Word Embeddings

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(many slides from Greg Durrett)

Administrivia

- Project 1 is released, due on 9/27
  - Feedforward Neural Network for Fake News Classification (+ extra credits on LSTM)

- Reading: Eisenstein 3.3.4, 14.5, 14.6, J+M 6, Goldberg 5

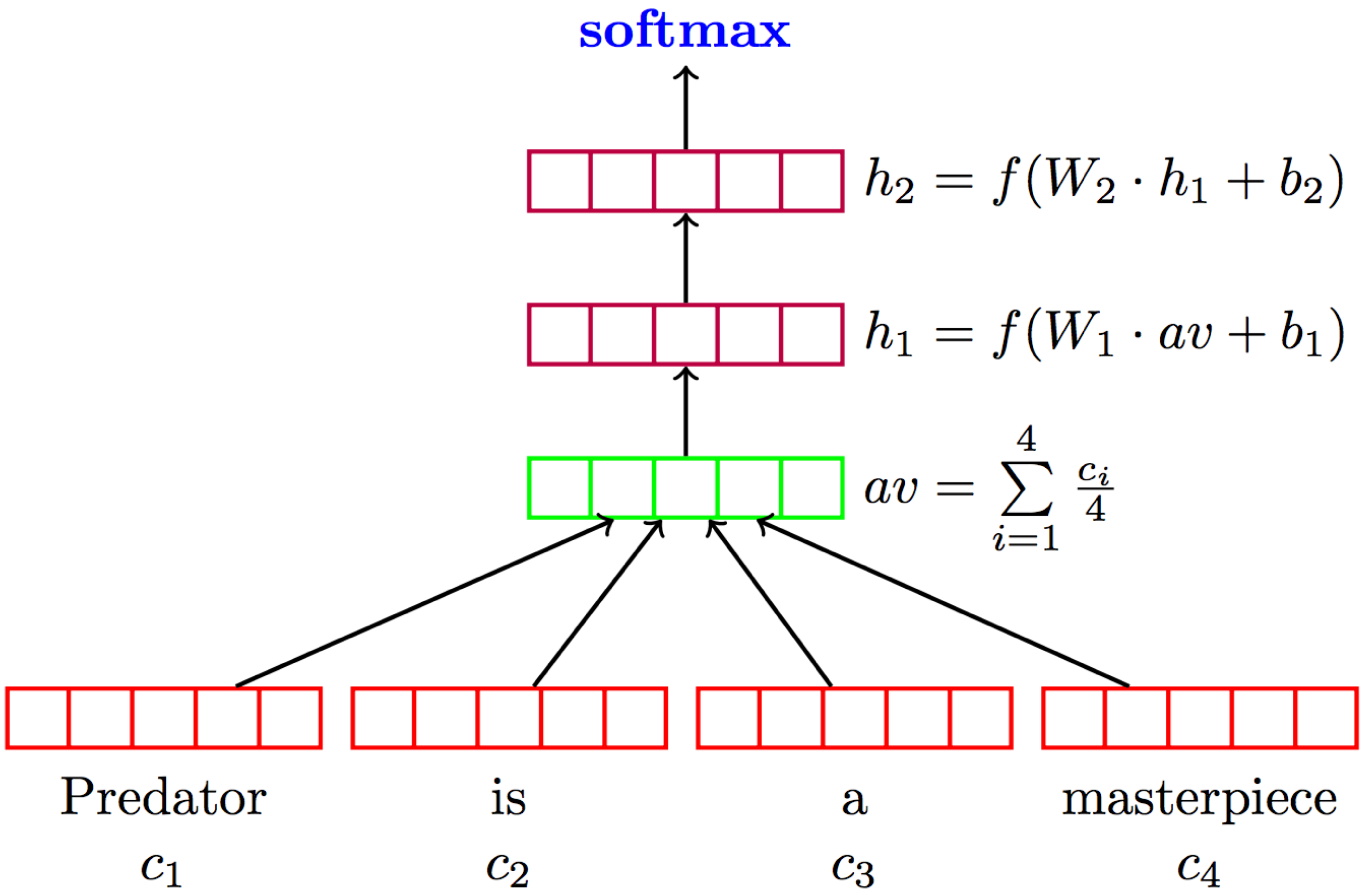
This Lecture

- Word representations
- word2vec/GloVe
- Evaluating word embeddings

Word Representations

Sentiment Analysis

- Deep Averaging Networks: feedforward neural network on average of word embeddings from input



Iyyer et al. (2015)

Word Embeddings

- Want a vector space where similar words have similar embeddings

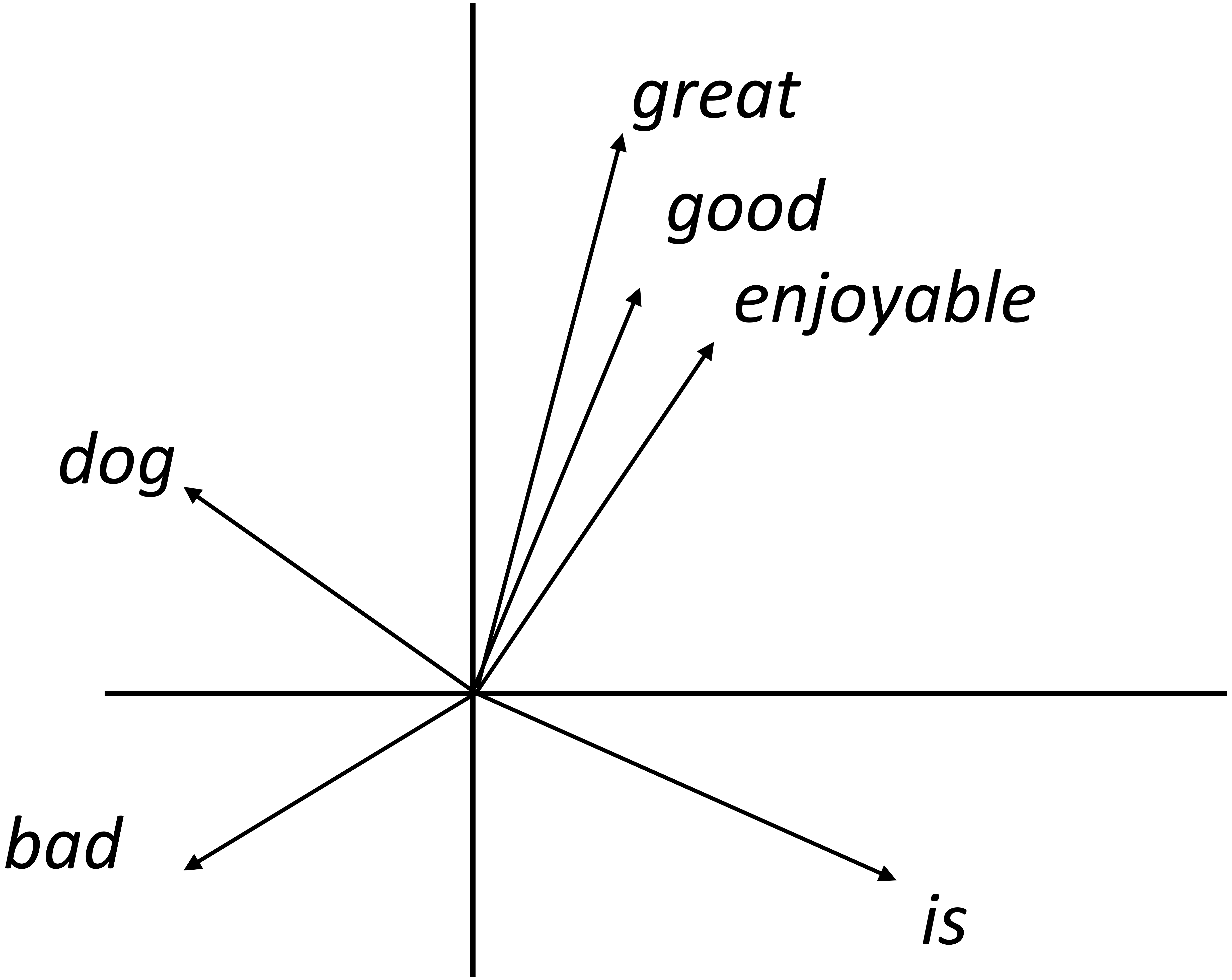
the movie was great

≈

the movie was good

- Goal: come up with a way to produce these embeddings

- For each word, want "medium" dimensional vector (50-300 dims) representing it.



Word Representations

- Neural networks work very well at continuous data, but words are discrete
- Continuous model <-> expects continuous semantics from input
- "You shall know a word by the company it keeps" Firth (1957)

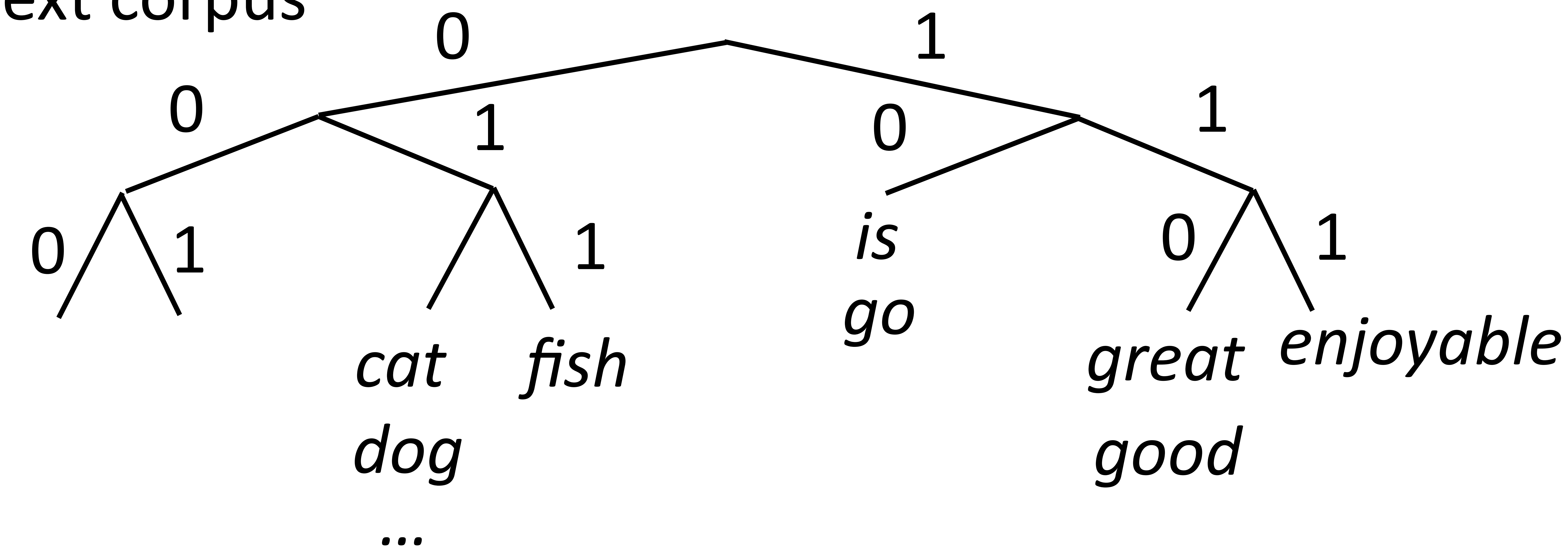
A bottle of ***tesgüino*** is on the table
Everybody likes ***tesgüino***
Tesgüino makes you drunk
We make ***tesgüino*** out of corn.

John R. Firth

slide credit: Dan Klein, Dan Jurafsky

Discrete Word Representations

- Brown clusters: hierarchical agglomerative *hard* clustering (each word has one cluster, not some posterior distribution like in mixture models)
- Input: a (large) text corpus



- Maximize $P(w_i|w_{i-1}) = P(c_i|c_{i-1})P(w_i|c_i)$
- Useful features for tasks like NER, not suitable for Neural Networks

Brown et al. (1992)

Discrete Word Representations

- Brown clusters: hierarchical agglomerative *hard* clustering
- Example clusters from Miller et al. 2004

mailman	100000110100111
salesman	1000001101110000
bookkeeper	10000011011100010
troubleshooter	100000110111000110
bouncer	100000110111000111
technician	1000001101100100
janitor	1000001101100101
saleswoman	1000001101100110
...	
Nike	1011011100100101011100
Maytag	10110111001001010111010
Generali	10110111001001010111011
Gap	1011011100100101011110
Harley-Davidson	10110111001001010111110
Enfield	101101110010010101111110
genus	101101110010010101111111
Microsoft	10110111001001011000
Ventritex	101101110010010110010
Tractebel	1011011100100101100110
Synopsys	1011011100100101100111
WordPerfect	1011011100100101101000
....	
John	101110010000000000
Consuelo	101110010000000001
Jeffrey	101110010000000010
Kenneth	1011100100000000001100
Phillip	10111001000000000011010
WILLIAM	10111001000000000011011
Timothy	1011100100000000001110

word cluster features (bit string prefix)

Discrete Word Representations

- Brown clusters: hierarchical agglomerative *hard* clustering
- We give a very brief sketch of the algorithm here:

- k: a hyper-parameter, sort words by frequency
- Take the top k most frequent words, put each of them in its own cluster $c_1, c_2, c_3, \ldots c_k$
- For $i = (k+1) \ldots |V|$
  - Create a new cluster c_{k+1} *(we have $k+1$ clusters)*
  - Choose two clusters from $k+1$ clusters based on quality(C) and merge *(back to k clusters)*

$$Quality(C) = \sum_{i}^{n} \log e(w_i \mid C(w_i)) q(C(w_i) \mid C(w_{i-1})) = \sum_{c=1}^{k} \sum_{c'=1}^{k} p(c,c') \log \frac{p(c,c')}{p(c)p(c')} + G$$

mutual information between adjacent clusters

entropy of the word distribution

- Carry out $k-1$ final merges *(full hierarchy)*
- Running time $O\left(|V| k^2 + n\right)$, n=#words in corpus

Learn more: Percy Liang's phd thesis - Semi-Supervised Learning for Natural Language

Word Representations

- Count-based: tf*idf, PPMI, …
- Class-based: Brown Clusters, …
- Distributed prediction-based embeddings: Word2vec (2013), GloVe (2014), FastText, …
- Distributed contextual embeddings: ELMo (2018), BERT (2019), GPT, …
- + many more variants: multi-sense embeddings, syntactic embeddings, …

word2vec/GloVe

Neural Probabilistic Language Model

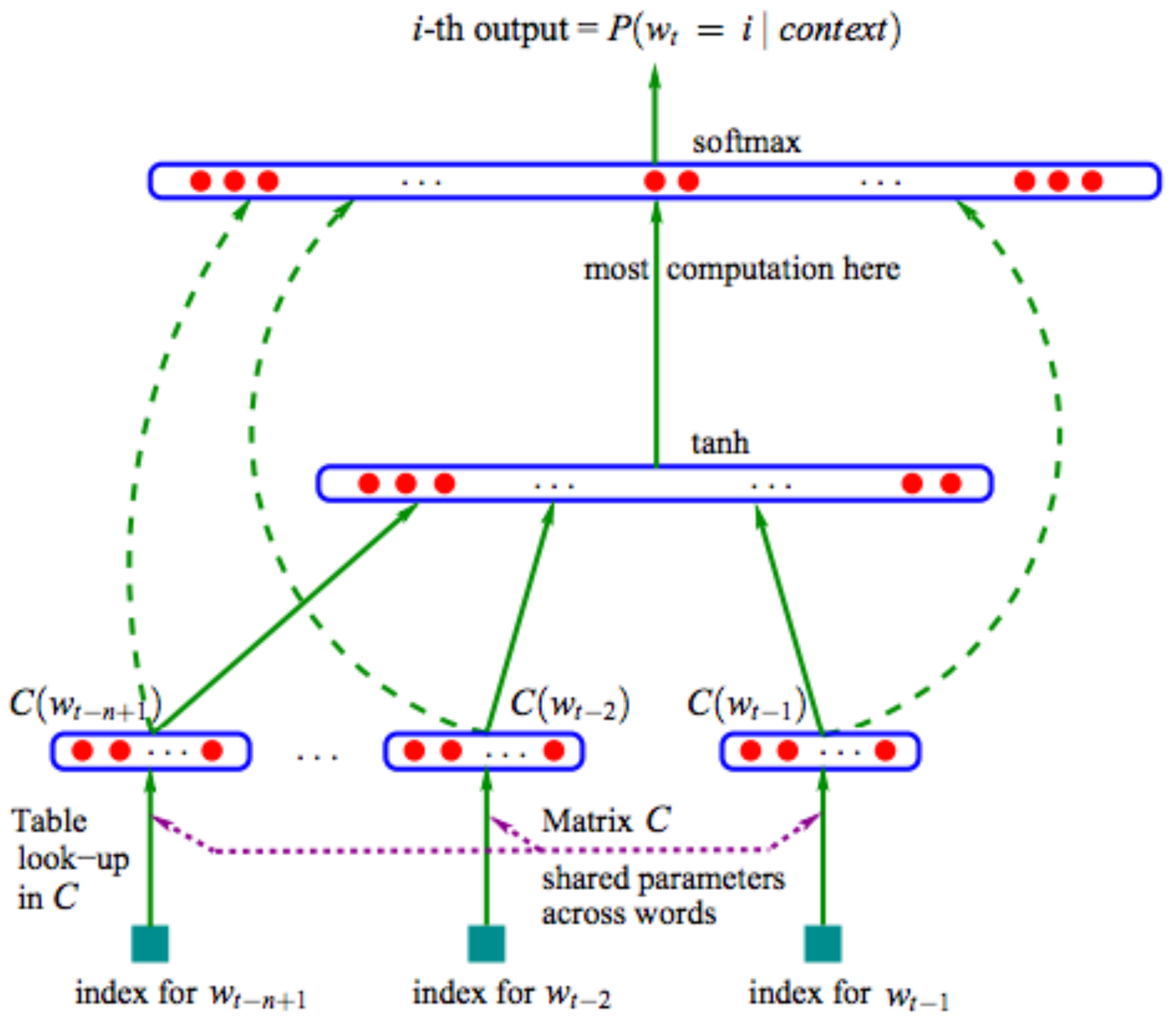
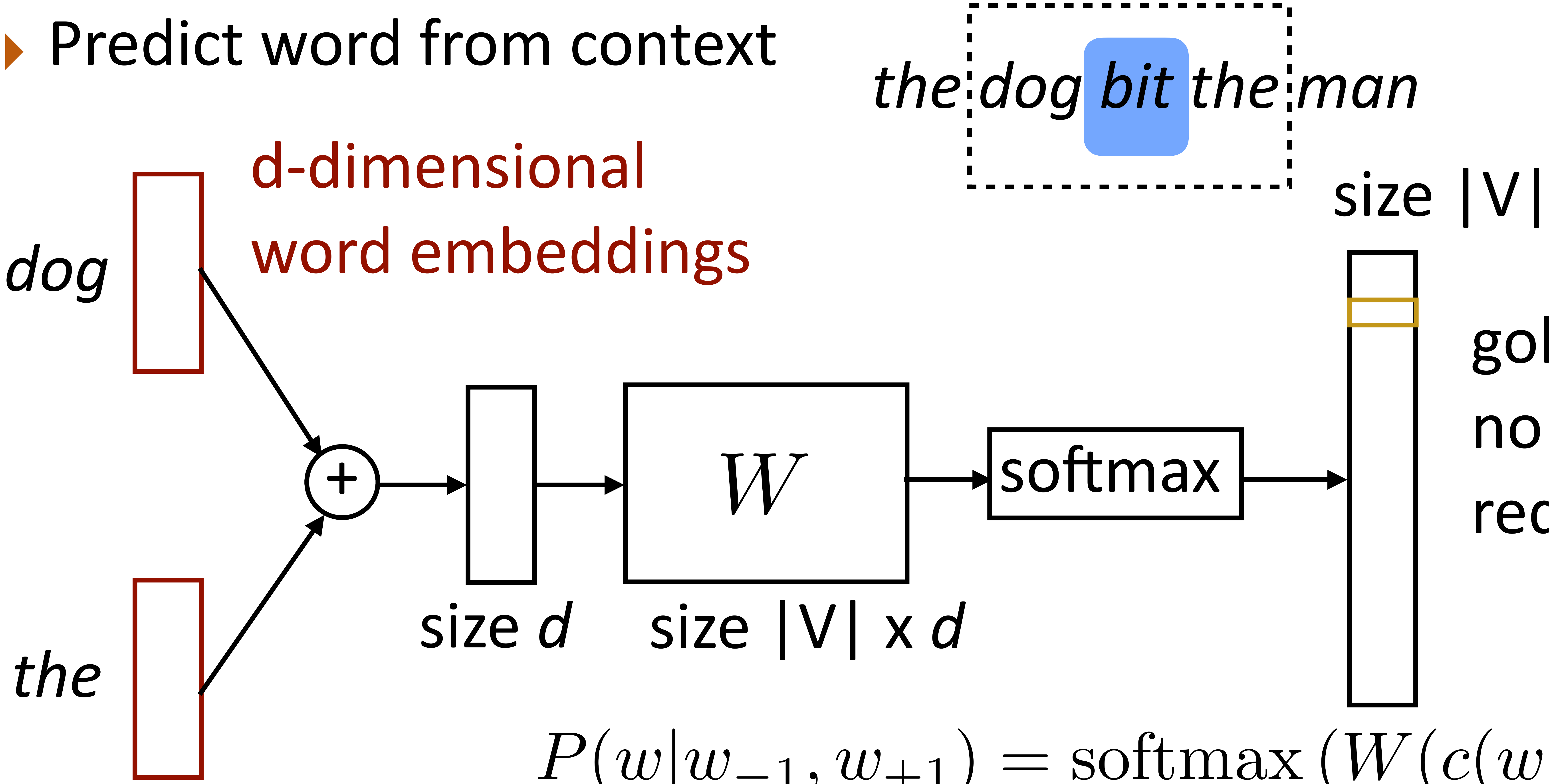


Figure 1: Neural architecture: $f(i, w_{t-1}, \cdots, w_{t-n+1}) = g(i, C(w_{t-1}), \cdots, C(w_{t-n+1}))$ where g is the neural network and $C(i)$ is the i-th word feature vector.

Bengio et al. (2003)

word2vec: Continuous Bag-of-Words

- Predict word from context



gold label = *bit*, no manual labeling required!

$$P(w|w_{-1}, w_{+1}) = \mathrm{softmax}\left(W(c(w_{-1}) + c(w_{+1}))\right)$$

- Parameters: *d* x |V| (one *d*-length context vector per voc word),
  |V| x *d* output parameters (W)

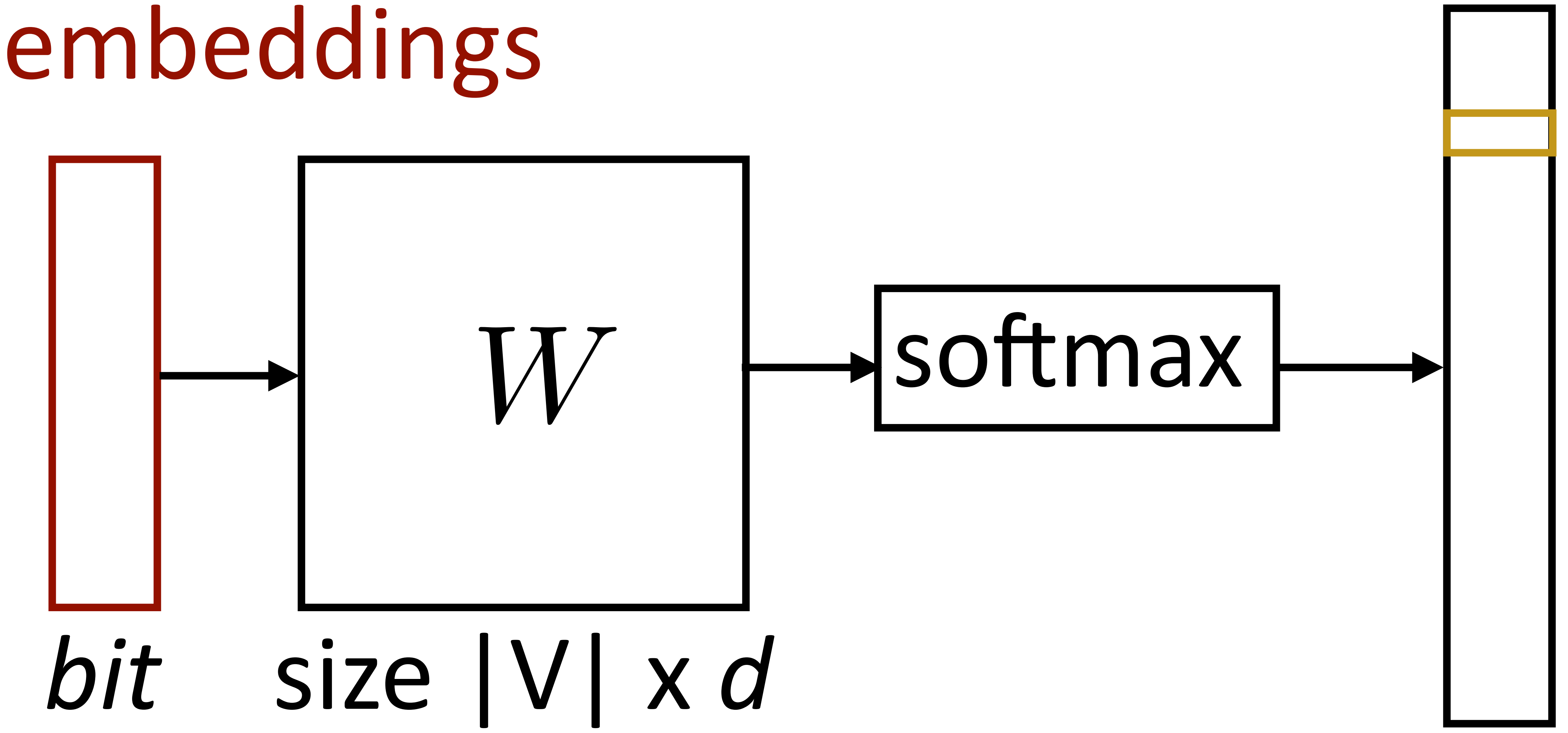
Mikolov et al. (2013)

word2vec: Skip-Gram

- Predict one word of context from word

the dog bit the man

d-dimensional
word embeddings



gold label = *dog*

$$P(w'|w) = \text{softmax}(We(w))$$

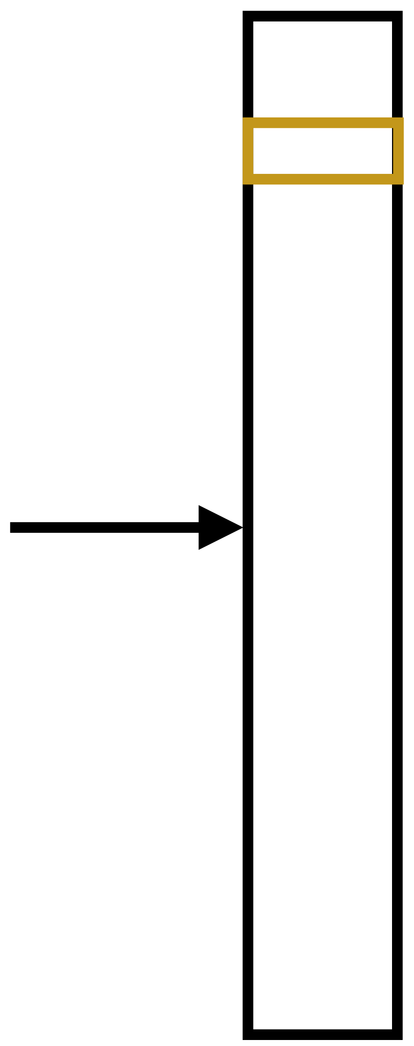
- Another training example: *bit* -> *the*
- Parameters: *d* x |V| vectors, |V| x *d* output parameters (W) (also usable as vectors!)

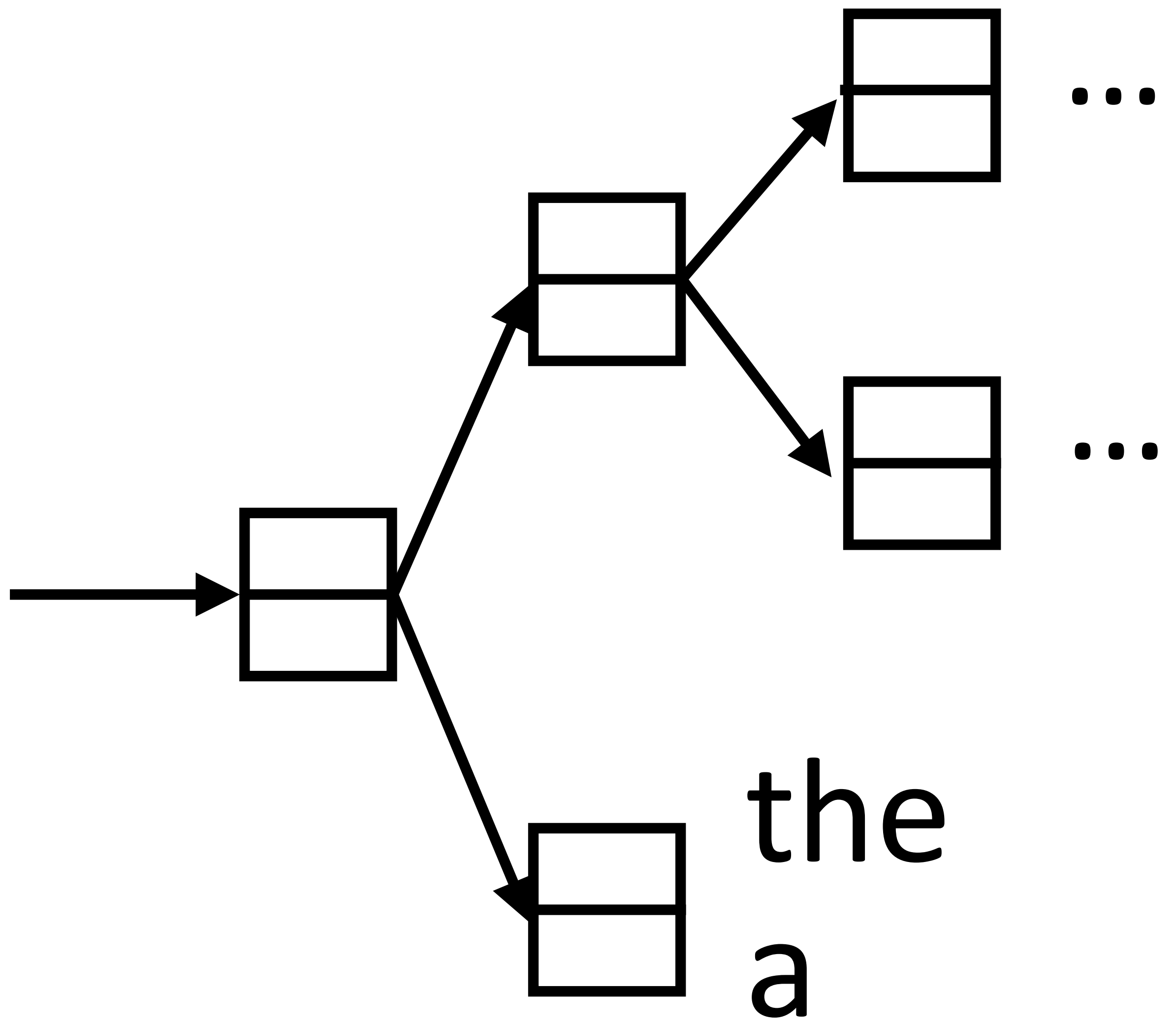
Mikolov et al. (2013)

Hierarchical Softmax

$$P(w|w_{-1}, w_{+1}) = \text{softmax}\left(W(c(w_{-1}) + c(w_{+1}))\right) \qquad P(w'|w) = \text{softmax}(We(w))$$

- Matmul + softmax over |V| is very slow to compute for CBOW and SG





- Huffman encode vocabulary, use binary classifiers to decide which branch to take
- log(|V|) binary decisions

- Standard softmax:
  O(|V|) dot products of size *d*
  - per training instance per context word

- Hierarchical softmax:
  O(log(|V|)) dot products of size *d*,
  |V| x *d* parameters

Mikolov et al. (2013)

http://building-babylon.net/2017/08/01/hierarchical-softmax/

Skip-Gram with Negative Sampling

- Take (word, context) pairs and classify them as “real” or not. Create random negative examples by sampling from unigram distribution

(*bit, the*) => +1

(*bit, cat*) => -1

(*bit, a*) => -1

(*bit, fish*) => -1

the dog bit the man

$$P(y = 1|w, c) = \frac{e^{w \cdot c}}{e^{w \cdot c} + 1}$$

words in similar contexts select for similar *c* vectors

- *d* x |V| vectors, *d* x |V| context vectors (same # of params as before)

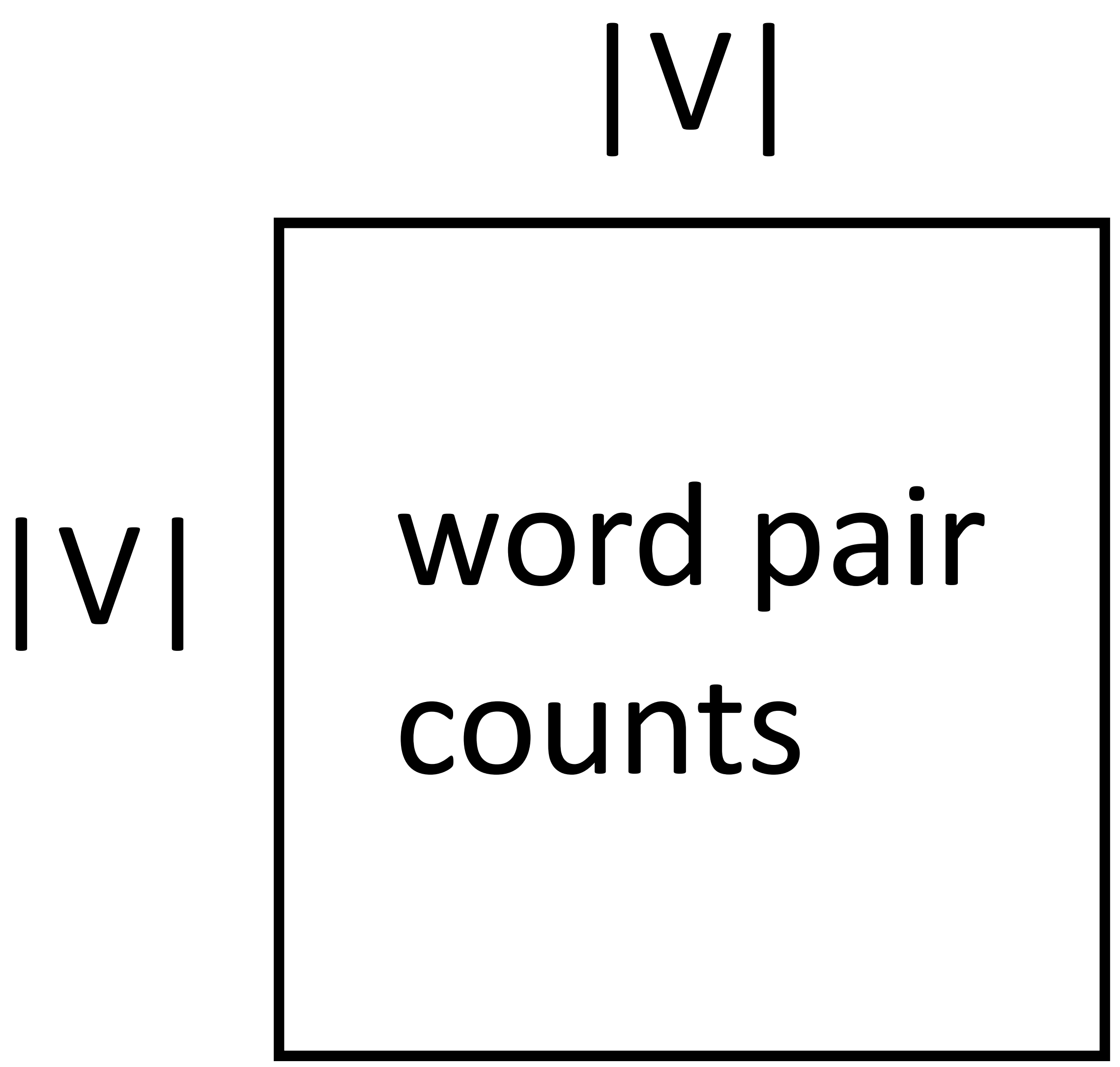
- Objective = $\log P(y = 1|w, c) - \sum_{i=1}^{k} \log P(y = 0|w_i, c)$

sampled

Mikolov et al. (2013)

Connections with Matrix Factorization

- Skip-gram model looks at word-word co-occurrences and produces two types of vectors

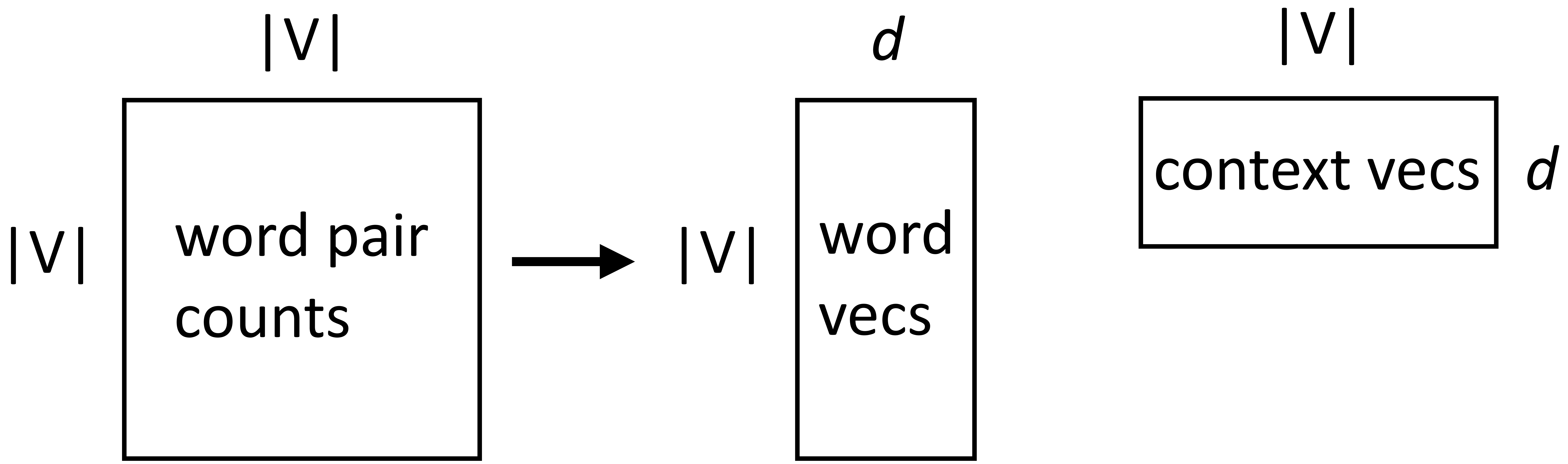


	knife	dog	sword	love	like
knife	0	1	6	5	5
dog	1	0	5	5	5
sword	6	5	0	5	5
love	5	5	5	0	5
like	5	5	5	5	2

Two words are “similar” in meaning if their context vectors are similar. Similarity == relatedness

Connections with Matrix Factorization

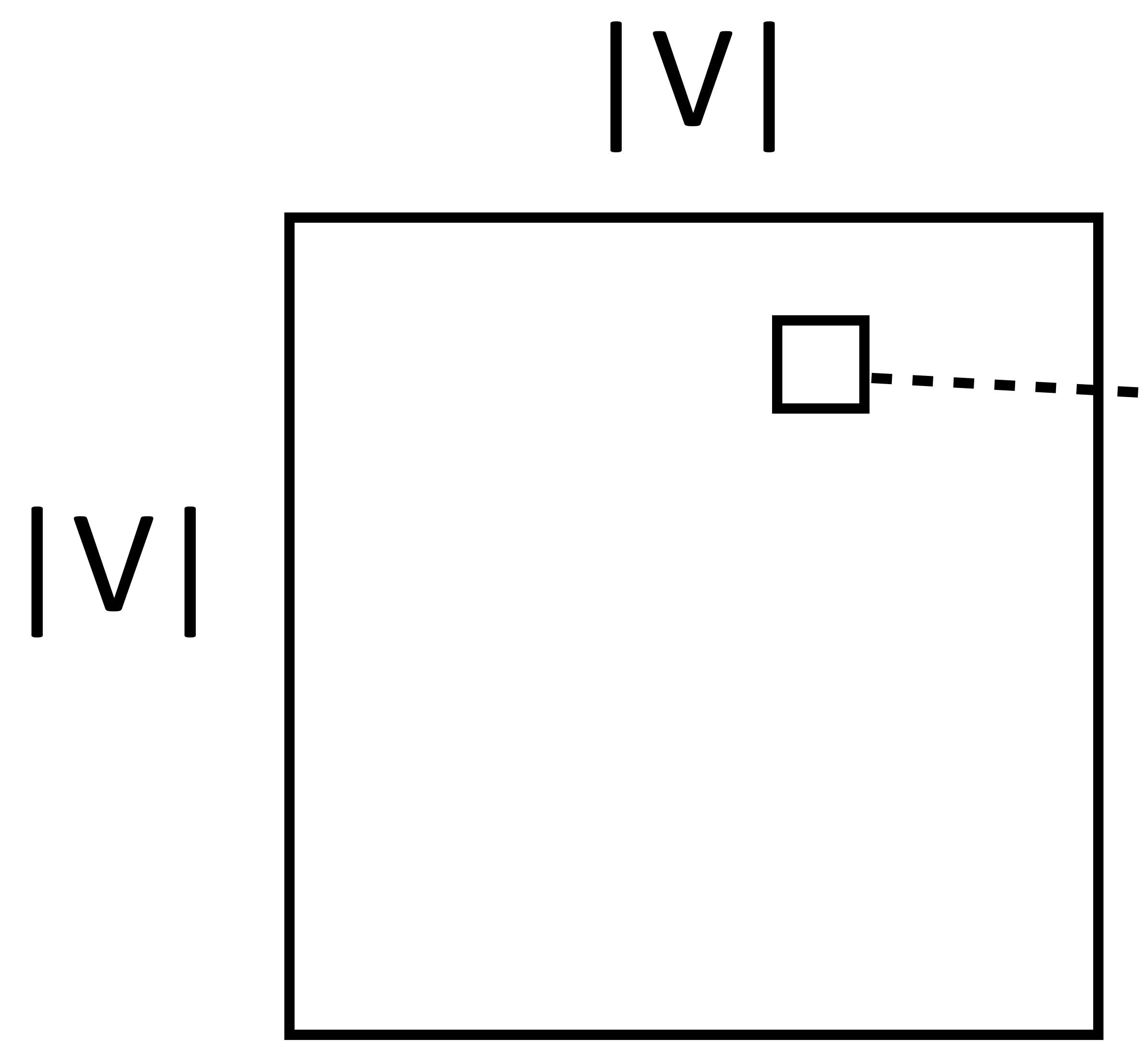
- Skip-gram model looks at word-word co-occurrences and produces two types of vectors



- Looks almost like a matrix factorization…can we interpret it this way?

Levy et al. (2014)

Skip-Gram as Matrix Factorization



num negative samples

$$M_{ij} = \mathrm{PMI}(w_i, c_j) - \log k$$

$$\mathrm{PMI}(w_i, c_j) = \frac{P(w_i, c_j)}{P(w_i)P(c_j)} = \frac{\frac{\mathrm{count}(w_i, c_j)}{D}}{\frac{\mathrm{count}(w_i)}{D}\ \frac{count(c_j)}{D}}$$

Skip-gram objective *exactly* corresponds to factoring this matrix:

- *If* we sample negative examples from the uniform distribution over words
- …and it's a *weighted* factorization problem (weighted by word freq)

Levy et al. (2014)

Co-occurence Matrix

- Typical problems in word-word co-occurrences:
  - Raw frequency is not the best measure of association between words.
  - Frequent words are often more important than rare words that only appear once or twice;
  - But, frequent words (e.g., *the*) that appear in all documents are also not very useful signal.
- Solutions — weighing terms in word-word/word-doc co-occurrence matrix
  - Tf*idf
  - PPMI (Positive Pairwise Mutual Information)

Co-occurence Matrix

- Tf*idf
  - Tf: term frequency

$$tf = \log_{10}(\text{count}(t,\ d) + 1)$$

  - Idf: inverse document frequency

$$idf_i = \log_{10}(\frac{N}{df_i})$$

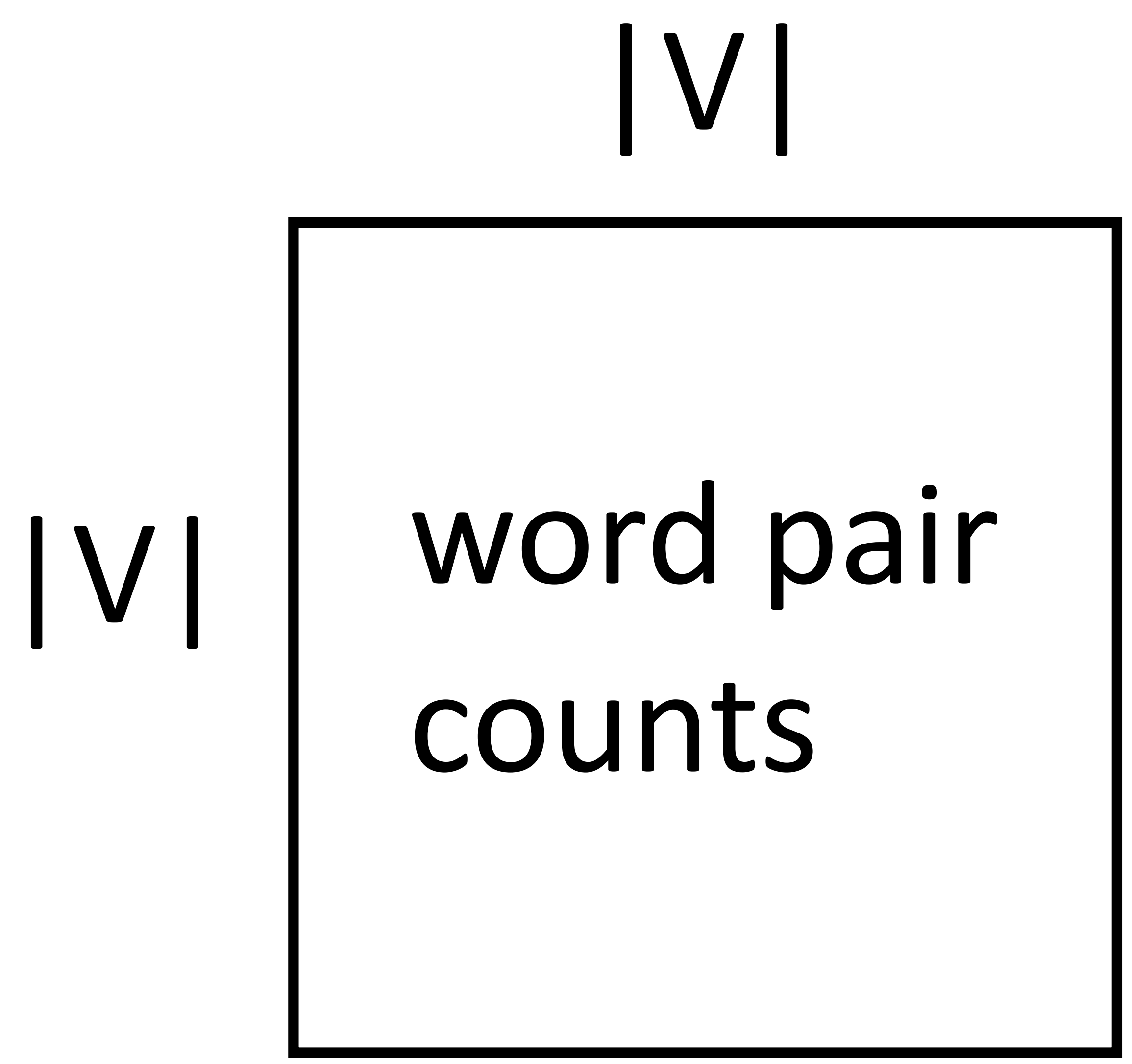
Total number of docs in collection

number of docs that have word i

word-doc co-occurrences

	As You Like It	Twelfth Night	Julius Caesar	Henry V
battle	1	0	7	17
solider	2	80	62	89
fool	36	58	1	4
clown	20	15	2	3

GloVe (**Glo**bal **Ve**ctors)



- Also operates on counts matrix, weighted regression on the log co-occurrence matrix

- Loss = $$\sum_{i,j} f(\mathrm{count}(w_i, c_j)) \left(w_i^\top c_j + a_i + b_j - \log \mathrm{count}(w_i, c_j)\right)^2$$

- Constant in the dataset size (just need counts), quadratic in voc size

- By far the most common non-contextual word vectors used today (10000+ citations)

Pennington et al. (2014)

Using Word Embeddings

- Approach 1: learn embeddings as parameters from your data
  - Often works pretty well
- Approach 2: initialize using GloVe/word2vec/ELMo, keep fixed
  - Faster because no need to update these parameters
- Approach 3: initialize using GloVe, fine-tune
  - Works best for some tasks, not used for ELMo, often used for BERT

NER in Twitter

2m 2ma 2mar 2mara 2maro 2marrow 2mor 2mora 2moro 2morow 2morr 2morro 2morrow 2moz 2mr 2mro 2mrrw 2mrw 2mw tmmrw tmo tmoro tmorrow tmoz tmr tmro tmrow tmrrow tmrrw tmrw tmrww tmw tomaro tomarow tomarro tomarrow tomm tommarow tommarrow tommoro tommorow tommorrow tommorw tommrow tomo tomolo tomoro tomorow tomorro tomorrw tomoz tomrw tomz

Ritter et al. (2011)

Word2vec

Both

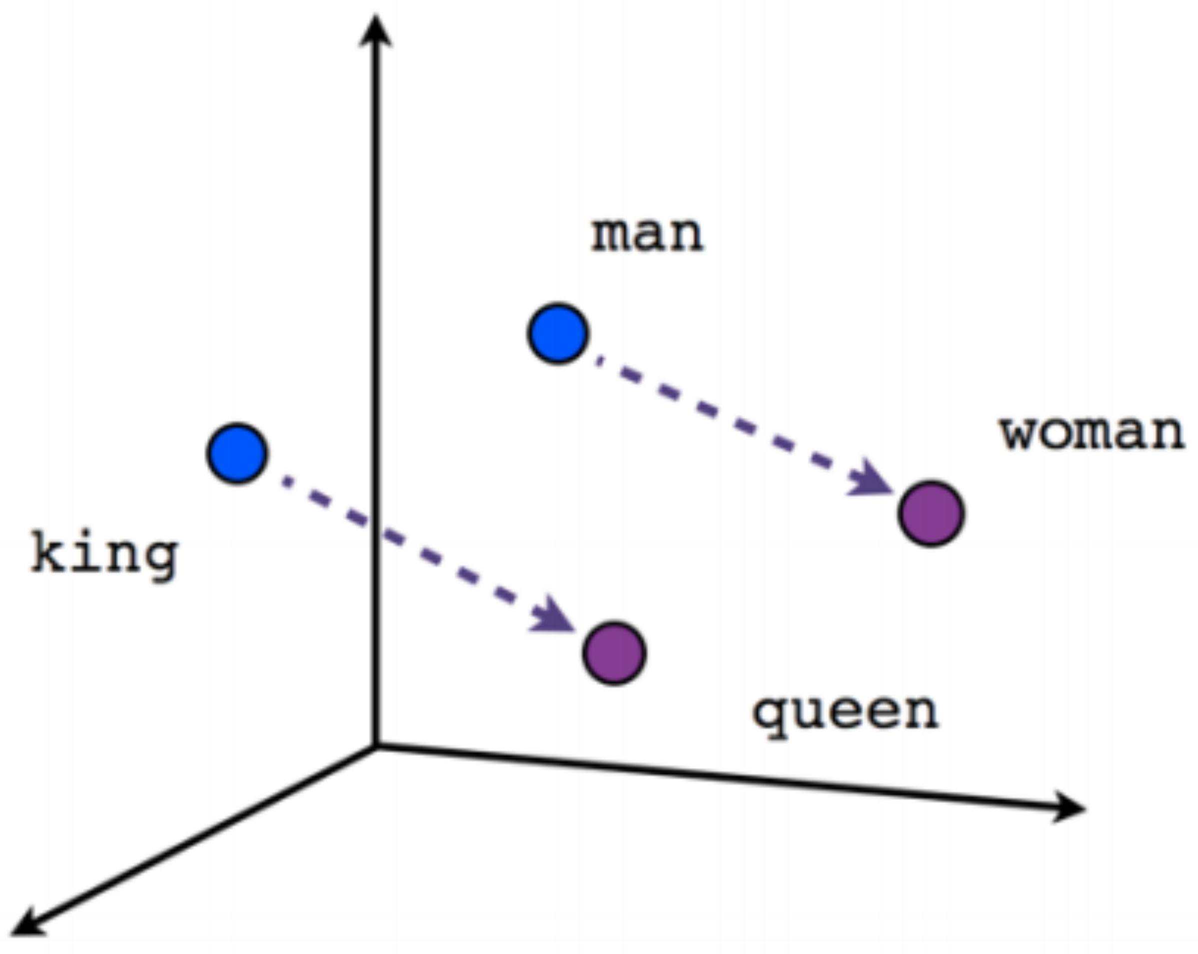
System	Fin10Dev	Rit11	Fro14	Avg
CoNLL	27.3	27.1	29.5	28.0
+ Brown	38.4	39.4	42.5	40.1
+ Vector	40.8	40.4	42.9	41.4
+ Reps	42.4	42.2	46.2	43.6
Fin10	36.7	29.0	30.4	32.0
+ Brown	59.9	53.9	56.3	56.7
+ Vector	61.5	56.4	58.4	58.8
+ Reps	64.0	58.5	60.2	60.9
CoNLL+Fin10	44.7	39.9	44.2	42.9
+ Brown	54.9	52.9	58.5	55.4
+ Vector	58.9	55.2	59.9	58.0
+ Reps	58.9	56.4	61.8	59.0
+ Weights	64.4	59.6	63.3	62.4

Table 5: Impact of our components on Twitter NER performance, as measured by F1, under 3 data scenarios.

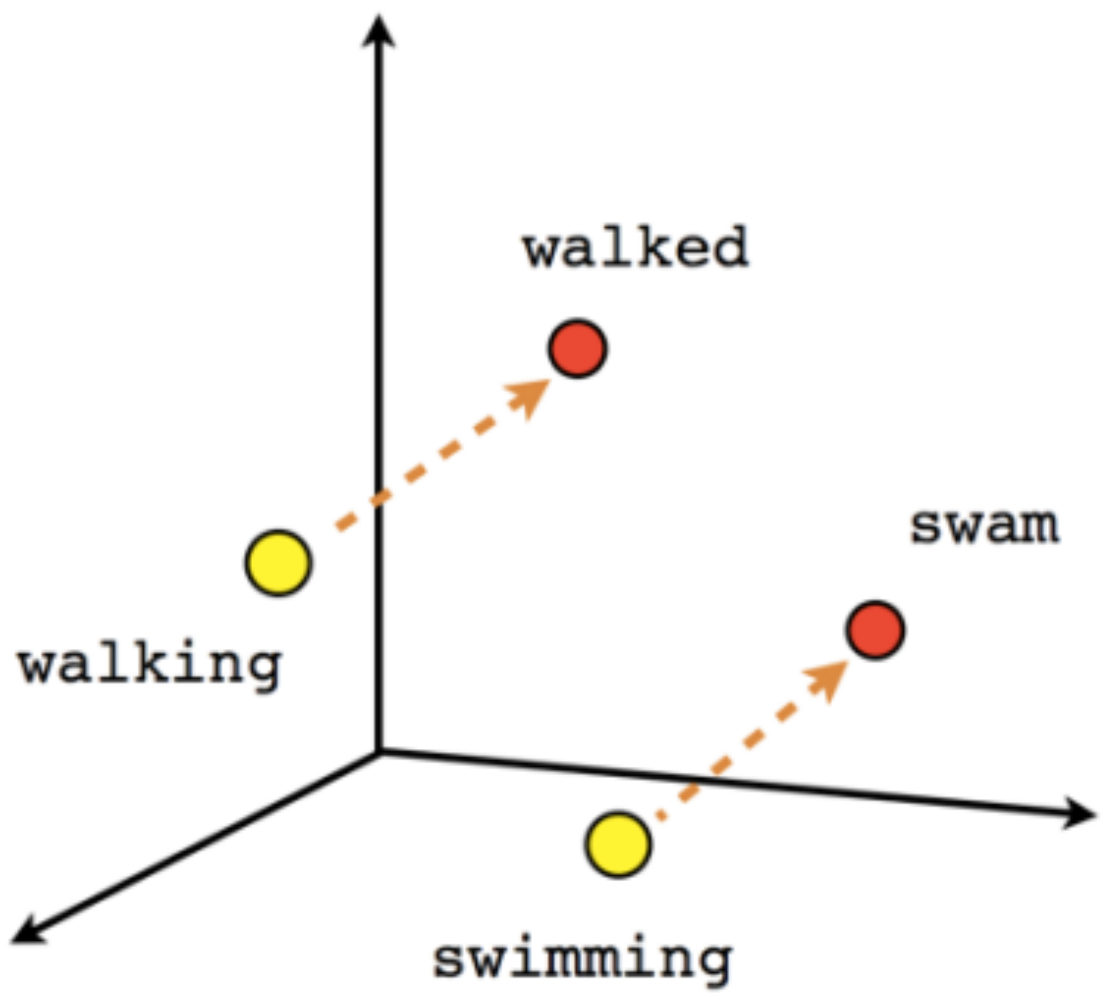
Cherry & Guo (2015)

Evaluation

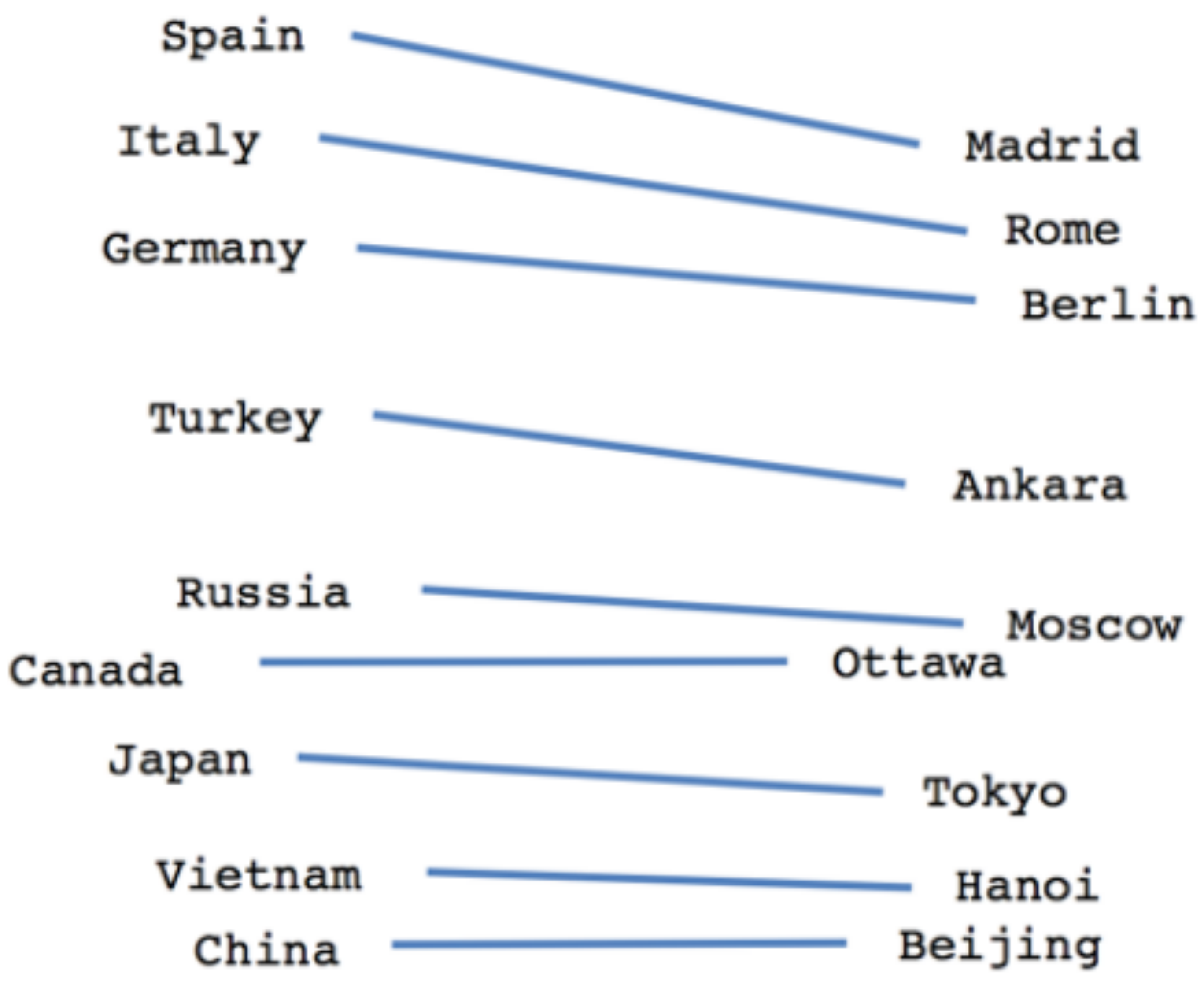
Visualization



Male-Female



Verb tense



Country-Capital

Source: tensorflow.org

Visualization

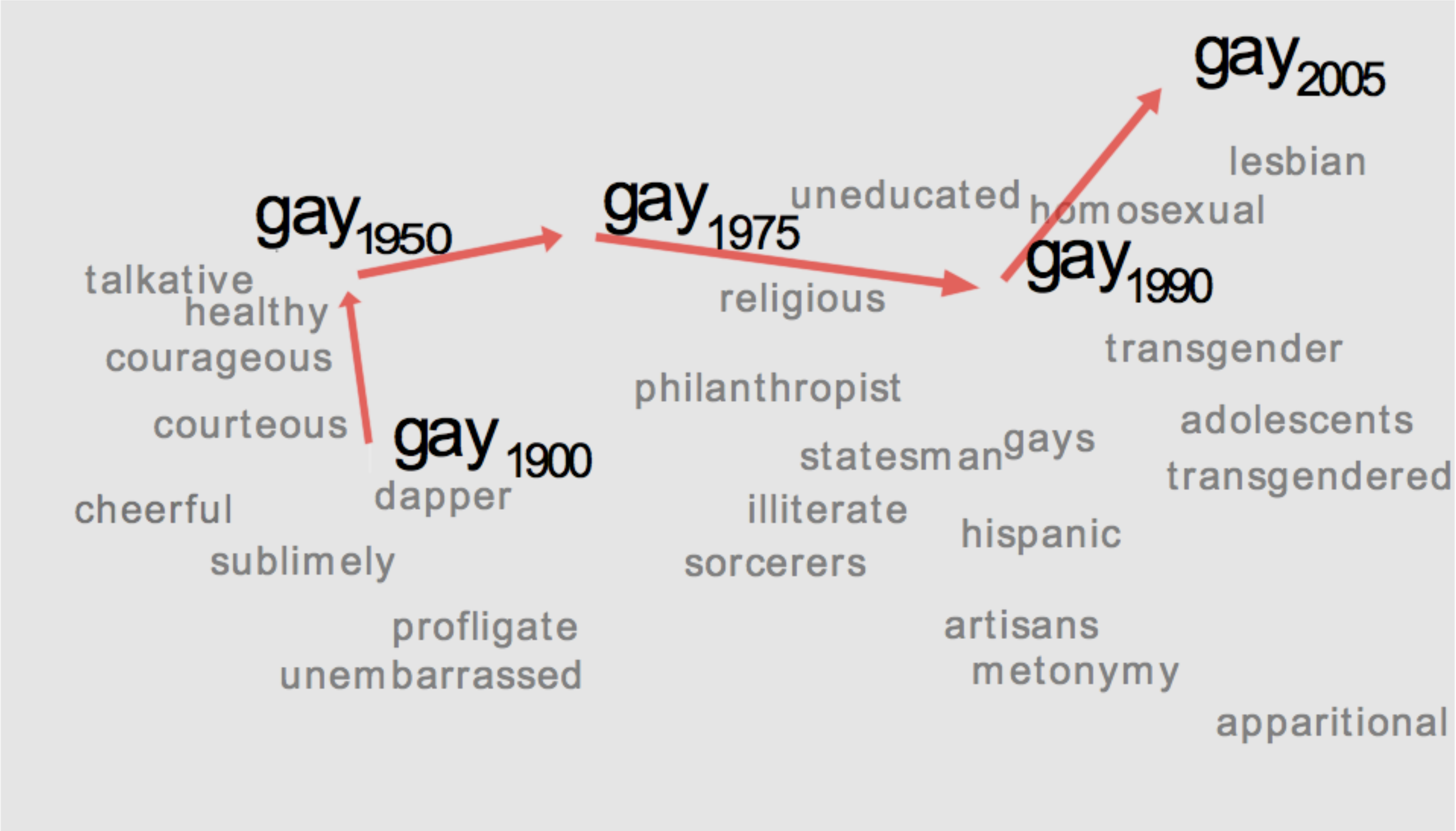


Figure 1: A 2-dimensional projection of the latent semantic space captured by our algorithm. Notice the semantic trajectory of the word **gay** transitioning meaning in the space.

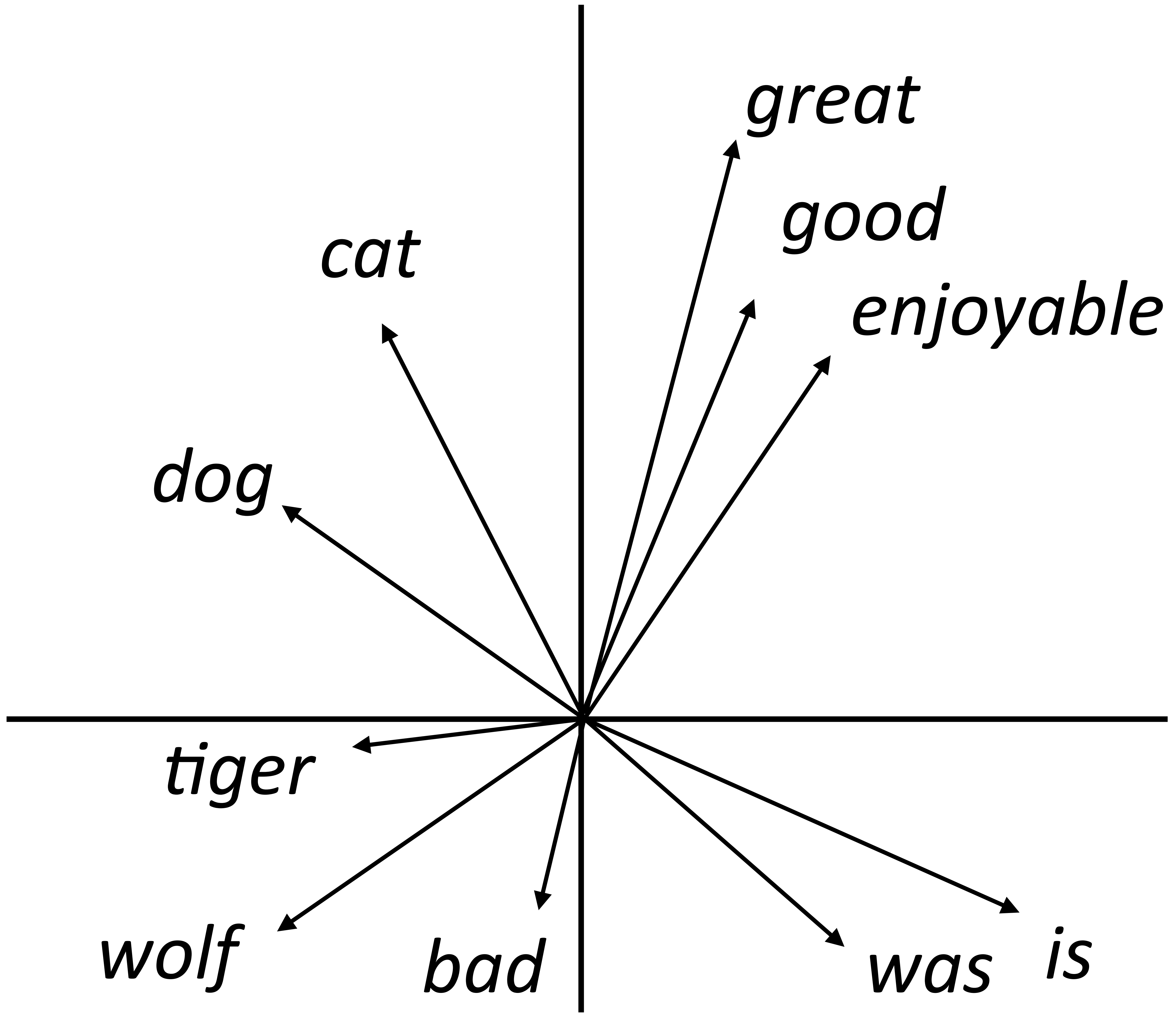
Kulkarni et al. (2015)

Evaluating Word Embeddings

- What properties of language should word embeddings capture?
- Similarity: similar words are close to each other
- Analogy:

  good is to best as smart is to ???

  Paris is to France as Tokyo is to ???



Word Similarity

- Cosine Similarity:

$$\text{cosine}(\vec{v}, \vec{w}) = \frac{\vec{v} \cdot \vec{w}}{|\vec{v}||\vec{w}|} = \frac{\sum_{i=1}^{N} v_i w_i}{\sqrt{\sum_{i=1}^{N} v_i^2}\sqrt{\sum_{i=1}^{N} w_i^2}}$$

Levy et al. (2015)

Word Similarity

Method	WordSim Similarity	WordSim Relatedness	Bruni et al. MEN	Radinsky et al. M. Turk	Luong et al. Rare Words	Hill et al. SimLex
PPMI	.755	**.697**	.745	.686	.462	.393
SVD	**.793**	.691	**.778**	.666	**.514**	.432
SGNS	**.793**	.685	.774	**.693**	.470	**.438**
GloVe	.725	.604	.729	.632	.403	.398

Word2vec

- SVD = singular value decomposition on PMI matrix
- GloVe does not appear to be the best when experiments are carefully controlled, but it depends on hyperparameters + these distinctions don't matter in practice

Levy et al. (2015)

Hypernym Detection

- Hypernyms: detective *is a* person, dog *is a* animal
- Do word vectors encode these relationships?

Dataset	TM14	Kotlerman 2010	HypeNet	WordNet	**Avg (10 datasets)**
Random	52.0	30.8	24.5	55.2	23.2
Word2Vec + C	52.1	**39.5**	20.7	**63.0**	25.3
GE + C	53.9	36.0	21.6	58.2	26.1
GE + KL	52.0	39.4	23.7	54.4	25.9
DIVE + C·ΔS	**57.2**	36.6	**32.0**	60.9	**32.7**

- word2vec (SGNS) works barely better than random guessing here

Table 1: Comparison with other unsupervised embedding methods. The scores are AP@all (%) for the first 10 datasets and Spearman ρ (%) for HyperLex. Avg (10 datasets) shows the micro-average AP of all datasets except HyperLex. Word2Vec+C scores word pairs using cosine similarity on skip-grams. GE+C and GE+KL compute cosine similarity and negative KL divergence on Gaussian embedding, respectively.

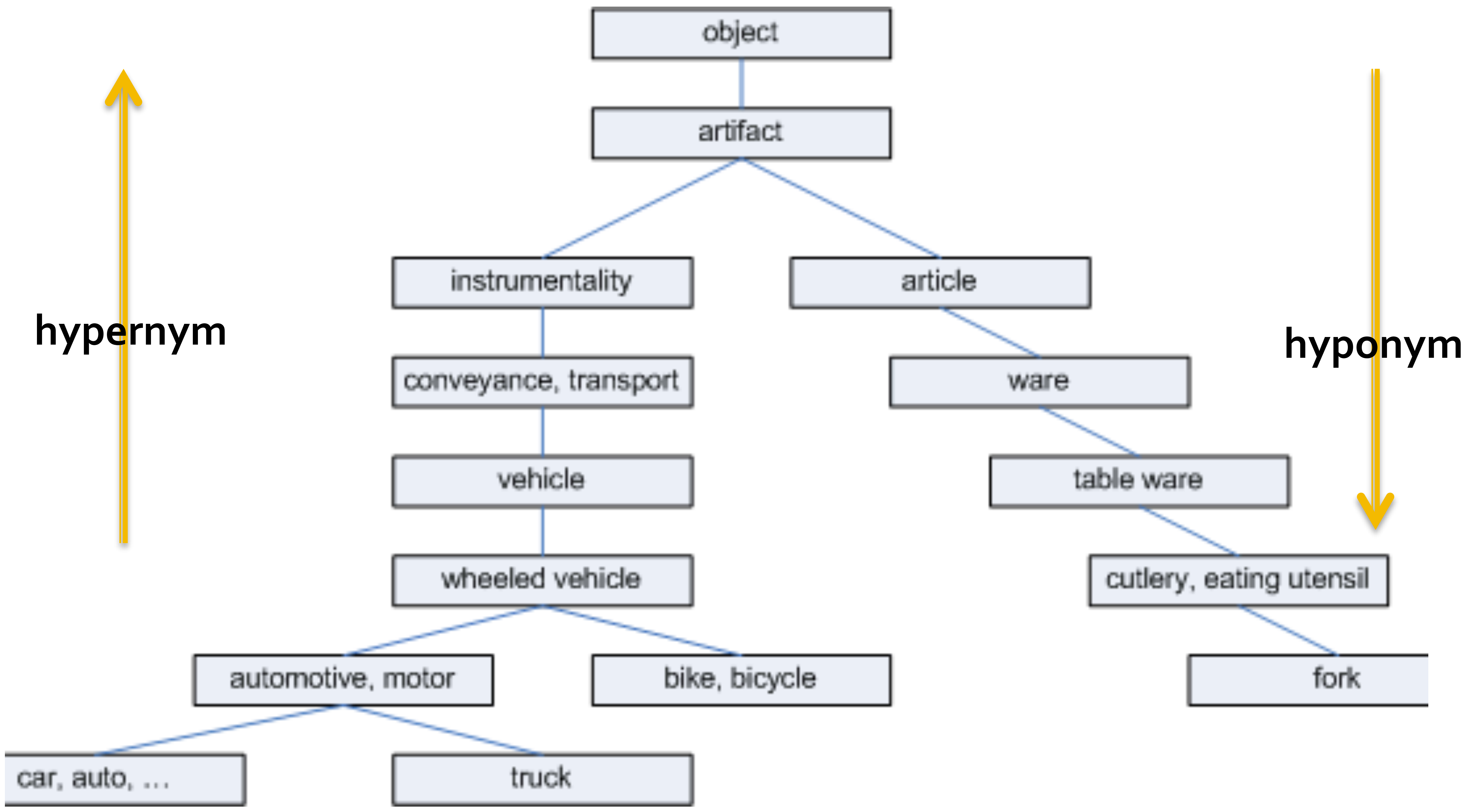
Chang et al. (2017)

WordNet®

- created (since mid-1980s) and maintained by Cognitive Science Lab of Princeton University
- designed to establish the connections between words
- a combination of dictionary and thesaurus (>155k English words)
  - 4 types of Parts of Speech (POS) - noun, verb, adjective, adverb
  - “Synset” (synonym set) is the smallest unit in WordNet, representing a specific meaning of a word

- S: (n) **search** (an investigation seeking answers) *"a thorough search of the ledgers revealed nothing"; "the outcome justified the search"*
- S: (v) **search**, seek, look for (try to locate or discover, or try to establish the existence of) *"The police are searching for clues"; "They are searching for the missing man in the entire county"*

WordNet®

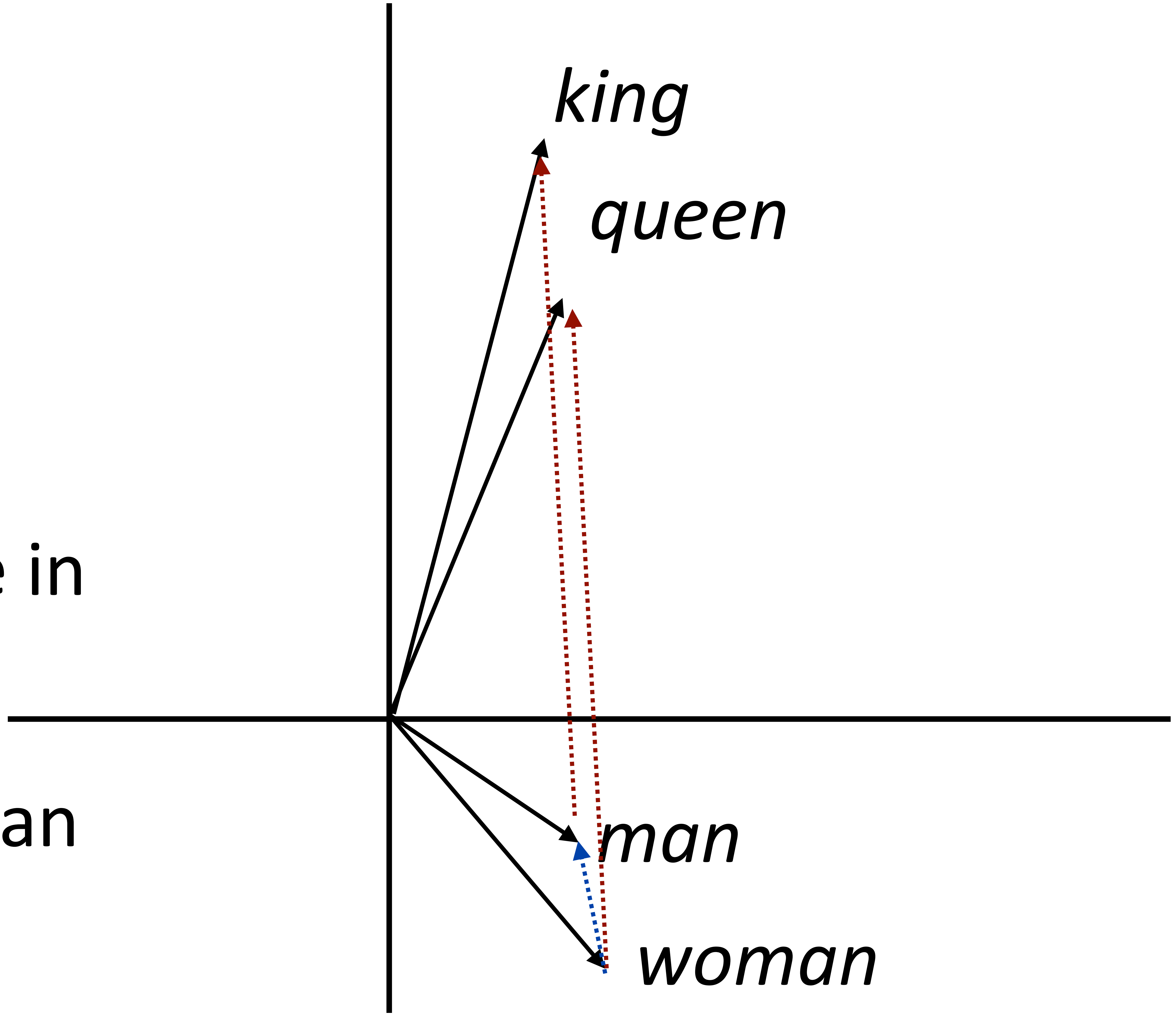

hypernym
object
artifact
instrumentality
article
conveyance, transport
ware
vehicle
table ware
wheeled vehicle
cutlery, eating utensil
automotive, motor
bike, bicycle
fork
car, auto, ...
truck
hyponym

Analogies

(king - man) + woman = queen

king + (woman - man) = queen

- Why would this be?
- woman - man captures the difference in the contexts that these occur in
- Dominant change: more “he” with man and “she” with woman — similar to difference between king and queen



Analogies

Method	Google Add / Mul	MSR Add / Mul
PPMI	.553 / .679	.306 / .535
SVD	.554 / .591	.408 / .468
SGNS	.676 / **.688**	.618 / **.645**
GloVe	.569 / .596	.533 / .580

- These methods can perform well on analogies on two different datasets using two different methods

Maximizing for *b*: Add = $\cos(b, a_2 - a_1 + b_1)$ Mul = $\dfrac{\cos(b_2, a_2)\cos(b_2, b_1)}{\cos(b_2, a_1) + \epsilon}$

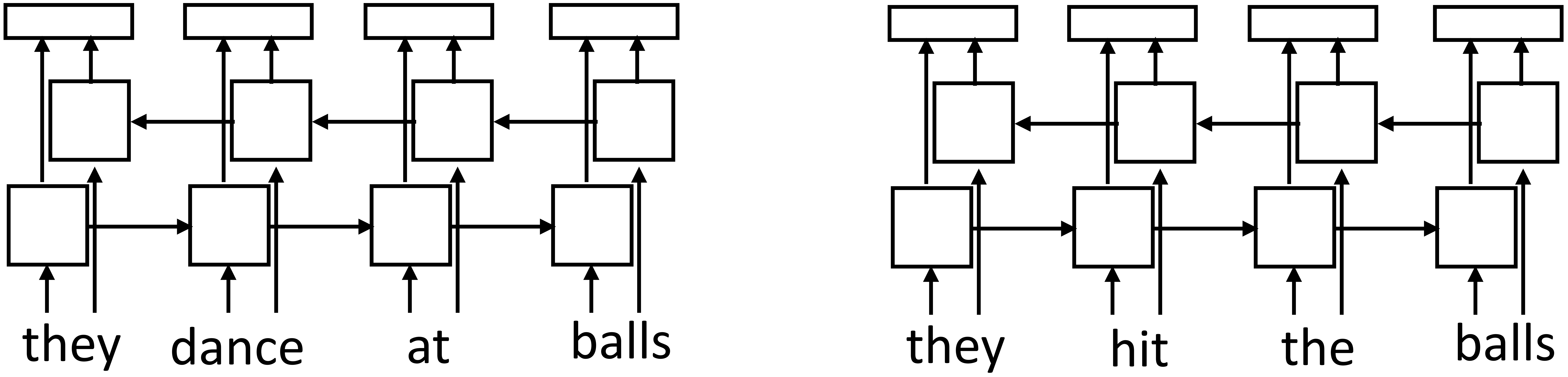
Levy et al. (2015)

Takeaways

- Word vectors: learning word -> context mappings has given way to matrix factorization approaches (constant in dataset size)
- Lots of pretrained embeddings work well in practice, they capture some desirable properties
- Even better: context-sensitive word embeddings (ELMo/BERT/etc.) — will talk later in the semester
- Next time: sequence modeling, HMM, …

Preview: Context-dependent Embeddings

- How to handle different word senses? One vector for *balls*



- Train a neural language model to predict the next word given previous words in the sentence, use its internal representations as word vectors
- *Context-sensitive* word embeddings: depend on rest of the sentence
- *Huge* improvements across nearly all NLP tasks over word2vec & GloVe

Peters et al. (2018)

Final Project

Final Project

- **Groups Size:** 2-3 people; 1 is possible (email me for permission).
- **Submission (slightly changed grading schema):**
  - 2-page project proposal (5%, due 10/10)
  - 4-page midway report (5%)
  - 8-page final report (17%) + final oral presentation (3%)

(detailed instructions on the submission will be released later)

- **Example project reports — see Stanford CS224's past projects**
  https://web.stanford.edu/class/cs224n/project.html

- **Prize:** We will give out 1-3 best project awards. 🏆

Final Project

- **Shared project** with other classes is allowed
  - project is expected to be accordingly bigger/better
  - clearly declare at the beginning of your report that you are sharing project (with which class)
- **External collaborators** (e.g. non CS7650 students, phd advisor) are also allowed
  - clearly describe in the report which parts of the projects are your work

Project Proposal (5%)

- Two pages total
- 1-page summary of a relevant (key) research paper for your topic
  - Bibliographical information,
  - Background (motivation, related work, why this work is important),
  - Contributions (what's new this paper added to the ongoing research conversation — new algorithms, new experimental results and analysis, new meta-analysis of old papers, new datasets, or otherwise?)
  - Limitations and discussion (every paper has limitations and flaws)
  - Why this paper? What is the wider research context?

Project Proposal (5%)

- 1-page summary of what you plan to and how you can innovate?
  - Main goal and motivation of your project — why it is cool? why it is useful?
  - What NLP tasks(s)?
  - What data?
  - What methods?
  - What baseline?
  - How will you evaluate your results?

Why Project Proposal?

- From Chris Manning —

  Skill: How to think critically about a research paper
  - What were the main novel contributions or points?
  - Is what makes it work something general and reusable or a special case?
  - Are there flaws or neat details in what they did?
  - How does it fit with other papers on similar topics?
  - Does it provoke good questions on further or different things to try?
    - Grading of research paper review is primarily summative

  How to do a good job on your project plan
  - You need to have an overall sensible idea (!)
  - But most project plans that are lacking are lacking in nuts-and-bolts ways:
    - Do you have appropriate data or a realistic plant to be able to collect it in a short period of time
    - Do you have a realistic way to evaluate your work
    - Do you have appropriate baselines or proposed ablation studies for comparisons
  - Grading of project proposal is primarily formative

Why Project Proposal?

- From Jason Eisner —

https://www.cs.jhu.edu/~jason/advice/how-to-read-a-paper.html

https://www.cs.jhu.edu/~jason/advice/write-the-paper-first.html

Finding Research Topics

- Two basic starting points, for all of science:
  - **Nails** — start with a (domain) problem of interest and try to find good/better ways to address it than are currently known/used
  - **Hammers** — start with a technical method/approach of interest, and work out good ways to extend or improve it or new ways to apply it

Credit: Stanford CS224n, Chris Manning

Typical Project Types

- This is not an exhaustive list —
- 1) Find an application/task of interest and explore how to approach/solve it effectively, often with an existing model
  - Could be task in the wild or some existing dataset or shared task (e.g.. WNUT or SemEval, etc.)
  - Or dialogue system, QA system, …
- 2) Analyze the behavior of models or existing datasets
  - how the model represents linguistic knowledge or what kinds of phenomena it can handle or errors that it makes.
  - what linguistic phenomena/errors exist in the dataset, how they affect model performance.

Credit: Stanford CS224n, Chris Manning

Typical Project Types

- This is not an exhaustive list —
- 3) Create a new dataset, conduct some analysis, train a prediction model
  - for a new topic/task, or for an existing task but better way to create higher quality dataset
  - may involve some manual annotation
  - conduct some quantitive and linguistic analyses
- 4) Implement a complex neural architecture and demonstrate its performance on some data, especially for non-English data
- 5) Come up with a new or variant neural network model and explore its empirical success (but this has become harder since 2020 —)

Credit: Stanford CS224n, Chris Manning

Place to start?

- Look at ACL Anthology for NLP papers:
  - https://aclanthology.org/
- Also look at the online proceedings of major ML/Web conferences
  - ICLR, NeurIPS , ICML
  - SIGIR, Web Conference, ICWSM (https://www.icwsm.org/2021/)
- Look at online preprint servers, especially:
  - https://arxiv.org/
- Look for an interesting problem in the world!
  - Psycholinguistics, computational social science, journalism, …

Finding a Topic

- Turing award winner and Stanford CS emeritus professor Ed Feigenbaum says to follow the advice of his advisor, AI pioneer, and Turing and Nobel prize winner Herb Simon:

  "If you see a research area where many people are working, go somewhere else."

- But where to go? Wayne Gretzky:

  "I skate to where the puck is going, not where it has been."

(Slides 51-55: https://web.stanford.edu/class/cs224n/slides/cs224n-2022-lecture08-final-project.pdf)

Credit: Stanford CS224n, Chris Manning

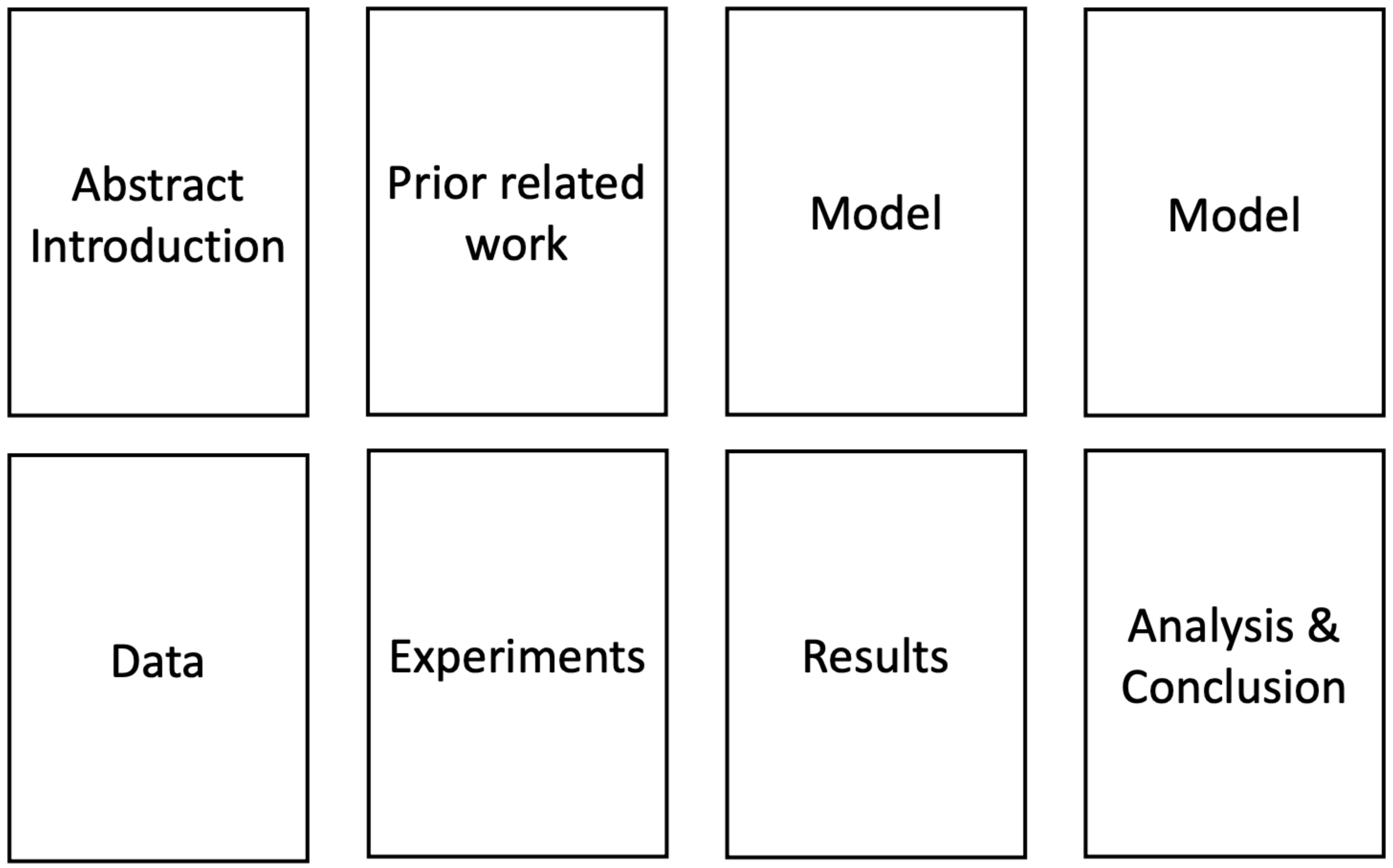
Finding Data

- Some people collect their own data for a project — **we like that!**
  - You may have a project that uses "unsupervised" data
  - You can annotate a small amount of data
  - You can find a website that effectively provides annotations, such as likes, starts, rating, responses, etc.
  - Look at research papers to see what data they use, how they get it
- Many others make use of existing datasets built by other researchers
  - Shared task at WNUT, WMT, SemEval, etc.
  - Datasets used in other papers (e.g. https://aclanthology.org/)

Credit: Stanford CS224n, Chris Manning

Final Project Writeup/Presentation

- Up to 8-**page writeup** due the day before final exam date (no late submission!)
- Use **LaTeX template** from ACL
- Include references; statement of each group members’ contribution
- Writeup quality is important to your grade!
- X-minute **oral presentation at the final exam time** (X $\in$ [3, 8])



Credit: Stanford CS224n

Have fun with your project!