

# ***Growth of Functions***

***Heejin Park***

*Division of Computer Science and Engineering*

*Hanyang University*

# Contents

- **Asymptotic notation**
  - $O$ -notation
  - $\Omega$ -notation
  - $\Theta$ -notation
  - Other types of notation

# Analogy

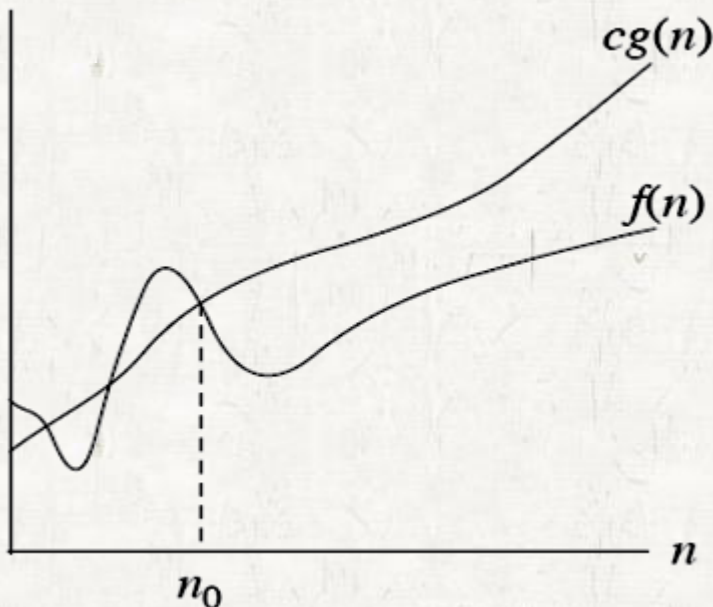
## • Analogy

- $f(n) = O(g(n)) \approx f(n) \leq g(n)$  in degree.
- $f(n) = \Omega(g(n)) \approx f(n) \geq g(n)$  in degree.
- $f(n) = \Theta(g(n)) \approx f(n) = g(n)$  in degree.
- Examples

# *O*-notation

## • *O*-notation

$O(g(n)) = \{f(n): \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}.$

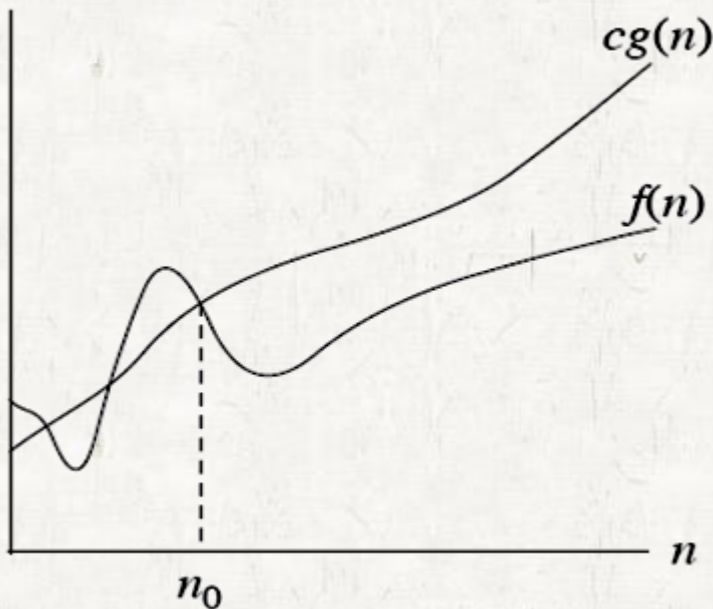


- For all values  $n$  to the right of  $n_0$ , the value of the function  $f(n)$  is on or below  $cg(n)$ .

# *O*-notation

## • *O*-notation

$O(g(n)) = \{f(n): \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}.$



- $g(n)$  is called an *asymptotic upper bound* of  $f(n)$ .
- $f(n) = O(g(n))$  denotes  $f(n) \in O(g(n))$ .

# *O*-notation

- Example

$$3n + 1 = O(n^2)$$

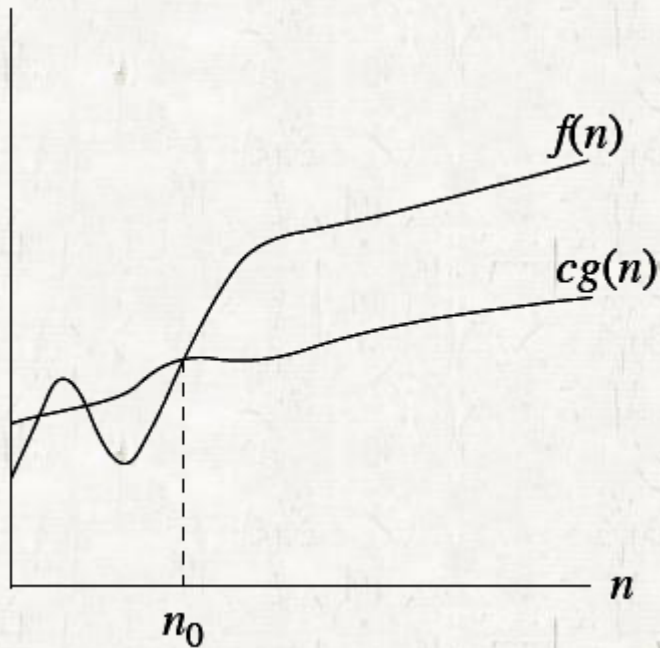
- Show there are  $c$  and  $n_0$  such that  $3n + 1 \leq cn^2$  for all  $n \geq n_0$ .
- Dividing by  $n^2$  yields  $\frac{3}{n} + \frac{1}{n^2} \leq c$ .
- The inequality holds for any  $n \geq 1$  and  $c \geq 4$ .



# $\Omega$ -notation

## • $\Omega$ -notation

$\Omega(g(n)) = \{f(n): \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}.$



- For all values  $n$  to the right of  $n_0$ , the value of  $f(n)$  is **on or above**  $cg(n)$ .
- $g(n)$  is called an ***asymptotic lower bound*** of  $f(n)$ .

# $\Omega$ -notation

- Example

$$3n^2 - 4n + 1 = \Omega(n)$$

- Show there are  $c$  and  $n_0$  such that  $3n^2 - 4n + 1 \geq cn$  for all  $n \geq n_0$ .

- Dividing by  $n$  yields  $3n - 4 + \frac{1}{n} \geq c$ .

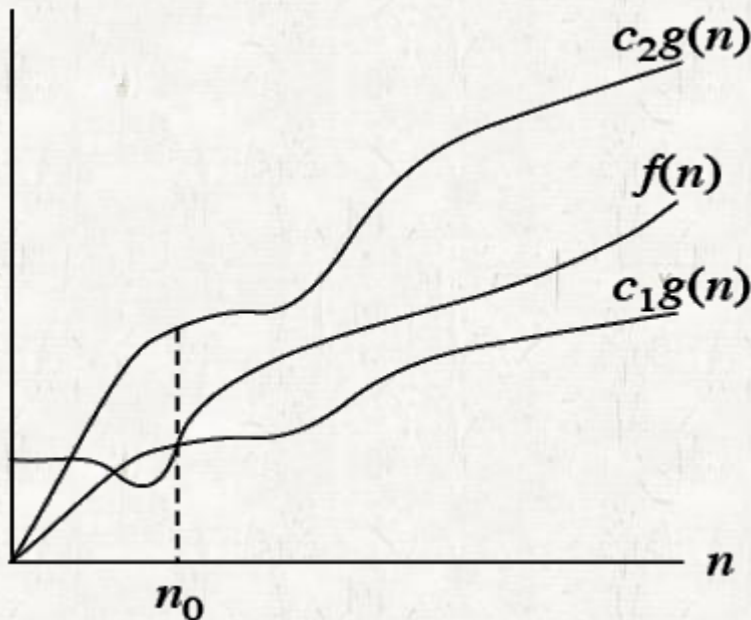
- The inequality holds for any  $n \geq 2$  and  $c = 2$ .



# $\Theta$ -notation

## $\Theta$ -notation

$\Theta(g(n)) = \{f(n) : \text{there exist positive constants } c_1, c_2, \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}.$



- For all values of  $n$  to the right of  $n_0$ , the value of  $f(n)$  lies on or above  $c_1g(n)$  and on or below  $c_2g(n)$ .
- $g(n)$  is called an *asymptotically tight bound* for  $f(n)$ .

# $\Theta$ -notation

## • Example

$$\frac{1}{2}n^2 - 3n = \Theta(n^2)$$

To show there exist positive constants  $c_1$ ,  $c_2$  and  $n_0$  such that

$$c_1 n^2 \leq \frac{1}{2}n^2 - 3n \leq c_2 n^2 \text{ for all } n \geq n_0.$$

Dividing by  $n^2$  yields  $c_1 \leq \frac{1}{2} - \frac{3}{n} \leq c_2$ .

# $\Theta$ -notation

## • Example

$$c_1 \leq \frac{1}{2} - \frac{3}{n} \leq c_2.$$

- The right-hand inequality holds for  $n \geq 1$  by choosing  $c_2 \geq 1/2$ .
- The left-hand inequality holds for  $n \geq 7$  by choosing  $c_1 \leq 1/14$ .
- Thus, by choosing  $c_1 = 1/14$ ,  $c_2 = 1/2$ , and  $n_0 = 7$ ,

we can verify that  $\frac{1}{2}n^2 - 3n = \Theta(n^2)$

# $\Theta$ -notation

## • Example

- Consider any quadratic function  $f(n) = an^2 + bn + c$ , where  $a$ ,  $b$ , and  $c$  are constants and  $a > 0$ .
- Throwing away the lower-order terms and ignoring the constant yields  $f(n) = \Theta(n^2)$ .
- The reader may verify that  $0 \leq c_1n^2 \leq an^2 + bn + c \leq c_2n^2$  for all  $n \geq n_0$ . (Self-study)
- In general, for any polynomial  $p(n) = \sum_{i=0}^d a_i n^i$  where the  $a_i$  are constants and  $a_d > 0$ , we have  $p(n) = \Theta(n^d)$ .

# $\Theta$ -notation

## • Theorem 3.1

For any two functions  $f(n)$  and  $g(n)$ , we have  $f(n) = \Theta(g(n))$  if and only if  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$ .

# Notation

## • Notation in equations and inequalities

- $n = O(n^2)$  (set)
- $T(n) = 2T(n/2) + \Theta(n)$  (element)
- $2n^2 + \Theta(n) = \Theta(n^2)$



# Analogy

## • Analogy

- $f(n) = \Theta(g(n)) \approx f(n) = g(n)$  in degree.
- $f(n) = O(g(n)) \approx f(n) \leq g(n)$  in degree.
- $f(n) = \Omega(g(n)) \approx f(n) \geq g(n)$  in degree.
- $f(n) = o(g(n)) \approx f(n) < g(n)$  in degree.
- $f(n) = \omega(g(n)) \approx f(n) > g(n)$  in degree.

# *o*-notation

- The asymptotic upper bound provided by  $O$ -notation may or may not be asymptotically tight.
- The bound  $2n^2 = O(n^2)$  is asymptotically tight, but the bound  $2n = O(n^2)$  is not.
- We use *o*-notation to denote an upper bound that is not asymptotically tight.

# *o*-notation

## • *o*-notation

$o(g(n)) = \{f(n) : \text{for any positive constant } c > 0, \text{ there exists a constant } n_0 > 0 \text{ such that } 0 \leq f(n) < cg(n) \text{ for all } n \geq n_0\}.$

- $f(n)$  is called an *asymptotically smaller* than  $g(n)$ .
- For example,  $2n = o(n^2)$ , but  $2n^2 \neq o(n^2)$ .

# *o*-notation

- The main difference between  $O$  and  $o$ .
  - $f(n) = O(g(n))$ , the bound  $0 \leq f(n) \leq cg(n)$  holds for *some* constant  $c > 0$
  - $f(n) = o(g(n))$ , the bound  $0 \leq f(n) < cg(n)$  holds for *all* constants  $c > 0$ .
- Intuitively, in the  $o$ -notation, the function  $f(n)$  becomes insignificant relative to  $g(n)$  as  $n$  approaches infinity; that is,

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

# $\omega$ -notation

- $\omega$ -notation is used to denote a lower bound that is not asymptotically tight.

## • $\omega$ -notation

$\omega(g(n)) = \{f(n) : \text{for any positive constant } c > 0, \text{ there exists a constant } n_0 > 0 \text{ such that } 0 \leq cg(n) < f(n) \text{ for all } n \geq n_0\}$

- $f(n)$  is called an *asymptotically larger* than  $g(n)$ .
- So,  $f(n) \in \omega(g(n))$  if and only if  $g(n) \in o(f(n))$ .



# $\omega$ -notation

## • Example

- $n^2/2 = \omega(n)$ , but  $n^2/2 \neq \omega(n^2)$ .

- The relation  $f(n) = \omega(g(n))$  implies that

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$$

if the limit exists.

- That is,  $f(n)$  becomes arbitrarily large relative to  $g(n)$  as  $n$  approaches infinity.



# Comparison of functions

## ● Comparison of functions

- Transitivity
- Reflexivity
- Symmetry
- Transpose symmetry

# Comparison of functions

## • Comparison of functions

- Transitivity (  $=, \leq, \geq, <, >$  )
- Reflexivity (  $=, \leq, \geq$  )
- Symmetry (  $=$  )
- Transpose symmetry (  $\leq \leftrightarrow \geq, < \leftrightarrow >$  )

# Transitivity

## • Transitivity ( $=, \leq, \geq, <, >$ )

- $f(n) = \Theta(g(n))$  and  $g(n) = \Theta(h(n))$  imply  $f(n) = \Theta(h(n))$ ,
- $f(n) = O(g(n))$  and  $g(n) = O(h(n))$  imply  $f(n) = O(h(n))$ ,
- $f(n) = \Omega(g(n))$  and  $g(n) = \Omega(h(n))$  imply  $f(n) = \Omega(h(n))$ ,
- $f(n) = o(g(n))$  and  $g(n) = o(h(n))$  imply  $f(n) = o(h(n))$ ,
- $f(n) = \omega(g(n))$  and  $g(n) = \omega(h(n))$  imply  $f(n) = \omega(h(n))$ .

# Reflexivity

## • Reflexivity ( $=$ , $\leq$ , $\geq$ )

- $f(n) = \Theta(f(n))$
- $f(n) = O(f(n))$
- $f(n) = \Omega(f(n))$

# Symmetry and transpose symmetry

## • Symmetry ( = )

- $f(n) = \Theta(g(n))$  if and only if  $g(n) = \Theta(f(n))$ .

## • Transpose symmetry ( $\leq \leftrightarrow \geq$ , $< \leftrightarrow >$ )

- $f(n) = O(g(n))$  if and only if  $g(n) = \Omega(f(n))$ ,
- $f(n) = o(g(n))$  if and only if  $g(n) = \omega(f(n))$ .

# Comparison of functions

## • Trichotomy

- For any two real numbers  $a$  and  $b$ , exactly one of the following must hold:  $a < b$ ,  $a = b$ ,  $a > b$ .
- That is, any two numbers are comparable.
- Are any two functions asymptotically comparable?
  - Is it possible  $f(n) \neq O(g(n))$  and  $f(n) \neq \Omega(g(n))$  ?
  - $n$  and  $n^{1+\sin n}$



# Self-study

## • Exercise 3.1-1

- Show  $\max(f(n), g(n)) = \Theta(f(n) + g(n))$

## • Exercise 3.1-4

- Is  $2^{n+1} = O(2^n)$  ?
- Is  $2^{2n} = O(2^n)$  ?

## • Problem 3-2 for $O$ , $\Theta$ , and $\Omega$ .

- Use  $\lg(n!) = \Theta(n \lg n)$