## **CS 288: Machine Translation**

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#### 1 Introduction

Here are three different approaches to statistical word alignment, where for each French word, I output a corresponding English word that it most likely comes from. The EM algorithm is used to learn the various probabilities, and results are measured with AER and BLEU.

#### 2 Heuristic Aligner

As a heuristic aligner, I chose to score alignments using ratio of counts. Each French word is aligned to the highest scoring English word. Training size is set at 10,000. At first, I tried scoring  $S=c(e,f)/(c(e)\cdot c(f))$ , but this performed worse than the baseline aligner. Tweaking the formula to S=c(e,f)/(c(e)+c(f)), AER improved to 58.3and BLEU improved to 12.421. Scoring using normalized counts (count divided by total) drops performance to 58.5% AER and 12.208 BLEU, so this change was reverted.

Building this aligner with 10,000 sentences takes 1 second. Increasing training size to 100,000 decreases AER to 46.1%, increases time to 23 seconds, and BLEU to 17.270. Further increasing size to 200,000 decreases AER to 44%, and increases time to 2 minutes 52 seconds. Phrase table took too long to build with this data size so BLEU was not computed.

# 3 Model 1 Aligner

My first model 1 implementation uses 100 iterations of soft-EM. It uses a counter to store probabilities, and a new counter is created each iteration and is filled using probabilities calculated from values from the previous iteration. Null is handled by appending a null word to the beginning of each English sentence and ignoring null alignments in the output. It is not intersected, trains in 2m31s using 803M memory, and achieves AER of 38.3% and BLEU of 15.795 on the size 10,000 set.

Using an intersected model instead, where the model only predicts alignments that are found by aligners in both directions, precision increased from 0.56 to 0.84, while recall decreased from 0.73 to 0.63. This was expected as the intersected model predicts a sparser alignment. Interestingly, AER and BLEU both decreased to 27.4% and 13.2, respectively. This shows that improvements in AER do not always increase BLEU, perhaps due to the translation system not working as well using sparser alignments. The intersected model also doubles training time to 5m5s to train both models in both directions.

# 4 HMM Aligner

Then I experimented with aligning using HMM's, then running EM to learn the probabilities of both transitions and emissions. Transitions are simplified as a distance, assuming that probability of jumping a distance is independent of position. All transitions larger than a max of 10 are binned to 10, and a transition matrix is made and normalized for each sentence. P(f|e) is initialized to uniform, and transitions are initialized to a guess of transitions, with most of the weights on a jump distance of 1. For learning weights, probabilities and partial alignments are normalized per french word, giving sentences weight proportional to their length.

#### 4.1 Handling Nulls

At first I used a single null with a hardcoded probability of jumping to and from null of 0.2, but this did allowed paths in the HMM to jump large distances through null without penalty, so a null was used for each position, with a fixed probability of jumping to the null of the corresponding position, and learned transitions out of null. This actually performed badly, with AER of around 45%. Error analysis showed that words at the beginning of sentences had high null alpha values because they

have paid fewer null penalties, giving them higher alignments to null and causing errors. Adding null penalty to alphas of the initial state, tuning null probability, and penalizing null alignments all didn't fix the errors. Unfortunately, the final solution I chose was to train a model 1 aligner, then borrow its probabilities for null and fix the null probability in the HMM to 0 during training.

Since my HMM was intersected, it had very high precision, but somewhat lower recall. As a fix, during prediction, the alpha-beta values for nulls are summed and then discounted by a factor of 0.3 to reduce the number of nulls, as intersection introduces a fair number of nulls already, so the HMM should err on the side of outputting alignments instead of nulls.

### 4.2 Working with Underflow

The HMM ran into underflow problems during training with longer sentences, since each timestep alpha values would get smaller and eventually exceed that representable by a double. To deal with this, I took the simple approach of not using long sentences for training. This was fine as there were more than enough short sentences that I could not use them all anyway, and there was not a need to learn transitions for very large jumps since they are small enough to be approximated by the last buckets on the transitions. Additionally, the model 1 training does use all sentences.

#### 4.3 Results

All this worked fairly well, and after many experiments over the parameters, on training size 10,000 the aligner achieves an AER of 18.17%, recall of 0.71, and precision of 0.93, which is significantly better than model 1. However, not learning transitions and emissions of null values did harm its AER compared to the reference implementation. Doubling the number of iterations saw a small increase of 0.05% in AER, but also doubles training time so was reverted. BLEU was 16.825. Using a training size of 100,000 instead and cutting iteration count by half, AER drops significantly to 12.49, and training takes just under 14 minutes.

#### 4.4 Performance Optimizations

Most of the memory use was from the counter for P(f|e), especially since two copies had to be kept at any time for the previous and current iterations. Two optimizations were made here. First, due to the internal implementations, sometimes

the counter would store a key despite the value being zero, so a check was added to remove these keys. Second, since the number of french types and english types both fit inside 16 bits, a packed int was used as the key into this counter.

For normalization, a sum has to be computed before each value can be divided by it. To eliminate the addition pass to compute the sum, a data structure was used to track the sum of all elements inside as it updates, instead of computing at the end. This was applied to transition updates, transition matrices, as well as P(f|e) updates.

When computing alpha-betas, each French will need to compute alpha-betas for each English position. But since alpha-betas are discarded after each French for emission calculations, The alphabeta vector array was re-used without zero-ing or reallocation, saving some additional compute time.

I considered pruning transitions greater than a certain jump distance, but it turns out large jump distances do exist in the data and such an optimization would lower AER. While an even larger number would have been safe, these long sentences were not used for training.

#### 5 Final Results

HMM on size 10,000 training set:

Precision: 0.934 Recall: 0.715 AER: 18.2% BLEU: 16.825

Training time: 76 seconds