Chapter 8 First-order Logic

- 8.1 Representation revisited
- 8.2 Syntax and Semantics of FOL
- 8.3 Using FOL
- 8.4 Knowledge Engineering in FOL

一阶逻辑

对象,函数,关系 变元,常元,函词,谓词,量词,等词,连接词; 解释,模型;项,原子语句,复合语句

- 1. 对象、函数、关系
- 2. 模型、解释
- 3. 项、语句、量词、等词
- 4. 使用一阶逻辑

对象、函数、关系

review: Chapter 7

Logical agents apply inference to a knowledge base to derive new information and make decisions

- Basic concepts of logic
 - syntax: formal structure of sentences
 - semantics: truth of sentences wrt(关于) models

review: Chapter 7

- Propositional logic lacks expressive power
 - can't say "pits cause breezes in adjacent squares"
 - except by writing one sentence for each square

Wumpus world using propositional logic (8.1)

"pits cause breezes in adjacent squares" needs 16 PL sentences, such as $B_{2,1} \Leftrightarrow P_{1,1} \vee P_{2,2} \vee P_{3,1}$.

many distinct proposition symbols, many sentences

How does PL say these? {8.1}

- □In PL (Propositional Logic), in order to say
 - The adjacent squares of pit are breezy.
 - All students are smart.
 - Some students work hard.
 - Hardworking students have good marks.
 - If someone is someone else's grandfather, then someone else has a grandson. ...
- we have to _____
- ☐ With FOL (First-order Logic), we can say each of these situations with one sentence.

How does PL say these? {8.1}

- □In PL (Propositional Logic), in order to say
 - The adjacent squares of pit are breezy.
 - All students are smart.
 - Some students work hard.
 - Hardworking students have good marks.
 - If someone is someone else's grandfather, then someone else has a grandson. ...
- ☐ we have to enumerate ...

☐ With FOL (First-order Logic), we can say each of these situations with one sentence.

FOL: objects, relations, functions {8.1}

□First-order logic assumes the world contains Objects: people, numbers, games, wars, ... Relations: red, prime, bigger than, part of, ... Functions: father of, one's best friend, plus, ... "One plus two equals three" Objects: Relations: **Functions:** "Squares neighboring the Wumpus are smelly" Objects: Relations: **Functions:**

FOL: objects, relations, functions {8.1}

- □First-order logic assumes the world contains
 - Objects: people, numbers, games, wars, ...
 - Relations: red, prime, bigger than, part of, ...
 - Functions: father of, one's best friend, plus, ...
- "One plus two equals three"

Objects: one, two, three, one plus two

Relations: equals

Functions: plus

"Squares neighboring the Wumpus are smelly"

Objects: Wumpus, square

Relations: neighboring, smelly (properties)

Functions: --

模型、解释

review: models for PL {8.2.1}

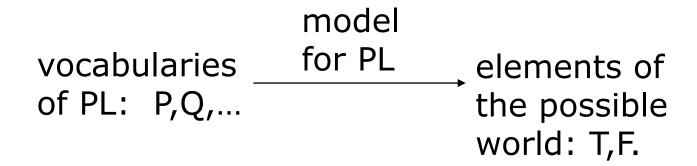
- ☐ Each model links the vocabulary of the logical sentences to elements of the possible world, so that the truth of any sentence can be determined.
- □ What are the vocabularies for PL sentences?
- □ What are the elements of the possible world for PL?
 - ____

review: models for PL {8.2.1}

- ☐ Each model links the vocabulary of the logical sentences to elements of the possible world, so that the truth of any sentence can be determined.
- What are the vocabularies for PL sentences?
 - Proposition symbols, such as P, Q, ...
- What are the elements of the possible world for PL?
 - truth values: T, F

models for FOL{8.2.1}

models for PL link proposition symbols to predefined truth values.



models for FOL{8.2.1}

- What are models for FOL?
 - what are the elements of the possible world?
 - what are the vocabularies of FOL sentences?
 - how to establish link between them?

models for FOL{8.2.1}

models for FOL

- have objects
- The domain of a model is the nonempty set of objects
- it doesn't matter what these objects are all that matters is how many there are in each particular model
- The objects in the model may be *related* in various ways. a relation is the set of tuples of objects that are related

symbols {8.2.2}

- □ Constants(个体常元)
 - **a**, b, 2, NUDT,...
- □ Variables(个体变元)
 - **X**, y,...
- □ Functions(函词)
 - Sqrt, LeftLegOf, f, g, h,...
- □ Predicates (谓词)
 - Brother, P, Q, R, ...

- □ Connectives(连接词)
 - \neg , \Rightarrow , \land , \lor , \Leftrightarrow
- □ Quantifiers(量词)
 - ∀,∃

symbols {8.2.2}

- ■there is a correspondence between
 - functions, which return values
 - predicates, which are true or false

Function: father_of(Mary) = Bill

Predicate: father_of(Mary, Bill)

- Model in FOL consists of a set of objects and an interpretation that maps
 - constant symbols → objects
 - predicate symbols → relations on objects
 - function symbols → functions on objects
 - An atomic sentence predicate(term₁,...,term_n) is true iff the objects referred to by term₁,...,term_n are in the relation referred to by predicate

□ Suppose there are two objects, a and b, in the domain. There is a relation mother={<a,b>}. How many possible models are there for FOL sentence mother(sucie, bob)?

□ 考虑某知识库只包括两条语句P(a)和P(b)。此知识库是否蕴涵 $\forall x P(x)$?

模型检验在一阶谓词逻辑中是否可行? 为什么?

如命题逻辑一样,蕴涵、有效性等都根据所有可能模型来定义。由于可能模型的数量是无限的,通过枚举所有可能模型以检验蕴涵在一阶逻辑中是不可行的。即使对象数量有限,各种组合的数量仍然可能非常大。图8.4,如果有6个或更少对象,语句有2个常量、1个二元关系,会有137,506,194,466个模型。

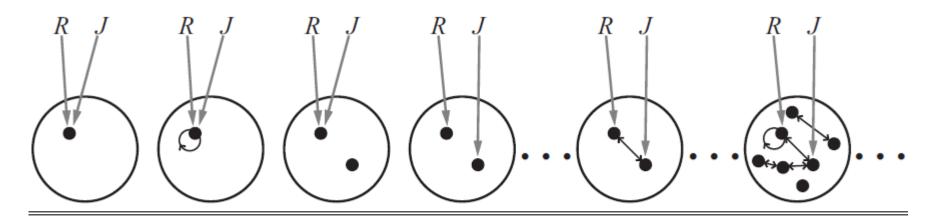


Figure 8.4 Some members of the set of all models for a language with two constant symbols, R and J, and one binary relation symbol. The interpretation of each constant symbol is shown by a gray arrow. Within each model, the related objects are connected by arrows.

项、语句、量词、等词

term, Sentences {8.2.3-5}

- □ Term (项)
 - Constant symbol, function symbol, or variable
 - \blacksquare At(x,CS): x is a variable, CS is a constant.
- □ atomic sentence (原子语句)
 - Predicate symbol with value true or false
 - Represents a relation between terms
 - \blacksquare At(x,CS) is an atom.
- □ complex sentence (复合语句)
 - Atom(s) joined together using logical connectives and/or quantifiers

- Expressing sentences about collections of objects without enumeration (naming individuals)
 - All Computer Science (CS) students are clever.
 - Someone in the class is sleeping.
- □ Universal quantification (for all): ∀
 - ∀<*variables*> <*sentence*>
- □ Existential quantification (there exists): ∃
 - ∃ <*variables*> <*sentence*>

- examples:
 - All Computer Science (CS) students are clever.

 - Someone in the class is sleeping.

examples :

- All Computer Science (CS) students are clever.
- \blacksquare \forall x (At(x,CS) \Rightarrow Smart(x))
- Someone in the class is sleeping.
- \blacksquare \exists x (Inclass(x) \land Sleeping(x))

Universal quantification {8.2.6}

- □ ∀<*variables*> <*sentence*>
 - Everyone at School of Computer Science is smart.
 - $\forall x (At(x, CS) \Rightarrow Smart(x)))$
- \square $\forall x P$ is true in a model m iff P is true with x being each possible object in the model
- □ Suppose the object set is {John, Micheal}, $\forall x (At(x, CS) \Rightarrow Smart(x))$ is equivalent to

Universal quantification {8.2.6}

- □ ∀<*variables*> <*sentence*>
 - Everyone at School of Computer Science is smart.
 - $\forall x (At(x, CS) \Rightarrow Smart(x)))$
- \square $\forall x P$ is true in a model m iff P is true with x being each possible object in the model

- Everyone at School of Computer Science is smart.
 - \blacksquare $\forall x (At(x,CS) \land Smart(x))$

correct?

- □ Someone at School of Physics is not smart.
 - $\exists x (At(x,Phy) \Rightarrow \neg Smart(x))$ correct?

- Everyone at School of Computer Science is smart.
 - $\forall x (At(x,CS) \land Smart(x))$

incorrect

- □ Someone at School of Physics is not smart.
 - $\exists x (At(x,Phy) \Rightarrow \neg Smart(x))$ incorrect

A common mistake to avoid {8.2.6}

- \square Typically, \Rightarrow is the main connective with \forall
- □ Common mistake: using ∧ as the main connective with ∀:
 - $\forall x (At(x,CS) \land Smart(x))$
 - means "Everyone is at CS and everyone is smart"
- \square Typically, \wedge is the main connective with \exists
- \square Common mistake: using \Rightarrow as the main connective with \exists .

Properties of quantifiers {8.2.6}

- \square $\forall x \forall y \text{ is the same as } \forall y \forall x$
- \square $\exists x \exists y \text{ is the same as } \exists y \exists x$
- \square $\exists x \forall y \text{ is the same as } \forall y \exists x$
 - \blacksquare \forall y \exists x Mother(x,y)
 - \blacksquare $\exists x \forall y Mother(x,y)$
- Quantifier duality: each can be expressed using the other
 - \blacksquare (\forall x)Likes(x, IceCream)
 - \blacksquare (\exists x)Likes(x, Cheese)

- correct?
- correct?
- correct?

Properties of quantifiers {8.2.6}

- \square $\forall x \forall y \text{ is the same as } \forall y \forall x$
- \square $\exists x \exists y \text{ is the same as } \exists y \exists x$
- \square $\exists x \forall y \text{ is } not \text{ the same as } \forall y \exists x$
 - \forall y \exists x Mother(x,y) Everyone has mother.
 - ∃x ∀y Mother(x,y) There is a person who is everyone's mother.
- Quantifier duality: each can be expressed using the other
 - \blacksquare (\forall x)Likes(x, IceCream) $\neg\exists$ x(\neg Likes(x, IceCream))
 - \blacksquare (\exists x)Likes(x, Cheese) $\neg \forall$ x(\neg Likes(x, Cheese))

equality {8.2.7}

- use the equality symbol to signify that two terms refer to the same object. For example, Father (John)=Henry
- To say that Richard has at least two brothers, we would write

□ The notation $x \neq y$ is sometimes used as an abbreviation for $\neg(x=y)$.

equality {8.2.7}

- use the equality symbol to signify that two terms refer to the same object. For example, Father (John)=Henry
- □ To say that Richard has at least two brothers, we would write
 ∃ x, y Brother (x,Richard) ∧ Brother (y,Richard) ∧¬(x=y)
- □ The notation $x \neq y$ is sometimes used as an abbreviation for $\neg(x=y)$.

equality {8.2.7}

- □ Brother(John, Richard) ∧Brother(Geoffrey, Richard)
- □ 能否表达 "Richard有两个兄弟John和 Geoffrey" ?

equality {8.2.7}

- □ Brother(John, Richard) ∧Brother(Geoffrey, Richard)
- □ 能否表达 "Richard有两个兄弟John和 Geoffrey" ?
- □ 正确翻译:
- □ Brother(John, Richard) ^Brother(Geoffrey, Richard) ^John \neq Geoffrey $\land \forall x$ Brother(x,Richard) \Rightarrow (x=John \lor x=Geoffre y).

□ 这(标准的一阶逻辑语义)比自然语言表述累 赘得多。在将知识翻译成一阶逻辑的时候直观 上也很容易出错。

□ 能否设计一种语义使得逻辑表达更直接呢?

an alternative semantics {8.2.8}

- □ 数据库语义
 - **关键字假设:**坚持每个常量符号指代一个确定对象
 - **封闭世界假设:**假设我们不知道的所有原子语句事实上都为假。
 - 论域闭包:每个模型只包括常量符号指代的对象。
- □ 数据库语义区分于标准的一阶逻辑语义
- □ Brother(John, Richard)
 ^Brother(Geoffrey, Richard) 表达了
 "Richard有两个兄弟John和Geoffrey"

使用一阶逻辑

Assertions and Queries in FOL

- □ TELL将语句添加到知识库
 - 例如, TELL(KB, King(John)).
- □ ASK向知识库询问问题。例如
 - 例如,ASK(KB, King(John))
- □ ASKVARS询问什么样的x使得语句为真
 - 例如, ASKVARS(KB, Person(x))

the kinship domain

□ 一元谓词: Male, Female

二元谓词: Parent、Sibling、Brother、
 Sister、Child、Daughter、Son、Spouse
 、Wife、Husband、Grandparent、
 Grandchild、Cousin、Aunt、Uncle

□ 函数: Mother、Father

有些公理是定义:

- □ 母亲(mother)是指女性(female)家长(parent):
- □ 丈夫(husband)则是指某人的男性(male)配偶 (spouse):

- □ 女性(female)和男性(male)是两个不相交的集合

有些公理是定义:

- □ 母亲(mother)是指女性(female)家长(parent):
 - \blacksquare $\forall m, c Mother(c)=m \Leftrightarrow Female(m) \land Parent(m, c)$
- 口 丈夫(husband)则是指某人的男性(male)配偶 (spouse):
 - $\blacksquare \forall w, h \; Husband(h, w) \Leftrightarrow Male(h) \land Spouse(h, w)$
- □ 女性(female)和男性(male)是两个不相交的集合
 - \blacksquare $\forall x \; Male(x) \Leftrightarrow \neg Female(x)$

- □ 家长和孩子是反关系:
- □ 祖父母(grandparent)是家长的家长:

- □ 同胞(sibling)是某人家长的另一个孩子:

- □ 家长和孩子是反关系:
 - \blacksquare $\forall p, c Parent(p, c) \Leftrightarrow Child(c, p)$

- □ 祖父母(grandparent)是家长的家长:
 - $\forall g, c \ Grandparent(g, c) \Leftrightarrow \exists p \ Parent(g, p) \land Parent(p, c)$
- □ 同胞(sibling)是某人家长的另一个孩子:
 - $\forall x, y \ Sibling(x, y) \Leftrightarrow x \neq y \land \exists p \ Parent(p, x) \land Parent(p, y)$
- □ ...

并非所有公理都是定义

- □ 有些谓词没有完整定义,是因为我们具备的知识还不足以完全刻划它们。例如,没有显而易见的方法来完成以下语句:
 - $\forall x \ Person(x) \Leftrightarrow ...$
- □ 一阶逻辑允许我们无需完整定义*Person*。反而 支持我们写出每个人都具有的属性或哪些属性 使其成为一个人:
 - $\forall x \ Person(x) \Rightarrow ...$
 - $\forall x ... \Rightarrow Person(x)$

公理还可以是"普通事实"

□ 如Male(Jim)和Spouse(Jim, Laura)。

□ 经常,人们发现期望的答案是得不到的——例如,从Male(George)和Spouse(George, Laura),希望能够推导出Female(Laura);但是这无法由先前已知的公理推导得到。这表明_____。

公理还可以是"普通事实"

□ 如Male(Jim)和Spouse(Jim, Laura)。

□ 经常,人们发现期望的答案是得不到的一一例如,从Male(George)和Spouse(George, Laura),希望能够推导出Female(Laura);但是这无法由先前已知的公理推导得到。这表明公理不充分。

不是所有关于论域的逻辑语句都是公理

- □ 有些是定理——它们通过公理推导而来。
- □ 例如,由公理 $\forall x, y \ Sibling(x, y) \Leftrightarrow x \neq y \land \exists p \ Parent(p, x) \land Parent(p, y)$ 可得到定理: $\forall x, y \ Sibling(x, y) \Leftrightarrow Sibling(y, x)$ 。

从纯逻辑的观点来看,知识库只需包括公理。从实用观点来看,定理可以降低生成新语句的计算成本。

{8.3}

I married a widow who had a grown-up daughter. My father, who visited us quite often, fell in love with my step-daughter and married her. Hence, my father became my son-in-law, and my step-daughter became my mother. Some months later, my wife gave birth to a son, who became the brother-in-law of my father as well as my uncle. The wife of my father, that is my stepdaughter, also had a son. Thereby, I got a brother and at the same time a grandson. My wife is my grandmother, since she is my mother's mother. Hence, I am my wife's husband and at the same time her step-grandson; in other words, I am my own grandfather.

□ objects? relations?

Example model {8.3}

- Objects: I, my father, widow, daughter
- □ Relation: sets of tuples of objects
 - marriage relation
 - parent relation
 - grandparent relation
- marriage relation:
 - {<I, widow>, <my father, daughter>}
 - then marriage(I, widow) is true
 - marriage(my father, widow) is false

natural numbers

natural numbers {8.3.3}

- natural numbers are recursively defined as
 - **■** *NatNum*(0)
 - $\blacksquare \forall n \ NatNum(n) \Rightarrow NatNum(S(n))$
- we need axioms to constrain the successor function
 - 1. $\forall n \ 0 \neq S(n)$
 - 2. $\forall n, m m \neq n \Rightarrow S(m) \neq S(n)$
- define addition in terms of the successor function
 - 3. $\forall m \ NatNum(m) \Rightarrow +(0, m) = m$
 - **4.** $\forall m, n \ NatNum(m) \land NatNum(n) \Rightarrow +(S(m), n) = S(+(m, n))$

natural numbers {8.3.3}

- □ 使用中缀表示法,且S(n)写成n+1,则
- □ $\forall m, n \ NatNum(m) \land NatNum(n) \Rightarrow +(S(m), n) = S(+(m, n))$ 变成:

□ 中缀表示法的使用是**含糖语法**的实例。含糖语法是标准语法的扩展或缩写,它不改变语句的语义。

natural numbers {8.3.3}

□ 一旦有了加法,就可以直接定义乘法为重复做加法、定义求幂为重复做乘法、定义整数除法和余数、质数等等。因此,整个数论(包括密码学)可以从一个常数()、一个函数()、一个谓词()和四条公理()开始建立。

sets

- 我们使用集合论的常用词汇形成含糖语法。
- □ 空集是常量 , 用{}表示。
- □ 一元谓词Set判断对象是否为集合。
- \Box 二元谓词为 $x \in s$ (x是集合s中的一个元素) 和 $s_1 \subseteq s_2$ (集合 s_1 是集合 s_2 的子集,不一定是 真子集)。
- 口 二元函词为 s_1 N s_2 (两个集合的交)、 s_1 U s_2 (两个集合的并)和 $\{x \mid s\}$ (把元素x添加到集合s而产生的集合)。

可能的公理:

□ 集合是空集或通过将一些元素添加到集合中而 构成。

可能的公理:

- 集合是空集或通过将一些元素添加到集合中而构成。
 - $\forall s \ Set(s) \Leftrightarrow (s = \{ \}) \lor (\exists x, s_2 \ Set(s_2) \land s = \{ x \mid s_2 \})$
- □ 空集中没有任何元素,即,*空集*无法再分解为 更小的集合和元素。

可能的公理:

- □ 集合是空集或通过将一些元素添加到集合中而 构成。
 - $\forall s \ Set(s) \Leftrightarrow (s = \{ \}) \lor (\exists x, s_2 \ Set(s_2) \land s = \{ x \mid s_2 \})$
- 空集中没有任何元素,即,空集无法再分解为 更小的集合和元素。
 - $\neg \exists x, s \{x \mid s\} = \{ \}$
- □ 将已经存在于集合中的元素添加到该集合中, 该集合无任何变化。

可能的公理:

- □ 集合是空集或通过将一些元素添加到集合中而 构成。
 - $\forall s \ Set(s) \Leftrightarrow (s = \{ \}) \lor (\exists x, s_2 \ Set(s_2) \land s = \{ x \mid s_2 \})$
- 空集中没有任何元素,即,空集无法再分解为 更小的集合和元素。
 - $\neg \exists x, s \{x \mid s\} = \{ \}$
- □ 将已经存在于集合中的元素添加到该集合中, 该集合无任何变化。

□ 一个集合的元素是被添加到该集合中去的。我们采用递归的方式来表示:x是集合s的元素,当且仅当s等于某个集合s₂添加了元素y,其中x与y相同或者x是s₂的元素。

- 口一个集合的元素是被添加到该集合中去的。我们采用递归的方式来表示:x是集合s的元素, 当且仅当s等于某个集合s₂添加了元素y,其中 x与y相同或者x是s₂的元素。
- 一个集合是另一个集合的子集,当且仅当第一个集合的所有元素都是第二个集合的元素。

- □ 一个集合的元素是被添加到该集合中去的。我们采用递归的方式来表示:*x*是集合*s*的元素,当且仅当*s*等于某个集合*s*₂添加了元素*y*,其中 *x*与*y*相同或者*x*是*s*₂的元素。
- □ 一个集合是另一个集合的子集,当且仅当第一个集合的所有元素都是第二个集合的元素。 $\forall S_1, S_2 S_1 \subseteq S_2 \Leftrightarrow (\forall X X \in S_1 \Rightarrow X \in S_2)$

□ 两个集合相等当且仅当它们互为子集。

□ 两个集合相等当且仅当它们互为子集。

$$\forall s_1, s_2 \ s_1 = s_2 \Leftrightarrow (s_1 \subseteq s_2 \land s_2 \subseteq s_1)$$

□ 一个对象属于两个集合的交集,当且仅当它同时是这两个集合中的元素。

□ 两个集合相等当且仅当它们互为子集。

$$\forall s_1, s_2 \ s_1 = s_2 \Leftrightarrow (s_1 \subseteq s_2 \land s_2 \subseteq s_1)$$

一个对象属于两个集合的交集,当且仅当它同时是这两个集合中的元素。

$$\forall X, S_1, S_2 \ X \in (S_1 \cap S_2) \Leftrightarrow (X \in S_1 \land X \in S_2)$$

□ 一个对象属于两个集合的并集,当且仅当它是 其中任一集合的元素。

□ 两个集合相等当且仅当它们互为子集。

$$\forall s_1, s_2 \ s_1 = s_2 \Leftrightarrow (s_1 \subseteq s_2 \land s_2 \subseteq s_1)$$

一个对象属于两个集合的交集,当且仅当它同时是这两个集合中的元素。

$$\forall X, S_1, S_2 \ X \in (S_1 \cap S_2) \Leftrightarrow (X \in S_1 \land X \in S_2)$$

□ 一个对象属于两个集合的并集,当且仅当它是 其中任一集合的元素。

$$\forall X, S_1, S_2 \ X \in (S_1 \cup S_2) \Leftrightarrow (X \in S_1 \vee X \in S_2)$$

lists

lists {8.3.3}

- □ **表**与集合相似,差别在于表中元素是有序的,同一个元素可在表中出现不止一次。
- □ 可以采用Lisp语言的词汇:
 - Nil是没有元素的表常量;
 - Cons、Append、First和Rest都是函词;
 - Find是谓词,在表中的功能与Member在集合中的类似。List?为谓词,判断对象是否为表。
 - 和集合一样,在涉及表的逻辑语句中也经常使用含糖语法。空表用[]表示。项Cons(x, y)写成 $[x \mid y]$,其中,y为非空表。项Cons(x, Nil)(即只包含元素x的表)用[x]表示。有多个元素的列表,诸如[A, B, C]相当于嵌套项Cons(A, Cons(B, Cons(C, Nil)))。

lists {8.3.3}

□ 以集合公理为例,写出表的公理,包括所提及的所有常量、函数和谓词

Wumpus world {8.3.4}

Percept([Stench,Breeze,Glitter,None,None],5) □ ∀t,s,g,m,c Percept([s,Breeze,g,m,c],t) \Rightarrow Breeze(t) $\square \forall x,y,a,b \ Adjacent([x,y],[a,b])$ $\Leftrightarrow [a,b] \in \{[x+1,y],[x-1,y],[x,y+1],[x,y-1]\}$ \square \forall s,t At(Agent, s, t) \land Breeze(t) \Rightarrow Breezy(s) \square \forall s Breezy(s) $\Leftrightarrow \exists$ r Adjacent(r,s) \land Pit(r) $\square \forall r \ Pit(r) \Rightarrow [\forall s \ Adjacent(r,s) \Rightarrow Breezy(s)]$

Knowledge engineering in FOL{8.4}

- □确定任务
- □ 搜集相关知识
- □确定词汇表,包括谓词、函词和常量
- □对领域通用知识编码
- □对特定问题实例描述编码
- □把查询提交给推理过程并获取答案
- □知识库调试

Higher-order logic

- □ First-order logic allows us to quantify over objects.
- □ Higher-order logic also allows quantification over relations and functions.
 - e.g., "two objects are equal iff all properties applied to them are equivalent":
 - $(\forall x,y) ((x=y) \Leftrightarrow (\forall p, p(x) \Leftrightarrow p(y)))$
- Higher-order logics are more expressive than first-order; we have little understanding on how to effectively reason with sentences in higher-order logic.

Summary

- ☐ First-order logic:
 - objects and relations are semantic primitives
 - syntax: constants, functions, predicates, equality, quantifiers
 - models, interpretation, quantifiers, equality

Increased expressive power: sufficient to express real-world problems

map of concept

 对象
 函数
 关系

 变元
 常元
 函词
 谓词
 量词
 等词
 连接词

 解释
 模型
 项
 原子语句
 复合语句

Thanks!

next:

Chapter 9 Inference in FOL