

$\langle t \rangle ::= \langle x \rangle$

$\quad | \langle \lambda x. \langle t \rangle \rangle$

$\quad | \langle t \rangle \langle t \rangle$

$\quad | \text{true}$

$\quad | \text{false}$

$\quad | \text{if } \langle t \rangle \text{ then } \langle t \rangle \text{ else } \langle t \rangle$

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Where x is a variable in the λ -Calculus sense.

- Exclude numbers to keep things simple for now.

$\langle T \rangle ::= \langle T \rangle \Rightarrow \langle T \rangle$

$\quad | \text{Bool}$

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This grammar allows us to construct some really interesting types!

- $Bool \Rightarrow Bool$
 - ▶ A function mapping a Boolean argument to a Boolean result.
- $Bool \Rightarrow Bool \Rightarrow Bool$
 - ▶ A function mapping a Boolean argument to a function mapping a Boolean argument to a Boolean result.
 - ▶ \Rightarrow is **right associative**, so the above is $Bool \Rightarrow (Bool \Rightarrow Bool)$
- $(Bool \Rightarrow Bool) \Rightarrow (Bool \Rightarrow Bool)$
 - ▶ An operator on Boolean functions.
- *Plus an infinite number of similar variations!*

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How do we type *inputs*? In general there are two approaches:

- **Explicit Typing** (*Used in this course*).

- ▶ Typing annotations in the syntax functions:

$\lambda x: T. t$
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- **Implicit Typing** (*Advanced topic in type theory*).

- ▶ aka *via inference*

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$$\frac{t_2 : T_2}{(\lambda x : T_1. t_2) : T_1 \Rightarrow T_2}$$
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But consider:

$\lambda x : Bool. \text{ if } x \text{ then } s_2 \text{ else } s_3$

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$$x : Bool \vdash t_2 : T_2$$

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- Typing relation becomes a **three-place relation**, i.e.

$\text{context} \vdash \text{term} : \text{type}$
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In general, need things that look like

$$\{w : T_1, x : T_2, y : T_3\} \vdash z : T_4 \quad (1)$$

where z can mention w, x, y

General form

$$\Gamma \vdash t : T \quad (2)$$

where Γ is a set of variable type relations.

Called either the **typing context** or the **typing environment**.

Well-formed contexts and variables

Formally we have a well-formed context relation:

$$\frac{\cdot \quad \text{ctx}}{\Gamma \quad \text{ctx}} \quad \text{(C-Empty)}$$
$$\frac{\Gamma, x : T \quad \text{ctx}}{\Gamma, x : T \quad \text{ctx}} \quad \text{(C-Extend)}$$

Well-formedness is simply assumed. Rather than using \cdot for the empty context, we instead leave it blank:

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This typing rule is now possible:

$$\frac{\vdash t_1 : T_1}{\Gamma \vdash x : T} \quad \text{(T-Var)}$$

Q: what happens if we try to “insert” the same x twice?

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$$\frac{\Gamma, x : T_1 \vdash t_2 : T_2}{\Gamma \vdash (\lambda x : T_1. t_2) : T_1 \Rightarrow T_2}$$

(T-Abs)

Let's try (see board):

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$$\vdash \lambda x : \text{Bool}. \lambda y : \text{Bool}. \lambda z : \text{Bool}. y$$

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$$\frac{\Gamma \vdash t_1 : T_1 \Rightarrow T_2 \quad \Gamma \vdash t_2 : T_1}{\Gamma \vdash t_1 \ t_2 : T_2}$$

(T-App)

→ (typed)

Based on λ (5-3)

Syntax

$t ::=$

x

$\lambda x : T. t$

$t_1 t_2$

terms:

variable

abstraction

application

$v ::=$

$\lambda x : T. t$

values:

abstraction value

$T ::=$

$T \rightarrow T$

types

type of functions

$\Gamma ::=$

\emptyset

$\Gamma, x : T$

contexts:

empty context

term variable binding

Evaluation

$t \rightarrow t'$

$\frac{t_1 \rightarrow t'_1}{t_1 t_2 \rightarrow t'_1 t_2}$

(E-APP1)

$\frac{t_1 \rightarrow t'_1}{t_1 t_2 \rightarrow t'_1 t_2}$

(E-APP2)

$\frac{t_1 \rightarrow t'_1}{v_1 t_2 \rightarrow v_1 t'_2}$

$(\lambda x : T_{11}. t_{12}) v_2 \rightarrow [x \mapsto v_2] t_{12}$ (E-APPABS)

Typing

$\Gamma \vdash t : T$

$\frac{x : T \in \Gamma}{\Gamma \vdash x : T}$

(T-VAR)

$\frac{\Gamma, x : T_1 \vdash t_2 : T_2}{\Gamma \vdash \lambda x : T_1. t_2 : T_1 \rightarrow T_2}$

(T-ABS)

$\frac{\Gamma \vdash t_1 : T_{11} \rightarrow T_{12} \quad \Gamma \vdash t_2 : T_{11}}{\Gamma \vdash t_1 t_2 : T_{12}}$

(T-APP)

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Remark: as is, *degenerate*.

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LEMMA [Inversion of the Typing Relation]

$$\Gamma \vdash x : R \implies x : R \in \Gamma \quad (\text{I-Var})$$

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$$\Gamma \vdash (\lambda x : T_1. t_2) : R \quad (\text{I-Abs})$$

$$\implies \exists R_2 \mid R = (T_1 \Rightarrow R_2) \wedge \Gamma, x : T_1 \vdash t_2 : R_2$$

$$\Gamma \vdash t_1 t_2 : R \implies \exists T_{11} \mid \Gamma \vdash t_1 : T_{11} \Rightarrow R \wedge \Gamma \vdash t_2 : T_{11} \quad (\text{I-App})$$

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THEOREM [Uniqueness of Types] In a given typing context Γ , if all the free variables of a term t are in the domain of Γ , t has at most one type.

Proof Sketch: By induction on term grammar. Crucially relies that each typing rule applies to a single term formation rule.

In this case, we say that the typing relation is *syntax directed*.

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LEMMA [Canonical Forms]

- 1 If v is a value of type $Bool$, then v is either `true` or `false`.
- 2 If v is a value of type $T_1 \Rightarrow T_2$, then v has shape $\lambda x : T_1. t_2$.

Note that type $T_1 \Rightarrow T_2$ may have infinitely many values as inhabitants.

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THEOREM [Progress for the Simply Typed λ -Calculus]

Suppose $\cdot \vdash t : T$. Either t is a value, or else there is some t' such that $t \rightarrow t'$.

A later theorem will let us generalize from the empty context. Terms typeable in the empty context are called **closed**.

Proof by Induction on Typing Derivations. Each evaluation rule is examined in term. Details use use inversion and, for the one tricky case of T-AppAbs, canonical forms are needed.

LEMMA [Permutation invariance]

If $\Gamma \vdash t : T$ and Δ is a permutation of Γ then $\Delta \vdash t : T$. Moreover, the latter derivation has the same depth as the former.

Proof Sketch: induction on typing derivations.

We add extra “facts” without changing conclusions: **LEMMA [Weakening]**

If $\Gamma \vdash t : T$ and $x \notin \text{dom}(\Gamma)$, then $\Gamma, x : S \vdash t : T$. Moreover, the latter derivation has the same depth as the former.

Proof Sketch: Induction on typing derivations.

Points of variations show up here, i.e. linear types, union types, dependent types, etc.

LEMMA [Preservation of Types Under Substitution]

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$$\Gamma, x : s \vdash t : \tau \wedge \Gamma \vdash s : s \Rightarrow \Gamma \vdash [x \mapsto s]t : \tau$$

- Proof will proceed by induction over typing derivations, and using a case analysis over typing rules.

As a reminder:

$$\begin{aligned} [x \mapsto s]x &= s \\ [x \mapsto s]y &= y && \text{if } y \neq x \\ [x \mapsto s](\lambda y. t_1) &= \lambda y. [x \mapsto s]t_1 && \text{if } y \neq x \text{ and } y \notin FV(s) \\ [x \mapsto s](t_1 t_2) &= [x \mapsto s]t_1 [x \mapsto s]t_2 \end{aligned}$$

Substitution Lemma II

T-True, T-False, T-If, T-App straightforward.

T-Var: $t = z \wedge z : T \subseteq (\Gamma, x : S)$

- Case $x = z$

- ▶ $[x \mapsto s]z$ would then evaluate to s .
- ▶ $x = z \wedge z = t \implies x = t$
- ▶ Via the uniqueness of types, $x : S / t : T \implies S = T$
- ▶ Substituting into lemma statement:

$$\Gamma, x : S \vdash x : S \wedge \Gamma \vdash s : S \implies \Gamma \vdash s : S$$

- Now consider $x \neq z$

- ▶ $[x \mapsto s]z$ would then evaluate to z (and from there to t).

$$\Gamma, x : S \vdash t : T \wedge \Gamma \vdash s : S \implies \Gamma \vdash t : T$$

- We can now conclude by weakening.

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Substitution Lemma III

T-Abs: $t = \lambda y : T_3. t_1 \wedge T = T_3 \Rightarrow T_4 \wedge \Gamma, x : S, y : T_3 \vdash t_1 : T_4$

By our meta-rule of substitutions in λ expressions, we derive:

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Using the the permutation lemma on the rightmost equation:

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Using the weakening lemma on $\Gamma \vdash s : S$:

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By the induction hypothesis:

$$\Gamma, y : T_3 \vdash [x \mapsto s] t_1 : T_4.$$

Substitution Lemma IV

Recall T-Abs:

$$\frac{\Gamma, x : T_1 \vdash t_2 : T_2}{\Gamma \vdash \lambda x : T_1. t_2 : T_1 \Rightarrow T_2}$$

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Applying this to last equation $\Gamma, y : T_3 \vdash [x \mapsto s]t_1 : T_4$, get

$$\Gamma \vdash \lambda y : T_3. [x \mapsto s]t_1 : T_3 \Rightarrow T_4$$

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The definition of substitution is:

$$[x \mapsto s](\lambda y : T_3. t_1) = \lambda y : T_3. [x \mapsto s]t_1$$

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- The LHS has type $T_3 \Rightarrow T_4$ from our original case analysis.
- The RHS has the same type from above.