CS4051

Information Retrieval

Week 03

Muhammad Rafi

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Dictionaries & Tolerant Retrieval

## Review Chapter No. 2

- We developed idea of inverted indexes for handling Boolean and proximity queries.
- We discussed positional indexes for supporting general phrase queries.
- We modify intersection of posting list to speedup in generating result-set.
- What about the dictionary? Large Dictionary are still a challenge?

# Chapter No. 3

- In this chapter we will develop techniques that are robust to typographical errors in the query, as well as alternative spellings.
- We also develop data structures that help search for terms in the vocabulary in an inverted index.
- We explore the idea of a wildcard query: a query such as \*a\*e\*i\*o\*u\*, which seeks documents containing any term that includes all the five vowels in sequence.

# Data Structures for Dictionary

- There are two choices
  - □ Trees
  - Hashtable
- IR systems can use either of the approach.

Sec 3.1

## | Hashtables

- Each vocabulary term is hashed to an integer
  - □ (We assume you've seen hashtables before)
- Pros:
  - □ Lookup is faster than for a tree: O(1)
- Cons:
  - No easy way to find minor variants:
    - judgment/judgement
  - □ No prefix search [tolerant retrieval]
  - If vocabulary keeps growing, need to occasionally do the expensive operation of rehashing everything

Sec. 3.1

Tree: B-tree

□ Definition: Every internal nodel has a number of children in the interval [a,b] where a, b are appropriate natural numbers, e.g., [2,4].

Sec. 3.1

## Trees

■ Simplest: binary tree

More usual: B-trees

- Trees require a standard ordering of characters and hence strings ... but we typically have one
- Pros:
  - □ Solves the prefix problem (terms starting with *hyp*)
- Cons:
  - □ Slower: O(log *M*) [and this requires *balanced* tree]
  - □ Rebalancing binary trees is expensive
    - But B-trees mitigate the rebalancing problem

## | Wild Card Queries (\*)

- Wildcard queries are used in any of the following situations:
  - the user is uncertain of the spelling of a query term (e.g., Sydney vs. Sidney, which leads to the wildcard query S\*dney);
  - the user is aware of multiple variants of spelling a term and (consciously) seeks documents containing any of the variants (e.g., color vs. colour);

# | Wild Card Queries (\*)

- Wildcard queries are used in any of the following situations:
  - the user seeks documents containing variants of a term that would be caught by stemming, but is unsure whether the search engine performs stemming (e.g., judicial vs. judiciary, leading to the wildcard query judicia\*);
  - the user is uncertain of the correct rendition of a foreign word or phrase (e.g., the query Universit\* Stuttgart).

Sec. 3.2

## | Wild-card queries: \*

- mon\*: find all docs containing any word beginning with "mon".
- Easy with binary tree (or B-tree) lexicon: retrieve all words in range: mon ≤ w < moo</p>
- \*mon: find words ending in "mon": harder
  - Maintain an additional B-tree for terms backwards.

Can retrieve all words in range: *nom* ≤ *w* < *non*.

Exercise: from this, how can we enumerate all terms meeting the wild-card query **pro\*cent**?

Sec. 3.2

# | Query processing

- At this point, we have an enumeration of all terms in the dictionary that match the wildcard query.
- We still have to look up the postings for each enumerated term.
- E.g., consider the query:

#### se\*ate AND fil\*er

This may result in the execution of many Boolean *AND* queries.

Sec. 3.2

# B-trees handle \*'s at the end of a query term

- How can we handle \*'s in the middle of query term?
  - □ co\*tion
- We could look up **co**\* AND \***tion** in a B-tree and intersect the two term sets
  - Expensive
- The solution: transform wild-card queries so that the \*'s occur at the end
- This gives rise to the **Permuterm** Index.

## | General Wild Card Query

- We now study two techniques for handling general wildcard queries.
  - Both techniques share a common strategy: express the given wildcard query q<sub>w</sub> as a Boolean query Q on a specially constructed index, such that the answer to Q is a superset of the set of vocabulary terms matching q<sub>w</sub>.
  - Then, we check each term in the answer to Q against q<sub>w</sub>, discarding those vocabulary terms that do not match q<sub>w</sub>. At this point we have the vocabulary terms matching q<sub>w</sub> and can resort to the standard inverted index.

Sec. 3.2.1

## Permuterm index

- For term *hello*, index under:
  - □ hello\$, ello\$h, llo\$he, lo\$hel, o\$hell where \$ is a special symbol.
- Queries:
  - □ X lookup on X\$ X\* lookup on \$X\*
  - □ \*X lookup on X\$\* \*X\* lookup on X\*
  - □ X\*Y lookup on Y\$X\* X\*Y\*Z ??? Exercise!

Query = *hel\*o* X=hel, Y=oLookup o\$hel\*

# Permuterm query processing

- Rotate query wild-card to the right
- Now use B-tree lookup as before.
- Permuterm problem: ≈ quadruples lexicon size

Empirical observation for English.

Sec. 3.2.2

Sec. 3.2.2

# | Bigram (k-gram) indexes

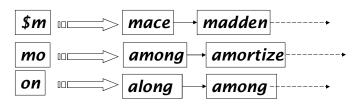
- Enumerate all *k*-grams (sequence of *k* chars) occurring in any term
- e.g., from text "April is the cruelest month" we get the 2-grams (bigrams)

\$a,ap,pr,ri,il,l\$,\$i,is,s\$,\$t,th,he,e\$,\$c,cr,ru,
ue,el,le,es,st,t\$, \$m,mo,on,nt,h\$

- □ \$ is a special word boundary symbol
- Maintain a <u>second</u> inverted index <u>from</u> <u>bigrams to dictionary terms</u> that match each bigram.

# Bigram index example

■ The *k*-gram index finds *terms* based on a query consisting of *k*-grams (here *k*=2).



Sec. 3.2.2

## Processing wild-cards

- Query *mon*\* can now be run as
  - □ \$m AND mo AND on
- Gets terms that match AND version of our wildcard query.
- But we'd enumerate *moon*.
- Must post-filter these terms against query.
- Surviving enumerated terms are then looked up in the term-document inverted index.
- Fast, space efficient (compared to permuterm).

Sec. 3.2.2

# Processing wild-card queries

- As before, we must execute a Boolean query for each enumerated, filtered term.
- Wild-cards can result in expensive query execution (very large disjunctions...)
  - pyth\* AND prog\*
- If you encourage "laziness" people will respond!

Search

Type your search terms, use '\*' if you need to. E.g., Alex\* will match Alexander.