

# 约束满足问题

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- 1 何谓CSP：求解n-queens、CSP形式化、约束图、约束类型
- 2 约束传播与局部相容性(结点相容、弧相容、路径相容)
- 3 CSP形式化为一个搜索问题（回溯法）
- 4 提高搜索效率（变量顺序、值的顺序、提前检查失败、利用树形结构）

求解n-queens、CSP形式化、约束图、约束类型

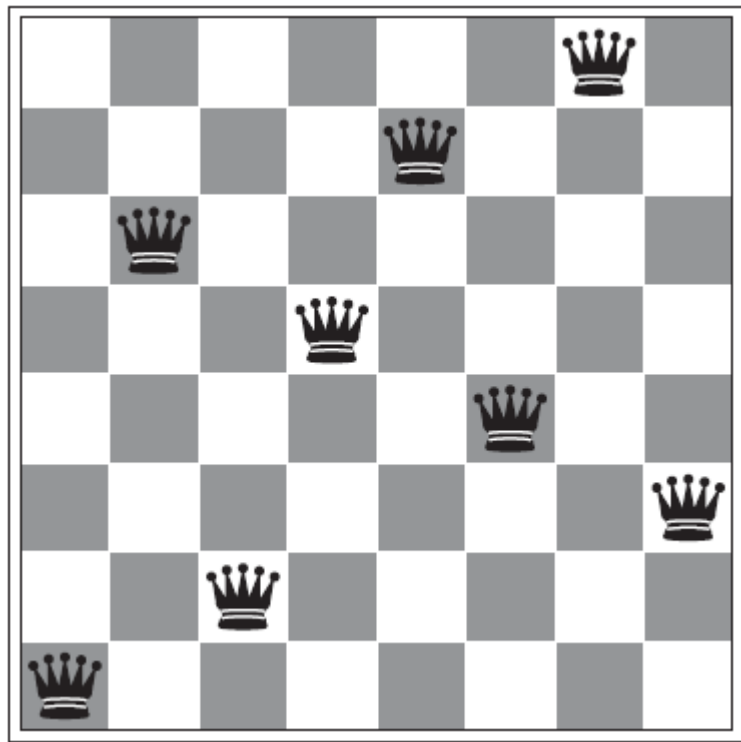
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# 何谓CSP？

# Review: local search - hill climbing

- $h$  = number of pairs of queens that are attacking each other, either directly or indirectly

18	12	14	13	13	12	14	14
14	16	13	15	12	14	12	16
14	12	18	13	15	12	14	14
15	14	14	♙	13	16	13	16
♙	14	17	15	♙	14	16	16
17	♙	16	18	15	♙	15	♙
18	14	♙	15	15	14	♙	16
14	14	13	17	12	14	12	18



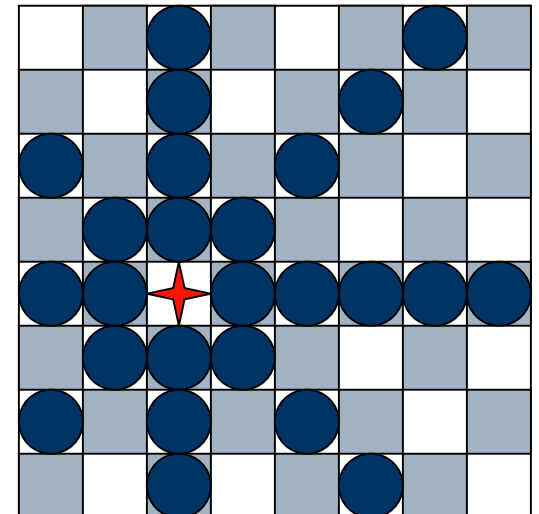
**Figure 4.3** (a) An 8-queens state with heuristic cost estimate  $h = 17$ , showing the value of  $h$  for each possible successor obtained by moving a queen within its column. The best moves are marked. (b) A local minimum in the 8-queens state space; the state has  $h = 1$  but every successor has a higher cost.

# what is CSP?

- 使用要素化表示来描述状态：一组变量，每个变量有自己的值。当每个变量都有自己的赋值同时满足所有关于变量的约束时，问题就得到了解决。这类问题称为**约束满足问题**，简称CSP

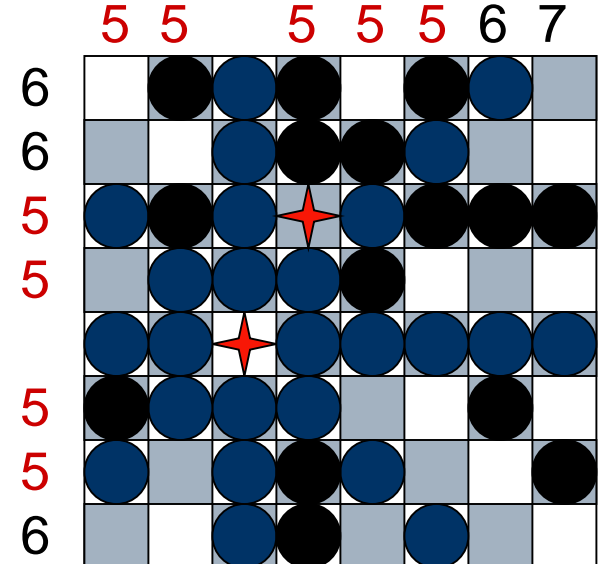
# Constraint Propagation

- Place a queen in a square
- Remove the attacked square from future consideration



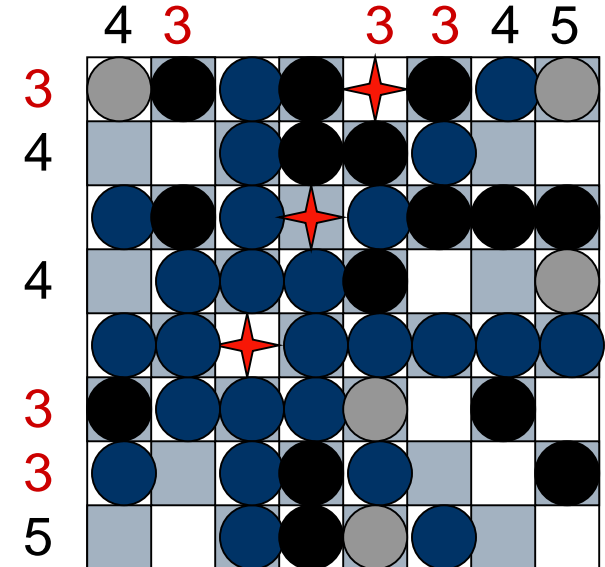
# Constraint Propagation

- Count the number of non-attacked squares in every row and column
- Place a queen in a row or column with minimum number
- Remove the attacked squares from future consideration



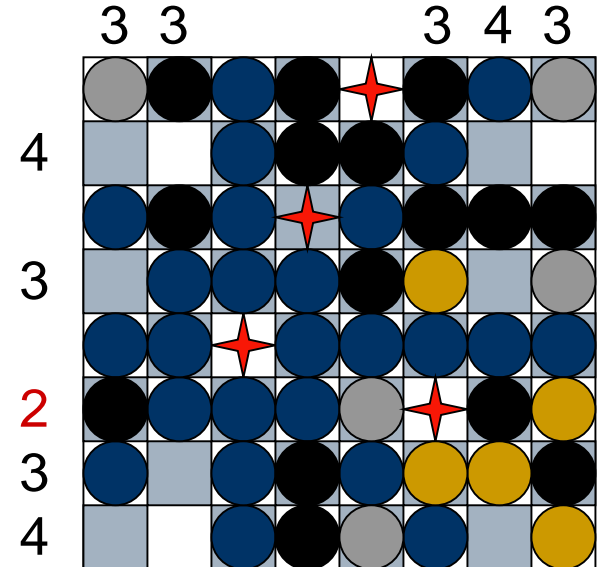
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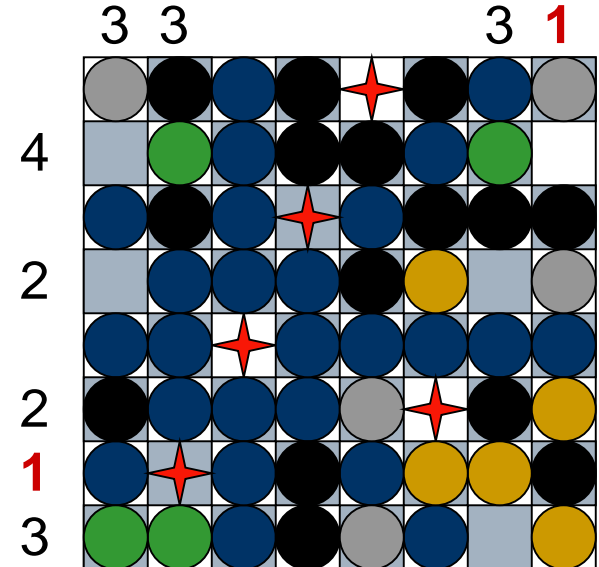
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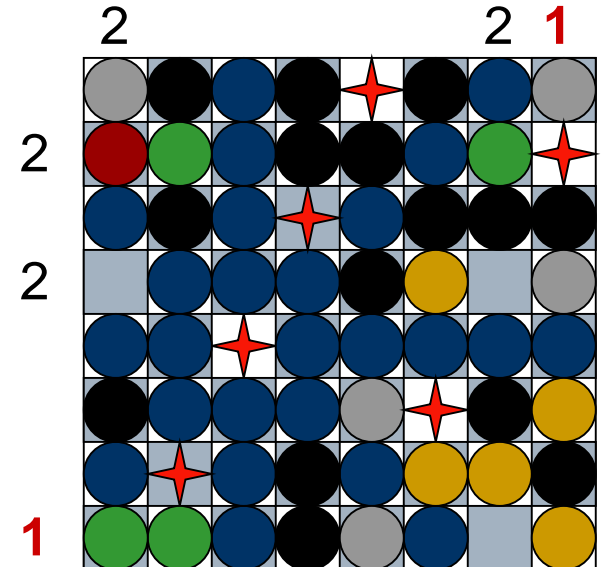
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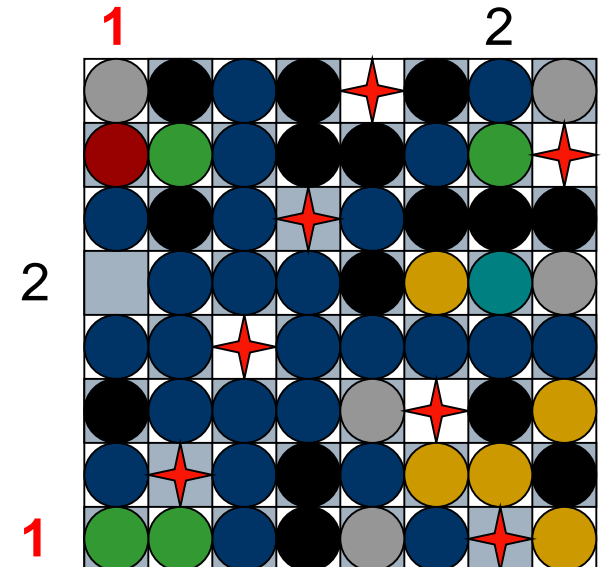
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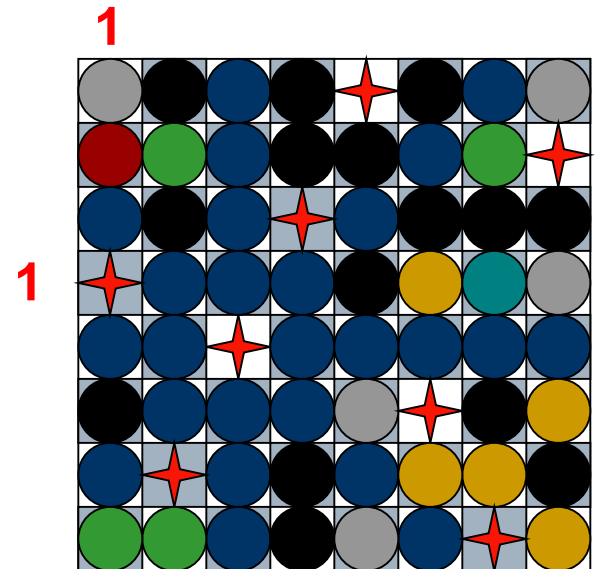
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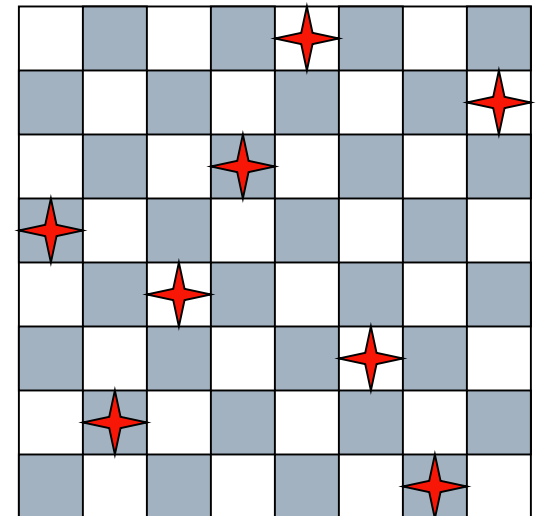
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What's the difference  
with local search ?

# Constraint Propagation vs. Local Search

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- local search: full assignment
- constraint propagation: partial assignment

how to formalize a CSP ?



# What are Constraint Satisfaction Problems ? {6.1}

□ a CSP consists of

■ a set of variables,  $X = \{X_1, \dots, X_n\}$ .

■ a set of domains,  $D = \{D_1, \dots, D_n\}$ .

■ a set of constraints,  $C$ .

Each constraint  $C_i$  consists of  $\langle \text{scope}, \text{rel} \rangle$ .  
*scope* is a tuple of variables, and *rel* defines the relation between those variables.

□ Solutions are complete and consistent assignments

# example: 8-queens{6.1}

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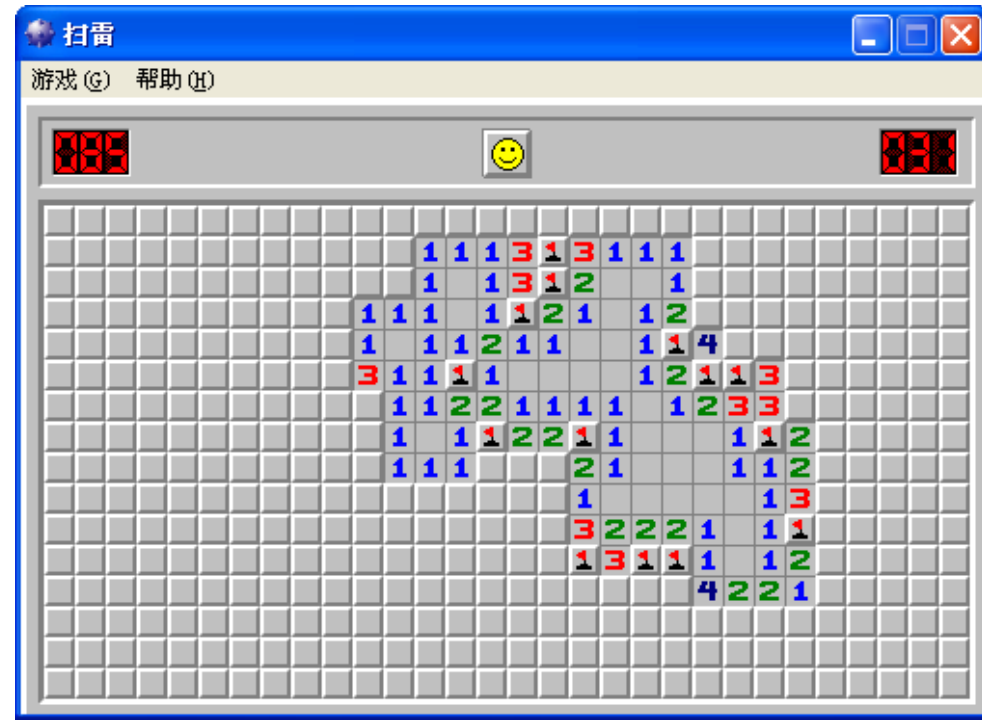
- ❑ CSP formulation of 8-queens
- ❑ Variables: \_\_\_\_\_
- ❑ Domain: \_\_\_\_\_
- ❑ Constraints: \_\_\_\_\_
- ❑ a solution {5,2,4,6,8,3,1,7}

# example: 8-queens{6.1}

- CSP formulation of 8-queens
- Variables:  $X = \{X_1, X_2, X_3, \dots, X_8\}$
- Domain:  $D = \{D_1, D_2, D_3, \dots, D_8\}$   
 $D_i = \{1, 2, 3, 4, 5, 6, 7, 8\}$
- Constraints:  $C = \{ \langle \{X_i, X_j\}, X_i \neq X_j \ \& \ |X_i - X_j| \neq |i - j| \rangle \mid i \neq j, 1 \leq i \leq 8, 1 \leq j \leq 8 \}$
- a solution  $\{5, 2, 4, 6, 8, 3, 1, 7\}$

# Example: Minesweeper Game

- ❑ Each square contains either a zero (touching no bombs in its eight neighboring squares); a number  $n$  (touching exactly  $n$  bombs); or nothing (unknown).
- ❑ variables?
- ❑ domain?
- ❑ constraints?
- ❑ solution?



# Example: Minesweeper Game

□ Variables:  $X = \{X_{ij} \mid i=1,2,\dots, j=1,2,\dots\}$

□ Domain:

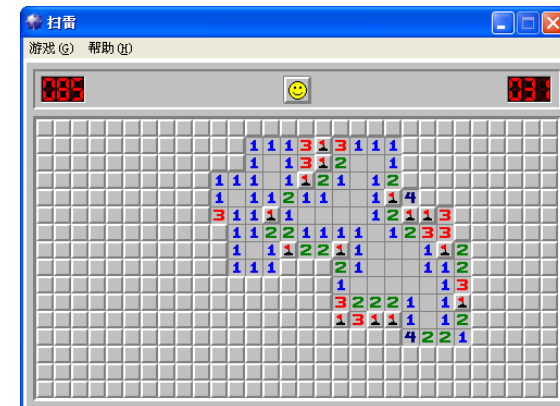
■  $D = \{D_{ij} \mid i=1,2,\dots, j=1,2,\dots\}$

■  $D_{ij} = \{0,1,2,\dots,8,m\}$

□ Constraints:

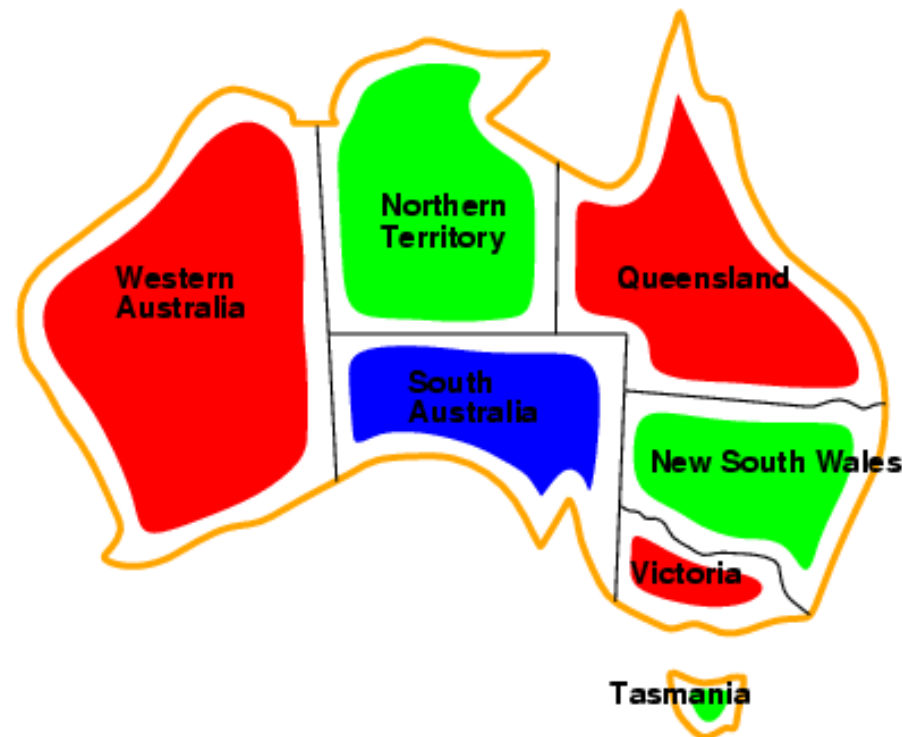
if  $D_{ij}=0,1,\dots,8$ , then there are  $D_{ij}$  mines in the neighboring blocks of block  $_{ij}$ .

□ Solutions are assignments satisfying all constraints



# Example: Map-Coloring {6.1.1}

- ❑ color Australia regions using red, green and blue to make adjacent regions have different colors.
- ❑ variables?
- ❑ domain?
- ❑ constraints?
- ❑ solution?



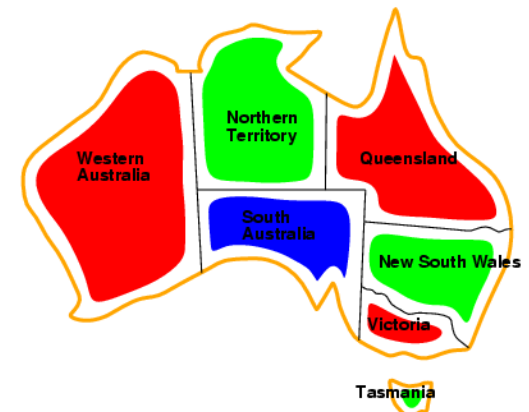
# Example: Map-Coloring {6.1.1}

- Variables:  $X = \{WA, NT, Q, NSW, V, SA, T\}$
- Domain:  $D = \{D_1, \dots, D_7\}, D_i = \{red, green, blue\}$
- Constraints: adjacent regions must have different colors  
 $C = \{WA \neq NT, WA \neq SA, NT \neq SA, NT \neq Q, SA \neq Q, SA \neq NSW, SA \neq V, Q \neq NSW, NSW \neq V\}$

$SA \neq WA$  是  $\langle (SA, WA), SA \neq WA \rangle$  的简洁表示

- Solutions are assignments satisfying all constraints

$\{WA = red, NT = green, Q = red, NSW = green, V = red, SA = blue, T = green\}$

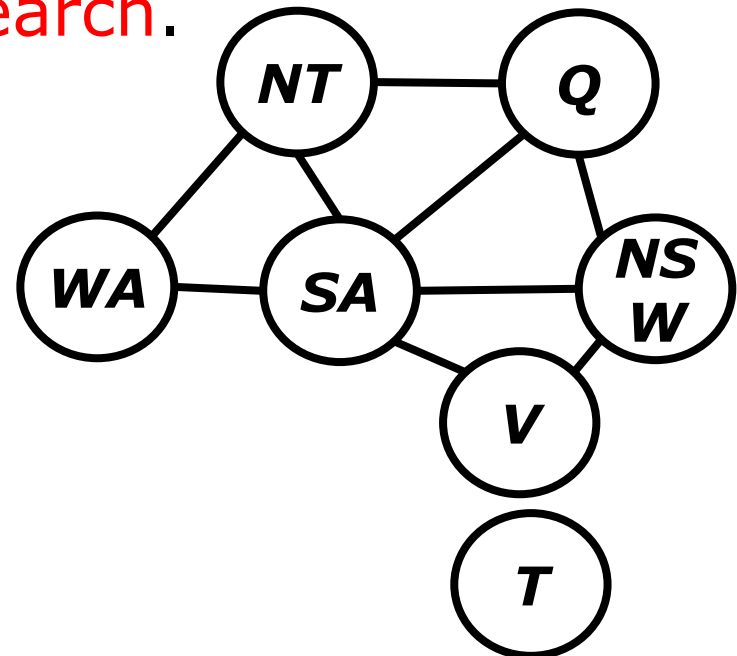
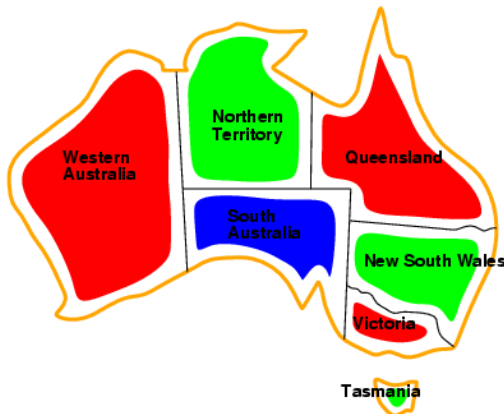


represent constraints as  
a graph!



# Constraint Graph {6.1.1}

- ❑ **Binary CSP**: each constraint relates (at most) two variables
- ❑ Constraint graph: **nodes are variables, arcs show constraints**
- ❑ General-purpose CSP algorithms **use the graph structure to speed up search.**



# Varieties of Constraints {6.1.3}

- **Unary** constraints involve a single variable:  
 $SA \neq green$
- **Binary** constraints involve pairs of variables:  
 $SA \neq WA$
- **Higher-order** constraints involve 3 or more variables (**How to represent them using graph?**)
- **Preferences** (soft constraints)
  - red is better than green
  - Gives constrained optimization problems
  - Representable by a cost for each variable assignment

What is the reasoning in  
CSP?

结点相容(1-相容)、弧相容(2-相容)、路径相容(3-相容)、K-相容

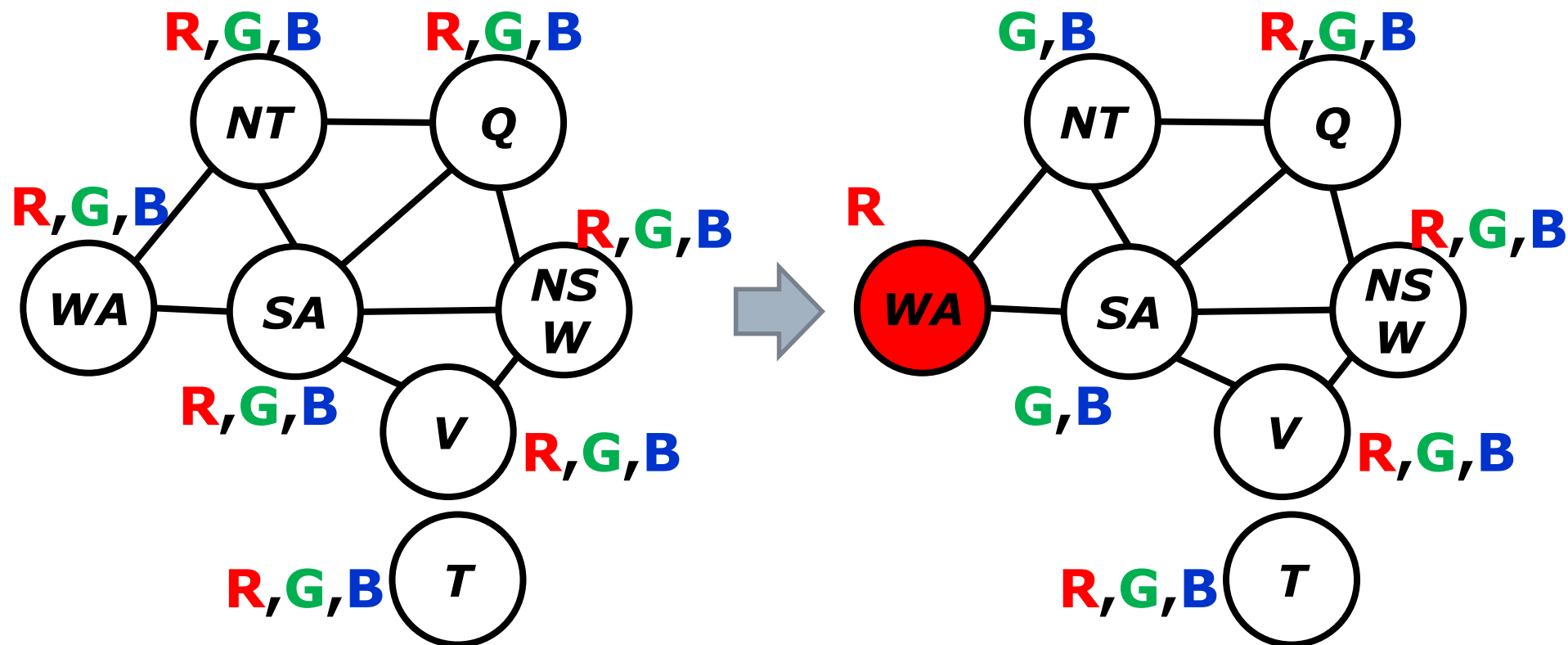
# 约束传播与局部相容性

# constraint propagation {6.2}

- CSP中的推理：约束传播。约束传播使用约束减小一个变量的合法取值范围，从而影响到跟此变量有约束关系的另一变量的取值。
  - 给一个变量赋值后，则通过约束传播可缩小与其有约束关系的其他变量的值域。

# constraint propagation {6.2}

□ 若WA赋值R，则通过约束传播，NT和SA的值域中删除R

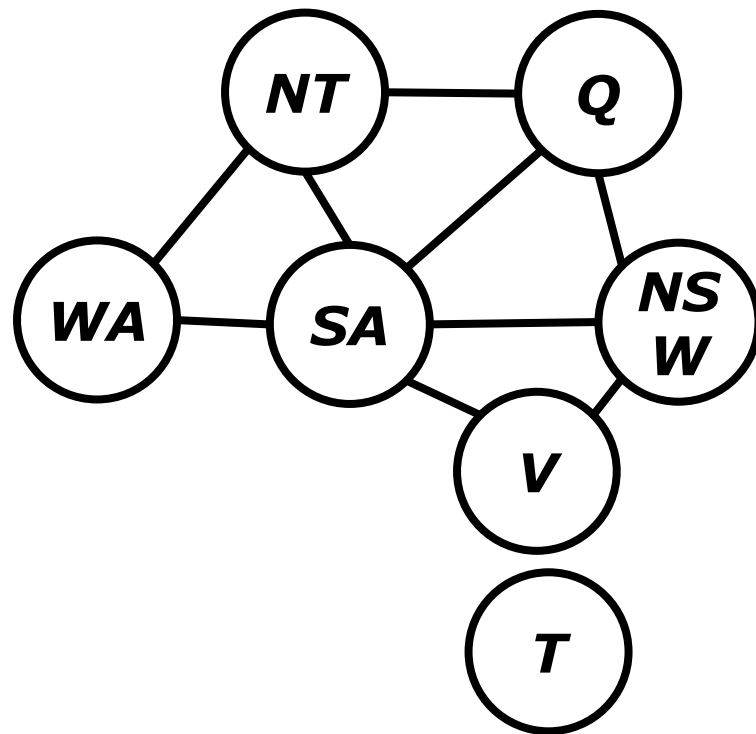


# constraint propagation {6.2}

- 在CSP中,算法可以进行约束传播,也可以搜索 (从几种可能性中选择新的变量赋值)。约束传播与搜索可以交替进行,或者也可以将约束传播作为搜索前的预处理步骤。

# constraint propagation {6.2}

- 约束传播的核心思想是**局部相容性**。
- 增强约束图中各部分局部相容性会导致不相容的结点取值被删除。



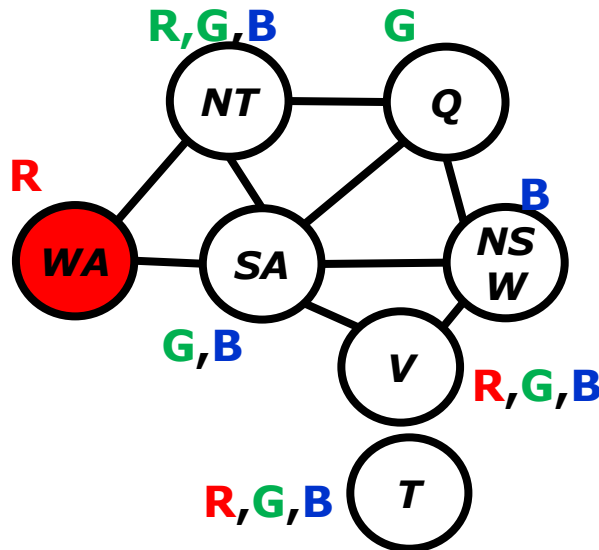


# Node consistency {6.2.1}

- 如果单个变量（对应于CSP网络中的结点）值域中的所有取值满足它的一元约束，就称此变量是**结点相容的**。例如，如果地图着色问题中南澳洲人不喜欢绿色，变量SA原来值域为 $\{red, green, blue\}$ ，删除 $green$ 此结点即为结点相容的，此时SA的值域为 $\{red, blue\}$ 。如果网络中每个变量都是结点相容的，则此网络是结点相容的。

# arc consistency {6.2.2}

- 对于变量 $X_i$ 、 $X_j$ ，若对 $X_i$ 的每个赋值在 $X_j$ 都存在某个取值满足弧 $(X_i, X_j)$ 的二元约束，则称 $X_i$ 对于 $X_j$ 是弧相容的。

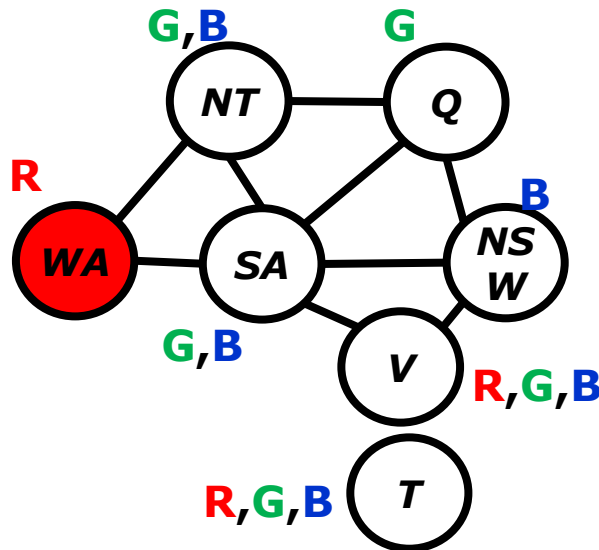


哪些是弧相容的？

- (1) WA对于SA
- (2) WA对于NT
- (3) SA对于Q
- (4) SA对于NSW

# arc consistency {6.2.2}

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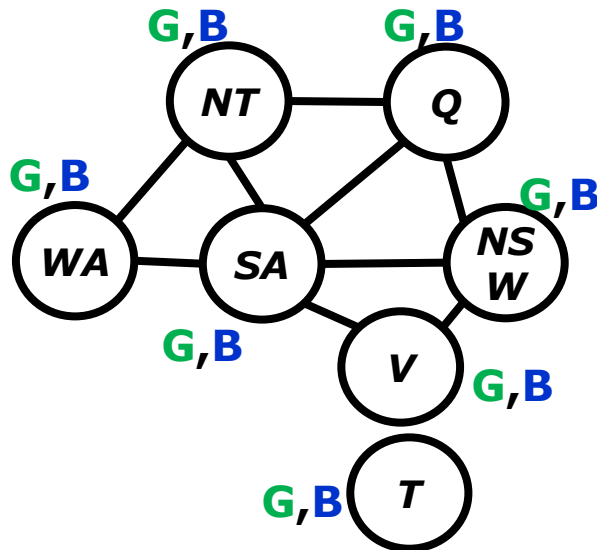


哪些是弧相容的？

- |             |     |
|-------------|-----|
| (1) WA对于SA  | yes |
| (2) WA对于NT  | yes |
| (3) SA对于Q   | no  |
| (4) SA对于NSW | no  |

## arc consistency {6.2.2}

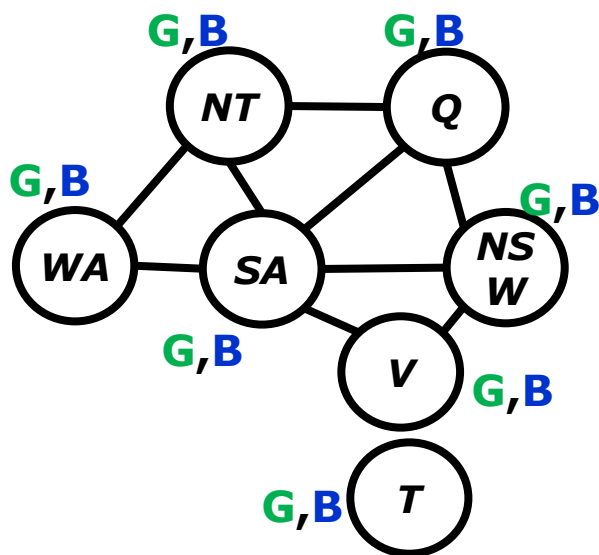
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是否是网络相容的？  
是否有解？

## arc consistency {6.2.2}

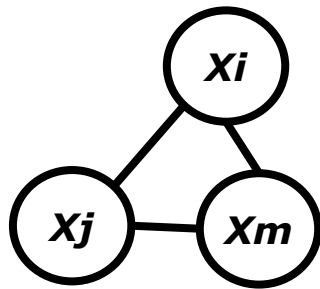
- 对于变量 $X_i$ 、 $X_j$ ，若对 $X_i$ 的每个赋值在 $X_j$ 都存在某个取值满足弧 $(X_i, X_j)$ 的二元约束，则称 $X_i$ 相对 $X_j$ 是弧相容的。如果每个变量相对于其它变量都是弧相容的，则称该网络是弧相容的



是网络相容的，但显然此题无解。路径相容可以检测出无解。

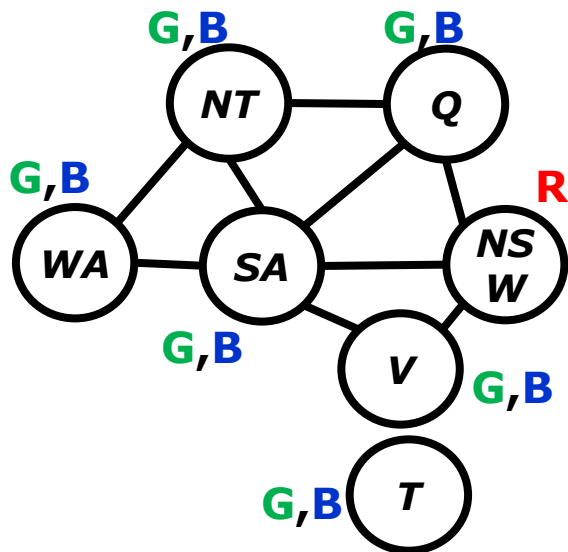
# path consistency {6.2.3}\*

- 对 $\{X_i, X_j\}$ 的每一个相容赋值 $\{X_i=a, X_j=b\}$ ， $X_m$ 都有合适的取值同时使得 $\{X_i, X_m\}$ 和 $\{X_m, X_j\}$ 是相容的，则称集合 $\{X_i, X_j\}$ 对于 $X_m$ 是（路径）相容的。被称为**路径相容**，是因为这很像是一条从 $X_i$ 途经 $X_m$ 到 $X_j$ 的路径。



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哪些是路径相容的?

- (1)  $\{WA, NT\}$  对于 SA
- (2)  $\{SA, Q\}$  对于 NSW
- (3)  $\{SA, NSW\}$  对于 V

# k-consistency {6.2.4}\* ---

- 如果对于任何  $k - 1$  个变量的任何相容赋值，任何第  $k$  个变量总能被赋予一个和前  $k - 1$  个变量相容的值，那么这个 CSP 是  $k$  相容的。
- 1-相容是节点相容。2-相容即为弧相容。对二元约束网络，3-相容是路径相容。



# Global Constraints {6.2.5}

- 全局约束可涉及任意个约束变量（不一定是所有变量）。例如，*Alldiff*约束表示所有相关变量必须取不同的值。*Alldiff*约束的不相容检测的一种简单形式：如果约束涉及 $m$ 个变量，可能的不同取值有 $n$ 个，且 $m > n$ ，那么约束不可能满足。
- 另一个重要的高阶约束是**资源约束**，有时称为*atmost*约束。例如在调度问题中，用 $P_1, \dots, P_4$ 表示执行四项任务的人数。总人数不超过10人的约束记为 $atmost(10, P_1, P_2, P_3, P_4)$ 。

- 在CSP中,算法可以进行约束传播 , 也可以搜索 ( 从几种可能性中选择新的变量赋值 ) 。

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# **CSP形式化为搜索问题:回溯搜索**

# Standard Search Formulation {6.3}

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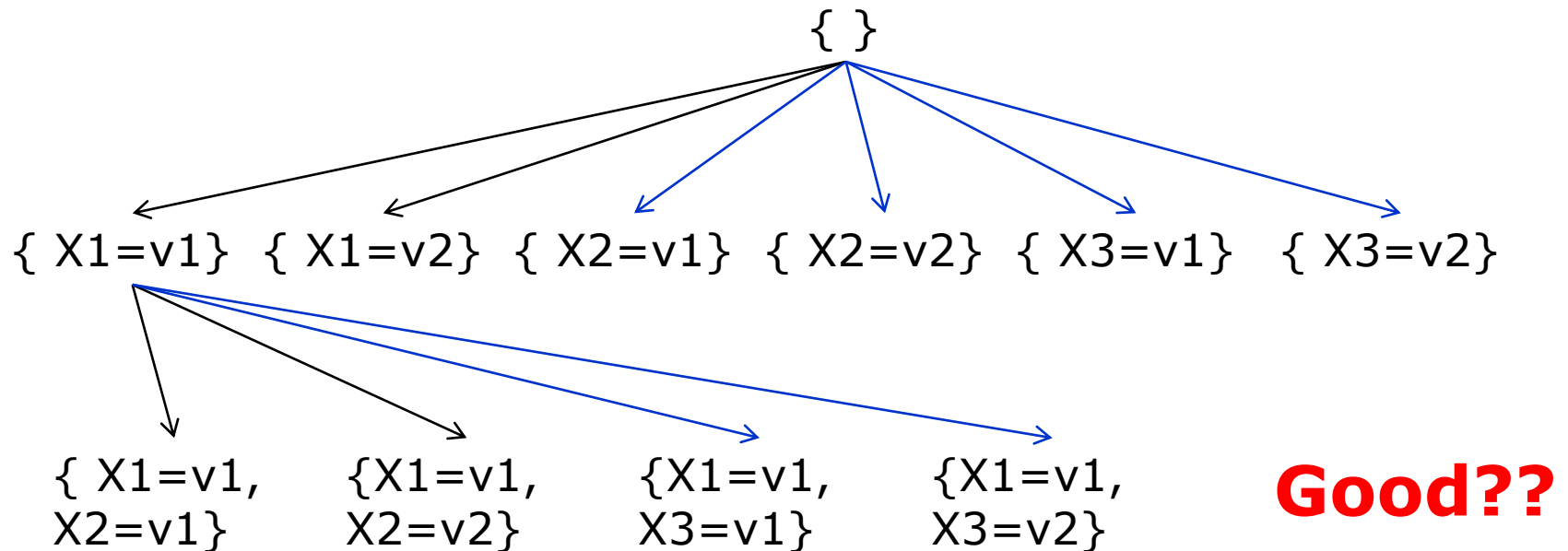
- States are \_\_\_\_\_
- Initial state: \_\_\_\_\_
- Transition model
  - \_\_\_\_\_
- Goal test: the current assignment is complete and satisfies all constraints

# Standard Search Formulation {6.3}

- States are defined by the values assigned so far
- Initial state: the empty assignment, {}
- Transition model
  - assign a value to an unassigned variable
  - fail if no legal assignment
- Goal test: the current assignment is complete and satisfies all constraints

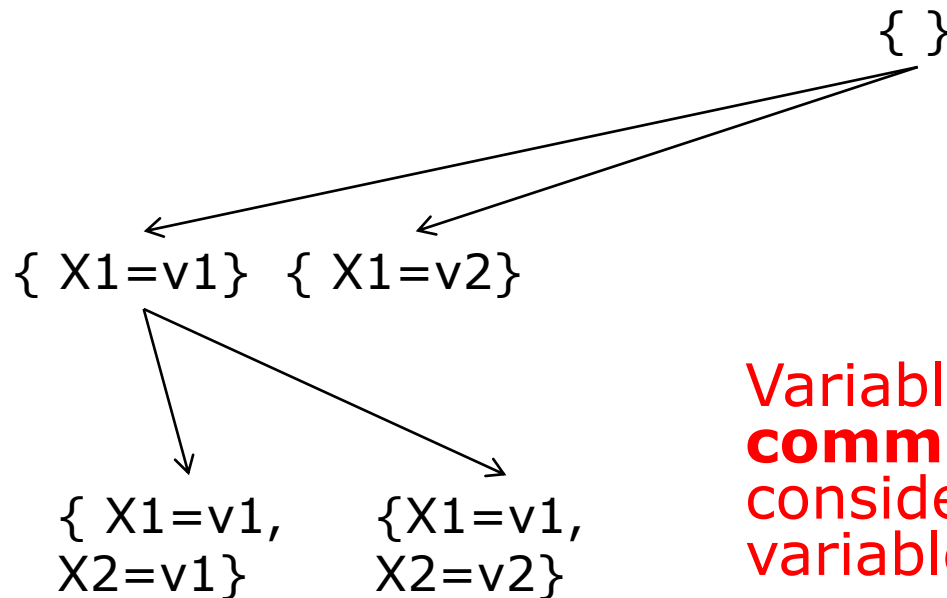
# Backtracking Search {6.3}

- suppose there are 3 variables, and each has 2 values.



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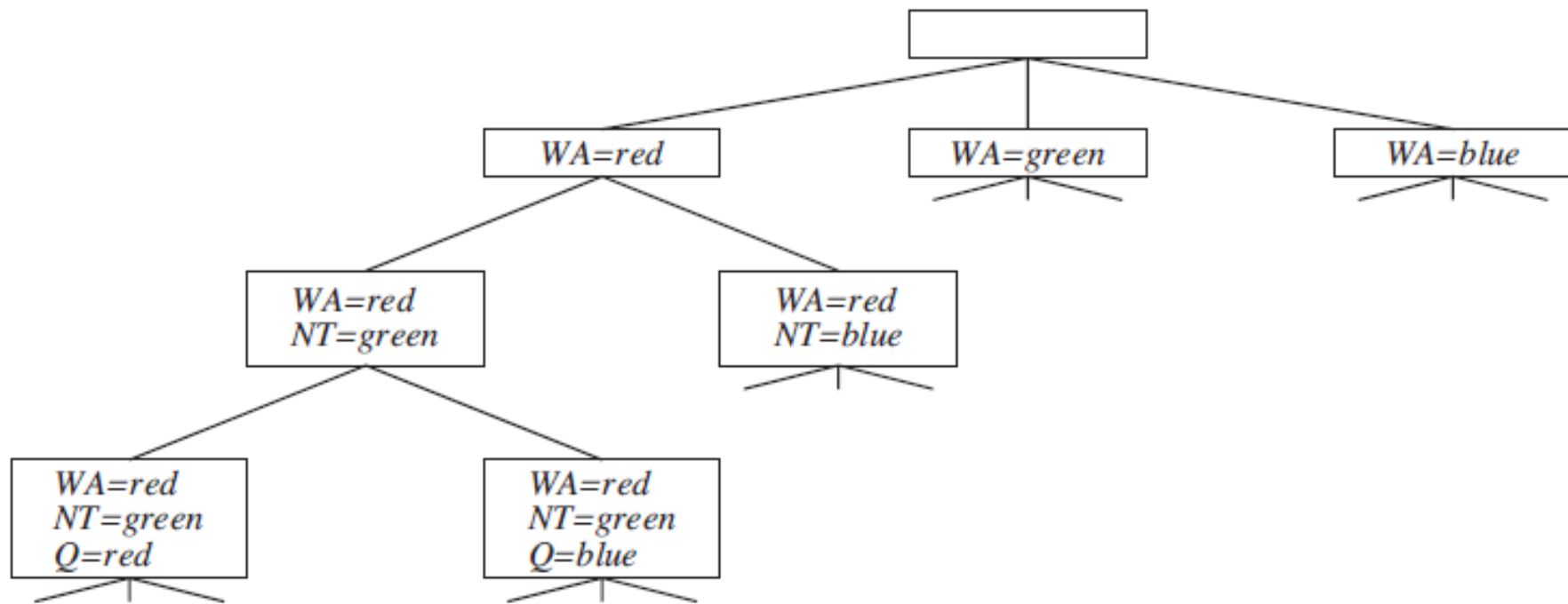
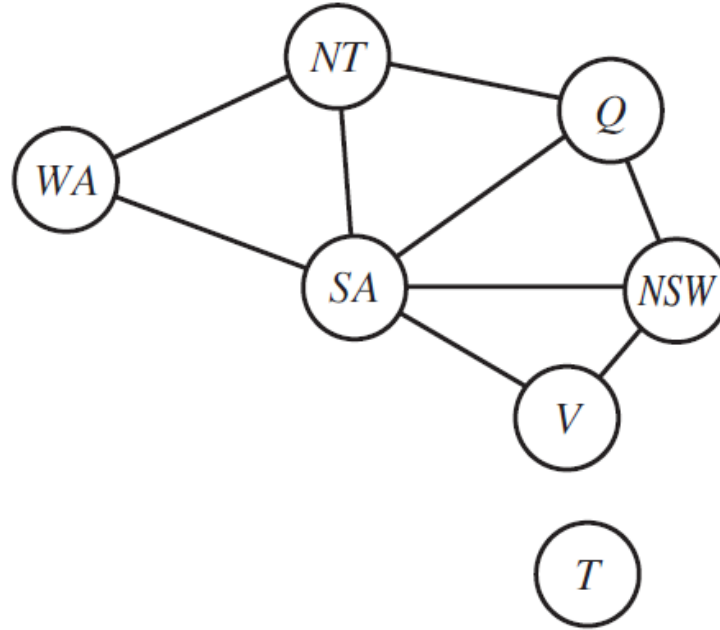


Variable assignments are **commutative**. Only need to consider assignments to a single variable at each step

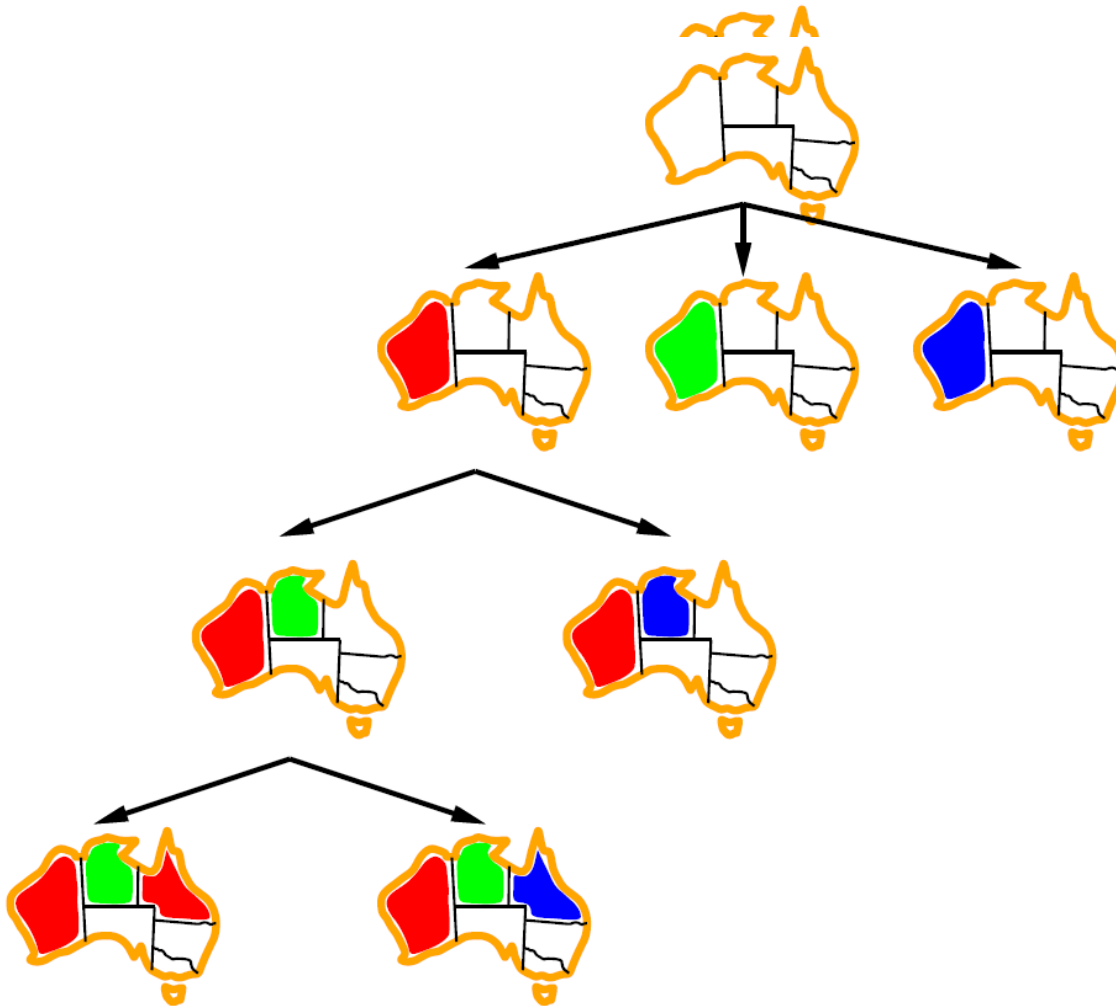
# Backtracking Search {6.3}

- Idea 1: Only consider a **single variable** at each point:
  - Variable assignments are **commutative**  
[WA = red then NT = green] same as  
[NT = green then WA = red]
  - Only need to consider assignments to a single variable at each step
- Idea 2: Only allow **legal assignments** at each point
  - consider only values which do not conflict previous assignments, might have to do some computation
- Depth-first search for CSPs with these two improvements is called **backtracking search**





# Backtracking Example {+}



从哪些方面入手  
可提高搜索效率?

变量顺序（最少剩余值、最大度）、值的顺序（最少约束值）、  
提前检查失败（前向检验、维护弧相容）、利用树形结构

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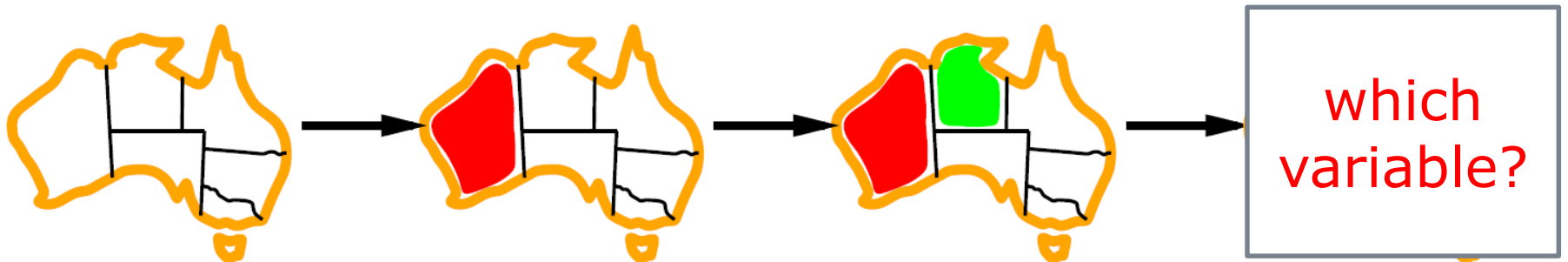
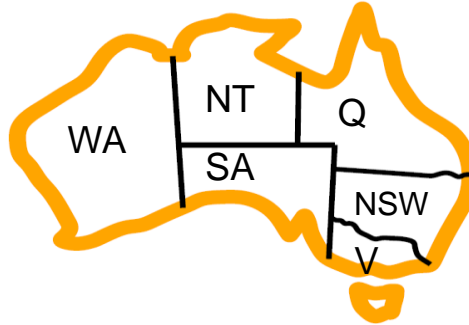
## 提高搜索效率

# Improving Backtracking {6.3}

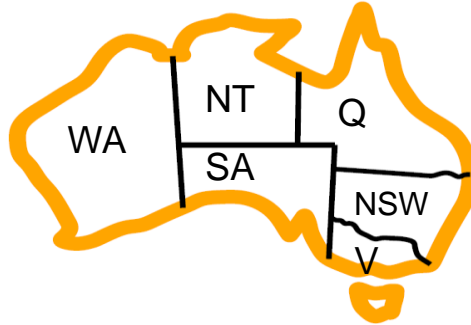
- General-purpose ideas can give huge gains in speed:
  - Which **variable** should be assigned next?
  - In what order should its **values** be tried?
  - Can we **detect inevitable failure early**?
  - Can we take advantage of **problem structure**?

which variable first?

Which variable should be assigned next?{6.3.1}

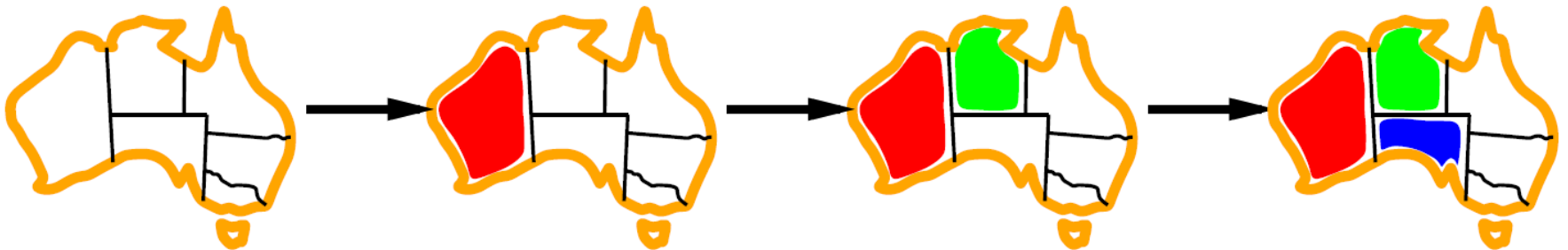


# Which variable should be assigned next? {6.3.1}



□ Minimum remaining values (最少剩余值)

- Choose the variable with the fewest legal values

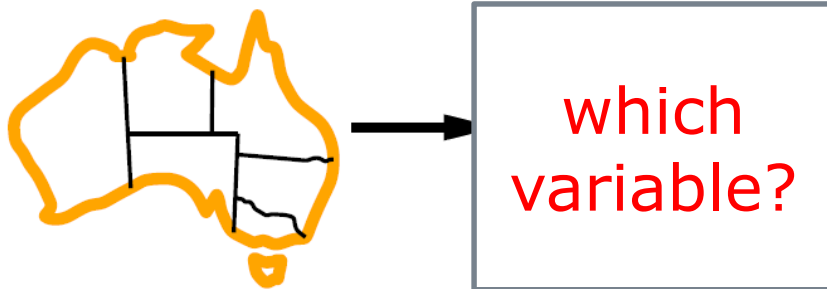
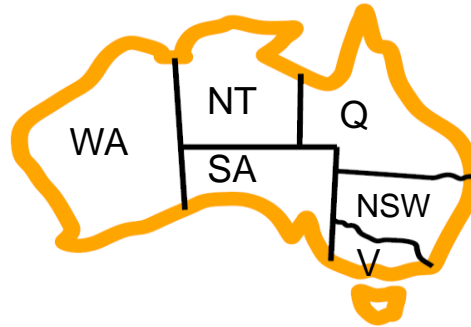


□ also called "Fail-first" ordering (失败优先)

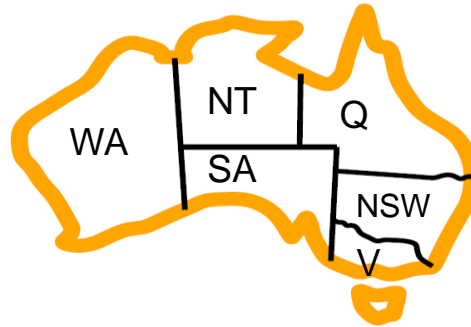


if the variables have the same number of remaining values, which variable first?

if the remaining variables have the same  
number of values {6.3.1}

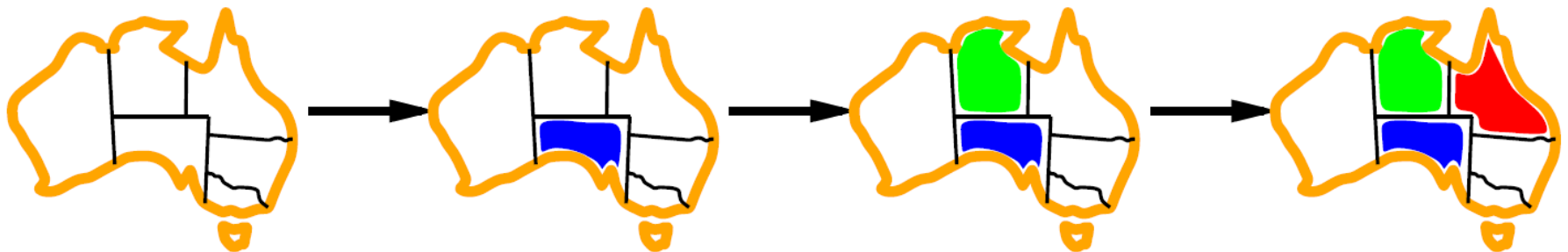


if the remaining variables have the same number of values {6.3.1}



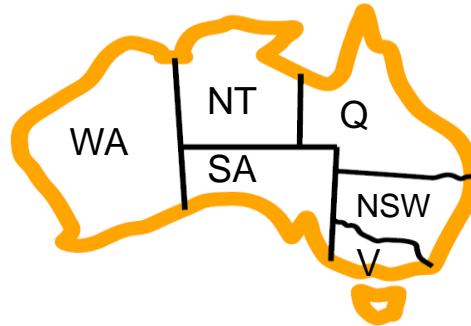
## □ Degree heuristic

- Choose the **variable with the most constraints** on remaining variables

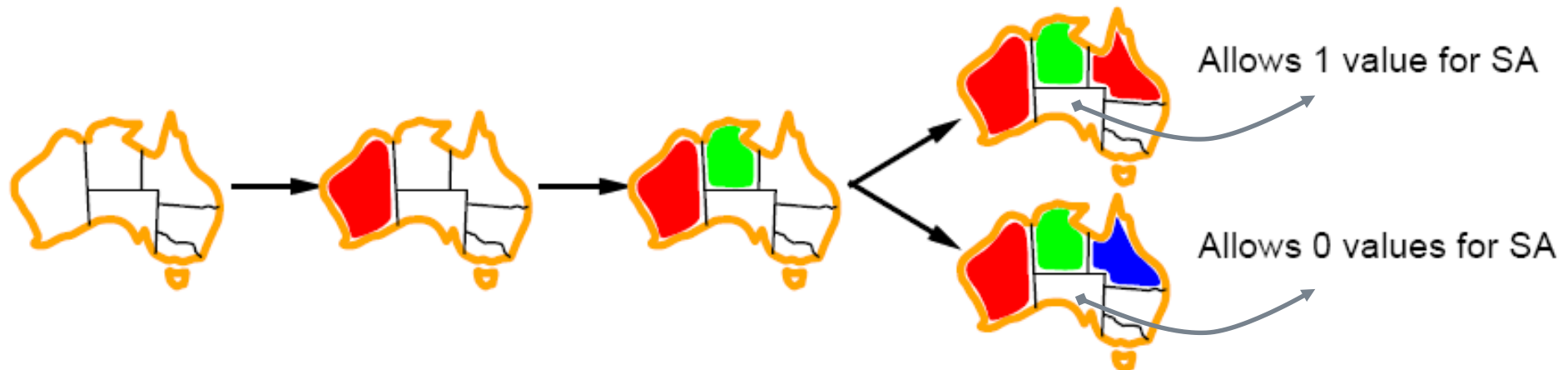


which value first,  
once a variable is chosen?

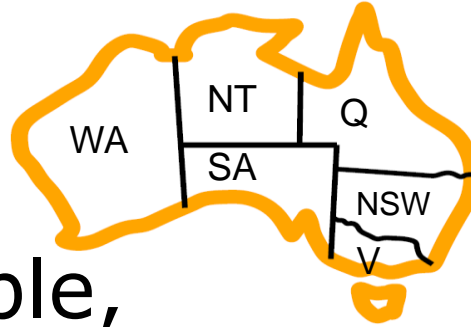
in what order should the variable's values  
be tried? {6.3.1}



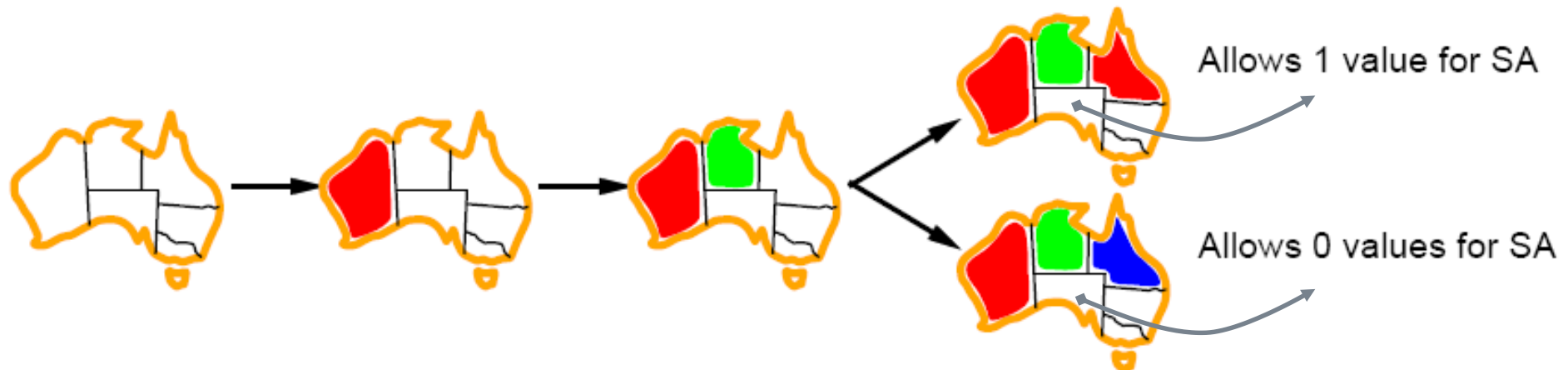
which value?



# in what order should the variable's values be tried? {6.3.1}

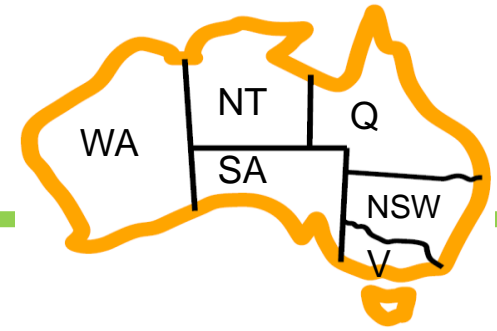


- Given a variable, choose the **least constraining value**
  - the one that **rules out the fewest values** for the neighboring variables



How to detect failure early?

# How to detect inevitable failure early? {6.3.2}

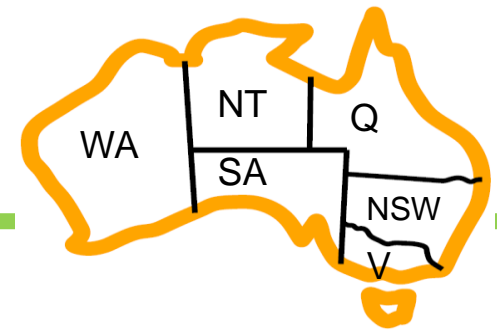


□ Will it inevitably fail?





# How to detect inevitable failure early? {6.3.2}



- **Forward Checking**: Keep track of remaining legal values for unassigned variables. Terminate **when any variable has no legal values**.

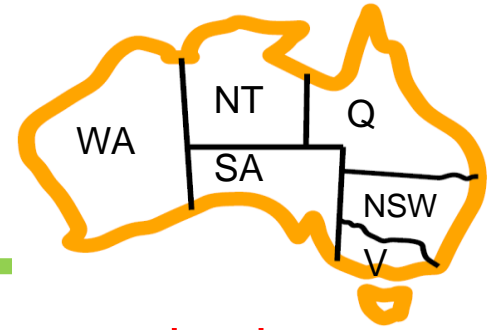


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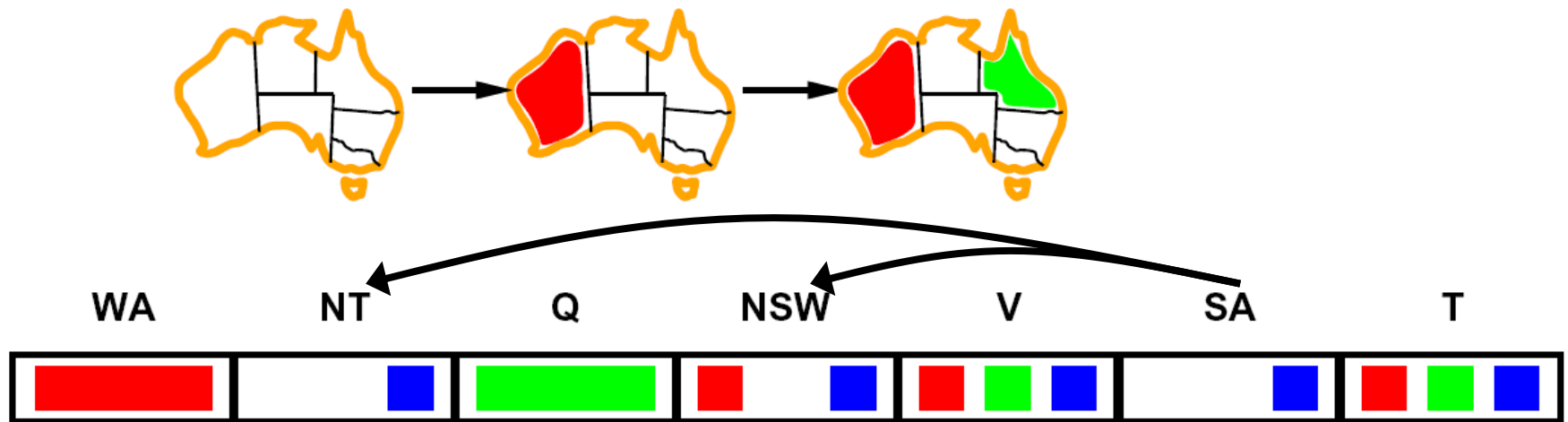
How to detect failure earlier  
than forward checking?

# maintaining Arc Consistency

## {6.3.2}



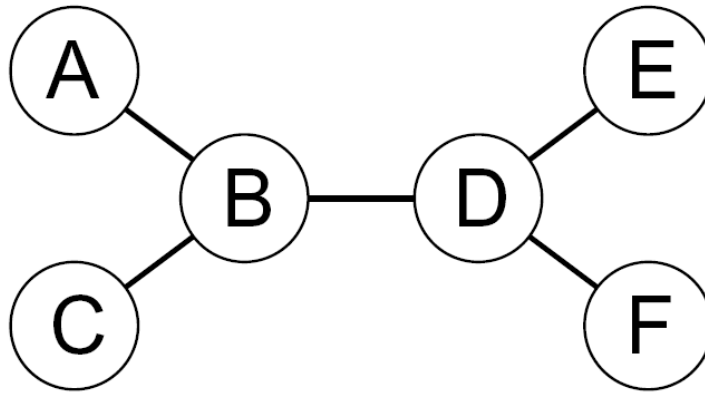
- Simplest form of propagation makes each arc **consistent**
- $X \rightarrow Y$  is consistent iff for **every** value  $x$  there is *some* allowed  $y$



- Arc consistency detects failure earlier than forward checking
- Can be run as a preprocessor or after each assignment

# Problem Structure

## How to take advantage of problem structure? {6.5}



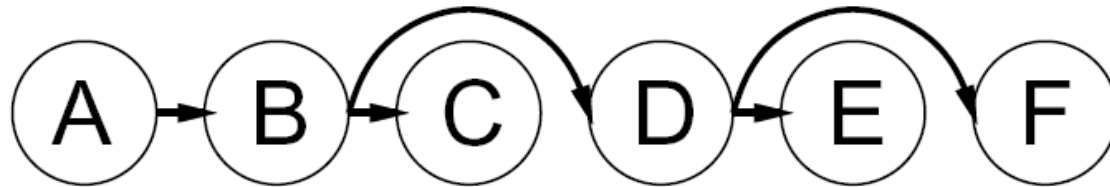
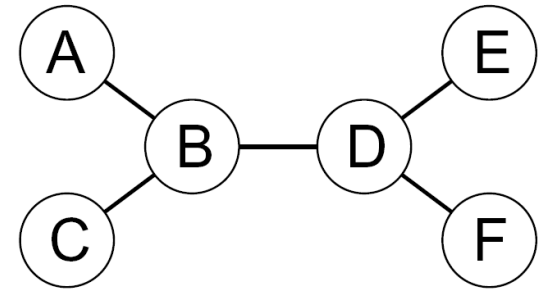
- Theorem: if the constraint graph has no loops, the CSP can be solved in  $O(n d^2)$  time.

HOW TO DO?

- Compare to general CSPs, where worst-case time is  $O(d^n)$

# Tree-Structured CSPs {6.5}

1. Choose a variable as root, **order variables from root to leaves** such that every node's parent precedes it in the ordering



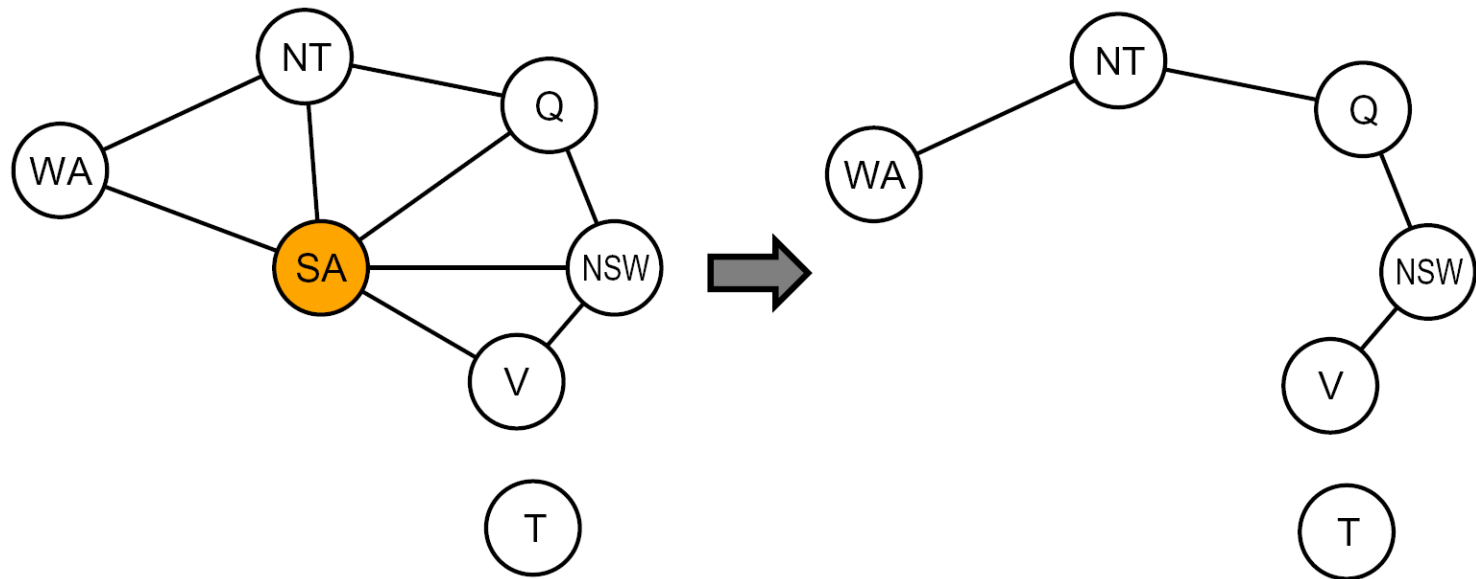
2. For  $i = n : 2$ , **Make-Arc-Consistent(Parent( $X_i$ ),  $X_i$ )**
3. For  $i = 1 : n$ , **assign  $X_i$  consistently with Parent( $X_i$ )**

□ Runtime:  $O(n d^2)$

□ **Make\_Arc\_Consistent( $X, Y$ )**的功能是：通过最少地删除 $X$ 的值域中的值，使得 $X$ 对于 $Y$ 是弧相容的。

If the graph is not a tree:

# Nearly Tree-Structured CSPs {6.5}



- Conditioning: instantiate a variable, prune its neighbors' domains
- Cutset conditioning: instantiate a set of variables such that the remaining constraint graph is a tree

(Finding the minimum cutset is NP-complete.)



# Summary: backtracking {}

- Basic solution: DFS / backtracking / heuristic
- Forward checking:
  - Pre-filter unassigned domains after every assignment
  - Only remove values which **conflict with current assignments**
- Arc consistency
  - We only defined it for binary CSPs
  - **Check for impossible values on all pairs of variables, prune them**
  - **Run (or not) after each assignment**
  - A pre-filter, not search!

---

# **CSP AS A LOCAL SEARCH**

# local search for CSP

- 局部搜索算法（见第4.1节）对求解许多CSP都是很有有效的。它们使用完整状态的形式化：初始状态是给每个变量都赋一个值，搜索过程是一次改变一个变量的取值。

# CSP Summary {6.6}

- ❑ CSPs are a special kind of search problem:
  - States defined by values of a fixed set of variables
  - Goal test defined by constraints on variable values
- ❑ Backtracking = depth-first search with one legal variable assigned per node
- ❑ Variable ordering and value selection heuristics help significantly
- ❑ Forward checking prevents assignments that guarantee later failure
- ❑ Constraint propagation (e.g., arc consistency) does additional work to constrain values and detect inconsistencies
- ❑ problem structure
- ❑ local search can solve CSP

# summary

- 1 何谓CSP：求解n-queens、CSP形式化、约束图、变量与约束类型
- 2 约束传播与局部相容性(结点相容、弧相容、路径相容)
- 3 CSP形式化为一个搜索问题（回溯法）
- 4 提高搜索效率（变量顺序、值的顺序、提前检查失败、利用树形结构）
- 5 局部搜索可求解CSP

# Exercise1: Varieties of Constraints

---

[5.11] Show how a single ternary constraint such as " $A+B=C$ " can be turned into three binary constraints by using an **auxiliary variable**. You may assume finite domains. Next, show how constraints with more than 3 variables can be treated similarly. Finally, show how unary constraint can be eliminated. This completes the demonstration that any CSP can be transformed into a CSP with only binary constraint.

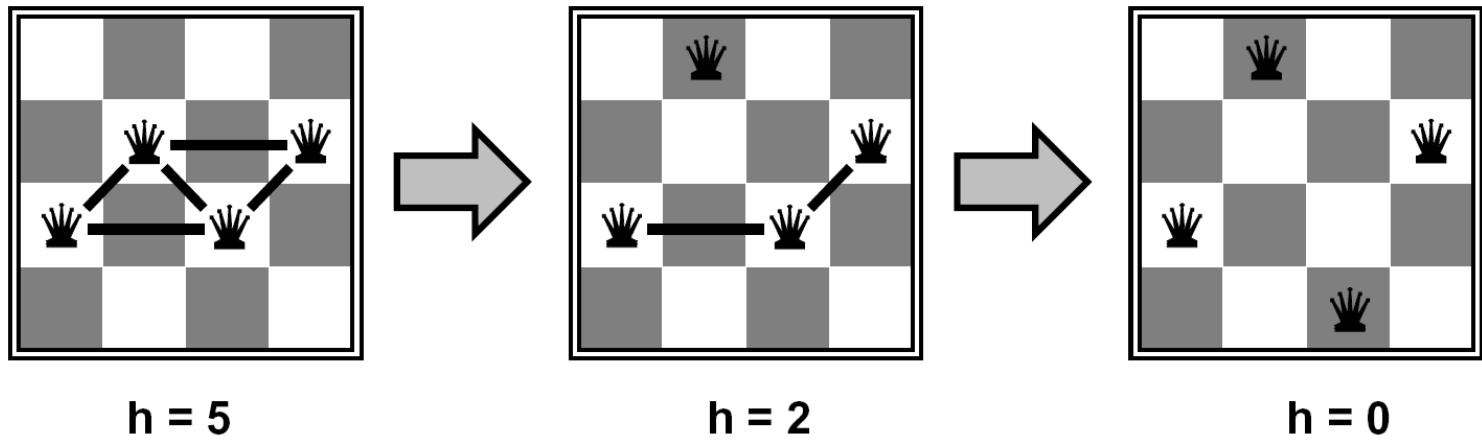
## Exercise2: Street Puzzle

□ **6.7** 考虑下述的逻辑问题：有5所不同颜色的房子，住着5个来自不同国家的人，每个人都喜欢一种不同牌子的糖果、不同牌子的饮料和不同的宠物。给定下列已知事实，请回答问题“斑马住在哪儿？哪所房子里的人喜欢喝水？”

1. 英国人(Englishman)住在红色(red)的房子里。
2. 西班牙人(Spaniard)养狗(dog)。
3. 挪威人(Norwegian)住在最左边的第一所房子里。
4. 绿(green)房子是象牙色(ivory)房子的右边邻居。
5. 喜欢抽Hershey牌巧克力的人住在养狐狸(fox)的人的旁边。
6. 住在黄色(yellow)房子里的人喜欢Kit Kats糖果。
7. 挪威人(Norwegian)住在蓝色(blue)房子旁边。
8. 喜欢Smarties糖果的人养了一只蜗牛(snail)。
9. 喜欢Snickers糖果的人喝桔汁(orange juice)。
10. 乌克兰人(Ukrainian)喝茶(tea)。
11. 日本人(Japanese)喜欢Milky Ways糖果。
12. 喜欢Kit Kats糖果的人住在养马(horse)人的隔壁。
13. 住在绿色(green)房子的人喜欢喝咖啡(Coffee)
14. 住在中间房子里的人喜欢喝牛奶(milk)。

□ 讨论把这个问题表示成CSP的不同方法。你认为哪种比较好，为什么？

# 4-Queens as a local search



- ❑ States: 4 queens in 4 columns ( $4^4 = 256$  states)
- ❑ Operators: move queen in column
- ❑ Goal test: no attacks
- ❑ Evaluation:  $h(n)$  = number of attacks



# N-Queens as a CSP

- Chessboard puzzle

e.g. when  $n = 8$ ...

- place 8 queens on a 8x8 chessboard so that no two attack each other

- Variable  $x_i$  for each column  $i$  of the board

- Domain =  $\{1, 2, 3 \dots, n\}$  for position in row

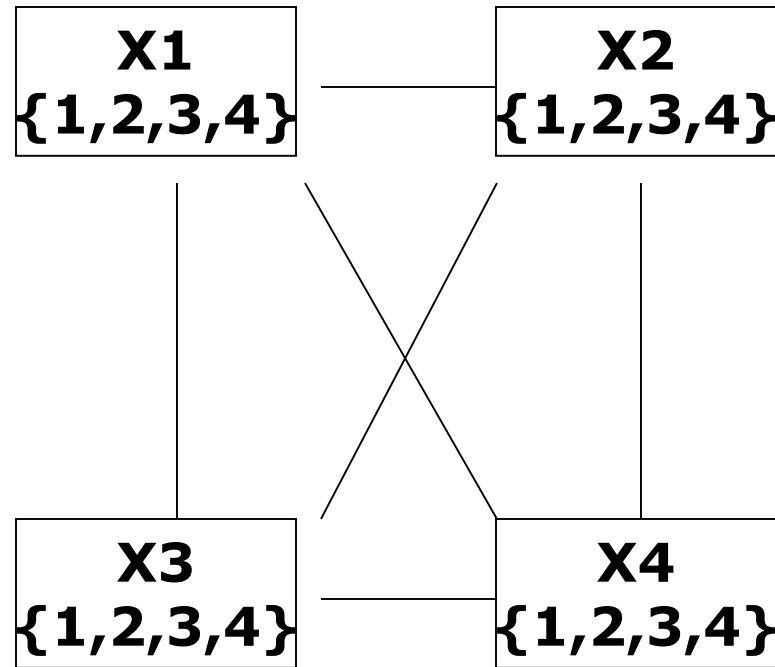
- Constraints are:

- $x_i \neq x_j$  queens not in same row
- $x_i - x_j \neq i - j$  queens not in same SE diagonal
- $x_j - x_i \neq i - j$  queens not in SW diagonal

# Example: 4-Queens Problem











假设考虑变量的次序是**X1,X2,X3,X4**，考虑值的次序是从小到大考虑，采用前向检验。画出回溯搜索树，搜索过程发生几次回溯？

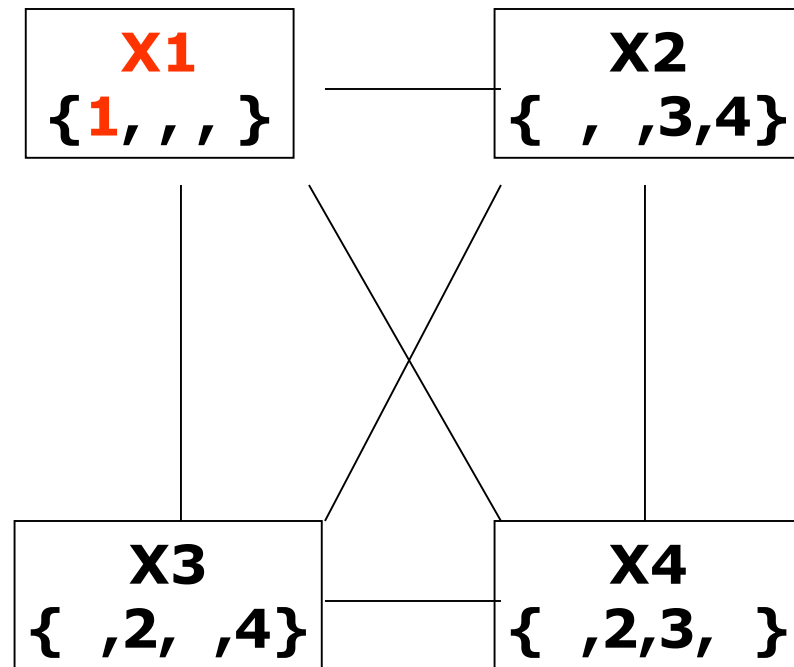
	1	2	3	4
1				
2				
3				
4				



*(From B.J. Dorr, U of Md, CMSC 421)*

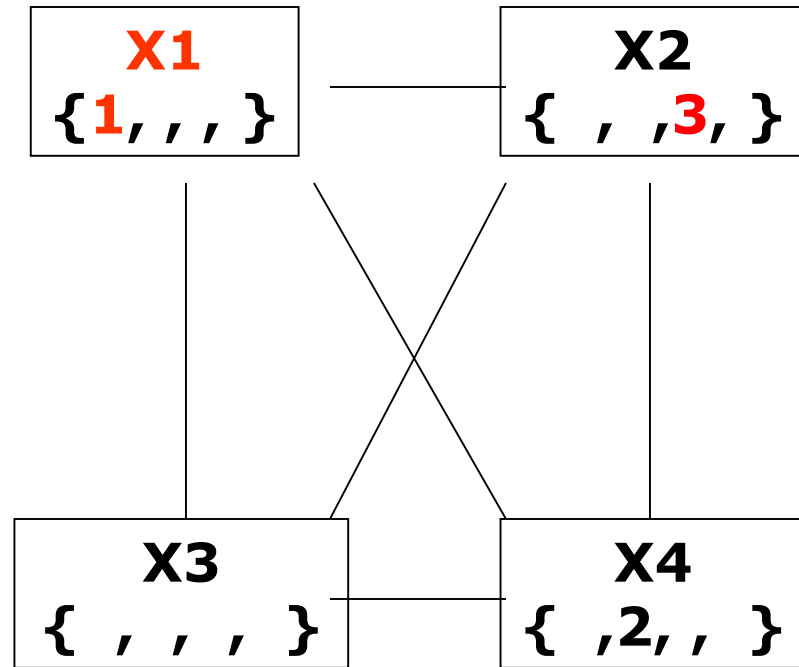
# Example: 4-Queens Problem

	1	2	3	4
1				
2				
3				
4				



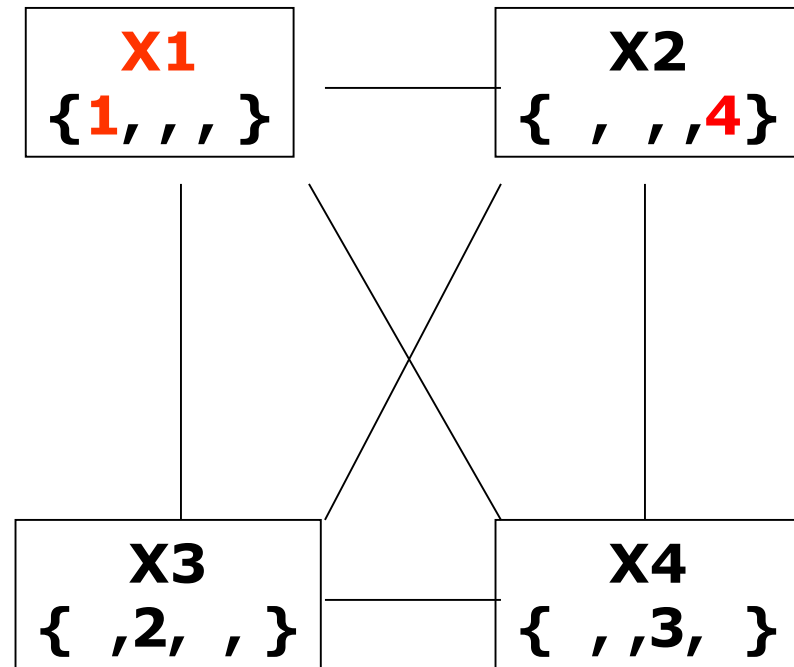
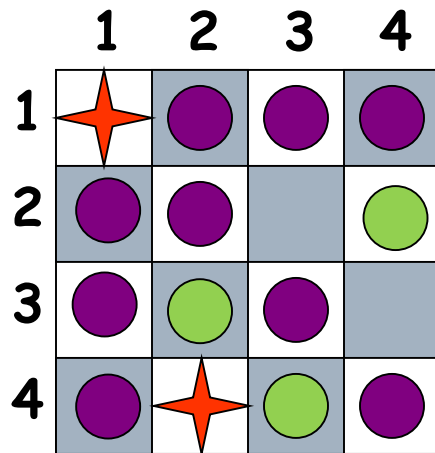
# Example: 4-Queens Problem

	1	2	3	4
1	★	●	●	●
2	●	●	●	
3	●	★	●	●
4	●	●	●	●

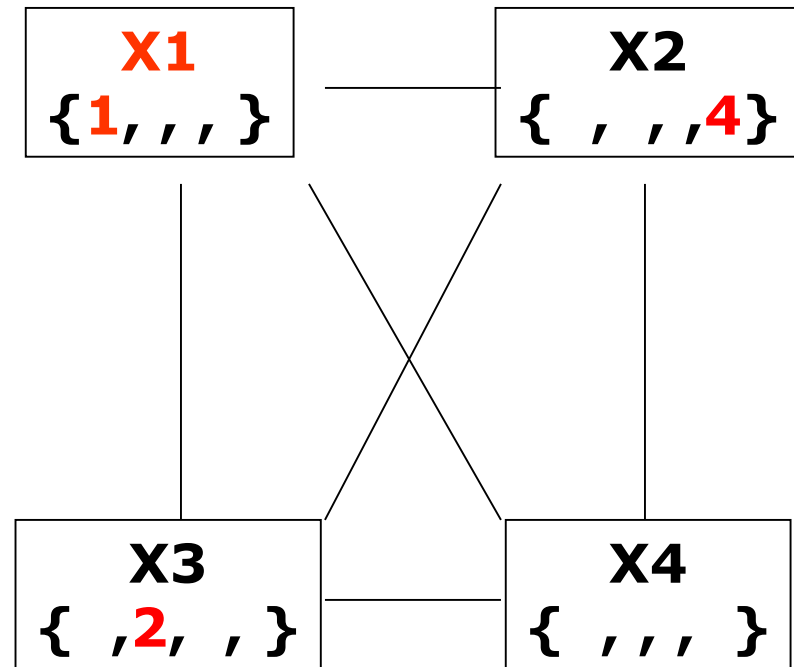
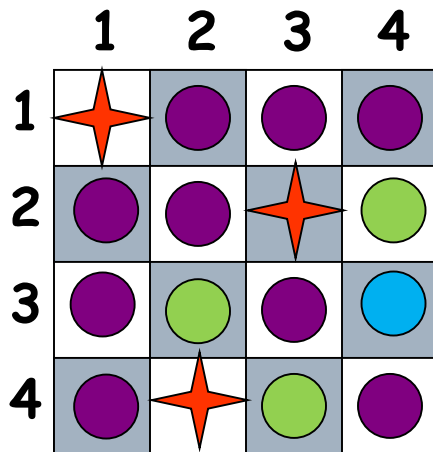


***Backtrack!!!***

# Example: 4-Queens Problem













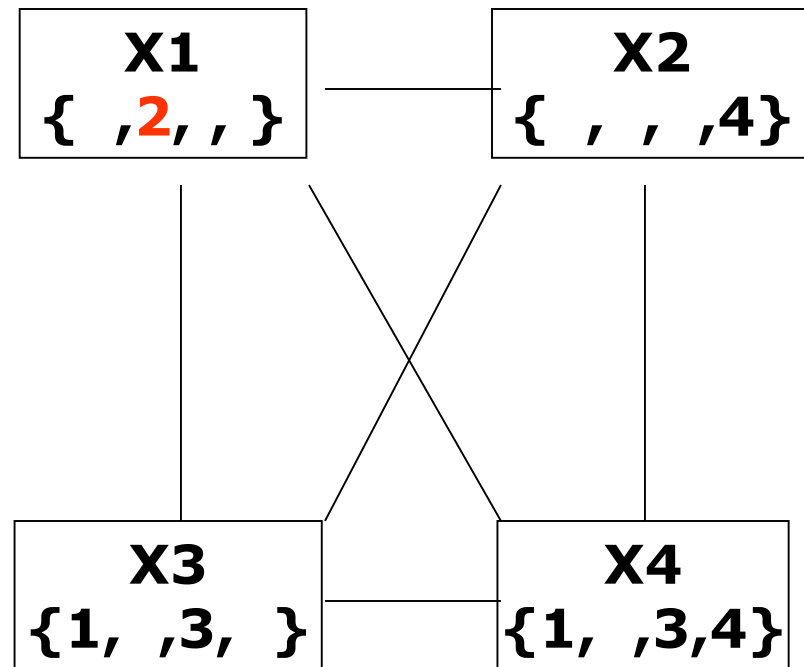
# Example: 4-Queens Problem
















***Backtrack!!!***

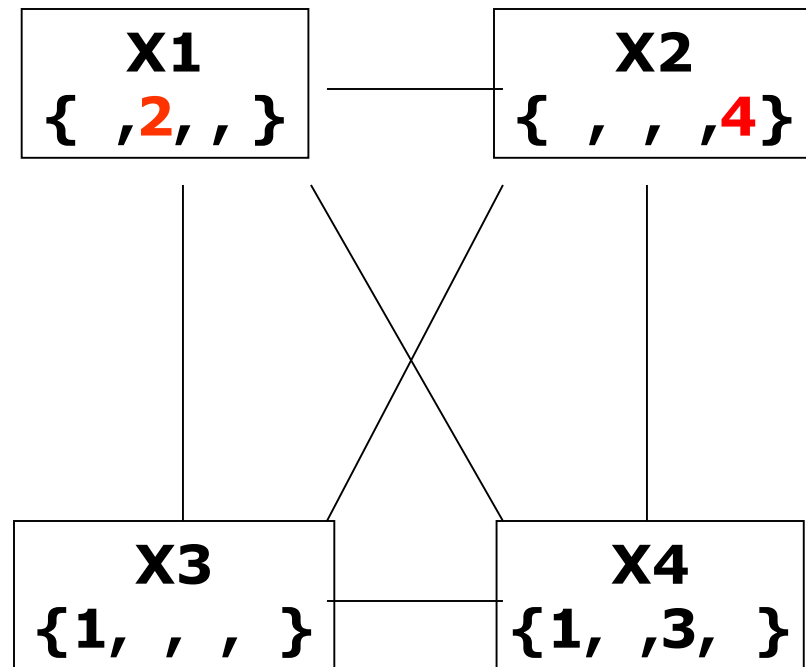
# Example: 4-Queens Problem

	1	2	3	4
1				
2				
3				
4				



















# Example: 4-Queens Problem

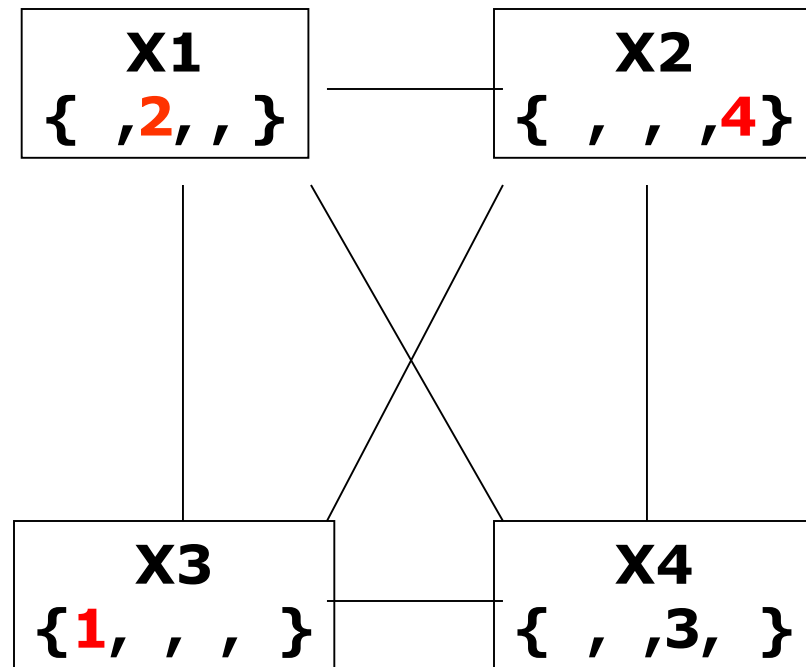
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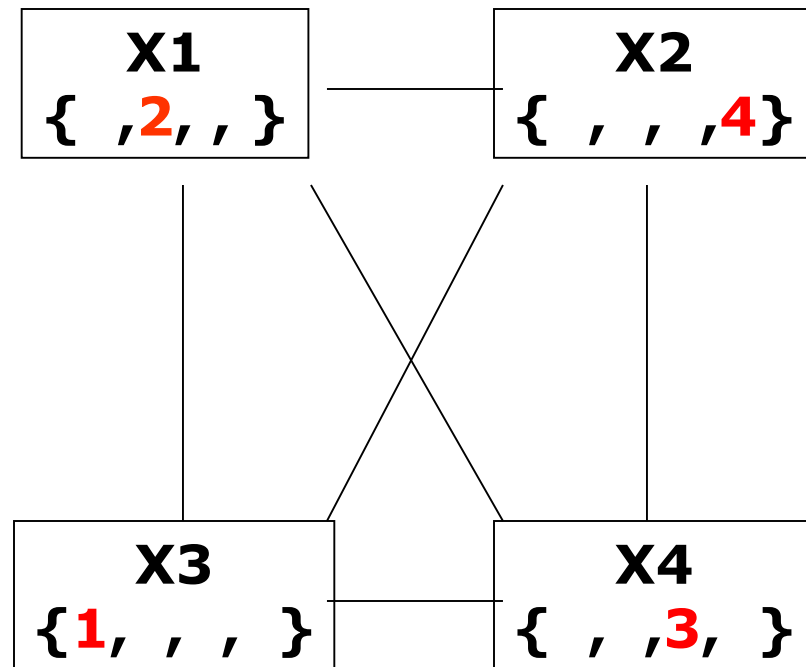
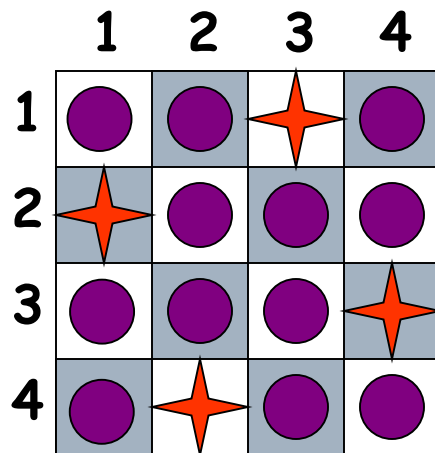


# Example: 4-Queens Problem

	1	2	3	4
1				
2				
3				
4				



# Example: 4-Queens Problem



# Boolean satisfiability problem (SAT)\*

- Given a Boolean expression, is it satisfiable?
- Very basic problem in computer science

$$p_1 \wedge (p_2 \rightarrow p_3) \wedge ((\neg p_1 \wedge \neg p_3) \rightarrow \neg p_2) \wedge (p_1 \vee p_3)$$

- Turns out you can always express in 3-CNF

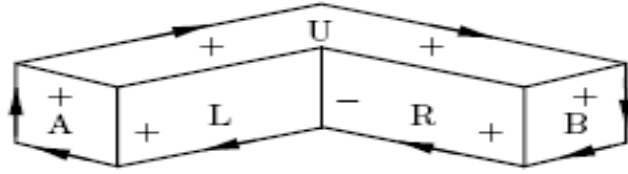
$$(p_1) \wedge (\neg p_2 \vee p_3) \wedge (p_1 \vee p_3 \vee \neg p_2) \wedge (p_1 \vee p_2 \vee p_3)$$

- 3-SAT: find a satisfying truth assignment

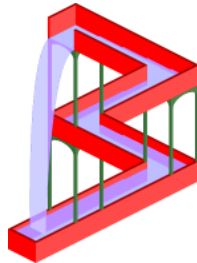
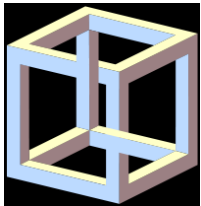
# Example: 3-SAT\*

- Variables:  $p_1, p_2, \dots, p_n$
- Domains:  $\{\text{true}, \text{false}\}$
- Constraints:

$$\left. \begin{array}{c} p_i \vee p_j \vee p_k \\ \neg p_{i'} \vee p_{j'} \vee p_{k'} \\ \vdots \\ p_{i''} \vee \neg p_{j''} \vee \neg p_{k''} \end{array} \right\} \begin{array}{l} \text{Implicitly} \\ \text{conjoined} \\ \text{(all clauses} \\ \text{must be} \\ \text{satisfied)} \end{array}$$



next  
chapter 7 logical agent



Thanks!