

# Introduction to Machine Learning, Fall 2015 - Exercise session V

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## Problem 2 (3 points)

*Exclusive or* is a classical example used to demonstrate the limitations of linear classifiers. In the most basic setting, we have two binary inputs and a binary output. Using +1 and -1 as the binary values, the exclusive or function XOR is given by the following table:

$x_1$	$x_2$	XOR( $x_1, x_2$ )
-1	-1	-1
-1	+1	+1
+1	-1	+1
+1	+1	-1

- (a) Give a detailed proof for the fact that the exclusive or function cannot be represented as a linear classifier. That is, prove that there is no coefficient vector  $(w_0, w_1, w_2)$  such that

$$\text{XOR}(x_1, x_2) = \text{sign}(w_0 + w_1x_1 + w_2x_2)$$

would hold for all possible inputs  $(x_1, x_2)$ .

- (b) Show that if we include an extra input variable  $x_1x_2$ , we can represent XOR as a linear classifier in terms of the modified inputs. That is, find a coefficient vector  $(w_0, w_1, w_2, w_3)$  such that

$$\text{XOR}(x_1, x_2) = \text{sign}(w_0 + w_1x_1 + w_2x_2 + w_3x_1x_2)$$

holds for all  $(x_1, x_2)$ .

## Solution to (a)

Define three points in  $\mathbb{R}^3$ :

$A \ (-1, -1, w_0 - w_1 - w_2),$

$B \ (-1, +1, w_0 - w_1 + w_2),$

$C \ (+1, -1, w_0 + w_1 - w_2).$

We must have

$$w_0 - w_1 - w_2 < 0,$$

$$w_0 - w_1 + w_2 > 0,$$

$$w_0 + w_1 - w_2 > 0.$$

Next, let us find a plane in  $\mathbb{R}^3$  that passes through points  $A, B$  and  $C$ :  $z = ax + by + c$ . Now,

$$\begin{cases} -a - b + c &= w_0 - w_1 - w_2 \\ -a + b + c &= w_0 - w_1 + w_2 \\ a - b + c &= w_0 + w_1 - w_2, \end{cases}$$

which simplifies to

$$\begin{cases} -a - b + c &= w_0 - w_1 - w_2 \\ 2c &= 2w_0, \end{cases}$$

so we have that  $c = w_0$ . Next,

$$\begin{cases} -a - b &= -w_1 - w_2 \\ -a + b &= -w_1 + w_2. \end{cases}$$

Which leads to  $-2a = -2w_1$  and  $a = w_1$ , and finally  $b = w_2$ . Now our plane is defined as

$$z = w_1x + w_2y + w_3.$$

The point  $D$  has coordinates  $(+1, +1, w_0 + w_1 + w_2)$ . Since

$$w_0 - w_1 + w_2 > 0$$

$$w_0 + w_1 - w_2 > 0,$$

summing the above inequalities we obtain  $w_0 > 0$ . Also, since  $w_0 - w_1 - w_2 < 0$ , we have that  $w_0 < w_1 + w_2$ . So  $w_0 + w_1 + w_2 > 0$  and  $D$  is “misclassified” (we should have had  $w_0 + w_1 + w_2 < 0$ ).

If we chose instead of the point  $D$ , we would get the same contradiction. The problem is that all four points do not lie on a same single plane.

## Solution to (b)

This one is easy. Just define  $\text{XOR}(x_1, x_2) = -\text{sign}(x_1x_2)$ . Now

$x_1$	$x_2$	$\text{XOR}(x_1, x_2)$
-1	-1	$-\text{sign}((-1) \times (-1)) = -\text{sign}(1) = -1$
-1	+1	$-\text{sign}((-1) \times (+1)) = -\text{sign}(-1) = 1$
+1	-1	$-\text{sign}(+1 \times (-1)) = -\text{sign}(-1) = 1$
+1	+1	$-\text{sign}(+1 \times (+1)) = -\text{sign}(1) = -1$

### Problem 3 (3 points)

*Multilayer neural networks* are another way of using linear classifiers for non-linear problems. The most basic such classifier, for two-dimensional inputs and with two *hidden units*, has parameters  $(u_{ij})$ ,  $i \in \{1, 2\}$ ,  $j \in \{0, 1, 2\}$  and  $(v_k)$ ,  $i \in \{0, 1, 2\}$  (that is, a total of 9 parameters), and computes a mapping  $(x_1, x_2) \mapsto y$  as follows:

$$\begin{aligned} z_1 &= \text{sign}(u_{10} + u_{11}x_1 + u_{12}x_2) \\ z_2 &= \text{sign}(u_{20} + u_{21}x_1 + u_{22}x_2) \\ y &= \text{sign}(v_0 + v_1z_1 + v_2z_2). \end{aligned}$$

[The figure omitted.] The term “hidden unit“ refers to the computation of intermediate values  $z_i$  that are not as such part of the visible input or output.

- (a) Show that with a suitable choice of the weights  $(u_{ij})$  and  $(v_k)$ , the neural network explained above can compute the XOR function from Problem 2.
- (b) There are numerous variants of this basic neural network model. In particular, the signum function used above in computing the values  $z_i$  is often replaced by some continuous function, such as the logistic sigmoid  $r \mapsto 1/(1 + e^{-r})$ . However, one variant that does *not* make sense is just to ignore the signum function for  $z_i$  and just compute

$$\begin{aligned} z_1 &= u_{10} + u_{11}x_1 + u_{12}x_2 \\ z_2 &= u_{20} + u_{21}x_1 + u_{22}x_2 \\ y &= \text{sign}(v_0 + v_1z_1 + v_2z_2). \end{aligned}$$

Show that this model would not offer any advantage over a basic linear classifier  $y = \text{sign}(w_0 + w_1x_1 + w_2x_2)$ .

### Solution to (b)

We have that

$$\begin{aligned} y &= \text{sign}(v_0 + v_1z_1 + v_2z_2) \\ &= \text{sign}(v_0 + v_1(u_{10} + u_{11}x_1 + u_{12}x_2) + v_2(u_{20} + u_{21}x_1 + u_{22}x_2)) \\ &= \text{sign}(\underbrace{(v_0 + v_1u_{10} + v_2u_{20})}_{w_0} + \underbrace{(v_1u_{11} + v_2u_{21})}_{w_1}x_1 + \underbrace{(v_1u_{12} + v_2u_{22})}_{w_2}x_2), \end{aligned}$$

which is exactly what we had in Problem 2 (a).