# Transformer + Course Projects

#### Wei Xu

(many slides from Greg Durrett)

#### Administrivia

- Readings
  - "The Annotated Transformer" by Sasha Rush https://nlp.seas.harvard.edu/2018/04/03/attention.html
  - "The Illustrated Transformer" by Jay Lamar

http://jalammar.github.io/illustrated-transformer/

# Attention is All You Need

#### **Attention Is All You Need**

Ashish Vaswani\* Google Brain avaswani@google.com

Noam Shazeer\*
Google Brain
noam@google.com

Niki Parmar\* Google Research

nikip@google.com

Jakob Uszkoreit\* Google Research

usz@google.com

Llion Jones\*
Google Research
llion@google.com

Aidan N. Gomez\* † University of Toronto aidan@cs.toronto.edu Łukasz Kaiser\* Google Brain lukaszkaiser@google.com

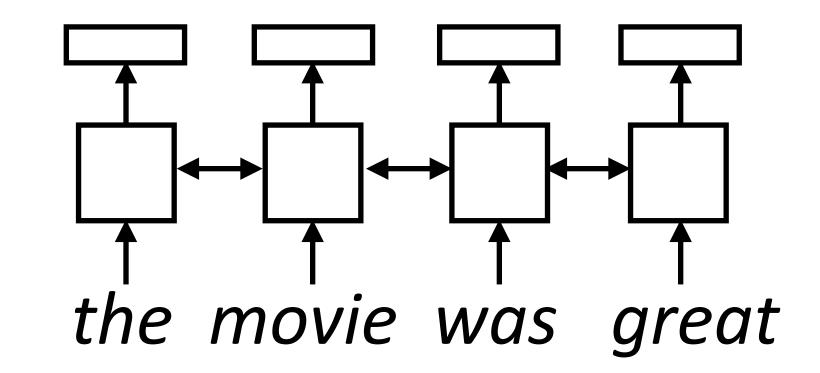
Illia Polosukhin\* ‡ illia.polosukhin@gmail.com

#### Abstract

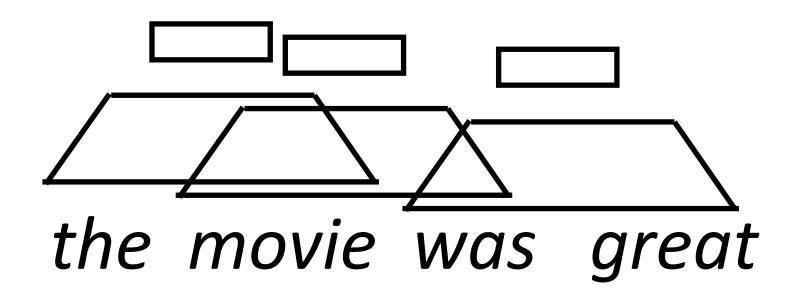
The dominant sequence transduction models are based on complex recurrent or convolutional neural networks that include an encoder and a decoder. The best performing models also connect the encoder and decoder through an attention mechanism. We propose a new simple network architecture, the Transformer, based solely on attention mechanisms, dispensing with recurrence and convolutions entirely. Experiments on two machine translation tasks show these models to be superior in quality while being more parallelizable and requiring significantly less time to train. Our model achieves 28.4 BLEU on the WMT 2014 English-to-German translation task, improving over the existing best results, including ensembles, by over 2 BLEU. On the WMT 2014 English-to-French translation task, our model establishes a new single-model state-of-the-art BLEU score of 41.8 after training for 3.5 days on eight GPUs, a small fraction of the training costs of the best models from the literature. We show that the Transformer generalizes well to other tasks by applying it successfully to English constituency parsing both with large and limited training data.

#### Sentence Encoders

LSTM abstraction: maps each vector in a sentence to a new, context-aware vector



CNNs do something similar with filters



Attention can give us a third way to do this

#### Self-Attention

▶ Assume we're using GloVe — what do we want our neural network to do?



The ballerina is very excited that she will dance in the show.

- What words need to be contextualized here?
  - Pronouns need to look at antecedents
  - Ambiguous words should look at context
  - Words should look at syntactic parents/children
- Problem: LSTMs and CNNs don't do this

### Self-Attention

Want:

The ballerina is very excited that she will dance in the show.

LSTMs/CNNs: tend to look at local context

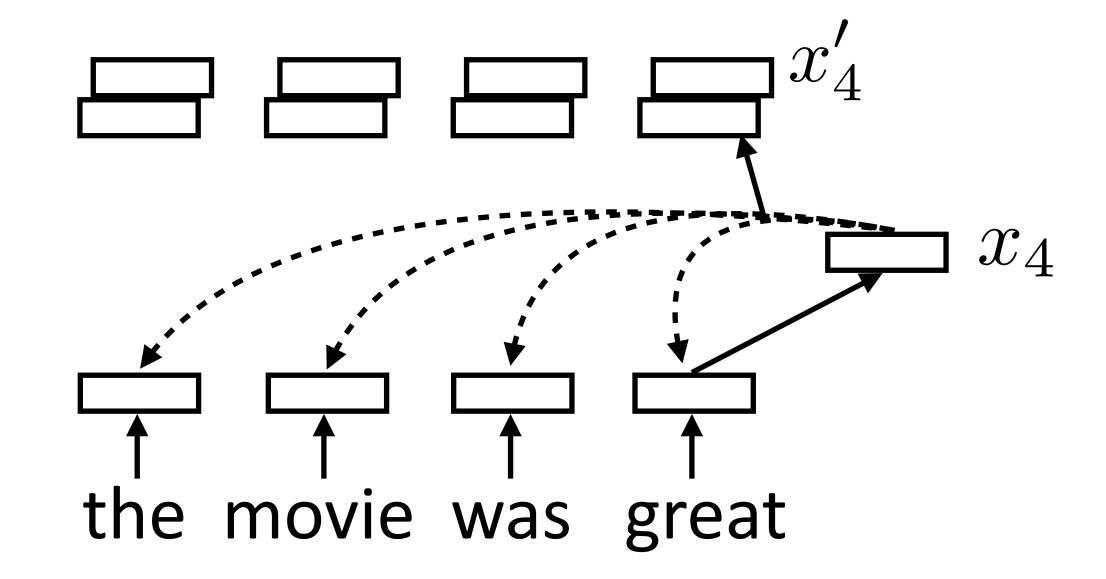


To appropriately contextualize embeddings, we need to pass information over long distances dynamically for each word

### Self-Attention

► Each word forms a "query" which then computes attention over each word

$$lpha_{i,j} = \operatorname{softmax}(x_i^ op x_j)$$
 scalar  $x_i' = \sum_{i=1}^n lpha_{i,j} x_j$  vector = sum of scalar \* vector



Multiple "heads" analogous to different convolutional filters. Use parameters  $W_k$  and  $V_k$  to get different attention values + transform vectors

$$\alpha_{k,i,j} = \operatorname{softmax}(x_i^\top W_k x_j) \quad x'_{k,i} = \sum_{j=1}^n \alpha_{k,i,j} V_k x_j$$

### What can self-attention do?



The ballerina is very excited that she will dance in the show.

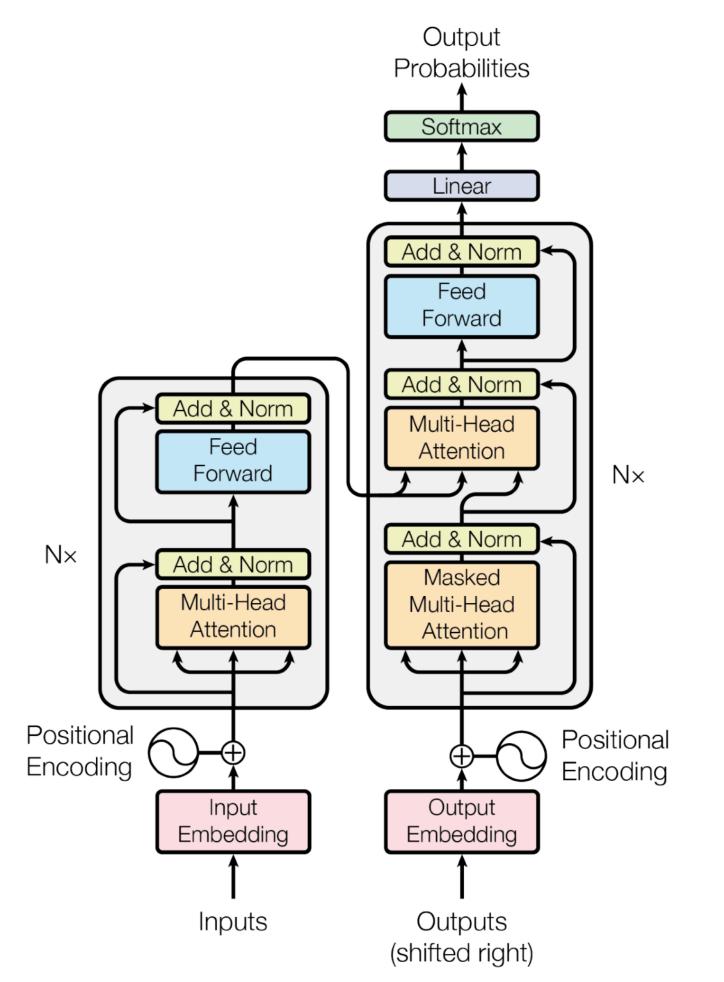
0	0.5	0	0	0.1	0.1	0	0.1	0.2	0	0	0
0	0.1	0	0	0	0	0	0	0.5	0	0.4	0

Attend nearby + to semantically related terms

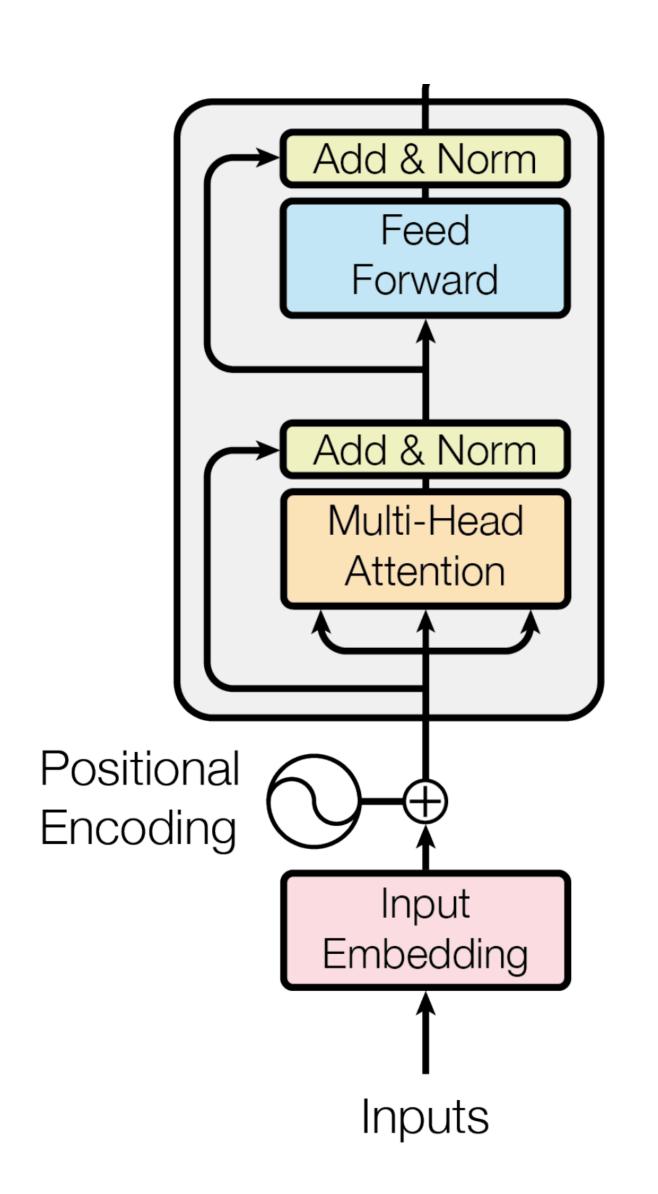
Why multiple heads? Softmaxes end up being peaked, single distribution cannot easily put weight on multiple things

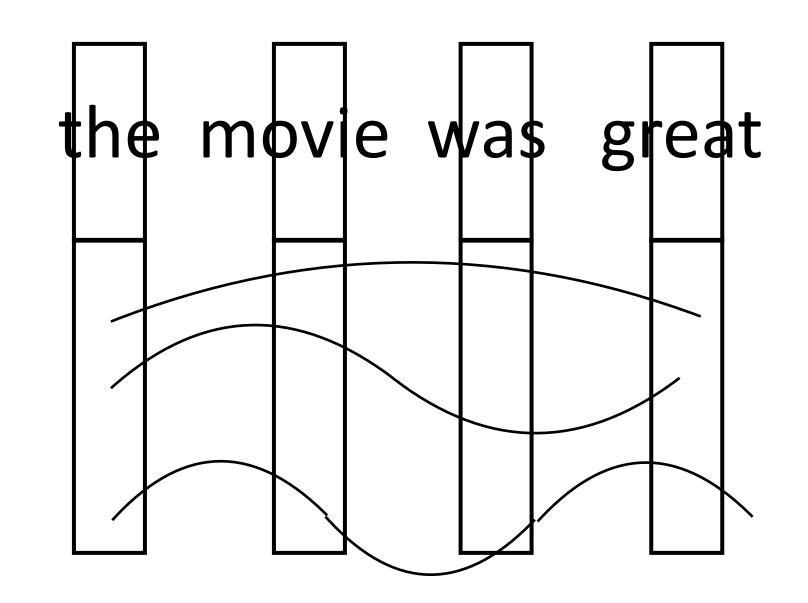
#### Transformer Uses

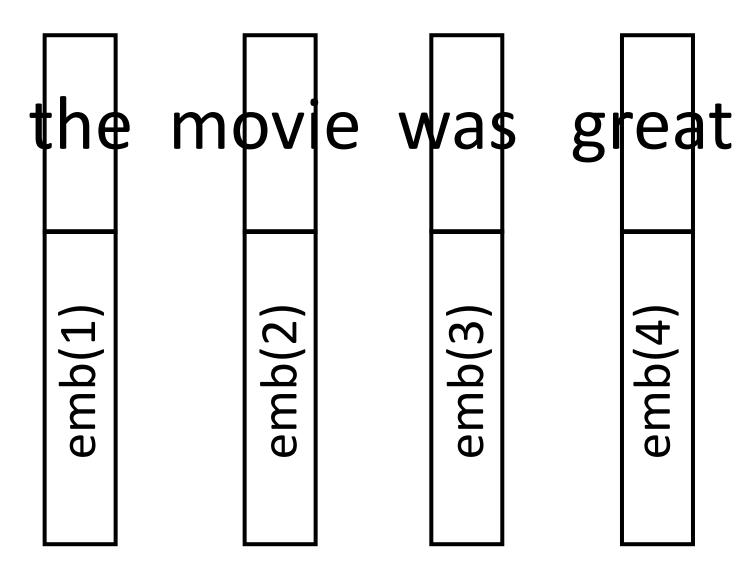
▶ Supervised: transformer can replace LSTM as encoder, decoder, or both; such as in machine translation and natural language generation tasks.



- Encoder and decoder are both transformers
- Decoder consumes the previous generated token (and attends to input), but has no recurrent state
- Many other details to get it to work: residual connections, layer normalization, positional encoding, optimizer with learning rate schedule, label smoothing ....

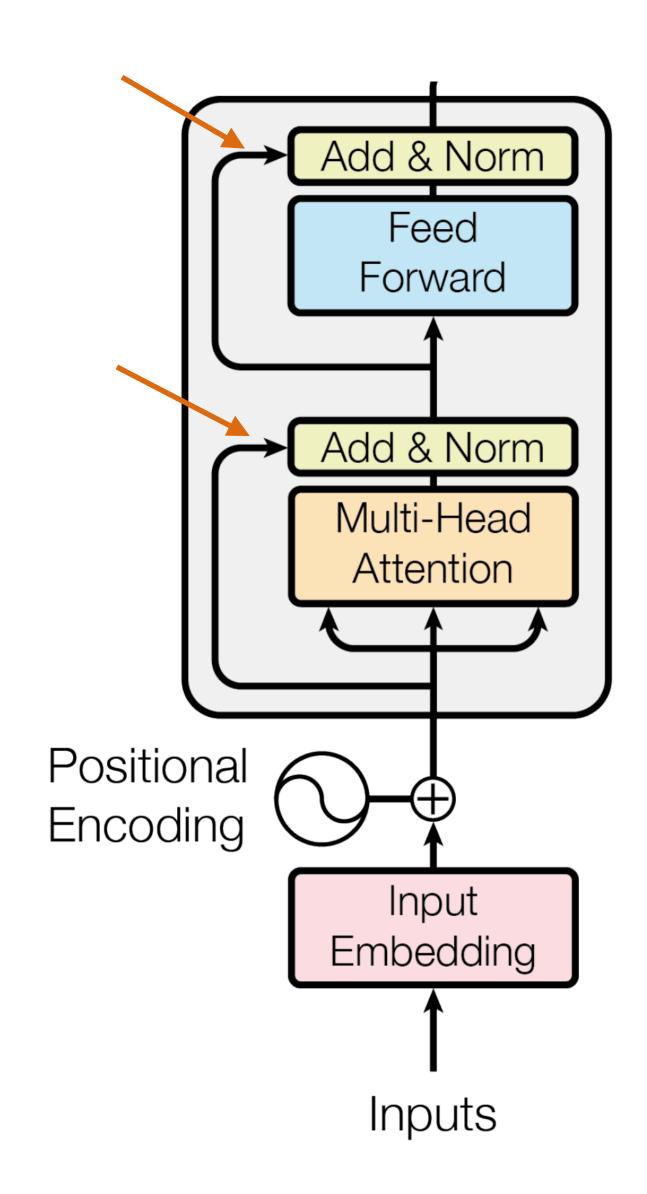




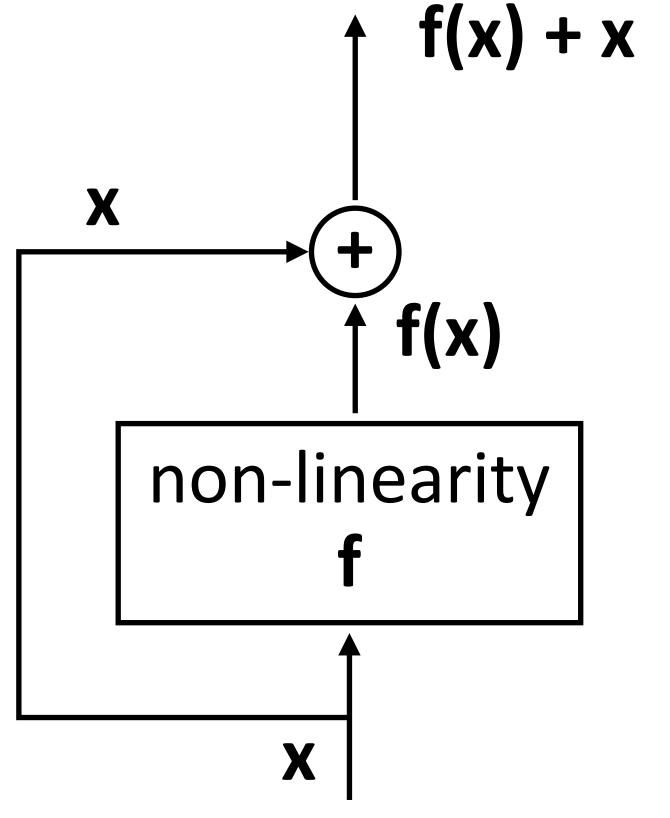


- Augment word embedding with position embeddings, each dim is a sine/cosine wave of a different frequency. Closer points = higher dot products
- Works essentially as well as just encoding position as a one-hot vector Vaswani et al. (2017)

### Residual Connections



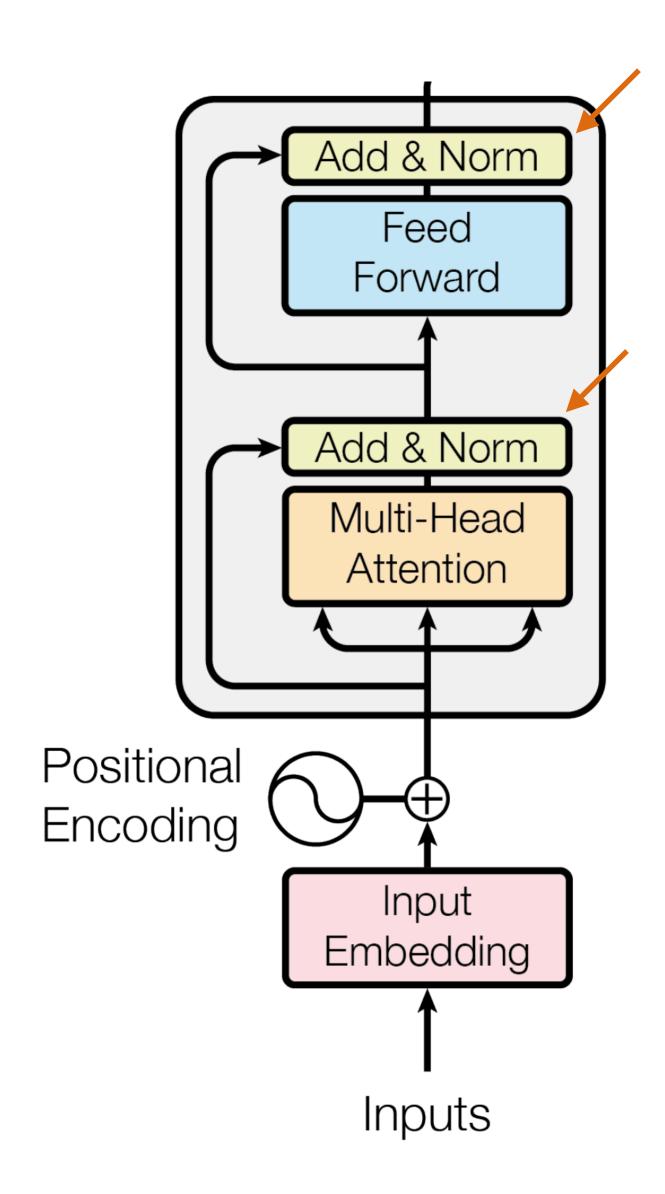
 allow gradients to flow through a network directly, without passing through non-linear activation functions output to next layer



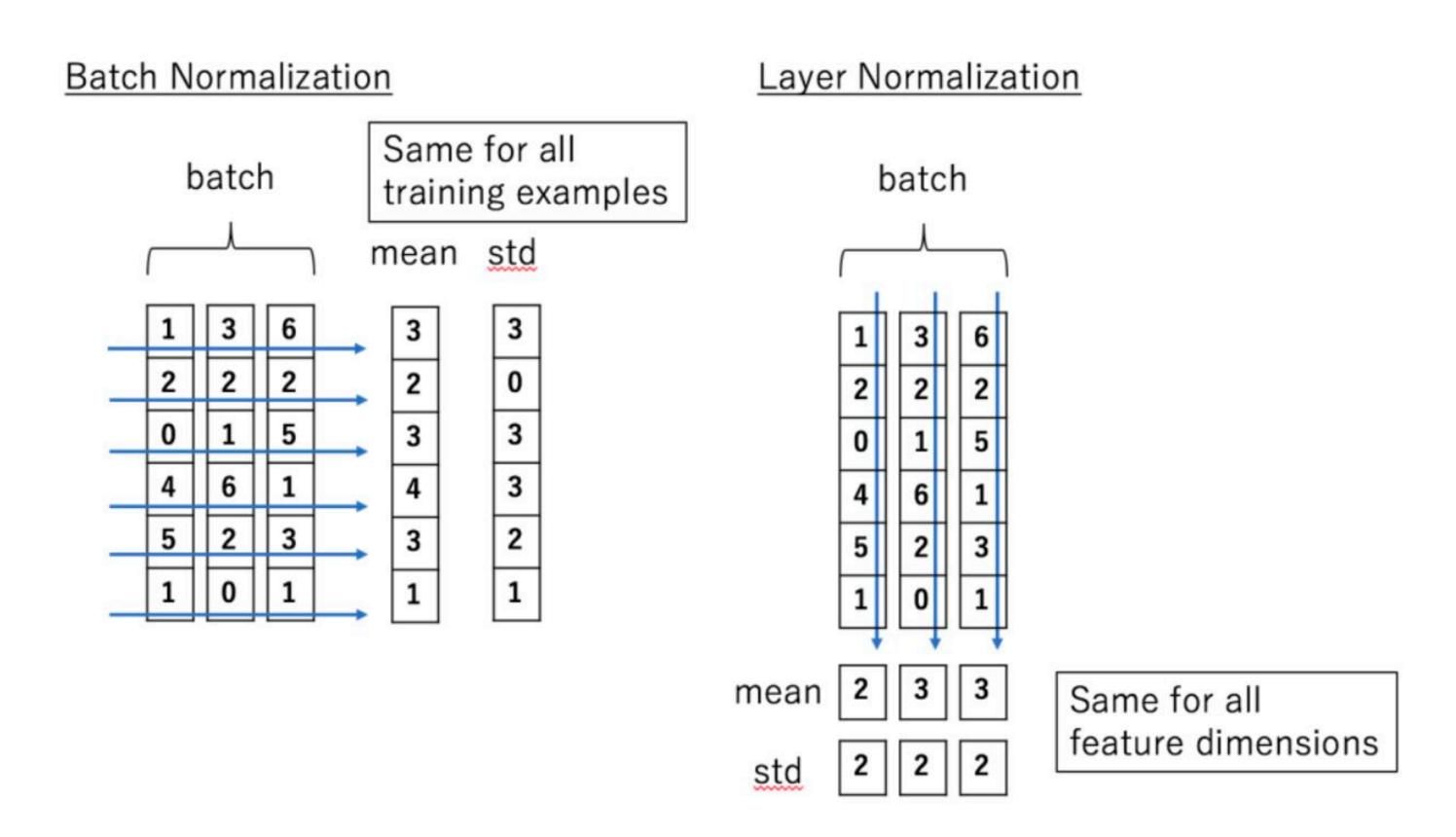
input from previous layer

He et al. (2015)

# Layer Normalization



subtract mean, divide by variance



## Label Smoothing

- Instead of using a one-hot target distribution, create a distribution that has "confidence" of the correct word and the rest of the "smoothing" mass distributed throughout the vocabulary.
- Implemented by minimizing KL-divergence between smoothed ground truth probabilities and the probabilities computed by model.

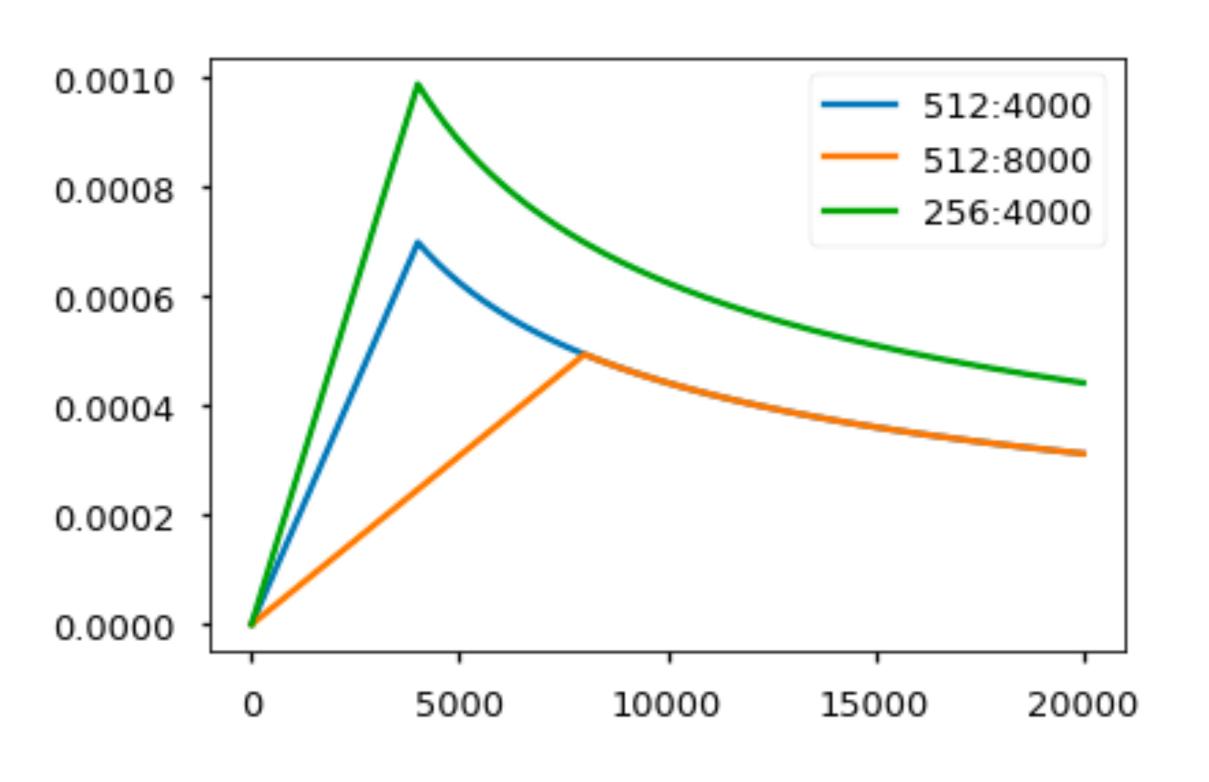
I went to class and took \_\_\_\_\_

cats	<b>TV</b>	notes	took	sofa
0	0	1	0	0
0.025	0.025	0.9	0.025	0.025

← one-hot

with label smoothing

Szegedy et al. (2015)

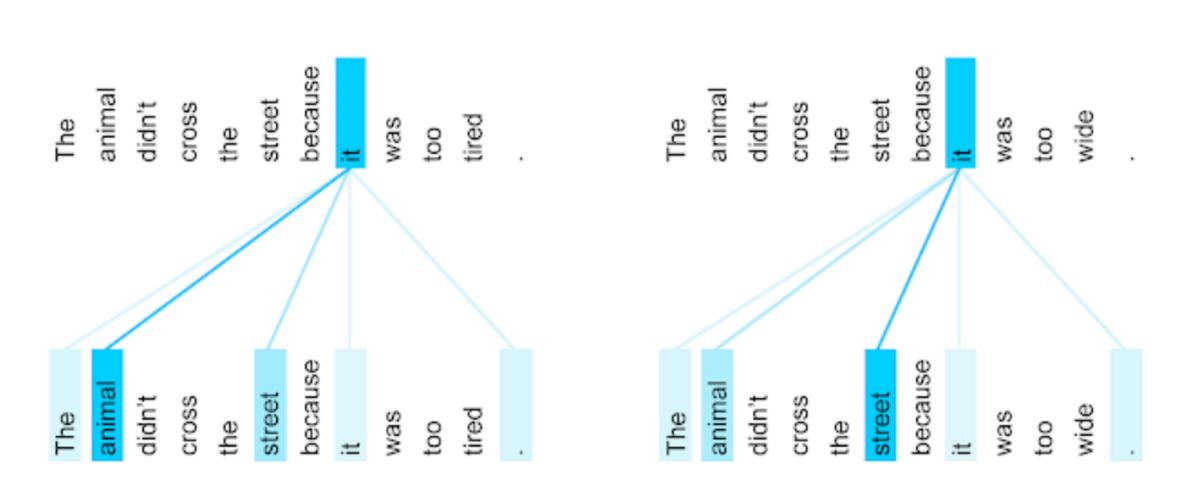


- Adam optimizer with varied learning rate over the course of training
- Linearly increase for warmup, then decay proportionally to the inverse square root of the step number
- This part is very important!

Madal	BLEU			
Model	EN-DE	EN-FR		
ByteNet [18]	23.75			
Deep-Att + PosUnk [39]		39.2		
GNMT + RL [38]	24.6	39.92		
ConvS2S [9]	25.16	40.46		
MoE [32]	26.03	40.56		
Deep-Att + PosUnk Ensemble [39]		40.4		
GNMT + RL Ensemble [38]	26.30	41.16		
ConvS2S Ensemble [9]	26.36	41.29		
Transformer (base model)	27.3	38.1		
Transformer (big)	<b>28.4</b>	41.8		

Big = 6 layers, 1000 dim for each token, 16 heads,
 base = 6 layers + other params halved

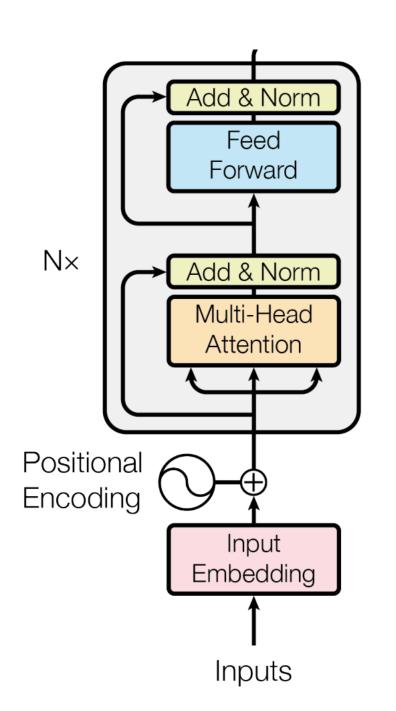
### Visualization



https://ai.googleblog.com/ 2017/08/transformer-novelneural-network.html

## Other Transformer Variations

- Multilayer transformer networks consist of interleaved self-attention and feedforward sublayers.
- Could ordering the sublayers in a different pattern lead to better performance?



#### sfsfsfsfsfsfsfsfsfsfsfsf

(a) Interleaved Transformer

#### sssssssfsfsfsfsfsfsfffffff

(b) Sandwich Transformer

Figure 1: A transformer model (a) is composed of interleaved self-attention (green) and feedforward (purple) sublayers. Our sandwich transformer (b), a reordering of the transformer sublayers, performs better on language modeling. Input flows from left to right.