

String Processing Algorithms 2015 - Week 2

Exercises

Rodion Efremov

October 31, 2015

Exercise 1

Outline algorithms that find the most frequent symbol in a give string.

- (a) for ordered alphabet, and
- (b) for integer alphabet.

The algorithms should be as fast as possible. What are their (worst case) time complexities? Consider also the case where $\sigma \gg n$.

Solution

Algorithm 1: MOSTFREQUENTSYMBOL(S)

```
1 let  $f$  be an empty map  $f: \Sigma \rightarrow \mathbb{N}$ 
2  $\mu = \text{nil}$ 
3  $L_\mu = 0$ 
4 for  $i = 1$  to  $|S|$  do
5   if  $S[i]$  is not mapped in  $f$  then
6      $f(S[i]) = 1$ 
7     if  $L_\mu = 0$  then
8        $L_\mu = 1$ 
9        $\mu = S[i]$ 
10  else
11     $f(S[i]) = f(S[i]) + 1$ 
12    if  $L_\mu < f(S[i])$  then
13       $L_\mu = f(S[i])$ 
14       $\mu = S[i]$ 
15 return  $\mu$ 
```

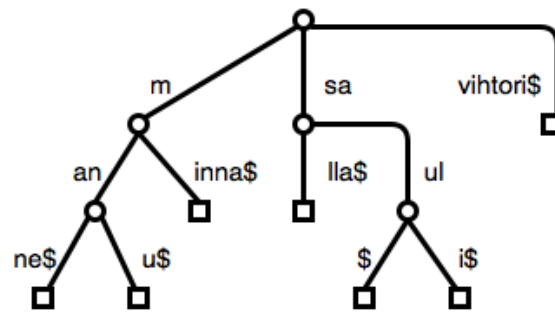
Exercise 2

Let $\mathcal{R} = \{\text{manne}, \text{manu}, \text{minna}, \text{salla}, \text{saul}, \text{sauli}, \text{vihtori}\}$.

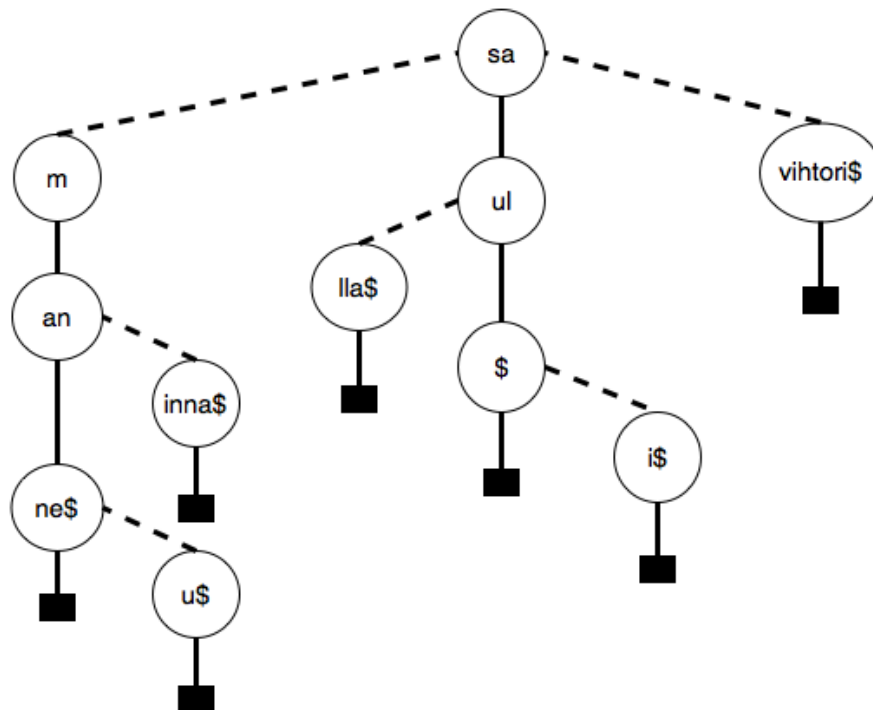
- Give the compact trie of \mathcal{R} .
- Give the balanced compact ternary trie of \mathcal{R} .

Solution

(a)



(b)



Exercise 3

Exercise 4

Prove

(a) Lemma 1.14: For $i \in [2..n]$, $LCP_{\mathcal{R}}[i] = lcp(S_i, \{S_1, \dots, S_{i-1}\})$.

(b) Lemma 1.15: $\Sigma LCP(\mathcal{R}) \leq \Sigma lcp(\mathcal{R}) \leq 2 \cdot \Sigma LCP(\mathcal{R})$.

Solution

(a)

We need an auxiliary lemma first:

Lemma 1 (Distance lemma). *If $S_1 < S_2 < S_3$, $lcp(S_1, S_3) \leq lcp(S_2, S_3)$.*

Proof. The proof is by contradiction: Let $l_{13} = lcp(S_1, S_3)$ and $l_{23} = lcp(S_2, S_3)$. Assume the opposite that $l_{13} > l_{23}$. Now

$$\begin{aligned} S_1 &= a_1 a_2 \dots a_{l_{23}} \dots a_{l_{13}} b_1 b_2 \dots, \\ S_2 &= a_1 a_2 \dots a_{l_{23}} c_1 c_2 \dots, \\ S_3 &= a_1 a_2 \dots a_{l_{23}} \dots a_{l_{13}} d_1 d_2 \dots \end{aligned}$$

Since $c_1 > a_{l_{23}+1}$, we must have also $S_2 > S_3$, which is a contradiction. \square

Example:

$$\begin{aligned} S_1 &:aaaab \\ S_2 &:aaaba \\ S_3 &:aaabc \end{aligned}$$

Now assume that $i \in [2..n]$. We have that

$$lcp(S_i, \{S_1, \dots, S_{i-1}\}) = \max\{lcp(S_i, S_{i-1}), lcp(S_i, \{S_1, \dots, S_{i-2}\})\}.$$

Because by distance lemma for any $j = 1, 2, \dots, i-2$, $lcp(S_j, S_i)$ cannot exceed $lcp(S_i, S_{i-1})$, we must have that

$$lcp(S_i, \{S_1, \dots, S_{i-1}\}) = lcp(S_i, S_{i-1}) = LCP_{\mathcal{R}}[i],$$

as expected.

(b)

$$\begin{aligned}
\Sigma lcp(\mathcal{R}) &= \sum_{S \in \mathcal{R}} lcp(S, \mathcal{R} \setminus \{S\}) \\
&\leq \sum_{i \in [1..n-1]} lcp(S_i, S_{i+1}) + \sum_{i \in [2..n]} lcp(S_{i-1}, S_i) \quad (\text{by distance lemma}) \\
&= \sum_{i \in [2..n]} lcp(S_{i-1}, S_i) + \sum_{i \in [2..n]} lcp(S_{i-1}, S_i) \\
&= 2 \sum_{i \in [2..n]} lcp(S_{i-1}, S_i) \\
&= 2 \sum_{i \in [2..n]} LCP_{\mathcal{R}}[i] \\
&= 2 \sum_{i \in [1..n]} LCP_{\mathcal{R}}[i] \quad (\text{since } LCP_{\mathcal{R}}[1] = 0) \\
&= 2 \cdot \Sigma LCP(\mathcal{R}).
\end{aligned}$$

What comes to the lower bound of $\Sigma lcp(\mathcal{R})$, we have

$$\begin{aligned}
\Sigma lcp(\mathcal{R}) &= lcp(S_1, S_2) + lcp(S_{n-1}, S_n) \\
&\quad + \sum_{i \in [2..n-1]} \max\{lcp(S_i, S_{i-1}), lcp(S_i, S_{i+1})\} \quad (\text{by distance lemma}) \\
&\geq \sum_{i \in [2..n]} lcp(S_{i-1}, S_i) \\
&= \sum_{i \in [2..n]} LCP_{\mathcal{R}}[i] \\
&= \sum_{i \in [1..n]} LCP_{\mathcal{R}}[i] \quad (\text{since } LCP_{\mathcal{R}}[1] = 0) \\
&= \Sigma LCP(\mathcal{R}),
\end{aligned}$$

which concludes the proof.

Example:

\mathcal{R}	$LCP_{\mathcal{R}}$	$lcp(S, \mathcal{R})$
aaaa	0	3
aaab	3	3
abba	1	1
baab	0	0
Σ	4	7