

Binary Classification

Wei Xu

(many slides from Greg Durrett and Vivek Srikumar)

Administrivia

- ▶ Homework 1 will be released soon.
 - ▶ 2-3 written questions
 - ▶ One programming task:
 - ▶ Logistic Regression for Text Classification (Hate Speech)

Outline of the Course

ML and structured prediction for NLP

Neural Networks semantics

Applications:
MT, IE,
summarization,
dialogue, etc.

| Date | Topics (tentative and subject to change) | Readings |
|-----------|---|---|
| 1/14/2021 | first day of class | |
| 1/18/2021 | No class - MLK national holiday | |
| 1/20/2021 | Course Overview - 1st lecture | J+M 1 |
| 1/25/2021 | Binary Classification (naive bayes and logistic regression) | J+M 4, Eisenstein 2.0-2.5, 4.1,4.3-4.5, |
| 1/27/2021 | Multiclass Classification | J+M 5, Eisenstein 4.2 |
| 2/1/2021 | Neural Networks (feedforward networks) | Eisenstein 3.1-3.3, J+M 7.1-7.4 |
| 2/3/2021 | Neural Networks (back propagation) | Eisenstein 3.1-3.3, J+M 7.1-7.4 |
| 2/8/2021 | PyTorch Tutorial, Sequence Models | J+M 8 |
| 2/10/2021 | Viterbi Algorithm | Eisenstein 7.0-7.4 |
| 2/15/2021 | Conditional Random Fields | Eisenstein 7.5, 8.3 |
| 2/17/2021 | N-gram Language Models | |
| 2/22/2021 | Word Embeddings | Eisenstein 3.3.4, 14.5, 14.6, J+M 6 |
| 2/24/2021 | Recurrent Neural Networks | J+M 9, Goldberg 10,11 |
| 3/1/2021 | Convolutional Neural Networks | Goldberg 9, Eisenstein 3.4, 7.6 |
| 3/3/2021 | Statistical Machine Translation | Eisenstein 18.1, 18.2 |
| 3/8/2021 | (Guest Lecture) | |
| 3/10/2021 | Sequence-to-Sequence Model | J+M 10 |
| 3/15/2021 | Attention and Copy Mechanism | Eisenstein 18.3, 18.4 |
| 3/17/2021 | Question Answering / Reading Comprehension | SQuAD, BiDAF |
| 3/17/2021 | Withdrawal deadline | |
| 3/22/2021 | Parsing | |
| 3/24/2021 | No class - mid-semester break | |
| 3/29/2021 | Neural Machine Translation | Google NMT |
| 3/31/2021 | Transformer Model | Attention is all you need |
| 4/5/2021 | Generation (Guest Lecture) | |
| 4/7/2021 | Information Extraction | Eisenstein 13, 17 |
| 4/12/2021 | Dialog (Guest Lecture) | |
| 4/14/2021 | Pre-trained Language Models / BERT | |
| 4/19/2021 | Computational Social Science (Guest Lecture) | |
| 4/21/2021 | Speech Recognition | |
| 4/26/2021 | Final class day | |

NLP Research

| | Area | Long submissions | Accepts | Accept rate (%) |
|-----|---|------------------|---------|-----------------|
| 1. | Applications | 65 | 14 | 28.8 |
| 2. | Dialogue and Interactive Systems | 126 | 38 | 30.2 |
| 3. | Discourse and Pragmatics | 33 | 7 | 21.2 |
| 4. | Document Analysis | 48 | 8 | 16.7 |
| 5. | Generation | 96 | 32 | 33.3 |
| 6. | Information Extraction and Text Mining | 155 | 37 | 23.9 |
| 7. | Linguistic Theories, Cognitive Modeling and Psycholinguistics | 39 | 9 | 23.1 |
| 8. | Machine Learning | 148 | 38 | 25.7 |
| 8. | Machine Translation | 102 | 27 | 26.5 |
| 10. | Multidisciplinary and Area Chair COI | 69 | 21 | 30.4 |
| 11. | Multilinguality | 43 | 11 | 25.6 |
| 12. | Phonology Morphology and Word Segmentation | 26 | 7 | 26.9 |
| 13. | Question Answering | 99 | 32 | 32.3 |
| 14. | Resources and Evaluation | 70 | 26 | 37.1 |
| 15. | Sentence-level semantics | 69 | 14 | 20.3 |
| 15. | Sentiment Analysis and Argument Mining | 91 | 24 | 26.4 |
| 17. | Social Media | 51 | 14 | 27.5 |
| 18. | Summarization | 48 | 11 | 22.9 |
| 19. | Tagging Chunking Syntax and Parsing | 50 | 17 | 34.0 |
| 20. | Textual Inference and Other Areas of Semantics | 44 | 16 | 36.4 |
| 21. | Vision Robotics Multimodal Grounding and Speech | 56 | 20 | 35.7 |
| 22. | Word-level Semantics | 78 | 20 | 25.6 |

Secure | https://www.aclweb.org/portal/what-is-cl

The screenshot shows the official website of the Association for Computational Linguistics (ACL) at <https://www.aclweb.org/portal/what-is-cl>. The page title is "What is the ACL and what is Computational Linguistics?". It features a red square logo with a white stylized letter 'C'. The main content area contains text about the history and activities of the ACL, mentioning its founding in 1962, the journal *Computational Linguistics*, and the Conference News section. On the left, there is a navigation menu with links to About the ACL, News, Journals, Conferences (with sub-links for Conference News, ACL, EACL, EMNLP, NAACL, IJCNLP), Events, ACL Fellows, SIGs, Anthology, Wiki, Software Registry, Education, Policies, and Archives. A search bar is located in the top right corner.

What is the ACL and what is Computational Linguistics?

The Association for Computational Linguistics (ACL) is the premier international scientific and professional society for people working on computational problems involving human language, a field often referred to as either computational linguistics or natural language processing (NLP). The association was founded in 1962, originally named the Association for Machine Translation and Computational Linguistics (AMTCL), and became the ACL in 1968. Activities of the ACL include the holding of an annual meeting each summer and the sponsoring of the journal *Computational Linguistics*, published by MIT Press; this conference and journal are the leading publications of the field. For more information, see: <https://www.aclweb.org/>.

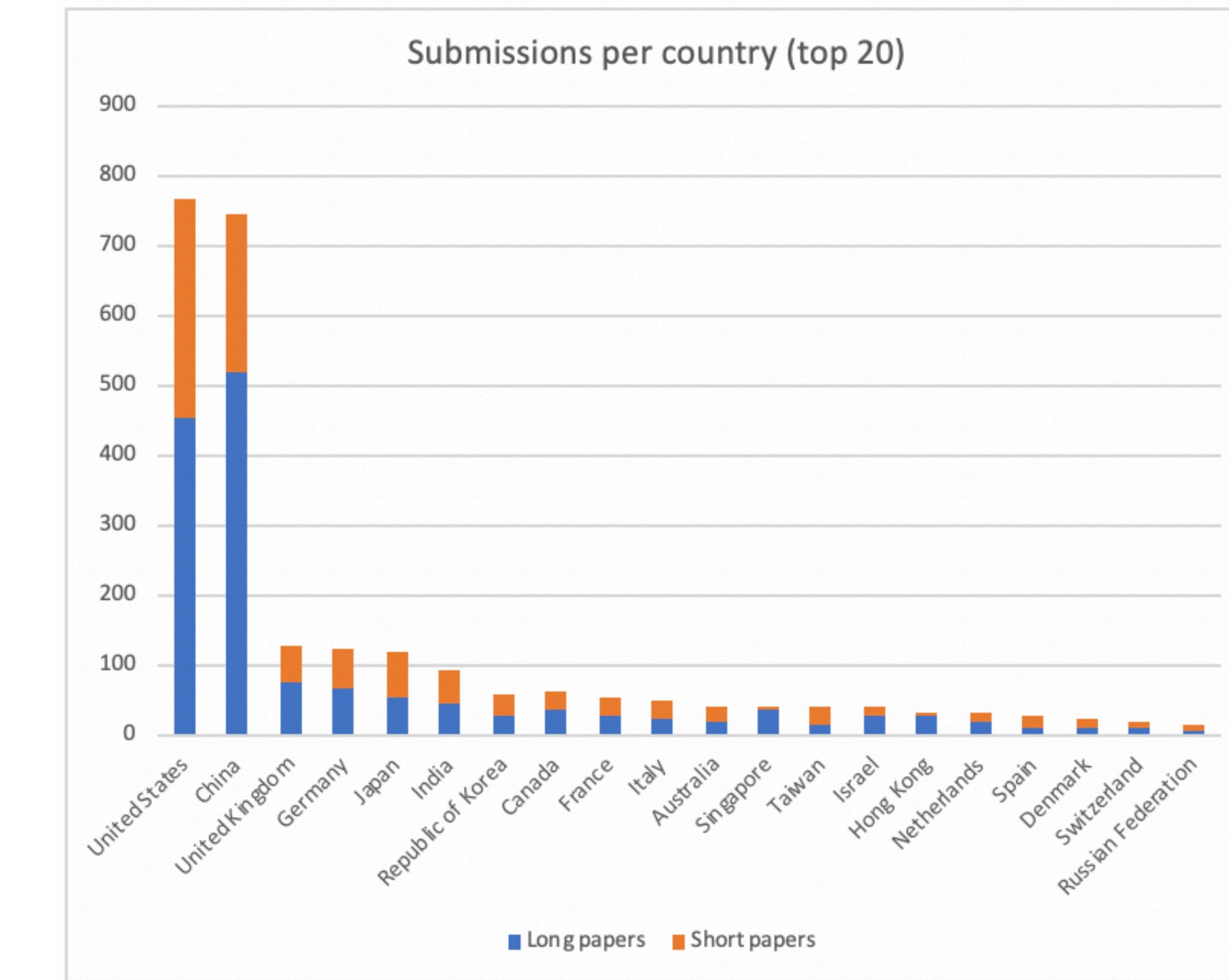
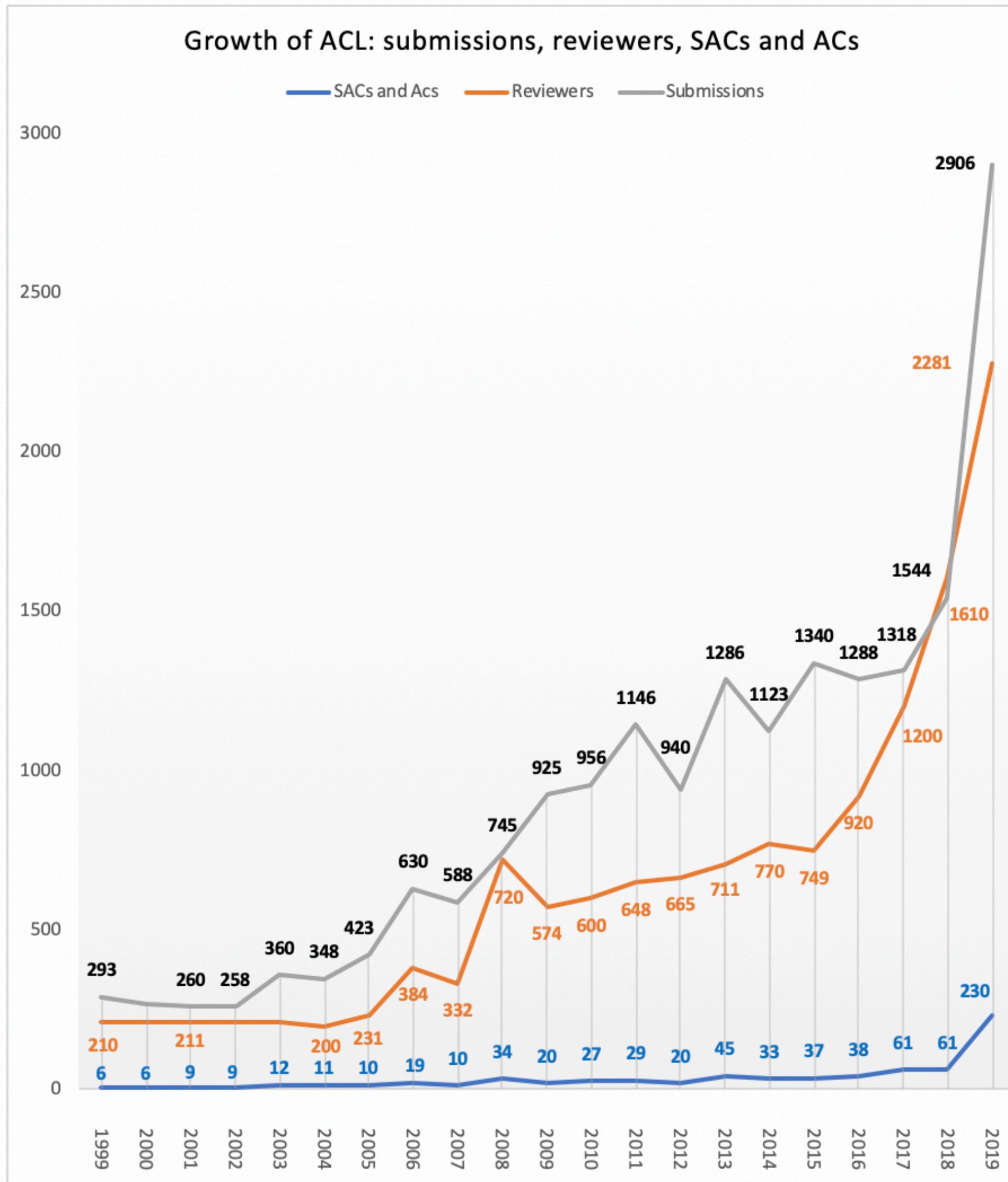
What is Computational Linguistics?

Computational linguistics is the scientific study of language from a computational perspective. Computational linguists are interested in providing computational models of various kinds of linguistic phenomena. These models may be "knowledge-based" ("hand-crafted") or "data-driven" ("statistical" or "empirical"). Work in computational linguistics is in some cases motivated from a scientific perspective in that one is trying to provide a computational explanation for a particular linguistic or psycholinguistic phenomenon; and in other cases the motivation may be more purely technological in that one wants to provide a working component of a speech or natural language system. Indeed, the work of computational linguists is incorporated into many working systems today, including speech recognition systems, text-to-speech synthesizers, automated voice response systems, web search engines, text editors, language instruction materials, to name just a few.

Popular computational linguistics textbooks include:

- Christopher Manning and Hinrich Schütze (1999) *Foundations of Statistical Natural Language Processing*, Cambridge, Massachusetts, USA. MIT Press. Also see the book's [supplemental materials website](#) at Stanford.
- Daniel Jurafsky and James Martin (2008) *An Introduction to Natural Language Processing, Computational Linguistics, and Speech Recognition*, Second Edition. Prentice Hall.

ACL'19 at a Glance



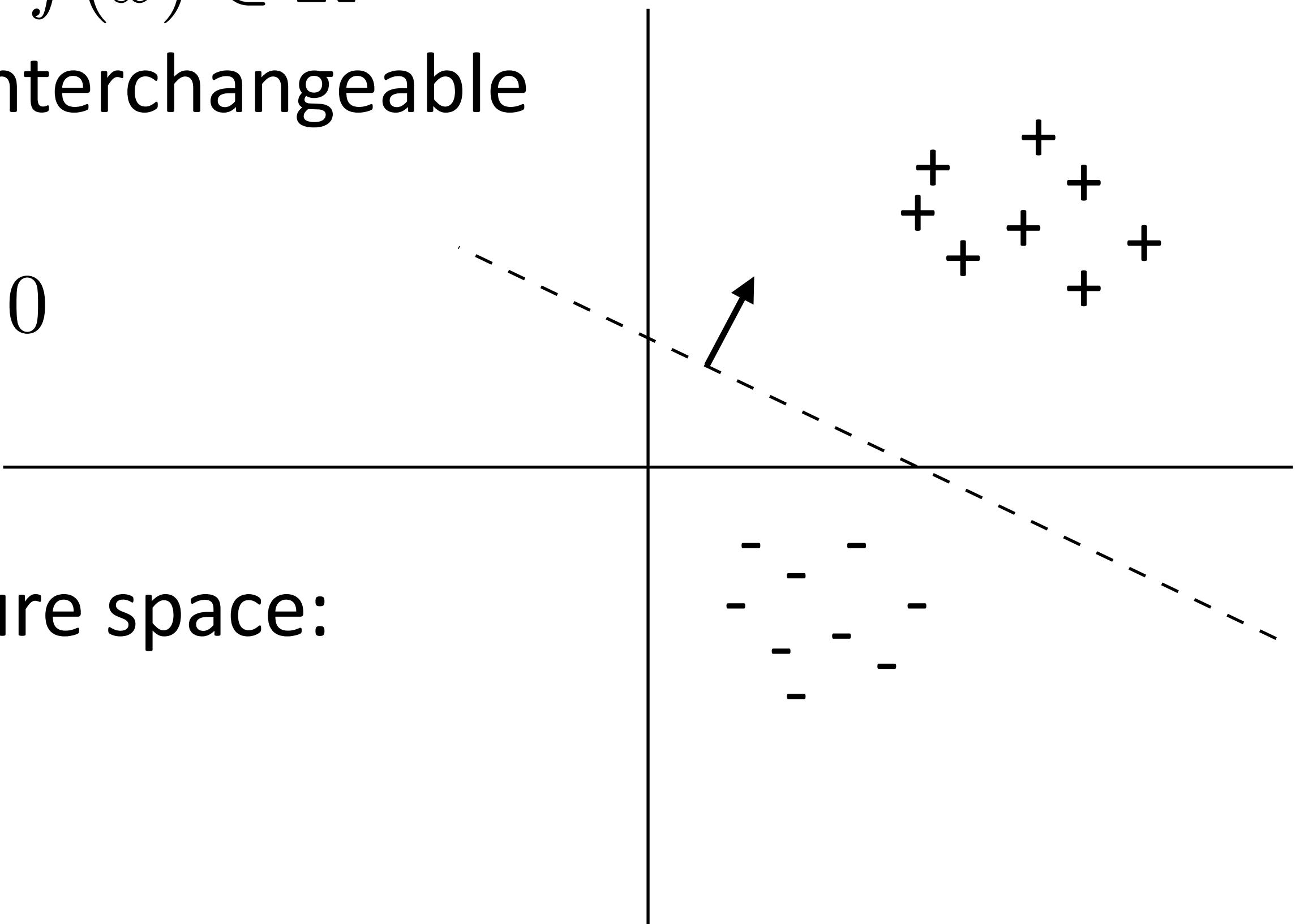
This Lecture

- ▶ Linear classification fundamentals
- ▶ Naive Bayes, maximum likelihood in generative models
- ▶ Three discriminative models: logistic regression, perceptron, SVM
 - ▶ Different motivations but very similar update rules / inference!

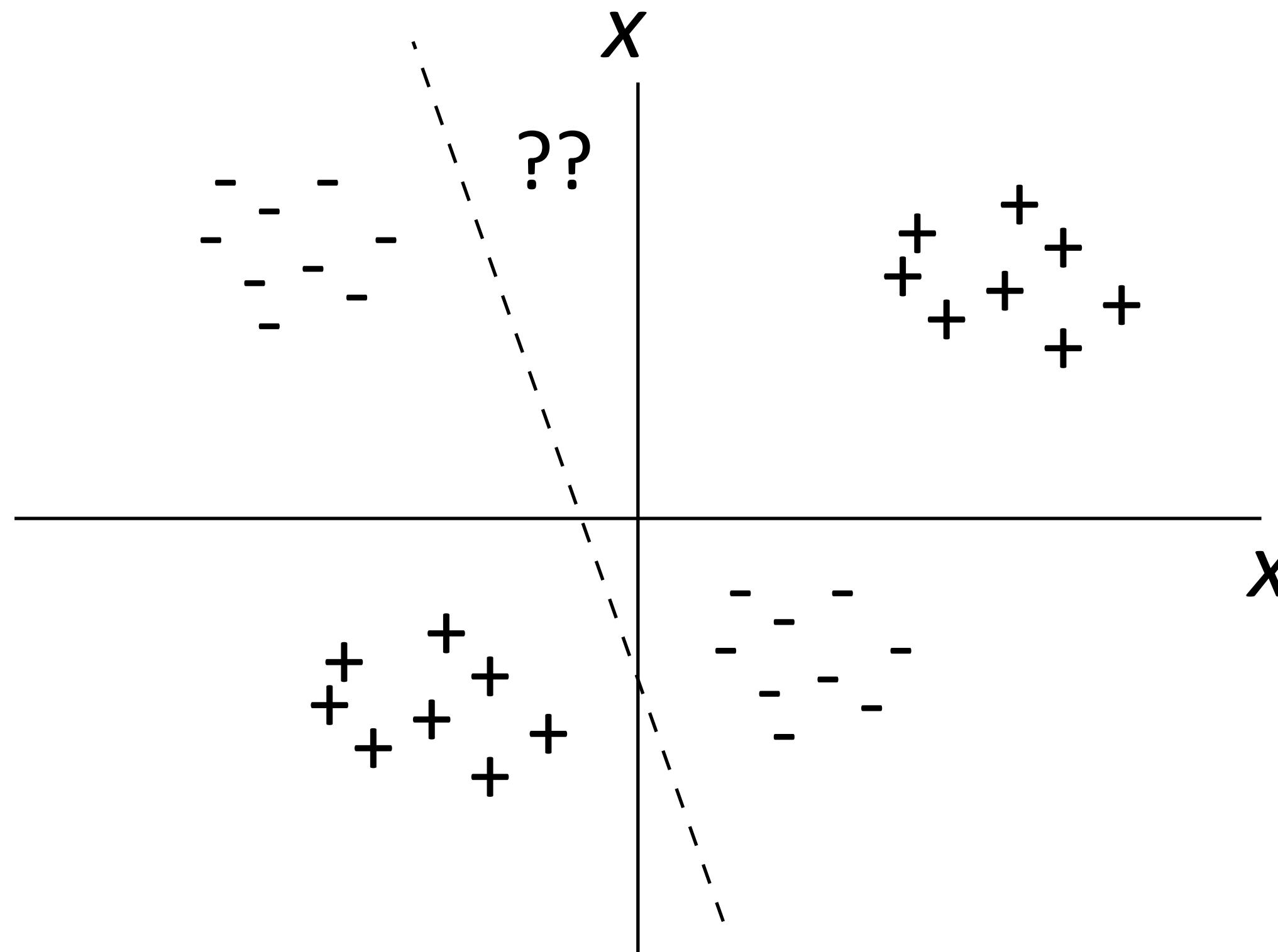
Classification

Classification

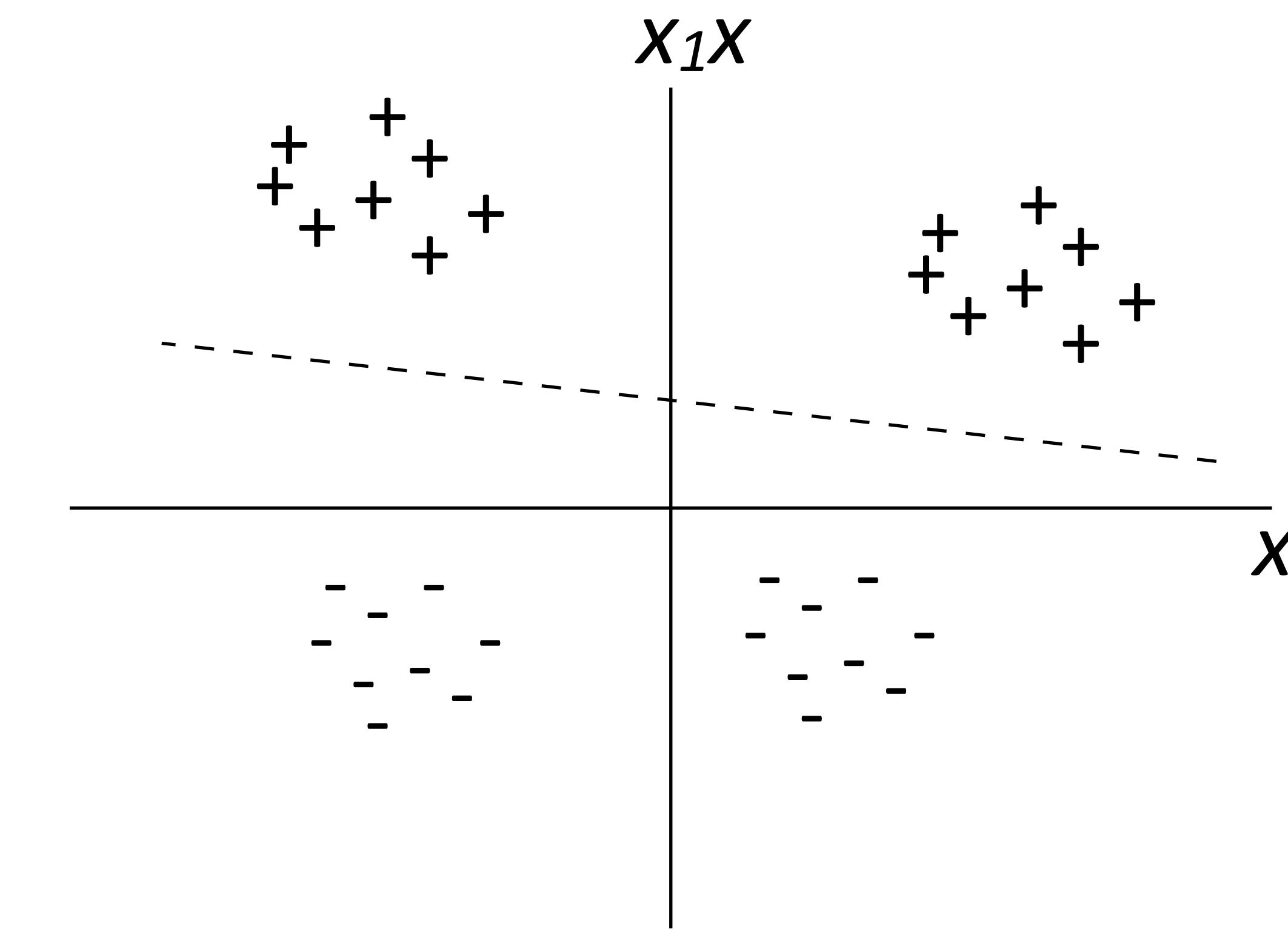
- ▶ Datapoint x with label $y \in \{0, 1\}$
- ▶ Embed datapoint in a feature space $f(x) \in \mathbb{R}^n$
but in this lecture $f(x)$ and x are interchangeable
- ▶ Linear decision rule: $w^\top f(x) + b > 0$
 $w^\top f(x) > 0$
- ▶ Can delete bias if we augment feature space:
 $f(x) = [0.5, 1.6, 0.3]$
↓
[0.5, 1.6, 0.3, 1]



Linear functions are powerful!



$$f(x) = [x_1, x_2]$$



$$f(x) = [x_1, x_2, x_1^2, x_2^2, x_1x_2]$$

- ▶ “Kernel trick” does this for “free,” but is too expensive to use in NLP applications, training is $O(n^2)$ instead of $O(n \cdot (\text{num feats}))$

Classification: Sentiment Analysis

this movie was great! would watch again

Positive

that film was awful, I'll never watch again

Negative

- ▶ Surface cues can basically tell you what's going on here: presence or absence of certain words (*great, awful*)
- ▶ Steps to classification:
 - ▶ Turn examples like this into feature vectors
 - ▶ Pick a model / learning algorithm
 - ▶ Train weights on data to get our classifier

Feature Representation

this movie was great! would watch again Positive

- ▶ Convert this example to a vector using *bag-of-words features*

| | | | | | |
|------------------------|----------------------|------------------------|--------------------------|-------------------------|-----|
| [contains <i>the</i>] | [contains <i>a</i>] | [contains <i>was</i>] | [contains <i>movie</i>] | [contains <i>film</i>] | ... |
| position 0 | position 1 | position 2 | position 3 | position 4 | |
| $f(x) = [0$ | 0 | 1 | 1 | 0 | ... |

- ▶ Very large vector space (size of vocabulary), sparse features
- ▶ Requires *indexing* the features (mapping them to axes)

What are features?

- ▶ Don't have to be just *bag-of-words*

$$f(x) = \begin{pmatrix} \text{count("boring")} \\ \text{count("not boring")} \\ \text{length of document} \\ \text{author of document} \\ \vdots \end{pmatrix}$$

- ▶ More sophisticated feature mappings possible (tf-idf), as well as lots of other features: character n-grams, parts of speech, lemmas, ...

Naive Bayes

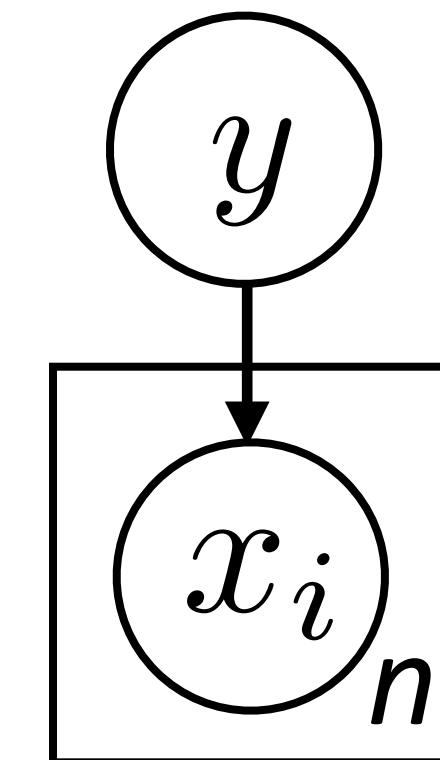
Naive Bayes

- ▶ Data point $x = (x_1, \dots, x_n)$, label $y \in \{0, 1\}$
- ▶ Formulate a probabilistic model that places a distribution $P(x, y)$
- ▶ Compute $P(y|x)$, predict $\text{argmax}_y P(y|x)$ to classify

$$\begin{aligned} P(y|x) &= \frac{P(y)P(x|y)}{P(x)} && \text{Bayes' Rule} \\ &\propto P(y)P(x|y) && \text{constant: irrelevant} \\ &= P(y) \prod_{i=1}^n P(x_i|y) && \text{for finding the max} \end{aligned}$$

“Naive” assumption:
conditional independence

$$\text{argmax}_y P(y|x) = \text{argmax}_y \log P(y|x) = \text{argmax}_y \left[\log P(y) + \sum_{i=1}^n \log P(x_i|y) \right]$$



Why the log?

$$P(y|x) = \frac{P(y)P(x|y)}{P(x)} = P(y) \prod_{i=1}^n P(x_i|y)$$

- ▶ Multiplying together lots of probabilities
- ▶ Probabilities are numbers between 0 and 1

Q: What could go wrong here?

Why the log?

► Problem – floating point underflow

| s | exponent | significand |
|---|----------|-------------|
|---|----------|-------------|

1 11 bits 52 bits

Largest = $1.111\dots \times 2^{+1023}$

Smallest = $1.000\dots \times 2^{-1024}$

► Solution: working with probabilities in log space

| x | log(x) |
|-----------|---------------|
| 0.0000001 | -16.118095651 |
| 0.000001 | -13.815511 |
| 0.00001 | -11.512925 |
| 0.0001 | -9.210340 |
| 0.001 | -6.907755 |
| 0.01 | -4.605170 |
| 0.1 | -2.302585 |

Maximum Likelihood Estimation

- ▶ Data points (x_j, y_j) provided (j indexes over examples)
- ▶ Find values of $P(y)$, $P(x_i|y)$ that maximize data likelihood (generative):

$$\prod_{j=1}^m P(y_j, x_j) = \prod_{j=1}^m P(y_j) \left[\prod_{i=1}^n P(x_{ji}|y_j) \right]$$

data points (j) features (i) i th feature of j th example

Maximum Likelihood Estimation

- ▶ Imagine a coin flip which is heads with probability p

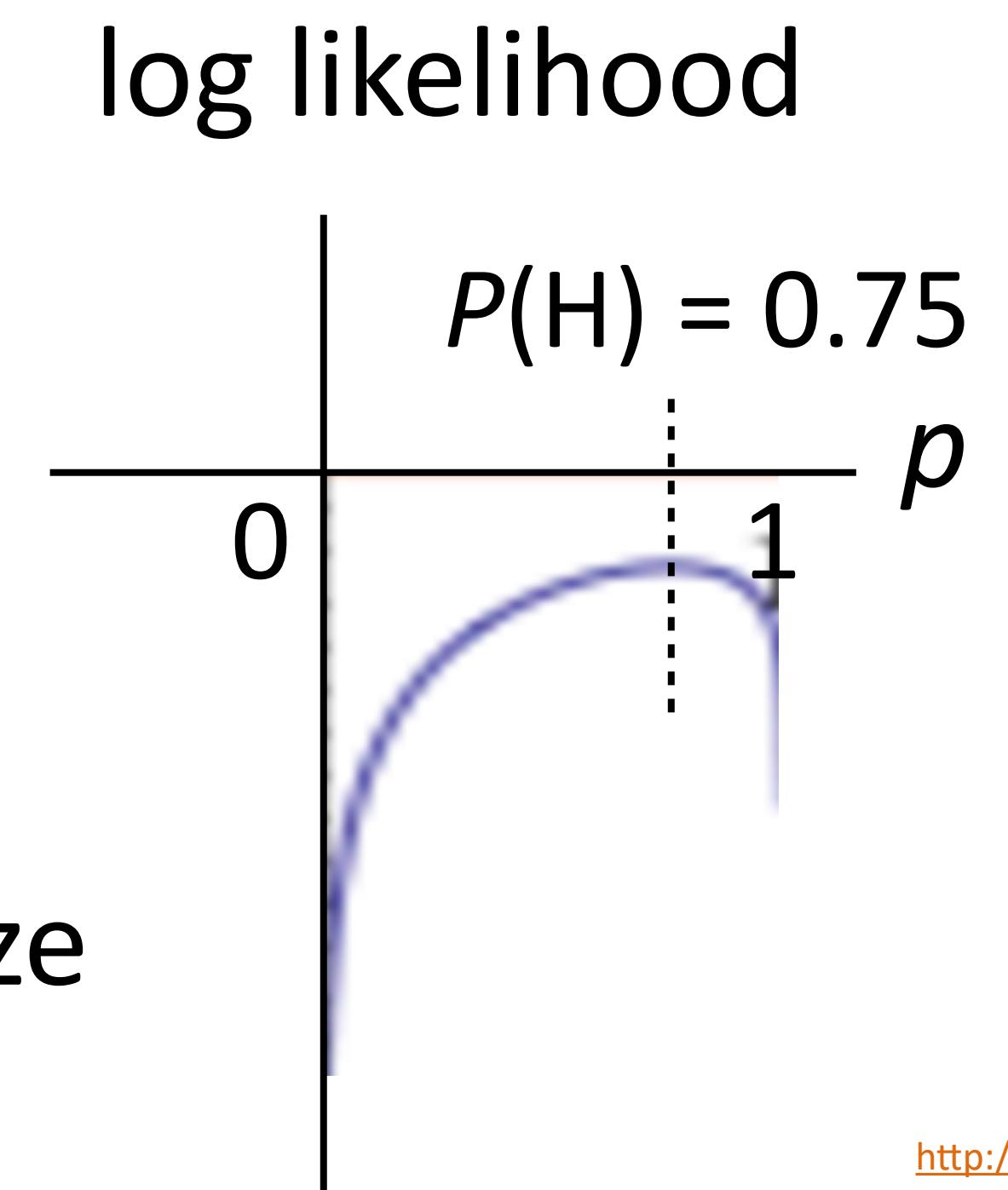


- ▶ Observe (H, H, H, T) and maximize likelihood: $\prod_{j=1}^m P(y_j) = p^3(1 - p)$

- ▶ Easier: maximize *log* likelihood

$$\sum_{j=1}^m \log P(y_j) = 3 \log p + \log(1 - p)$$

- ▶ Maximum likelihood parameters for binomial/multinomial = read counts off of the data + normalize



Maximum Likelihood Estimation

- ▶ Data points (x_j, y_j) provided (j indexes over examples)
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data points (j) features (i) i th feature of j th example

- ▶ Equivalent to maximizing logarithm of data likelihood:

$$\sum_{j=1}^m \log P(y_j, x_j) = \sum_{j=1}^m \left[\log P(y_j) + \sum_{i=1}^n \log P(x_{ji}|y_j) \right]$$

Maximum Likelihood for Naive Bayes

this movie was great! would watch again

+

I liked it well enough for an action flick

+

I expected a great film and left happy

+

brilliant directing and stunning visuals

+

that film was awful, I'll never watch again

-

I didn't really like that movie

-

dry and a bit distasteful, it misses the mark

-

great potential but ended up being a flop

-

it was great

$$P(y|x) \propto \begin{bmatrix} P(+)P(\text{great}|+) \\ P(-)P(\text{great}|-) \end{bmatrix} = \begin{bmatrix} 1/4 \\ 1/8 \end{bmatrix} = \begin{bmatrix} 2/3 \\ 1/3 \end{bmatrix}$$

$$P(+) = \frac{1}{2}$$

$$P(-) = \frac{1}{2}$$

prior

$$P(\text{great}|+) = \frac{1}{2}$$

word likelihood

$$P(\text{great}|-) = \frac{1}{4}$$

$$P(y|x) \propto P(y) \prod_{i=1}^n P(x_i|y)$$

Naive Bayes: Learning

$$P(y|x) \propto P(y) \prod_{i=1}^n P(x_i|y)$$

- ▶ Learning = estimate the parameters of the model
 - ▶ Prior probability — $P(+)$ and $P(-)$:
 - ▶ fraction of + (or -) documents among all documents
 - ▶ Word likelihood — $P(\text{word}_i | +)$ and $P(\text{word}_i | -)$:
 - ▶ number of + (or -) documents word_i is observed, divide by the total number of documents of + (or -) documents

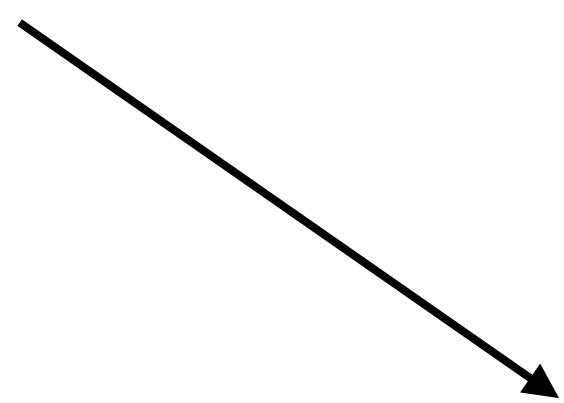
This is for Bernoulli (binary features) document model!

Zero Probability Problem

- ▶ What if we have seen no training document with the word “fantastic” and classified in the topic positive?
- ▶ Laplace (add-1) Smoothing
 - ▶ Word likelihood — $P(\text{word}_i | +)$ and $P(\text{word}_i | -)$:
 - ▶ frequency of word_i is observed **plus 1**, divide by ...

Naive Bayes

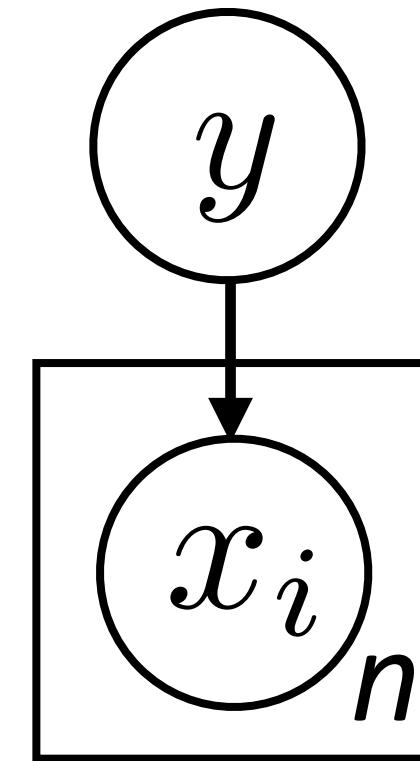
- ▶ Bernoulli document model:
 - ▶ A document is represented by binary features
 - ▶ Feature value be 1 if the corresponding word is represent in the document and 0 if not
- ▶ Multinomial document model”
 - ▶ A document is represented by integer elements
 - ▶ Feature value is the frequency of that word in the document
 - ▶ See textbook and lecture note by Hiroshi Shimodaira linked below for more details



Naive Bayes: Summary

- ▶ Model

$$P(x, y) = P(y) \prod_{i=1}^n P(x_i|y)$$



- ▶ Inference

$$\operatorname{argmax}_y \log P(y|x) = \operatorname{argmax}_y \left[\log P(y) + \sum_{i=1}^n \log P(x_i|y) \right]$$

- ▶ Alternatively: $\log P(y=+|x) - \log P(y=-|x) > 0$

$$\Leftrightarrow \log \frac{P(y=+)}{P(y=-)} + \sum_{i=1}^n \log \frac{P(x_i|y=+)}{P(x_i|y=-)} > 0$$

Linear model!
 $w^\top f(x) > 0$

- ▶ Learning: maximize $P(x, y)$ by reading counts off the data

Problems with Naive Bayes

the film was beautiful, stunning cinematography and gorgeous sets, but boring —

$$P(x_{\text{beautiful}}|+) = 0.1 \quad P(x_{\text{beautiful}}|-) = 0.01$$

$$P(x_{\text{stunning}}|+) = 0.1 \quad P(x_{\text{stunning}}|-) = 0.01$$

$$P(x_{\text{gorgeous}}|+) = 0.1 \quad P(x_{\text{gorgeous}}|-) = 0.01$$

$$P(x_{\text{boring}}|+) = 0.01 \quad P(x_{\text{boring}}|-) = 0.1$$

- ▶ Correlated features compound: *beautiful* and *gorgeous* are not independent!
- ▶ Naive Bayes is naive, but another problem is that it's *generative*: spends capacity modeling $P(x,y)$, when what we care about is $P(y|x)$
- ▶ Discriminative models model $P(y|x)$ directly (SVMs, most neural networks, ...)

Generative vs. Discriminative Models

- ▶ Generative models: $P(x, y)$
 - ▶ Bayes nets / graphical models
 - ▶ Some of the model capacity goes to explaining the distribution of x ;
prediction uses Bayes rule post-hoc
 - ▶ Can sample new instances (x, y)
- ▶ Discriminative models: $P(y|x)$
 - ▶ SVMs, logistic regression, CRFs, most neural networks
 - ▶ Model is trained to be good at prediction, but doesn't model x
- ▶ We'll come back to this distinction throughout this class

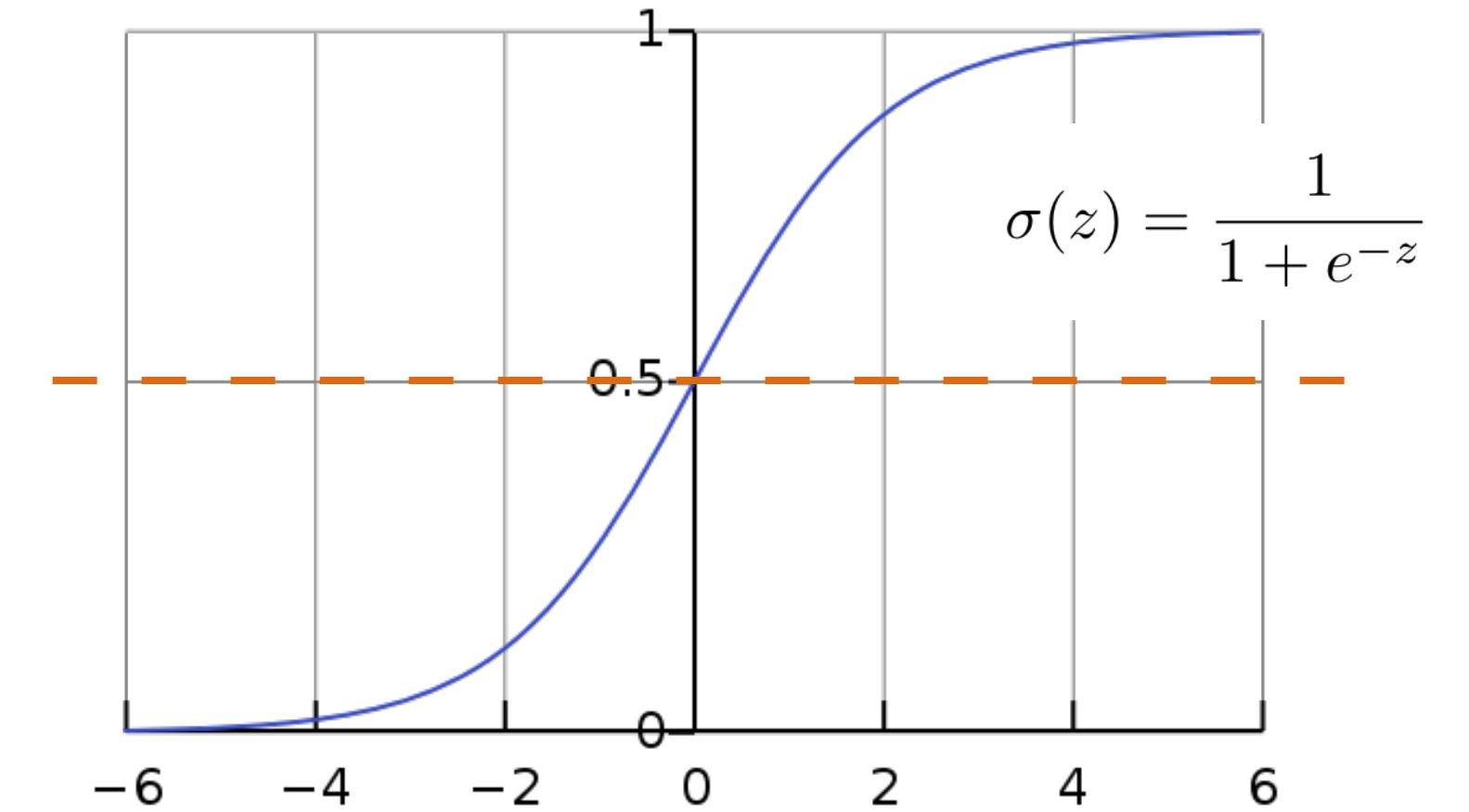
Break!

Logistic Regression

Logistic Regression

$$P(y = +|x) = \text{logistic}(w^\top x)$$

$$P(y = +|x) = \frac{\exp(\sum_{i=1}^n w_i x_i)}{1 + \exp(\sum_{i=1}^n w_i x_i)}$$



- ▶ Decision rule: $P(y = +|x) \geq 0.5 \Leftrightarrow w^\top x \geq 0$
- ▶ To learn weights: maximize discriminative log likelihood of data $P(y|x)$

$$\begin{aligned}\mathcal{L}(x_j, y_j = +) &= \log P(y_j = +|x_j) \\ &= \sum_{i=1}^n w_i x_{ji} - \log \left(1 + \exp \left(\sum_{i=1}^n w_i x_{ji} \right) \right)\end{aligned}$$

sum over features →

chain rule:

$$\frac{\partial f}{\partial x} = \frac{\partial f}{\partial g} \frac{\partial g}{\partial x} = \frac{\partial f(g)}{\partial g} \frac{\partial g(x)}{\partial x}$$

Logistic Regression

$$\mathcal{L}(x_j, y_j = +) = \log P(y_j = + | x_j) = \sum_{i=1}^n w_i x_{ji} - \log \left(1 + \exp \left(\sum_{i=1}^n w_i x_{ji} \right) \right)$$

$$\frac{\partial \mathcal{L}(x_j, y_j)}{\partial w_i} = x_{ji} - \frac{\partial}{\partial w_i} \log \left(1 + \exp \left(\sum_{i=1}^n w_i x_{ji} \right) \right)$$

$$= x_{ji} - \frac{1}{1 + \exp \left(\sum_{i=1}^n w_i x_{ji} \right)} \frac{\partial}{\partial w_i} \left(1 + \exp \left(\sum_{i=1}^n w_i x_{ji} \right) \right)$$

$$= x_{ji} - \frac{1}{1 + \exp \left(\sum_{i=1}^n w_i x_{ji} \right)} x_{ji} \exp \left(\sum_{i=1}^n w_i x_{ji} \right)$$

$$= x_{ji} - x_{ji} \frac{\exp \left(\sum_{i=1}^n w_i x_{ji} \right)}{1 + \exp \left(\sum_{i=1}^n w_i x_{ji} \right)} = x_{ji} (1 - P(y_j = + | x_j))$$

deriv. of log

$$\frac{\partial \log x}{\partial x} = \frac{1}{x}$$

deriv. of exp

$$\frac{\partial e^x}{\partial x} = e^x$$

Logistic Regression

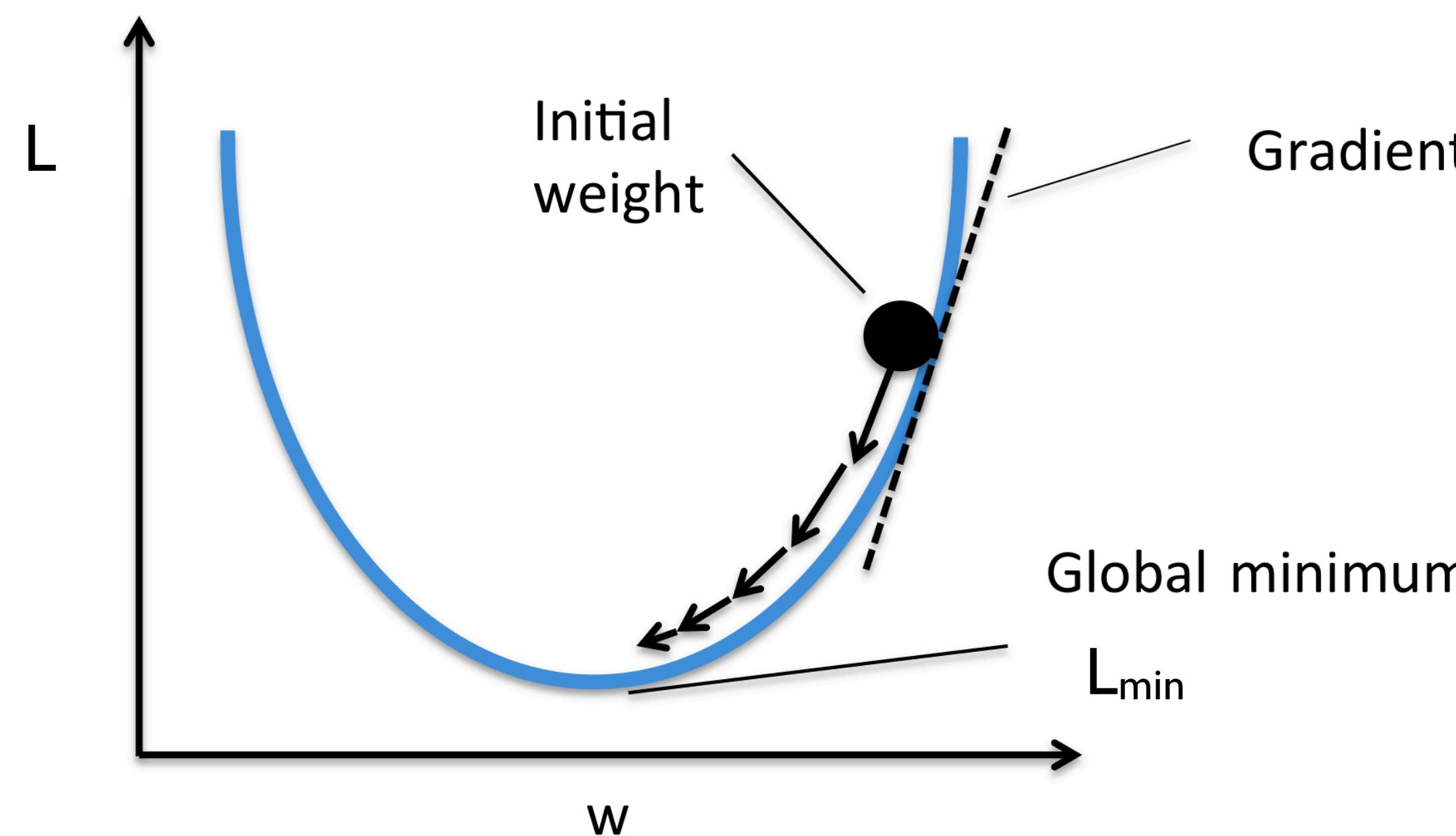
- ▶ Recall that $y_j = 1$ for positive instances, $y_j = 0$ for negative instances.
- ▶ Gradient of w_i on positive example $= x_{ji}(y_j - P(y_j = +|x_j))$
 - If $P(+)$ is close to 1, make very little update
 - Otherwise make w_i look more like x_{ji} , which will increase $P(+)$
- ▶ Gradient of w_i on negative example $= x_{ji}(-P(y_j = +|x_j))$
 - If $P(+)$ is close to 0, make very little update
 - Otherwise make w_i look less like x_{ji} , which will decrease $P(+)$
- ▶ Can combine these gradients as $\frac{\partial \mathcal{L}(x_j, y_j)}{\partial w} = x_j(y_j - P(y_j = 1|x_j))$

Gradient Decent

- ▶ Can combine these gradients as $\frac{\partial \mathcal{L}(x_j, y_j)}{\partial w} = x_j(y_j - P(y_j = 1|x_j))$
- ▶ Training set log-likelihood: $\mathcal{L}(w)$
- ▶ Gradient vector: $\frac{\partial \mathcal{L}(w)}{\partial w} = \left(\frac{\partial \mathcal{L}}{\partial w_1}, \frac{\partial \mathcal{L}}{\partial w_2}, \dots, \frac{\partial \mathcal{L}}{\partial w_n} \right)$

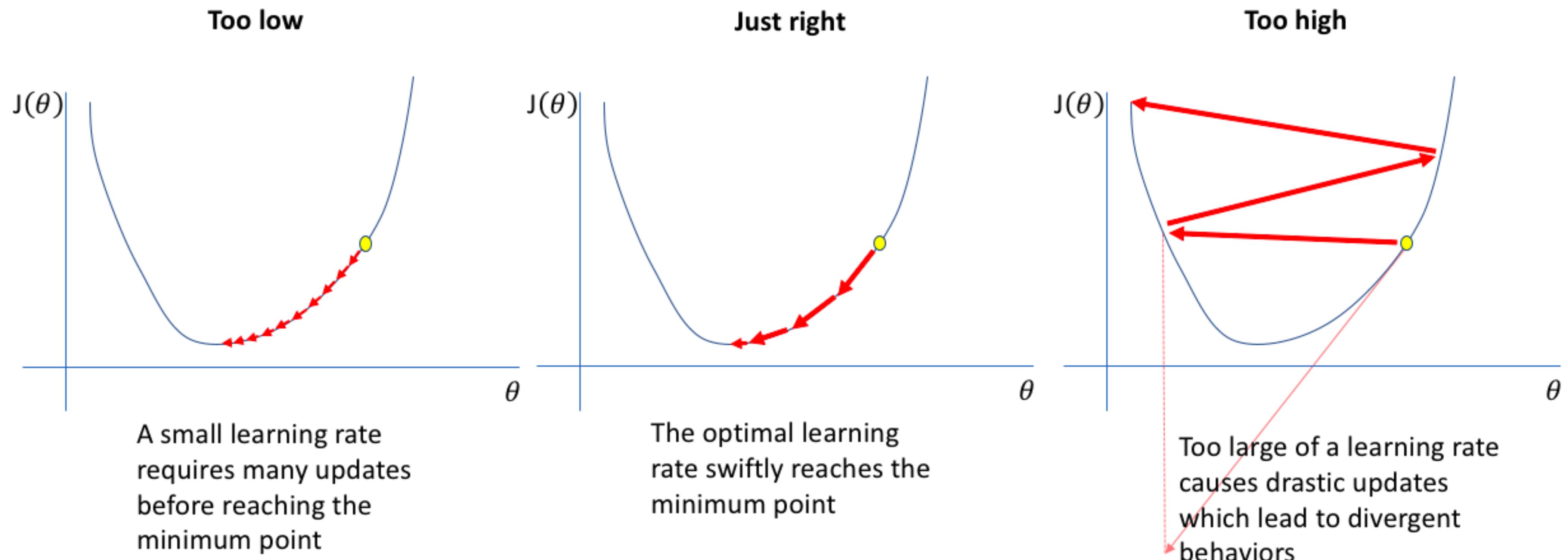
Gradient Decent

- ▶ Gradient decent (or ascent) is an iterative optimization algorithm for finding the minimum (or maximum) of a function.



Repeat until convergence {
from $j = 1$ to m
 $w := w - \alpha \frac{\partial \mathcal{L}(w)}{\partial w}$
}
learning rate (step size)

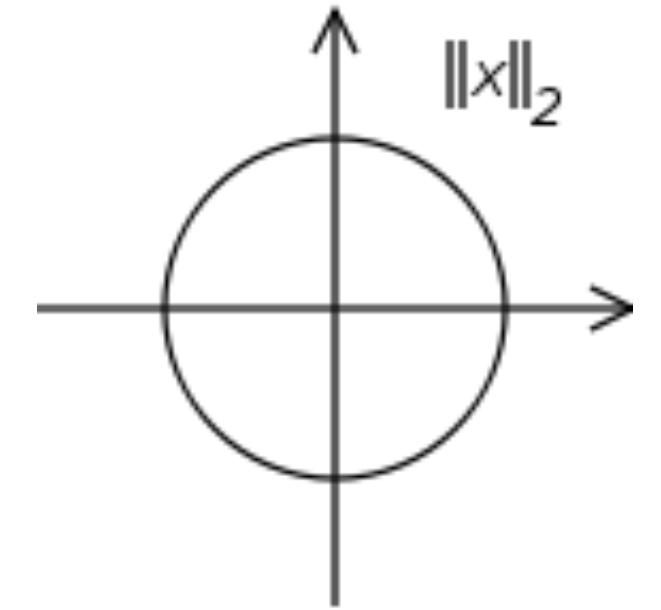
Learning Rate



Regularization

- ▶ Regularizing an objective can mean many things, including an L2-norm penalty to the weights:

$$\sum_{j=1}^m \mathcal{L}(x_j, y_j) - \lambda \|w\|_2^2$$



- ▶ Keeping weights small can prevent overfitting
- ▶ For most of the NLP models we build, explicit regularization isn't necessary
 - ▶ Early stopping
 - ▶ Large numbers of sparse features are hard to overfit in a really bad way
 - ▶ For neural networks: dropout and gradient clipping

Logistic Regression: Summary

- ▶ Model

$$P(y = +|x) = \frac{\exp(\sum_{i=1}^n w_i x_i)}{1 + \exp(\sum_{i=1}^n w_i x_i)}$$

- ▶ Inference

$\operatorname{argmax}_y P(y|x)$ fundamentally same as Naive Bayes

$$P(y = 1|x) \geq 0.5 \Leftrightarrow w^\top x \geq 0$$

- ▶ Learning: gradient ascent on the (regularized) discriminative log-likelihood

Logistic Regression vs. Naive Bayes

- ▶ Both are (log) linear models $w^\top f(x)$
- ▶ Logistic regression doesn't assume conditional independence of features
 - ▶ Weights are trained independently
 - ▶ Can handle highly correlated overlapping features
- ▶ Naive Bayes assume conditional independence of features
 - ▶ Weights are trained jointly

Perceptron/SVM

Perceptron

- ▶ Simple error-driven learning approach similar to logistic regression
- ▶ Decision rule: $w^\top x > 0$
 - ▶ If incorrect: if positive, $w \leftarrow w + x$
 - if negative, $w \leftarrow w - x$
- ▶ Algorithm is very similar to logistic regression
- ▶ Guaranteed to eventually separate the data if the data are separable

Logistic Regression

$$w \leftarrow w + x(1 - P(y = 1|x))$$

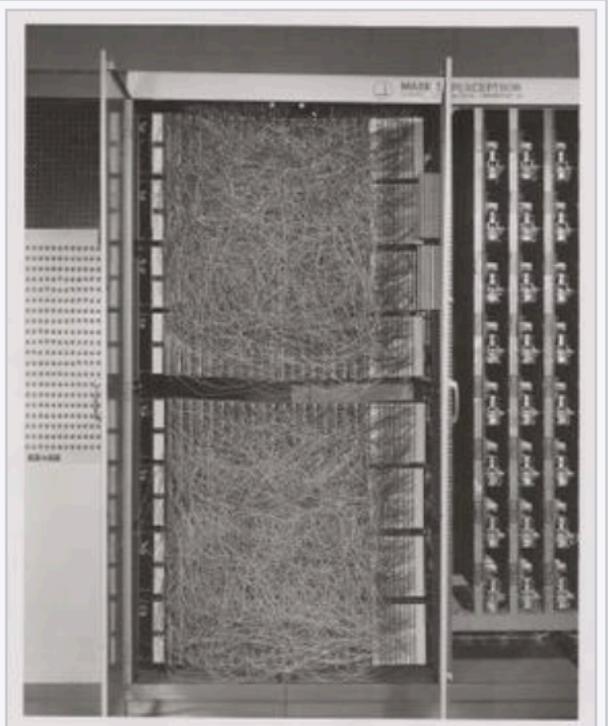
$$w \leftarrow w - xP(y = 1|x)$$

Perceptron

History [edit]

V · T · E

See also: [History of artificial intelligence](#) § [Perceptrons and the attack on connectionism](#), and [AI winter](#) § [The abandonment of connectionism in 1969](#)



Mark I Perceptron machine, the first implementation of the perceptron algorithm. It was connected to a camera with 20x20 cadmium sulfide photocells to make a 400-pixel image. The main visible feature is a patch panel that set different combinations of input features. To the right, arrays of potentiometers that implemented the adaptive weights.^{[2]:213}

original text are shown and corrected.

The kernel perceptron algorithm was already introduced in 1964 by Aizerman et al.^[5] Margin bounds guarantees were given for the Perceptron algorithm in the general non-separable case first by Freund and Schapire (1998),^[1] and more recently by Mohri and Rostamizadeh (2013) who extend previous results and give new L1 bounds.^[6]

The perceptron is a simplified model of a biological neuron. While the complexity of biological neuron models is often required to fully understand neural behavior, research suggests a perceptron-like linear model can produce some behavior seen in real neurons.^[7]

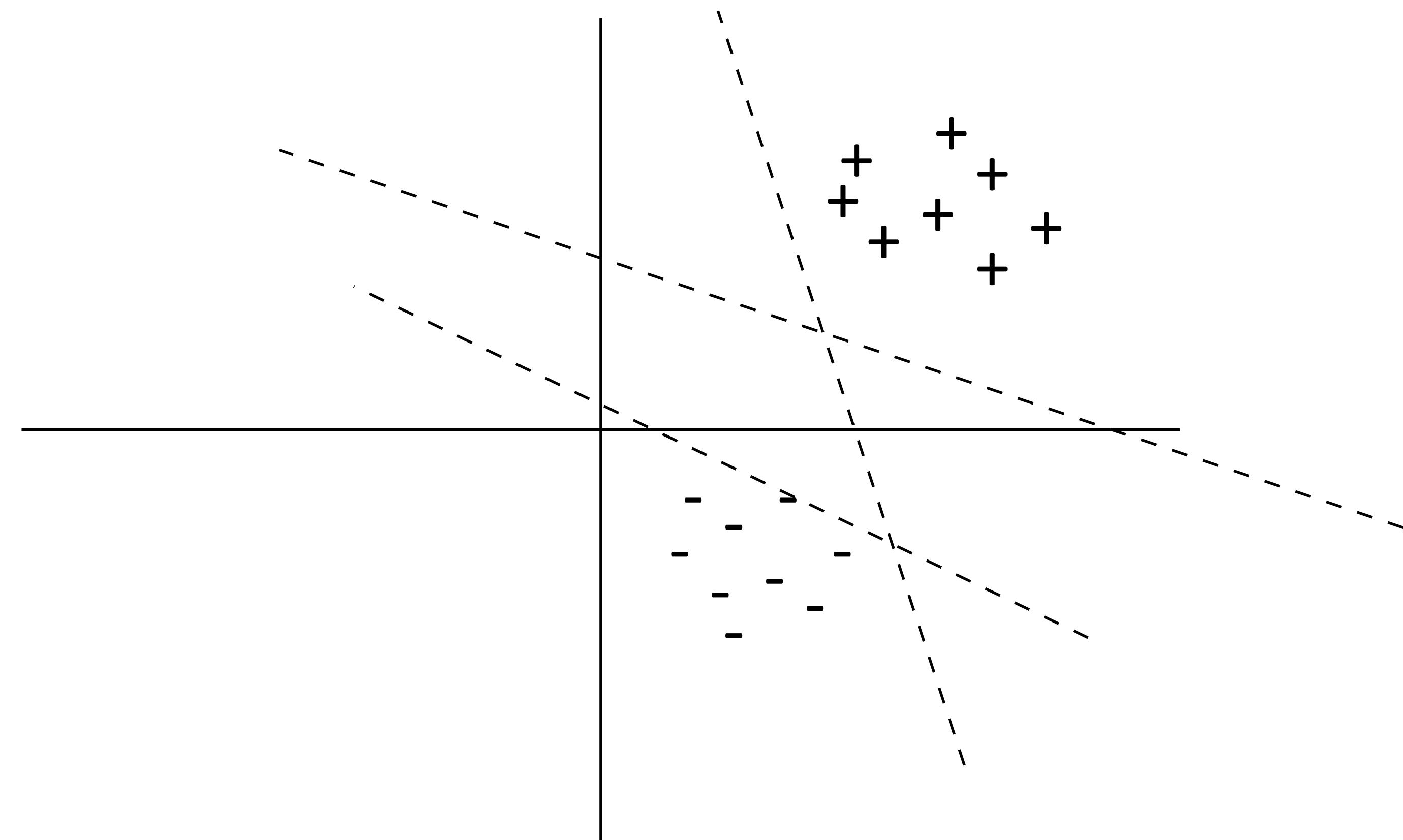


Frank Rosenblatt (1928-1971)

PhD 1956 from Cornell

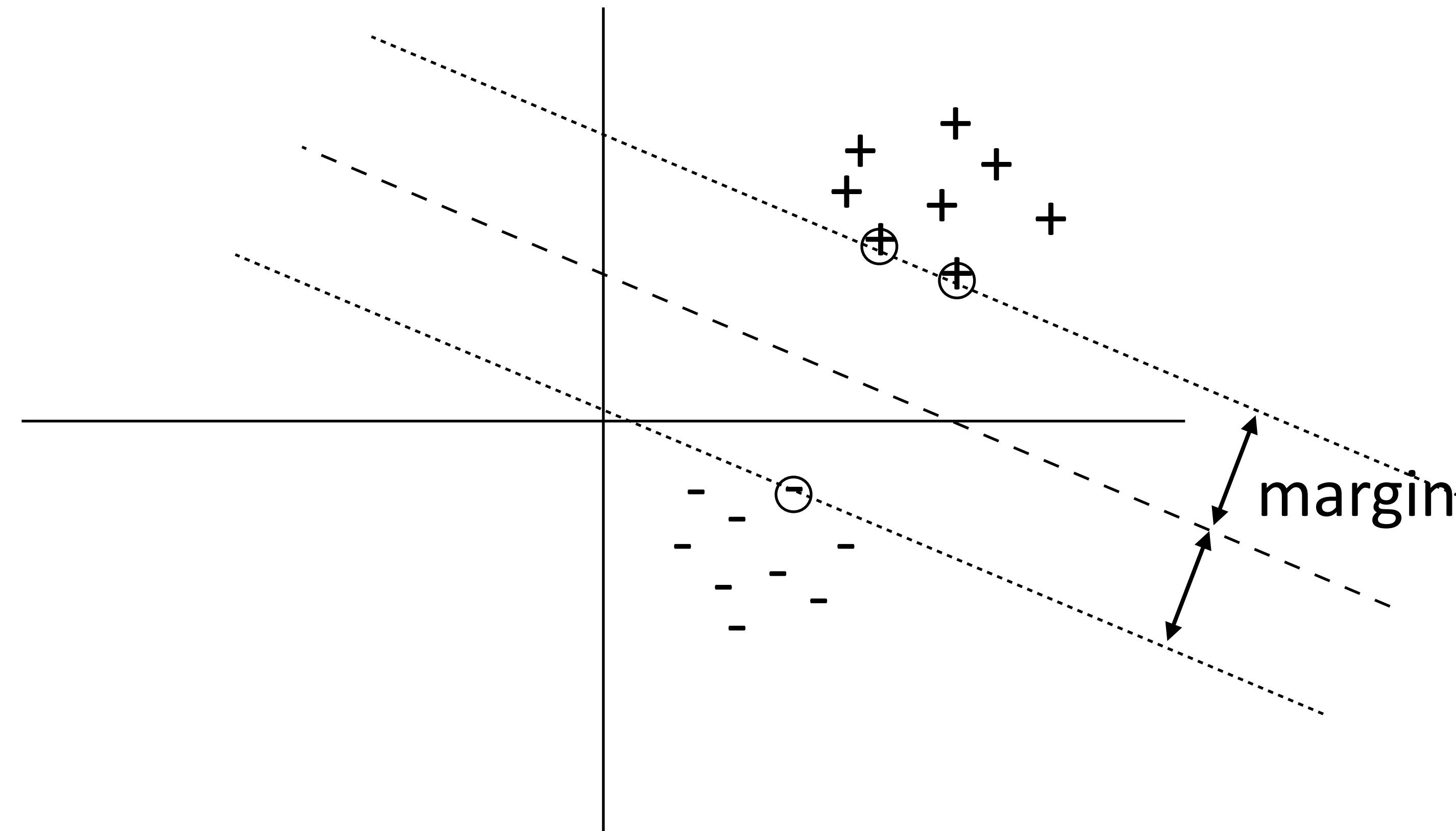
Support Vector Machines (extracurricular)

- ▶ Many separating hyperplanes — is there a best one?



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Support Vector Machines (extracurricular)

- ▶ Constraint formulation: find w via following quadratic program:

$$\text{Minimize } \|w\|_2^2$$

$$\text{s.t. } \forall j \quad w^\top x_j \geq 1 \text{ if } y_j = 1$$

$$w^\top x_j \leq -1 \text{ if } y_j = 0$$

minimizing norm with
fixed margin \Leftrightarrow
maximizing margin

As a single constraint:

$$\forall j \quad (2y_j - 1)(w^\top x_j) \geq 1$$

- ▶ Generally no solution (data is generally non-separable) – need slack!

N-Slack SVMs (extracurricular)

$$\begin{aligned} \text{Minimize} \quad & \lambda \|w\|_2^2 + \sum_{j=1}^m \xi_j \\ \text{s.t.} \quad & \forall j \quad (2y_j - 1)(w^\top x_j) \geq 1 - \xi_j \quad \forall j \quad \xi_j \geq 0 \end{aligned}$$

- ▶ The ξ_j are a “fudge factor” to make all constraints satisfied
- ▶ Take the gradient of the objective:

$$\frac{\partial}{\partial w_i} \xi_j = 0 \text{ if } \xi_j = 0 \quad \frac{\partial}{\partial w_i} \xi_j = (2y_j - 1)x_{ji} \text{ if } \xi_j > 0$$
$$= x_{ji} \text{ if } y_j = 1, \quad -x_{ji} \text{ if } y_j = 0$$

- ▶ Looks like the perceptron! But updates more frequently

LR, Perceptron, SVM (extracurricular)

► Gradients on Positive Examples

Logistic regression

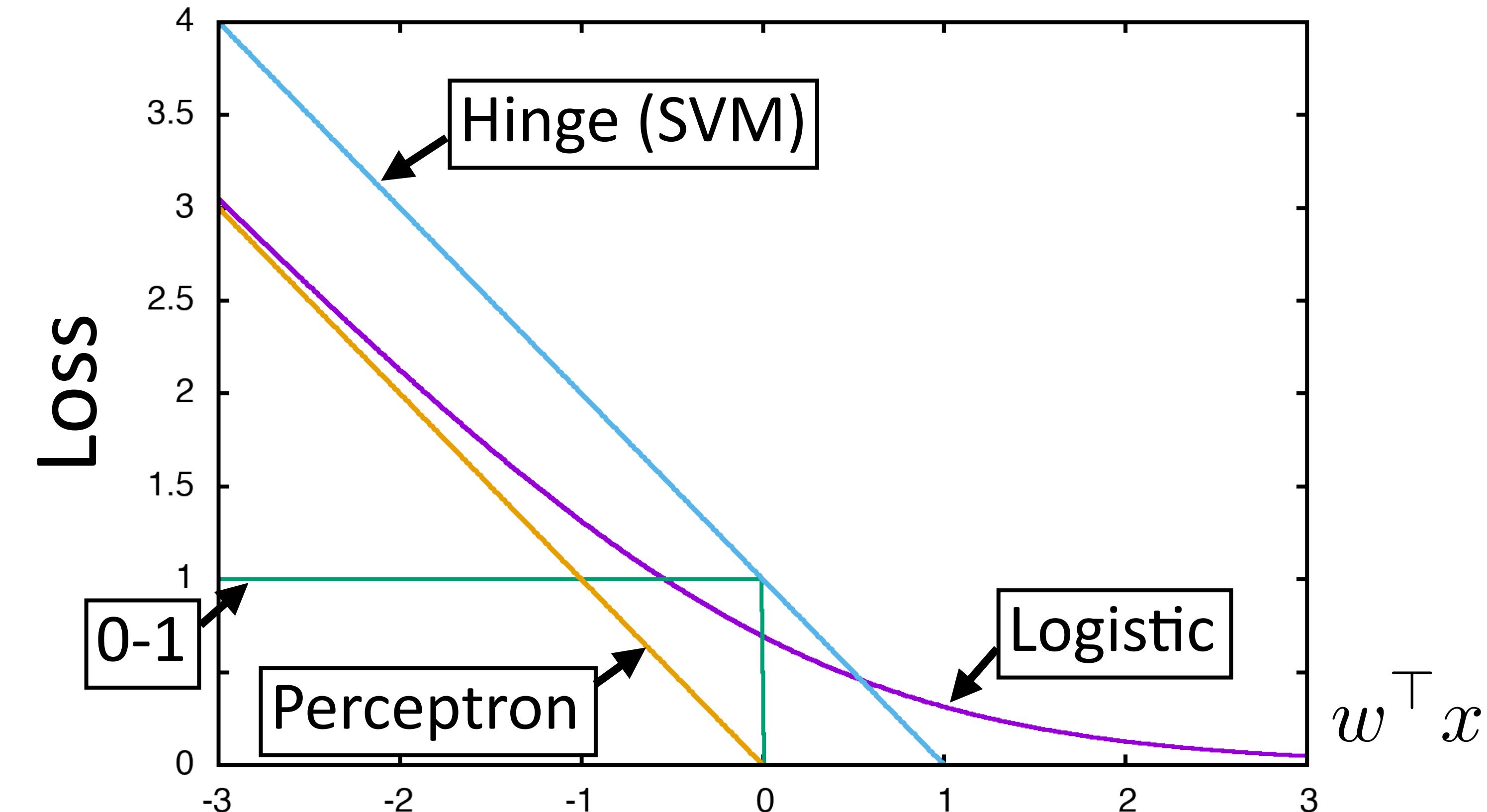
$$x(1 - \text{logistic}(w^\top x))$$

Perceptron

$$x \text{ if } w^\top x < 0, \text{ else } 0$$

SVM (ignoring regularizer)

$$x \text{ if } w^\top x < 1, \text{ else } 0$$



*gradients are for maximizing things, which is why they are flipped

Sentiment Analysis

this movie was great! would watch again + +

the movie was gross and overwrought, but I liked it + +

this movie was not really very enjoyable — —

- ▶ Bag-of-words doesn't seem sufficient (discourse structure, negation)
- ▶ There are some ways around this: extract bigram feature for “*not X*” for all *X* following the *not*

Sentiment Analysis

| | Features | # of features | frequency or presence? | NB | ME | SVM |
|-----|-------------------|---------------|------------------------|-------------|-------------|-------------|
| (1) | unigrams | 16165 | freq. | 78.7 | N/A | 72.8 |
| (2) | unigrams | " | pres. | 81.0 | 80.4 | 82.9 |
| (3) | unigrams+bigrams | 32330 | pres. | 80.6 | 80.8 | 82.7 |
| (4) | bigrams | 16165 | pres. | 77.3 | 77.4 | 77.1 |
| (5) | unigrams+POS | 16695 | pres. | 81.5 | 80.4 | 81.9 |
| (6) | adjectives | 2633 | pres. | 77.0 | 77.7 | 75.1 |
| (7) | top 2633 unigrams | 2633 | pres. | 80.3 | 81.0 | 81.4 |
| (8) | unigrams+position | 22430 | pres. | 81.0 | 80.1 | 81.6 |

- ▶ Simple feature sets can do pretty well!

Sentiment Analysis

| Method | RT-s | MPQA |
|-----------------|-------------|-------------|
| MNB-uni | 77.9 | 85.3 |
| MNB-bi | 79.0 | 86.3 |
| SVM-uni | 76.2 | 86.1 |
| SVM-bi | 77.7 | <u>86.7</u> |
| NBSVM-uni | 78.1 | 85.3 |
| NBSVM-bi | <u>79.4</u> | 86.3 |
| RAE | 76.8 | 85.7 |
| RAE-pretrain | 77.7 | 86.4 |
| Voting-w/Rev. | 63.1 | 81.7 |
| Rule | 62.9 | 81.8 |
| BoF-noDic. | 75.7 | 81.8 |
| BoF-w/Rev. | 76.4 | 84.1 |
| Tree-CRF | 77.3 | 86.1 |
| BoWSVM | — | — |
| Kim (2014) CNNs | 81.5 | 89.5 |

← Naive Bayes is doing well!

Ng and Jordan (2002) — NB
can be better for small data

Before neural nets had taken off
— results weren't that great

Wang and Manning (2012)

Recap

► Logistic regression: $P(y = 1|x) = \frac{\exp(\sum_{i=1}^n w_i x_i)}{(1 + \exp(\sum_{i=1}^n w_i x_i))}$

Decision rule: $P(y = 1|x) \geq 0.5 \Leftrightarrow w^\top x \geq 0$

Gradient (unregularized): $x(y - P(y = 1|x))$

- Logistic regression, perceptron, and SVM are closely related
- All gradient updates: “make it look more like the right thing and less like the wrong thing”

Optimization — next time...

- ▶ Range of techniques from simple gradient descent (works pretty well) to more complex methods (can work better), e.g., Newton's method, Quasi-Newton methods (LBFGS), Adagrad, Adadelta, etc.
- ▶ Most methods boil down to: take a gradient and a step size, apply the gradient update times step size, incorporate estimated curvature information to make the update more effective

QA Time



DO YOU HAVE
ANY QUESTIONS?