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Turing Machines

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# *“Most General” computer?*

- DFA/PDAs are simple models of computation.
  - Accept only the regular/CF languages.
- Is there a kind of computer that can accept *any* language, or compute *any* function?
- Recall counting argument:
  - $\{ L \mid L \subseteq \{0,1\}^* \}$  (just the set of languages)  
uncountably infinite
  - $\{ P : P \text{ is a finite length computer program} \}$  is  
countably infinite

# *Most General Computer*

- If not all functions are computable, *which are?*
- Is there a “most general” model of computer?
- What languages can they recognize?

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# David Hilbert

- Early 1900s – crisis in math foundations
  - attempts to formalize resulted in paradoxes, etc.
- 1920, Hilbert's Program:
  - “mechanize” mathematics
- Finite axioms, inference rules
  - turn crank, determine truth
  - needed: axioms *consistent & complete*



# Kurt Gödel

- German logician, at age 25 (1931) proved:

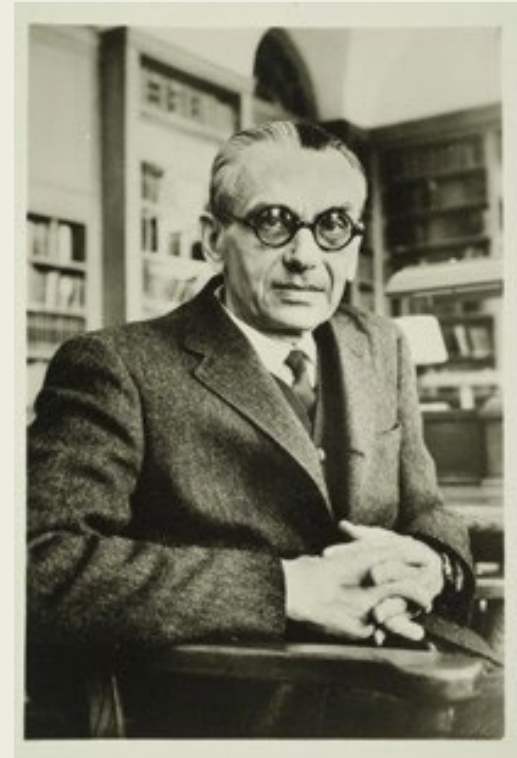
“There are true statements that can't be proved”  
(i.e., “no” to Hilbert)

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- Shook the foundations of

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- mathematics
- philosophy
- science
- everything



# Alan Turing

- British mathematician

- cryptanalysis during WWII

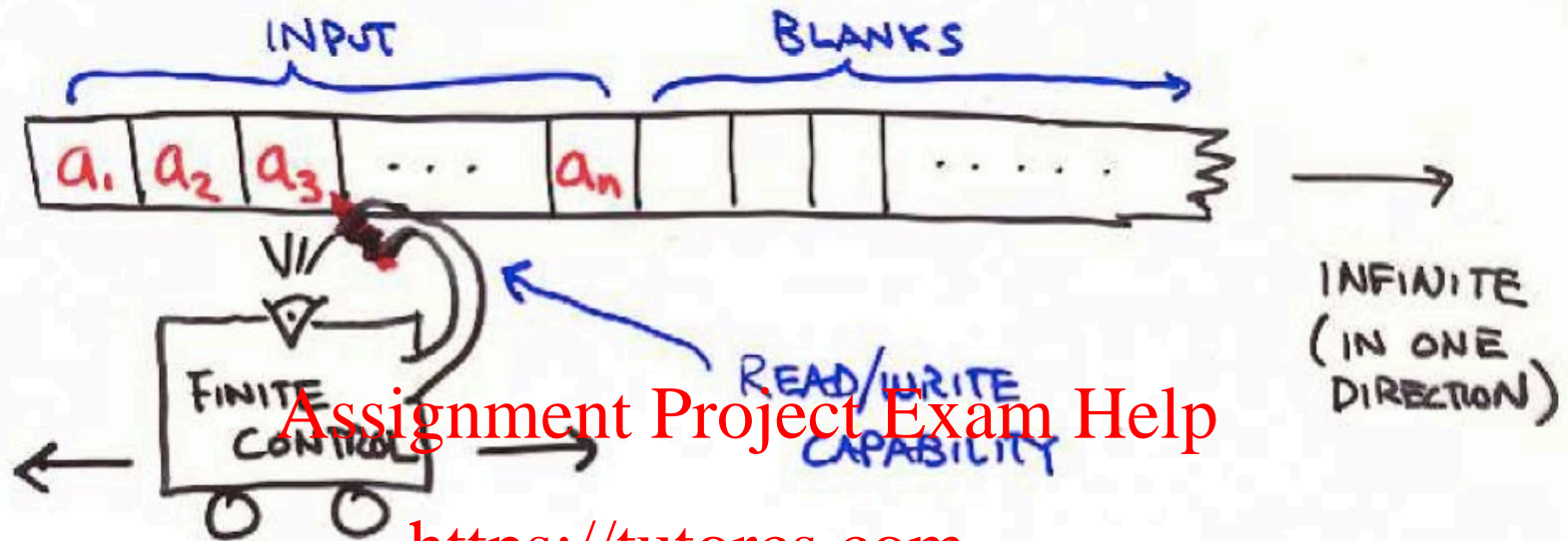
- arguably, father of AI, Theory

- several books, movies

- Defined “computer”, “program”

and (1936) at age 23 provided foundations for investigating fundamental question of what is computable, what is not computable.





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- DFA with (infinite) tape.
- One move: read, **write**, move, change state.

# *High-level Goals*

- **Church-Turing thesis:** TMs are the most general computing devices. So far no counter example
- Every TM can be represented as a string. Think of TM as a program but in a very low-level language.
- Existence of **Universal Turing Machine** which is the model/inspiration for stored program computing. UTM can simulate any TM
- Implications for what can be computed and what cannot be computed



# Formal Definition

$M = (Q, \Sigma, \Gamma, \delta, q_0, B, q_{accept}, q_{reject})$ , where:

- $Q$  is a finite set of states
- $\Sigma$  is a finite input alphabet
- $\delta$  as defined on next page
- $\Gamma$  is a finite tape alphabet. ( $\Sigma$  a subset of  $\Gamma$ )
- $q_0$  is the initial state (in  $Q$ )
- $B$  in  $\Gamma - \Sigma$  is the blank symbol
- $q_{accept}, q_{reject}$  are unique accept, reject states in  $Q$

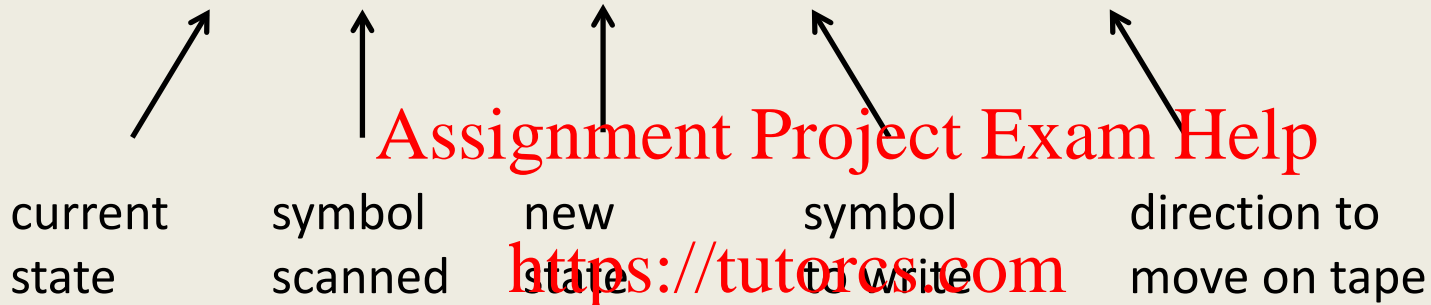
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# Transition Function

$$\delta: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, S\}$$



$$\delta(q, a) = (p, b, L)$$

from state  $q$ , on reading  $a$ :

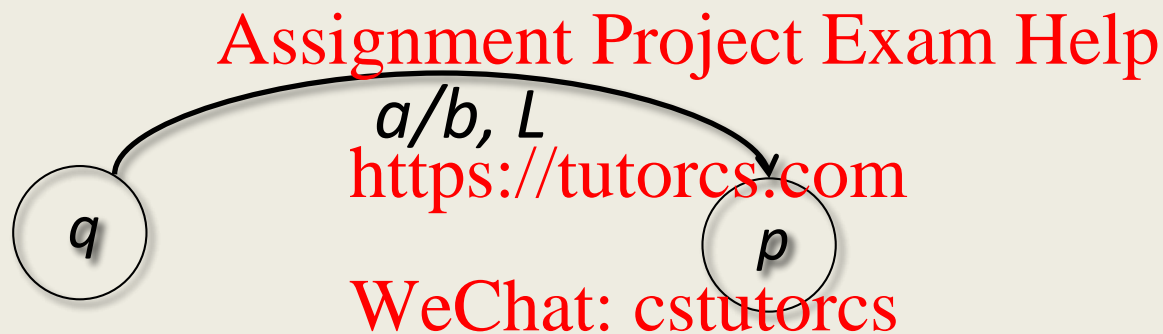
go to state  $p$

write  $b$

move head Left

# Graphical Representation

$$\delta(q, a) = (p, b, L)$$



Note: we allow  $\delta(q, a)$  to be undefined for some choices of  $q, a$  (in which case,  $M$  “crashes”)

# *ID: Instantaneous Description*

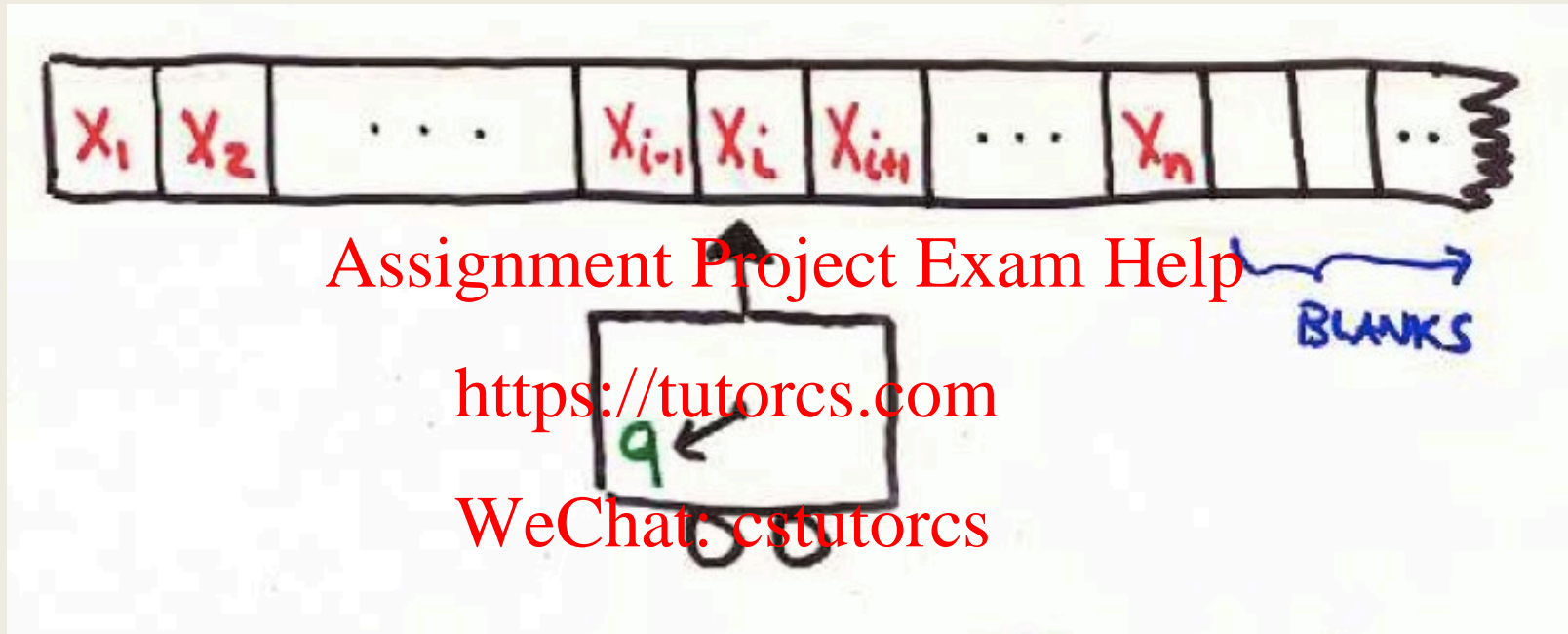
- Contains all necessary information to capture “state of the computation”
- Includes
  - state  $q$  of  $M$
  - location of read/write head
  - contents of tape from left edge to rightmost nonblank (or to head, whichever is rightmost)

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# *ID: Instantaneous Description*



ID:  $X_1 X_2 \dots X_{i-1} q X_i X_{i+1} \dots X_n$  ( $q$  in  $Q$ ,  $X_i$  in  $\Gamma$ )

## Relation “ $\rightarrow$ ” on IDs

If  $\delta(q, X_i) = (p, Y, L)$ , then

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$X_1 X_2 \dots X_{i-1} \textcolor{blue}{q} X_i X_{i+1} \dots X_n \rightarrow X_1 X_2 \dots X_{i-2} \textcolor{blue}{p} X_{i-1} \textcolor{blue}{Y} X_{i+1}$

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current ID

next ID

If  $\delta(q, X_i)$  is undefined, then there is no next ID

If  $M$  tries to move off left edge, there is no next ID  
(in both cases, the machine “crashes”)

## *Capturing many moves...*

Define  $\rightarrow^*$  as the reflexive, transitive closure of  $\rightarrow$

Thus,  $ID \rightarrow^* ID'$  iff  $M$ , when run from  $ID$ , necessarily reaches  $ID'$  after some finite number of moves.

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Initial ID:  $q_0 w$  (more often, assume ...  $\$q_0 w$ )

Accepting ID:  $\alpha_1 q_{accept} \alpha_2$  for any  $\alpha_1, \alpha_2$  in  $\Gamma^*$

(reaches the accepting state with any random junk left on the tape)

# Definition of Acceptance

$M$  accepts  $w$  iff for some  $\alpha_1, \alpha_2$  in  $\Gamma^*$ ,

$$q_0 w \rightarrow^* \alpha_1 q_{\text{accept}} \alpha_2$$

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$M$  accepts if at any time it enters the accept state

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Regardless of whether or not

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it has scanned all of the input

it has moved back and forth many times

it has completely erased or replaced  $w$  on the tape

$$L(M) = \{w \mid M \text{ accepts } w\}$$



# Non-accepting computation

$M$  doesn't accept  $w$  if any of the following occur:

- $M$  enters  $q_{\text{reject}}$
- $M$  moves off left edge of tape
- $M$  has no applicable next transition
- $M$  continues computing forever

If  $M$  accepts – we can tell: it enters  $q_{\text{accept}}$

If  $M$  doesn't accept – we may not be able to tell

(c.f. “Halting problem” – later)

# “Recursive” vs “Recursively Enumerable”

- *Recursively Enumerable (r.e.) Languages:*

$= \{L \mid \text{there is a TM } M \text{ such that } L(M) = L\}$

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- *Recursive Languages* (also called “*decidable*”)

$= \{L \mid \text{there is a TM } M \text{ that halts for all } w \text{ in } \Sigma^*$

$\text{and such that } L(M) = L \}$

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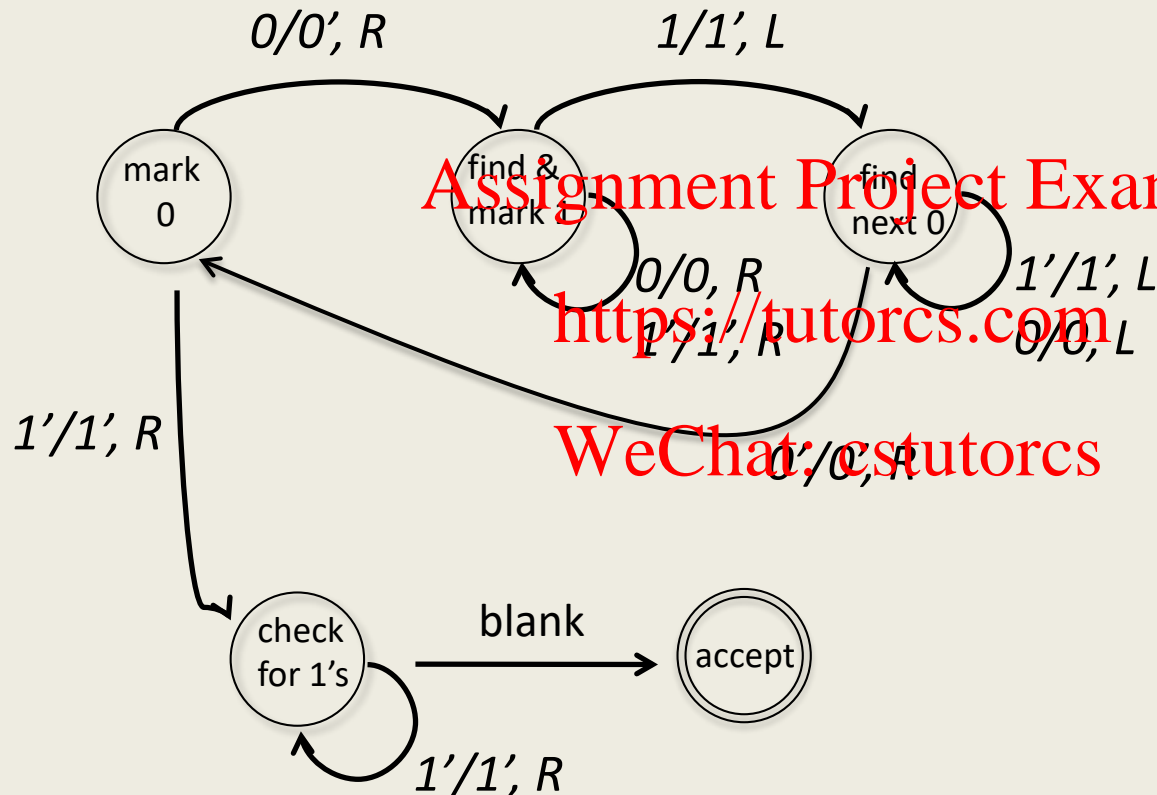
Recursive languages: nice; run  $M$  on  $w$  and it will eventually enter either  $q_{\text{accept}}$  or  $q_{\text{reject}}$

R.E. languages: not so nice; can know if  $w$  in  $L$ , but not necessarily if  $w$  is not in  $L$ .

# *Fundamental Questions*

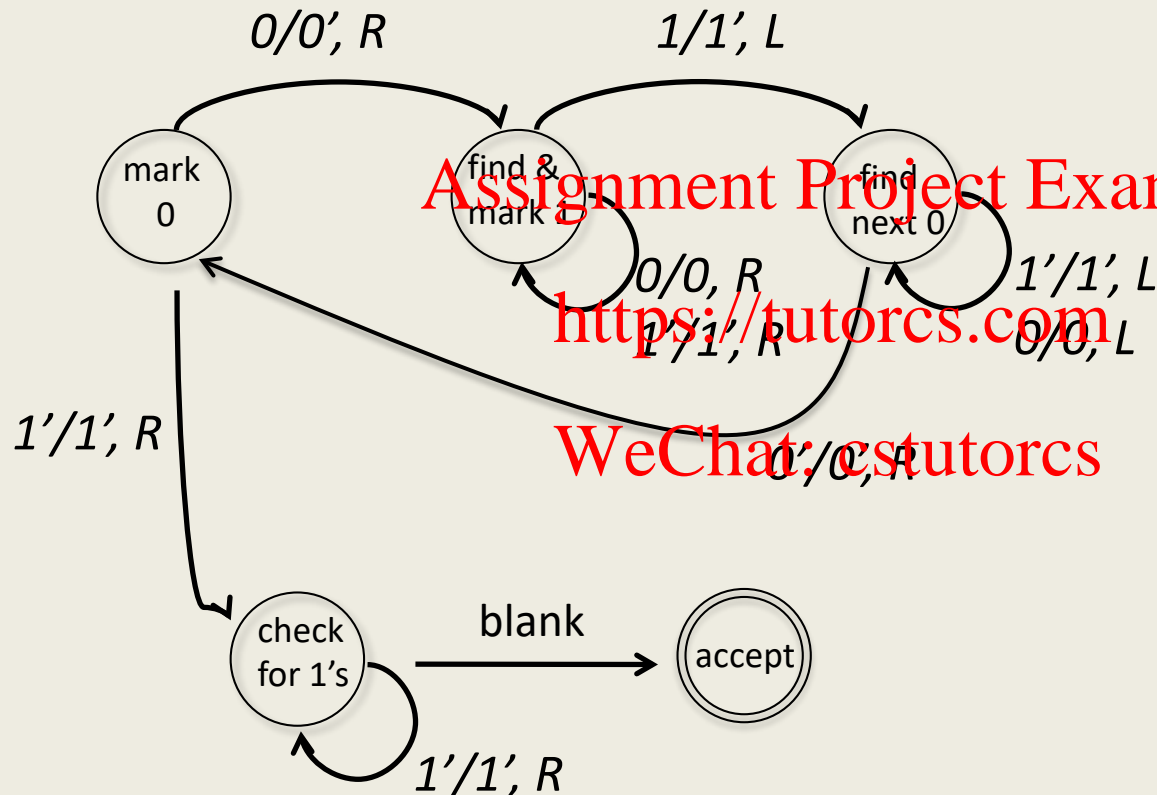
- Which languages are R.E.?
  - Which are recursive?
  - What is the difference?
  - What properties make a language decidable?
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# Machine accepting $\{0^n 1^n \mid n \geq 1\}$



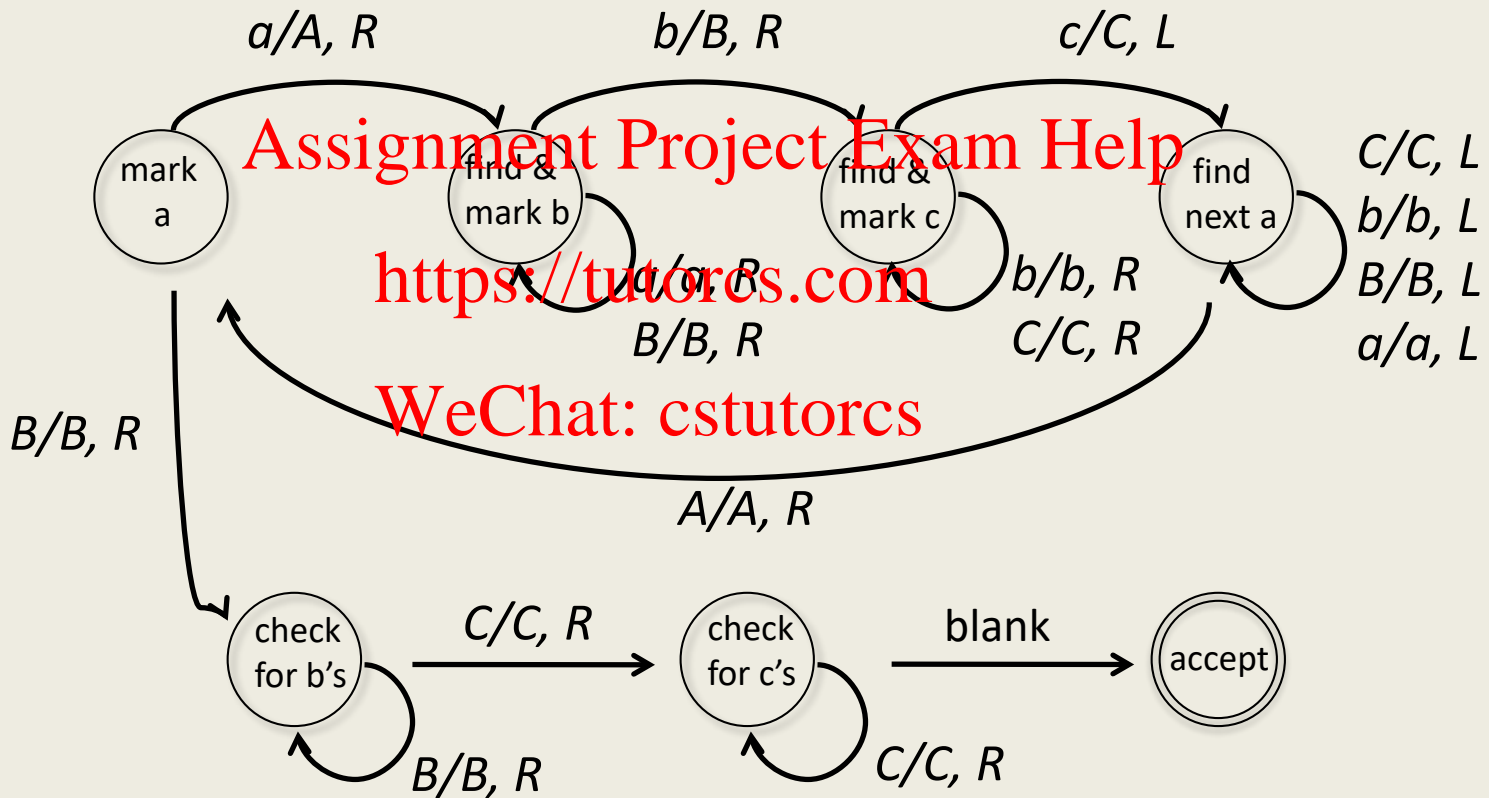
(This technique is known as "checking off symbols")

# Machine accepting $\{0^n 1^n \mid n \geq 1\}$



(This technique is known as "checking off symbols")

# Machine accepting $\{a^n b^n c^n \mid n \geq 1\}$



(This technique is known as "checking off symbols")

# *Machine to add two $n$ -bit numbers*

*(“high-level” description)*

- Assume input is  $a_1a_2\dots a_n \# b_1b_2\dots b_n$
- Pre-process phase
  - sweep right, replacing 0 with 0' and 1 with 1'
- Main loop:
  - erase last bit  $b_i$ , and remember it
  - move left to corresponding bit  $a_i$
  - add the bits, plus carry, overwrite  $a_i$  with answer
  - remember carry, move right to next (last) bit  $b_{i-1}$

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# Program Trace (some missing steps)

\$10011#11001

\$1'0'0'1'1'#1'1'0'0'1'

\$1'0'0'1'1'#1'1'0'0'1'  $b = 1$   
 $c = 0$

\$1'0'0'1'1'#1'1'0'0'

\$1'0'0'1'1'#1'1'0'0'0'

\$1'0'0'1'1'#1'1'0'0'

\$1'0'0'1'1'#1'1'0'0'

\$1'0'0'1'1'#1'1'0'0'

\$1'0'0'1'1'#1'1'0'0'

\$1'0'0'1'0#1'1'0'0'  $c = 1$

\$1'0'0'1'0#1'1'0'0'

\$1'0'0'1'0#1'1'0'0'

\$1'0'0'1'0#1'1'0'0'

\$1'0'0'1'0#1'1'0'0'

\$1'0'0'1'0#1'1'0'0'

\$1'0'0'1'0#1'1'0'  $b = 0$   
 $c = 1$

\$1'0'0'1'0#1'1'0'

\$1'0'0'1'0#1'1'0'

\$1'0'0'10#1'1'0'

\$1'0'0'00#1'1'0'  $c = 1$

\$1'0'0'00#1'1'0' etc

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# *Some TM programming tricks*

- checking off symbols
  - shifting over
  - using finite control memory
  - subroutine calls
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# *“Extensions” of TMs*

- 2-way infinite tape
- multiple tracks
- multiple tapes
- multi-dimensional TMs
- nondeterministic TMs
- --- bells & whistles

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Goal:

Convince you of the power of the basic model

## *“Extensions” of TMs: 2-way infinite tape*

.	.	-5	-4	-3	-2	-1	0	1	2	3	4	5	.	.
---	---	----	----	----	----	----	---	---	---	---	---	---	---	---

Simulate with 1-way infinite tape.

0	1	-1	2	-2	3	-3	4	-4	5	-5	6	.	.	.
---	---	----	---	----	---	----	---	----	---	----	---	---	---	---

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Must modify transitions appropriately

- remember in finite control if negative or positive
- if positive,  $R \rightarrow RR$ ;  $L \rightarrow LL$
- if negative,  $R \rightarrow LL$ ;  $L \rightarrow RR$
- must mark left edge & deal with 0 cell differently

# Extension: multiple tracks

4 tracks

0	1	1	0						
\$	1	0	0	1					
a	b	b	c	a	a	a			

infinite tape →

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M can address any particular track in the cell it is scanning

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Can simulate 4 tracks with a single track machine, using extra “stacked” characters:

single character →

0	1	1	0						
\$	1	0	0	1					
a	b	b	c	a	a	a			
		2							

# Multiple tracks

4 tracks

0	1	1	0						
\$	1	0	0	1					
a	b	b	c	a	a	a			

infinite tape →

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M:  $\delta(q, -10, -) = (p, -1, R)$

"If in state  $q$  reading 0 on second track, then go to state  $p$ , write 1 on fourth track, and move right"

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Then in  $M'$   $\delta(q, \begin{matrix} x \\ 0 \\ y \\ z \end{matrix}) = (p, \begin{matrix} x \\ 0 \\ y \\ 1 \end{matrix}, R)$

for every  $x, y, z$  in  $\Gamma$

# *Extension: multiple tapes*

## *k*-tape TM

- *k* different (2-way infinite) tapes
- *k* different independently controllable heads
- input initially on tape 1; tapes 2, 3, ..., *k*, blank.
- single move:
  - read symbols under all heads
  - print (possibly different) symbols under heads
  - move all heads (possibly different directions)
  - go to new state

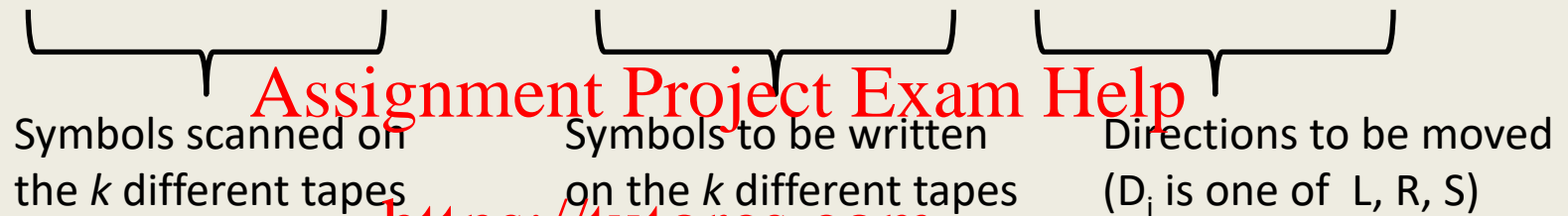
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# *k-tape TM transition function*

$$\delta(q, a_1, a_2, \dots, a_k) = (p, b_1, b_2, \dots, b_k, D_1, D_2, \dots, D_k)$$



Symbols scanned on the  $k$  different tapes      Symbols to be written on the  $k$  different tapes      Directions to be moved ( $D_i$  is one of L, R, S)

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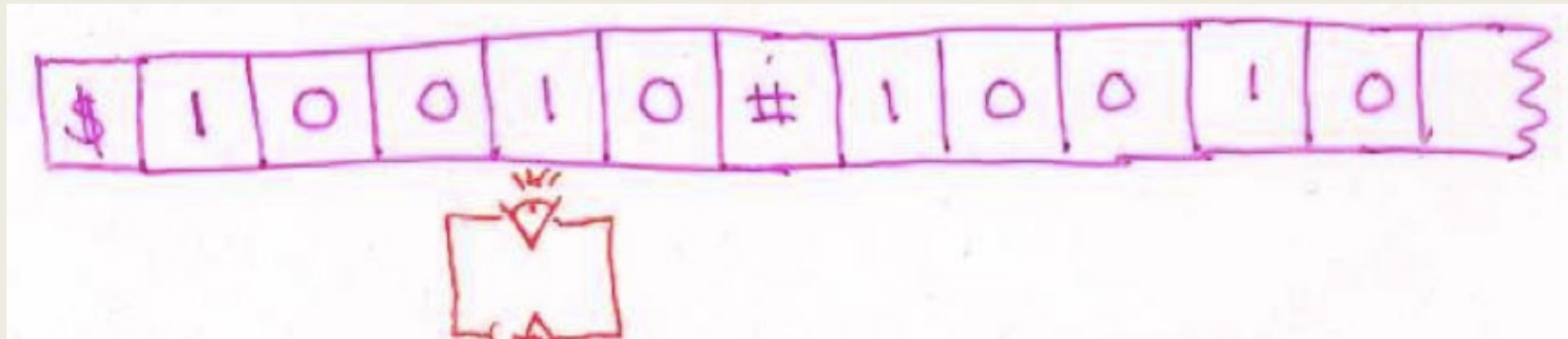
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Utility of multiple tapes

makes programming a whole lot easier

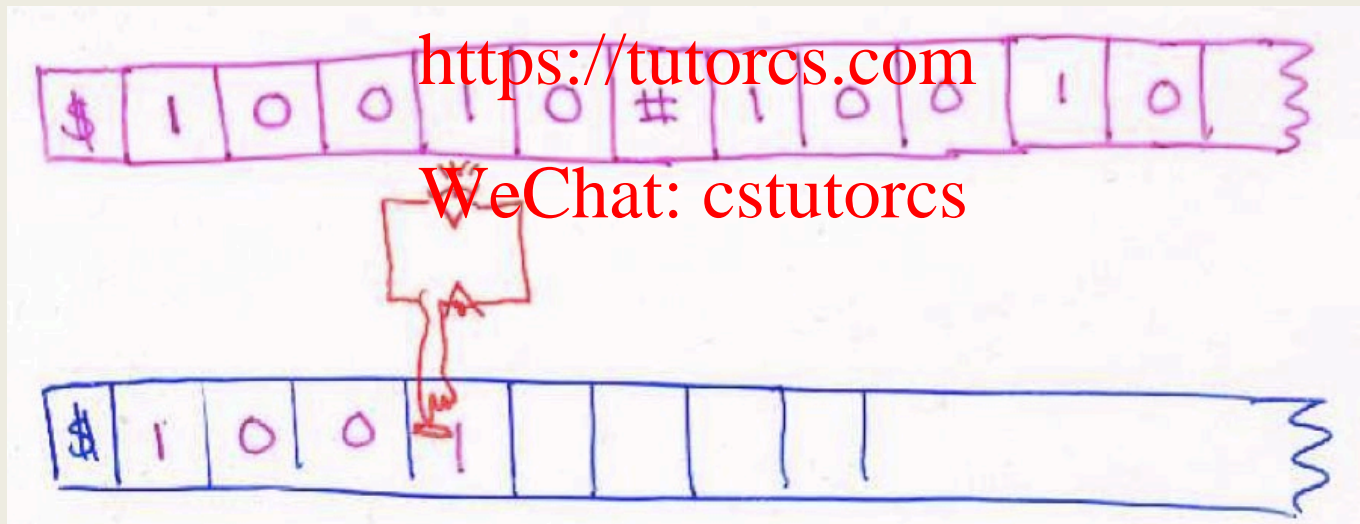
\$	1	0	0	1	0	#	1	0	0	1	0			
----	---	---	---	---	---	---	---	---	---	---	---	--	--	--

*is input string of form  $w\#w$  ?*



$\Omega(n^2)$  steps provably required

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$\approx 3n/2$  steps easily programmed



# Can't compute more with $k$ tapes

*Theorem: If  $L$  is accepted by a  $k$ -tape TM  $M$ ,  
then  $L$  is accepted by some 1-tape TM  $M'$ .*

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*Intuition:  $M'$  uses  $2k$  tracks to simulate  $M$*

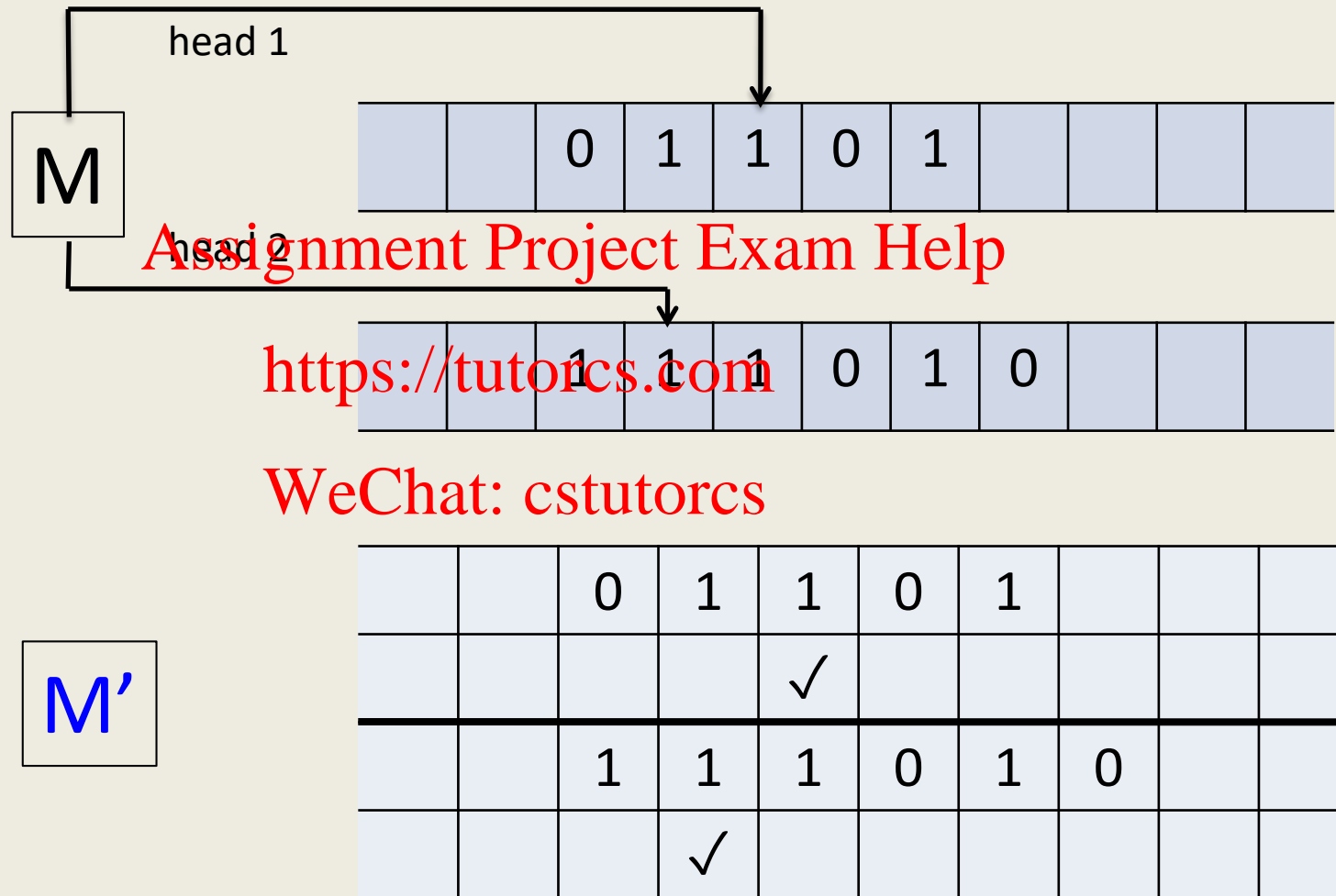
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BUT....  
 $M$  has  $k$  heads!

*How can  $M'$  be in  
 $k$  places at once?*

# Snapshot of simulation ( $k = 2$ )



Track  $2i-1$  holds tape  $i$ . Track  $2i$  holds position of head  $i$

*To make a move,  $M'$  does:*

**Phase 1:** Sweep from leftmost edge to rightmost “✓” on any track, noting symbols ✓’ed, and what track they are on. Save this info in finite control.

Now,  $M'$  knows what move of  $M$  to make

**Phase 2:** Sweep from right to left edge implementing the move of  $M$

Thus, each move of  $M$  requires  $M'$  to do a complete sweep across, and back.

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Not hard to show that if  $M$  takes  $t$  steps to complete its computation, then  $M'$  takes  $O(t^2)$  steps.