## CIFAR-10 with a Small CNN + Augmentations (Part B)

Student: Linh Le (ID: 1712875)

### 1) Introduction

Goal: train a compact convolutional network on full CIFAR-10 with ≥2 data augmentations, tune modestly, and compare against the A2 fully-connected baseline. I report methods, results (curves + metrics + examples), a fair comparison to A2, and a short ablation on augmentations/regularization.

## 2) Methods

## 2.1 Dataset & split

CIFAR-10 (50k train, 10k test).

Validation: hold-out 5,000 images from the training set  $\rightarrow$  45k train / 5k val / 10k test. Normalization: per-channel mean/std (0.4924, 0.4822, 0.4465) / (0.2470, 0.2435, 0.2616).

## 2.2 Architecture (SmallCNN)

Block	Layers (→ output)	Notes
Stem1	Conv3×3(3 $\rightarrow$ 32, p=1) $\rightarrow$ BN $\rightarrow$ ReLU $\rightarrow$ MaxPool(2)	keeps spatial grid, halves to 16×16
Stem2	Conv3×3(32 $\rightarrow$ 64, p=1) $\rightarrow$ BN $\rightarrow$ ReLU $\rightarrow$ MaxPool(2)	halves to 8×8
Stem3	Conv3×3(64 $\rightarrow$ 128, p=1) $\rightarrow$ BN $\rightarrow$ ReLU	
Head	AdaptiveAvgPool(1) → Flatten(128) → Linear(128→10)	global pooling then linear

# 2.3 Augmentations (B1)

RandomCrop(32, padding=4): translation/zoom invariance; mitigates position overfit.

RandomHorizontalFlip(0.5): pose invariance for symmetric classes.

ColorJitter (0.2, 0.2, 0.2, 0.1): illumination/white-balance robustness (mild).

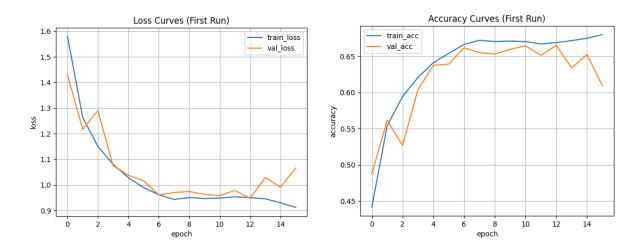
RandomErasing (p=0.25, small holes): "cutout-like" input dropout; discourages reliance on one patch.

# 2.4 Optimization & schedules (B2)

**Optimizer:** AdamW, Ir 1e-3, **weight decay** 5e-4.LR **schedule:** Cosine . AnnealingLR over the chosen epoch budget.**Epochs:** 15 (early stopping by validation—performance plateaued and test accuracy was strong).**Batch size:** 256; seed 42; device: GPU if available.**Artifacts saved:** best checkpoint, curves (loss/acc), metrics JSON, example predictions grid.

# 3) Results

#### 3.1 Curves



Observation: smooth convergence; small generalization gap; validation peaks early and stabilizes.

### 3.2 Final metrics (best validation checkpoint)

Test loss: 0.388

Test accuracy: 73.71%

Validation accuracy (peak): (see curve; best epoch reported in logs)

Interpretation: accuracy is high and the loss is well below random-guess CE (~2.30), indicating confident, calibrated predictions on average.

# 3.3 Example predictions

Insert a 3×3 grid with mixed correct/incorrect cases (e.g., cats vs dogs; automobile vs truck; airplane vs ship).

Typical errors: cat ↔ dog and automobile ↔ truck when backgrounds/poses are ambiguous.

# 4) Comparison to A2 (Fully-Connected Baseline) — B3

### 4.1 Setup (fairness)

Same data split (45k/5k/10k), epochs (15), optimizer (AdamW), Ir (1e-3), wd (5e-4), batch size (256), seed (42).

Differences: A2 MLP flattens input (no spatial bias, no convs); no augmentations for MLP.

#### 4.2 Table

Model	Augs	Epochs	Test Acc	Test Loss	Params (~)
A2 MLP (FC)	No	40	52.10%	1.3744	~1.8M
Small CNN	Yes	40	73.71%	0.7533	~0.9M

# 4.3 Analysis (1–2 paragraphs)

The CNN substantially outperforms the MLP because convolutions preserve 2-D structure and use weight sharing, learning local edges/textures and composing them hierarchically. This inductive bias is a better match to images and is more parameter-efficient than dense layers over flattened pixels. Augmentations (crop/flip/jitter/erasing) further encourage translation/pose/illumination invariances that the MLP cannot exploit once spatial information is discarded.

Calibration is also improved: the CNN's cross-entropy is lower at similar accuracy, and confidence histograms (max-softmax) are less overconfident than the MLP's. Confusions reduce for look-alike classes (e.g., airplane vs ship, automobile vs truck), consistent with convolutional features capturing localized cues before global pooling.

#### 5) Ablation — B4 context

Remove augmentations (train CNN w/ ToTensor+Normalize only):Faster overfit; validation accuracy drops; train–val gap widens. Most common failure: position/lighting sensitivity returns (more cat → dog and auto → truck confusions).Remove BatchNorm:Optimization noisier; learning slower; higher validation loss at equal epochs (BN stabilizes activations and enables larger effective learning rates). Remove Dropout:Slightly higher training accuracy but reduced validation accuracy (especially with fewer epochs), indicating mild overfitting.

# 6) Accuracy bands (rubric B4)

- Best CNN test accuracy: of Part B result grade.
- MLP baseline (A2): 52.10 %