

United States Department of Agriculture
Animal and Plant Health Inspection Service
4700 River Road
Riverdale, MD 20737

Permit to Receive Soil
Regulated by 7 CFR 330

This permit was generated electronically via the ePermits system.

PERMITTEE NAME:	Dr. Whendee Silver	PERMIT NUMBER:	P330-14-00232
COMPANY:	University of California, Berkeley	APPLICATION NUMBER:	P525-140530-001
RECEIVING ADDRESS:	137 Mulford Hall #3114 Berkeley, CA 94720	DATE ISSUED:	08/12/2014
MAILING ADDRESS:	137 Mulford Hall #3114 Berkeley, CA 94720		
PHONE:	(510) 643-3074		
FAX:		EXPIRES:	06/25/2017

PORTS OF ARRIVAL/PLANT INSPECTION STATIONS: AK, Anchorage; AL, Huntsville; AL, Mobile; AZ, Douglas; AZ, Lukeville; AZ, Naco; AZ, Nogales; AZ, Phoenix; AZ, San Luis; AZ, Tucson; CA, Calexico; CA, El Segundo; CA, Fresno; CA, Long Beach; CA, Oakland; CA, Ontario; CA, Otay Mesa; CA, Port Hueneme; CA, Sacramento; CA, San Diego; CA, San Francisco; CA, San Jose; CA, San Ysidro; CA, Tecate; CO, Denver; CT, Hartford; CT, New Haven; DE, Dover; DE, Wilmington; FL, Ft. Lauderdale; FL, Ft. Myers; FL, Ft. Pierce; FL, Jacksonville; FL, Key West; FL, Miami; FL, Orlando; FL, Pensacola; FL, Port Canaveral; FL, Port Everglades; FL, Sanford; FL, Tampa; FL, West Palm Beach; GA, Atlanta; GA, Savannah; GU, Agana; HI, Hilo; HI, Honolulu; HI, Kahului; HI, Kailua-Kona; HI, Lihue; ID, Eastport; IL, Chicago; IN, Indianapolis; KY, Louisville; MA, South Boston; MD, Baltimore; MD, Beltsville; ME, Bangor; ME, Calais; ME, Houlton; ME, Portland; MI, Detroit; MI, Port Huron; MI, Romulus; MI, Sault Saint Marie; MN, Duluth; MN, Grand Portage; MN, International Falls; MN, Minneapolis; MO, Kansas City; MO, St. Louis; MP, Commonwealth of the Northern Mariana Islands; MS, Gulfport; MS, Port Bienville; MT, Raymond; MT, Roosville; MT, Sweetgrass; NC, Raleigh; NC, Wilmington; ND, Dunseith; ND, Pembina; ND, Portal; NJ, Linden; NJ, Newark; NM, Albuquerque; NM, Columbus; NM, Santa Teresa; NV, Las Vegas; NY, Albany; NY, Alexandria Bay; NY, Brooklyn; NY, Buffalo; NY, Champlain, Rouses Point; NY, Jamaica; NY, Jamaica; NY, Newburgh; OH, Ashtabula; OH, Cincinnati; OH, Cleveland; OH, Columbus; OH, Toledo; OH, Wilmington; OK, Oklahoma City; OR, Portland; PA, Allentown; PA, Harrisburg; PA, Philadelphia; PA, Pittsburgh; PA, Scranton; PR, Aguadilla; PR, Carolina; PR, Fajardo; PR, Mayaguez; PR, Ponce; RI, Warwick/Providence; SC, Charleston; TN, Memphis; TN, Nashville; TX, Austin; TX, Brownsville; TX, Corpus Christi; TX, Dallas; TX, Del Rio; TX, Eagle Pass; TX, El Paso; TX, Fabens; TX, Falcon; TX, Fort Hancock; TX, Galveston; TX, Hidalgo; TX, Humble; TX, Laredo; TX, Los Indios; TX, Pharr; TX, Port Arthur; TX, Presidio; TX, Progresso; TX, Rio Grande City; TX, Roma; TX, San Antonio; TX, Victoria; UT, Salt Lake City; VA, Dulles; VA, Norfolk; VI, St. Croix; VI, St. Thomas; VT, Berlin; WA, Blaine; WA, Oroville; WA, Port Angeles; WA, SeaTac; WA, Sumas; WI, Green Bay; WI, Milwaukee

HAND CARRY: Yes

Under the conditions specified, this permit authorizes the following:

Quantity of Soil per Shipment and Treatment

Over 3 lbs - Your facility MUST be inspected and approved to receive this soil

SPECIAL INSTRUCTIONS TO PERMITTEE

See permit conditions below

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Mark A. Stull

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DATE

06/25/2014

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INSTRUCTIONS TO DHS CBP INSPECTORS FOR IMPORTED SOIL SHIPMENTS ROUTED TO RECEIVING FACILITY:

For hand carry of soil, an official of CBP Agricultural Programs and Trade Liaison (APTL) would have been notified to document and facilitate the entry of the soil (See hand carry conditions below if stipulated). Otherwise:

1. Validate the permit in ePermits using the CBP search feature by logging on to:
<https://epermits.aphis.usda.gov/epermits>
2. Confirm that the shipment is being routed directly to a USDA APHIS PPQ Inspected Facility authorized to receive soil by logging on to: <https://web01.aphis.usda.gov/PPQ/AuthSoilLabs.nsf/web?openform>
3. Confirm that the imported shipment has a valid USDA PPQ Form 550 Black/White label.
4. Confirm that the carrier of the shipment imported under this USDA PPQ 525 permit is commercially bonded.
5. For questions or concerns, contact the USDA APHIS PPQ Permit Unit in Riverdale, MD, at 866-524-5421 and ask to speak with a compliance officer.

PERMIT GUIDANCE

Receipt or use of foreign isolates or samples from countries under sanctions requires specific permission from the U.S. Department of Treasury (see <http://www.treasury.gov/resource-center/sanctions/Programs/Pages/Programs.aspx> for current country/regional listings) for current country listings.

This permit does not authorize importation, interstate movement, possession, and/or use of strains of genetically engineered regulated organisms (created by the use of recombinant DNA technology).

If an animal pathogen is identified in your shipment, to ensure appropriate safeguarding, please refer to http://www.aphis.usda.gov/import_export/animals/animal_import/animal_imports_anproducts.sh

tml.

If a human pathogen is identified, please see the CDC Etiologic Agent Import Permit Program at <http://www.cdc.gov/od/caipp/>

This permit does not fulfill the requirements of other federal or state regulatory authorities. As appropriate, please contact the U.S. Environmental Protection Agency, the U.S. Fish and Wildlife Service, the U.S. Food and Drug Administration, the Centers for Disease Control and Prevention, the APHIS Veterinary Services unit, or your State's Department of Agriculture to ensure proper permitting.

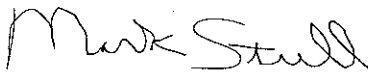
If you are considering renewal of this permit, an application should be submitted at least 90 days prior to the expiration date of this permit to ensure continued coverage. Permits requiring containment facilities may take a longer period of time to process.

This permit authorizes the importation of soil from all foreign sources (except countries with sanctions or embargoes by U.S. State Department) only for chemical/ physical analysis in a controlled laboratory environment at the named facility on the permit.

PERMIT CONDITIONS

This permit authorizes the importation of soil from all foreign sources (except countries with sanctions or embargoes by U.S. State Department), and interstate/ domestic movement of soil from Hawaii, the contiguous U.S., the continental U.S., and all U.S. territories for chemical/ physical analysis including the isolation and/or culture of Deoxyribonucleic Acid (DNA) or Ribonucleic Acid (RNA) in a controlled laboratory environment at the named facility on the permit. This permit also authorizes the hand carry of soil subject to hand carry conditions stipulated below.

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1. This permit is issued only for the named permit holder at the address(s) identified on this permit. This permit cannot be transferred or assigned.
2. The permit holder verifies United States residency by initialing and accepting these permit conditions. If you are not a United States resident, it is unlawful for you to initial or accept these permit conditions because a USDA 525 soil Permit can only be issued to United States residents.
3. The permit holder is solely responsible for ensuring compliance with all statutory requirements and specifically listed permit conditions. Failure to comply with the terms and conditions of this permit is cause for the following: (a) cancellation of this permit, (b) cancellation of other permits issued to the permit holder, (c) seizure and/or destruction of regulated organisms, (d) denial of future permit applications by this permit holder, (e) liability for civil penalties, and (f) criminal prosecution under provisions in the Plant Protection Act.
4. Any alteration, forgery, unauthorized use of this permit and/or associated Federal Forms are subject to civil and criminal penalties including fines and imprisonment.
5. This permit must not be used for the movement or use of plant pathogens listed in the Public Health Security and Bioterrorism Preparedness and Response Act of 2002. If any organism listed as a Select Agent is identified from materials associated with this research, the permit holder is required to notify APHIS, Agricultural Select Agent Program (ASAP) within one business day by phone at 301-851-3300, and within seven (7) days submit APHIS/CDC Form 4 (Report of Identification of a Select Agent or Toxin in a Clinical or Diagnostic Laboratory) to APHIS, ASAP; 4700 River Rd, Unit 2, Riverdale, MD 20737 (see instructions at: http://www.aphis.usda.gov/programs/ag_selectagent/index.shtml). Failure to comply with this requirement is a violation of the Agricultural Bioterrorism Protection Act of 2002.
6. If a regulated organism is received in this shipment, the permit holder must take all prudent measures to contain the organism(s) and notify the permit unit within one business day by calling 866-524-5421 or by e-mail to pest.permits@aphis.usda.gov. The permit holder must immediately notify the permit unit of the destruction of regulated organisms received under this permit, as above. Similarly, the permit holder must immediately notify the permit unit if facilities are destroyed or decommissioned for any reason.
7. You as the permit holder are responsible for maintaining a valid permit for as long as the soil is in your possession. APHIS does not issue extensions or renewals of existing permits; the permit holder must submit a new permit application at least three months prior to the expiration of this permit, and obtain a new permit to continue uninterrupted authorization for the soil approved under this permit.
8. If an accidental release into the environment occurs, notification must be made within one business day to APHIS, PPQ, 4700 River Rd., unit 133; Riverdale, MD 20737; 866-524-5421. A written report of the incident must be submitted identifying: (a) the name of the permit holder (responsible person), (b) the permit number, (c) the country or State of origin of the soil, (d) the nature of the release, and (e) measures taken to contain, reduce or limit the effects of the accidentally released soil. Any plans prepared to contain, reduce or limit the effects of the accidentally released soil may be submitted as developed.
9. Without prior notice and during reasonable hours, authorized PPQ and/or State regulatory officials shall be allowed to inspect the conditions associated with the regulated soil authorized under this permit.
10. The permit holder must maintain an official permanent work assignment at the address identified on this permit. If the permit holder ceases assignment/affiliation at the address identified on this permit for any reason or circumstances change in any way, then a compliance officer must be notified at the PPQ permit unit immediately (that is, within one business day) by either (a) email to pest.permits@aphis.usda.gov, (b) fax to 301-734-3000 or 301-5392, or (c) conventional mail to USDA PPQ Permit Unit, 4700 River Road, Riverdale, MD 20737. When the permit holder departs from the organization/facility, the permit holder must either (a) request cancellation of this permit and comply with all permit-specific termination conditions, (b) apply for and receive a permit for the soil to a new facility, or (c) relinquish control of the regulated soil to a qualified individual who obtained a permit for the continued use of this regulated soil prior to this permit holder's departure.
11. A copy of this permit must accompany all shipments authorized under this permit.

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06/05/2014

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12. CBP-AI and PPQ have the authority to order and approve treatment, re-exportation or destruction of a shipment, a portion of a shipment or any other material associated with the shipment (i.e. pallets, packaging, and means of conveyance). If an official of CBP-AI or PPQ determines that the shipment requires treatment as a condition of entry, is contaminated with a quarantine plant pest or pests, is commingled with prohibited plant material or the required documentation is incomplete or missing, then that official may order and approve treatment, re-exportation or destruction of a shipment, a portion of a shipment or any other material associated with the shipment (i.e. pallets, packaging, means of conveyance).

13. All solid wood packing material (SWPM) accompanying the shipment must be in compliance with ISPM 15 treatment regulations and IPPC stamp requirements and enforcement. Noncompliant shipments will be treated, re-exported or destroyed at the consignee's expense.

14. All costs and arrangements for safeguarding and transportation of the cargo are the responsibility of the importer, broker or other parties associated with the shipment.

15. All operations must be consistent with information submitted in association with the above listed APHIS-PPQ inspected facility and subject to the conditions below.

16. Soil must be shipped in a securely closed, watertight container (primary container, test tube, vial, etc.) which must be enclosed in a second, durable watertight container (secondary container).

17. The shipment must be free from foreign matter or debris, plants and plant parts including noxious weeds and infestations by other organisms such as insects, Cyst nematodes, mollusks and acari. Authorized material found to be commingled with unauthorized material will be subject to the same action (i.e. re-export, destruction) as unauthorized material.

18. The imported article must be released without treatment at the port of entry to the permittee's address listed on the permit or label or to an authorized user only if the final destination is an approved facility listed at <https://web01.aphis.usda.gov/np/AuthSoilLabs.usf/web?openform>.

19. The soil must not be used in field research or release into the environment before sterilization.

The soil must not be used for isolation or culture of organisms, or for extracting and concentrating organisms from the soil.

The soil must not be used as a growing medium.

20. Further distribution of soil is not allowed without prior approval from Federal officials [State Plant Health Director or designee] (or from Federal officials with State concurrence): Access the website at <http://www.aphis.usda.gov/ppq/sphd/> for a list of State Plant Health Offices. Access the website at <http://nationalplantboard.org/member/index.html> for a list of State Plant Regulatory Officials.

21. While in storage, all soil must be kept locked (e.g. in freezer, cabinet) in the approved lab with access limited to authorized personnel or they will be in a restricted access building that requires a key card entry and access is restricted to authorized personnel only; or it must be in locked room restricted to authorized personnel only.

22. The soil must be handled as quarantined material until sterilized. This will include keeping the soil enclosed in containers when not in use and labeling all containers and/or storage areas: "Quarantine Soil- Sterilize Before Disposal"

23. All packing material, media, substrate, and shipping containers must be sterilized or destroyed as approved and prescribed by the permit conditions after removing the soil.

24. All unconsumed soil, containers and effluent must be autoclaved, incinerated or properly sterilized by the permittee at the conclusion of the project as approved and prescribed by the permit conditions.

25. Any water residues (effluent) from the processing of soil samples must be treated by an approved sterilization procedure such as hydroclave or autoclave.

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26. All soil residues must be dry-heated, incinerated or autoclaved.

Dry Heat Treatment: use one of the following schedules:

- 110- 120.5 degrees C (230-249 F) for 16 hours
- 121-154 degrees C (250-309 F) for 2 hours
- 154.4 - 192.5 degrees C (310-379 F) for 30 minutes
- 193-220 degrees C (380-429 F) for 4 minutes
- 221-232 degrees C (430-450) for 2 minutes

Time starts when the entire sample reaches the required temperature, and a suitable temperature probe must be used for verification.

Autoclave soil and other material using the following conditions:

- a. Soil must be autoclaved at 121 degrees Centigrade (250 degrees Fahrenheit) for a minimum of 30 minutes at 15 psi.
- b. Autoclave tape or other indicators must be placed on each bag or sharps container prior to treatment. The autoclave tape or other indicator on each container must be checked to verify color change before disposal.
- c. The autoclave log must be completed by each user for each autoclave cycle. All parameters must be noted as listed on the log for each autoclave load.
- d. If the autoclave does not attain the minimum time and/or temperature or the autoclave tape does not change color, a notation must be made in the comment section of the autoclave log. The load must then be re-autoclaved after placing new tape on the material. If minimum time and temperature is not attained on the second cycle, users must contact the person responsible for maintaining the unit to initiate repairs. Waste must then be treated at an alternate autoclave facility that is approved by USDA.
- e. Thermometers on the autoclave must be calibrated annually, and a written record must be maintained. This must be done by an authorized autoclave service company during routine servicing.
- f. Every 6 months, you should use a commercially available test indicator kit that uses bacterial spores *Bacillus stearothermophilus* that are rendered unviable at 250 degrees F or 121 degrees C. For the test, ampules of *B. stearothermophilus* are autoclaved along with a load of waste. Upon completion of the cycle, the ampules are incubated for 48 hours and then observed for any sign of growth, which indicates insufficient sterilization. If any growth is observed, you must have the autoclave serviced and retested.

Incineration:

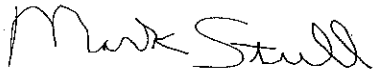
With the exception of metal and glass containers, all regulated and associated material must be reduced completely to ash at the end of the incineration cycle.

27. Equipment and supplies used to conduct operations or that have contacted the soil must be decontaminated using one of the following methods:

- (a) Material can be soaked in a fresh bleach solution of 10 percent (1:10) for at least 30 minutes. (1:10 is a convention that means 1 in 10 or 1 part 9 parts = 10 parts total, which is a 10 percent solution)
- (b) Material can be soaked in 70 percent ethanol
- (c) Flamed with ethanol
- (d) Treated with quaternary ammonium compounds.

Note also that autoclaving, hydroclave, incineration, and dry heat sterilization are also acceptable sterilization/decontamination methods.

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28. You must attach a new 550 Black/White label to the exterior of each shipment being imported under this permit. If you are unable to request labels using the My shipment/my label option within ePermits at least 7 days prior to the date of entry, labels may be requested by email at:

BlackWhiteGreenYellow.labelrequest@aphis.usda.gov. All email requests must come from the permit holder or their authorized contact. If you do not have an authorized contact the permit holder must be copied on all requests. You must specify PPQ Form 550 Black/White label for the specific port(s) of entry and number of labels for each port when requesting labels. The requested labels will be sent to you through a bonded carrier.

29. Underlying package labeling must carry the address, billing, and any other information required to direct the shipment to its final destination (i.e., the permit holder's address; Please note: USDA APHIS does not defray any additional shipping costs incurred for transiting the shipment through an inspection station as the initial US destination).

30. The following conditions apply to all authorized soil hand carry events:

1. Twenty days prior to each hand carry event, the permit holder or designee must notify the PPQ Permit Compliance Officer or designee by email (BlackWhiteGreenYellow.labelrequest@aphis.usda.gov) to provide specific information such as:

- hand carrier's name
- anticipated first port of entry into the United States
- actual date of arrival in the US
- detailed description of transport method: if border crossing, license plate number; or if travel by airline, the flight number.

An official notification to Civil Agricultural Programs and Trade Liaison (APTL) to document and facilitate the entry of the soil will be sent from the permit unit.

2. The hand carrier must indicate that soil is being imported under a USDA permit on the Customs Declaration form if such form is required at the port of entry.

3. At the port of entry, individuals carrying permitted soil must also present to CBP officers the following articles:

- U.S. Passport or permanent resident alien card
- Valid hand carry PPQ Form 550 Black/White label corresponding to the permit.

4. All hand carried articles must be securely packaged in compliance with permit conditions. Non-compliance will result in any of the following actions enforced by US CBP:

- seizure of the package for processing,
 - clearance or destruction, or
 - require its movement to the nearest PPQ Inspection Station.
- The permit holder is responsible for all costs incidental to such actions.

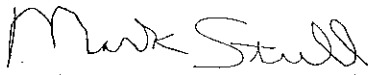
5. Hand carried soil must be transported directly to the containment facility authorized in the permit.

6. The permit unit must be notified of the arrival of the soil by an independent third party (e.g. containment facility director, departmental chair, campus biosafety officer, etc.) within 24 hours of the first business day via fax (301-734-5392) or email (BlackWhiteGreenYellow.labelrequest@aphis.usda.gov). The notification must include the permit number, label number, date of arrival, the origin of the soil, and quantity. Failure to notify the permit unit may result in loss of hand carry privileges. A PPQ inspector may also visit the facility to confirm the arrival of the package and its contents.

7. Person(s) whose name(s) is/are listed within the issued permit conditions is/are authorized to hand-carry.

8. Permit holder must request within the e-permits mylabel/myshipment feature or request using the email address (BlackWhiteGreenYellow.labelrequest@aphis.usda.gov) to receive new PPQ Form 550 Black/White labels for each hand carry event, once the required information is submitted. The black and white labels prepared for bonded carriers cannot be interchanged with hand carry labels. Illegal use of 550 Black/White labels may cause seizure by the

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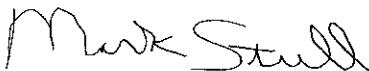
Department of Homeland Security and destruction.

Further guidance on Hand Carry can be obtained from:
http://www.aphis.usda.gov/plant_health/permits/organism/soil/handcarry.shtml

END OF PERMIT CONDITIONS

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