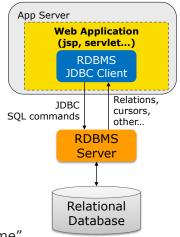
#### **MAS 201**

#### **Database design & SQL programming**

# Applications' View of a Relational Database Management System (RDBMS): Why use it?

- Persistent data structure
  - Large volume of data
- High-level language/API for reading (querying) & writing (inserting, deleting, updating)
  - Automatically optimized
- Transaction management (ACID)
  - Atomicity: all or none happens, despite failures & errors
  - Consistency
  - Isolation: appearance of "one at a time"
  - Durability: recovery from failures and other errors



### **OLTP Vs OLAP use cases**

#### OI TP

- Support quick ACID transactions
- Eg, Bank application that manages transactions

#### **OLAP**

- Perform analytics on the database
- Eg, Bank application analyzing customer profiles towards marketing
- · All well-known databases can do both
- But may not be very efficient in analytics
- Many new databases focused on analytics
  - Organizations may have two databases OLTP vs OLAP
     Or 3+
- The jury is out on whether two kinds of databases will be needed

3

#### **Data Structure: Relational Model**

### • Relational Databases:

Schema + Data

#### • Schema:

- collection of tables (also called relations)
- each table has a set of attributes (aka columns)
- no repeating table names, no repeating attributes in one table

#### Data (also called instance):

- set of *tuples* (aka *rows*)
- tuples have one atomic value for each attribute

Ī	Мо	vie	

ID	Title	Actor
1	Wild	Winger
2	Sky	Winger
3	Reds	Beatty
4	Tango	Brando
5	Tango	Winger
7	Tango	Snyder

#### Schedule

ID	Theater	Movie
1	Odeon	1
2	Forum	3
3	Forum	2

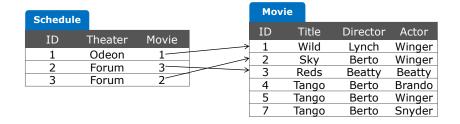
### Data Structure: Primary Keys; Foreign Keys are value-based pointers

Schedule				Mov	ie		
		Movio		ID	Title	Director	Actor
ID	Theater	Movie		1	Wild	Lynch	Winger
1	Odeon	1	_>	2	Sky	Berto	Winger
2	Forum	3	$\rightarrow$	3	Reds	Beatty	Beatty
3	Forum	2		4	Tango	Berto	Brando
				5		Berto	Winger
					Tango	Derto	winger
				7	Tango	Berto	Snyder

- "ID is primary key of Schedule" => its value is unique in Schedule.ID
- "Schedule.Movie is foreign key (referring) to Movie.ID" means every Movie Value of Schedule also appears as Movie.ID
- Intuitively, Schedule.Movie operates as pointer to Movie(s)

J

### Schema design has its own intricacies



- This example is a bad schema design!
- Problems
  - Change the name of a theater
  - Change the name of a movie's director
  - What about theaters that play no movie?

## How to Design a Database and Avoid Bad Decisions

- With experience...
- Normalization rules of database design instruct how to turn a "bad" design into a "good" one
  - a well-developed mathematical theory
  - no guidance on how to start
  - does not solve all problems
- MAS 201: Think **entities and relationships** then translate them to tables
- MAS 201: The special case of star & snowflake schemas

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# **Designing Schemas Using Entity-Relationship modeling**

The Basics

#### **Data Structure: Relational Model**

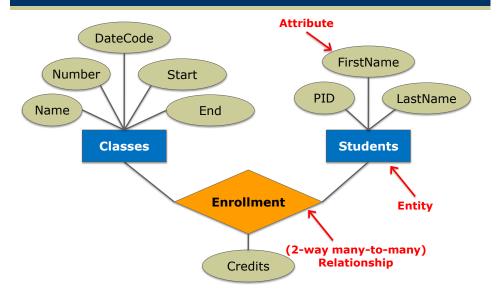
#### **Example Problem:**

- Represent the students classes of the CSE department in Winter, including the enrollment of students in classes.
- Students have pid, first name and last name.
- Classes have a name, a number, date code (TR, MW, MWF) and start/end time.
  - Dismiss the possibility of two Winter classes (or class sections) for the same course
- A student enrolls for a number of credits in a class.

#### Solution:...

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### **Example 1a: E/R-Based Design**

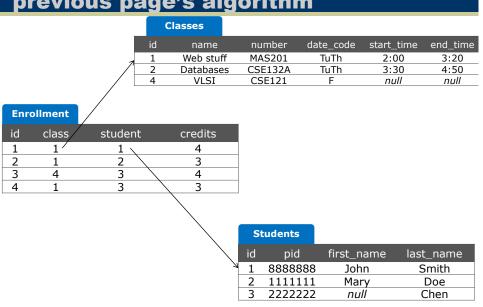


## E/R→ Relational Schema: Basic Translation

- For every entity
  - create corresponding table
  - For each attribute of the entity, add a corresponding attribute in the table
  - Include an ID attribute in the table even if not in E/R
- For every many-to-many relationship
  - create corresponding table
  - For each attribute of the relationship, add a corresponding attribute in the table
  - For each referenced entity E<sub>i</sub> include in the table a required foreign key attribute referencing ID of E<sub>i</sub>

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## Sample relational database, per previous page's algorithm



# Declaration of schemas in SQL's Data Definition Language

```
CREATE TABLE classes (
                                            If we had "ID INTEGER PRIMARY KEY" we
                    SERIAL PRIMARY KEY, would be responsible for coming up with ID
     ID
                                              values. SERIAL leads to a counter that
     name
                    TEXT,
                                              automatically provides ID values upon
     number
                    TEXT,
                                                     insertion of new tuples
     date_code
                    TEXT,
     start time
                    TIME,
                                                            Changed name from "end"
     end time
                    TIME
                                                            to "end_time" since "end"
                                                              is reserved keyword
CREATE TABLE students (
     ID
                    SERIAL PRIMARY KEY,
                    INTEGER,
     pid
     first name
                    TEXT,
     last name
                    TEXT
                                                Foreign key declaration: Every value of
                                               enrollment.class must also appear as
                                                           classes.ID
CREATE TABLE enrollment (
     ID
                         SERIAL,
                         INTEGER REFERENCES classes (ID) NOT NULL,
     class
     student
                         INTEGER REFERENCES students (ID) NOT NULL,
     credits
                         INTEGER
                             Declaration of "required" constraint: enrollment.student
)
                             cannot be null (notice, it would make no sense to have an
                                   enrollment tuple without a student involved)
```

# Example 1b: Using a semantic, immutable key

Assume that each PID (the id number on UCSD cards) is unique, not null and immutable (will never change)

DateCode

Number

Start

PID

LastName

Classes

Students

Enrollment

Credits

# **Example 1b: Sample, using the pid instead of the id to identify students**

			Classes				
		id	name	number	date_code	start_time	end_time
		1	Web stuff	MAS201	TuTh	2:00	3:20
		/ 2	Databases	CSE132A	TuTh	3:30	4:50
		4	VLSI	CSE121	F	null	null
Enr	ollment						
id	class		credits				
1	1	8888888	4				
2	1	1111111	3				
3	4	2222222	\ 4				
4	1	222222	3				
				Students			
				\id pid	first_n	ame las	t_name
			į	88888	38 Joh	n S	Smith
				111111	11 Mar	У	Doe
				3 222222	22 nu		Chen
				•			
							15

# Example 1b: Schema revisited, for using pid for students' primary key

```
CREATE TABLE classes (
   ID
              SERIAL PRIMARY KEY,
              TEXT,
   name
   number
              TEXT,
   date_code TEXT,
   start time TIME,
   end time
               TIME
CREATE TABLE students (
  DISTRICT DRIMARY KEY,
   pid
              INTEGER PRIMARY KEY,
   first name TEXT,
   last name TEXT
CREATE TABLE enrollment (
                   INTEGER REFERENCES classes (ID) NOT NULL,
   class
   student
                  INTEGER REFERENCES students (pid) NOT NULL,
   credits
                   INTEGER
)
```

### ... some easy hands-on experience

- Install the Postgresql open source database
- For educational and management purposes use the pgAdmin client to define schemas, insert data,
- For managing and accessing the Postgresql server, use the pgAdmin graphical client
  - Right click on Postgresql, and select Connect
  - Right click on Databases, and select New Database
  - Enter a new name for the database, and click Okay
  - Highlight the database, and select Tools -> Query Tool
  - Write SQL code (or open the examples), and select
     Query -> Execute

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### Creating a schema and inserting some data

- Open file <u>enrollment.sql</u>
- Copy and paste its CREATE TABLE and INSERT commands in the Query Tool
- Run it you now have the sample database!
- Run the first 3 SELECT commands to see the data you have in the database
  - You can run a command by highlighting it with the cursor and click run

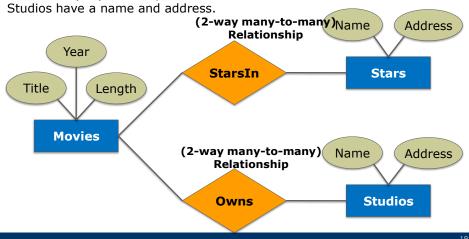
### **Example 2a**

Movies have a title, a year of release and length (in minutes).

Actors have names and address.

Actors appear in movies.

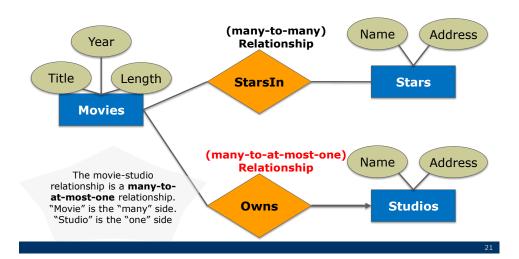
A movie is (co-)owned by studios.



```
CREATE TABLE movies (
               SERIAL PRIMARY KEY,
   ID
   title
               TEXT,
               INTEGER,
   year
   length
               INTEGER,
CREATE TABLE stars (
               SERIAL PRIMARY KEY,
   ID
   name
                TEXT,
   address
               TEXT
CREATE TABLE studios (
   ID
               SERIAL PRIMARY KEY,
               TEXT,
   name
               TEXT
   address
CREATE TABLE starsin (
   ID
   movie
                   INTEGER REFERENCES movies (ID) NOT NULL,
   star
                   INTEGER REFERENCES stars (ID) NOT NULL
CREATE TABLE ownership (
   ID
                    INTEGER REFERENCES movies (ID) NOT NULL,
   movie
    owner
                    INTEGER REFERENCES studios (ID) NOT NULL
```

## Example 2b: many-to-at-most-one relationship

Modification to Example 2a: A movie is owned by **at most one** studio.



# E/R→ Relational: Translation revisited for many-to-at-most-one relationship

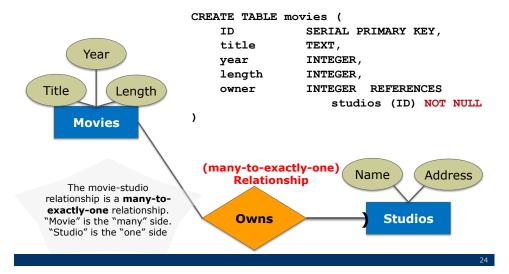
- For every entity, do the usual...
- For every many-to-many relationship, do the usual...
- For every 2-way many-to-at-most-one relationship, where
  - $E_m$  is the "many" side
  - $-E_o$  is the "one" side (pointed by the arrow)
  - do not create table, instead:
  - In the table corresponding to  $E_m$  add a (non-required, i.e., potentially NULL) foreign key attribute referencing the ID of the table corresponding to  $E_o$

```
CREATE TABLE movies (
           SERIAL PRIMARY KEY,
   title
               TEXT,
   year
               INTEGER,
   length
               INTEGER,
   owner
               INTEGER REFERENCES studios (ID)
CREATE TABLE stars (
             SERIAL PRIMARY KEY,
               TEXT,
   name
   address
               TEXT
CREATE TABLE studios (
             SERIAL PRIMARY KEY,
   name
              TEXT,
   address
              TEXT
CREATE TABLE starsin (
                   SERIAL,
   movie
                   INTEGER REFERENCES movies (ID) NOT NULL,
   star
                   INTEGER REFERENCES stars (ID) NOT NULL
)
```

Example 2c: many-to-exactly-one relationship

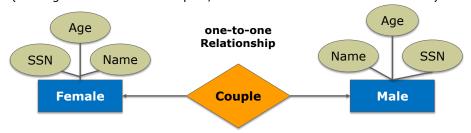
Modification to Example 2a:

A movie **must** be owned by **one** studio.



### **Example 2d: one-to-one relationship**

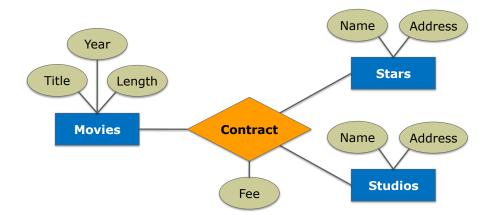
Consider a database of heterosexual couples (we neglect homosexual couples, amazons and Warren Jeffs followers)



```
CREATE TABLE couple (
    husband INTEGER REFERENCES
    females (ID) NOT NULL UNIQUE,
    wife INTEGER REFERENCES
        males (ID) NOT NULL UNIQUE
)
```

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# **Example 3: 3-Way Many-to-Many Relationship**



- A studio has contracted with a particular star to act in a particular movie
  - No ownership of movies by studios

```
CREATE TABLE contract (

ID SERIAL,

movie INTEGER REFERENCES movies (ID) NOT NULL,

star INTEGER REFERENCES stars (ID) NOT NULL,

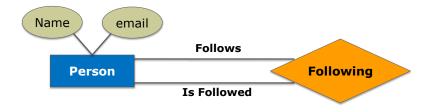
owner INTEGER REFERENCES studios (ID) NOT NULL,

fee INTEGER
)
```

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# Example 4a : Self-Relationships with Roles

Twitter Use Case



```
CREATE TABLE persons (
   ID SERIAL PRIMARY KEY,
   ...
)

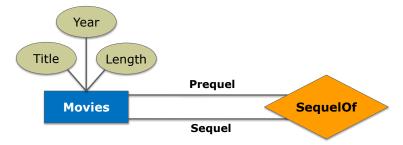
CREATE TABLE following (
   ID SERIAL,
   follows INTEGER REFERENCES persons (ID) NOT NULL,
   isFollowed INTEGER REFERENCES persons (ID) NOT NULL
)

Notice the use of roles as attributes names for the foreign keys
```

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# Example 4b : Self-Relationships with Roles

#### Prequels and Sequels



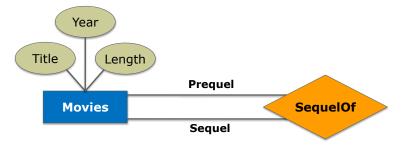
```
CREATE TABLE movies (
   ID SERIAL PRIMARY KEY,
   ...
)

CREATE TABLE sequelof (
   ID SERIAL,
   prequel INTEGER REFERENCES movies (ID) NOT NULL,
   sequel INTEGER REFERENCES movies (ID) NOT NULL
```

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# Example 4b : Self-Relationships with Roles – Questions on Meaning

What exactly are the prequel-sequel pairs?



"Terminator II: Judgment Day" is a sequel of "Terminator"

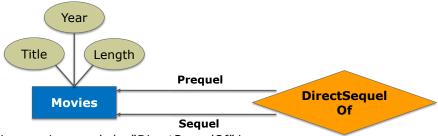
"Terminator III: Raise of the Machines" is a sequel of "Terminator II: Judgment Day"

Is "Terminator III: Raise of the Machines" a sequel of "Terminator"?

## Example 4c: Interpreting sequels non-transitively

### Is "Terminator III: Raise of the Machines" a direct sequel of "Terminator"? NO

A movie has at most one direct "prequel" and at most one direct "sequel"



Modeling movie sequels by "DirectSequelOf" is preferable in OLTP to using transitive "SequelOf"  $\!\!\!/$ 

- A lesson about good (OLTP?) database design:
- · Good designs avoid redundancy.
- No stored piece of data should be inferable from other stored pieces of data

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#### To be Redundant or Not to be?

#### NOT

- Too many Friends-of-Friends
  - Even more Friends-of-Friends
    - If "Six Degrees of Separation" is true, the 6-step friends is not even saying anything
- A database with derivative data is harder to maintain

#### YES

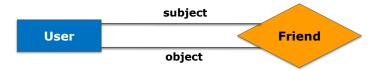
- Some derivations, interesting to OLAP, are too expensive to compute live
- If OLAP, maintenance is not primary concern

### **Self-relationships without roles**

Twitter "followship" is a self-relationship with roles

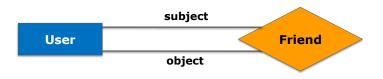


Facebook "friendship" is a self-relationship without real roles



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### A case where redundancy may be welcome



```
CREATE TABLE friend (
subject INTEGER REFERENCES user (ID) NOT NULL,
object INTEGER REFERENCES user (ID) NOT NULL
)
```

If Subject is Facebook friend of Object, then Object is Facebook friend of Subject. Is it redundant to explicitly represent both facts in "friend"? Yes, but makes some queries much easier and faster.

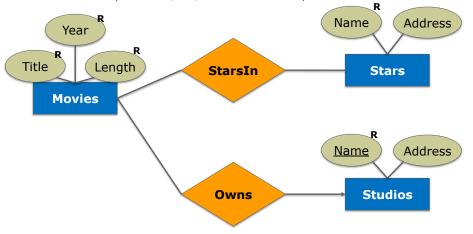
# **Designing Schemas Using Entity-Relationship modeling**

#### **Additional Topics**

# Example 5a: Constraints: uniqueness; required attributes

In addition to Example 2b's assumptions, let us also assume that:

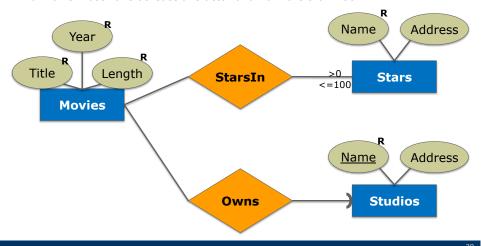
- title, year, length, star name and studio name are required attributes of the respective entities
  - default is that an attribute value may be null
- studios have unique names, i.e., no two studios may have the same name



# Example 5b: Constraints: Required relationship; cardinality ranges

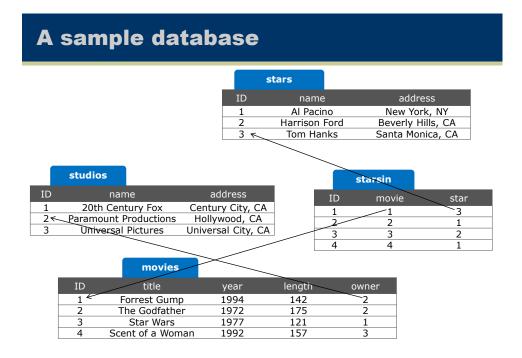
In addition to Example 2c's assumptions, let us also assume that:

- · a movie is owned by exactly one studio
  - so far we had not assumed that the owning studio has to be known (not null)
- · a movie must have at least one actor and no more than 100



### SQL Schema for Examples 5a, 5b

```
CREATE TABLE movies (
   TD
                SERIAL PRIMARY KEY,
    title
                TEXT NOT NULL,
                INTEGER NOT NULL,
    year
    length
                INTEGER NOT NULL,
                INTEGER REFERENCES studios (ID) NOT NULL
    owner
CREATE TABLE stars (
                SERIAL PRIMARY KEY,
                TEXT NOT NULL,
    name
    address
                TEXT
CREATE TABLE studios (
    ID
                SERIAL PRIMARY KEY,
    name
                TEXT NOT NULL UNIQUE,
    address
                TEXT
CREATE TABLE starsin (
    ID
                    SERIAL,
                    INTEGER REFERENCES movies (ID) NOT NULL,
   movie
    star
                    INTEGER REFERENCES stars (ID) NOT NULL
)
```



Why do we want constraints? What happens when they are violated?

- Protect the database from erroneous data entry
- Prevent database states that are inconsistent with the rules of the business process you want to capture
- Whenever you attempt to change (insert, delete, update) the database in a way that violates a constraint the database will prevent the change
  - Try it out on the sample databases of the class page

### Some constraints are not implemented by some SQL database systems

- Consider the cardinality constraint that a movie has between 1 and 100 actors.
- The SQL standard provides a way, named CHECK constraints, to declare such
  - its specifics will make more sense once we have seen SQL queries
- However, no open source database implements the CHECK constraints.
- Project Phase I: Introduce such constraints on your E/R, despite the fact that you will not be able to translate them to the SOL schema

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# Vice versa: SQL allows some constraints that are not in plain E/R

#### Notable cases:

- Attribute value ranges
  - Example: Declare that the year of movies is after 1900
- Multi-attribute UNIQUE
  - Example: Declare that the (title, year) attribute value combination is unique

### **Added constraints of previous slide**

```
CREATE TABLE movies (
              SERIAL PRIMARY KEY,
   title
              TEXT NOT NULL,
   year
              INTEGER NOT NULL CHECK (year > 1900),
   -
length
              INTEGER NOT NULL,
              INTEGER REFERENCES studios (ID) NOT NULL,
   UNIQUE (title, year)
CREATE TABLE stars (
   ID SERIAL PRIMARY KEY,
              TEXT NOT NULL,
   name
   address
              TEXT
)
CREATE TABLE studios (
         SERIAL PRIMARY KEY,
   name
              TEXT NOT NULL UNIQUE,
   address
             TEXT
CREATE TABLE starsin (
   movie
                  INTEGER REFERENCES movies (ID) NOT NULL,
   star
                  INTEGER REFERENCES stars (ID) NOT NULL
```

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### **Example 6: tricky flavors of one-to-one relationships**

Assume that a president manages exactly one studio and a studio may have at most one president.

Notice: a studio may not have a president but in order to be a president one has to manage a studio.



#### 1st candidate

```
CREATE TABLE presidents (
                 SERIAL PRIMARY KEY,
                 TEXT,
    name
    age
                 INTEGER,
                                          One may be a president
)
                                          WITHOUT managing any
                                                  studio
CREATE TABLE studios (
                                           => This design fails to
    ID
                 SERIAL PRIMARY KEY,
                                         capture a given constraint
    name
                TEXT,
                TEXT
    address
CREATE TABLE management (
     manager INTEGER REFERENCES presidents (ID) NOT NULL UNIQUE
     manages INTEGER REFERENCES studios (ID) NOT NULL UNIQUE
)
```

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#### 2<sup>nd</sup> candidate

```
CREATE TABLE presidents (
                  SERIAL PRIMARY KEY,
    ID
    name
                  TEXT,
    age
                  INTEGER,
                  INTEGER REFERENCES studios (ID) NOT NULL UNIQUE
    manages
)
CREATE TABLE studios (
                  SERIAL PRIMARY KEY,
    ID
    name
                  TEXT,
    address
                  TEXT
                                  Guarantees that
                                                         Guarantees that
                                   in order to be
)
                                                        no two presidents
                                   president, one
                                                        may manage the
                                  has to manage a
                                                          same studio
                                      studio
```

#### 3rd candidate

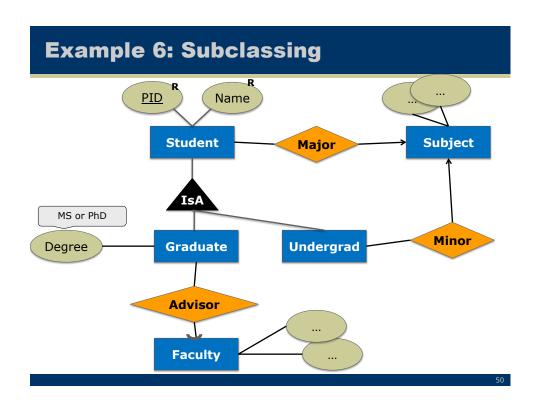
3rd candidate is also not preferred. Why? What constraint it misses?

```
CREATE TABLE presidents (

ID SERIAL PRIMARY KEY,
name TEXT,
age INTEGER
)

CREATE TABLE studios (
ID SERIAL PRIMARY KEY,
name TEXT,
address TEXT,
managedBy INTEGER REFERENCES presidents (ID) UNIQUE
```

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### Schemas for subclassing: Candidate 1

```
CREATE TABLE student (
               SERIAL PRIMARY KEY,
                TEXT NOT NULL UNIQUE,
        pid
                TEXT NOT NULL,
        major INTEGER REFERENCES subject(ID)
CREATE TABLE undergrad(
                        INTEGER REFERENCES student(ID) NOT NULL,
        studentid
                        INTEGER REFERENCES subject(ID)
        minor
CREATE TABLE graduate(
                       INTEGER REFERENCES student(ID) NOT NULL,
        studentid
        degree TEXT NOT NULL CHECK (degree="PhD" OR degree="MS"),
                        INTEGER REFERENCES faculty(ID) NOT NULL
        advisor
CREATE TABLE subject(
               SERIAL PRIMARY KEY,
                                                + captures constraints
                                                - Information about graduates is
                                                spread on two tables
                                                - Creating a report about students is
CREATE TABLE faculty(
                                                a tricky query
        ID
               SERIAL PRIMARY KEY,
                                                To appreciate the above wait till we
                                                discuss SQL
```

### Schemas for subclassing: Candidate 2

```
CREATE TABLE student (
        TD
                SERIAL PRIMARY KEY,
                TEXT NOT NULL UNIQUE,
        pid
                TEXT NOT NULL,
        name
                CHAR(1) CHECK (kind='U' OR kind='S'),
        kind
        major INTEGER REFERENCES subject(ID),
        minor INTEGER REFERENCES subject(ID),
                         TEXT CHECK (degree="PhD" OR degree="MS"),
        degree
        advisor
                         INTEGER REFERENCES faculty(ID)
CREATE TABLE subject(
        ID
                SERIAL PRIMARY KEY,
CREATE TABLE faculty(
        TD
                SERIAL PRIMARY KEY,
                                                 -misses constraints
                                                 E.g., notice that it does not capture
)
                                                 that a graduate student must have
                                                 an advisor since we had to make the
                                                 advisor attribute non-required in
                                                 order to accommodate having
                                                 undergraduates in the same table
```

### Not covered E/R features

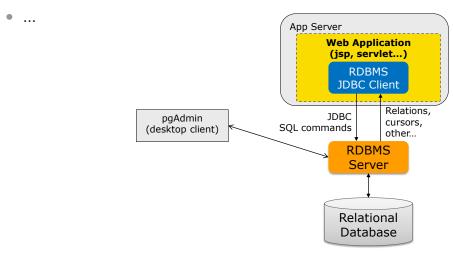
- Weak entities
  - double-lined entities and relationships
- Many-to-Many-to-One 3-way (or more) relationships
- Necessary participation of entity in relationship
- ... more

5.

**Programming on Databases with SQL** 

#### Writing programs on databases: JDBC

- How client opens connection with a server
- How access & modification commands are issued



... some easy hands-on experience

- Install the Postgresql open source database
- For educational and management purposes use the pgAdmin client to define schemas, insert data,
  - See online instructions
- For managing and accessing the Postgresql server, use the pgAdmin graphical client
  - Right click on Postgresql, and select Connect
  - Right click on Databases, and select New Database
  - Enter a new name for the database, and click Okay
  - Highlight the database, and select Tools -> Query Tool
  - Write SQL code (or open the examples), and select
     Query -> Execute

### Creating a schema and inserting some data

- Open file enrollment.sql
- Copy and paste its CREATE TABLE and INSERT commands in the Query Tool
- Run it you now have the sample database!
- Run the first 3 SELECT commands to see the data you have in the database

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# Access (Query) & Modification Language: SQL

- SQL
  - used by the database user
  - declarative: we only describe what we want to retrieve
  - based on tuple relational calculus
- The result of a query is a table
- Internal Equivalent of SQL: Relational Algebra
  - used internally by the database system
  - procedural (operational): describes how query is executed
- The solutions to the following examples are on the class page download

#### **SQL: Basic, single-table queries**

Basic form
 SELECT r.A<sub>1</sub>,...,r.A<sub>N</sub>
 FROM R r

WHERE <condition>

- where clause is optional
- Have tuple variable r range over the tuples of R, qualify the ones that satisfy the (boolean) condition and return the attributes A<sub>1</sub>,...,A<sub>N</sub>

Find first names and last names of all students

SELECT s.first\_name, s.last\_name

FROM students s;

Display all columns of all students whose first name is John; project all attributes

SELECT s.id, s.pid, s.first\_name, s.last\_name FROM students s WHERE s.first\_name = 'John'

... and its shorthand form

SELECT \*
FROM students s
WHERE s.first\_name = 'John';

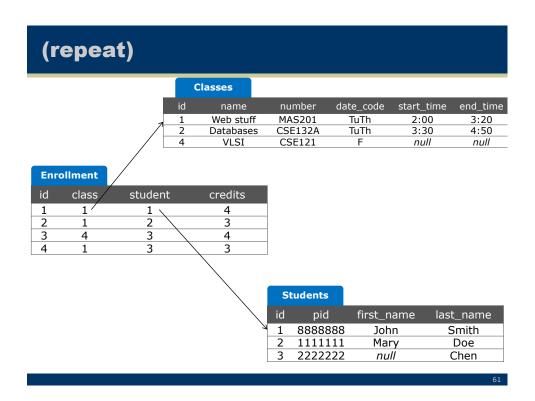
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## SQL Queries: Joining together multiple tables

- Basic form
   SELECT ..., r<sub>i</sub>.A<sub>j</sub>,...
   FROM R<sub>1</sub> r<sub>1</sub>,..., R<sub>M</sub> r<sub>M</sub>
   WHERE <condition>
- When more than one relations in the FROM clause have an attribute named A, we refer to a specific A attribute as <RelationName>.A
- Hardest to get used to, yet most important feature of SQL

Produce a table that shows the pid, first name and last name of every student enrolled in the class with ID 1, along with the number of credit units in the "class 1" enrollment

SELECT s.pid, s.first\_name, s.last\_name, e.credits FROM students s, enrollment e WHERE s.id = e.student AND e.class = 1;



# Take One: Understanding FROM as producing all combinations of tuples from the tables of the FROM clause

SELECT s.pid, s.first\_name, s.last\_name, e.credits **FROM** students s, enrollment e

WHERE s.id = e.student AND e.class = 1

"FROM" produces all 12 tuples made from one "students" tuple and one "enrollment" tuple

Student's part of the tuple

Enrollment e part of the tuple

$\longleftarrow$				•			<u> </u>
s.id	s.pid	s.first_name	s.last_name	e.id	e.class	e.student	e.credits
1	88	John	Smith	1	1	1	4
1	88	John	Smith	2	1	2	3
1	88	John	Smith	3	4	3	4
1	88	John	Smith	4	1	3	3
2	11	Mary	Doe	1	1	1	4
2	11	Mary	Doe	2	1	2	3
2	11	Mary	Doe	3	4	3	4
2	11	Mary	Doe	4	1	3	3
3	22	null	Chen	1	1	1	4
3	22	null	Chen	2	1	2	3
3	22	null	Chen	3	4	3	4
3	22	null	Chen	4	1	3	3

### Take One: or understanding FROM as nested loops (producing all combinations)

SELECT s.pid, s.first\_name, s.last\_name, e.credits FROM **students s, enrollment e** WHERE s.id = e.student AND e.class = 1;

for **s** ranging over **students** tuples for **e** ranging over **enrollment** tuples output a tuple with all **s** attributes and **e** attributes

•	Stud	ent part of the	tuple >	•—	Enrollr	ment part of	the tuple
s.id	s.pid	s.first_name	s.last_name	e.id	e.class	e.student	e.credits
1	88	John	Smith	1	1	1	4
1	88	John	Smith	2	1	2	3
1	88	John	Smith	3	4	3	4
1	88	John	Smith	4	1	3	3
2	11	Mary	Doe	1	1	1	4
2	11	Mary	Doe	2	1	2	3
2	11	Mary	Doe	3	4	3	4
2	11	Mary	Doe	4	1	3	3
3	22	null	Chen	1	1	1	4
3	22	null	Chen	2	1	2	3
3	22	null	Chen	3	4	3	4
3	22	null	Chen	4	1	3	3

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# The order in FROM clause is unimportant

- FROM students s, enrollment e
- FROM enrollment e, students s

produce the same combinations (pairs) of student + enrollment

#### ... with shorter column names

SELECT s.pid, s.first\_name, s.last\_name, e.credits
FROM students s, enrollment e
WHERE s.id = e.student AND e.class = 1;

"FROM" produces all 12 tuples made from one "students" tuple and one "enrollment" tuple

<b>←</b> St	tuaent p	art of the tupl	<u>e</u> →	<b>←</b>	Enrollment p	art of the tupl	<u>e</u> →
s.id	pid	first_name	last_name	e.id	class	student	credits
1	88	John	Smith	1	1	1	4
1	88	John	Smith	2	1	2	3
1	88	John	Smith	3	4	3	4
1	88	John	Smith	4	1	3	3
2	11	Mary	Doe	1	1	1	4
2	11	Mary	Doe	2	1	2	3
2	11	Mary	Doe	3	4	3	4
2	11	Mary	Doe	4	1	3	3
3	22	null	Chen	1	1	1	4
3	22	null	Chen	2	1	2	3
3	22	null	Chen	3	4	3	4
3	22	null	Chen	4	1	3	3

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# Understanding WHERE as qualifying the tuples that satisfy the condition

SELECT s.pid, s.first\_name, s.last\_name, e.credits
FROM students s, enrollment e
WHERE s.id = e.student AND e.class = 1;

s.id	s.pid	s.first_name	s.last_name	e.id	e.class	e.student	e.credits
1	88	John	Smith	1	1	1	4
1	88	John	Smith	2	1	2	3
1	88	John	Smith	3	4	3	4
1	88	John	Smith	4	1	3	3
2	11	Marv	Doe	1	1	1	4
2	11	Mary	Doe	2	1	2	3
2	11	Mary	Doe	3	4	3	4
2	11	Mary	Doe	4	1	3	3
3	22	null	Chen	1	1	1	4
3	22	null	Chen	2	1	2	3
3	22	null	Chen	3	4	3	4
3	22	null	Chen	4	1	3	3

### **Understanding SELECT as keeping the** listed columns (highlighted below)

						(	
Students. id	pid	first_name	last_name	Enrollment. id	class	student	credits
1	88	John	Smith	1	1	1	4
1	88	John	Smith	2	1	2	3
1	88	John	Smith	3	4	3	4
1	88	John	Smith	4	1	3	3
2	11	Mary	Doe	1	1	1	4
2	11	Mary	Doe	2	1	2	3
2	11	Marv	Doe	3	4	3	4
2	11	Mary	Doe	4	1	3	3
3	22	null	Chen	1	1	1	4
3	22	null	Chen	2	1	2	3
3	22	null	Chen	3	4	3	4
3	22	null	Chen	4	1	3	3
					·		

#### SELECT s.pid, s.first\_name, s.last\_name, e.credits

Students .pid	Students.first_name	Students.last_name	Enrollment.credits
88	John	Smith	4
11	Mary	Doe	3
22	null	Chen	3

### **Take Two on the previous exercises:** The algebraic way

Produce a table that shows the pid, first name and last name of every student enrolled in the class with ID 1, along with the number of credit units in the "class 1" enrollment

SELECT s.pid, s.first\_name, s.last\_name, e.credits

FROM students s JOIN enrollment e ON s.id = e.student

WHERE e.class = 1;

#### Take two cont'd

#### FROM clause result

<b>St</b>	udent p	art of the tupl	e →	←	Enrollment part of the tuple				
s.id	pid	first_name	last_name	e.id	class	student	credits		
1	88	John	Smith	1	1	1	4		
2	11	Mary	Doe	2	1	2	3		
3	22	null	Chen	3	4	3	4		
3	22	null	Chen	4	1	3	3		

#### WHERE clause result

s.id	pid	first_name	last_name	e.id	class	student	credits
1	88	John	Smith	1	1	1	4
2	11	Mary	Doe	2	1	2	3
3	22	null	Chen	3	4	3	4
 2	22	null	Chen	4	- 1	2	2

#### Net result of the query is

s.pid	first_name	last_name	credits
88	John	Smith	4
11	Mary	Doe	3
22	null	Chen	3

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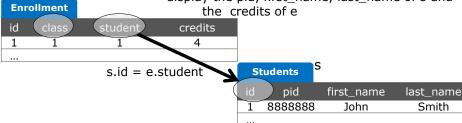
### **Heuristics on writing queries**

- Do you understand how queries work but have difficulty writing these queries yourself?
- The following heuristics will help you translate a requirement expressed in English into a query
  - The key point is to translate informal English into a precise English statement about which tuples your query should find in the database

#### Hints for writing FROM/WHERE: Rephrase the statement, see it as a navigation across primary/foreign keys

Produce a table that shows the pid, first name and last name of every student enrolled in class 1, along with the number of credit units in his/her class 1 enrollment

- Find every enrollment tuple e
- that is an enrollment in class 1
  - i.e., e.class = 1
- and find the student tuple s that is connected to e
  - i.e., the student's id s.id appears in the enrollment tuple e as the foreign key e.student
- display the pid, first\_name, last\_name of s and the credits of e



#### · Find every enrollment tuple e

- that is an enrollment in class 1
  - i.e., e.class = 1
- · and find the student tuple s that is connected to e
  - i.e., the student's id s.id appears in the enrollment tuple e as the foreign key e.student
- display the pid, first\_name, last\_name of s and the credits of e
- · Find every enrollment tuple e
- that is an enrollment in class 1
  - i.e., e.class = 1
- and find the student tuple s that is connected to e
  - i.e., the student's id s.id appears in the enrollment tuple e as the foreign key e.student
- display the pid, first\_name, last\_name of s and the credits of e

FROM enrollment e

FROM enrollment e WHERE e.class = 1

- · Find every enrollment tuple e
- that is an enrollment in class 1

• Find every enrollment tuple e • that is an enrollment in class 1

the credits of e

• i.e., e.class = 1

• i.e., e.class = 1

· and find the student tuple s that is connected to e · i.e., the student's id s.id appears in the enrollment tuple e as the foreign key e.student

· displant the pi

We could have also said "and find **every** student tuple s that is connected" but we used our knowledge that there is exactly one connected student and instead said "the student"

FROM enrollment e, students s

WHERE e.class = 1

AND e.student = s.id

FROM enrollment e

JOIN students s ON e.student = s.id

WHERE e.class = 1

#### SELECT s.pid, s.first\_name, s.last\_nan e.credits

FROM enrollment e, students s

WHERE e.class = 1

AND e.student = s.id

#### enrollment tuple e as the foreign key e.student SELECT s.pid, s.first\_name, s.last\_naı e.credits

enrollment e FROM JOIN students s ON e.student = s.id

WHERE e.class = 1

### **SQL Queries: Nesting**

• and find the student tuple s that is connected to e • i.e., the student's id s.id appears in the

 $\boldsymbol{\cdot}$  display the pid, first\_name, last\_name of s and

- The where clause can contain predicates of the form
  - attr/value IN <query>
  - attr/value NOT IN <query>
  - attr/value = <query>
- The predicate is satisfied if the attr or value appears in the result of the nested <query>
- Also
  - EXISTS <query>
  - NOT EXISTS <query>

#### **Nesting: Break the task into smaller**

Produce a table that shows the pid, first name and last name of every student enrolled in the class named `MAS201', along with the number of credit units in his/her `MAS201' enrollment

Note: We assume that there are no two classes with the same name

#### IN

Produce a table that shows the pid, first name and last name of every student enrolled in the class named `MAS201', along with the number of credit units in his/her `MAS201' enrollment

Note: We assume that there are no two classes with the same name

```
SELECT s.pid, s.first_name, s.last_name, e.credits

FROM students s, enrollment e

WHERE e.class IN (SELECT c.id

FROM classes c

WHERE c.number = 'MAS201'

AND s.id = e.student
```

# Students + enrollments in class 1 Vs Students who enrolled in class 1

Imagine a weird university where a student is allowed to enroll multiple times in the same class

Produce a table that shows the pid, first name and last name of every student enrolled in the class with ID 1, along with the number of credit units in the "class 1" enrollment => The same student may appear many times, once for each enrollment SELECT s.pid, s.first name, s.last\_name, e.credits students s, enrollment e FROM WHERE s.id = e.studentAND e.class = 1

Produce a table that shows the pid, first name and la st name of every student who has enrolled at least once in the "class 1". => Each student will appear

at most once

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### **Uncorrelated subquery**

#### **EXISTS**

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### **Correlated with EXISTS**

)

Display the students enrolled in class 1

```
SELECT s.pid, s.first_name, s.last_name

FROM students s

WHERE EXISTS ( SELECT e.student

FROM enrollment e

WHERE e.class = 1

AND e.student = s.id )

Correlation: the variable s comes from the outer query
```

## Exercise, on thinking cardinalities of tuples in the results

SELECT s.pid, s.first\_name, s.last\_name, e.credits
FROM students s, enrollment e
WHERE e.class IN (SELECT c.id
FROM classes c
WHERE c.number = `MAS201'
)

AND s.id = e.student

EXERCISE: Under what condition the above query always produces the same result with the query below?

SELECT s.pid, s.first\_name, s.last\_name, e.credits
FROM students s, enrollment e, classes c
WHERE c.number = 'MAS201'
AND s.id = e.student
AND e.class = c.id

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#### **Exercise: Multiple JOINs**

Produce a table that shows the pid, first name and last name of every student enrolled in the MAS201 class along with the number of credit units in his/her 135 enrollment

Take One:

SELECT s.pid, s.first\_name, s.last\_name, e.credits
FROM students s, enrollment e, classes c
WHERE c.number = 'MAS201' AND s.id = e.student AND e.class = c.id

Take Two:

SELECT s.pid, s.first\_name, s.last\_name, e.credits

FROM (students s JOIN enrollment e ON s.id = e.student)

JOIN classes c ON e.class = c.id

WHERE c.number = 'MAS201'

# You can omit table names in SELECT, WHERE when attribute is unambiguous

SELECT pid, first\_name, last\_name, credits FROM students, enrollment, classes WHERE number = 'MAS201' AND **students.**id = student AND class = **classes.**id;

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### **SQL Queries, Aliases**

- Use the same relation more than once in the same query or even the same **FROM** clause
- Problem: Find the Friday classes taken by students who take MAS201
  - also showing the students, i.e., produce a table where each row has the data of a MAS201 student and a Friday class he/she takes

#### Find the MAS201 students who take a Friday 11:00 am class

```
SELECT s.id, s.first_name, s.last_name, cF.number
FROM students s, enrollment eF, classes cF
WHERE date_code = 'F'

AND eF.class = cF.id

AND s.id = eF.student

AND s.id IN

(

SELECT student

FROM enrollment e201, classes c201

WHERE c201.id = e201.class

AND c201.number = 'MAS201'

)
```

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## Multiple aliases may appear in the same FROM clause

Find the MAS201 students who take a Friday 11:00 am class

### **DISTINCT**

Find the other classes taken by MAS201 students (I don't care which students)

SELECT **DISTINCT** cOther.number
FROM **enrollment eOther, classes cOther, enrollment e201, classes c201**WHERE eOther.class = cOther.id
AND eOther.student = e201.student
AND c201.id = e201.class
AND c201.number = 'MAS201'

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### **UNION ALL**

Find all student ids for students who have taken class 1 or are named 'John'

```
( SELECT e.student
FROM enrollment e
WHERE e.class=1 )
UNION ALL
( SELECT s.id AS student
FROM student s
WHERE s.first_name='John'
)
```

### **UNION** with non -duplicate results

```
( SELECT e.student
FROM enrollment e
WHERE e.class=1 )
UNION
( SELECT s.id AS student
FROM student s
WHERE s.first_name='John'
)
```

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### **SQL Queries: Aggregation & Grouping**

- Aggregate functions: sum,Avg, Count, MIN, MAX, and recently user defined functions as well
- GROUP BY

Employe	e	
Name	Dept	Salary
Joe	Toys	45
Nick	PCs	50
Jim	Toys	35
Jack	PCs	40

**Example**: Find the average salary of all employees:

SELECT AVG(Salary) AS AvgSal FROM Employee

AvgSal 42.5

**Example**: Find the average salary for each department:

SELECT Dept, AVG(Salary) AS AvgSal FROM Employee GROUP BY Dept

Dept	AvgSal
Toys	40
PCs	45

# SQL Grouping: Conditions that Apply on Groups

- HAVING <condition> may follow a GROUP BYClause
- If so, the condition applies to each group, and groups not satisfying the condition are eliminated
- Example: Find the average salary in each department that has more than 1 employee:

```
SELECT Dept, AVG (Salary) AS AvgSal
FROM Employee
GROUP BY Dept
HAVING COUNT (Name) >1
```

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### Let's mix features we've seen: Aggregation after joining tables

 Problem: List all enrolled students and the number of total credits for which they have registered

```
SELECT students.id, first_name, last_name, SUM(credits)
FROM students, enrollment
WHERE students.id = enrollment.student
GROUP BY students.id, first_name, last_name
```

#### **ORDER BY and LIMIT**

Order the student id's of class 2 students according to their class 2 credits, descending

```
SELECT e.student
FROM enrollment e
WHERE e.class = 2
ORDER BY e.credits DESC
```

Order the student id's of class 2 students according to their class 2 credits, descending **and display the Top 10** 

```
SELECT e.student
FROM enrollment e
WHERE e.class = 2
ORDER BY e.credits DESC
LIMIT 10
```

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#### **Combining features**

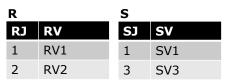
```
Find the Top-5 classes taken by students of class 2, i.e., the 5 classes (excluding class 2 itself) with the highest enrollment of class 2 students, display their numbers and how many class 2 students they have
```

```
SELECT cF.number, COUNT(*)
FROM enrollment e, classes c
WHERE eF.class = cF.id
     AND NOT(eF.class = 2)
     AND eF.student IN
        SELECT student
        FROM enrollment e2
        WHERE e201.class = 2
                                      Grouping by both id and
GROUP BY cF.id, cF.number <
                                         number ensures
ORDER BY cF.number
                                        correctness even if
                                      multiple classes have the
LIMIT 5
                                          same number
```

### The outerjoin operator

- New construct in FROM clause
- R LEFT OUTER JOIN S ON R.<attr of R>=S.<attr of J>

 R FULL OUTER JOIN S ON R.<attr of R>=S.<attr of J>



SELECT \*
FROM R LEFT OUTERJOIN S ON R.RJ=S.SJ

RJ	RV	SJ	SV
1	RV1	1	SV1
2	RV2	Null	Null

SELECT \*
FROM R FULL OUTERJOIN S ON R.RJ=S.SJ

RJ	RV	SJ	SV
1	RV1	1	SV1
2	RV2	Null	Null
Null	Null	3	SV3

٥١

### An application of outerjoin

- Problem: List all students and the number of total credits for which they have registered
  - Notice that you must also list non-enrolled students

SELECT students.id, first\_name, last\_name, SUM(credits)
FROM students LEFT OUTER JOIN enrollment ON
 students.id = enrollment.student
GROUP BY students.id, first\_name, last\_name

### SQL: More Bells and Whistles ...

- Pattern matching conditions
  - <attr> LIKE <pattern>

Retrieve all students whose name contains "Sm"

SELECT \*
FROM Students
WHERE name LIKE '%Sm%'

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### ...and a Few "Dirty" Points

- Null values
  - All comparisons involving NULL are **false** by definition
  - All aggregation operations, except count(\*), ignore NULL values

### **Null Values and Aggregates**

Example: R

K	
a	b
X	1
X	2
X	null
null	null
null	null

SELECT COUNT(a), COUNT(b), AVG(b), COUNT(\*)
FROM R
GROUP BY a

count(a)	count(b)	avg(b)	count(*)
3	2	1.5	3
0	0	null	2

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# Universal Quantification by Negation (difficult)

#### Problem:

 Find the students that take every class 'John Smith' takes

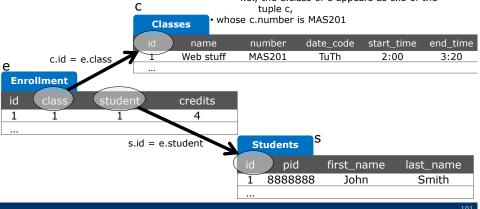
#### Rephrase:

 Find the students such that there is no class that 'John Smith' takes and they do not take

#### Hints for writing FROM/WHERE: Rephrase the statement, see it as a navigation across primary/foreign keys

Produce a table that shows the pid, first name and last name of every student enrolled in the MAS201 class along with the number of credit units in his/her 135 enrollment

- Find every combination of a students tuple s, an enrollment tuple e, c where
- the students tuple s,
- is connected to the enrollment tuple e
  - i.e., the s.id appears in the enrollment tuple e as e.student,
- and e is connected to the classes tuple c
  - i.e., the e.class of e appears as c.id of the



#### Find any students tuple s,

- that is connected to an enrollment tuple e
  - i.e., whose s.id appears in an enrollment tuple e as e.student,
- · and e is connected to a classes tuple c
  - i.e., the e.class of e appears as c.id of the tuple c,
- whose c.number is MAS201

#### FROM students AS s

#### · Find any students tuple s,

- that is connected to an enrollment tuple e
  - i.e., whose s.id appears in an enrollment tuple e as e.student,
- $\boldsymbol{\cdot}$  and e is connected to a classes tuple c • i.e., the e.class of e appears as c.id of the tuple c,
- · whose c.number is MAS201

#### Take One: Declarative

FROM students AS s, enrollment AS e WHERE s.id = e.student

#### Take Two: Algebraic

FROM students AS s

JOIN enrollment AS e ON s.id = e.student

- Find any students tuple s,
- that is connected to an enrollment tuple e
  - i.e., whose s.id appears in an enrollment tuple e as e.student,
- and e is connected to a classes tuple c
  - i.e., the e.class of e appears as c.id of the tuple c,
- whose c.number is MAS201

#### Take One: Declarative

FROM students AS s, enrollment AS e, classes AS c

WHERE s.id = e.student AND c.id = e.class

#### Take Two: Algebraic

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- Find any students tuple s,
- that is connected to an enrollment tuple e
  - i.e., whose s.id appears in an enrollment tuple e as e.student,
- and e is connected to a classes tuple c
  - i.e., the e.class of e appears as c.id of the tuple c,
- whose c.number is MAS201

#### Take One: Declarative

FROM students AS s, enrollment AS e, classes AS c WHERE s.id = e.student AND c.id = e.class

AND c.number = 'MAS201'

#### Take Two: Algebraic

FROM ( students AS s

JOIN enrollment AS e

ON s.id = e.student )

JOIN classes AS c

ON c.id = e.class

WHERE c.number = 'MAS201'

#### · Find any students tuple s,

- that is connected to an enrollment tuple e
  - i.e., whose s.id appears in an enrollment tuple e as e.student,
- and e is connected to a classes tuple c
  - i.e., the e.class of e appears as c.id of the tuple c,
- whose c.number is MAS201

#### FROM students AS s

• Find any students tuple s,

- that is connected to an enrollment tuple e
  - i.e., whose s.id appears in an enrollment tuple e as e.student,
- and e is connected to a classes tuple c
   i.e., the e.class of e appears as c.id of the
- tuple c,
   whose c.number is MAS201

Take One: Declarative
FROM students AS s,
enrollment AS e
WHERE s.id = e.student

Take Two: Algebraic
FROM students AS s,
JOIN enrollment AS e
ON s.id = e.student

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## Breaking a query into pieces with WITH

Find the 5 classes whose students have the busiest courseload, i.e., the 5 classes whose students have the highest average of guarter credits

Defines a table "courseload" that lives for the duration of this query only

WITH courseload AS
( SELECT e.student, SUM(credits) AS total\_credits
FROM enrollment e

**GROUP BY e.student )** 

SELECT e.class, AVG(c.total\_credits)
FROM enrollment e, **courseload** c
WHERE e.student = c.student
GROUP BY e.class
ORDER BY AVG(c.total\_credits) DESC

LIMIT 5

#### **Avoid repeating aggregates**

WITH courseload AS ( SELECT e.student, SUM(credits) AS total\_credits FROM enrollment e GROUP BY e.student ) SELECT e.class, AVG(c.total\_credits) FROM enrollment e, courseload c Equivalent WHERE e.student = c.student GROUP BY e.class ORDER BY AVG(c.total\_credits) DESC LIMIT 5 WITH courseload AS ( SELECT e.student, SUM(credits) AS total\_credits FROM enrollment e GROUP BY e.student ) SELECT e.class, AVG(c.total\_credits) AS credits\_avg FROM enrollment e, courseload c WHERE e.student = c.student GROUP BY e.class ORDER BY credits\_avg DESC LIMIT 5

# Breaking a query into pieces with nesting in the FROM clause

Find the 5 classes whose students have the busiest courseload, i.e., the 5 classes whose students have the highest average of quarter credits

Also defines a table, identical to the "courseload" except it has no name

SELECT e.class, AVG(c.total\_credits) AS credits\_avg FROM enrollment e,

( SELECT e.student, SUM(credits) AS total\_credits FROM enrollment e GROUP BY e.student ) c

WHERE e.student = c.student GROUP BY e.class ORDER BY credits\_avg DESC LIMIT 5

### and nesting in the SELECT clause

Find the 5 classes whose students have the busiest courseload, i.e., the 5 classes whose students have the highest average of quarter credits

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# **Discussed in class and discussion section**

How to solve in easy steps the following complex query:

Create a table that shows all time slots (date, start time, end time) when students of MAS201 attend a lecture of another class; Show also how many students attend a class at each time slot.

#### **SQL** as a Data Manipulation Language: **Insertions**

Inserting tuples

```
INSERT INTO R(A_1, ..., A_k)
VALUES (v_1, ..., v_k);
```

- Some values may be left ('99999999', 'John', 'Doe') **NULL**
- insertion

```
INSERT INTO R
SELECT ...
FROM ...
```

WHERE ...

Insert in Students 'John Doe' with A# 99999999

**INSERT INTO students** (pid, first\_name, last\_name) **VALUES** 

 Use results of queries for
 Enroll all MAS201 students into CSE132A

> INSERT INTO enrollment (class, student)

SELECT c132a.id, student FROM classes AS c135, enrollment, classes AS c132a

WHERE c135.number='MAS201' AND enrollment.class=c135.id AND c132a.number='CSE132A'

### **SQL** as a Data Manipulation Language: **Updates and Deletions**

 Deletion basic form: delete every tuple that satisfies <cond>:

DELETE FROM R WHERE <cond>

 Update basic form: update every tuple that satisfies <cond> in the way specified by the **SET** clause:

UPDATE R

SET 
$$A_1 = \langle \exp_1 \rangle, ..., A_k = \langle \exp_k \rangle$$

WHERE  $\langle \text{cond} \rangle$ 

- Delete "John" "Smith"
- DELETE FROM students WHERE first name='John' AND last name='Smith'
- Update the registered credits of all MAS201 students to 5

**UPDATE** enrollment SET credits=5

WHERE class=1

**UPDATE** enrollment SET credits=5 WHERE class IN (SELECT id FROM classes WHERE number='MAS201')