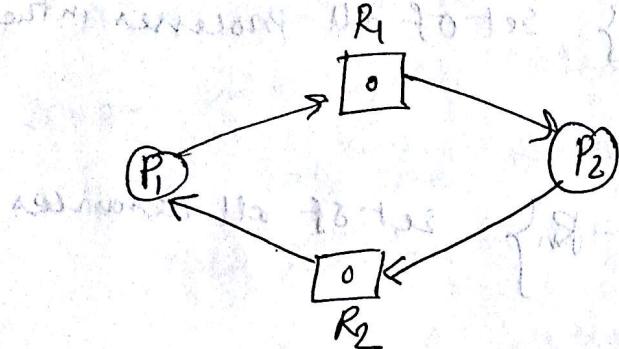


Q-7

### Dead locks

What is Dead lock?

Dead lock is a set of processes in which each process holding a resource & waiting to acquire new resources held by another process in time. the set. This situation is called dead lock.



Dead lock can arise if the following four conditions hold simultaneously.

- (i) Mutual exclusion: Only one process at a time can use a resource.
- (ii) Hold & wait: A process holding a resource and waiting to acquire another process held by another process.
- (iii) No preemption: A resource can be released only voluntarily by the process holding it.

(iv) Circular wait: There exists a set of waiting processes of  $P_0, P_1, P_2, \dots, P_n$ . Here  $P_{i-1}$  waiting for resource held by  $P_i$  &  $P_n$  waiting for a resource held by  $P_0$ .

### Resource Allocation graph:

A set of vertices  $V$  & edges  $E$ .  $V$  is partitioned into two types.

(i)  $P = \{P_1, P_2, P_3, \dots, P_n\}$  set of all processes in the system

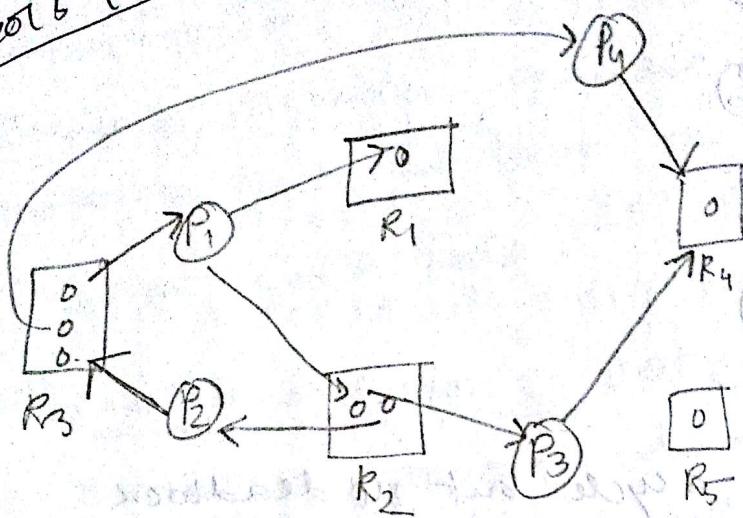
(ii)  $R = \{R_1, R_2, R_3, \dots, R_n\}$  set of all resources in the system.

There are two types of edges:-

(i) Requested edge  $\rightarrow$  directed edge  $P_i \rightarrow R_j$

(ii) Assigned edge  $\rightarrow$  directed edge  $R_j \rightarrow P_i$

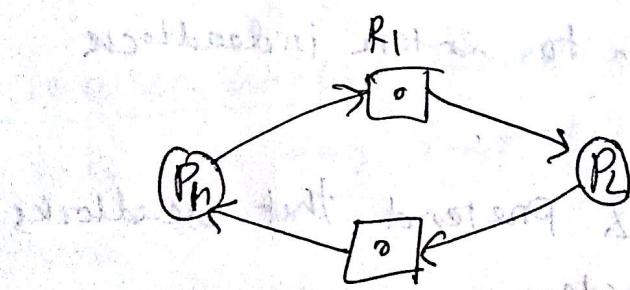
notes (SB)



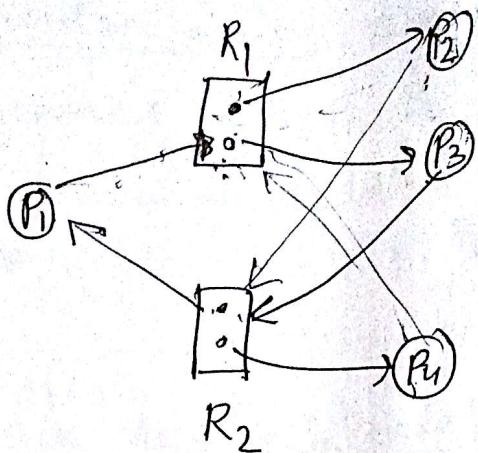
A graph with no cycle no deadlock.

A graph with cycle deadlock may arise:-

- (i) If only one instance per resource type
- (ii) If several instances per resource type



Hence single instance per resource & contains a cycle so deadlock arise.



This graph contains a cycle but no deadlock occur.

### Methods of handling deadlock:-

- ① Ensure that the system never enters in deadlock. This can be implemented by:
  - (i) Deadlock prevention,
  - (ii) Deadlock avoidance.
- ② Allow the system to enter in deadlock then recover.
- ③ Ignore the problem & pretend that deadlocks never occur in the system.

## Read lock prevention

Deadlock prevention provides a set of methods ensuring that at least one of the following condition can't hold:

- ① Mutual Exclusion: not required for sharable resources. must hold non-sharable resources.
- ② Hold & wait: Must guarantee that whenever a process requests a resource, it does not hold any other resources.
- ③ No preemption: if some process holding some resource & requests another resource it can't be immediately allocated to it.
- ④ circular wait: make total ordering of resources in such a way that circular wait does not happen.

5

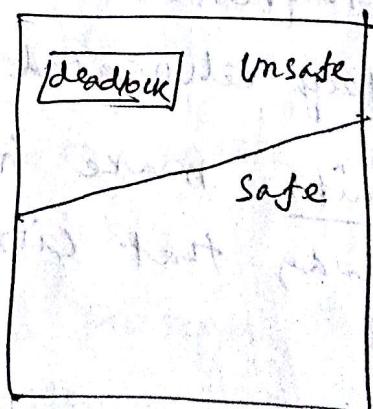
\* A system is in safe state if there exist a sequence  $\langle P_0, P_1, P_2, \dots, P_n \rangle$  of all processes in the system such that for each  $P_i$ , the resources  $P_i$  can request the resource requests that  $P_i$  can be satisfied by available resources.

Otherwise a system is in unsafe state.

If a system is in safe state no deadlock.

If a system is in unsafe state possibility of dead lock.

Avoidance algorithm ensures system never in unsafe state.



## Recovery from deadlock

⑦ There are two ways of recovery from deadlock.

### ① Process termination:

(i) abort all dead lock processes.

(ii) abort one process at a time until  
dead lock cycle is eliminated.

In which order should chose to abort

① Priority of the process.

② How long process has computed.

③ Resources the process has used.

④ Resources process needs to compute.

⑤ How many processes will need to be terminated.

⑥ Is process interactive & batch.

### ② Resource Preemption:

① Selecting a victim  $\rightarrow$  minimize lost.

② Rollback  $\rightarrow$  return to some safe state, restart process for that state.

③ Starvation: Some processes may always pick as a victim. include no. of rollback in lost factor.

## Banker's algorithm

### Safety algo.

work & finish two vector begin with m.

① work $\geq$  available.

finish[i] = false

② Find an  $i$  such that both

③ finish[i] = false.

④ Need $i \leq$  work.

If no such  $i$  exists go to step 4.

⑤ work = work + Allocation $i$

finish[i] = true.

⑥ If finish[i] == true for all 'i'

then the system is in safe state.

A (10 instances)

B (5 instances) C (7 instances)

Processes	Allocation			Max	Available
	A	B	C		
P <sub>0</sub>	0	1	0	7 5 3	3 3 2
P <sub>1</sub>	2	0	0		3 2 2
P <sub>2</sub>	3	0	2		9 0 2
P <sub>3</sub>	2	1	1		2 2 2
P <sub>4</sub>	0	0	2		4 3 3

$$\text{work} = \text{available}(332)$$

Need

	A	B	C
P <sub>0</sub>	7	4	3

$$\text{Need}[1] = 122 < \text{work}(332)$$

	A	B	C
P <sub>1</sub>	1	2	2

$$\therefore \text{work} = 332 + 200$$

$$= 532 \rightarrow P_1$$

	A	B	C
P <sub>2</sub>	6	0	0

$$\text{need}[2] = 600 > \text{work}(532)$$

	A	B	C
P <sub>3</sub>	0	1	1

$$\text{need}[3] = 011 \leq \text{work}(532)$$

	A	B	C
P <sub>4</sub>	4	3	1

$$\text{work} = 532 + 211$$

$$= 743 \rightarrow P_3$$

$$\text{need}[4] = 431 < \text{work}(743)$$

$$\text{work} = 743 + 002 = 745 \rightarrow P_4$$

Need [0] = 743  $\leq$  work [745].

$$\begin{aligned} \text{work}_2 &= 745 + 010 \\ &= 755 \longrightarrow P_0 \end{aligned}$$

need [2] = 600  $\leq$  work (755).

$$\begin{aligned} \text{work}_2 &= 745 + 302 \\ &= 1057 \longrightarrow P_2 \end{aligned}$$

$$P_1 \rightarrow P_3 \rightarrow P_4 \rightarrow P_0 \rightarrow P_2$$

P<sub>1</sub> (102) be granted?

Request P<sub>1</sub>  $\leq$  Need (P<sub>1</sub>)

Request P<sub>1</sub>  $\leq$  Available.

Then Need P<sub>1</sub>' = Need(P<sub>1</sub>) - Req(P<sub>1</sub>)

Allocation P<sub>1</sub> = Allocation (P<sub>1</sub>) + Req(P<sub>1</sub>).  
Available = Available - Req(P<sub>1</sub>)

$P_1(102) \leq \text{Need } P_1(122)$

$P_1(102) \leq \text{Available } (332)$

Allocate  $P_1 = 200 + 102 = 302$

need  $P_1 = 122 - 102 = 020$

Available  $P_1 = 332 - 102 = 230$

	Allocate	<del>Max</del> Need	230
$P_0$	010	743	
$P_1$	302	020	
$P_2$	302	600	
$P_3$	211	011	
$P_4$	002	431	

Need  $P_0 = 743 < 745$

$$\begin{aligned} \text{work} &= 745 + 010 \\ &= 755 \rightarrow P_0 \end{aligned}$$

Need  $P_2 = 600 < 745$

$$\text{work} = 755 + 302$$

$$(230) = 1057 \rightarrow P_2$$

Need  $P_1 = 020 \leq \text{work}$

$$\text{work} = 230 + 302 = 532$$

Need  $P_2 = 600 > \text{work } (532)$

Need  $P_3 = 011 < \text{work } (532) \rightarrow P_3$

$$\text{work}_2 = 532 + 211 = 743$$

Need  $P_4 = 431 < \text{work } (743)$

$$\begin{aligned} \text{work}_3 &= 743 + 002 \\ &= 745 \rightarrow P_4 \end{aligned}$$

2011  
6(a)

Allocation

P<sub>0</sub> A B C D  
0 0 1 2

P<sub>1</sub> 1 0 0 0

P<sub>2</sub> 1 3 5 4

P<sub>3</sub> 0 5 2 3

P<sub>4</sub> 0 1 2 4

Max  
A B C D  
0 0 1 2

1 7 5 0

2 3 5 6

0 6 4 5

0 5 6 4

2 14 12 13

Available

A B C D  
1 5 2 0

1 5 3 2

2 8 8 8

2 13 10 9

2 14 12 13

~~2 14 12 13~~

3 14 12 13

15 32

(ii) Need (Max - Alloc)

A B C D

0 0 0 0

0 7 5 0

1 0 0 2

0 1 2 2

0 4 4 0

Safe Seq (P<sub>0</sub>, P<sub>2</sub>, P<sub>3</sub>, P<sub>4</sub>, P<sub>1</sub>)

(iii) Alloc

<u>Allocation</u>				<u>Max</u>	<u>Available</u>	<u>Need</u>	
A	B	C	D	AB	CD	AB	CD
0	0	1	2	0	0	1	2
1	3	2	0	1	7	5	0
1	3	5	4	2	3	5	6
0	5	2	3	0	6	4	5
0	1	2	4	0	5	6	4
				1	2	1	2
				2	1	0	1
				1	0	1	0
				0	0	0	0
				3	1	4	1
				4	1	2	1
				5	0	1	0
				6	0	0	0
				7	0	0	0
				8	0	0	0
				9	0	0	0
				10	0	0	0
				11	0	0	0
				12	0	0	0
				13	0	0	0
				14	0	0	0
				15	0	0	0
				16	0	0	0
				17	0	0	0
				18	0	0	0
				19	0	0	0
				20	0	0	0
				21	0	0	0
				22	0	0	0
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				41	0	0	0
				42	0	0	0
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				46	0	0	0
				47	0	0	0
				48	0	0	0
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				92	0	0	0
				93	0	0	0
				94	0	0	0
				95	0	0	0
				96	0	0	0
				97	0	0	0
				98	0	0	0
				99	0	0	0
				100	0	0	0

Seq (P<sub>0</sub>, P<sub>2</sub>, P<sub>3</sub>, P<sub>4</sub>, P<sub>1</sub>)

The req can be granted.

### Peterson algorithm

Main: Request  $\leq$  work (available)  $\Rightarrow$  check