

# Assignment#2 - Unsupervised Learning

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## 1. 테스트 환경

운영체제	Windows 11 23H Education edition
개발환경	Jupyter Notebook (local)
Kernel	Python 3 (ipykernel)

## 2. 실험 준비

### a. Dataset

- Fashion MNIST data (테스트 dataset 만 사용)
  - images

shape	(10000, 784)
data type	float32 array

- labels

shape	(10000,)
data type	array

- images, labels 전처리
  - images to pandas.DataFrame (변수 이름 : X\_)

0	1	2	3	4	5	6
0	0.0	0.0	0.0	0.000000	0.0	0.000000
1	0.0	0.0	0.0	0.000000	0.0	0.000000
2	0.0	0.0	0.0	0.000000	0.0	0.000000
3	0.0	0.0	0.0	0.000000	0.0	0.000000
4	0.0	0.0	0.0	0.007843	0.0	0.003922
...	...	...	...	...	...	...
9995	0.0	0.0	0.0	0.000000	0.0	0.000000
9996	0.0	0.0	0.0	0.000000	0.0	0.000000
9997	0.0	0.0	0.0	0.000000	0.0	0.000000
9998	0.0	0.0	0.0	0.000000	0.0	0.000000
9999	0.0	0.0	0.0	0.000000	0.0	0.000000

10000 rows × 784 columns

- labels to pandas.Series (변수 이름 : y\_)

```
0      9
1      2
2      1
3      1
4      6
..
9995    9
9996    1
9997    8
9998    1
9999    5
Length: 10000, dtype: int64
```

## b. Experimental Setup

### Clustering Algorithm

- **KMeans**

- 구현체 : sklearn.cluster.KMeans 클래스
- Hyper Parameter :
  - n\_cluster : 10
  - 나머지 파라미터는 모두 default parameter set 사용

- **DBSCAN**

- 구현체 : sklearn.cluster.DBSCAN
- Hyper Parameter :
  - eps (epsilon) : parameter search 알고리즘을 통해 구한 최적 epsilon 값
  - min\_samples : parameter search 알고리즘을 통해 구한 최적 min\_samples 값
  - n\_jobs : -1 (all processor)
  - 나머지 파라미터는 모두 default parameter set 사용

## c. Pseudo code of Algorithms

아래에 이번 과제에서 사용한 알고리즘들을 pseudo code 형태로 나열.

- Helper algorithms for main learning process

[KMeans clustering algorithm]

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**Algorithm 1** K-means Clustering

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```

1: procedure KMEANSCLUSTERING( $X, n\_clusters = 10$ )
2:    $kmeans \leftarrow$  KMeans( $n\_clusters = n\_clusters$ ).fit( $X$ )
3:    $labels \leftarrow kmeans.labels\_$ 
4:   return  $labels$ 
5: end procedure

```

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[DBSCAN clustering algorithm]

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**Algorithm 2** DBSCAN Clustering

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```

1: procedure DBSCANCLUSTERING( $X, eps, min\_samples$ )
2:    $dbscan \leftarrow$  DBSCAN( $eps = eps, min\_samples = min\_samples, n\_jobs = -1$ ).fit( $X$ )
3:   return  $dbscan.labels\_$ 
4: end procedure

```

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[Transform from PCA dataset to t-SNE]

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**Algorithm 3** Transform PCA to t-SNE

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```

1: procedure TRANSFORM PCA TO T-SNE( $X$ )
2:    $n\_components \leftarrow 2$ 
3:    $learning\_rate \leftarrow 300$ 
4:    $perplexity \leftarrow 30$ 
5:    $early\_exaggeration \leftarrow 12$ 
6:    $init \leftarrow$  'random'
7:    $tSNE \leftarrow$  Create tSNE with parameters
8:    $test\_PCA \leftarrow$  DataFrame( $X$ )
9:    $X\_test\_tSNE \leftarrow tSNE.fit$  and transform PCA to tSNE
10:   $X\_test\_tSNE \leftarrow$  convert tSNE to Dataframe( $X\_test\_tSNE$ )
11:  return  $X\_test\_tSNE$ 
12: end procedure

```

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## [Hyper parameter search for DBSCAN]

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**Algorithm 1** Find Best Parameters for DBSCAN

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```
1: procedure FINDBESTPARAMSDBSCAN(true_labels)
2:   eps_list  $\leftarrow$  arange(0.5, 10.0, 0.1)
3:   min_sample_list  $\leftarrow$  arange(5, 105, 1)
4:   best_params_per_dim  $\leftarrow$  {dim : [] for dim in [784, 100, 50, 10]}
5:   for dim, X_test_tSNE in zip(dimensions, X_transformed) do
6:     for eps in eps_list do
7:       for min_sample in min_sample_list do
8:         labels  $\leftarrow$  DBSCAN_CLUSTERING(X_test_tSNE, eps, min_sample)
9:         cur_score  $\leftarrow$  EVALUATE_CLUSTERING(true_labels, labels)
10:        if cluster_count  $\geq$  9 then
11:          Append new ARI score, eps and min sample
12:          sort params by ARI in descending order
13:          slice params at index 2
14:        end if
15:      end for
16:    end for
17:  end for
18:  return best_params_per_dim
19: end procedure
```

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## 3. 실험 진행

아래의 pseudo code를 따라,

- 각 차원 784, 100, 54, 10에 대해
  - PCA 적용
  - tSNE 변형
  - 변형된 데이터셋에 대해 Kmeans 와 dbscan 클러스터링 알고리즘으로 학습후, ARI score계산 및 시각 (dbscan은 parameter search로 얻은 최적 params중 임의로 선택하여 통일 적용)

### a. learning process

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**Algorithm 1** Main Execution

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```
1: procedure MAINEXECUTION( $X_-$ ,  $y_-$ )
2:    $dimensions \leftarrow [784, 100, 50, 10]$ 
3:    $ari\_kmeans \leftarrow []$ 
4:    $ari\_dbscan \leftarrow []$ 
5:   for  $dim$  in  $dimensions$  do
6:     if  $dim = 784$  then
7:        $X\_reduced \leftarrow X_-$  ▷ Original image dimensions
8:     else
9:        $X\_reduced \leftarrow \text{APPLYPCA}(X_-, dim)$ 
10:    end if
11:    running algorithms and visualize for both kmeans and dbscan
12:  end for
13: end procedure
```

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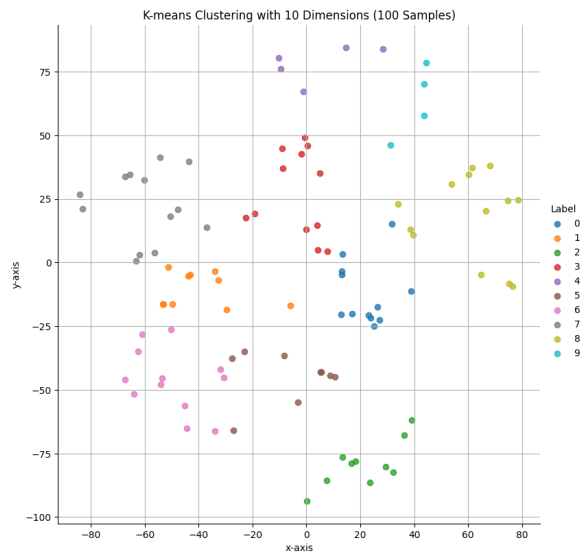
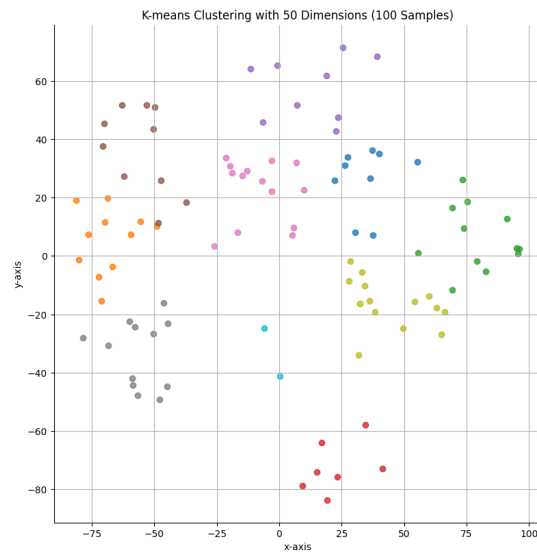
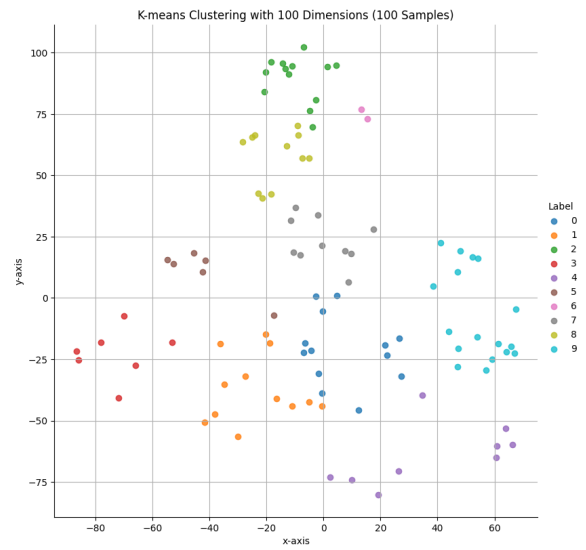
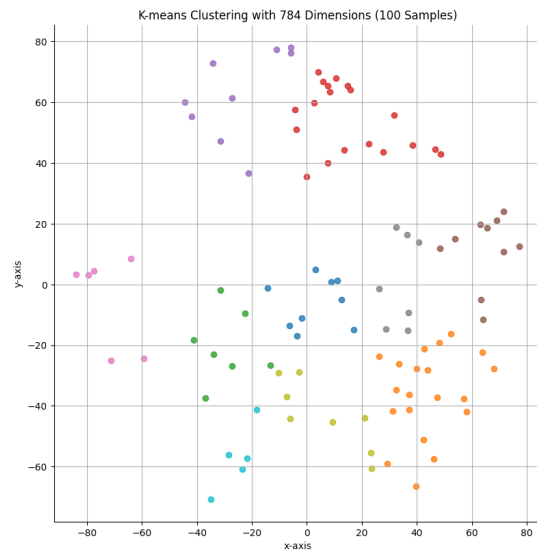
## 4. 실험 결과

### a. ARI scores for both of KMeans and DBSCAN

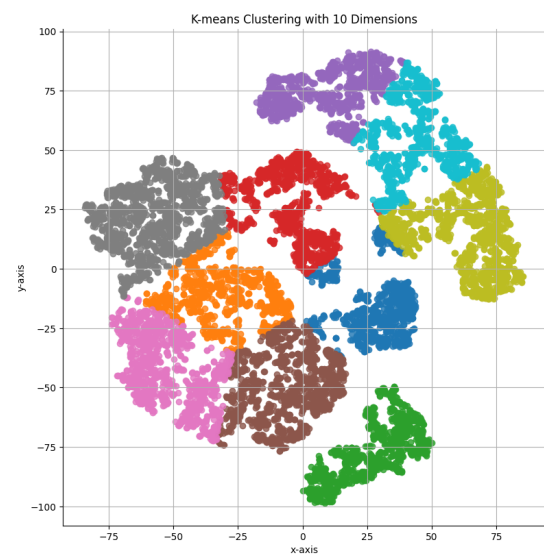
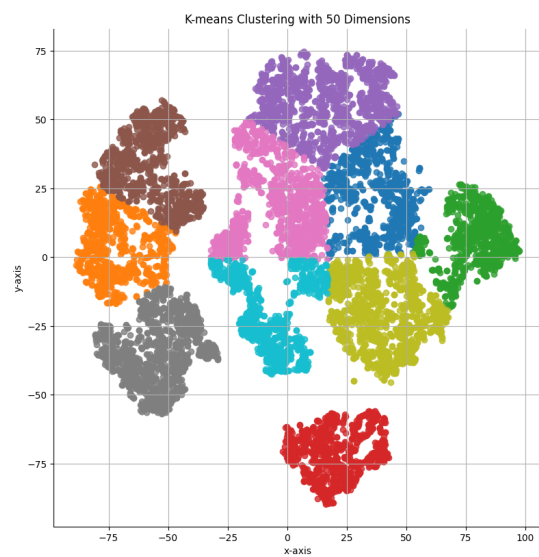
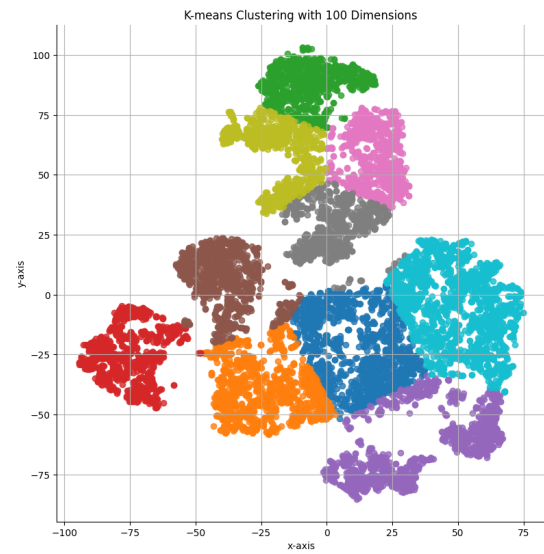
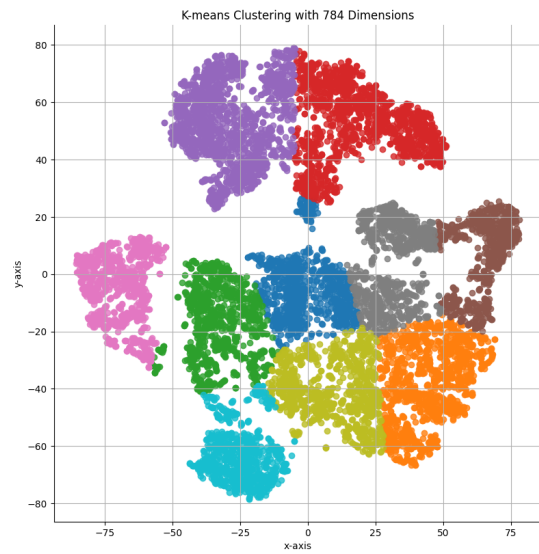
	Dimensions	K-means ARI	DBSCAN ARI
0	784	0.436577	0.440892
1	100	0.461160	0.424607
2	50	0.405413	0.438050
3	10	0.425742	0.400305

### b. KMeans visualizatoin

Kmeans cluster 100 samples plotted

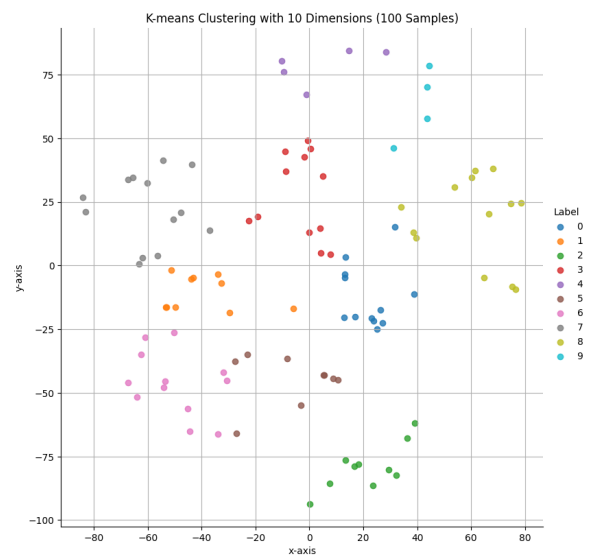
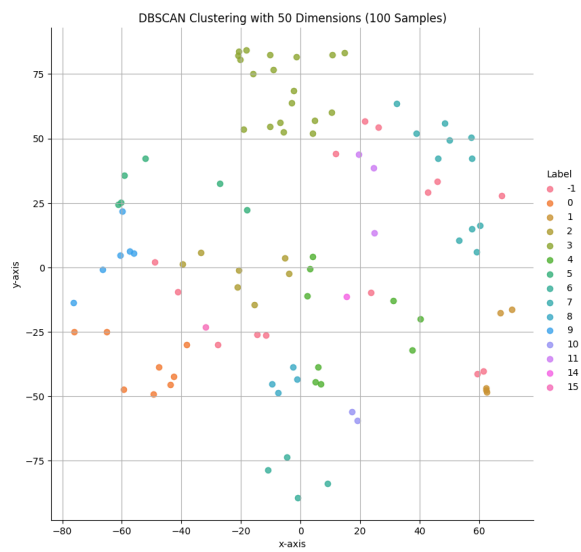
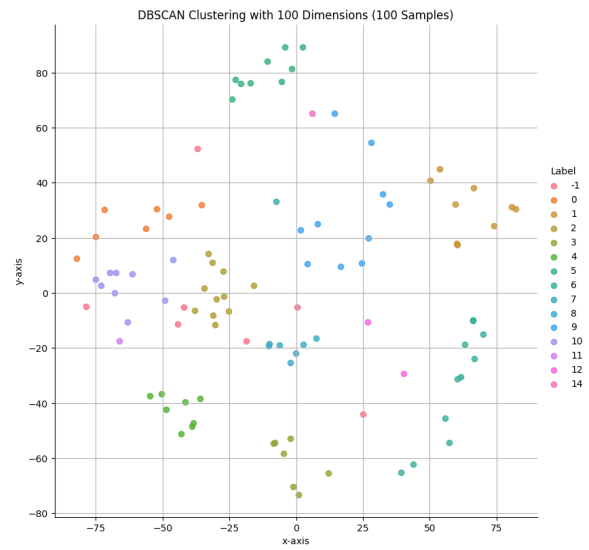
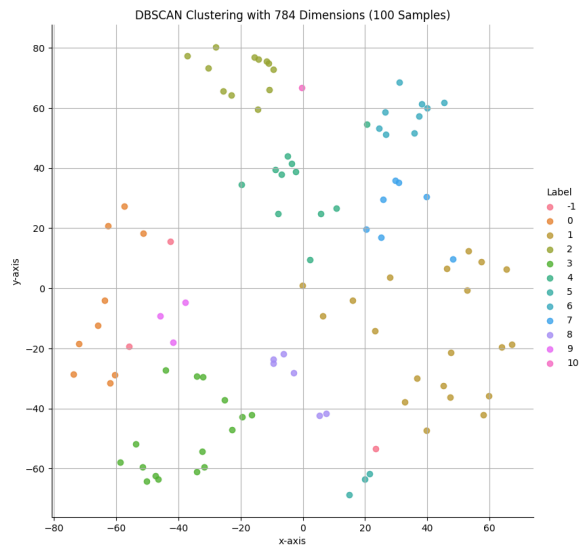


**KMeans cluster Without sampling**



### c. DBSCAN visualization (plotted 100 samples)

DBSCAN 100 samples plotted



## DBSCAN Without sampling



