# Elementary Graph Algorithms

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#### **Contents**

### Graphs

- Graphs basics
- Graph representation

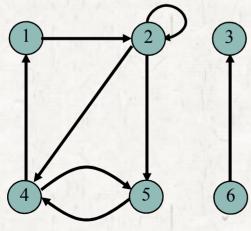
### Searching a graph

- Breadth-first search
- Depth-first search

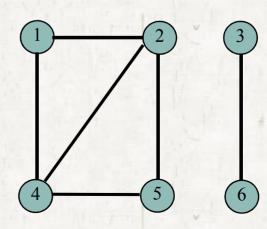
### Applications of depth-first search

Topological sort

- A graph G is a pair (V, E) where V is a vertex set and E is an edge set.
- A vertex (node) is a stand-alone object.
  - Represented by a circle.
- An edge (link) is an object connecting two vertices.
  - Represented by either an arrow or a line.

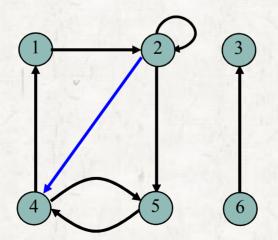


A directed graph

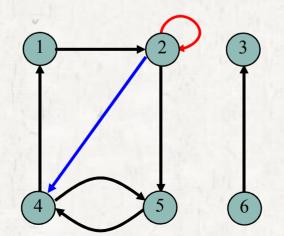


An undirected graph

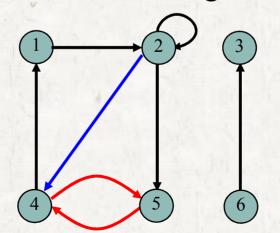
- A directed graph (or digraph) is a graph with directed edges.
  - Edges have directions so they are represented by arrows.
  - Each edge *leaves* a vertex and *enters* a vertex.
    - The blue edge leaves vertex 2 and enters vertex 4.



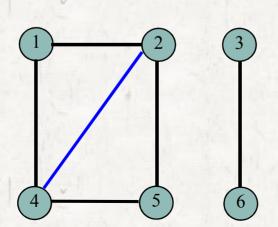
- An edge leaving a vertex u and entering a vertex v is said it is *incident from* u and *incident to* v.
  - The blue edge is incident from vertex 2 and to vertex 4.
- In a digraph, *self-loops* (edges from a vertex to itself) are possible.
  - The red edge is a self-loop.



- Normally, each vertex is identified by a number or a name.
  - $V = \{1, 2, 3, 4, 5, 6\}$
- Each edge is identified by the *ordered pair of vertices* it leaves and enters.
  - $E = \{(1,2), (2,2), (2,4), (2,5), (4,1), (4,5), (5,4), (6,3)\}$
- In a digraph, there are at most 2 edges between two vertices.

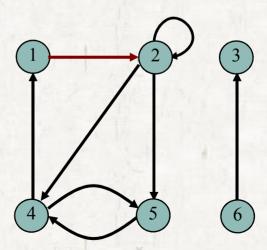


- An undirected graph is a graph with undirected edges.
  - Edges have no directions so they are represented by lines.
  - Self-loops are forbidden.
  - Edge (u,v) is the same as edge (v,u).
    - $\bullet$  (2,4) = (4,2)
    - The blue edge is *incident on* vertices 2 and 4.



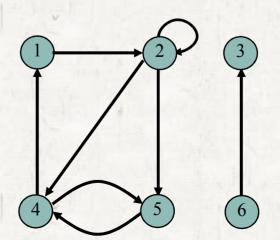
#### Adjacency

- If (u,v) is an edge, vertex v is *adjacent* to vertex u.
- In an undirected graph, adjacency relation is symmetric.
  - If u is adjacent to v, v is adjacent to u.
- In a directed graph, it is not symmetric.
  - Vertex 2 is adjacent to 1.
  - But vertex 1 is not adjacent to 2.



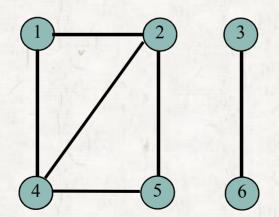
#### • Degree

- The *out-degree* of a vertex is the number of edges leaving it.
  - The out-degree of vertex 2 is 3.
- The *in-degree* of a vertex is the number of edges entering it.
  - The in-degree of vertext 2 is 2.
- degree = out-degree + in-degree.



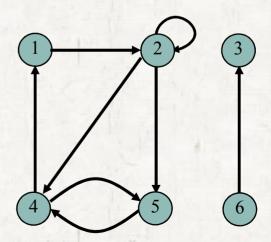
#### • Degree

- In an undirected graph,
  - The out-degree and the in-degree are not defined.
  - Only the degree of a vertex is defined.
- The degree of vertex 2 is 3.



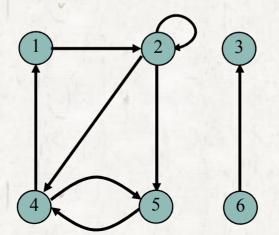
#### o Path

- A path from vertex u to vertex v is a sequence of vertices  $\langle v_0, v_1, v_2, ..., v_k \rangle$  where
  - $v_0 = u, v_k = v$ , and
  - every vertex  $v_{i+1}$   $(0 \le i \le k-1)$  is adjacent to  $v_i$ .
    - There is an edge  $(v_i, v_{i+1})$  for all i.
  - <1, 2, 4, 5> is a path.
  - $\bullet$  <1, 2, 4, 1, 2> is a path.
  - $\bullet$  <1, 2, 4, 2> is not a path.



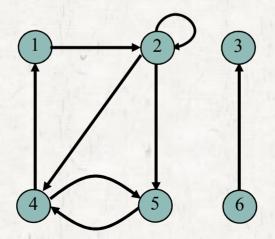
#### o Path

- The *length* of a path is the number of edges in the path.
  - The length of a path <1, 2, 4, 5> is 3.
  - If there is a path from vertex u to vertex v, v is called *reachable* from u.
    - Vertex 5 is reachable from vertex 1.
    - Vertex 3 is not reachable from vertex 1.



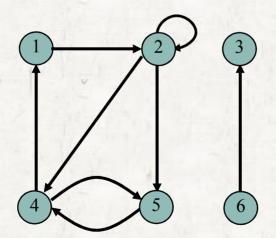
#### • Simple path

- A path is simple if all vertices in the path are distinct.
- A path <1, 2, 4, 5> is a simple path.
- A path <1, 2, 4, 1, 2> is not a simple path.



#### • Cycle and simple cycle

- A path  $\langle v_0, v_1, v_2, ..., v_k \rangle$  is a cycle if  $v_0 = v_k$
- A cycle  $\langle v_0, v_1, v_2, ..., v_k \rangle$  is simple if  $v_1, v_2, ..., v_k$  are distinct.
- A path <1, 2, 4, 5, 4, 1> is a cycle but it is not a simple cycle.
- A path <1, 2, 4, 1> is a simple cycle.



#### • An acyclic graph

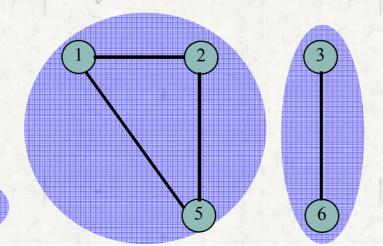
A graph without cycles

#### A connected graph

• An undirected graph is *connected* if every pair of vertices is connected by a path.

#### Connected components

• Maximally connected subsets of vertices of an undirected graph.

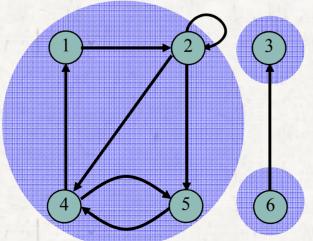


#### Strongly connected

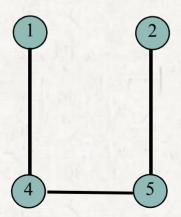
A directed graph is strongly connected
 if every pair of vertices is reachable from each other.

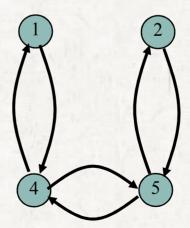
#### Strongly connected components

• Maximally strongly connected subsets of vertices in a directed graph.

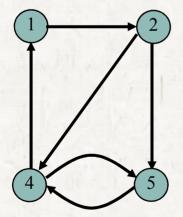


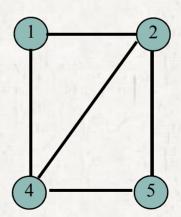
- Directed version of an undirected graph
  - Replace each undirected edge (u,v) by two directed edges (u,v) and (v,u).





- Undirected version of a directed graph
  - Replace each directed edge (u,v) by an undirected edge (u,v)





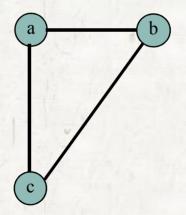
- Undirected graph  $G \rightarrow$  directed ver.  $G' \rightarrow$  undirected ver. G''
  - Are *G* and *G*" the same?

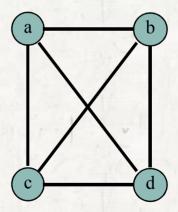
- Directed graph  $G \rightarrow$  undirected ver.  $G' \rightarrow$  directed ver. G''
  - Are *G* and *G*" the same?

#### • A complete graph

• An undirected graph in which every pair of vertices is adjacent.



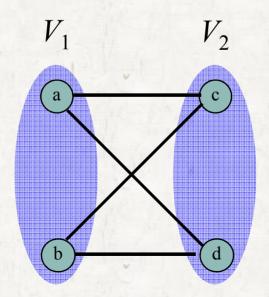




• The number of edges with *n* vertices?

#### • A bipartite graph

• An undirected graph G = (V,E) in which V can be partitioned into two sets  $V_1$  and  $V_2$  such that for each edge (u,v), either  $u \in V_1$  and  $v \in V_2$  or  $u \in V_2$  and  $v \in V_1$ .

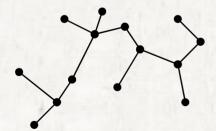


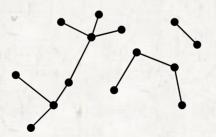
#### • Forest

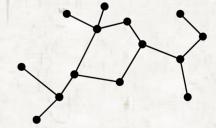
• An acyclic, undirected graph

#### • Tree

- A connected forest
- A connected, acyclic, undirected graph

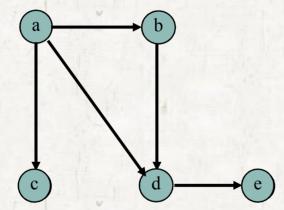






#### o Dag

• A directed acyclic graph



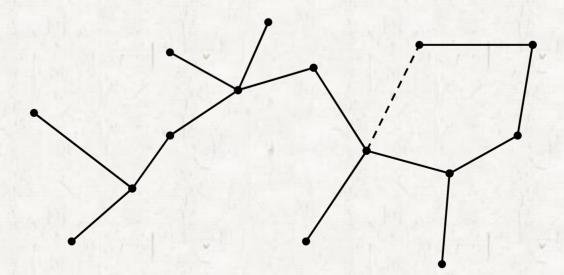
#### Handshaking lemma

• If G = (V, E) is an undirected graph

$$\sum_{v \in V} \text{degree}(v) = 2 \mid E \mid$$

#### • Tree: connected, acyclic, and undirected graph

- Any two vertices are connected by a unique simple path.
- If any edge is removed, the resulting graph is disconnected.
- If any edge is added, the resulting graph contains a cycle.
- |E| = |V| 1



#### • G is a tree.

- = G is a connected, acyclic, and undirected graph
- = In G, any two vertices are connected by a unique simple path.
- = G is connected, and if any edge is removed, the resulting graph is disconnected.
- = G is connected, |E| = |V| 1.
- = G is acyclic, |E| = |V| 1.
- = G is acyclic, but if any edge is added, the resulting graph contains a cycle.

### • The number of edges

- Directed graph
  - $|E| \le |V|^2$
- Undirected graph
  - $|E| \le |V| (|V|-1) / 2$

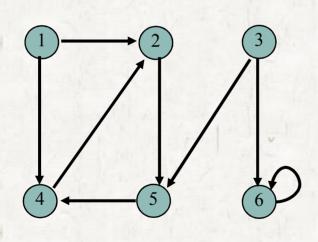
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  - Graphs basics
  - Graph representation
- Searching a graph
  - Breadth-first search
  - Depth-first search
- Applications of depth-first search
  - Topological sort

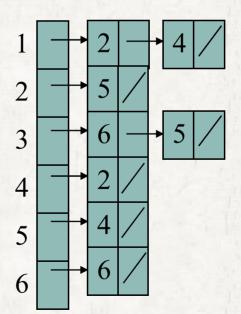
- Representations of graphs
  - Adjacency-list representation
  - Adjacency-matrix representation

#### Adjacency-list representation

- An array of |V| lists, one for each vertex.
- For vertex u, its adjacency list contains all vertices adjacent to u.

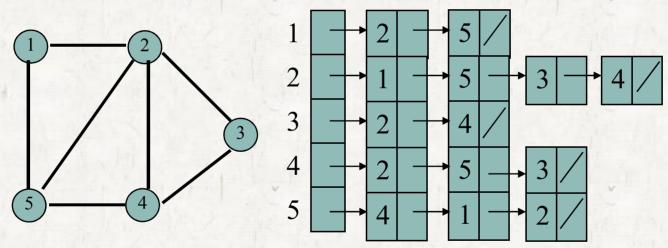


A directed graph



#### Adjacency-list representation

• For an undirected graph, its directed version is stored.

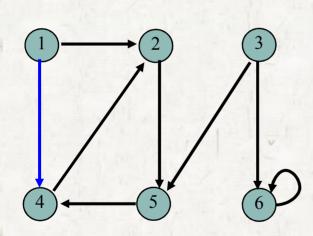


An undirected graph

•  $\Theta(V+E)$  space

#### Adjacency-matrix representation

- $|V| \times |V|$  matrix:  $\Theta(V^2)$  space
- Entry (i,j) is 1 if there is an edge and 0 otherwise.

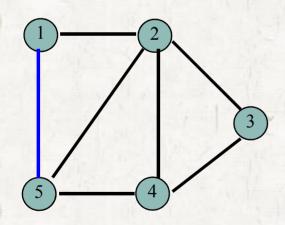


A directed graph

	1	2	3	4	5	6
1	0	1	0	1	0	0
2	0	0	0	0		0
3	0	0	0	0	1 -	1
4	0	1	0		0	0
5	0	0	0	1	0	0
6	0	0	0	0	0	1

#### Adjacency-matrix representation

- $|V| \times |V|$  matrix
- Entry (i,j) is 1 if there is an edge and 0 otherwise.

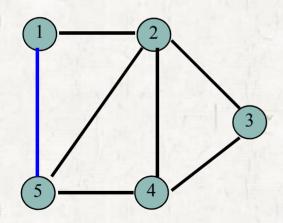


An undirected graph

	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0

#### Adjacency-matrix representation

- For an undirected graph, there is a symmetry along the main diagonal of its adjacency matrix.
- Storing the lower matrix is enough.



An undirected graph

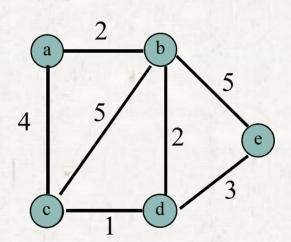
	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0

- Comparison of adjacency list an adjacency matrix
  - Storage
    - If G is sparse, adjacency list is better.
      - because  $|E| < |V|^2$ .
    - If G is dense, adjacency matrix is better.
      - because adjacency matrix uses only one bit for an entry.
  - Edge present test: does an edge (*i,j*) exist?
    - Adjacency matrix:  $\Theta(1)$  time.
    - Adjacency list: O(V) time.

- Comparison of adjacency list and adjacency matrix
  - Listing or visiting all edges
    - Adjacency matrix:  $\Theta(V^2)$  time.
    - Adjacency list:  $\Theta(V + E)$  time.

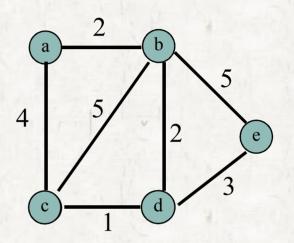
### Weighted graph

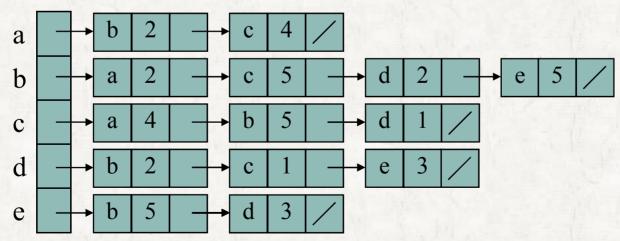
• Edges have weights.



# **Graph representation**

- Weighted graph representation
  - adjacency list





# **Graph representation**

## Weighted graph representation

- adjacency matrix
  - $\Theta(V^2)$  space

a	) 2	-b	-
4	5/	2	) (e)
C	) 1	_d	3

	a	b	c	d	e
a	0	2	4	0	0
b	2	0	5	2	5
c	4	5	0	1	0
d	0	2	1	0	3
e	0	5	0	3	0

# **Graph representation**

#### Transpose of a matrix

- The *transpose* of a matrix  $A = (a_{ij})$  is
- $A^T = (a_{ij}^T)$  where  $a_{ij}^T = a_{ji}$
- An undirected graph is its own transpose:  $A = A^{T}$ .

$$\begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix}^T = \begin{bmatrix} a_{11} & a_{21} & a_{31} \\ a_{12} & a_{22} & a_{32} \\ a_{13} & a_{23} & a_{33} \end{bmatrix}$$

# Self-study

#### • Exercise 22.1-3

• The transpose of a directed graph

#### • Exercise 22.1-4

• Removing duplicate edges in a multigraph in O(V+E) time.

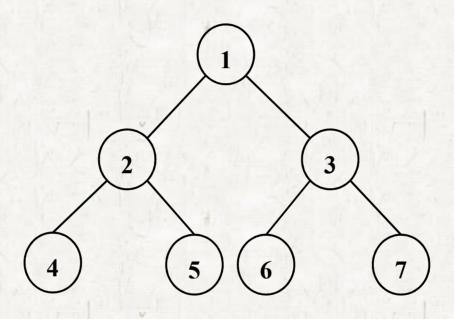
#### • Exercise 22.1-6

• Universal sink detection in O(V) time.

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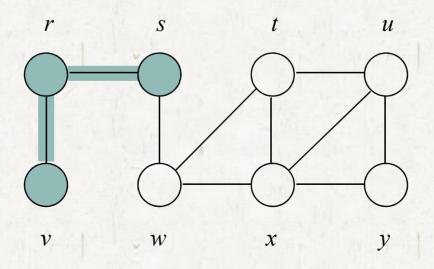
# Searching a tree



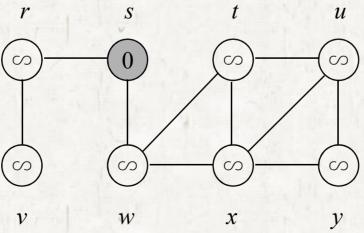
- Breadth-first search
- Depth-first search

#### • Distance

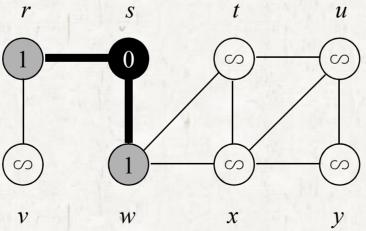
- Distance from *u* to *v* 
  - $\bullet$  The number of edges in the shortest path from u to v.
  - The distance from s to v is 2.



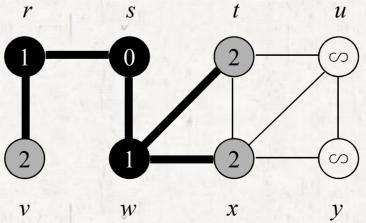
- Given a graph G = (V, E) and a **source** vertex s, it explores the edges of G to "discover" every reachable vertex from s.
- It discovers vertices in the increasing order of distance from the source. It first discovers all vertices at distance 1, then 2, and etc.



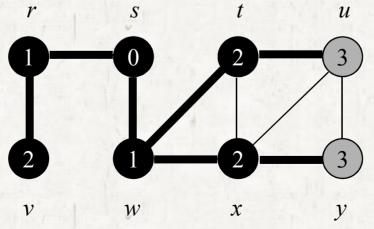
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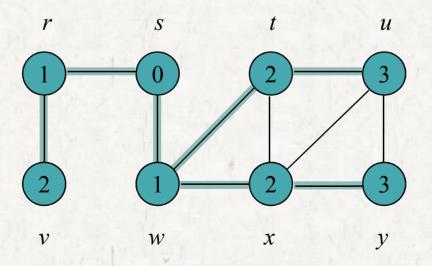
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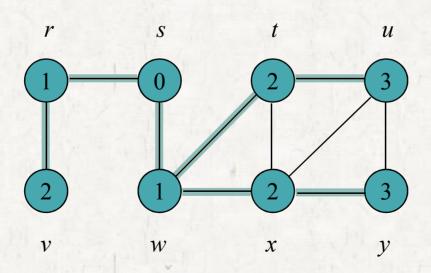
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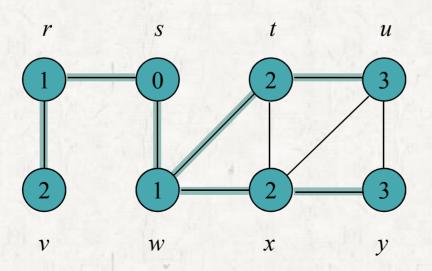
- It also computes
  - the distance of vertices from the source: u.d = 3
  - the predecessor of vertices:  $u.\pi = t$



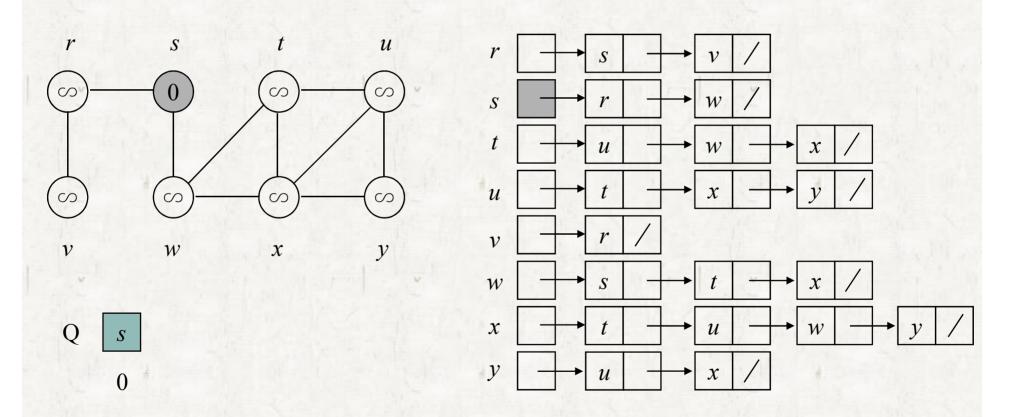
- The predecessor subgraph of G as  $G_{\pi} = (V_{\pi}, E_{\pi})$ ,
  - $V_{\pi} = \{ v \subseteq V : v \cdot \pi \neq \text{NIL} \} \cup \{ s \}$
  - $E_{\pi} = \{(v.\pi, v) : v \in V_{\pi} \{s\}\}.$

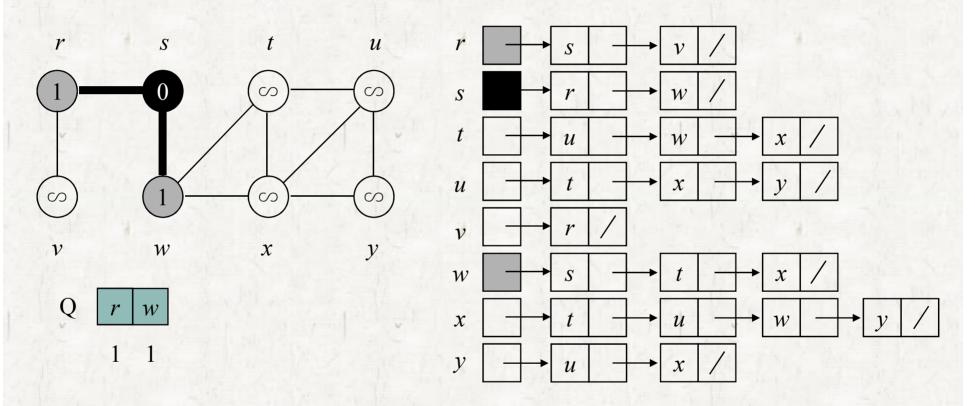


- The predecessor subgraph  $G_{\pi}$  is a breadth-first tree.
  - since it is connected and  $|E_{\pi}| = |V_{\pi}| 1$ .
  - The edges in  $E_{\pi}$  are called *tree edges*.

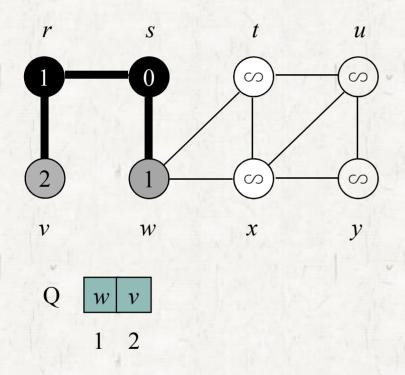


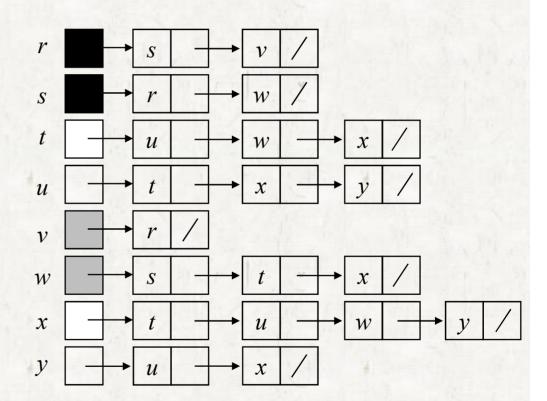
```
BFS(G, s)
   for each vertex u \in G.V - \{s\}
         u.color = WHITE
        u.d = \infty
        u.\pi = NIL
  s.color = GRAY
6 	 s.d = 0
7 s.\pi = NIL
8 \quad Q = \emptyset
  ENQUEUE(Q, s)
10 while Q \neq \emptyset
        u = DEQUEUE(Q)
11
        for each v \in G.Adj[u]
12
             if v.color == WHITE
13
                 v.color = GRAY
14
                 v.d = u.d + 1
15
16
                 v.\pi = u
                 ENQUEUE(Q, v)
17
        u.color = BLACK
18
```

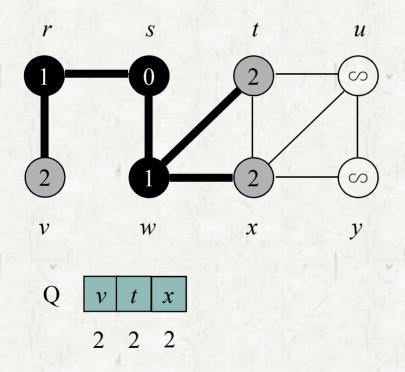


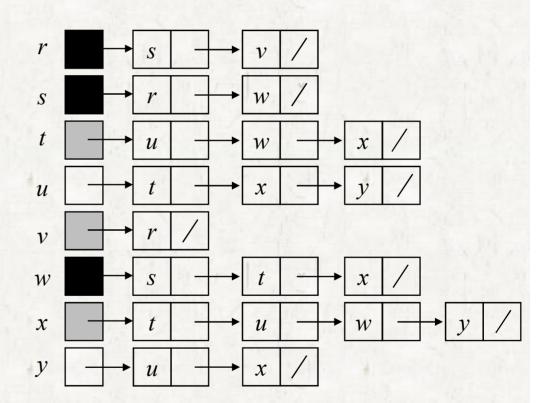


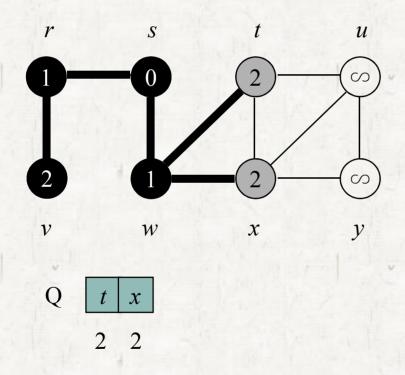
- white: not discovered (not entered the Q)
- gray: discovered (in the Q)
- black: finished (out of the Q)

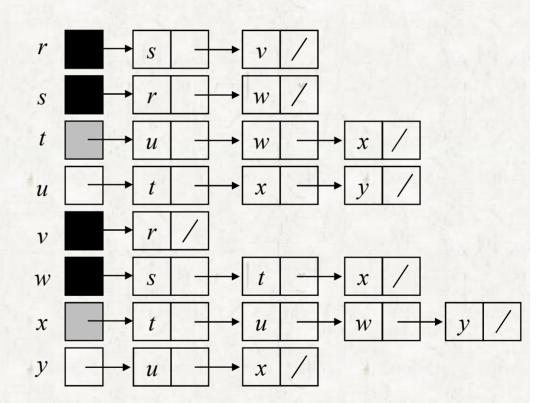


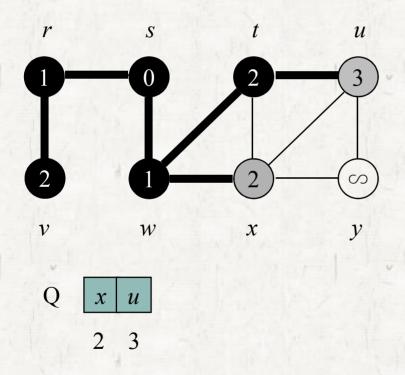


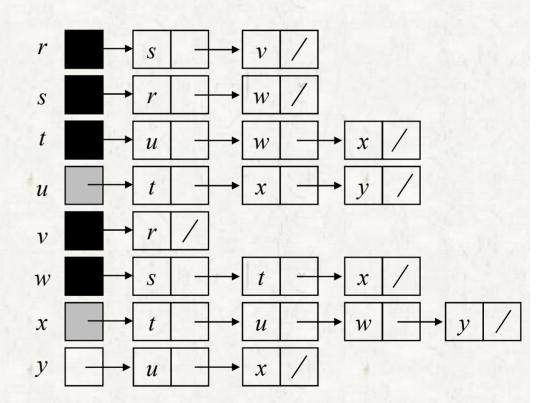


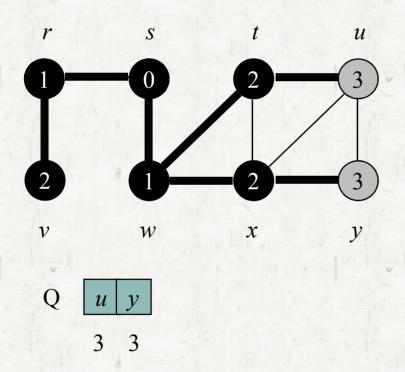


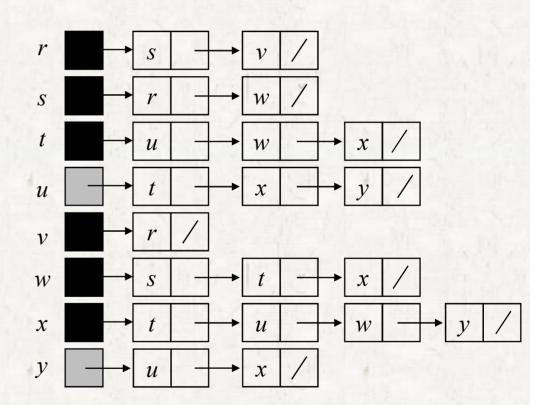


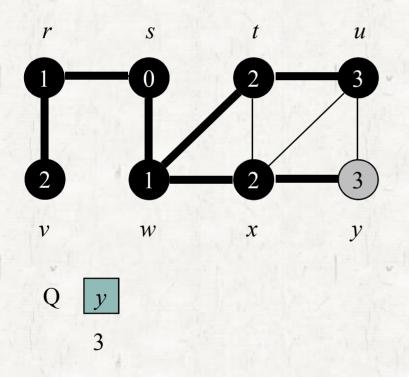


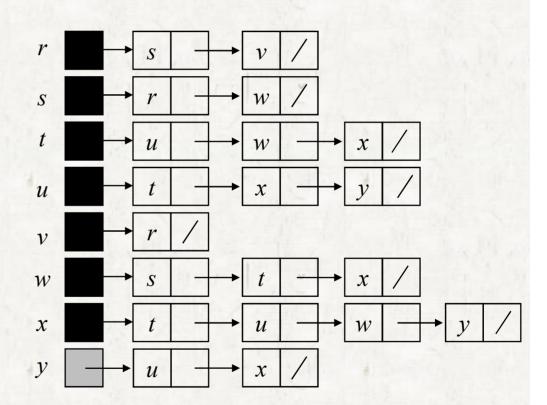


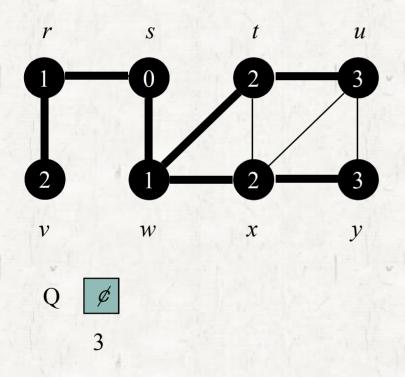


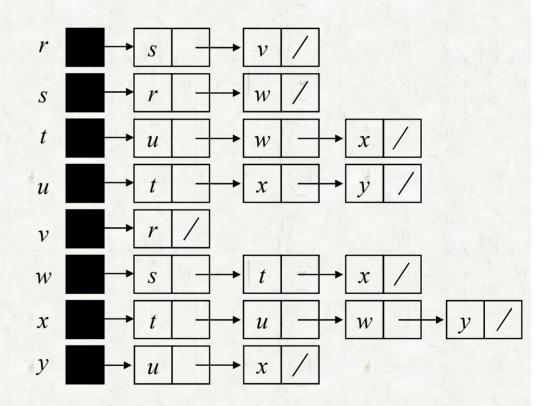












```
BFS(G, s)
   for each vertex u \in G.V - \{s\}
         u.color = WHITE
        u.d = \infty
        u.\pi = NIL
  s.color = GRAY
6 	 s.d = 0
7 s.\pi = NIL
8 \quad Q = \emptyset
  ENQUEUE(Q, s)
10 while Q \neq \emptyset
        u = DEQUEUE(Q)
11
        for each v \in G.Adj[u]
12
             if v.color == WHITE
13
                 v.color = GRAY
14
                 v.d = u.d + 1
15
16
                 v.\pi = u
                 ENQUEUE(Q, v)
17
        u.color = BLACK
18
```

- Running time
  - Initialization:  $\Theta(V)$
  - Exploring the graph: O(V+E)
    - A vertex is examined at most once.
    - An edge is explored at most twice.
  - Overall: O(V+E)

# Self-study

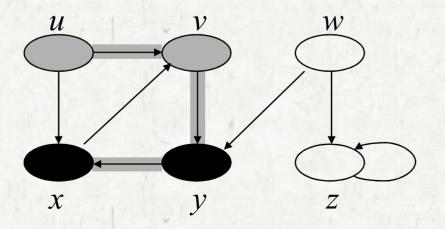
- Exercise 22.2-4 (22.2-3 in the 2<sup>nd</sup> ed.)
  - The running time of BFS with adjacency matrix representation.
- Exercise 22.2-6 (22.2-5 in the 2<sup>nd</sup> ed.)
  - Impossible breadth-first trees.
- Exercise 22.2-7 (22.2-6 in the 2<sup>nd</sup> ed.)
  - Rivalry

### **Contents**

- o Graphs
  - Graphs basics
  - Graph representation
- o Searching a graph
  - Breadth-first search
  - Depth-first search
- Applications of depth-first search
  - Topological sort

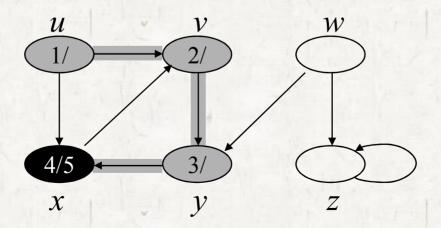
### Colors of vertices

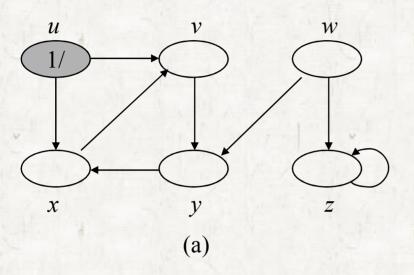
- Each vertex is initially white. (not discovered)
- The vertex is *grayed* when it is *discovered*.
- The vertex is *blackened* when it is *finished*, that is, when its adjacency list has been examined completely.

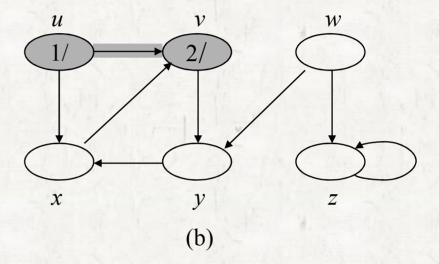


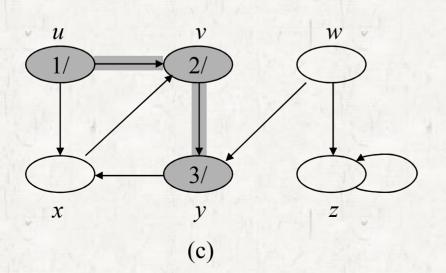
## Timestamps

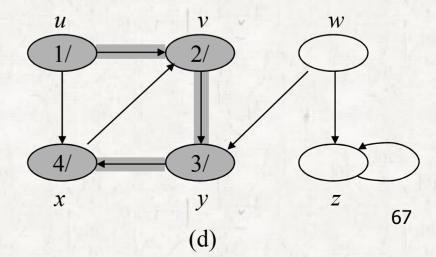
- Each vertex *v* has two timestamps.
  - v.d: discovery time (when v is grayed)
  - v.f: finishing time (when v is blacken)

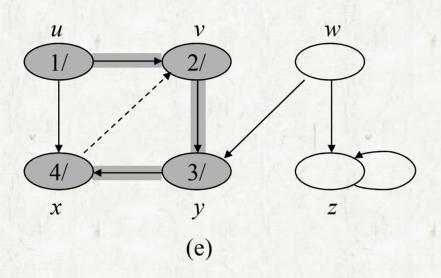


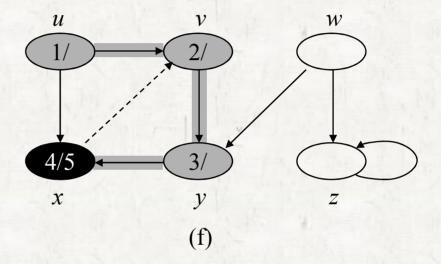


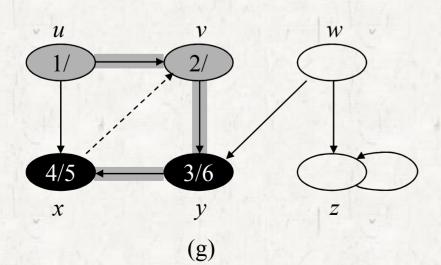


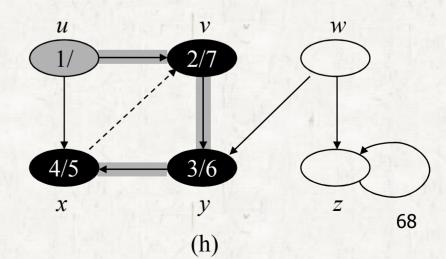


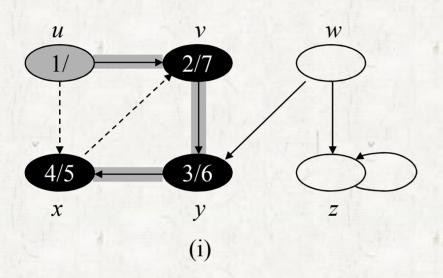


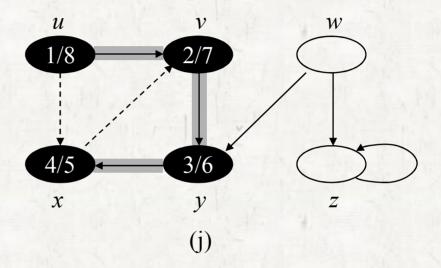


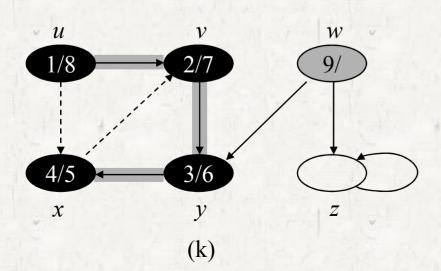


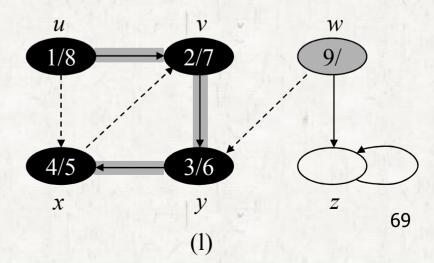


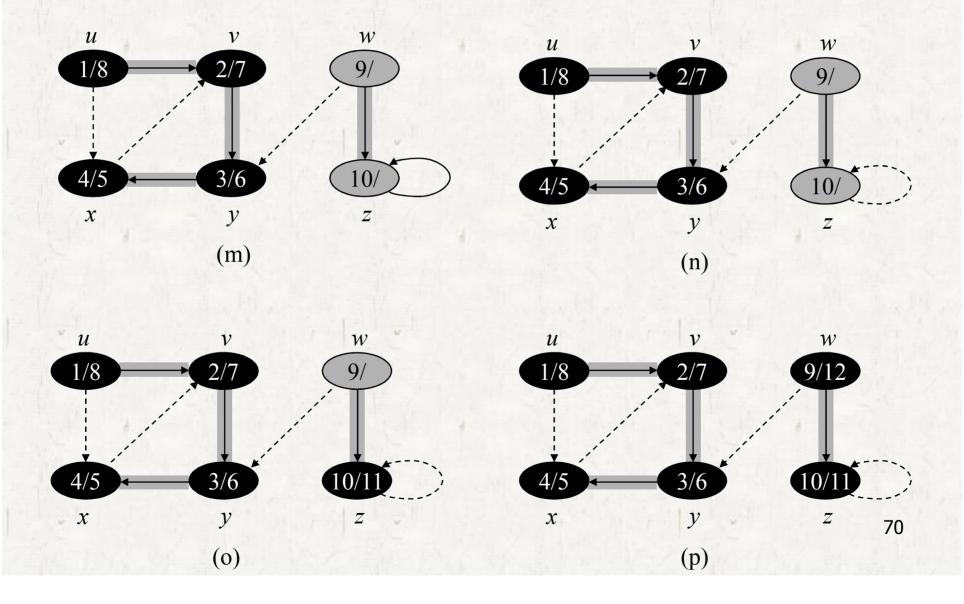




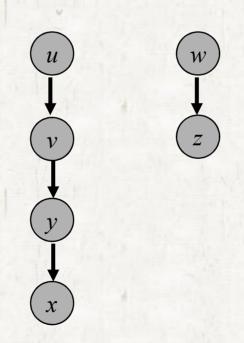




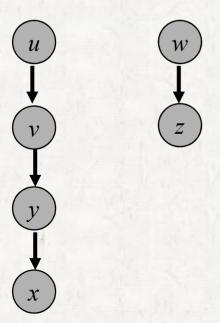


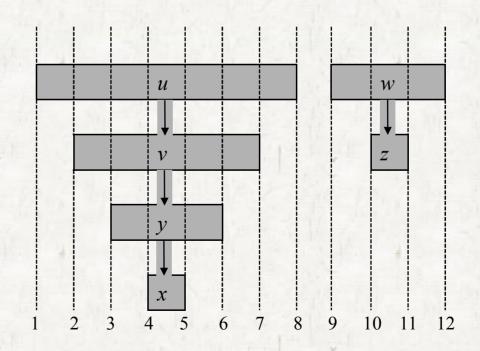


• The predecessor subgraph is a depth-first forest.

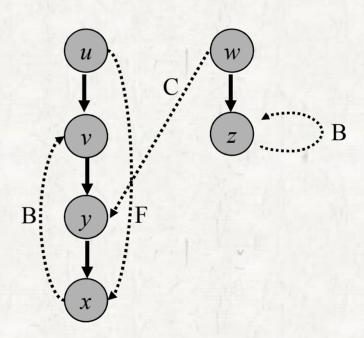


- Parenthesis theorem (for gray interval)
  - *Inclusion*: The ancestor's includes the descendants'.
  - Disjoint: Otherwise.





- Classification of edges
  - Tree edges
  - Back edges
  - Forward edges
  - Cross edges

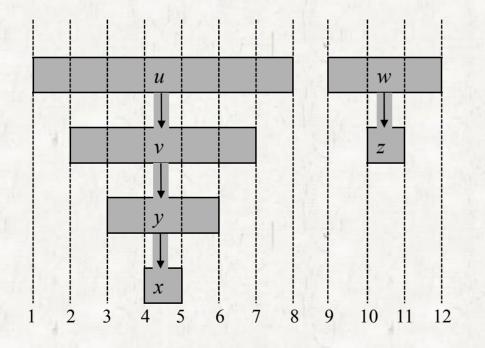


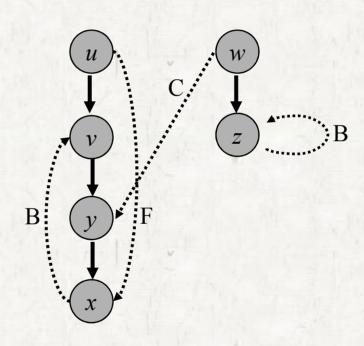
### Classification of edges

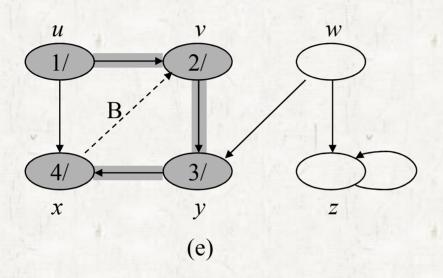
- Tree edges: Edges in the depth-first forest.
- **Back edges**: Those edges (u, v) connecting a vertex u to an ancestor v in a depth-first tree. Self-loops are considered to be back edges.
- Forward edges: Those edges (u, v) connecting a vertex u to a descendant v in a depth-first tree.
- *Cross edges*: All other edges. They can go between vertices in the same depth-first tree, as long as one vertex is not an ancestor of the other, or they can go between vertices in different depth-first trees.

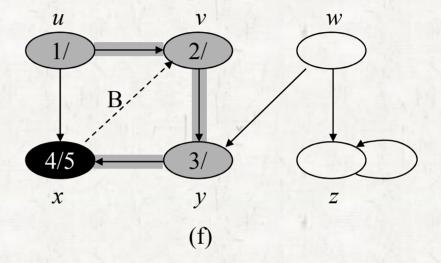
### Classification by the DFS algorithm

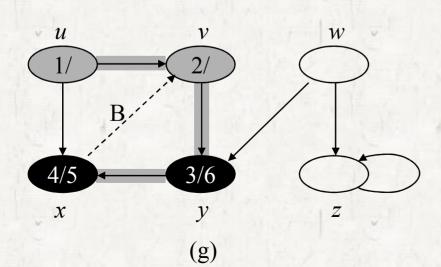
- Each edge (u, v) can be classified by the color of the vertex v that is reached when the edge is first explored:
  - white indicates a tree edge,
  - gray indicates a back edge, and
  - black indicates a forward or cross edge.
- Forward and cross edges are classified by the inclusion of gray intervals of u and v.

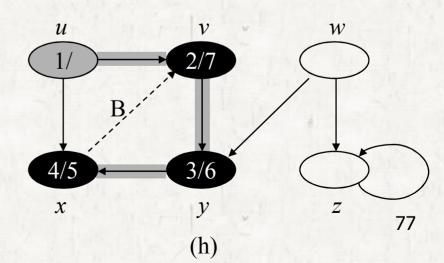


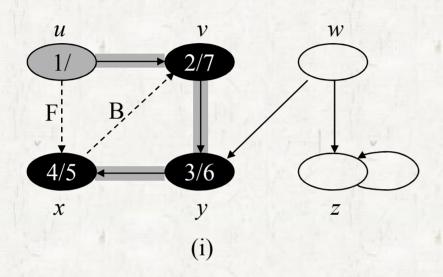


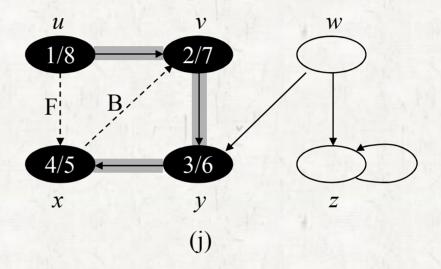


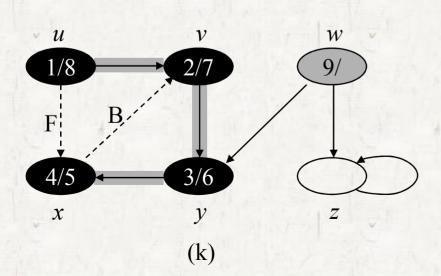


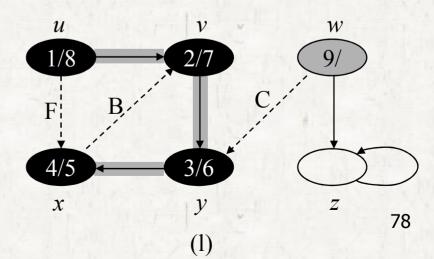


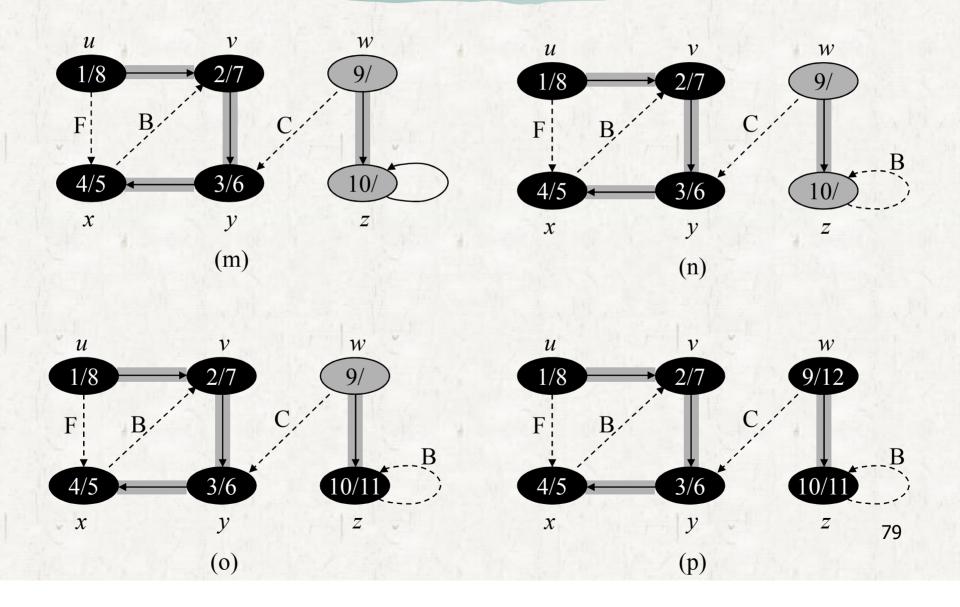












- In a depth-first search of an *undirected graph*, every edge of G is either a *tree edge* or a *back edge*.
  - Forward edge?
  - Cross edge?

- Running Time
  - $\Theta(V+E)$

## **Self-study**

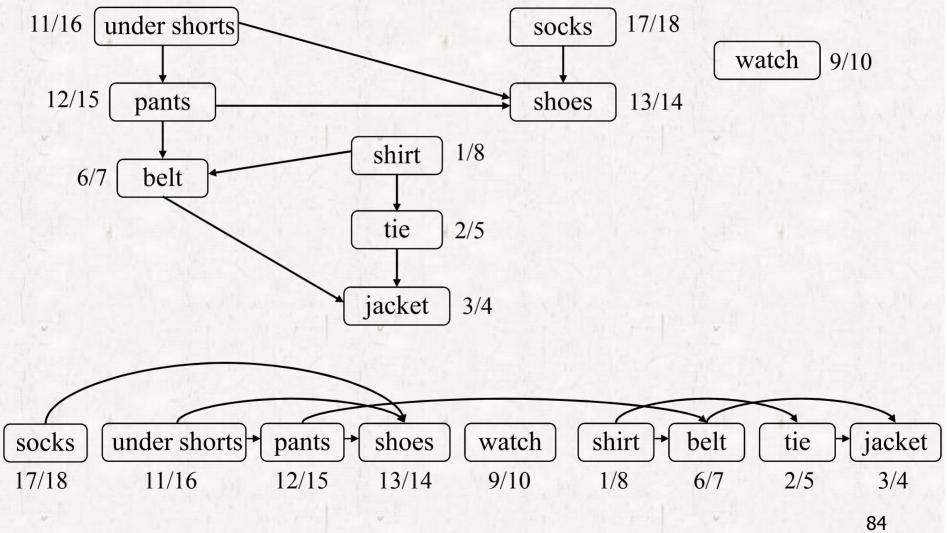
- Exercise 22.3-5 (22.3-4 in the 2<sup>nd</sup> ed.)
  - Edge classification
- Problem 22-2 a-d
  - Articulation points

### **Contents**

- o Graphs
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### • Definition

• Given a DAG (directed acyclic graph), generate a linear ordering of all its vertices such that all edges go from left to right.



#### o Main ideas

- Successively place a node from the *left* with 0 *in-degree*.
- Successively place a node from the *right* with 0 *out-degree*.
- Run DFS on G and place the nodes from the *right* in the *increasing order of the finishing time*.
- $\Theta(V+E)$  time

### Correctness

- If there is an edge from u to v, then  $v \cdot f < u \cdot f$ .
- A directed graph G is *acyclic* if and only if a depth-first search of G yields *no back edges*.

## Self-study

#### • Exercise 22.4-2

• Computing the number of simple paths from *s* to *t* in linear time.

#### • Exercise 22.4-3

• Cycle detection in an undirected graph.

#### • Exercise 22.4-5

• Another topological sort algorithm.

## **Programming Assignment**

- Depth-first search and its applications
  - Exercise 22.3-10 (22.3-9, 2<sup>nd</sup> ed.) (#1)
    - Depth-first search with edge classification
  - Exercise 22.3-12 (22.3-11, 2<sup>nd</sup> ed.) (#2)
    - Connected component identification
  - Topological sort (#3)
    - The program should detect whether the input is a DAG or not.