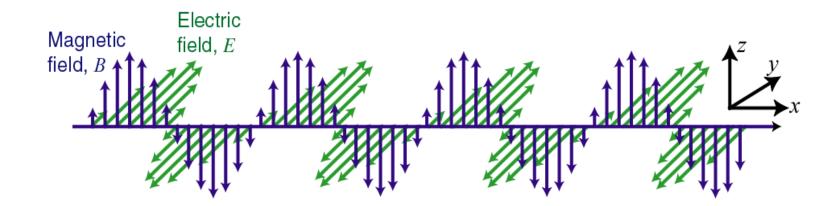


# C3 Light as EM Waves

- Transverse wave nature of light, intensity, energy flow.
- Polarization states, Jones matrix/vector.
- Absorption, dispersion, refractive index.
- Scattering of light by small particles.





Vector wave: the wavefunction is a vector. (e.g. EM wave)
Scalar wave: the wavefunction is a scalar. (e.g. Acoustic wave)

- Lightwaves are vector waves, but sometimes they are also treated as scalar waves. In these cases, it should be understood to be one specific component of the EM waves.
- For simplicity, we treat lightwaves as scalar waves when discussing **interference** and **diffraction**. Only when we are talking about phenomenon associated with polarized light, light is treated as a vector wave.



In the light-matter interaction, the electric field has a dominant effect. Therefore, a lightwave is represented by its **E**-field. The **E**-vector is called the **light vector**.

Interaction strength  $H' = \hbar g = \mu \cdot \mathbf{E}$  (dipole approximation)

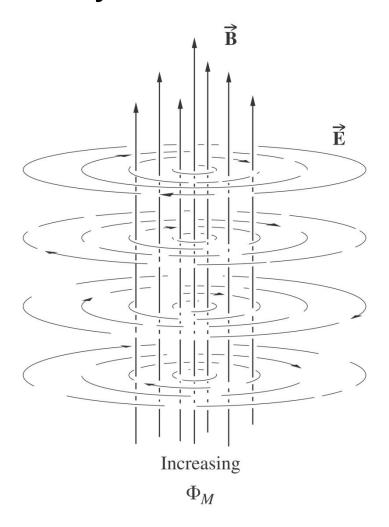
- Experiments have proven that for most light detection components such as photocells, photomultiplier tubes, photographic film, and photosynthesis, eye vision, etc., the response to light is mainly caused by the electric field in the electromagnetic wave.
- Only when a strong magnetic material (e.g., a ferromagnetic material) is studied, we have to consider the interaction of the magnetic component H with the material.

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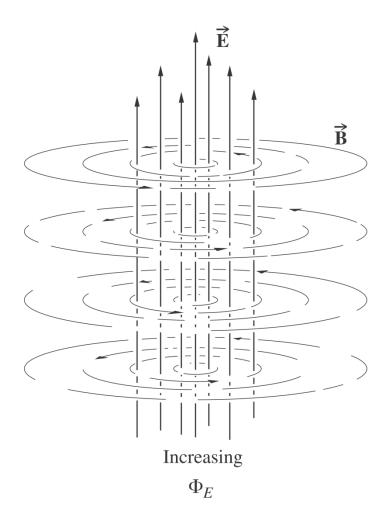


## § 3.1 Electromagnetic wave

### **Faraday's Induction Law**



### **Ampère's Circuital Law**





### **Maxwell's equations**

Maxwell's equations in vacuum (differential version)

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$$
 Faraday's Law

$$\nabla \times \mathbf{B} = \mu_0 \varepsilon_0 \frac{\partial \mathbf{E}}{\partial t}$$
 Ampère's Law

$$\nabla \cdot \mathbf{E} = 0$$
 Guass's Law for electric field

$$\nabla \cdot \mathbf{B} = 0$$
 Guass's Law for magnetic field

Except for a multiplicative scalar, the electric and magnetic fields appears in the equations with a **remarkable symmetry**. They **inseparably coupled** and **mutually sustaining**, propagate out into space as a single entity.



### **Wave equations**

In vacuum (free of charges and currents)

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t} \qquad \qquad \nabla \times \nabla \times \mathbf{E} = -\frac{\partial (\nabla \times \mathbf{B})}{\partial t} = -\mu_0 \varepsilon_0 \frac{\partial^2 \mathbf{E}}{\partial t^2}$$

$$\nabla \times \mathbf{B} = \mu_0 \varepsilon_0 \frac{\partial \mathbf{E}}{\partial t} \qquad \qquad \nabla \times \nabla \times \mathbf{E} = \nabla (\nabla \cdot \mathbf{E}) - \nabla^2 \mathbf{E} = -\nabla^2 \mathbf{E}$$

$$\nabla \cdot \mathbf{E} = 0$$

$$\nabla \cdot \mathbf{B} = 0$$

$$\nabla^2 \mathbf{E} = \mu_0 \varepsilon_0 \frac{\partial^2 \mathbf{E}}{\partial t^2}$$

$$\nabla^2 \mathbf{B} = \mu_0 \varepsilon_0 \frac{\partial^2 \mathbf{E}}{\partial t^2}$$

This is the wave equations in free space, again, symmetric.



For a plane light wave, if we choose the coordinate such that

$$\mathbf{E} = \mathbf{E}_0 e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})} = \mathbf{E}_0 e^{i(k_x x + k_y y + k_z z)} e^{-i\omega t}$$

According to the Maxwell equation:  $\nabla \cdot \mathbf{E} = 0$ 

$$\mathbf{\nabla \cdot E} = \frac{\partial E_x}{\partial x} + \frac{\partial E_y}{\partial y} + \frac{\partial E_z}{\partial z}$$

$$\frac{\partial E_x}{\partial x} = ik_x E_x, \quad \frac{\partial E_y}{\partial y} = ik_y E_y, \quad \frac{\partial E_z}{\partial z} = ik_z E_z$$

Similarly, we have  $\mathbf{k} \cdot \mathbf{H} = 0$ 

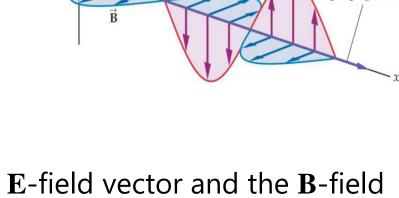


In **isotropic** media, since  $\mathbf{D}//\mathbf{E}$ , then

$$\mathbf{k} \cdot \mathbf{E} = 0 \quad \Longrightarrow \quad \mathbf{k} \cdot \mathbf{D} = 0$$

In isotropic non-ferromagnetic media, **B**//**H**, then

$$\mathbf{k} \cdot \mathbf{H} = 0$$
  $\Rightarrow$   $\mathbf{k} \cdot \mathbf{B} = 0$ 



- These relations show that the E-field vector and the B-field vector of the plane wave are perpendicular to the wave vector (the wavefront normal).
- So, plane waves are transverse electromagnetic waves.

Direction of propagation

Substituting plane wave expressions into Maxwell's Equations,

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t} \\
\mathbf{B} = \mu \mathbf{H}$$

$$\Rightarrow \quad \nabla \times \mathbf{E} = -\mu \frac{\partial \mathbf{H}}{\partial t} \\
\mathbf{H} = \mathbf{H}_0 \mathbf{e}^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})} \end{aligned}$$

$$\nabla \times \mathbf{E} = i\omega \mu \mathbf{H}_0 e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})} = i\omega \mu \mathbf{H}$$

$$\nabla \times (\varphi \mathbf{a}) = (\nabla \varphi) \times \mathbf{a} + \varphi \nabla \times \mathbf{a}$$

$$\mathbf{\nabla} \times \mathbf{E} = \nabla \times \left[ \mathbf{E}_0 e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})} \right]$$

$$= \left[ \nabla e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})} \right] \times \mathbf{E}_0 + e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})} \nabla \times \mathbf{E}_0$$

For plane monochromatic light waves:  $\nabla \times \mathbf{E}_0 = 0$ 



In addition: 
$$\nabla \varphi = \frac{\partial \varphi}{\partial x} \hat{x} + \frac{\partial \varphi}{\partial y} \hat{y} + \frac{\partial \varphi}{\partial z} \hat{z}$$

$$\nabla e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})} = \nabla e^{-i(\omega t - k_x x - k_y y - k_z z)}$$

$$= ik_x e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})} \hat{\mathbf{x}} + ik_y e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})} \hat{\mathbf{y}} + ik_z e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})} \hat{\mathbf{z}}$$

$$= i \left( k_x \hat{\mathbf{x}} + k_y \hat{\mathbf{y}} + k_z \hat{\mathbf{z}} \right) e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})} = i\mathbf{k}e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})}$$

$$\nabla \times \mathbf{E} = \left[ \nabla e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})} \right] \times \mathbf{E}_0 = i\mathbf{k}e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})} \times \mathbf{E}_0 = i\mathbf{k} \times \mathbf{E}$$

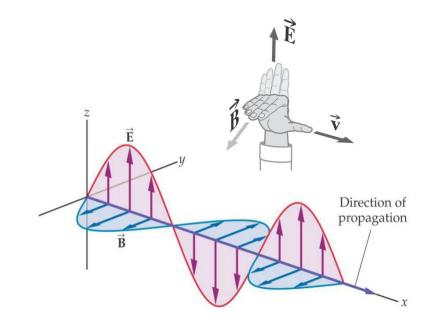


So, 
$$\nabla \times \mathbf{E} = i\omega \mu \mathbf{H}$$

$$\nabla \times \mathbf{E} = i\mathbf{k} \times \mathbf{E}$$

$$\implies \mathbf{H} = \frac{1}{\omega \mu} \mathbf{k} \times \mathbf{E}$$

$$\Rightarrow$$
 H  $\perp$  k, E



Apparently, E and B (H) are perpendicular to each other.
 The vectors E, B, and k obey the right-hand rule.

Use the following relation, we have

$$k = \frac{2\pi}{\lambda} = \frac{2\pi}{T} \cdot \frac{1}{v} = \frac{\omega}{c} n = \frac{\omega}{c} \sqrt{\mu_r \varepsilon_r} = \omega \sqrt{\mu \varepsilon}$$

$$\mathbf{H} = \frac{1}{\omega \mu} \mathbf{k} \times \mathbf{E} = \sqrt{\frac{\varepsilon}{\mu}} \hat{\mathbf{k}} \times \mathbf{E}$$
  $\hat{\mathbf{k}}$  is the unit vector.

■ The ratio between E and H is real and positive. So E and H are in phase.

$$\frac{\left|\mathbf{E}\right|}{\left|\mathbf{H}\right|} = \sqrt{\frac{\mu}{\varepsilon}} \quad \text{or} \quad \sqrt{\varepsilon_0 \varepsilon_r} \left|\mathbf{E}\right| = \sqrt{\mu_0 \mu_r} \left|\mathbf{H}\right|$$

$$Z_0 = \sqrt{\frac{\mu_0}{\varepsilon_0}} = 376.73\Omega$$

Impedance of vacuum

$$\frac{|\mathbf{E}|}{|\mathbf{B}|} = \sqrt{\frac{1}{\mu\varepsilon}} = v$$

 $\frac{|\mathbf{E}|}{|\mathbf{B}|} = \sqrt{\frac{1}{\mu\varepsilon}} = v$  The ratio of the **E**-field and the **B**-field is the speed of light.

### Summary of the properties of a plane EM wave

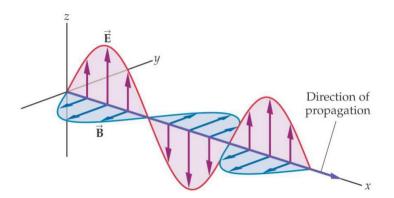
- $\mathbf{E}$  and  $\mathbf{H}$  are in phase:  $\mathbf{E} \times \mathbf{H} / / \mathbf{k}$
- The E is proportional to H:

$$\sqrt{\varepsilon_0 \varepsilon_r} \left| \mathbf{E} \right| = \sqrt{\mu_0 \mu_r} \left| \mathbf{H} \right|$$

Velocity :

$$\begin{cases} c = \frac{1}{\sqrt{\varepsilon_0 \mu_0}} = 2.997 \ 924 \ 58 \times 10^8 \ \text{m/s} \quad \text{(vacuum)} \\ v = \frac{1}{\sqrt{\varepsilon_0 \varepsilon_r \mu_0 \mu_r}} = \frac{c}{n} \quad \text{(medium)} \quad n = \sqrt{\varepsilon_r \mu_r} \end{cases}$$

For non-ferromagnetic material,  $\mu_r=1$  , so,  $n=\sqrt{arepsilon_r}$ 

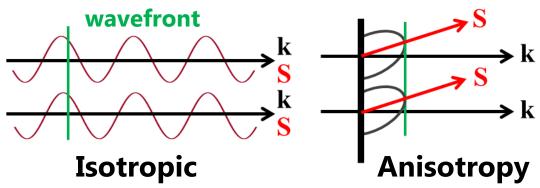


### **Poynting Vector**

The propagation of wave accompanies the transport of energy, descried by the Poynting vector (energy flux density):

$$S = E \times H$$

■ |S|: The power per unit area passing through an enclose surface in the direction perpendicular to the direction of energy flow.



Direction of energy flow (the propagation direction of light):
 In isotropic media, it is the same with the wavevector.
 In anisotropic media, it is usually different from the wavevector.



### **Poynting Vector**

• Energy flux density  $S = E \times H$ 

$$\mathbf{E} \perp \mathbf{H}$$
 and  $\sqrt{\varepsilon_0 \varepsilon_r} |\mathbf{E}| = \sqrt{\mu_0 \mu_r} |\mathbf{H}|$ 

So 
$$S = EH = \sqrt{\frac{\varepsilon_0 \varepsilon_r}{\mu_0 \mu_r}} E^2$$
  $\mu_r = 1$   $\mu_r$ 

For monochromatic plane wave

$$\mathbf{E} = \mathbf{E}_0 \cos(\omega t - \mathbf{k} \cdot \mathbf{r})$$

$$S = n \sqrt{\frac{\mathcal{E}_0}{\mu_0}} E_0^2 \cos^2(\omega t - \mathbf{k} \cdot \mathbf{r}) \qquad \text{(Instantaneous)}$$

- \*  $\nu > 10^{14} \, \text{Hz}$ , instruments cannot measure instantaneous values.
- $\therefore$  The actual measured intensity is the time-averaged energy flow density within the instrument's response time  $\tau$ .

### Intensity

Irradiance (辐照度), i.e., the timeaveraged of the magnitude of the Poynting vector)

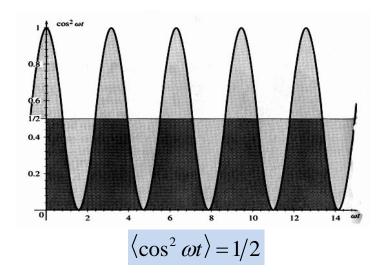
$$I = \langle S \rangle$$
 unit: W/m<sup>2</sup>

$$\langle S \rangle = \frac{1}{\tau} \int_0^{\tau} S dt$$
 periodic function

$$= \frac{1}{T} \int_0^T S dt \equiv \left\langle S \right\rangle_T \qquad \tau >> T$$

$$= \frac{1}{T} \int_{0}^{T} S dt = \langle S \rangle_{T} \qquad \tau >> T$$

$$\therefore \begin{cases} S = n \sqrt{\frac{\varepsilon_{0}}{\mu_{0}}} E_{0}^{2} \cos^{2} \left(\omega t - \mathbf{k} \cdot \mathbf{r}\right) \\ \langle \cos^{2} \left(\omega t - \mathbf{k} \cdot \mathbf{r}\right) \rangle_{T} = \frac{1}{2} \end{cases} \qquad \Rightarrow \begin{cases} I = \frac{1}{2} n \sqrt{\frac{\varepsilon_{0}}{\mu_{0}}} E_{0}^{2} \\ or \quad I = \frac{nc\varepsilon_{0}}{2} E_{0}^{2} \end{cases}$$



$$\begin{cases} I = \frac{1}{2} n \sqrt{\frac{\varepsilon_0}{\mu_0}} E_0^2 \\ or \ I = \frac{nc\varepsilon_0}{2} E_0^2 \end{cases}$$

The irradiance is proportional to the **square of the amplitude** of the **E**-field. Also called **Intensity**.



### Intensity

$$\langle \cos^2(\omega t - \mathbf{k} \cdot \mathbf{r}) \rangle_T = \frac{1}{2}$$

$$\frac{1}{T} \int_{0}^{T} \cos^{2}(\omega t - \mathbf{k} \cdot \mathbf{r}) dt$$

$$= \frac{1}{2T} \int_{0}^{T} \left[ 1 - \cos 2(\omega t - \mathbf{k} \cdot \mathbf{r}) \right] dt$$

$$= \frac{1}{2T} \left[ T - \frac{1}{2\omega} \sin 2 \left( \frac{2\pi}{T} t - \mathbf{k} \cdot \mathbf{r} \right) \right]_{0}^{T}$$

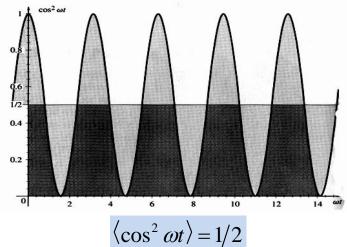
$$T^{J_0}$$

$$= \frac{1}{2T} \int_0^T \left[ 1 - \cos 2(\omega t - \mathbf{k} \cdot \mathbf{r}) \right] dt$$

$$= \frac{1}{2T} \left[ T - \frac{1}{2\omega} \sin 2\left(\frac{2\pi}{T} t - \mathbf{k} \cdot \mathbf{r}\right) \right]_0^T$$

$$= \frac{1}{2T} \left[ T - \frac{1}{2\omega} \left[ \sin\left(4\pi - 2\mathbf{k} \cdot \mathbf{r}\right) - \sin\left(-2\mathbf{k} \cdot \mathbf{r}\right) \right] \right]$$

$$= \frac{1}{2}$$





If the wave function is given in the complex representation

$$\mathbf{E} = \mathbf{E}_0 e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})}, \quad \mathbf{H} = \mathbf{H}_0 e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})}$$

Then  $S = Re(E) \times Re(H) \neq Re(E \times H)$ 

So, 
$$\langle \mathbf{S} \rangle = \frac{1}{2} \operatorname{Re} \left( \mathbf{E}^* \times \mathbf{H} \right) = \frac{1}{2} n \sqrt{\frac{\varepsilon_0}{\mu_0}} E_0^2 \mathbf{n} = \frac{1}{2} \sqrt{\frac{\varepsilon}{\mu}} E_0^2 \mathbf{n}$$
 Homework

- The response of the photodetector is proportional to the incident light intensity, so it can only get the amplitude.
   The information of the phase is lost.
- Using the interference, the phase information can be converted into light intensity. >> Holography



### § 3.2 The polarization states

- Polarization: The vibrational state of the light vector in a
   2D plane perpendicular to the propagation direction.
- Unpolarized light: Natural light
- Completely polarized light
- Partially polarized light: Mixture of polarized light and natural light.

According to the trajectory of the endpoint of the vector **E** at any point in space at different time, completely polarized light can be divided into:

- (1) **Linearly** polarized light;
- (2) **Circularly** polarized light;
- (3) **Elliptically** polarized light.

# -

If a lightwave propagates in the z direction, since  ${\bf E}$  is a vector :

$$\boldsymbol{E} = \hat{\boldsymbol{x}} E_{x} + \hat{\boldsymbol{y}} E_{y}$$

and 
$$E_x = E_{0x} \cos(\underline{\omega t - kz + \varphi_x}) = E_{0x} \cos(\varphi)$$
  
 $E_y = E_{0y} \cos(\omega t - kz + \varphi_y) = E_{0y} \cos(\varphi + \delta)$ 

$$\delta = \varphi_y - \varphi_x$$
 Initial phase difference for two vibration directions

To eliminate  $\varphi$ , we have

$$\cos(\varphi + \delta) = \cos\varphi\cos\delta - \sin\varphi\sin\delta$$

$$= \frac{E_x}{E_{0x}} \cos \delta - \sqrt{1 - \left(\frac{E_x}{E_{0x}}\right)^2} \sin \delta = \frac{E_y}{E_{0y}}$$



Rewrite

$$\frac{E_x}{E_{0x}}\cos\delta - \sqrt{1 - \left(\frac{E_x}{E_{0x}}\right)^2}\sin\delta = \frac{E_y}{E_{0y}}$$

into

$$\left(\frac{E_x}{E_{0x}}\right)^2 + \left(\frac{E_y}{E_{0y}}\right)^2 - 2\left(\frac{E_x}{E_{0x}}\right)\left(\frac{E_y}{E_{0y}}\right)\cos\delta = \sin^2\delta$$

In general, this binary quadratic equation represents an **ellipse**.

The phase difference  $\delta$  and the amplitude ratio  $E_{0y}/E_{0x}$  determines the orientation and the ellipticity of the ellipse, and thus determines the polarization states of light.

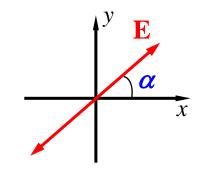


### **Linearly polarized light**

$$\left(\frac{E_x}{E_{0x}}\right)^2 + \left(\frac{E_y}{E_{0y}}\right)^2 - 2\left(\frac{E_x}{E_{0x}}\right)\left(\frac{E_y}{E_{0y}}\right)\cos\delta = \sin^2\delta$$

① When  $\delta = 2m\pi \ (m = 0, \pm 1, \pm 2, \cdots)$ 

$$\left(\frac{E_x}{E_{0x}} - \frac{E_y}{E_{0y}}\right)^2 = 0 \quad \Longrightarrow \quad \frac{E_x}{E_y} = \frac{E_{0x}}{E_{0y}} \equiv \cot \alpha$$



The elliptic equation degenerates into a linear equation. The light is called **linearly polarized light**. Electric field vector vibrates in the I and III quadrants.



### **Linearly polarized light**

$$\left(\frac{E_x}{E_{0x}}\right)^2 + \left(\frac{E_y}{E_{0y}}\right)^2 - 2\left(\frac{E_x}{E_{0x}}\right)\left(\frac{E_y}{E_{0y}}\right)\cos\delta = \sin^2\delta$$

② When  $\delta = (2m+1)\pi$ , it vibrations in the II, IV quadrants.

$$\frac{E_x}{E_y} = -\frac{E_{0x}}{E_{0y}}$$

The above two equations can be combined into

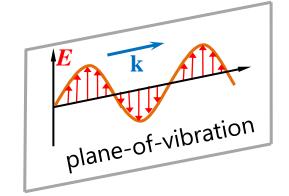
$$\frac{E_x}{E_y} = \frac{E_{0x}}{E_{0y}} e^{im'\pi}$$

When m' is **0 or even**, the direction of vibration is within quadrants I and III. When m' is **odd**, it is within quadrants II and IV.

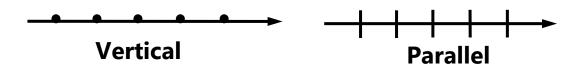


## **Linearly polarized light**

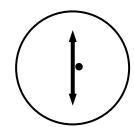
- The electric field E of a LP light at each point along the propagation direction resides in the same plane, known as the plane-of-vibration.
- The linearly polarized light is also called plane-polarized light.



LP light can be denoted by (propagating within the plane):



Propagating perpendicular to the plane:



### Elliptically polarized light

③ When 
$$\delta = (2m+1)\frac{\pi}{2}$$
  $(m = 0, \pm 1, \pm 2, \cdots)$ 

$$\frac{E_x^2}{E_{0x}^2} + \frac{E_y^2}{E_{0y}^2} = 1$$

 $\frac{E_x^2}{E_{0x}^2} + \frac{E_y^2}{E_{0x}^2} = 1$  Shows that the endpoint of the **E**-vector will rotate along an ellipse, known as elliptically polarized light.

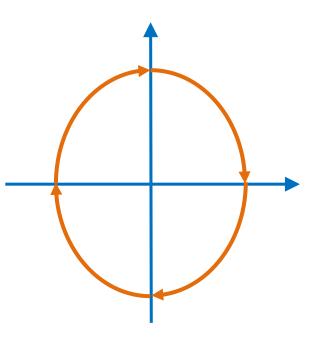
• If  $E_x$  is equal to  $E_y$ 

$$E_{0x} = E_{0y} = E_0$$

The ellipse degenerates into a circle.

$$E_x^2 + E_y^2 = E_0^2$$

>> circularly polarized light



### **Circularly polarized light**

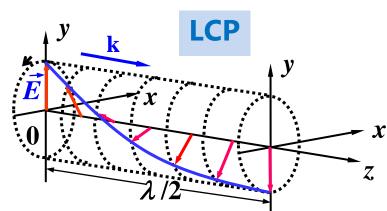
③ When 
$$\delta = \pi/2$$

$$E_x = E_{0x} \cos(\omega t)$$

$$E_{y} = E_{0y} \cos(\omega t + \frac{\pi}{2})$$

The movement in the y-axis leads that in x-axis, and the trajectory of the movement is clockwise. >>RCP

- The elliptically polarized light: the rotating light vector E, the direction of which is rotated at an angular velocity ω, and its instantaneous value also changes regularly, and the trajectory of its end is an ellipse.
- When  $\delta = -\frac{\pi}{2}$ , it is called **left-handed**.

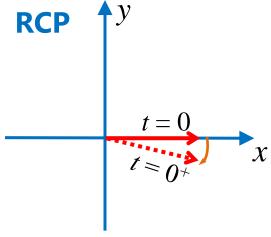


# Circularly polarized light

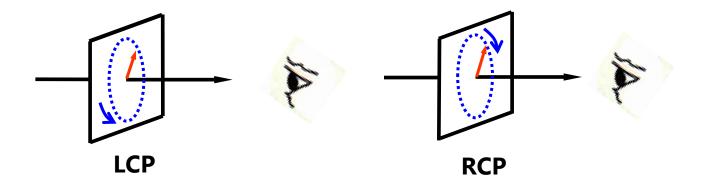
③ When  $\delta = \pi/2$ 

$$E_x = E_{0x} \cos(\omega t)$$

$$E_{y} = E_{0y} \cos(\omega t + \frac{\pi}{2})$$

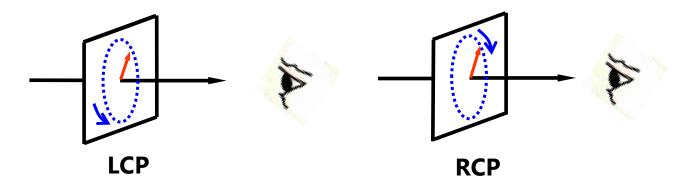


propagating in z





### **Circularly polarized light**



Looking down the propagation direction **toward** the origin.

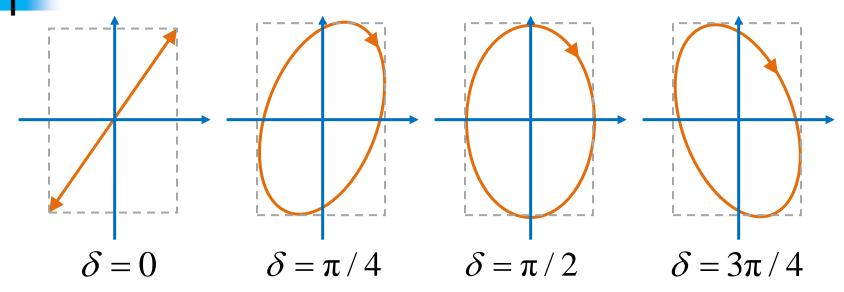
- R-handed polarization:
   Light vector rotates clockwise (left-hand spiral)
- L-handed polarization
   Light vector rotates counterclockwise (right hand spiral)

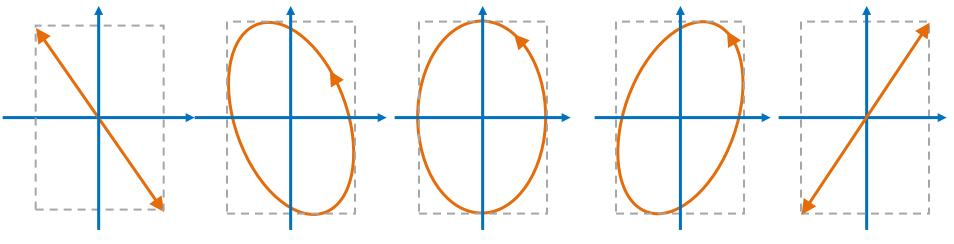
In the field of chemistry, people usually use the opposite definition, since it is convenient when looking at the chiral molecules.

 $\delta = \pi$ 

 $\delta = 5\pi/4$ 

# **Complete polarized light**





 $\delta = 3\pi/2$ 

 $\delta = 2\pi$ 

 $\delta = 7\pi/4$ 



### **Complete polarized light**

### In complex representation:

Linearly Polarized: 
$$\frac{E_x}{E_y} = \frac{E_{0x}}{E_{0y}} e^{im'\pi} = \pm \frac{E_{0x}}{E_{0y}}$$
  $m' = 0, \pm 1, \pm 2, \cdots$ 

Elliptically polarized light: 
$$\frac{E_x}{E_y} = \frac{E_{0x}}{E_{0y}} e^{\pm i\frac{\pi}{2}}$$

Circularly polarized light: 
$$\frac{E_x}{E_y} = e^{\pm i\frac{\pi}{2}} = \pm i$$

In the formula, the ± corresponds to right-handed (+) and left-handed (-) circularly polarized light, respectively.

### **Jones Vectors**

Write the two components of polarized light in a vector:

$$\mathbf{E} = \begin{bmatrix} E_{x}(t) \\ E_{y}(t) \end{bmatrix} = \begin{bmatrix} E_{0x}e^{i\varphi_{x}} \\ E_{0y}e^{i\varphi_{y}} \end{bmatrix}$$

 $\varphi_i$  (i = x, y) are the appropriate phases.

So, the horizontal and vertical P-state are given by

$$\mathbf{E}_{h} = \begin{bmatrix} E_{x}(t) \\ 0 \end{bmatrix} = \begin{bmatrix} E_{0x}e^{i\varphi_{x}} \\ 0 \end{bmatrix}, \mathbf{E}_{v} = \begin{bmatrix} 0 \\ E_{y}(t) \end{bmatrix} = \begin{bmatrix} 0 \\ E_{0y}e^{i\varphi_{y}} \end{bmatrix}$$

Neglect the term about the amplitude and absolute phase, and normalized the vectors.

$$\mathbf{E}_{45^{\circ}} = \mathbf{E}_{h} + \mathbf{E}_{v} = \frac{1}{\sqrt{2}} \left( \begin{bmatrix} 1 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} \right) = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 1 \end{bmatrix}$$

### **Jones Vectors**

### Jones Vectors for some polarization states

State of Polarization	Jones Vectors		
Horizontal P-state	$\begin{bmatrix} 1 \\ 0 \end{bmatrix}$	P-state at -45°	$\frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -1 \end{bmatrix}$
Vertical P-state	$\begin{bmatrix} 0 \\ 1 \end{bmatrix}$	R-state	$\frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -i \end{bmatrix}$
P-state at 45°	$\frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 1 \end{bmatrix}$	L-state	$\frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ i \end{bmatrix}$
		P-state, $\theta$ to $x$ -axis	$\begin{bmatrix} \cos \theta \\ \sin \theta \end{bmatrix}$

Do not confused

$$\frac{E_x}{E_y} = e^{\pm i\frac{\pi}{2}} = \pm i$$

### **Jones Vectors**

If two polarized lightwaves are orthogonal, their Jones vectors satisfy

$$E_1 \cdot E_2^* = \begin{bmatrix} E_{1x} & E_{1y} \end{bmatrix} \begin{bmatrix} E_{2x}^* \\ E_{2y}^* \end{bmatrix} = 0$$

 E.g., linearly polarized light along x-axis and y-axis. Left circularly light and right circularly light.

$$\begin{bmatrix} 1, \ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = 0 \qquad \begin{bmatrix} 1, \ i \end{bmatrix} \begin{bmatrix} 1 \\ i \end{bmatrix} = 0$$

# 4

### **Jones Vectors**

 Using Jones vectors, the superposition of polarized light can be simply described by

$$\begin{bmatrix} E_x \\ E_y \end{bmatrix} = \begin{bmatrix} E_{1x} \\ E_{1y} \end{bmatrix} + \begin{bmatrix} E_{2x} \\ E_{2y} \end{bmatrix} = \begin{bmatrix} E_{1x} + E_{2x} \\ E_{1y} + E_{2y} \end{bmatrix}$$

 When light passes though different optical elements, the final polarization state is given by

$$\begin{bmatrix} E_{tx} \\ E_{ty} \end{bmatrix} = \begin{bmatrix} a_n & b_n \\ c_n & d_n \end{bmatrix} \cdots \begin{bmatrix} a_2 & b_2 \\ c_2 & d_2 \end{bmatrix} \begin{bmatrix} a_1 & b_1 \\ c_1 & d_1 \end{bmatrix} \begin{bmatrix} E_{ix} \\ E_{iy} \end{bmatrix}$$

where 
$$\begin{bmatrix} a_n & b_n \\ c_n & d_n \end{bmatrix}$$
 is called **Jones Matrices**.



### **Natural light**

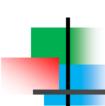
 Ordinary light sources: constantly emitting and random orientation of the emitters.

Vibration direction
Wavetrain of different lengths
Initial phase



The statistics over a large number of atomic luminescent events results in the natural light. Due to the randomness, there's **no prefer orientation** of the polarization. Then, the vibration direction and amplitude of the light vector show an **axial** symmetry in the plane normal to the propagation direction.

random



### **Natural light**

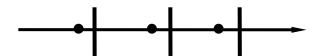
The natural light can be considered as the superposition of two arbitrary, incoherent, orthogonal, linearly polarized light with equal amplitude.

$$E_{x} = \sum_{i} E_{ix} \qquad I_{x} = \sum_{i} E_{ix}^{2}$$

$$E_{y} = \sum_{i} E_{iy} \qquad I_{y} = \sum_{i} E_{iy}^{2}$$

$$I_{x} = I_{y} = \frac{1}{2} I_{\text{total}}$$

The representation of a natural light



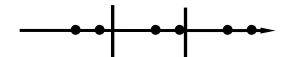


### **Partially polarized light**

- Completely polarized light and natural light are two extremes. The general situation between the two is partially polarized light.
- A partially polarized light can be regarded as a mixture of natural light and linearly polarized light.
- E.g., the scattered light of the sky and the reflected light from the a glass slice.

Representation of a partially polarized light





#### Degree of polarization

Degree of polarization (偏振度): Used to measure the degree of polarization of partially polarized light.

$$P = \frac{I_p}{I_t} = \frac{I_p}{I_p + I_n}$$

 $P = \frac{I_p}{I_t} = \frac{I_p}{I_p + I_n}$   $I_t$ : The total intensity of light  $I_p$ : The component of the natural light  $I_p$ : The component of the complete polarized light

Polarization 
$$P = 1$$
 complete polarized light  $P = 0$  natural light  $0 < P < 1$  partially polarized light

$$P = 1$$
 complete polarized light

$$P = 0$$
 natural light

$$0 < P < 1$$
 partially polarized light

$$P = \frac{I_{\text{max}} - I_{\text{min}}}{I_{\text{max}} + I_{\text{min}}}$$

 $P = \frac{I_{\text{max}} - I_{\text{min}}}{I_{\text{max}} + I_{\text{min}}}$   $I_{\text{max}}$ , and  $I_{\text{min}}$ , the intensity of the light after passing through polarizer.



Homework

Problem 3.32

Problems 8.4, 8.5 and 8.6

Homework\*

Read about the **spin** of photons, the

orbital angular momentum of photons

#### **Next week**

Absorption, Dispersion, Scattering:

Sections 3.5, 4.2, 7.2.2

Fermat's Principle, Imaging:

Sections 4.5, 5.1-5.2



### § 3.3 Absorption and dispersion

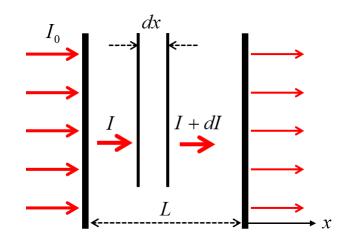
The amount of change in intensity is proportional to the **intensity** itself and the **thickness**. The coefficient is  $\alpha$ :

$$dI = -\alpha I dx$$

When x = 0,  $I = I_0$ , then

$$\int_{I_0}^{I} \frac{dI}{I} = -\alpha \int_0^x dx$$





This is the **Lambert law**.

In the **uniform** medium, in the **linear optics** region: The incident light intensity decays exponentially with the thickness L.



#### **Lambert's law**

Definition of absorption coefficient:

$$\alpha = \frac{dI(x)}{dx} \frac{1}{I(x)}$$

 $\alpha \sim$  The **percentage** of light intensity that decreases as light passes through the unit length of the medium.

 $\alpha$ — It is numerically equal to the **reciprocal of the thickness** when the light intensity is weakened to **1/e** of the original value due to absorption.

$$I = I_0 e^{-\alpha x}$$



#### Beer's law

 For aqueous solutions (or samples in analogous to solutions), the Lambert law can be rewritten as

$$I = I_0 e^{-ACL}$$
 Beer's law

C: concentration

A: the constant that depends on the properties of the solute.

**Conditions**: The concentration C is not too large to cause interaction between different molecules. So that A remains a constant independent on the concentration.

■ Since the unit of C is  $M/m^3$ , ∴ the unit of A is  $m^2$ . After dividing the number of molecules NA (Avogadro's constant), we get the **absorption cross section** of each molecule (particle)!



- When the intensity of the incident light is **strong**, the Lambert's law no longer holds since  $\alpha$  depends on the intensity.
- For example, as the light intensity increases, the absorption coefficient decreases. Typical example is saturable absorption (3<sup>rd</sup> order nonlinear effects).
- A two-level system is an ideal saturable absorption system (it works even with weak light).
- As the light intensity increases, the absorption may also increase, such as two-photon or multiphoton absorption.



#### **Complex refractive index**

If we consider the refractive index n as a complex number

$$\mathbf{E} = \mathbf{E}_{0} e^{-i(\omega t - nk_{0}z)} \qquad \tilde{n} = n + i\kappa$$

$$\mathbf{E} = \mathbf{E}_{0} e^{-i(\omega t - \tilde{n}k_{0}z)} = \mathbf{E}_{0} e^{-i(\omega t - nk_{0}z)} e^{-\kappa \omega z/c}$$

$$I \propto \mathbf{E}^{*} \cdot \mathbf{E} = |\mathbf{E}_{0}|^{2} e^{-2\kappa \omega z/c} \qquad \qquad I = I_{0} e^{-\alpha x}$$

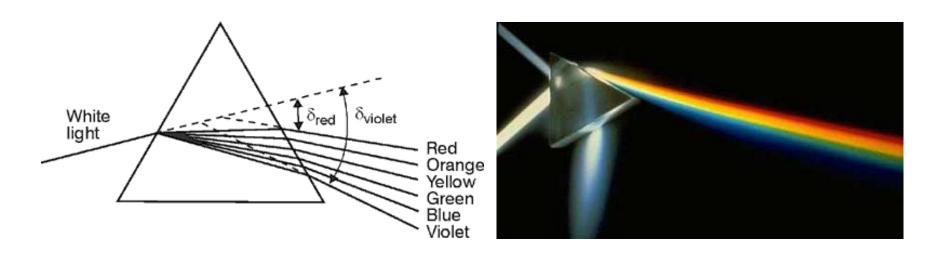
The relationship between absorption coefficient  $\alpha$  and  $\kappa$ 

$$\alpha = \frac{2\kappa\omega}{c}$$

The absorption of the medium can be described by a complex refractive index, and the imaginary part of the refractive index corresponds to the attenuation constant of the electromagnetic wave because of absorption.

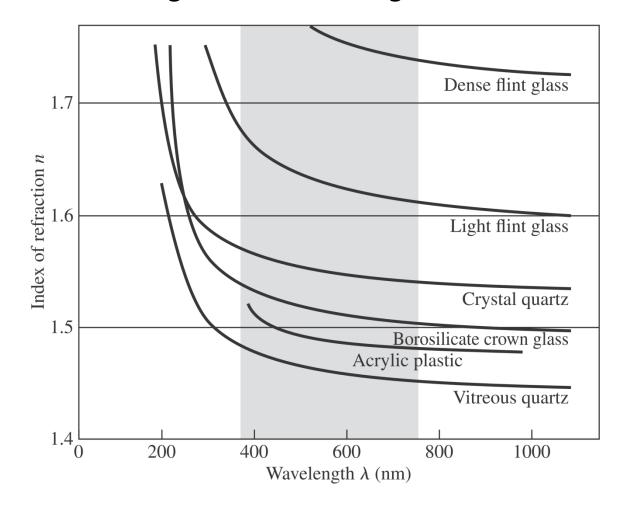
# Dispersion

**Dispersion**: the phenomenon that the index of refractive n of a substance changes with wavelength.



## Dispersion

**Dispersion**: the phenomenon that the index of refractive n of a substance changes with wavelength.





# ■ **Normal dispersion**: The refractive index decreases as the wavelength increases, or alternatively, *n* gradually increases with frequency.

**Cauchy** formula (Empirical formula)

$$n = A + B/\lambda^2 + C/\lambda^4$$

Anomalous dispersion: The refractive index increases as the wavelength increases.

Anomalous dispersion occurs near the absorption band.

Each substance has multiple absorption bands, multiple normal dispersions and anomalous dispersion zones.



#### **Lorentz Dispersion Model**

- Lorentz oscillator model: There is one electron in a volume  $\Delta V$  in the medium (charge  $e = 1.6 \times 10^{-19} C$ ). The effect of the E–field of light is to shift the electron by a displacement of x relative to its equilibrium position (the medium is polarized).
- The polarization P (The amplitude of the electric dipole moment per unit volume) can be written as:

$$P = \frac{1}{\Delta V} ex = n_0 ex$$

 $n_0$  is density of charge

The E-field of incident light:

$$\mathbf{E}(t) = \mathbf{E}(\omega)e^{-i\omega t}$$



#### **Lorentz Dispersion Model**

The equation of motion of electrons (the equation of forced vibration of a damped harmonic oscillator) is:

$$m_0 \frac{\mathrm{d}^2 x}{\mathrm{d}t^2} = -m_0 \gamma \frac{\mathrm{d}x}{\mathrm{d}t} - kx + e\mathbf{E}(t)$$

 $k \equiv m_0 \omega_0^2$  Equivalent to the spring coefficient of the spring

■ Test solution:  $x = x(\omega)e^{-i\omega t}$  and the direction is consistent with the electric field **E**.

$$m_0 \left( -\omega^2 - i\omega \gamma + \omega_0^2 \right) x(\omega) = eE(\omega)$$

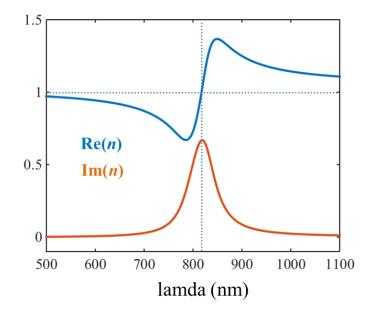
$$\Rightarrow x(\omega) = -\frac{eE(\omega)}{m_0} \frac{1}{\omega^2 + i\omega\gamma - \omega_0^2}$$

#### **Lorentz Dispersion Model**

$$P = n_0 ex \qquad x(\omega) = -\frac{eE(\omega)}{m_0} \frac{1}{\omega^2 + i\omega\gamma - \omega_0^2}$$

Then

$$P = -\frac{n_0 e^2}{m_0} \frac{1}{\omega^2 + i\omega\gamma - \omega_0^2} E(\omega)$$
$$\equiv \varepsilon_0 \chi(\omega) E(\omega)$$



According to the definition of  $\varepsilon$ :  $\varepsilon = \varepsilon_0 \left[ 1 + \chi(\omega) \right]$ 

$$n^2 = \varepsilon/\varepsilon_0 = 1 - \frac{n_0 e^2}{m_0} \frac{1}{\omega^2 + i\omega\gamma - \omega_0^2}$$
 That's why the anomalous dispersion always occurs near

the absorption band.

This is the **Lorentz dispersion model** of the medium.

- The phase velocity of light is:  $v = \frac{c}{n}$
- Due to dispersion, the propagation speed of light in the medium is different for different wavelengths. Usually red light runs faster and blue light is slower (normal dispersion).
- What's the propagation speeds of light pulses containing different wavelengths?
- Simply, consider two harmonic waves with a small difference in frequency:  $E = A \cos(\alpha t + k \pi)$

The quericy: 
$$E_1 = A\cos(\omega_1 t - k_1 z)$$

$$E_2 = A\cos(\omega_2 t - k_2 z)$$

$$E_3 = A\cos(\omega_2 t - k_2 z)$$

$$E_4 = A\cos(\omega_2 t - k_2 z)$$

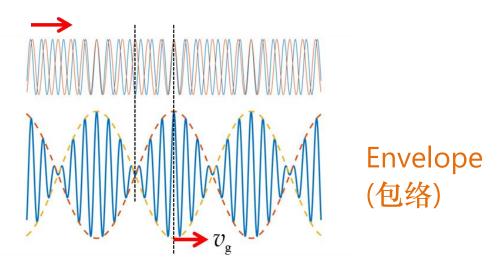
$$z = E_1 + E_2$$

$$= 2A\cos\left[\frac{1}{2}(\omega_1 - \omega_2)t - \frac{1}{2}(k_1 - k_2)z\right] \cdot \cos\left[\frac{1}{2}(\omega_1 + \omega_2)t - \frac{1}{2}(k_1 + k_2)z\right]$$

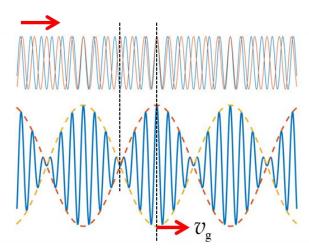
$$\frac{d\omega}{dk}$$

$$= 2A\cos(\mathrm{d}\omega \cdot t - \mathrm{d}k \cdot z)\cos(\omega t - kz)$$

Composite wave:  $E = 2A\cos(d\omega \cdot t - dk \cdot z)\cos(\omega t - kz)$ amplitude A(z, t) carrier wave



- The amplitude of the carrier wave is no longer a constant, but is a cosine function that varies slowly with z, t between 0 and 2A.
- A actual composite wave composed of many frequencies,



How to describe the velocity of the wave packet?

**Group velocity**: The rate at which the modulation envelop advances.

$$d\omega \cdot t - dk \cdot z = Const.$$

Group velocity: 
$$v_g = \frac{dz}{dt} = \frac{d\omega}{dk}$$



Phase velocity:  $v_p = \frac{\omega}{k}$ 



The relationship between group velocity and phase velocity:

$$v_{g} = \frac{d\omega}{dk} = \frac{d(v_{p}k)}{dk} = v_{p} + k \frac{dv_{p}}{dk}$$

$$v_{g} = v_{p} - \lambda \frac{dv_{p}}{d\lambda}$$

$$dk = d\left(\frac{2\pi}{\lambda}\right) = -\frac{2\pi}{\lambda^{2}}d\lambda$$

$$= \frac{c}{n}\left(1 + \frac{\lambda c}{n^{2}}\frac{dn}{d\lambda}\right)$$

In vacuum:  $v_g = v_p = c$ 

$$\frac{\mathrm{d}n}{\mathrm{d}\lambda} < 0$$
 (normal dispersion)  $v_{\mathrm{g}} < v_{\mathrm{p}} < c$ 

 $\begin{cases} \frac{\mathrm{d}n}{\mathrm{d}\lambda} < 0 \text{ (normal dispersion)} \quad v_\mathrm{g} < v_\mathrm{p} < c \\ \frac{\mathrm{d}n}{\mathrm{d}\lambda} \text{ is large, the difference between } v_\mathrm{p} \text{ and } v_\mathrm{g} \text{ is large.} \\ \frac{\mathrm{d}n}{\mathrm{d}\lambda} \text{ is very large, the group velocity becomes ill-defined.}$ 



### § 3.4 Light Scattering

B:妹子,今天天气这么好,咱们一块出去玩呗

G:哇,天好蓝啊~

G:你说天为什么会这么蓝呢?

B:#& • \(\neq \pm\)%\&\\*! \(\neq \cdot\)%\&\\*

G:哇,你们学物理的好厉害啊,什么都懂。

B: 333

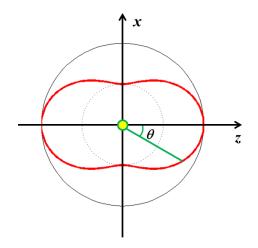


#### Rayleigh scattering

#### Scattering by very small particle

(1) The total scattered light intensity follows the Rayleigh (1871) scattering law:

$$I_{\rm scat} \propto \frac{1}{\lambda^4}$$



(2) The intensity of the scattered light varies with the scattering angle  $\theta$ , the angle between the observation direction and incident light direction

$$I_{\theta} \propto I_{\pi/2} (1 + \cos^2 \theta)$$

(3) Polarization of the scattered light varies with the angle.

Condition: molecules, small particles (particle size  $a \ll \lambda$ ).



#### ② Scattering by large particle - Mie scattering

(1) When the particle size is large (particle size  $a \sim \lambda$ ), in addition to the electric dipole, the contribution of high-order multipoles such as magnetic dipole and electric quadrupole etc. cannot be neglected.

The relationship between scattered light intensity and wavelength is not obvious for dielectrics.

(2) In the spherical coordinates, the vector spherical harmonic function is used to expand the incident light, the internal light field and the scattered light field of the particle. It is a strict solution.

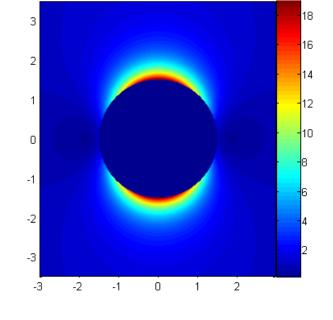
>>《数学物理方法》、《电动力学》

学习《电动力学》时,请比较电偶极的辐射强度公式

#### Mie scattering

Right: gold nanoparticles with radius a = 15 nm,  $\lambda = 514$  nm

- (3) The angular distribution of the scattered light intensity is no longer in a symmetrical form and varies with the particle linearity.
- (4) If natural light is incident, and the scattered light is partially polarized light.



 Rayleigh scattering actually includes only the contribution of the electric dipole, which is the electric dipole approximation of the Mie scattering for very small particles.



#### Rayleigh/Mie scattering

For media like smoke, fog, suspended matter, etc.

Small particle size  $< \lambda/5 \sim \lambda/10$ 

Large particle size ~  $\lambda$   $I_{\text{scat}} \propto f(\lambda)$ 

$$I_{\rm scat} \propto f(\lambda)$$



In a pure medium, local density fluctuations due to thermal motion destroy the uniformity of the medium. It follows the Rayleigh scattering law

$$I_{\rm scat} \propto \frac{1}{\lambda^4}$$
 Blue sky

- After the rainy day, the sky is always blue, why?
- What about is the dark cloud?

### Rayleigh/Mie scattering

G:哇,好美啊~

G:你说为啥白天的时候都是白色的云这会怎就这

么漂亮了呢?

B: • ¥ #%&\*! ¥ • %%&\*

G:哦。。。你怎么啥都知道啊。

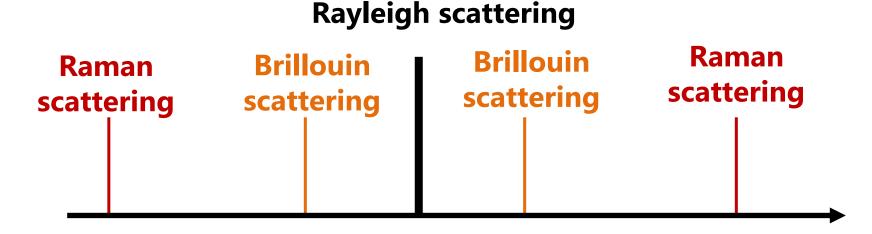
B:我学物理的嘛。



**Elastic** scattering

The frequency of the scattered light is the same as the incident light, e.g., Mie scattering, Rayleigh scattering.

Inelastic scattering: Raman scattering, Brillouin scattering



Intensity: Elastic scattering >>Inelastic scattering

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