# **Recursion and Backtracking**

# 1. How Recursion Truly Works

At its heart, recursion is the process of a function calling itself to solve a smaller version of the same problem. To avoid an infinite loop, a recursive function must have two key parts:

- Base Case: This is the simplest possible version of the problem, the "stopping condition."
   When the function receives this simple case, it returns a result directly without calling itself again.
- 2. Recursive Step: This is the "work" part. The function breaks the current problem into a smaller, simpler piece and calls itself on that smaller piece. It then uses the result from that recursive call to solve the current problem.

Part	Meaning	
✓ Base Case	The condition to stop recursion (the simplest input)	
Recursive Case	The part where the function calls itself with a smaller input	

**Backtracking** is a specific, advanced form of recursion. It's a technique for finding solutions to a problem by exploring all possible paths. If a path leads to a dead end or an invalid solution, it "backtracks" (returns from the recursive call) to the previous decision point and tries a different option.

## 2. Visualizing with the Call Stack

The magic behind recursion is the call stack. Think of it as a stack of plates.

- When a function is called, its state (local variables, parameters) is placed on top of the stack like a new plate.
- If that function calls itself, another new "plate" for the new call is placed on top of the first one.
- When a function hits its base case and returns, its plate is removed from the top of the stack, and execution returns to the function below it.

This Last-In, First-Out (LIFO) process ensures that the function "unwinds" in the correct order, with each call finishing its work after the smaller calls it depended on have finished.

## **Example: Factorial of N**

# 3. How Recursion Works Internally (Stack)

Every recursive call is pushed onto the **call stack**. When a base case is reached, functions start **returning** (unwinding the stack).

#### Think Like a Stack:

```
factorial(3)

→ 3 * factorial(2)

→ 2 * factorial(1)

→ 1 * factorial(0)

→ returns 1

→ returns 2→
returns 6
```

LIFO (Last In, First Out): Last function called is the first to complete.

#### 4. Infinite Recursion & Stack Overflow

⚠ If there's **no base case** or it's not reachable, the function keeps calling itself → causes a **StackOverflowError** in Java!

```
int recurse(int n) {
   return recurse(n - 1); // X No base case}
```

#### 5. Recursive Mindset

Ask yourself:

- Can I divide this problem into smaller parts?
- What's the simplest version of this problem (base case)?
- Is the recursive call moving toward the base case?

## 6. Try This Exercise (Dry Run on Paper)

**Print numbers from N to 1 using recursion:** 

Try for n = 3.  $\bigwedge$  Trace on paper:

```
printNto1(3)
    print 3
    print 2
    print 1
    return
```

# **Backtracking & Recursive Search**

**Goal:** Learn how to try all possibilities, backtrack when needed, and build solutions step-by-step.

This is the core of problems like N-Queens, Sudoku Solver, and Combinations.

# What is Backtracking?

```
"Try \rightarrow Check \rightarrow Backtrack \rightarrow Try Next"
```

You explore possible solutions using recursion, **undo** the step (backtrack) when the current path fails, and **try other paths**.

Classic structure:

```
void backtrack(...) {
  if (solution found) return;

for (choices) {
    make choice
    backtrack
    undo choice //  backtrack step
}}
```

# **Core Problems to Master Backtracking**

## 1. Subsets (Power Set)

```
Input: [1, 2, 3]
Output: [[], [1], [2], [1,2], [3], [1,3], [2,3], [1,2,3]]
```

## 2. Subset Sum (Find all subset sums)

```
void subsetSums(int[] arr, int i, int sum, List<Integer> result) {
   if (i == arr.length) {
      result.add(sum);
      return;
   }
   subsetSums(arr, i + 1, sum + arr[i], result); // include
   subsetSums(arr, i + 1, sum, result); // exclude
}
```

#### 3. Generate All Permutations

```
Input: [1,2,3]Output: [1,2,3], [1,3,2], [2,1,3]...
```

```
void permute(int[] nums, int index, List<List<Integer>> result) {
   if (index == nums.length) {
      List<Integer> perm = new ArrayList<>();
      for (int num : nums) perm.add(num);
      result.add(perm);
      return;
   }
   for (int i = index; i < nums.length; i++) {</pre>
```

```
swap(nums, index, i);
permute(nums, index + 1, result);
swap(nums, index, i); // backtrack
}}
```

## 4. Palindrome Partitioning

```
Input: "aab"

Output: [["a", "a", "b"], ["aa", "b"]]
```

```
void partition(String s, int start, List<String> path, List<List<String>>
result) {
    if (start == s.length()) {
        result.add(new ArrayList<>(path));
        return;
    }

    for (int end = start; end < s.length(); end++) {
        String substr = s.substring(start, end + 1);
        if (isPalindrome(substr)) {
            path.add(substr);
            partition(s, end + 1, path, result);
            path.remove(path.size() - 1);
        }
    }
}</pre>
```

## **Other Challenges**

- N-Queens Problem
- Sudoku Solver
- Word Search
- Combination Sum
- Rat in a Maze

#### **Other Patterns**

#### **Tree Recursion Patterns**

Pattern 1: DFS Traversals (Preorder, Inorder, Postorder)

```
// Inorder: Left > Node > Right
void inorder(TreeNode root) {
    if (root == null) return;         inorder(root.left);
    System.out.print(root.val + " ");
    inorder(root.right);
}
```

```
    Preorder → Node → Left → Right
    Postorder → Left → Right → Node
```

## Pattern 2: Find Height (Max Depth)

```
int height(TreeNode root) {
   if (root == null) return 0;
   return 1 + Math.max(height(root.left), height(root.right));
}
```

- 🖈 Pattern: **Bottom-up recursion** 💡 Key: Return value bubbles up from the children.
- Pattern 3: Count Paths / Path Sum / Root-to-Leaf

```
boolean hasPathSum(TreeNode root, int target) {
   if (root == null) return false;
   if (root.left == null && root.right == null) return root.val == target;
   return hasPathSum(root.left, target - root.val) ||
        hasPathSum(root.right, target - root.val);
}
```

- ★ Pattern: Top-down decision making, no return value building (in simpler form).
- Pattern 4: Lowest Common Ancestor (LCA)

```
TreeNode lca(TreeNode root, TreeNode p, TreeNode q) {
   if (root == null || root == p || root == q) return root;

   TreeNode left = lca(root.left, p, q);
   TreeNode right = lca(root.right, p, q);

if (left != null && right != null) return root; // current is LCA return left != null ? left : right;}
```

Divide and conquer with return values.

#### Linked List Recursion Patterns\*\*

Pattern 1: Reverse a Linked List Recursively

```
ListNode reverse(ListNode head) {
   if (head == null || head.next == null) return head;
   ListNode newHead = reverse(head.next);
   head.next.next = head;
   head.next = null;
   return newHead;}
```

Pattern 2: Find Mid Node (Helper for Rec Merge Sort)

```
ListNode findMid(ListNode head) {
   ListNode slow = head, fast = head;
   while (fast != null && fast.next != null && fast.next.next != null) {
        slow = slow.next;
        fast = fast.next.next;
      }
   return slow;
}
```

- ★ Used in Divide & Conquer patterns.
- Pattern 3: Merge Two Sorted Linked Lists (Recursively)

```
ListNode merge(ListNode l1, ListNode l2) {
   if (l1 == null) return l2;

   if (l2 == null) return l1;

   if (l1.val < l2.val) {
       l1.next = merge(l1.next, l2);
       return l1;
   } else {
       l2.next = merge(l1, l2.next);
       return l2;
   }
}</pre>
```

- You can use this as part of Merge Sort too.
- Pattern 4: Check Palindrome (Recursive Two Pointer)

```
ListNode frontPointer;

boolean isPalindrome(ListNode head) {
    frontPointer = head;
    return recursivelyCheck(head);
}

boolean recursivelyCheck(ListNode current) {
    if (current == null) return true;
    if (!recursivelyCheck(current.next)) return false;

    boolean isEqual = (current.val == frontPointer.val);
    frontPointer = frontPointer.next;

    return isEqual;}
```

Classic recursive technique using stack-like behavior.

# **Templates**

## Template 1: Subsequence Pattern

Used when you need to include/exclude elements — classic recursion intro!

#### **Core Structure:**

```
void solve(int index, List<Integer> current, int[] arr) {
   if (index == arr.length) {
       System.out.println(current);
       return;
   }
   current.add(arr[index]); // Pick the element
   solve(index + 1, current, arr);
   current.remove(current.size() - 1); // Backtrack
   solve(index + 1, current, arr); // Do NOT pick the element
}
```

#### **Used In:**

- All Subsequences
- Subset Sums
- Count number of subsequences with sum k
- Can be converted into DP with memoization later

# Template 2: Pick / Not Pick with Return Type (Functional Style)

Return-type version of subsequence for problems like:

"Return all subsequences with sum X", "Return subsets of a string", etc.

#### **Core Structure:**

```
List<List<Integer>> solve(int index, int[] arr, List<Integer> current) {
    if (index == arr.length) {
        List<List<Integer>> base = new ArrayList<>();
        base.add(new ArrayList<>(current));
        return base;
}

current.add(arr[index]); // Pick

List<List<Integer>> left = solve(index + 1, arr, current);

current.remove(current.size() - 1);

List<List<Integer>> right = solve(index + 1, arr, current); // Not Pick

left.addAll(right); // Merge results

return left;
}
```

#### **Used In:**

- Return all subsequences
- Power set

- Count/print/return variants
- Palindromic partitions (modified)

# Template 3: Tree Recursion (Backtracking Style)

The ultimate recursive tool: Used in permutations, N-Queens, Sudoku, etc.

#### **Core Structure:**

```
void solve(List<Integer> current, boolean[] used, int[] nums) {
    if (current.size() == nums.length) {
        System.out.println(current);
        return;
    }

    for (int i = 0; i < nums.length; i++) {
        if (used[i]) continue;
        current.add(nums[i]); // Choose
        used[i] = true;

        solve(current, used, nums); // Recurse
        current.remove(current.size() - 1); // Backtrack
        used[i] = false;
}</pre>
```

## **Used In:**

- Permutations
- Combination Sum
- N-Queens
- Sudoku
- Path Finding in grid/maze

# Key Pitfalls in Recursion and Backtracking

1. Missing or Incorrect Base Case

This is the most fundamental error in any recursive algorithm. The **base case** is the condition that stops the recursion. If it's missing, incorrect, or can never be reached, the function will call itself infinitely.

- Why it's a problem: This leads to a Stack Overflow Error, as the call stack grows
  indefinitely until the program runs out of memory and crashes.
- **Example**: A function to calculate a factorial that forgets to stop when n equals 0.

## 2. Forgetting to Backtrack (Corrupted State)

This is the signature mistake in backtracking problems. Backtracking involves making a choice, exploring its consequences recursively, and then **undoing that choice**.

- Why it's a problem: If you forget to undo your choice (e.g., remove a queen from a board, un-mark a cell as visited, remove an element from a subset), the state remains "dirty." All subsequent recursive calls at that level will operate on a corrupted state, leading to incorrect or missing solutions.
- **Example**: In a Sudoku solver, placing a 5 in a cell, finding it leads to a dead end, but forgetting to reset that cell to empty. The algorithm will then proceed as if the 5 was part of the original puzzle.

## 3. Creating Cycles or Redundant Computations

This is common in problems that traverse graphs, grids, or state spaces (like mazes).

- Why it's a problem: If you don't keep track of the nodes/states visited in the current path,
  the algorithm can enter an infinite loop by moving back and forth between two nodes. Even
  without a loop, it leads to massively redundant computations by exploring the same path
  multiple times.
- **Example**: In a maze pathfinding problem, moving from cell A to B, and then immediately allowing a recursive call to move from B back to A. This is prevented by a visited set or by marking the path on the grid itself.

# 4. Inefficient State Passing

This is a subtle but significant performance pitfall, especially in languages like C++.

- Why it's a problem: Passing large data structures (like a Sudoku board, a list of results, or the current subset) by value in each recursive call creates a full copy of that data structure every single time. This can be extremely slow and memory-intensive.
- **Example**: Passing a vector<int> for the current subset by value instead of **by reference**. The program will spend more time copying the vector than solving the actual problem.

## 5. Mishandling the Final Result

Many backtracking problems require you to find all valid solutions or the single best one. Managing how this result is stored can be tricky.

- Why it's a problem: If you use a single object to build a path (like a list of nodes) and add it to your final results list, you might be adding a *reference* to that object. When you later backtrack and modify that object, you inadvertently change the version you already saved in your results.
- **Example**: In the "All Paths" problem, adding the currentPath list to your results, but then continuing to modify currentPath through backtracking. The solution is to add a **deep copy** of currentPath to the results list.