

[Chapter 2] **Divide-and-Conquer**



Divide-and-Conquer Approach

Divide

- It divides an instance of a problem into two or more smaller instances.
- If the smaller instances are still too large to be solved readily, they can be divided into even smaller instances, until solutions are readily obtainable.

Conquer

- The smaller instances are usually instances of the original problem.
- We may obtain solutions to the smaller instances readily.

Combine

- The process of dividing the instance can be obtained by combining these partial solutions.
- Top-down approach

Binary Search Algorithm Design

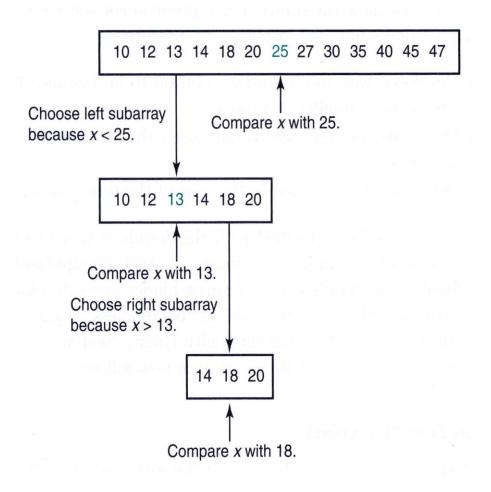
Problem

- Is the key x in the array S of n keys?
- Determine whether x is in the sorted array S of n keys.
- Inputs (parameters)
 - Positive integer n, sorted (non-decreasing order) array of keys S indexed from 1 to n, a key x.

Outputs

- The location of x in S. (0 if x is not in S.)
- Design Strategy
 - Divide the array into two subarrays about half as large. If x is smaller than the middle item, choose the left subarray. If x is larger, choose the right one.
 - Conquer (solve) the subarray by determining whether x is in that subarray.
 Unless the subarray is sufficiently small, use recursion to do this.
 - Obtain the solution to the array from the solution to the subarray.

Figure 2.1 The steps done by a human when searching with Binary Search (note:x = 18.)



Binary Search (Recursive algorithm)

```
index location (index low, index high) {
    index mid;
    if (low > high)
       return 0;
                                          // Not found.
    else {
       mid = (low + high) / 2
                                         // Integer division.
       if (x == S[mid])
           return mid;
                                          // Found.
       else if (x < S[mid])
           return location(low, mid-1); // Choose the left sub-array.
       else
           return location(mid+1, high); // Choose the right sub-array.
locationout = location(1, n);
```

Points of Observation

Reason for using a local variable locationout

- Input parameters, n, S, x, will not be changed during running the algorithm.
- Dragging those unchanging variables for every recursive call would incur a source of unnecessary inefficiency.

Tail-recursion removal

- No operations are done after the recursive call.
- It is straightforward to produce an iterative version.
- Recursion clearly illustrates the divide-and-conquer process.
- However, running recursions is over-burdensome due to excessive uses of activation records.
- A substantial amount of memory can be saved by eliminating the stack for activation records. (reason for preferring to iteration)
- Iterative version is better only as constant factor. Order is same.

Worst-Case Time Complexity

- Basic operation: the comparison of x with S[mid]
- Input size: n, the number of items in the array.
- Case 1: When n is a power of 2.

$$W(n) = W(\frac{n}{2}) + 1$$
 $W(1) = 1$
 $W(1) = 1$ $W(2) = W(1) + 1 = 2$
 $W(4) = W(2) + 1 = 3$

$$W(8) = W(4) + 1 = 4$$

 $W(16) = W(8) + 1 = 5$

$$W(2^k) = k + 1$$

$$W(n) = \lg n + 1$$

WCTC: Proof for Case 1.

- Induction Base
 - When n = 1, $W(1) = 1 = \lg 1 + 1$.
- Induction Hypothesis
 - For some $n = 2^k (k \ge 1)$, suppose it holds that $W(n) = \lg n + 1$.
- Induction Step

$$W(2n) = W(n) + 1$$

= $\lg n + 1 + 1$
= $\lg n + \lg 2 + 1$
= $\lg(2n) + 1$

WCTC: Case 2. When n is not a power of 2.

$$n = \lfloor \frac{n}{2} \rfloor$$

- The largest integer that is not greater than n/2.
- This is the size of the half of the array.

n	Size of the left sub-array	mid	Size of the right sub-array
Even	n/2 - 1	1	n/2
Odd	(n-1)/2	1	(n-1)/2

Then, the WCTC can be represented by

$$W(n) = 1 + W(\lfloor \frac{n}{2} \rfloor) \quad n > 1$$

$$W(1) = 1$$

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WCTC: Case 2. Proof that $W(n) = \lfloor \lg n \rfloor + 1$ (1/2)

- Induction Base
 - When n = 1, $\lfloor \lg n \rfloor + 1 = \lfloor \lg 1 \rfloor + 1 = 0 + 1 = 1 = W(1)$
- Induction Hypothesis
 - For some $n \ge 1$ and 1 < k < n, suppose $W(k) = \lfloor \lg k \rfloor + 1$
- Induction Step

if
$$\left\lfloor \frac{n}{2} \right\rfloor = \frac{n}{2}$$

$$W(n) = 1 + W(\left\lfloor \frac{n}{2} \right\rfloor)$$
$$= 1 + \left\lfloor \lg \left\lfloor \frac{n}{2} \right\rfloor \right\rfloor + 1$$
$$= 2 + \left\lfloor \lg \left\lfloor \frac{n}{2} \right\rfloor \right\rfloor$$
$$= 2 + \left\lfloor \lg \frac{n}{2} \right\rfloor$$
$$= 2 + \left\lfloor \lg n - 1 \right\rfloor$$
$$= 2 + \left\lfloor \lg n \right\rfloor - 1$$
$$= 1 + \left\lfloor \lg n \right\rfloor$$

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WCTC: Case 2. Proof that $W(n) = \lfloor \lg n \rfloor + 1$ (2/2)

Induction Step (Cont'd)

• if
$$\left\lfloor \frac{n}{2} \right\rfloor = \frac{n-1}{2}$$
 $W(n) = 1 + W(\left\lfloor \frac{n}{2} \right\rfloor)$

$$= 1 + \left\lfloor \lg \left\lfloor \frac{n}{2} \right\rfloor \right\rfloor + 1$$

$$= 2 + \left\lfloor \lg \left\lfloor \frac{n}{2} \right\rfloor \right\rfloor$$

$$= 2 + \left\lfloor \lg \left(n - 1 \right) - 1 \right\rfloor$$

$$= 2 + \left\lfloor \lg \left(n - 1 \right) \right\rfloor - 1$$

$$= 1 + \left\lfloor \lg \left(n - 1 \right) \right\rfloor$$

$$= 1 + \left\lfloor \lg n \right\rfloor$$

$$Q.E.D.$$

Mergesort

- Problem
 - Sort n keys in nondecreasing order.
- Inputs (parameters)
 - Positive integer n, array of keys S indexed from 1 to n.
- Outputs
 - The array S containing the keys in nondecreasing order.
- Design Strategy
 - Divide the array into two subarrays each with n/2 items.
 - Conquer (solve) each subarray by sorting it. Unless the array is sufficiently small, use recursion to do this.
 - Combine the solutions to the subarrays by merging them into a single sorted array.

Mergesort Algorithm in Pseudo-code

```
void merge(int h, int m, const keytype U[], const keytype V[],
            keytype S[])
{
         index i = 1, j = 1, k = 1;
         while (i <= h \&\& j <= m) {
             if (U[i] < V[j]) \{ S[k] = U[i]; i++; \}
             else { S[k] = V[i]; j++; }
             k++;
         if (i > h)
            copy V[j] through V[m] to S[k] through S[h+m];
         else
            copy U[i] through U[h] to S[k] through S[h+m];
```

Figure 2.2 The steps done by a human when sorting with Mergesort.

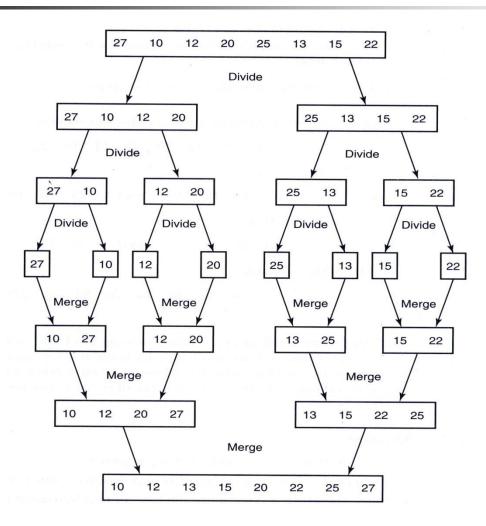


Table 2.1 An example of merging two arrays *U* and *V* into one array *S**

k	U V		S (Result)		
1	10 12 20 27	13 15 22 25	10		
2	10 12 20 27	13 15 22 25	10 12		
3	10 12 20 27	13 15 22 25	10 12 13		
4	10 12 20 27	13 15 22 25	10 12 13 15		
5	10 12 20 27	13 15 22 25	10 12 13 15 20		
6	10 12 20 27	13 15 22 25	10 12 13 15 20 22		
7	10 12 20 27	13 15 22 25	10 12 13 15 20 22 25		
	10 12 20 27	13 15 22 25	10 12 13 15 20 22 25 27 ← Final values		

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Worst-Case Time Complexity: Merge

- Basic operation: the comparison of *U[i]* and *V[j]*.
- Input size: h, and m, the number of items in each of the two input arrays.
- Analysis:
 - Worst case: when i = h, and j = m 1.
 - W(h, m) = h + m 1.

Worst-Case Time Complexity: Mergesort

- Basic operation: the comparison that takes place in merge.
- Input size: n, the number of items in the array S.
- Analysis:
 - W(h, m) = W(h) + W(m) + h + m 1.
 - W(h) is the time to sort U.
 - *W*(*m*) is the time to sort V/
 - h + m 1 is the time to merge.
 - $W(n) = 2W(\frac{n}{2}) + n 1$ $n > 1, n = 2^k (k \ge 1)$ W(1) = 0
 - $W(n) = \Theta(n \lg n)$

Space Complexity Analysis

In-place sort

- A sorting algorithm that does not use any extra space beyond that needed to store the input.
- Mergesort() is not an in-place sorting algorithm.
- New arrays U and V will be created when mergesort is called.
- The total number of extra array items created is $n + \frac{n}{2} + \frac{n}{4} + \dots = 2n$
- In other words, the space complexity is $2n \in \Theta(n)$
- We may reduce the extra space to n.
- But it is not possible to make mergesort algorithm to be an in-place sort.

Mergesort2

```
void mergesort2 (index low, index high) {
    index mid;
    if (low < high) {
        mid = (low + high) / 2;
        mergesort2(low, mid);
        mergesort2(mid+1, high);
        merge2(low, mid, high);
    }
}
...
mergesort2(1, n);
...</pre>
```

Merge2

```
void merge2(index low, index mid, index high) {
         index i=low, j=mid+1, k=low; keytype U[low..high
         while (i \leq mid && j \leq high) {
              if (S[i] < S[j]) \{ U[k] = S[i]; i++; |
             else { U[k] = S[j]; j++; }
              k++;
        if (i > mid)
            copy S[j] through S[high] to U[k] through U[high];
         else
            copy S[i] through S[mid] to U[k] through U[high];
         copy U[low] through U[high] to S[low] through S[high];
}
```

The Master Theorem

- Suppose a recurrence relation has a form of
 - $T(n) = a \times T(\frac{n}{b}) + f(n)$
 - where a >= 1 & b > 1 and n is a non-negative integer.
- Then, T(n) has the following asymptotic bounds as follows.
 - For some constant $\varepsilon > 0$, if $f(n) = O(n^{\log_b a \varepsilon})$, $T(n) = \Theta(n^{\log_b a})$
 - If $f(n) = \Theta(n^{\log_b a})$, $T(n) = \Theta(n^{\log_b a} \lg n)$ • For some constant $\varepsilon > 0$, if $f(n) = \Omega(n^{\log_b a + \varepsilon})$, and if
 - For some constant $\varepsilon > 0$, if $f(n) = \Omega(n^{\log_b a + \varepsilon})$, and if $a \times f(\frac{n}{b}) \le c \times f(n)$ where there exist a positive constant c < l and sufficiently large n
 - $T(n) = \Theta(f(n))$

Examples: Master Theorem (1/3)

- $T(n) = 9T(\frac{n}{3}) + n$
 - $a = 9, b = 3, f(n) = n, n^{\log_b a} = n^{\log_3 9} = \Theta(n^2)$
 - So, $f(n) = O(n^{\log_3 9 \varepsilon})$, where $\varepsilon = 1$.
 - Therefore, we can apply the Master Theorem #1
 - $T(n) = \Theta(n^{\log_3 9}) = \Theta(n^2)$
- $T(n) = T(\frac{2n}{3}) + 1$
 - $a = 1, b = 3/2, f(n) = 1, \text{ and } n^{\log_b a} = n^{\log_{\frac{3}{2}} 1} = n^0 = \Theta(1)$
 - So, $f(n) = \Theta(1)$
 - Therefore, we can apply the Master Theorem #2
 - $T(n) = \Theta(1\lg n) = \Theta(\lg n)$

Examples: Master Theorem (2/3)

- $T(n) = 3T(\frac{n}{4}) + n \lg n$
 - a = 3, b = 4, $f(n) = n \lg n$, and $n^{\log_b a} = n^{\log_4 3} = O(n^{0.793})$
 - So, $f(n) = \Omega(n^{\log_4 3 + \varepsilon})$, where $\varepsilon > 0$.
 - Let's see if we can apply the Master Theorem #3
 - For sufficiently large n, check if there exists c < 1.
 - See, if $c = \frac{3}{4}$, then $3\frac{n}{4}\lg(\frac{n}{4}) \le \frac{3}{4}n\lg n$ holds for sufficiently large n.
 - Therefore, $T(n) = \Theta(n \lg n)$

Examples: Master Theorem (3/3)

- $T(n) = 2T(\frac{n}{2}) + n \lg n$
 - $a = 2, b = 2, f(n) = n \lg n, \text{ and } n^{\log_b a} = n^{\log_2 2} = \Theta(n)$
 - So, $f(n) = \Omega(n^{\log_2 2 + \varepsilon})$, where $\varepsilon > 0$.
 - Let's see if we can apply the Master Theorem #3
 - For sufficiently large n, check if there exists c < 1, $2f(\frac{n}{2}) \le c \times f(n)$
 - But, there exists no such c for sufficiently large n.
 - Because, $\frac{\lg n-1}{\lg n} \le c$, no matter how large c that is close to 1, we can always make the LHS less than c.
 - Therefore, we can't apply the Master Theorem #3.

Auxiliary Master Theorem

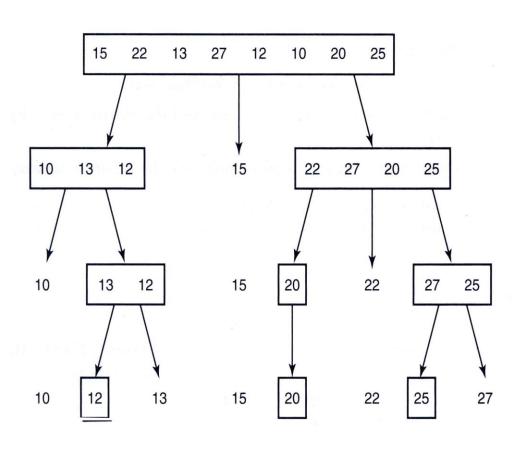
- $T(n) = a \times T(\frac{n}{b}) + f(n)$
 - For some $k \ge 0$, if $f(n) = \Theta(n^{\log_b a} \lg^k n)$
 - Then, $T(n) = \Theta(n^{\log_b a} \lg^{k+1} n)$.
- Example: $T(n) = 2T(\frac{n}{2}) + n \lg n$
 - $f(n) = \Theta(n \lg n)$ where some k=1, a=b=2.
 - Therefore, $T(n) = \Theta(n \lg^2 n)$

Quicksort

- C. A. R. Hoare (1962)
- Partition Exchange Sort

```
void quicksort (index low, index high) {
   index pivotpoint;
   if (high > low) {
      partition(low,high,pivotpoint);
      quicksort(low,pivotpoint-1);
      quicksort(pivotpoint+1,high);
   }
}
```

Figure 2.3 The steps done by a human when sorting with Quicksort. The subarrays are enclosed in rectangles whereas the pivot points are free.



Partitioning Algorithm

Table 2.2 An example of procedure *partition**

i	j	S[1]	S[2]	S[3]	S[4]	S[5]	S[6]	S[7]	S[8]	
		15	22	13	27	12	10	20	25	\leftarrow Initial values
2	1	15	22	13	27	12	10	20	25	9
3	2	15	22	13	27	12	10	20	25	
4	2	15	13	22	27	12	10	20	25	σ ° 6'
5	3	15	13	22	27	12	10	20	25	*
6	4	15	13	12	27	22	10	20	25	
7	4	15	13	12	10	22	27	20	25	2
8	4	15	13	12	10	22	27	20	25	0
	4	10	13	12	15	22	27	20	25	\leftarrow Final values

Every-Case Time Complexity (Partition)

- Basic operation: the comparison of S[i] with pivotitem.
- Input size: n = high low + 1, the number of items in the array S.
 - Because every item except the first is compared.
 - T(n) = n 1.

Worst-Case Time Complexity (Quicksort)

- Basic operation: the comparison of S[i] with pivotitem in partition.
- Input size: n, the number of items in the array S.
 - The worst case occurs if the array is already sorted in nondecreasing order.
 - No items are less than the first item, if it is sorted.
 - Therefore, the array is repeatedly partitioned into an empty subarray on the left and a subarray with one less item on the right.
 - T(n) = T(0) + T(n-1) + n 1.
 - Since T(0) = 0, T(n) = T(n-1) + n 1.

Worst-Case Time Complexity (Quicksort) (Cont'd)

Solving the recurrence relation,

$$T(n) = T(n-1) + n - 1$$

$$T(n-1) = T(n-2) + n - 2$$

$$T(n-2) = T(n-3) + n - 3$$

$$T(2) = T(1) + 1$$

$$T(1) = T(0) + 0$$

$$T(0) = 0$$

$$T(n) = 1 + 2 + \dots + (n-1) = \frac{n(n-1)}{2}$$

Proof of $W(n) \leq \frac{n(n-1)}{2}$

Induction Base

• If
$$n = 0$$
, $W(0) \le \frac{0(0-1)}{2}$.

Induction Hypothesis

• For all
$$0 \le k < n$$
, suppose $W(k) \le \frac{k(k-1)}{2}$

■ Induction Step
$$W(n) \le \frac{n(n-1)}{2}$$

■
$$W(n) \le W(p-1) + W(n-p) + n-1$$

 $\le \frac{(p-1)(p-2)}{2} + \frac{(n-p)(n-p-1)}{2} + n-1$
 $= \frac{p^2 - 3p + 2 + (n-p)^2 - n + p + 2n - 2}{2}$
 $= \frac{p^2 + (n-p)^2 + n - 2p}{2}$

• When p = 1, or p = n, the numerator will have the maximum value.

Proof of $W(n) \leq \frac{n(n-1)}{2}$ (Cont'd)

Therefore,

•
$$max_{p=1}(p^2 + (n-p)^2 + n - 2p) = 1^2 + (n-1)^2 + n - 2 = n^2 - n$$

•
$$max_{p=n}(p^2 + (n-p)^2 + n - 2p) = n^2 + 0^2 + n - 2n = n^2 - n$$

Consequently, the worst-case time complexity is

$$W(n) = \frac{n(n-1)}{2} \in \Theta(n^2)$$

•

Average-Case Time Complexity (Quicksort)

Analysis

- The value of pivotitem returned by partition is equally likely to be any of the numbers from 1 through n.
- The probability for the pivot position to be the p-th is $\frac{1}{n}$
- The average time to sort if the pivot position is the p-th is [A(p-1) + A(n-p)] and the time to partition is n-1.
- Therefore, the average time complexity is ...

$$A(n) = \sum_{p=1}^{n} \frac{1}{n} [A(p-1) + A(n-p)] + n - 1$$
$$= \frac{2}{n} \sum_{p=1}^{n} A(p-1) + n - 1$$

Average-Case Time Complexity (Quicksort) (Cont'd)

$$nA(n) = 2\sum_{p=1}^{n} A(p-1) + n(n-1)$$
 (1)

$$(n-1)A(n-1) = 2\sum_{p=1}^{n-1} A(p-1) + (n-1)(n-2)$$
 (2)

$$nA(n) - (n-1)A(n-1) = 2A(n-1) + 2(n-1)$$

$$\frac{A(n)}{n+1} = \frac{A(n-1)}{n} + \frac{2(n-1)}{n(n+1)}$$

$$a_n = \frac{A(n)}{n+1}$$

$$a_n = a_{n-1} + \frac{2(n-1)}{n(n+1)}$$

$$n > 0$$

$$a_n = a_{n-1} + \frac{2(n-1)}{n(n+1)}$$

$$a_{n-1} = a_{n-2} + \frac{2(n-2)}{(n-1)n}$$

$$a_2 = a_1 + \frac{1}{3}$$

$$a_1 = a_0 + 0$$

Average-Case Time Complexity (Quicksort) (Cont'd)

$$a_n = \sum_{i=1}^n \frac{2(i-1)}{i(i+1)}$$

$$= 2\left(\sum_{i=1}^n \frac{1}{i+1} - \sum_{i=1}^n \frac{1}{i(i+1)}\right) \approx 2\ln n$$

$$\sum_{i=1}^{n} \frac{1}{i} = 1 + \frac{1}{2} + \dots + \frac{1}{n} = \ln n$$

$$A(n) \approx (n+1)2\ln n$$

$$= (n+1)2(\lg n)/(\lg e)$$

$$\approx 1.38(n+1)\lg n$$

$$\in \Theta(n\lg n)$$

Matrix Multiplication

```
void matrixmult (int n, const number A[][], const number B[][], number C[][]) { index i, j, k; for (i = 1; i <= n; i++) for (j = 1; j <= n; j++) { C[i][j] = 0; for (k = 1; k <= n; k++) C[i][j] = C[i][j] + A[i][k] * B[k][j]; } } } T(n) = n \times n \times n = n^3 \in \Theta(n^3)
```

2x2 Matrix Multiplication: Strassen's Method

$$\begin{bmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \times \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix}$$

$$C = \begin{bmatrix} m_1 + m_4 - m_5 + m_7 & m_3 + m_5 \\ m_2 + m_4 & m_1 + m_3 - m_2 + m_6 \end{bmatrix}$$

$$m_{1} = (a_{11} + a_{22}) \times (b_{11} + b_{22})$$

$$m_{2} = (a_{21} + a_{22}) \times b_{11}$$

$$m_{3} = a_{11} \times (b_{12} - b_{22})$$

$$m_{4} = a_{22} \times (b_{21} - b_{11})$$

$$m_{5} = (a_{11} + a_{12}) \times b_{22}$$

$$m_{6} = (a_{21} - a_{11}) \times (b_{11} + b_{12})$$

$$m_{7} = (a_{12} - a_{22}) \times (b_{21} + b_{22})$$

nxn Matrix Multiplication: Strassen's Method

$$\begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix} = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \times \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

$$C = \begin{bmatrix} M_1 + M_4 - M_5 + M_7 & M_3 + M_5 \\ M_2 + M_4 & M_1 + M_3 - M_2 + M_6 \end{bmatrix}$$

$$M_1 = (A_{11} + A_{22}) \times (B_{11} + B_{22})$$

$$M_2 = (A_{21} + A_{22}) \times B_{11}$$

$$M_3 = A_{11} \times (B_{12} - B_{22})$$

$$M_4 = A_{22} \times (B_{21} - B_{11})$$

$$M_5 = (A_{11} + A_{12}) \times B_{22}$$

$$M_6 = (A_{21} - A_{11}) \times (B_{11} + B_{12})$$

$$M_7 = (A_{12} - A_{22}) \times (B_{21} + B_{22})$$

Strassen's Algorithm

- Problem: Determine the product of two $n \times n$ matrices where n is a power of 2.
- Inputs: An integer n that is a power of 2, and two n × n matrices A and B.
- Outputs: the product C of A and B.



Every-Case Time complexity Analysis of Number of Multiplications (Strassen)

- Basic operations: one elementary multiplication.
- Input size: n, the number of rows and columns.

```
T(n) = 7T(\frac{n}{2}) \quad n > 1, \ n = 2^{k} (k \ge 1)
T(1) = 1
T(n) = 7 \times 7 \times \cdots \times 7
= 7^{k}
= 7^{\lg n}
= n^{\lg 7}
= n^{2.81}
\in \Theta(n^{2.81})
```



Every-Case Time complexity Analysis of Number of Additions/Subs (Strassen)

- Basic operations: one elementary addition or subtraction.
- Input size: n, the number of rows and columns.

$$T(n) = 7T(\frac{n}{2}) + 18(\frac{n}{2})^2 \quad n > 1, \ n = 2^k \ (k \ge 1)$$
$$T(1) = 0$$

$$T(n) = \Theta(n^{\lg_2 7}) = \Theta(n^{2.81})$$

Table 2.3 A comparison of two algorithms that multiply *n* x *n* matrices

	Standard Algorithm	Strassen's Algorithm
Multiplications	n^3	$n^{2.81}$
Additions/Subtractions	$n^3 - n^2$	$6n^{2.81} - 6n^2$

Comments: Strassen's Algorithm

- Coppersmith and Winograd (1987)
 - $T(n) = O(n^{2.38})$
- However, the constant is so large that Strassen's algorithm is usually more efficient unless the size of n is excessively large.
- No $\Theta(n^2)$ algorithm has been designed.
- Nobody proved that it's impossible!