$ in $R_1(Z)$ that appear in $R_1$ in combination with every tuple from $R_2(Y)$ , where $Z = X \cup Y$ .	$R_1(Z) \div R_2(Y)$



# Summary



- ◆ Relational Algebra Operations
  - SELECT
  - PROJECT
  - Set Operations
    - UNION, INTERSECTION, SET DIFFERENCE, CARTESIAN PRODUCT
  - JOIN
    - THETA JOIN
    - EQUIJOIN
    - NATURAL JOIN



#### References



- 1. Codd, Edgar F. "A relational model of data for large shared data banks." *Communications of the ACM* 13.6 (1970): 377-387.
- Date, C. J. "The Outer Join." *Proceedings of the Second International Conference on Databases (ICOD*). 1983.
- Carlis, John V. "HAS, a relational algebra operator or divide is not enough to conquer." *Proceedings of the Second International Conference on Data Engineering.* IEEE Computer Society, 1986.
- Özsoyoğlu, G., Z. M. Özsoyoğlu, and Victor Matos. "Extending relational algebra and relational calculus with set-valued attributes and aggregate functions." ACM Transactions on Database Systems (TODS) 12.4 (1987): 566-592.
- 5. Cammarata, Stephanie, Prasadram Ramachandra, and Darrell Shane. *Extending a relational database with deferred referential integrity checking and intelligent joins.* Vol. 18. No. 2. ACM, 1989.



#### References



- 6. Codd, Edgar F. "A data base sublanguage founded on the relational calculus." *Proceedings of the 1971 ACM SIGFIDET (now SIGMOD) Workshop on Data Description, Access and Control.* ACM, 1971.
- 7. Codd, Edgar F. *Relational completeness of data base sublanguages*. IBM Corporation, 1972.
- Stonebraker, Michael. "Implementation of integrity constraints and views by query modification." *Proceedings of the 1975 ACM SIGMOD international conference on Management of data*. ACM, 1975.
- 9. Ullman J. *Principles of Database and Knowledge-Base Systems*, Vol. 1, Computer Science Press, 1988.
- Abiteboul, Serge, Richard Hull, and Victor Vianu. *Foundations of databases*. Vol. 8. Reading: Addison-Wesley, 1995.
- 11. Atzeni, Paolo, and Valeria De Antonellis. *Relational database theory.* Benjamin-Cummings Publishing Co., Inc., 1993.





# Have a nice day!

