## Word Embeddings

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(many slides from Greg Durrett)

#### Administrivia

- Problem Set 1 is due on 2/9 (Thursday)
- Project 1 will be released soon
  - Feedforward Neural Network for Fake News Classification

- Project 2 will be LSTM Part-of-Speech Tagger
- Project 3 will be Seq-to-Seq model for Chatbot

### Readings

• Reading: <u>Eisenstein 3.3.4, 14.5, 14.6</u>, <u>J+M 6</u>, <u>Goldberg 5</u>

#### This Lecture

Word representations

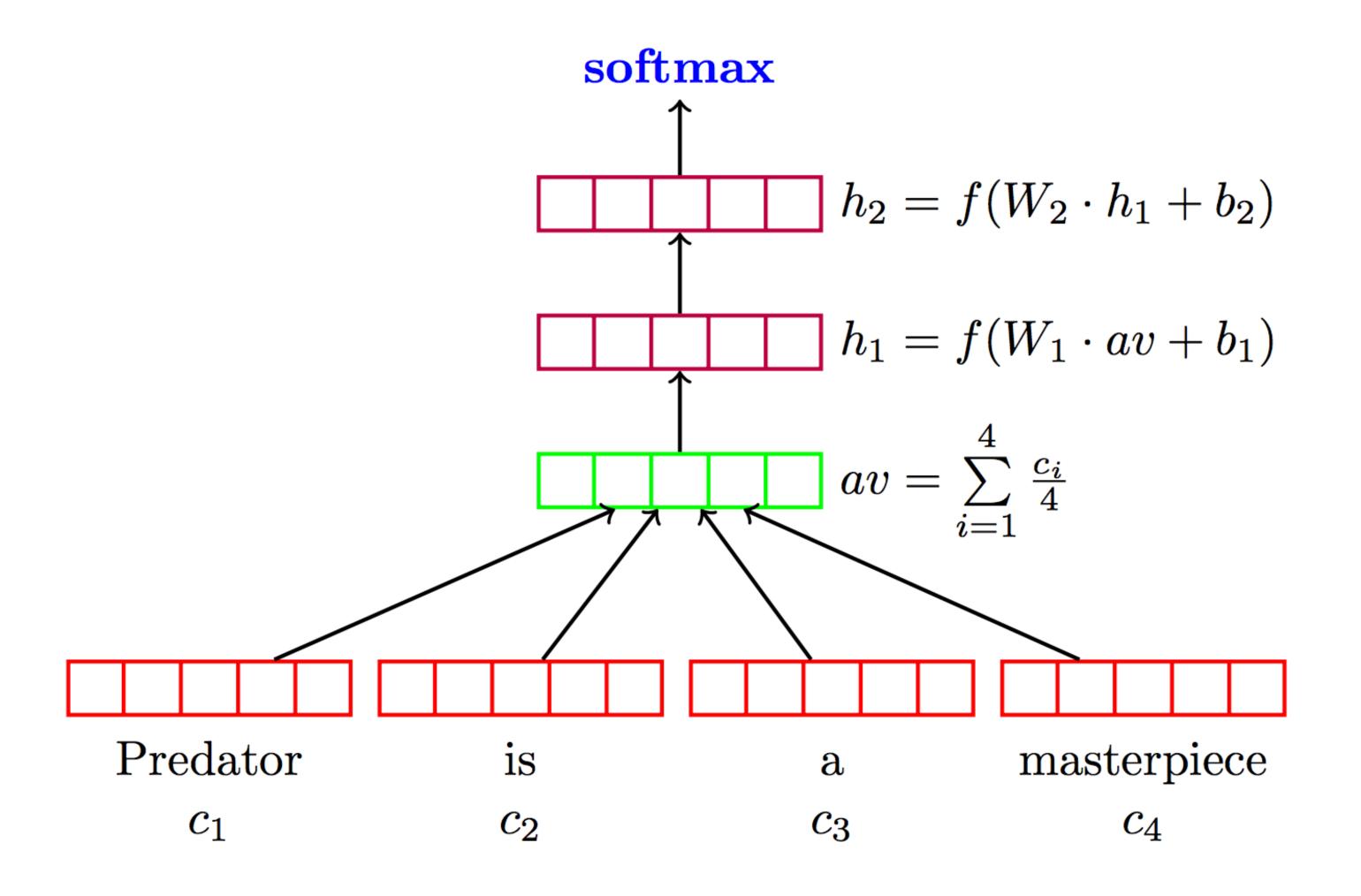
word2vec/GloVe

Evaluating word embeddings

## Word Representations

#### Sentiment Analysis

 Deep Averaging Networks: feedforward neural network on average of word embeddings from input



lyyer et al. (2015)

#### Word Embeddings

Want a vector space where similar words have similar embeddings

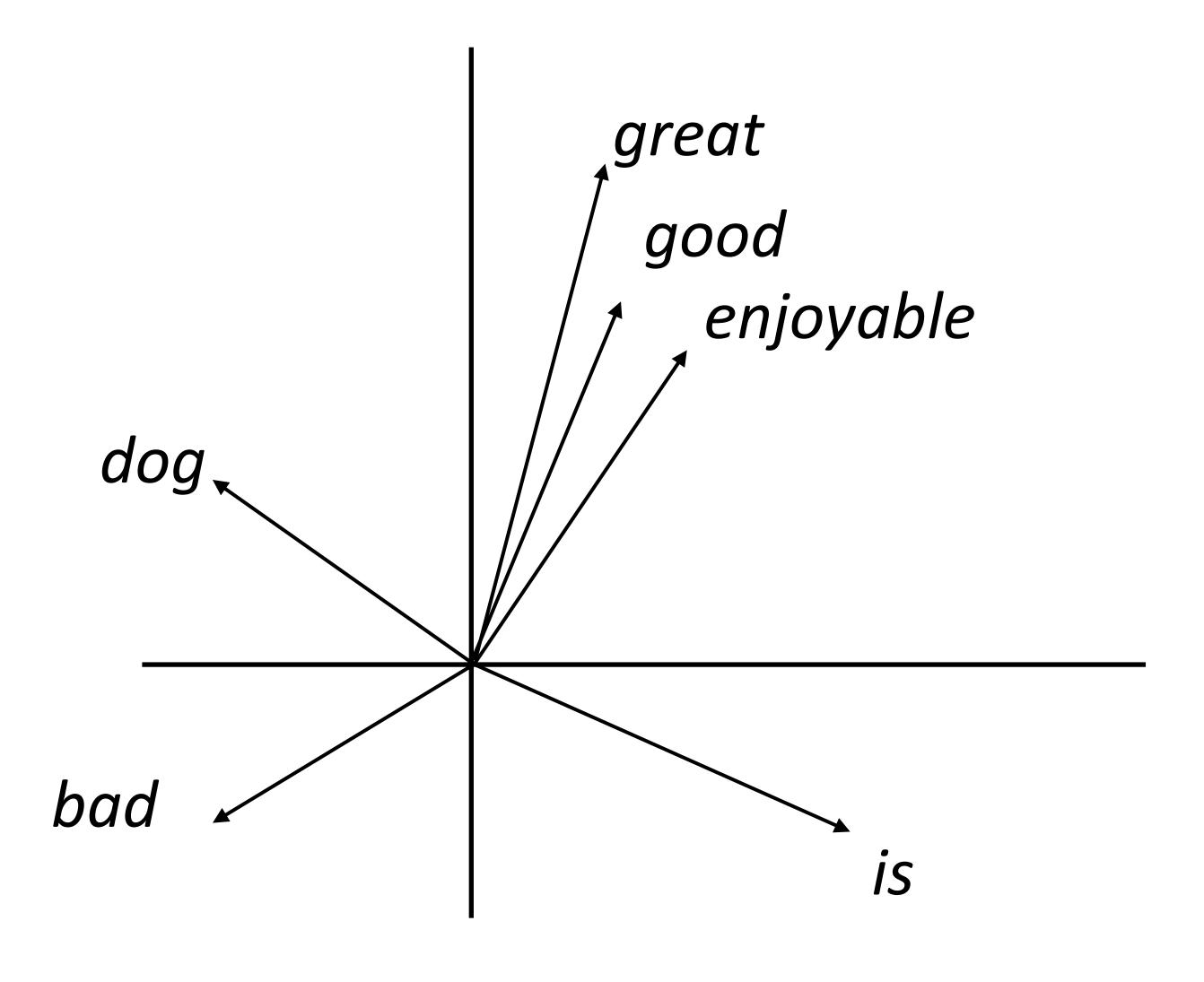
the movie was great

~

the movie was good

Goal: come up with a way to produce these embeddings

For each word, want
 "medium" dimensional vector
 (50-300 dims) representing it.

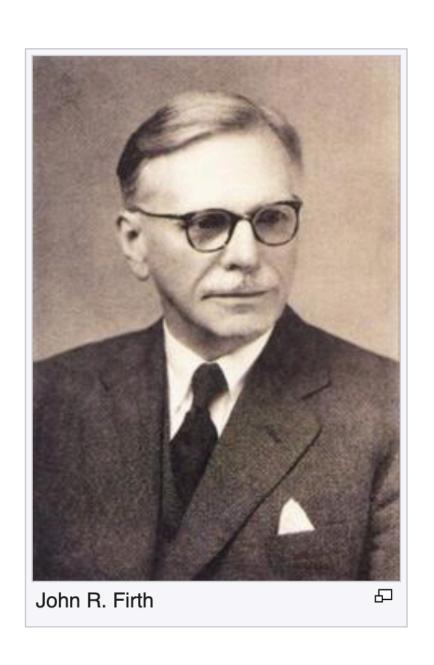


#### Word Representations

- Neural networks work very well at continuous data, but words are discrete
- Continuous model <-> expects continuous semantics from input
- "You shall know a word by the company it keeps" Firth (1957)

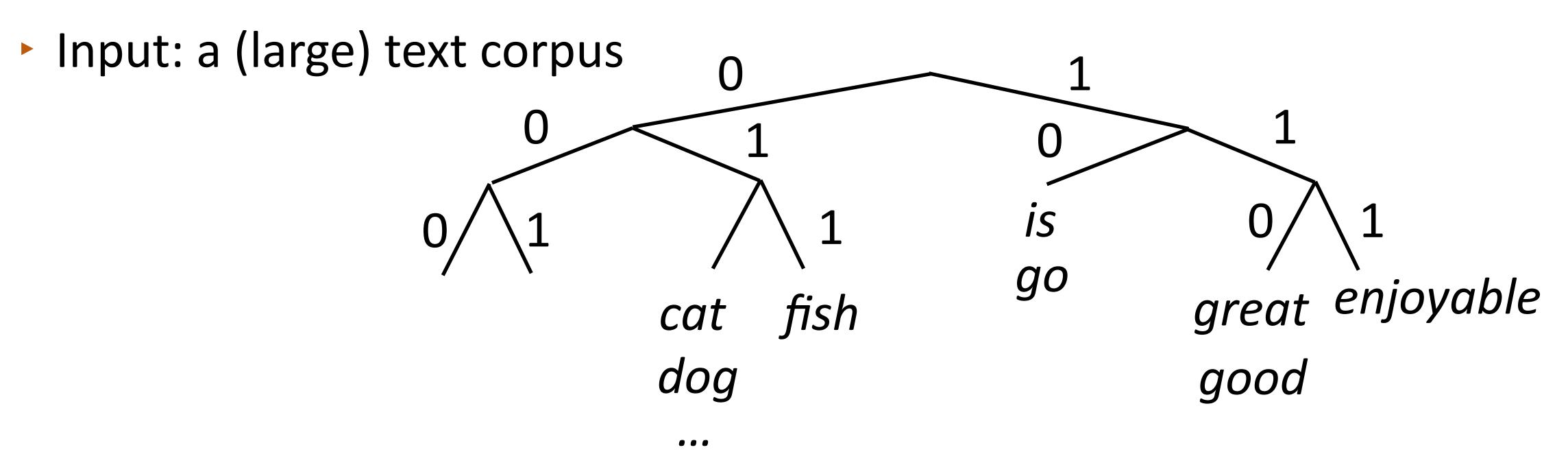
A bottle of *tesgüino* is on the table Everybody likes *tesgüino Tesgüino* makes you drunk

We make *tesgüino* out of corn.



#### Discrete Word Representations

 Brown clusters: hierarchical agglomerative hard clustering (each word has one cluster, not some posterior distribution like in mixture models)



- Maximize  $P(w_i|w_{i-1}) = P(c_i|c_{i-1})P(w_i|c_i)$
- Useful features for tasks like NER, not suitable for Neural Networks
   Brown et al. (1992)

#### Discrete Word Representations

- Brown clusters: hierarchical agglomerative hard clustering
- Example clusters from Miller et al. 2004

```
10000011010111
mailman
salesman
                  100000110110000
bookkeeper
                  1000001101100010
troubleshooter
                  10000011011000110
                  1000001101<mark>1000111</mark>
bouncer
technician
                  1000001101100100
                  1000001101100101
janitor
                  1000001101100110
saleswoman
                  101101110010010101011100
Nike
                  101101110010010101111010
Maytag
                  1011011100100101<mark>01111011</mark>
Generali
                  10110111001001010111110
Gap
Harley-Davidson
                  1011011100100101<mark>01111110</mark>
Enfield
                  1011011100100101<mark>011111110</mark>
                  1011011100100101<mark>01111111</mark>
genus
Microsoft
                  1011011100100101<mark>1</mark>000
                  101101110010010110010
Ventritex
Tractebel
                  1011011100100101100110
                  1011011100100101100111
Synopsys
WordPerfect
                  1011011100100101101000
                  101110010000000000
John
                  1011100100000000001
Consuelo
Jeffrey
                  1011100100000000<mark>1</mark>0
                  10111001000000001100
Kenneth
Phillip
                  101110010000000011010
WILLIAM
                  101110010000000011011
```

Timothy

1011100100000000<mark>1</mark>110

word cluster features (bit string prefix)

#### Discrete Word Representations

- Brown clusters: hierarchical agglomerative hard clustering
- We give a very brief sketch of the algorithm here:
  - k: a hyper-parameter, sort words by frequency
  - Take the top k most frequent words, put each of them in its own cluster  $c_1, c_2, c_3, \ldots c_k$
  - For i = (k+1)...|V|
    - Create a new cluster  $c_{k+1}$  (we have k+1 clusters)
    - Choose two clusters from k+1 clusters based on quality(C) and merge (back to k clusters)

$$Quality(C) = \sum_{i=1}^{n} \log e(w_i | C(w_i)) q(C(w_i) | C(w_{i-1})) = \sum_{c=1}^{k} \sum_{c'=1}^{k} p(c,c') \log \frac{p(c,c')}{p(c)p(c')} + G$$

p(c)p(c')mutual information entropy of

- Carry out k-1 final merges (full hierarchy)
- Running time  $O(\left|V\right|k^2+n)$  , n=#words in corpus

# Word Representations

- Count-based: tf\*idf, PPMI, ...
- Class-based: Brown Clusters, ...

- Distributed prediction-based embeddings: Word2vec (2013), GloVe (2014),
   FastText, ...
- Distributed contextual embeddings: ELMo (2018), BERT (2019), GPT, ...
- + many more variants: multi-sense embeddings, syntactic embeddings, ...

# word2vec/GloVe

# Neural Probabilistic Language Model

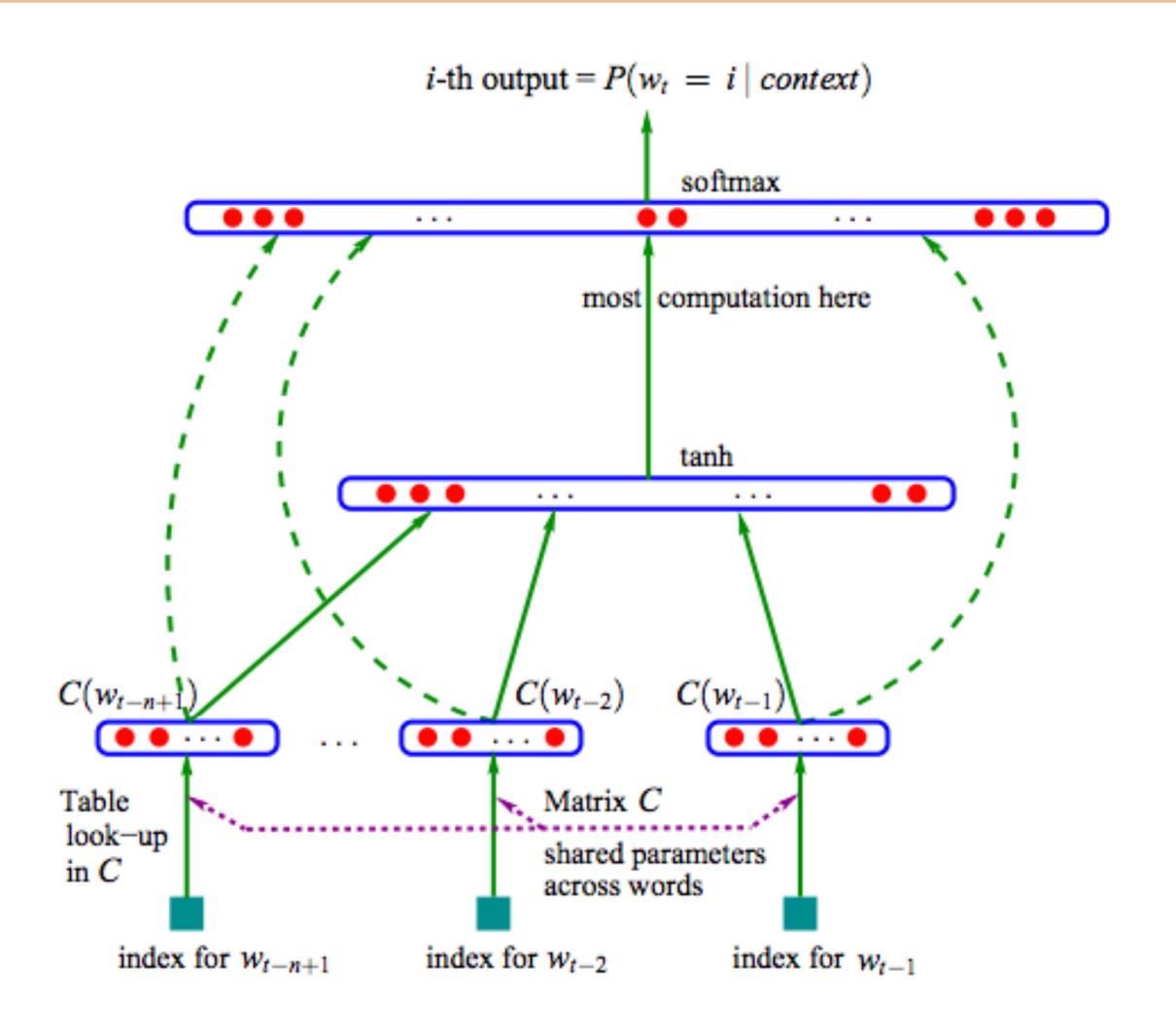
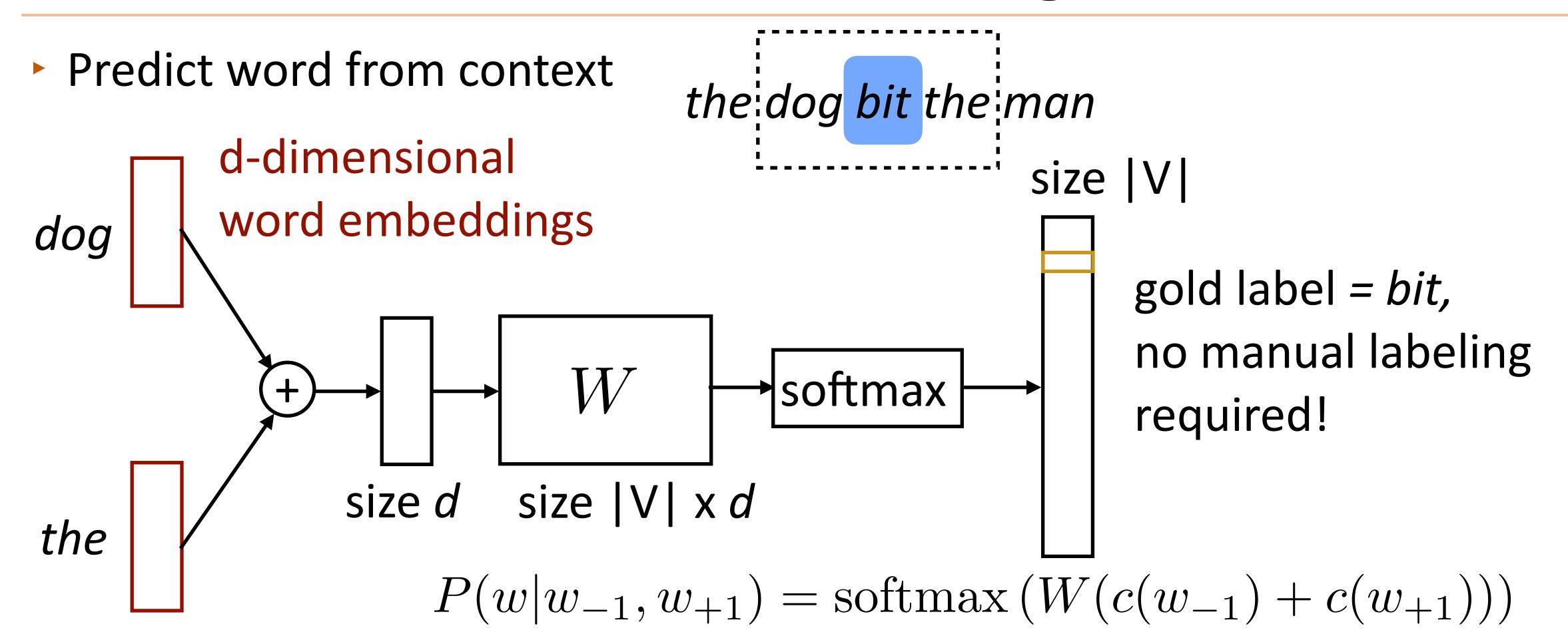


Figure 1: Neural architecture:  $f(i, w_{t-1}, \dots, w_{t-n+1}) = g(i, C(w_{t-1}), \dots, C(w_{t-n+1}))$  where g is the neural network and C(i) is the i-th word feature vector.

Bengio et al. (2003)

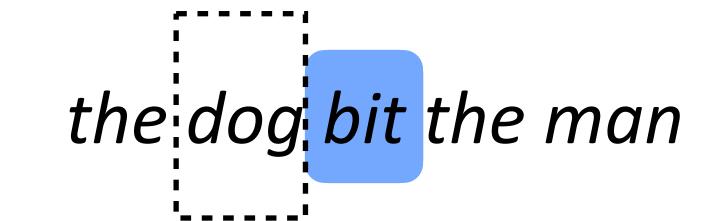
### word2vec: Continuous Bag-of-Words



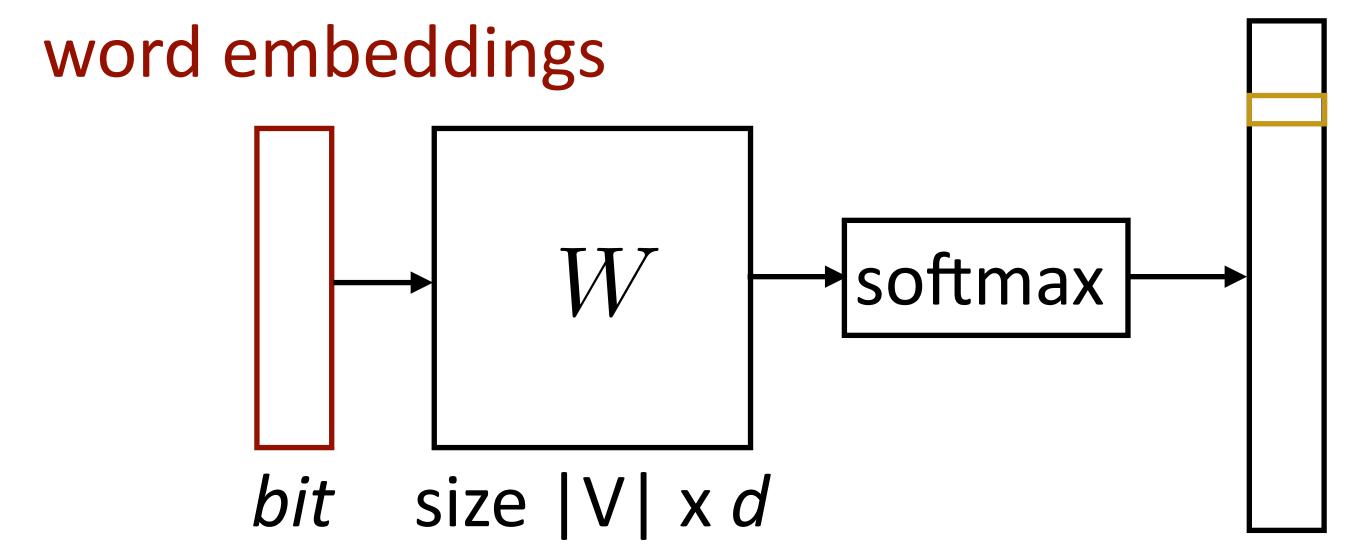
Parameters: d x |V| (one d-length context vector per voc word),
 |V| x d output parameters (W)
 Mikolov et al. (2013)

#### word2vec: Skip-Gram

Predict one word of context from word



#### d-dimensional



gold label = dog

$$P(w'|w) = \operatorname{softmax}(We(w))$$

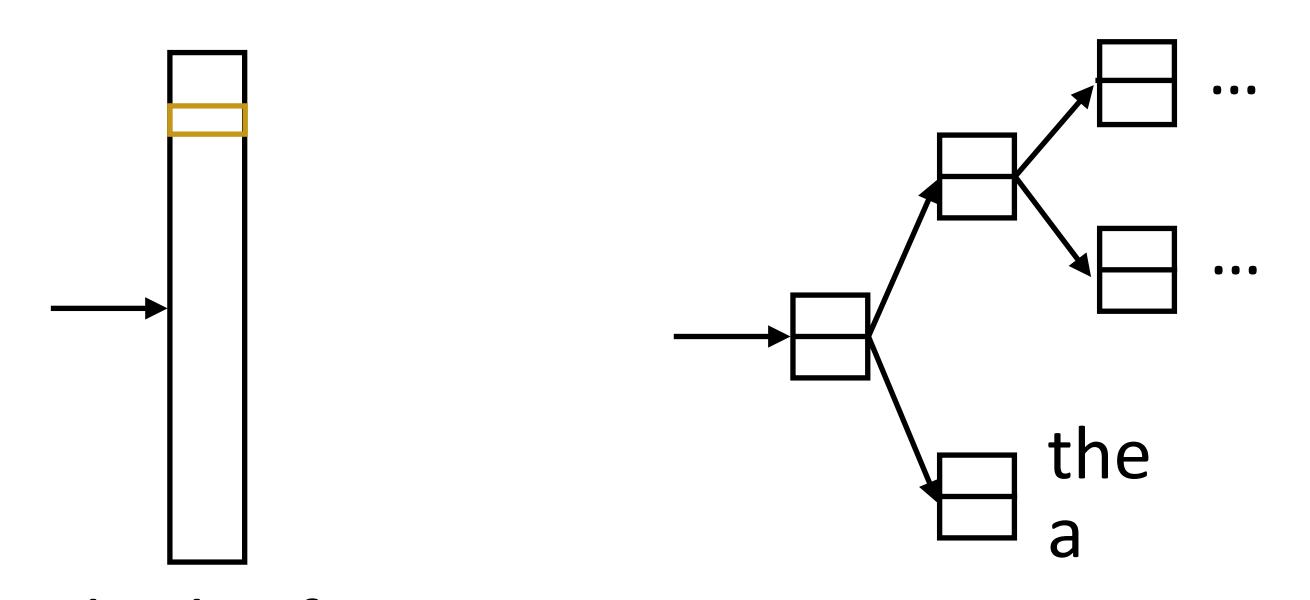
- Another training example: bit -> the
- ► Parameters: *d* x |V| vectors, |V| x *d* output parameters (W) (also usable as vectors!)

Mikolov et al. (2013)

#### Hierarchical Softmax

$$P(w|w_{-1}, w_{+1}) = \operatorname{softmax}(W(c(w_{-1}) + c(w_{+1})))$$
  $P(w'|w) = \operatorname{softmax}(We(w))$ 

Matmul + softmax over |V| is very slow to compute for CBOW and SG



- Huffman encode vocabulary, use binary classifiers to decide which branch to take
- log(|V|) binary decisions

- Standard softmax:O(|V|) dot products of size d
  - per training instance per context word

Hierarchical softmax:

O(log(|V|)) dot products of size d,

Mikolov et al. (2013)

## Skip-Gram with Negative Sampling

Take (word, context) pairs and classify them as "real" or not. Create random negative examples by sampling from unigram distribution

$$(bit, the) => +1$$
  
 $(bit, cat) => -1$   
 $(bit, a) => -1$   
 $(bit, fish) => -1$ 

the dog bit the man 
$$P(y=1|w,c)=\frac{e^{w\cdot c}}{e^{w\cdot c}+1} \text{ words in similar contexts select for similar $c$ vectors}$$

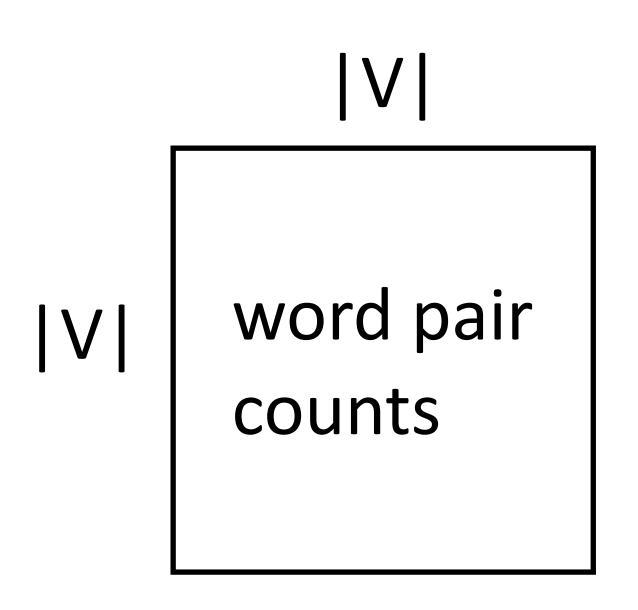
► d x |V| vectors, d x |V| context vectors (same # of params as before)

Objective = 
$$\log P(y=1|w,c) - \sum_{i=1}^k \log P(y=0|w_i,c)$$

Mikolov et al. (2013)

#### Connections with Matrix Factorization

 Skip-gram model looks at word-word co-occurrences and produces two types of vectors



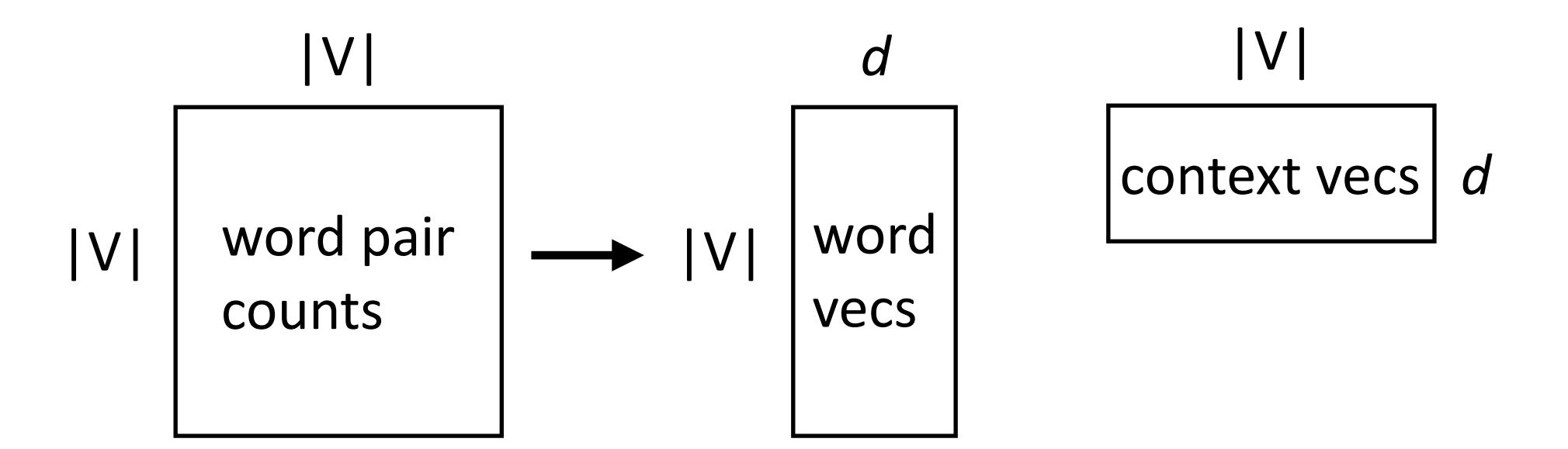
	knife	dog	sword	love	like
knife	0	1	6	5	5
dog	1	0	5	5	5
sword	6	5	0	5	5
love	5	5	5	0	5
like	5	5	5	5	2

Two words are "similar" in meaning if their context vectors are similar. Similarity == relatedness

Levy et al. (2014)

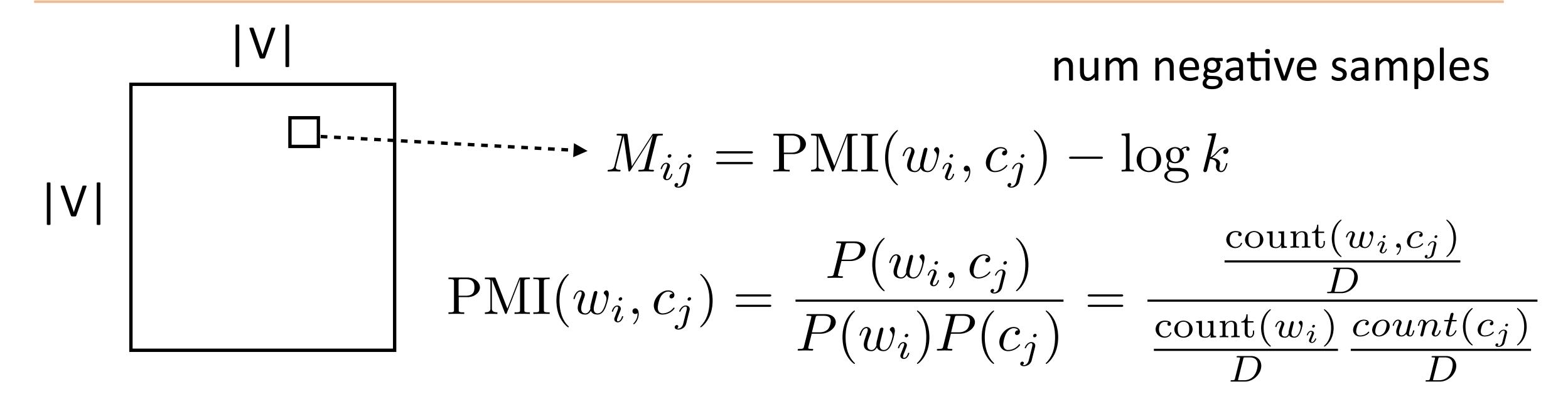
#### Connections with Matrix Factorization

 Skip-gram model looks at word-word co-occurrences and produces two types of vectors



Looks almost like a matrix factorization...can we interpret it this way?

### Skip-Gram as Matrix Factorization



Skip-gram objective exactly corresponds to factoring this matrix:

- If we sample negative examples from the unigram distribution over words
- ...and it's a weighted factorization problem (weighted by word freq)

Levy et al. (2014)

#### Co-occurence Matrix

- Typical problems in word-word co-occurrences:
  - Raw frequency is not the best measure of association between words.
  - Frequent words are often more important than rare words that only appear once or twice;
  - But, frequent words (e.g., the) that appear in all documents are also not very useful signal.
- Solutions weighing terms in word-word/word-doc co-occurrence matrix
  - Tf\*idf
  - PPMI (Positive Pairwise Mutual Information)

### Co-occurence Matrix

- Tf\*idf
  - Tf: term frequency

$$tf = \log_{10}(\text{count}(t, d) + 1)$$

#### word-doc co-occurrences

	As You Like It	Twelfth Night	Julius Caesar	Henry V
battle	1	0	7	17
solider	2	80	62	89
fool	36	58	1	4
clown	20	15	2	3

Idf: inverse document frequency

$$idf_i = \log_{10}(\frac{N}{df_i})$$

Total number of docs in collection

number of docs that have word i

## GloVe (Global Vectors)

Also operates on counts matrix, weighted regression on the log co-occurrence matrix word pair counts

Loss = 
$$\sum_{i,j} f(\operatorname{count}(w_i, c_j)) \left( w_i^{\top} c_j + a_i + b_j - \log \operatorname{count}(w_i, c_j) \right)^2$$

- Constant in the dataset size (just need counts), quadratic in voc size
- By far the most common non-contextual word vectors used today (10000+ citations)

Pennington et al. (2014)

#### Using Word Embeddings

- Approach 1: learn embeddings as parameters from your data
  - Often works pretty well
- Approach 2: initialize using GloVe/word2vec/ELMo, keep fixed
  - Faster because no need to update these parameters
- Approach 3: initialize using GloVe, fine-tune
  - Works best for some tasks, not used for ELMo, often used for BERT

### NER in Twitter

2m 2ma 2mar 2mara 2maro 2marrow 2mor 2mora 2moro 2morow 2morr 2morro 2morrow 2moz 2mr 2mro 2mrrw 2mrw 2mw tmmrw tmo tmoro tmorrow tmoz tmr tmro tmrow tmrrow tmrrw tmrw tmrww tmw tomaro tomarow tomarro tomarrow tommorow tommorow tommorow tommorow tomorow tomorow

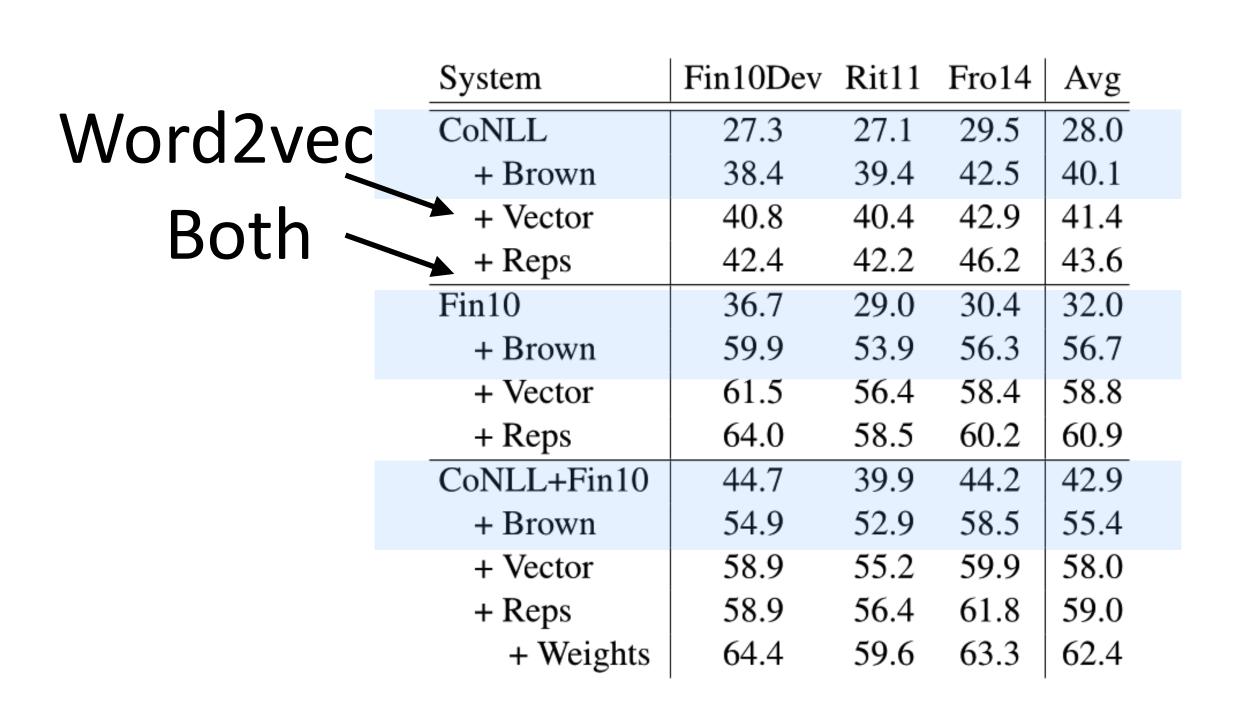


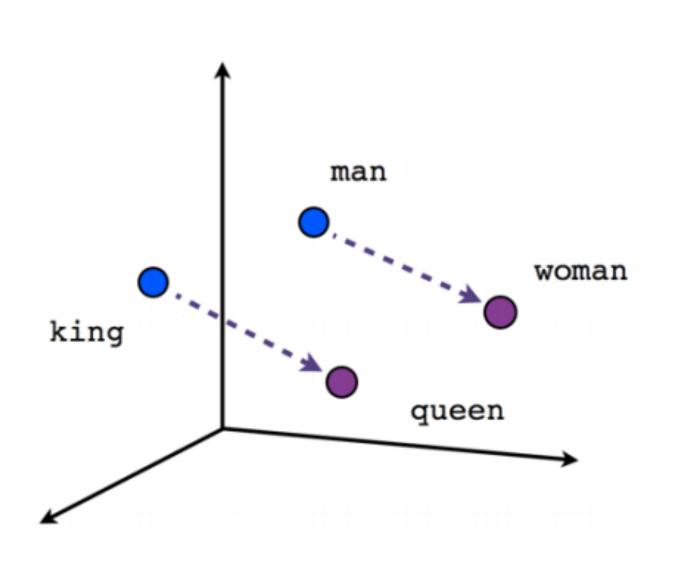
Table 5: Impact of our components on Twitter NER performance, as measured by F1, under 3 data scenarios.

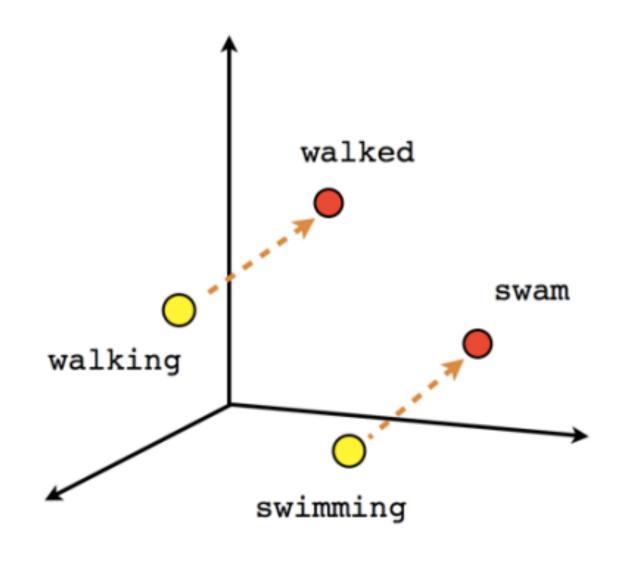
Ritter et al. (2011)

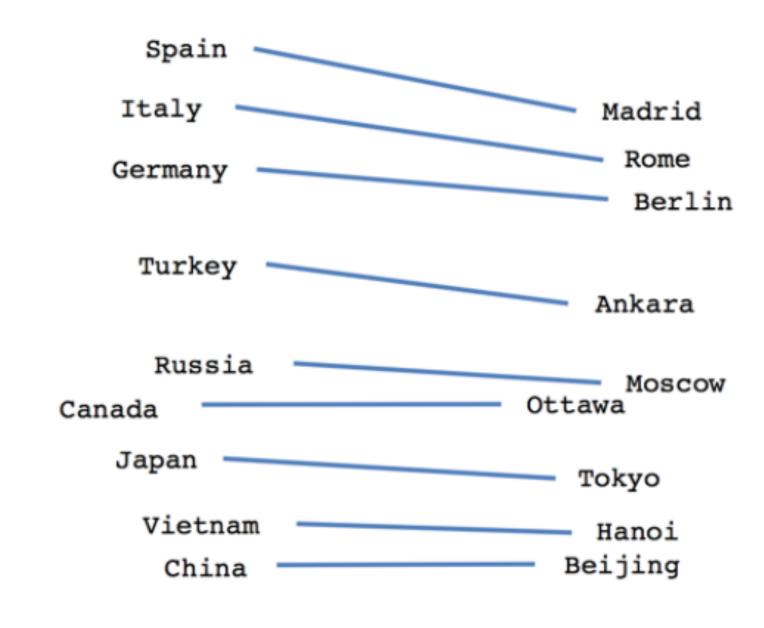
Cherry & Guo (2015)

## Evaluation

#### Visualization







Male-Female

Verb tense

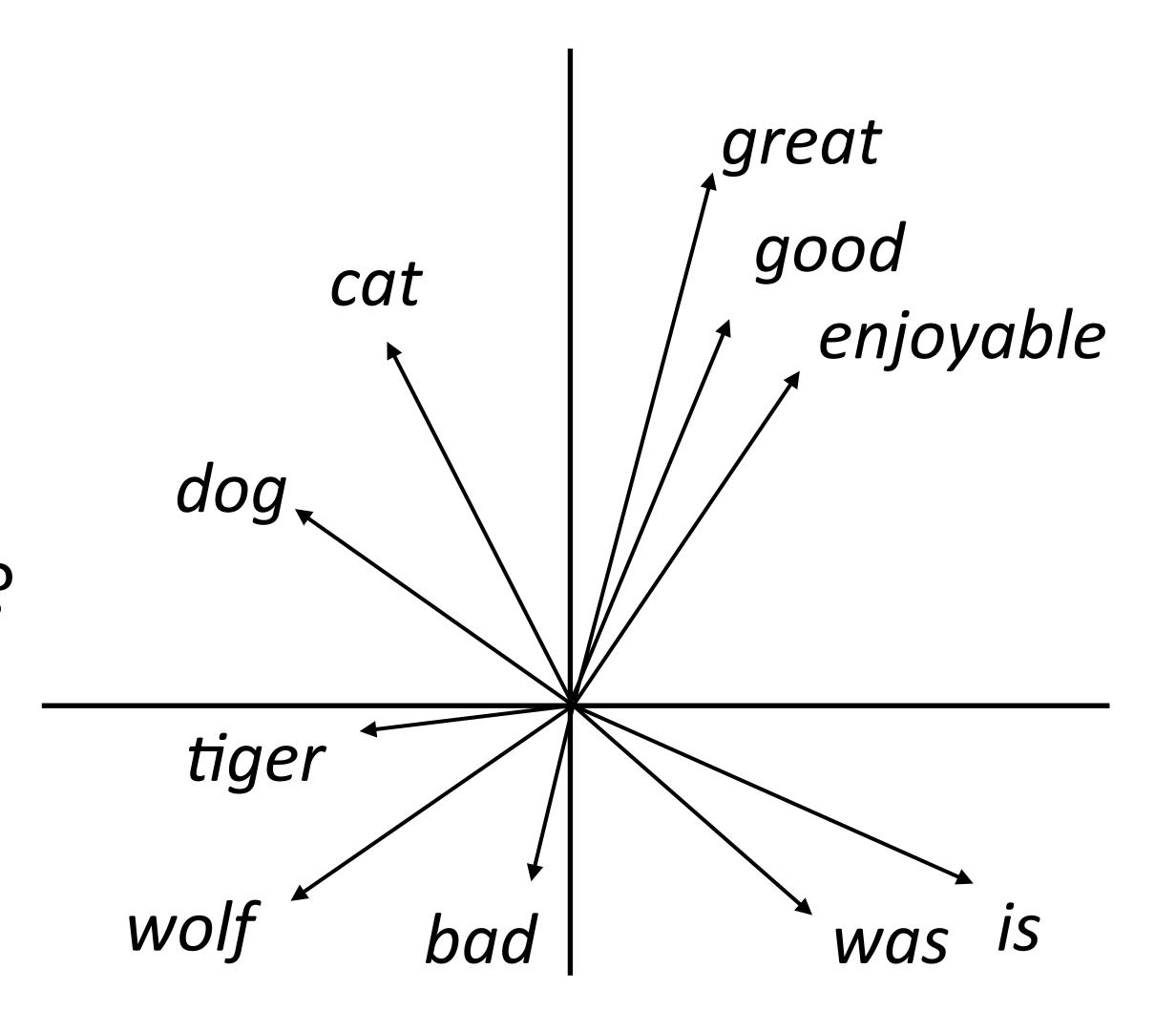
Country-Capital

### Evaluating Word Embeddings

- What properties of language should word embeddings capture?
- Similarity: similar words are close to each other
- Analogy:

good is to best as smart is to ???

Paris is to France as Tokyo is to ???



### Word Similarity

Cosine Similarity:

$$cosine(\overrightarrow{v}, \overrightarrow{w}) = \frac{\overrightarrow{v} \cdot \overrightarrow{w}}{|\overrightarrow{v}| |\overrightarrow{w}|} = \frac{\sum_{i=1}^{N} v_i w_i}{\sqrt{\sum_{i=1}^{N} v_i^2} \sqrt{\sum_{i=1}^{N} w_i^2}}$$

### Word Similarity

_	Method	WordSim	WordSim	Bruni et al.	Radinsky et al.	Luong et al.	Hill et al.
171	Memod	Similarity	Relatedness	MEN	M. Turk	Rare Words	SimLex
	PPMI	.755	.697	.745	.686	.462	.393
Word2vec		.793	.691	.778	.666	.514	.432
	SGNS	.793	.685	.774	.693	.470	.438
	GloVe	.725	.604	.729	.632	.403	.398

- SVD = singular value decomposition on PMI matrix
- GloVe does not appear to be the best when experiments are carefully controlled, but it depends on hyperparameters + these distinctions don't matter in practice

#### Hypernym Detection

- Hypernyms: detective is a person, dog is a animal
- Do word vectors encode these relationships?

Dataset	TM14	Kotlerman 2010	HypeNet	WordNet	Avg (10 datasets)
Random	52.0	30.8	24.5	55.2	23.2
Word2Vec + C	52.1	39.5	20.7	63.0	25.3
GE + C	53.9	36.0	21.6	58.2	26.1
GE + KL	52.0	39.4	23.7	54.4	25.9
DIVE + $C \cdot \Delta S$	<b>57.2</b>	36.6	32.0	60.9	32.7

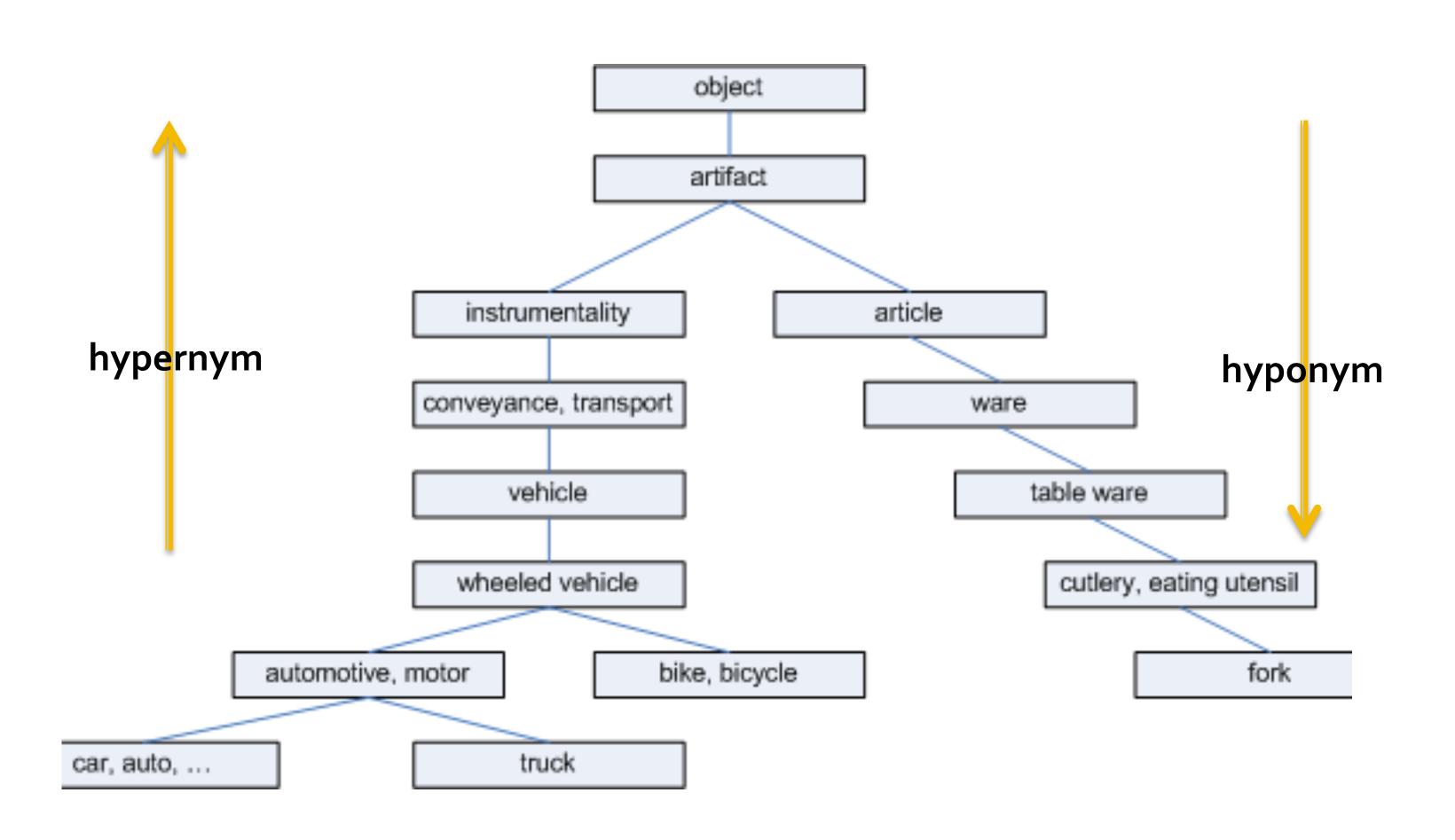
word2vec (SGNS) works barely better than random guessing here

Table 1: Comparison with other unsupervised embedding methods. The scores are AP@all (%) for the first 10 datasets and Spearman  $\rho$  (%) for HyperLex. Avg (10 datasets) shows the micro-average AP of all datasets except HyperLex. Word2Vec+C scores word pairs using cosine similarity on skip-grams. GE+C and GE+KL compute cosine similarity and negative KL divergence on Gaussian embedding, respectively.

## WordNet®

- created (since mid-1980s) and maintained by Cognitive Science Lab of Princeton University
- designed to establish the connections between words
- a combination of dictionary and thesaurus (>155k English words)
  - 4 types of Parts of Speech (POS) noun, verb, adjective, adverb
  - "Synset" (synonym set) is the smallest unit in WordNet, representing a specific meaning of a word
    - S: (n) search (an investigation seeking answers) "a thorough search of the ledgers revealed nothing"; "the outcome justified the search"
    - S: (v) search, seek, look for (try to locate or discover, or try to establish the existence of) "The police are searching for clues"; "They are searching for the missing man in the entire county"

## WordNet®

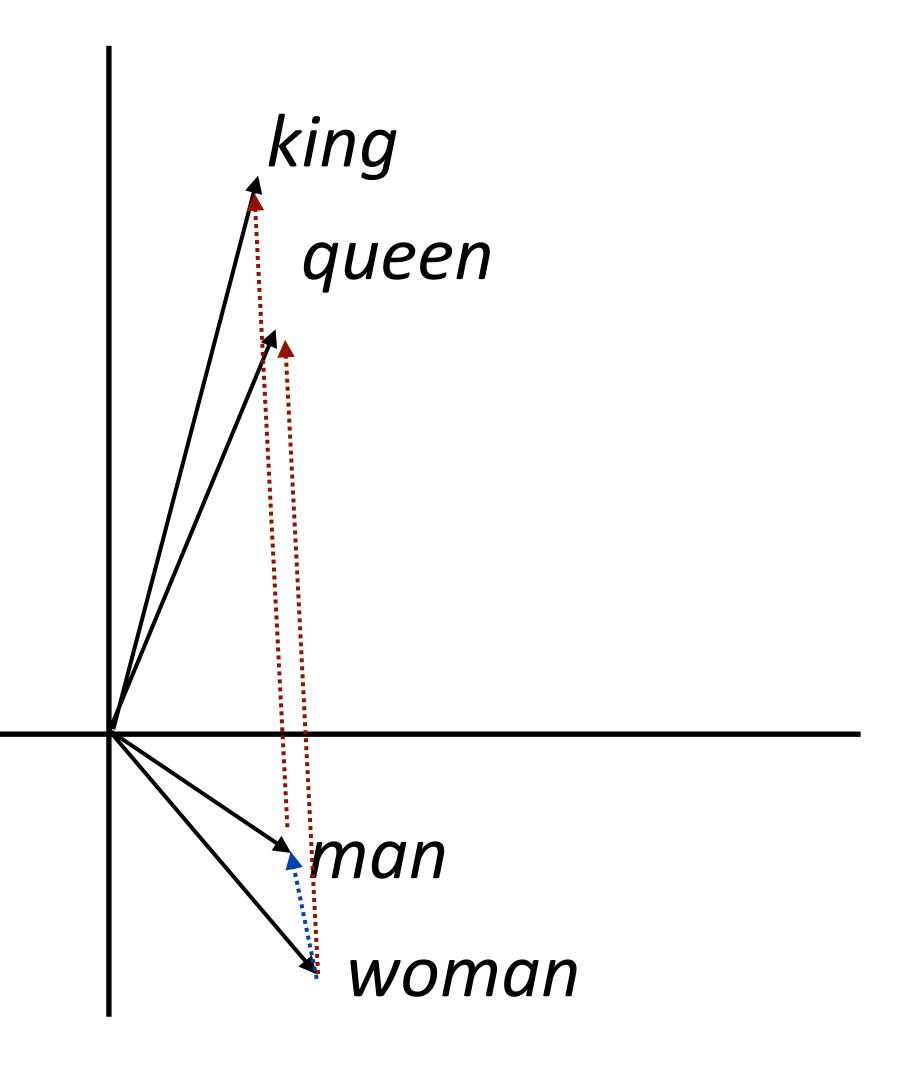


#### Analogies

(king - man) + woman = queen

king + (woman - man) = queen

- Why would this be?
- woman man captures the difference in the contexts that these occur in
- Dominant change: more "he" with man and "she" with woman — similar to difference between king and queen



### Analogies

Method	Google	MSR	
IVICUIOG	Add / Mul	Add / Mul	
PPMI	.553 / .679	.306 / .535	
SVD	.554 / .591	.408 / .468	
SGNS	.676 / <b>.688</b>	.618 / <b>.645</b>	
GloVe	.569 / .596	.533 / .580	

 These methods can perform well on analogies on two different datasets using two different methods

Maximizing for *b*: Add = 
$$\cos(b, a_2 - a_1 + b_1)$$
 Mul =  $\frac{\cos(b_2, a_2)\cos(b_2, b_1)}{\cos(b_2, a_1) + \epsilon}$ 

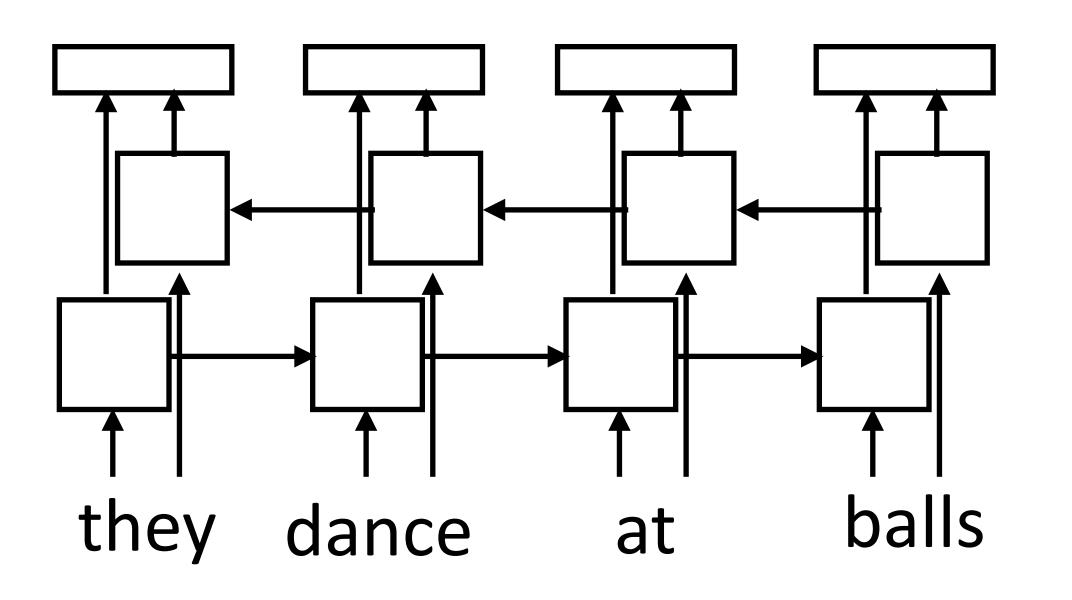
Levy et al. (2015)

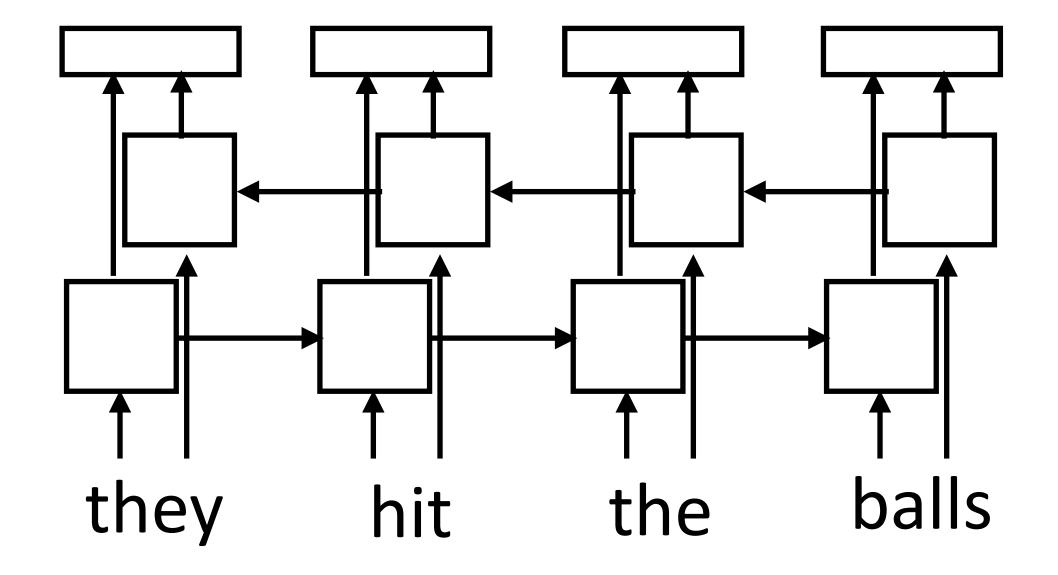
#### Takeaways

- Word vectors: learning word -> context mappings has given way to matrix factorization approaches (constant in dataset size)
- Lots of pretrained embeddings work well in practice, they capture some desirable properties
- Even better: context-sensitive word embeddings (ELMo/BERT/etc.) —
   will talk later in the semester
- Next time: sequence modeling, HMM, ...

#### Preview: Context-dependent Embeddings

How to handle different word senses? One vector for balls





- Train a neural language model to predict the next word given previous words in the sentence, use its internal representations as word vectors
- Context-sensitive word embeddings: depend on rest of the sentence
- Huge improvements across nearly all NLP tasks over word2vec & GloVe
   Peters et al. (2018)