# String Processing Algorithms 2015 - Week 2 Exercises

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## Exercise 1

Outline algorithms that find the most frequent symbol in a give string.

- (a) for ordered alphabet, and
- (b) for integer alphabet.

The algorithms should be as fast as possible. What are their (worst case) time complexities? Consider also the case where  $\sigma \gg n$ .

# Solution

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Algorithm 1: MostFrequentSymbol(S)
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1 let f be an empty map f: \Sigma \to \mathbb{N}
\mu = nil
3 L_{\mu} = 0
4 for i = 1 to |S| do
       if S[i] is not mapped in f then
           f(S[i]) = 1
6
           if L_{\mu} = 0 then
7
               L_{\mu} = 1
8
9
               \mu = S[i]
       else
10
           f(S[i]) = f(S[i]) + 1
11
           if L_{\mu} < f(S[i]) then
12
               L_{\mu} = f(S[i])
13
               \mu = S[i]
15 return \mu
```

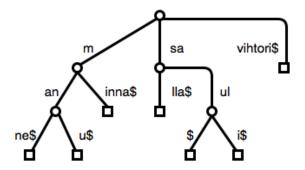
# Exercise 2

Let  $\mathcal{R} = \{ manne, manu, minna, salla, saul, sauli, vihtori \}$ .

- (a) Give the compact trie of  $\mathcal{R}$ .
- (b) Give the balanced compact ternary trie of  $\mathcal{R}$ .

## Solution

(a)



(b)

See the drawing.

# Exercise 3

# Exercise 4

Prove

- (a) Lemma 1.14: For  $i \in [2..n]$ ,  $LCP_{\mathcal{R}}[i] = lcp(S_i, \{S_1, \dots, S_{i-1}\})$ .
- (b) Lemma 1.15:  $\Sigma LCP(\mathcal{R}) \leq \Sigma lcp(\mathcal{R}) \leq 2 \cdot \Sigma LCP(\mathcal{R})$ .

## Solution

(a)

We need an auxiliary lemma first:

**Lemma 1** (Distance lemma). If  $S_1 < S_2 < S_3$ ,  $lcp(S_1, S_3) \le lcp(S_2, S_3)$ .

*Proof.* The proof is by contradiction: Let  $l_{13} = lcp(S_1, S_3)$  and  $l_{23} = lcp(S_2, S_3)$ . Assume the opposite that  $l_{13} > l_{23}$ . Now

$$\begin{split} S_1 &= a_1 a_2 \dots a_{l_{23}} \dots a_{l_{13}} b_1 b_2 \dots, \\ S_2 &= a_1 a_2 \dots a_{l_{23}} c_1 c_2 \dots, \\ S_3 &= a_1 a_2 \dots a_{l_{23}} \dots a_{l_{13}} d_1 d_2 \dots. \end{split}$$

Since  $c_1 > a_{l_{23}+1}$ , we must have also  $S_2 > S_3$ , which is a contradiction.

#### Example:

 $S_1$ : aaaab  $S_2$ : aaaba $S_3$ : aaabc

Now assume that  $i \in [2...n]$ . We have that

$$lcp(S_i, \{S_1, \dots, S_{i-1}\}) = \max\{lcp(S_i, S_{i-1}), lcp(S_i, \{S_1, \dots, S_{i-2}\})\}.$$

Because by distance lemma for any  $j = 1, 2, ..., i - 2, lcp(S_j, S_i)$  cannot exceed  $lcp(S_i, S_{i-1})$ , we must have that

$$lcp(S_i, \{S_1, \dots, S_{i-1}\}) = lcp(S_i, S_{i-1}) = LCP_{\mathcal{R}}[i],$$

as expected.

(b)

$$\begin{split} \Sigma lcp(\mathcal{R}) &= \sum_{S \in \mathcal{R}} lcp(S, \mathcal{R} \mid S\}) \\ &\leq \sum_{i \in [1...n-1]} lcp(S_i, S_{i+1}) + \sum_{i \in [2..n]} lcp(S_{i-1}, S_i) \qquad \text{(by distance lemma)} \\ &= \sum_{i \in [2..n]} lcp(S_{i-1}, S_i) + \sum_{i \in [2..n]} lcp(S_{i-1}, S_i) \\ &= 2 \sum_{i \in [2..n]} lcp(S_{i-1}, S_i) \\ &= 2 \sum_{i \in [2..n]} LCP_{\mathcal{R}}[i] \\ &= 2 \sum_{i \in [1..n]} LCP_{\mathcal{R}}[i] \qquad \qquad \text{(since } LCP_{\mathcal{R}}[1] = 0) \\ &= 2 \cdot \Sigma LCP(\mathcal{R}). \end{split}$$

What comes to the lower bound of  $\Sigma lcp(\mathcal{R})$ , we have

$$\begin{split} \Sigma lcp(\mathcal{R}) &= lcp(S_1, S_2) + lcp(S_{n-1}, S_n) \\ &+ \sum_{i \in [2..n-1]} \max\{lcp(S_i, S_{i-1}), lcp(S_i, S_{i+1})\} \qquad \text{(by distance lemma)} \\ &\geq \sum_{i \in [2..n]} lcp(S_{i-1}, S_i) \\ &= \sum_{i \in [2..n]} LCP_{\mathcal{R}}[i] \\ &= \sum_{i \in [1..n]} LCP_{\mathcal{R}}[i] \qquad \qquad \text{(since } LCP_{\mathcal{R}}[1] = 0) \\ &= \Sigma LCP(\mathcal{R}), \end{split}$$

which concludes the proof.

#### Example:

$\mathcal{R}$	$LCP_{\mathcal{R}}$	$lcp(S, \mathcal{R})$
aaaa	0	3
aaab	3	3
abba	1	1
baab	0	0
$\sum$	4	7