

Algorithm : It is a step by step procedure for performing some task in a finite amount of time.

Characteristics of an algorithm -

- (i) Input : must contain zero or more inputs or other quantities
- (ii) Output : at least one output must be produced
- (iii) Finiteness : every algo must complete or terminated after some finite number of steps.
- (iv) Definiteness

The steps of the algorithm

i.e. each statement must be out of ambiguity

(v) Effectiveness

The steps of the algorithm must be effective i.e. can be executed successfully.

Steps for solving any problem -

- S1: Identifying the problem statement . i.e Arranging N-queens in  $N \times N$  chess board
- S2: Identifying the constraints or limitations.  
Here, no two queens should attack each other , i.e. no two queens can be on same, row, column or diagonal
- S3: Design logic

- \* Divide & Conquer
- \* Greedy Method
- \* Dynamic Programming
- \* Branch
- \* Back Tracking
- \* Bound

Depending on the characteristic of problem, we can choose any design strategy mentioned above.

#### 5.4. Validation

Most of the algorithm is validated by using any mathematical model.

#### 5.5. Analysis

It is a process of comparing two algo wrt time, space, network bandwidth etc.

##### Forward Analysis

- Analysis is done before execution of the algo
- Eg -  $x \leftarrow x+1$   
Frequency count
- It provides estimated values
- It provides uniform value
- It is independent of CPU, System Architecture, OS

##### Post Analysis

- Analysis is done after executing the algo.
- Eg -  $x = x+1$   
System Time
- It provides exact values
- It provides non-uniform values
- It is dependent on various factors

#### 5.6. Implementation

#### 5.7. Testing & Debugging

Lecture - 3  
17/01/23

### Time Complexity

Is the order of growth of an algorithm wrt different i/p data size.

i/p Size	$\text{Alg} 1 \rightarrow 2^n$	$\text{Alg} 2 \rightarrow n!$
n = 1	2	1
n = 2	4	2
n = 3	8	6
n = 4	16	24
n = 5	32	120
n = 6	64	720

$$n! > 2^n \quad \forall n \geq 4$$

Slower Faster

#### time complexity

Eg. Arrange the following in ascending order

$$n, 2^n, n \log n, n!, n^2, n^3, n^5, 1, \log n$$

$$\text{Sol: } 1, \log n, n \log n, n^2, n^3, n^5, 2^n, n!$$

### Frequency Count

#### Alg 1 ()

```

S1;           → 1
for i ← 0 to n do → n+1 (for last one false, that's why +1)
    S2;         → n
    S3;         → 1
}

```

$$\underline{\underline{2n+3}}$$

#### Alg 2 ()

```

S1;           → 1
S2;           → 1
for i ← 0 to n do → n+1
    for j ← 0 to n do → n(n+1)
        S3;           → n(n+1) - 1
    }
S4;           → 1

```

$$\underline{\underline{2n^2 + 3n + 3 \rightarrow O(n^2)}}$$

Alg 3 () {

$$\begin{array}{ll}
 s1; & \rightarrow 1 \\
 \text{for } i \leftarrow 0 \text{ to } n \text{ do} & \rightarrow n+1 \\
 \quad \text{for } j \leftarrow i \text{ to } n \text{ do} & \rightarrow (n+1) + n + (n-1) + \dots + 1 = \frac{n(n+1)}{2} + (n \\
 \quad \quad s2; & \rightarrow (n) + (n-1) + (n-2) + \dots + 0 = \frac{n(n+1)}{2}
 \end{array}$$

S3;

$$\overline{\rightarrow 1}$$

Alg 4 () {

$$\begin{array}{ll}
 s1; & \rightarrow 1 \\
 \text{for } i \leftarrow 0 \text{ to } n \text{ do} & \rightarrow n+1 \\
 \quad \text{for } j \leftarrow 0 \text{ to } i \text{ do} & \rightarrow 1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2} \\
 \quad \quad s2; & \rightarrow 0 + 1 + 2 + \dots + (n-1) = \frac{n(n+1)}{2} - n
 \end{array}$$

S3;

$$\overline{\rightarrow 1}$$

Alg 5 () {

$f(x=1)$   
 $\text{else } f(x=2)$   
 $\quad \text{for } i \leftarrow 0 \text{ to } n \text{ do}$   
 $\quad \quad s2;$   
 $\text{else }'$   
 $\quad \text{for } i \leftarrow 0 \text{ to } n^2 \text{ do}$   
 $\quad \quad s3;$

$$T(n) = \begin{cases} 2 & x=1 \\ 2n+3 & x=2 \\ 2n^2+3 & x \geq 1, 2 \end{cases}$$

Ex - linear search

Best case -  $O(1)$

Worst case -  $O(n)$

Avg case -  $\frac{1+2+3+\dots+(n-1)+n}{n} = O(n)$

Quesure - 4

16/01/23

Best case - Algorithm that takes minimum no: of steps.

Worst case - Algorithm that takes maximum no: of steps.

Big-Oh ( $O$ ) (Worst case / Upper bound)

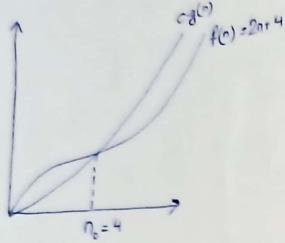
Let  $f(n)$  &  $g(n)$  are two non-negative function. The function  $f(n)$  is equal to  $O(g(n))$  if and only if there exist two +ve constant  $c$  &  $n_0$  such that  $f(n) \leq c g(n)$  for all values of  $n \geq n_0$ .

$c > 0$ .

In other words  $f(n) = O(g(n))$  means  $g(n)$  is having higher growth rate than  $f(n)$  for large value of  $n$ .

Ex - Let  $f(n) = 2n+4$ , then prove that  $f(n) = O(n)$

Proof: Let  $c = 3$ ,  $f(n) = 2n+4$ ,  $g(n) = n$   
 $n = 1$ ,  $f(n) = 2 \times 1 + 4 = 6$ ,  $g(n) = 3 \times 1 = 3$ ,  $6 \leq 3$  false  
 $n = 2$ ,  $f(n) = 2 \times 2 + 4 = 8$ ,  $g(n) = 3 \times 2 = 6$ ,  $8 \leq 6$  false  
 $n = 3$ ,  $f(n) = 2 \times 3 + 4 = 10$ ,  $g(n) = 3 \times 3 = 9$ ,  $10 \leq 9$  false  
 $n = 4$ ,  $f(n) = 2 \times 4 + 4 = 12$ ,  $g(n) = 3 \times 4 = 12$ ,  $12 \leq 12$  true  
 $n = 5$ ,  $f(n) = 2 \times 5 + 4 = 14$ ,  $g(n) = 3 \times 5 = 15$ ,  $14 \leq 15$  true



$\Theta f(n) = 5n + 3$ , then  $f(n) = \Theta(n)$   
 $\Theta f(n) = 6n^2 + 2n + 3$ , then  $f(n) = \Theta(n^2)$

Ex: Let  $c = 6$ ,  $f(n) = 5n + 3$ ,  $g(n) = n$

$n=1$ ,	$f(n)=8$ , $g(n)=6$	$8 <= 6$ false
$n=2$ ,	$f(n)=13$ , $g(n)=12$	$13 <= 12$ false
$n=3$ ,	$f(n)=18$ , $g(n)=18$	$18 <= 18$ true
$n=4$ ,	$f(n)=23$ , $g(n)=24$	$23 <= 24$ true

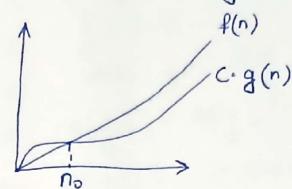
$$n_0 = 3, c = 6$$

<u>Sol 2</u>	Let $c = 7$ , $f(n) = 6n^2 + 2n + 3$ , $g(n) = n^2$
	$n=1$ , $f(n)=11$ , $g(n)=7$ $11 <= 7$ false
	$n=2$ , $f(n)=31$ , $g(n)=28$ $31 <= 28$ false
	$n=3$ , $f(n)=63$ , $g(n)=63$ $63 <= 63$ true
	$n=4$ , $f(n)=107$ , $g(n)=112$ true

$$n_0 = 3, c = 7$$

### Omega Notation ( $\Omega$ ) / Best Case / Lower Bound

Let  $f(n)$  &  $g(n)$  are two non negative functions. Then  $f(n) = \Omega(g(n))$ , iff there exists two constants  $c > 0$  &  $n_0$  such that  $f(n) \geq c \cdot g(n)$ ,  $\forall n \geq n_0$  &  $c > 0$



Lecture - 5

17/12/23

Algmr One () {

if ( $x == 1$ )  
s1;

y

else {

for  $i \leftarrow 1$  to  $n$  do  
s2;

y

y

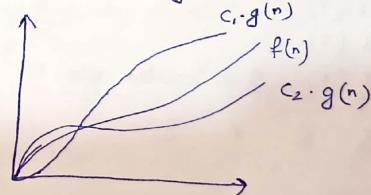
$$T(n) = \begin{cases} 2 & x=1 \\ 2n+2 & x>1 \end{cases}$$

Worst Case:  $\Theta(n)$

Best Case:  $\Theta(1)$  or  $\omega(1)$

### Theta Notation ( $\Theta$ ) / Average Case

Let  $f(n)$  &  $g(n)$  are two non negative functions, then  $f(n) = \Theta(g(n))$ , iff there exists two positive constants  $c_1$  &  $c_2$  &  $n_0$ , such that  $c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$ ,  $\forall n \geq n_0$ ,  $c_1$  &  $c_2 > 0$



If an algorithm is having a single case, then time complexity is represented in  $\Theta$  notation. If an algorithm has multiple cases, but all cases are same, then algo it is represented in  $\Theta$  notation.

### Insertion Sort

$$\text{eg. } 7 \ 3 \ 8 \ 4 \ 6 \ 5$$

pass 1. Pick 3,  $3 < 7(\text{T})$ , 3 is inserted before 7

$$3 \ 7 \left\{ \begin{matrix} 3 \\ 8 \end{matrix} \right. 4 \ 6 \ 5$$

pass 2. Pick 8,  $8 < 7(\text{F})$

$$3 \ 7 \ 8 \left\{ \begin{matrix} 8 \\ 4 \end{matrix} \right. 6 \ 5$$

pass 3. Pick 4,  $4 < 8(\text{T})$

$$\begin{matrix} 4 & < 8(\text{T}) \\ 4 & < 7(\text{T}) \\ 4 & < 3(\text{F}), 4 \text{ is inserted after 3} \end{matrix}$$

$$\text{F} \quad 3 \ 4 \ 7 \ 8 \left\{ \begin{matrix} 4 \\ 8 \end{matrix} \right. 6 \ 5$$

pass 4. Pick 6 ~~6 < 8(F)~~  $6 < 8(\text{T})$

$$6 < 7(\text{T})$$

$6 < 4(\text{F})$ , 6 is inserted after 4

$$3 \ 4 \ 6 \ 7 \ 8 \left\{ \begin{matrix} 6 \\ 8 \end{matrix} \right. 5$$

pass 5. Pick 5  $5 < 8(\text{T})$

$$5 < 7(\text{T})$$

$$5 < 6(\text{T})$$

$5 < 4(\text{F})$ , 5 is inserted after 4

$$3 \ 4 \ 5 \ 6 \ 7 \ 8$$

### Alg<sup>m</sup> insertion\\_sort()

1. for  $i \leftarrow 2$  to  $n$  do {

$$\overbrace{\hspace{10em}}^n$$

2.  $\text{temp} = A[i]$

$$\overbrace{\hspace{10em}}^{n-1}$$

3.  $j = i - 1$

$$\sum_{i=1}^{n-1} t_i$$

4. while ( $j > 0$  &  $A[j] > \text{temp}$ ) {

$$\sum_{i=2}^{n-1} (t_i - 1)$$

5.  $A[j+1] = A[j]$

$$\sum_{i=2}^{n-1} (t_i - 1)$$

6.  $j = j - 1$  // End of while loop

$$n-1$$

7.  $A[j+1] = \text{temp}$  // End of for loop

$$n-1$$

### Best Case

When Array is already sorted.

Step-1, 2, 3  $\not\propto 7 \Rightarrow$  No impact

$$\text{Step-4} \Rightarrow \sum_{i=1}^{n-1} t_i = \sum_{i=1}^{n-1} 1 = n-1$$

$$\text{Step-5 \& 6} \Rightarrow \sum_{i=1}^{n-1} (t_i - 1) = \sum_{i=1}^{n-1} 0 = 0$$

$$\begin{aligned} f(n) &= n + (n-1) + (n-1) + (n-1) + 0 + 0 + (n-1) \\ &= 5n - 4 \\ &= O(n) \end{aligned}$$

Lecture - 6

18/1/23 Worst Case (i/p is given in reverse order)

Step-1, 2, 3  $\not\propto 7 \Rightarrow$  No impact

$$\text{Step 4} \Rightarrow \sum_{i=2}^{n-1} t_i = 2+3+4+\dots+n = \frac{n(n+1)}{2} - 1$$

$$\text{Step 5} \Rightarrow \sum_{i=2}^{n-1} (t_i - 1) = 1+2+\dots+n-1 = \frac{(n-1)n}{2}$$

$$\begin{aligned} f(n) &= n + (n-1) + (n-1) + \frac{n(n+1)}{2} - 1 + \frac{(n-1)n}{2} + \frac{(n-1)n}{2} + (n-1) \\ &= 3n - 4 + n \frac{(n+1)}{2} + n^2 = \frac{6n - 8 + n^2 + n + 2n^2}{2} = \frac{3n^2 + 7n - 8}{2} \\ &= O(n^2) \end{aligned}$$

### Average Case

Step 1, 2, 3 & 7  $\Rightarrow$  No impact

Step 4  $\Rightarrow$  e.g. 8, 9, 10 (1 hit)

or  
9, 8, 10 (2 hits)

$$i=2 \Rightarrow \frac{1+2}{2}$$

$$i=3 \Rightarrow \frac{1+2+3}{3}$$

$$i=n \Rightarrow \frac{1+2+3+\dots+n}{n}$$

$$= \frac{n(n+1)}{2n} = \frac{n+1}{2}$$

Step 5 & 6  $\Rightarrow$   $i=2 \Rightarrow \frac{0+1}{2}$

$$i=3 \Rightarrow \frac{0+1+2}{3}$$

$$i=n \Rightarrow \frac{0+1+2+\dots+n-1}{n}$$

$$= \frac{n(n-1)}{2n} = \frac{n-1}{2}$$

$$\frac{0+1}{2} + \frac{0+1+2}{3} + \dots + \frac{0+1+2+\dots+n-1}{n}$$

$$\Rightarrow \frac{1}{2} \left( \frac{n(n-1)}{2} \right)$$

$$\therefore f(n) = n + (n-1) + (n-1) + \frac{1}{2} \left( \frac{(n+1)(n+2)}{2} - 3 \right) + \frac{1}{2} \left( \frac{n(n-1)}{2} \right) + n-1$$

$$O(n^2)$$

a. Bubble Sort & Selection Sort

$$1. \quad \text{for } (i=1; i < n; i=i+2) \rightarrow \frac{n}{2} + 1$$

S1,  $\rightarrow \frac{n}{2}$   
 $\underline{\underline{n+1}}$

$$2. \quad \text{for } (i=1; i < n; i=i+2) \rightarrow \log_2 n$$

S1,  $\rightarrow \log_2 n - 1$

$$1 \rightarrow 2 \rightarrow 4 \rightarrow 8 \rightarrow \dots \rightarrow n$$

$$2^0 \rightarrow 2^1 \rightarrow 2^2 \rightarrow \dots \rightarrow 2^k$$

$$n=2^k \Rightarrow k=\log_2 n$$

$$3. \quad p=0;$$

$$\text{for } (i=1; p < n; i++)$$

$$p=p+i;$$

$$\begin{array}{ccccccc} i=1 & 2 & \dots & & & & k \\ p=0 & 0 & & & & & 0 \\ & + & & & & & + \\ & 1 & & & & & 1 \\ & & & & & & + \\ & & & & & & 2 \\ & & & & & & + \\ & & & & & & 2 \\ & & & & & & + \\ & & & & & & \vdots \\ & & & & & & k \end{array}$$

$$\underline{\underline{k(k+1)}}$$

$$p = \frac{k(k+1)}{2}$$

$$\frac{k^2+k}{2} > n$$

$$k^2 > n$$

$$k > \sqrt{n}$$

$$O(\sqrt{n})$$

$$\begin{array}{ll} 1 < 10 \\ 2 < 10 \\ 4 < 10 \\ 8 < 10 \end{array} \quad \begin{array}{l} 10 \\ 10 < 2 \\ 4 < 2 \\ 4 < 10 \end{array}$$

10

$$\begin{array}{ll} 0 < 10 \\ 1 < 10 \\ 2 < 10 \\ 3 < 10 \\ 4 < 10 \\ 5 < 10 \\ 6 < 10 \\ 7 < 10 \end{array} \quad \begin{array}{l} 10 \\ 10 < 2 \\ 4 < 2 \\ 4 < 10 \\ 4 < 10 \\ 4 < 10 \\ 4 < 10 \end{array}$$

4.  $A()\{$

$i=1; s=1$   
while ( $s \leq n$ ) {  
     $i++;$   
     $s=s+i;$

}

$$\frac{k(k+1)}{2} = n$$

$O(\sqrt{n})$

$i=1; s=1$   
 $s=3$   
 $i=2$

$i=2; s=4$   
 $s=6$   
 $i=3$   
 $i=4$   
 $s=10$   
 $i=5$   
 $s=15$   
 $i=6$   
 $i=7$   
 $i=8$   
 $i=9$   
 $i=10$   
 $i=11$   
 $i=12$   
 $i=13$   
 $i=14$   
 $i=15$   
 $i=16$   
 $i=17$   
 $i=18$   
 $i=19$   
 $i=20$

$$\frac{k(k+1)}{2}$$

25/1/23

## Recurrence

The word recurrence is derived from succession or repetition.

Recursion is a technique which calls the same function again & again.

e.g. Factorial of no. can be solved by Recursion

$$\text{fact}(n) = n \times \text{fact}(n-1)$$

$$\text{fact}(5) = 5 \times \text{fact}(4)$$

$$\hookrightarrow 4 \times \text{fact}(3)$$

$$\hookrightarrow 3 \times \text{fact}(2)$$

$$\hookrightarrow 2 \times \text{fact}(1)$$

- Algorithm which uses recursion is called recursive algorithm.
- Time complexity of recursive algorithm is given by formula or equation called recurrence.

A recursive method has two cases -

$$T(n) = \begin{cases} 1, & n \leq 1 \\ a T(n/b) + f(n), & n > 1 \end{cases} \quad \begin{array}{l} \xrightarrow{\hspace{1cm}} \text{Exit condition} \\ \xrightarrow{\hspace{1cm}} \text{Recursive case} \end{array}$$

Time required  
to solve a problem  
of size  $n$ .

a → no. of times a function is called

b → is the factor by which the i/p size is reduced.

$f(n)$  → It is the run time of each function i.e. cost happening at each recurrence step.

```

fact(n) {
    if (n <= 1)
        return 1;
    else
        return (n * fact(n-1));
}

```

$$T(n) = \begin{cases} 1, & n \leq 1 \\ 1 \cdot T(n-1) + 1, & n > 1 \end{cases}$$

Binary Search  
 $T(n) = T\left(\frac{n}{2}\right) + 1$   
Merge Sort  
 $T(n) = 2 T\left(\frac{n}{2}\right) + n$

### Fibonacci

```

fib(n) {
    if (n <= 1)
        return n;
    else
        return (fib(n-1) + fib(n-2));
}

```

$$T(n) = \begin{cases} 1, & n \leq 1 \\ (T(n-1) + T(n-2)) + 1, & n > 1 \end{cases}$$

```

int desomething(int n) {
    if (n <= 1) {
        return 1;
    }
    else {
        return desomething(floor(sqrt(n)) + n);
    }
}

```

$$T(n) = \begin{cases} 1, & n \leq 2 \\ T(\sqrt{n}) + 1, & n > 2 \end{cases}$$

Solving the recurrence

1) Master Method      2) Tree Method

3) Substitution Method

Substitution Method

$$\text{eg. } T(n) = \begin{cases} 1, & n \leq 2 \\ T(\sqrt{n}) + 1, & n > 2 \end{cases}$$

$$T(n) = T(\sqrt{n}) + 1 \quad \text{--- (1)}$$

Find  $T(\sqrt{n})$

$$T(\sqrt{n}) = T(\sqrt{\sqrt{n}}) + 1 \quad \text{--- (2)}$$

Replace eq (1) with eq (2)

$$\begin{aligned} T(n) &= (T(\sqrt{\sqrt{n}}) + 1) + 1 \\ &= T(n^{\frac{1}{2^2}}) + 2 \\ &= T(n^{\frac{1}{2^3}}) + 3 \\ &= T(n^{\frac{1}{2^4}}) + 4 \\ &\vdots \\ &= T(n^{\frac{1}{2^k}}) + k \quad \text{--- (3)} \end{aligned}$$

The recurrence will stop when  $n^{\frac{1}{2^k}} = 2$

$$\begin{cases} \frac{1}{2^k} \log n = \log 2 \\ \frac{1}{2^k} = \log_2 n \end{cases} \quad \begin{cases} 2^k = \log_2 n \\ k = \log_2 \log_2 n \end{cases} \quad \text{--- (4)}$$

$$\begin{aligned}
 T(n) &= T\left(\frac{n}{2^k}\right) + k \\
 T(n) &= T(n) + \log_2 \log_2 n \\
 T(n) &= 1 + \log_2 \log_2 n \\
 T(n) &= O(\log_2 \log_2 n)
 \end{aligned}$$

Q.  
eg.

```

int ABC (n)
{
    if (n < 2)
        return 1;
    else {
        return (ABC(n-1) + ABC(n-1));
    }
}

```

$$T(n) = \begin{cases} 1, & n < 2 \\ 2T(n-1) + 1, & n \geq 2 \end{cases}$$

$$\begin{aligned}
 T(n) &= 2T(n-1) + 1 \\
 T(n-1) &= 2T(n-2) + 1 \\
 T(n) &= 2(2T(n-2) + 1) + 1 \\
 T(n) &= 4T(n-2) + 3 \\
 &= 2^2 T(n-2) + (2^2 - 1) \\
 &\vdots \\
 T(n) &= 2^k T(n-k) + (2^k - 1)
 \end{aligned}$$

Let

$$\begin{aligned}
 n-k &= 1 \\
 k &= n-1 \\
 T(n) &= 2^k T(n-k) + (2^k - 1) \\
 T(n) &= 2^{n-1} T(1) + (2^{n-1} - 1) \\
 T(n) &= 2^{n-1} + 2^{n-1} - 1 \\
 &= 2^{n-1} \\
 O(2^{n-1}) &= O\left(\frac{2^n}{2}\right) = O(2^n)
 \end{aligned}$$

24/01/23  
Q. Finding Max & Min from an Array using Divide & Conquer method.

$$T(n) = \begin{cases} 1, & n=1 \\ 2, & n=2 \\ 2T\left(\frac{n}{2}\right) + 1, & n > 2 \end{cases}$$

$$\begin{aligned}
 T(n) &= 2T\left(\frac{n}{2}\right) + 1 \quad \text{--- (1)} \\
 T\left(\frac{n}{2}\right) &= 2T\left(\frac{n}{4}\right) + 1 \\
 T(n) &= 2 \left[ 2T\left(\frac{n}{4}\right) + 1 \right] + 1 \\
 &= 4T\left(\frac{n}{4}\right) + 3 \\
 &= \cancel{2T\left(\frac{n}{4}\right)} \\
 T\left(\frac{n}{4}\right) &= 4T\left(\frac{n}{16}\right) + 3 \\
 T(n) &= 4 \left[ 4T\left(\frac{n}{16}\right) + 3 \right] + 3 \\
 &= 16T\left(\frac{n}{16}\right) + 15 \\
 T(n) &= 2^2 T\left(\frac{n}{2^2}\right) + (2^2 - 1)
 \end{aligned}$$

$$\begin{aligned}
 T\left(\frac{n}{4}\right) &= 2T\left(\frac{n}{8}\right) + 1 \\
 T(n) &= 4 \left[ 2T\left(\frac{n}{8}\right) + 1 \right] + 3 \\
 &= 8T\left(\frac{n}{8}\right) + 7
 \end{aligned}$$

$$T(n) = 2^k T\left(\frac{n}{2^k}\right) + (2^k - 1)$$

$$\cancel{\frac{n}{2^k}}$$

$$\begin{aligned} T(n) &= 2T\left(\frac{n}{2}\right) + 1 \\ &= 4T\left(\frac{n}{4}\right) + 3 = 4T\left(\frac{n}{4}\right) + 1 + 2 = 2^2 T\left(\frac{n}{2^2}\right) + 2^2 \\ &= 8T\left(\frac{n}{8}\right) + 7 = 8T\left(\frac{n}{8}\right) + 1 + 2 + 4 = 2^3 T\left(\frac{n}{2^3}\right) + 2^3 \end{aligned}$$

$$= 2^k T\left(\frac{n}{2^k}\right) + \underbrace{2^0 + 2^1 + \dots + 2^{k-1}}_{2^k - 1}$$

At k it stops

$$\begin{array}{l} \frac{n}{2^k} = 1 \\ n = 2^k \\ \log n = k \log 2 \\ \log_2 n = k \end{array} \quad \left| \begin{array}{l} n = 2^k \\ T(n) = 2^k T(1) + 2^k - 1 \\ = n + n - 1 \\ O(n) \end{array} \right.$$

$$Q. \quad T(n) = \begin{cases} 1, & n=1 \\ 2T\left(\frac{n}{2}\right) + n, & n>1 \end{cases}$$

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

$$T\left(\frac{n}{2}\right) = 2T\left(\frac{n}{4}\right) + \frac{n}{2}$$

$$\begin{aligned} T(n) &= 2 \left[ 2T\left(\frac{n}{4}\right) + \frac{n}{2} \right] + n \\ &= 4T\left(\frac{n}{4}\right) + n + n \\ &= 4T\left(\frac{n}{4}\right) + 2n \end{aligned}$$

$$\begin{aligned} T\left(\frac{n}{4}\right) &= 2T\left(\frac{n}{8}\right) + \frac{n}{4} \\ T(n) &= 4 \left[ 2T\left(\frac{n}{8}\right) + \frac{n}{4} \right] + 2n \\ &= 8T\left(\frac{n}{8}\right) + n + 2n \\ &= 8T\left(\frac{n}{8}\right) + 3n \end{aligned}$$

$$T(n) = 2^k T\left(\frac{n}{2^k}\right) + k n$$

$$\begin{aligned} \frac{n}{2^k} &= 1 \\ n &= 2^k \quad k = \log_2 n \\ &= n T(1) + n \cdot \log_2 n \\ &= n + n \log_2 n \\ &= O(n \log_2 n) \end{aligned}$$

$$T(n) = \begin{cases} 2, & n=1 \\ 2T(n/2) + 7, & n>1 \end{cases}$$

$$T(n) = 2T(n/2) + 7$$

$$T(n_2) = 2T(n_4) + 7$$

$$\begin{aligned} T(n) &= 2 \left[ 2T(n_4) + 7 \right] + 7 = 7 \\ &= 4T(n_4) + 21 \end{aligned}$$

$$T(n_4) = 2T(n_8) + 7$$

$$\begin{aligned} T(n) &= 4 \left[ 2T(n_8) + 7 \right] + 21 = 14 + 7 \\ &= 8T(n_8) + 49 \rightarrow 28 + 21 \end{aligned}$$

$$T(n_8) = 2T(n_{16}) + 7$$

$$T(n) = 8 \left[ 2T(n_{16}) + 7 \right] + 49$$

$$2^k T(n/2^k) + ((2^k - 1)(7))$$

$$\frac{n}{2^k} = 21$$

$$n = 2^k 21$$

$$n = 2^{k+1}$$

$$\begin{aligned} k &= \log_2 n \\ k &= \log_2 n - 1 \end{aligned}$$

$$\begin{aligned} &\text{④ } n + ((n - 1) \cdot 7) \\ &n + 7n - 7 \\ &8n \end{aligned}$$

$$T(n) = \begin{cases} 1, & n=1 \\ 2T(n/2) + 4n, & n>1 \end{cases}$$

$$T(n) = 5T(n/5) + \frac{n}{\log n}$$

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### Recursive Tree Method

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

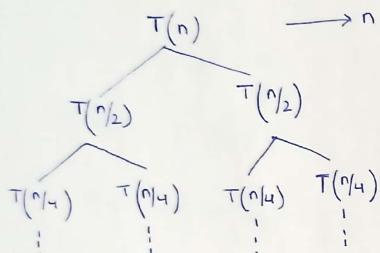
i.e. the input size is divided into each of size  $\left(\frac{n}{b}\right)$  and the cost of division is  $f(n)$ .

$a \rightarrow$  No. of children

$\frac{n}{b} \rightarrow$  Size of each child

$f(n) \rightarrow$  Cost of division

$$\text{eg } T(n) = 2T\left(\frac{n}{2}\right) + n$$

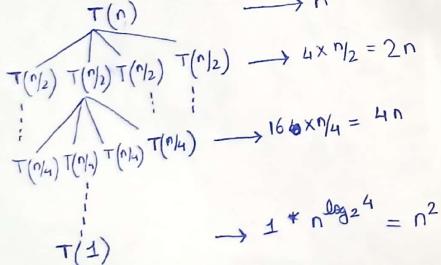


Theorem - 1  
If the recurrence is in the form of  $T(n) = aT\left(\frac{n}{b}\right) + f(n)$  then the height of the tree is  $h = \log_b n$ .

Theorem - 2

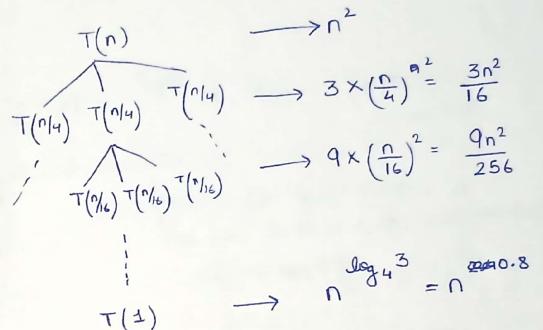
If the recurrence is in the form of  $T(n) = aT\left(\frac{n}{b}\right) + f(n)$  then the no. of nodes at the last level is equal to  $n^{\log_b a}$ .

$$\text{eg. } T(n) = 4T\left(\frac{n}{2}\right) + n$$



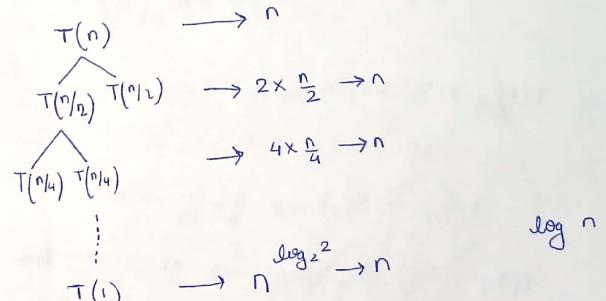
$$\begin{aligned} \text{e.g. } T(n) &= n + 2n + 4n + 8n + \dots + n^2 \\ &= n(1+2+4+8+\dots+n^2) \\ &= n + n^2 \\ &= O(n^2) \end{aligned}$$

$$\text{e.g. } T(n) = 3T\left(\frac{n}{4}\right) + n^2$$



$$\begin{aligned} T(n) &= n^2 + n^{0.8} \\ T(n) &= O(n^2) \end{aligned}$$

$$\text{e.g. } T(n) = 2T\left(\frac{n}{2}\right) + n$$



$$\begin{aligned} T(n) &= n + n + n + \dots + n \\ &\approx n(\log_2 n) \\ &= O(n \log n) \end{aligned}$$

$$\text{e.g. } T(n) = 2T\left(\frac{n}{2}\right) + n^2$$

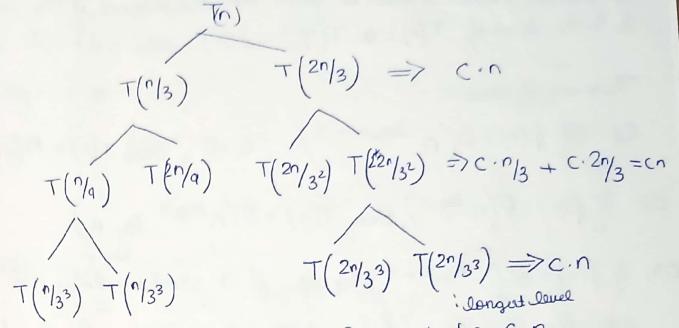
$$\begin{aligned}
 T(n) &\longrightarrow n^2 \\
 T\left(\frac{n}{2}\right) &\longrightarrow 2\left(\frac{n}{2}\right)^2 = \frac{2n^2}{4} \\
 T\left(\frac{n}{4}\right) &\longrightarrow 4\left(\frac{n}{4}\right)^2 = \frac{4n^2}{16} \\
 &\vdots \\
 T(1) &\longrightarrow n^{\log_2^2} = n \cdot \frac{n^2}{2^k} \quad \frac{n^2}{2^k} = 2^k \\
 n^2 + \frac{2n^2}{4} + \frac{4n^2}{16} + \dots + \frac{n^2}{2^k} \\
 n^2 \left(1 + \frac{2}{2} + \frac{1}{2^{k-1}}\right) \text{ or } n^2 \left(1 + \frac{2}{2} + \frac{1}{2^{k-1}}\right)
 \end{aligned}$$

$$S_{\text{imp}} = \frac{a(gc-1)}{gc-1} = 2n^2 - n^2 \left(\frac{1}{2}\right)^{\log_2 n}$$

$$\text{eg. } T(n) = T(\lceil n/3 \rceil) + T(\lceil 2n/3 \rceil) + n$$

A recurrence relation diagram for the recurrence relation  $T(n) = 2T(n/3) + n$ . The root node is labeled  $T(n)$ . It branches down to two nodes labeled  $T(n/3)$ . Each of these branches further down to two nodes labeled  $T(2n/3)$ . This pattern continues, with each level having twice as many nodes as the previous level. The height of the tree is indicated by vertical ellipses between levels. The rightmost path from the root to a leaf node is labeled  $n$ . The leftmost path is labeled  $n \log_2 \frac{1}{2}$ .

$$= T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + c \cdot n$$



At each level the cost is going to be  $C \cdot n$

Now we need to find height of tree  
But here the level height is different at  
different levels

$$T(n/3^k) = 1$$

$$n \Rightarrow \frac{n}{\left(\frac{3}{2}\right)_2} = \frac{n}{\left(\frac{3}{2}\right)^2} = \frac{n}{\left(\frac{3}{2}\right)^3} = \dots$$

$$\frac{n}{(3/2)^k} = 1$$

$$\left(\frac{3}{2}\right)^k = n$$

$$\begin{array}{c}
 \begin{array}{r}
 \frac{n}{243} \\
 \times 321 \\
 \hline
 \end{array}
 &
 \begin{array}{r}
 \frac{n}{243} \\
 \times 321 \\
 \hline
 \end{array}
 \end{array}$$

### Master Method

The master method is used to solve the recurrence if the recurrence is in the form of  $T(n) = aT\left(\frac{n}{b}\right) + f(n)$  where  $a \geq b > 1$  and  $f(n) > 0$ .

There are 3 cases -

C1: If  $f(n) = O(n^{\log_b a - t})$ ,  $t > 0$ , then  $T(n) = \Theta(n^{\log_b a})$

C2: If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \cdot \log n)$

C3: If  $f(n) = \Omega(n^{\log_b a + t})$ ,  $t > 0$ , & it should satisfy the regularity condition, i.e.  $a f\left(\frac{n}{b}\right) \leq c \cdot f(n)$ ,  $0 < c < 1$   
then  $T(n) = \Theta(f(n))$

$$\text{eg. } T(n) = 4T\left(\frac{n}{2}\right) + n$$

$$a=4 \quad b=2 \quad f(n)=n$$

$$\begin{array}{c} n^{\log_b a} \\ \hline > \end{array} \quad \begin{array}{c} f(n) \\ \hline \end{array}$$

$$\begin{array}{c} \\ = \end{array} \quad \begin{array}{c} \textcircled{1} \\ \textcircled{2} \\ \textcircled{3} \end{array}$$

$$\text{Find } n^{\log_b a} = n^{\log_2 4} = n^2$$

$$\text{So, } f(n) = n = O(n^{2-t}) \text{ for some } t > 0$$

So, apply C1

$$\begin{aligned} T(n) &= \Theta(n^{\log_b a}) \\ &= \Theta(n^{\log_2 4}) = \Theta(n^2) \end{aligned}$$

$$\text{eg. } T(n) = 9T\left(\frac{n}{3}\right) + n$$

$$a=9 \quad b=3$$

$$\text{So, } n^{\log_b a} = n^{\log_3 9} = n^2$$

$$n = O(n^{2-t})$$

$$T(n) = \Theta(n^{\log_3 9}) = \Theta(n^2)$$

$$\text{eg. } T(n) = 8T\left(\frac{n}{2}\right) + n \log n$$

$$\begin{array}{c} n^3 \\ n \log n \end{array} \quad a = O(n^{3-t})$$

$$\Theta(n^3)$$

$$\begin{array}{c} \log a \\ \log b \\ \log n \end{array}$$

$$\begin{aligned} * \quad T(n) &= 7T\left(\frac{n}{2}\right) + n^2 \\ a=7 \quad b=2 \quad f(n) &= n^2 \\ n^{\log_b a} &= n^{\log_2 7} = n^{2.8} \\ f(n) &= n^2 = O(n^{2.8-t}) \\ T(n) &= \Theta(n^{2.8}) \end{aligned}$$

$$\begin{aligned} * \quad T(n) &= 2T\left(\frac{n}{2}\right) + n \\ a=2 \quad b=2 \quad f(n) &= n \\ n^{\log_b a} &= n \\ T(n) &= \Theta(n \log n) \end{aligned}$$

$$\begin{aligned} * \quad T(n) &= T\left(\frac{n}{2}\right) + 1 \\ a=1 \quad b=2 \quad f(n) &= 1 \\ n^{\log_b a} &= 1 \\ T(n) &= \Theta(\log n) \end{aligned}$$

$$* T(n) = 4T\left(\frac{n}{2}\right) + n^2$$

~~$n^2$~~

$$T(n) = \Theta(n^2 \log n)$$

$$* T(n) = 8T\left(\frac{n}{2}\right) + n^2$$

$$T(n) = \Theta(n^3)$$

$$* T(n) = 2T\left(\frac{n}{2}\right) + n^4$$

$$n^{\log_2 2} = n$$

C 3:

$$f(n) = n^4 = \Omega(n^{\log_2 2 + \epsilon})$$

Regularity condition

$$a \cdot f\left(\frac{n}{2}\right) \leq c \cdot f(n)$$

$$f(n) = n^4$$

$$f\left(\frac{n}{2}\right) = \left(\frac{n}{2}\right)^4 = \frac{n^4}{16}$$

$$2 \cdot \frac{n^4}{16} \leq c \cdot n^4$$

$$\frac{n^4}{8} \leq c \cdot n^4$$

$$\frac{1}{8} \leq c < 1$$

Hence, the regularity condition is satisfied

$$T(n) = \Theta(n^4)$$

$$* T(n) = 4T\left(\frac{n}{2}\right) + n^3$$

$\frac{n}{2}$

Regularity condition

$$a \cdot f\left(\frac{n}{2}\right) \leq c \cdot f(n)$$

$$4 \cdot \frac{n^3}{8} \leq c \cdot n^3$$

$$\frac{n^3}{2} \leq c \cdot n^3$$

$$\frac{1}{2} \leq c < 1$$

$$T(n) = \Theta(n^3)$$

$$* T(n) = T\left(\frac{n}{2}\right) + n^2$$

$$a \cdot f\left(\frac{n}{2}\right) \leq c \cdot f(n)$$

$$1 \cdot \frac{n^2}{4} \leq c \cdot n^2$$

$$\frac{1}{4} \leq c < 1$$

$$\Theta(n^2)$$

$$* T(n) = 2T\left(\frac{n}{2}\right) + n^2$$

$$2 \cdot \frac{n^2}{4} \leq c \cdot n^2$$

$$\frac{1}{2} n^2 \leq c \cdot n^2$$

$$\Theta(n^2)$$

$$* T(n) = 3T\left(\frac{n}{4}\right) + n \log n$$

$$* T(n) = 2T\left(\frac{n}{2}\right) + n^3$$

$$* T(n) = 2T\left(\frac{n}{2}\right) + 2^n$$

$$* T(n) = T\left(\frac{9n}{10}\right) + n$$

$$* T(n) = 2T\left(\frac{n}{2}\right) + \sqrt{n}$$

$$* T(n) = 7T\left(\frac{n}{3}\right) + n^2$$

$$* T(n) = 3T\left(\frac{n}{4}\right) + n \log n$$

$$n \log 3$$

$$n^{0.8} \leq n \log n$$

$$3 \cdot \frac{n}{4} \log \frac{n}{4} \leq C \cdot n \log n$$

$$* T(n) = 2T\left(\frac{n}{2}\right) + n^3$$

$$2 \cdot \frac{n^3}{8} \leq C \cdot n^3$$

$$\frac{1}{4} \leq C \leq 1$$

$$\Theta(n^3)$$

$$\begin{aligned} & 3 \cdot \frac{n}{4} \log \frac{n}{4} \\ & \frac{3}{4} \log \frac{n}{4} \end{aligned}$$

25.1.10

$$* T(n) = 2T\left(\frac{n}{2}\right) + 2^n$$

$$n \leq 2^n$$

$$2 \cdot 2^{\frac{n}{2}} \leq C \cdot 2^n$$

$$2^{\frac{n}{2}+1} \leq C \cdot 2^n$$

$$\Theta(2^n)$$

$$\left\{ \begin{array}{l} a f(n/b) \leq c f(n) \\ 2 \cdot 2^{\frac{n}{2}} \leq C \cdot 2^n \end{array} \right.$$

$$2 \cdot 2^{\frac{n}{2}} \leq 2 \cdot 2^{\frac{n}{2}} \cdot 2^{\frac{n}{2}-1-n}$$

$$2 \cdot 2^{\frac{n}{2}} \leq 2^{\frac{n}{2}-1-n} \cdot 2^{\frac{n}{2}}$$

$$f(n) = n$$

$$f(n/b)$$

$$\cancel{C}$$

$$* T(n) = T\left(\frac{9n}{10}\right) + n$$

$$\cancel{1} \leq n$$

$$1 \cdot \frac{9n}{10} \leq C \cdot n$$

$$\frac{9n}{10} \leq C \cdot n$$

$$\Theta(n)$$

$$* T(n) = 2T\left(\frac{n}{2}\right) + \sqrt{n}$$

$$T(n) = \Theta(n)$$

$$* T(n) = 7T\left(\frac{n}{3}\right) + n^2$$

$$n^{\log_3 7} = n^{1.77}$$

$$7 \cdot \frac{n^2}{9} \leq C \cdot n^2$$

$$C = \frac{7}{9}$$

$$\Theta(n^2)$$

Master method by changing variables

$$T(n) = 2T(\sqrt{n}) + \log n$$

$$\begin{array}{c} \log b^a \\ \diagdown \\ n^{-1} \end{array} \quad \begin{array}{c} \log_{1/2} 2 \\ \diagdown \\ \log n \end{array}$$

$$T(n) = 2T(\sqrt{n}) + \log n \quad \text{--- (1)}$$

$$\text{let } n = 2^m$$

$$T(2^m) = 2T(\sqrt{2^m}) + \log 2^m$$

$$= 2T(2^{m/2}) + m$$

$$\text{let } T(2^m) = S(m)$$

$$\begin{aligned} S(m/2) &= T(2^{m/2}) \\ S(m) &= 2S(m/2) + m \end{aligned} \quad \left\{ \begin{array}{l} \Theta(m \cdot \log m) \\ n = 2^m \end{array} \right.$$

$$a=2, b=2, f(m)=m \quad \left\{ \log n = \Theta(m \cdot \log m) \right.$$

Find  $m^{\log b^a} = m^{\log_2 2} = m$ , which is equal to  $f(m)$ .

$$\begin{aligned} f(m) &= \Theta(m \cdot \log m) \quad \because m = \log_2 n \\ &= \Theta(\log n \cdot \log \log n) \end{aligned}$$

$$\begin{aligned} f(x) &= n^5 \\ &= n^2 \end{aligned}$$

$$\begin{aligned} f(x) &= n \\ &\downarrow \end{aligned}$$

$$\begin{aligned} g(x) &= n^3 \log n \\ &= \log n \end{aligned}$$

$$\begin{aligned} g(x) &= n \log n \\ &\downarrow \end{aligned}$$

They are asymptotically diff.

$$a. T(n) = 2T(n/2) + n \log n$$

Here  $f(n) \propto n^{\log_2 2}$  are not non-polynomial different i.e why master method can not be applied.  $n^\varepsilon, \varepsilon > 0$

$$b. T(n) = 4T(n/2) + n^2/\log n$$

Extended Master Method

$$1. T(n) = aT(n/b) + \Theta(n^k * \log^p n), a \geq 1, b > 1, k \geq 0 \quad p \text{ is real no.}$$

$$C1: \text{If } (a > b^k), T(n) = \Theta(n^{\log_b a})$$

$$C2: \text{If } (a = b^k), (i) \text{ If } (p > -1), T(n) = \Theta(n^{\log_b a} \cdot \log^{p+1} n)$$

$$(ii) \text{ If } (p = -1), T(n) = \Theta(n^{\log_b a} \cdot \log n)$$

$$(iii) \text{ If } (p < -1), T(n) = \Theta(n^{\log_b a})$$

$$C3: \text{If } (a < b^k), (i) \text{ If } (p \geq 0), T(n) = \Theta(n^k + \log^p n) \\ (ii) \text{ If } (p < 0), T(n) = \Theta(n^k)$$

$$a. T(n) = 2T(n/2) + n \log n$$

$$a=2 \quad b=2 \quad k=1 \quad p=1$$

$$a. T(n) = 6T(n/3) + n^2 \log n$$

$$a=6 \quad b=3 \quad k=2 \quad p=1$$

$$6 > 3^2$$

$$\Theta(n^2 \log n)$$

$$Q. T(n) = 2T\left(\frac{n}{2}\right) + \frac{n}{\log n}$$

$$a=2 \quad b=2 \quad k=1 \quad p=-1$$

$$\Theta(n \log \log n)$$

$$Q. T(n) = 3T\left(\frac{n}{2}\right) + n^2$$

$$a=3 \quad b=2 \quad k=2 \quad p=0$$

$$2 < 2^2$$

$$\Theta(n^2)$$

### Master Theorem for Decreasing Recurrence

In dec  $f^n$ , we have the recurrence in the form of

$$T(n) = aT(n-b) + f(n), \text{ where } f(n) = \Theta(n^k)$$

C1: If  $a < 1$ , then  $T(n) = \Theta(n^k)$

C2: If  $a = 1$ , then  $T(n) = \Theta(n * n^k)$

C3: If  $a > 1$ , then  $T(n) = \Theta(a^{n/b} * n^k)$

$$Q. T(n) = 2T(n-2) + n$$

$$\Theta(2^{n/2} \cdot n)$$

$$Q. T(n) = \frac{1}{2} T(n-1) + n^2$$

$$\Theta(n^2)$$

## Divide & Conquer

### Divide

The goal Divide the problem into number of sub-problems.

### Conquer

Solve the subproblem recursively.

### Combine

If require combining the solutions to get the final solutions

Eg - Binary Search, Quick Sort & Merge Sort

## Binary Search

\* Before applying BS, the elements should be in a sorted order.

Eg.  $A = \{14, 15, 16, 18, 20, 25, 28\}$

A	14	15	16	18	20	25	28
	1	2	3	4	5	6	7

↓                            ↓  
low                            high

$$\text{Mid} = (1+7)/2 = 4$$

Compare (Key, A[4])

$$\text{low} = 1, \text{high} = \text{mid} - 1$$

## Recursive Algo

```

binary_Search (low, high, key) {
    if (low == high) {
        if (A[low] == key) {
            return low;
        }
        else {
            return 0;
        }
    }
    else {
        mid = (low + high) / 2;
        if (key == A[mid]) {
            return mid;
        }
        else if (key < A[mid]) {
            return binary_Search (low, mid - 1, key);
        }
        else {
            return binary_Search (mid + 1, high, key);
        }
    }
}

```

$$T(n) = T(n/2) + 1$$

$$T(n) = \begin{cases} 1, & n=1 \quad // \text{Best Case} \\ T(n/2)+1 & n>1 \quad // \text{Worst Case} \end{cases}$$

## Quick Sort

Divide - Split the array into two sub-arrays such that each element in the left sub-array is less than or equal to middle element & each element in right sub-array is greater than the middle element.

Conquer - Recursively sort the two sub arrays.

Combine - Combine all the sorted elements to form the list of sorted elements.

eg.  $\begin{array}{ccccccc} 50, 30, 10, 90, 80, 20, 40, 70 \\ \downarrow \quad \downarrow \quad \downarrow \\ \text{pivot} \quad i \quad j \end{array}$

$$i = 1, j = 7, \text{pivot} = 50$$

$i$ $30 < 50 \Rightarrow i++ = 2$ $10 < 50 \Rightarrow i++ = 3$ $90 < 50 \quad (\text{False})$ $i = 3$	$j$ $70 > 50 \Rightarrow j-- = 6$ $40 > 50 \quad (\text{False})$ $j = 6$
--	---

$i$  with  $j$   
 $3 < 6$   
Swap  $A[3] \leftrightarrow A[6]$

$\begin{array}{ccccccc} 50, 30, 10, 40, 80, 20, 90, 70 \\ \downarrow \quad \downarrow \quad \downarrow \quad \downarrow \quad \downarrow \\ \text{pivot} \quad 3 \quad 6 \end{array}$

$i$   
 $40 < 50 \Rightarrow i++$   
 $80 < 50 \quad (\text{F})$   
 $i = 4$

$$i < j$$

swap  $A[4]$  with  $A[5]$

$\begin{array}{ccccccccc} 50, 30, 10, 40, 20, 80, 90, 70 \\ \downarrow \quad \downarrow \\ i \quad j \\ \text{pivot} \end{array}$

$i / 20 < 50 \Rightarrow i++$   
 $80 < 80 \quad (\text{F})$   
 $i = 5$

$j / 80 > 50 \Rightarrow j--$   
 $20 > 50 \quad (\text{F})$   
 $j = 4$

As  $i < j$  is False

Swap pivot with  $A[j]$

$\boxed{20, 30, 10, 40} \quad \boxed{50} \quad \boxed{80, 90, 70}$   
 $\downarrow$   
pivot

Now, the pivot element has been placed in its proper posn.

## Alg<sup>m</sup> Quick Sort

quickSort( $A, \text{low}, \text{high}$ ) // Initially  $\text{low} = 0, \text{high} = n-1, n \rightarrow \text{no. of elements}$

```
{
  if ( $\text{low} < \text{high}$ ) then
     $m \leftarrow \text{partition}(A, \text{low}, \text{high})$ 
    quickSort( $A, \text{low}, m-1$ )
    quickSort( $A, m+1, \text{high}$ )
}
```

3

Avg Partition ( $A, low, high$ ) {

    pivot =  $A[low]$ ,  $i \leftarrow low + 1$ ,  $j \leftarrow high$

    while ( $i <= j$ ) {

        while ( $A[i] <= pivot$ )

$i++$

        while ( $A[j] > pivot$ )

$j--$

        if ( $i <= j$ ) then

            swap ( $A[i], A[j]$ );

}

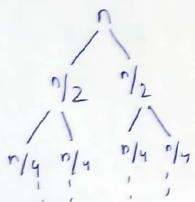
    swap ( $pivot, A[j]$ );

    return  $j$

### Analysis of Quick Sort

(Time Complexity of Quick Sort depends upon the pivot element)

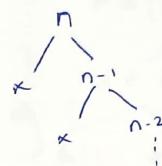
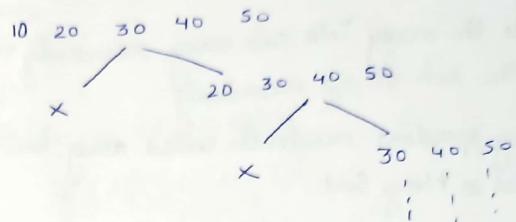
Best Case: (Middle)



$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

$$\Theta(n \log n)$$

Worst Case: (1st, last)

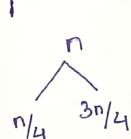


$$T(n) = T(n-1) + n$$

$$\Theta(n^2)$$

Avg Case:

\* pivot element not placed at middle, 1st or last index



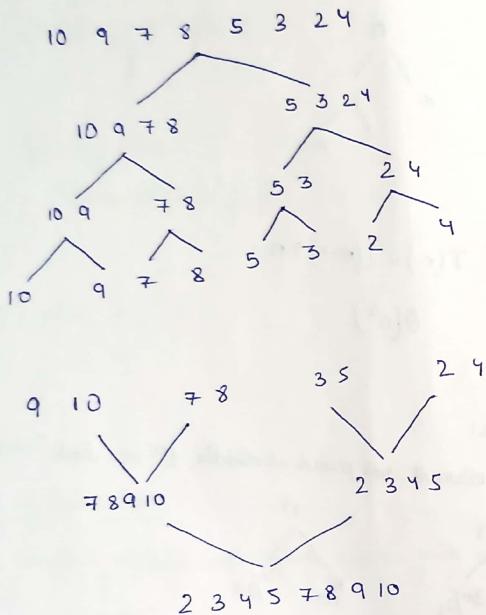
$$T(n) = T\left(\frac{n}{10}\right) + T\left(\frac{9n}{10}\right) + n$$

$$T(n) = T\left(\frac{n}{10}\right) + T\left(\frac{9n}{10}\right) + n$$

$$T(n) = \Theta(n \log n)$$

### Merge Sort

- \* Divide the array into sub-array recursively
- \* Merge the sub-arrays recursively
- \* Merging operations creates the sorted array, hence it is called as Merge Sort.



### Divide (low, high)

low = 0, high = n - 1

if (low < high) {

$$\text{mid} = (\text{low} + \text{high}) / 2$$

Divide (low, mid)

Divide (mid + 1, high)

Merge (low, mid, high)

}

}

### Merge (low, mid, high)

i = low;

j = mid + 1;

k = 0;

while (i <= mid && j <= high) {

if (A[i] <= A[j]) {

B[k] = A[i];  
i++;

}

else {

B[k] = A[j];

j++;

}

if (i > mid) {

while (j >= high) {

B[k] = A[j];

j++;

k++;

}

else {

while (i <= mid) {

B[k] = A[i];

i++; k++;

}

}

}

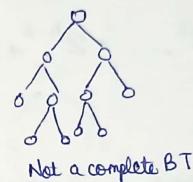
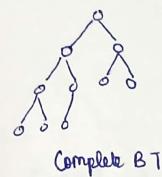
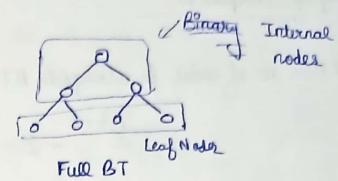
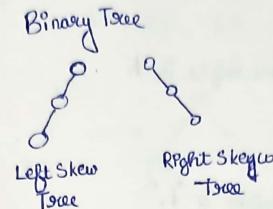
$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

$$\Theta(n \log n)$$

No. Best Case, Worst Case of Avg Case

Quicksort is preferred over Merge Sort b/c of Space Complexity

### Heap



$$\begin{aligned}n &= 2^{n+1} - 1 \\n+1 &= 2^{n+1} \\ \log_2 n+1 &= n+1 \text{ log}_2 \\ \log_2 n+1 &- 1\end{aligned}$$

### Properties of Full Binary Tree -

Level	No. of Nodes
0	$2^0 = 1$
1	$2^1 = 2$
2	$2^2 = 4$

Height of leaf node = 0

Height of any internal node = Longest path from that node to leaf node

$$\begin{aligned}FB \\ \text{Height of a tree} &= \text{height of the root} = \log_2 (\text{no. of leaf nodes}) \\ &= \log_2 (\text{no. of internal nodes} + 1)\end{aligned}$$

$$\begin{aligned}Masc \ No. \ of \ nodes \ in \ a \ FBT &= 2^0 + 2^1 + 2^2 + \dots + 2^h \\ &= 2^{h+1} - 1\end{aligned}$$

## Heap of Complete BT

\* Max no. of nodes in a Complete BT whose height is 'h'

$$= 2^{h+1} - 1$$

\* Min no. of nodes of a CBT whose height is 'h'

$$2^0 + 2^1 + 2^2 + \dots + 2^{h-1} + 1$$

$$2^h$$

\* If  $n$  represents total no. of nodes of a CBT whose height is  $h$  then

$$2^h \leq n \leq 2^{h+1} - 1$$

\* If there are  $n$  no. of nodes in a CBT, then height is equal to  $\log_2 n$

## Binary Heap

Heap is a CBT, where every parent is greater than or smaller than its children.

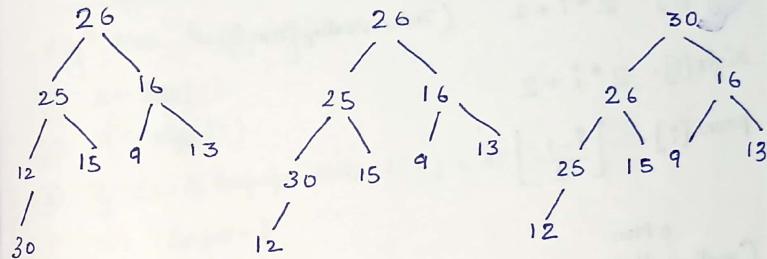
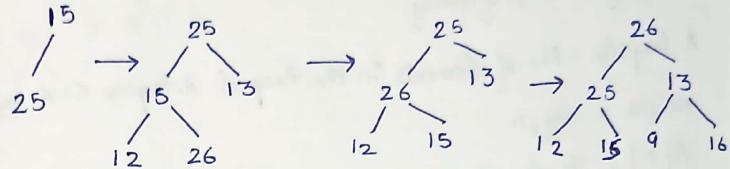
Heap is of 2 types - Max & Min Heap

In Max Heap, every parent is greater than its children & largest element among the all nodes is present at the root.

In Min Heap, every parent is smaller than its children & smallest element among the all nodes is present at the root.

## eg. Create a Max Heap

15, 25, 13, 12, 26, 9, 16, 30



The height of the heap with  $n$  no. of elements is equal to  $\lceil \log_2 n \rceil$

The minimum no. of nodes possible in a heap with height  $h$  is  $n = 2^h$ .

The max no. of nodes is  $n = 2^{h+1} - 1$

If there are  $n$  no. of elements in a tree, then the children of sub-tree has the size at most  $\lfloor 2^{h/3} \rfloor$

## Heap Sort

A.length = size of array

A.heapsize = No. of elements in the heap & A.heapsize  $\leq$  A.length

Height =  $\log_2 n$

$A[i]$  = The value of array at  $i$

left [ $i$ ] =  $2 * i + 1$  (Index starting from 0)

right [ $i$ ] =  $2 * i + 2$

parent [ $i$ ] =  $\left\lfloor \frac{i-1}{2} \right\rfloor$

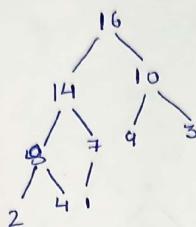
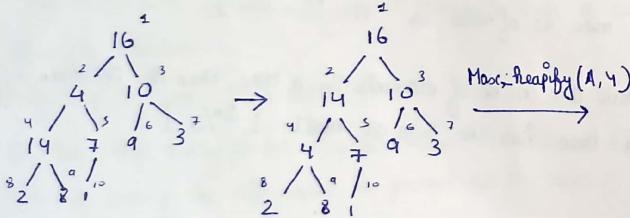
## Creating Heap

(i) Max-heapify ( $A, i$ )

(ii) Build\_Maxheap ( $A$ )

Max-heapify ( $A, i$ )

(i) Apply Max-heapify at ( $A, 2$ ), if the Array is  
 $< 16, 4, 10, 14, 7, 9, 3, 2, 8, 1 >$



## ② Build\_Maxheap ( $A$ )

Alg<sup>n</sup> Max-heapify ( $A, i$ )

①  $l \leftarrow \text{left}[i]$

②  $r \leftarrow \text{right}[i]$

③ if  $l \leq A.\text{heapsize}$  AND  $A[l] > A[i]$

3.1 largest =  $l$

④ else

4.1 largest =  $i$

⑤ if  $r \leq A.\text{heapsize}$  AND  $A[r] > A[\text{largest}]$

5.1 largest =  $r$

⑥ if largest  $\neq i$

6.1 Exchange ( $A[i], A[\text{largest}]$ )

6.2 Max-heapify ( $A, \text{largest}$ )

## ④ Exit

Line ①, ②, ⑥.1 it takes a constant time i.e.  $O(1)$ . So, the worst case run time will be when the recursion occurs maximal no. of times. As max no. of nodes in either side of the tree is  $2n/3$ , so the recurrence relation will be

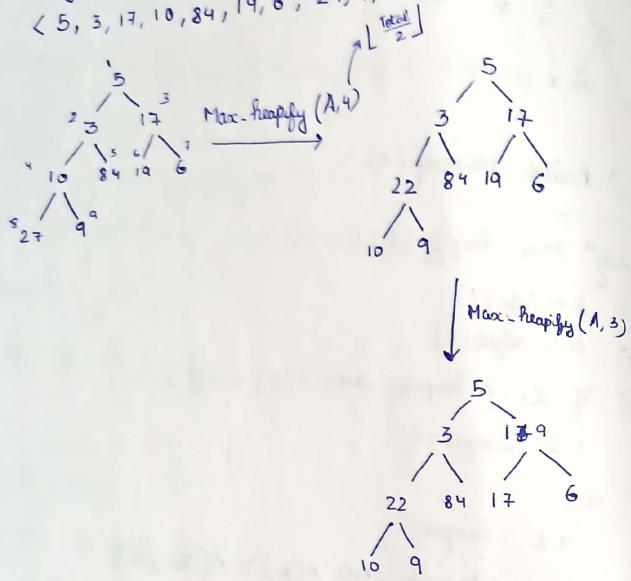
$$T(n) = T\left(\frac{2n}{3}\right) + 1$$

$$\Theta(\log n)$$

### Build - Maxheap (A)

eg. Apply Build - Maxheap( ) on A

$\langle 5, 3, 17, 10, 84, 19, 6, 27, 9 \rangle$



### Alg'm Build - Maxheap (A)

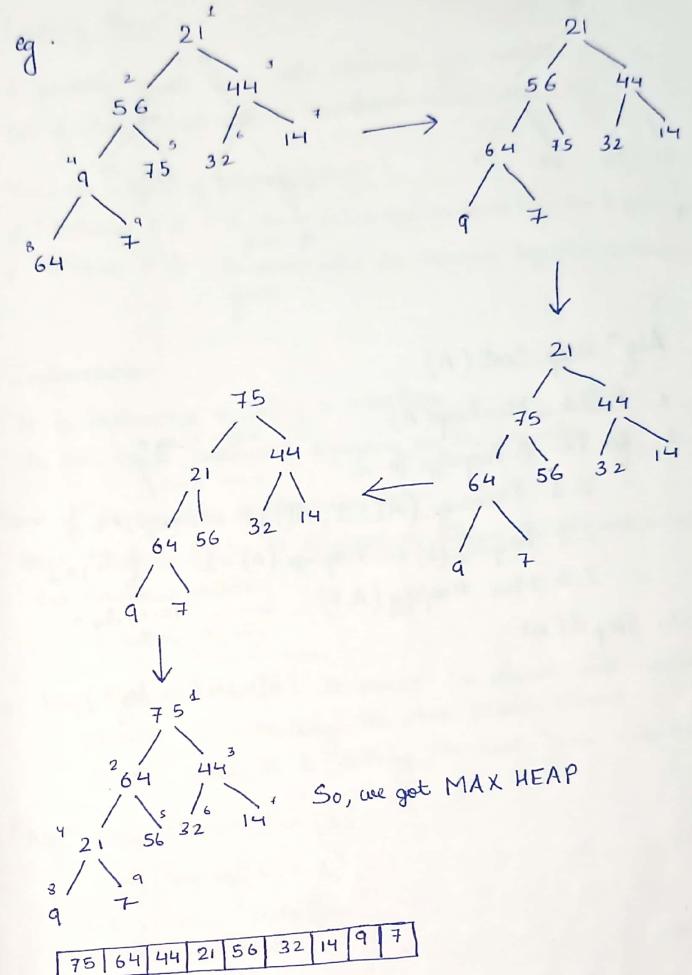
- ① for  $j \leftarrow \lfloor A.\text{heapsize}/2 \rfloor$  to 1 do
  - 1.1 Max-heapify ( $A, i$ )
- ② Stop & Exit

$O(n \log n)$

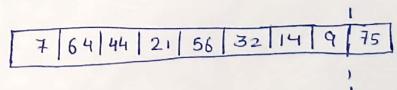
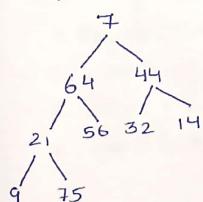
### Deletion Operation of Heap

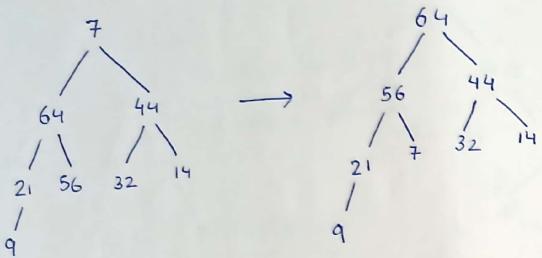
It consists of two steps -

- (i) Swap the root node with last node
- (ii) Apply the Heapsify as needed for Max/M in Heap.



Now, delete the root





### Alg<sup>m</sup> Heap-Sort (A)

1. Build\_Maxheap(A) —  $n \log n$
2. for  $i \leftarrow A.\text{heapsize}$  to 2 —  $(n-1)$ 
  - 2.1 Exchange ( $A[1], A[i]$ ) —  $(n-1)*1$
  - 2.2 Heapsize (A)  $\leftarrow$  Heapsize (A) - 1 —  $(n-1)*1$
  - 2.3 Max\_Heapify (A, 1) —  $(n-1) \log n$
3. Stop & Exit

$$T(n) = O(n \log n)$$

### Priority Queue

A priority queue is a data structure for maintaining a set of elements each with an associated value called key.

There are 2 types of priority queue -

1. Maximum P Q - The element which has maximum key value is processed first
2. Minimum P Q - The element which has minimum key value is processed first.

### Implementation -

1. It is implemented by using a max heap
2. The max heap is constructed depending on the key value.

Some of operation on Maximum PQ -

1. Heap-Maximum (A): It displays or returns the element which has maximum priority.  
return ( $A[1]$ ).
2. Heap-Extract-Max (A): It processes the element with maximum priority i.e. extracting the max priority element.  
In other words, it is deleting the root from max heap.

### Alg<sup>m</sup> Heap-Extract-Max (A)

1. If ( $\text{heapsize}[A] < 1$ )
  - 1.1. Display "Underflow"
  - 1.2. Return & Exit
2. Max  $\leftarrow A[1]$
3. Swap ( $A[1], A[\text{heapsize}[A]]$ )
4. Heapsize (A)  $\leftarrow$  Heapsize (A) - 1
5. Max\_Heapify (A, 1) —  $O(\log n)$
6. Return Max
7. Stop & Exit

$$T(n) = O(\log n)$$

## Greedy Algorithm

- A greedy alg<sup>m</sup> always makes the choice that looks best at that moment.
- Greedy Alg<sup>m</sup> doesn't always give optimal sol<sup>r</sup>, but for some problems they do.
- A Greedy Alg<sup>m</sup> gets optimal sol<sup>r</sup> to a problem by making sequence of greedy choices which seems to be best at that present state.
- The greedy approach may not guarantee or give optimal solution for all the problems.
- The problems which can be solved using greedy approach are-
  - Fractional Knapsack Problem
  - Activity Selection Problem
  - Huffman Code Problem.

## Knapsack Problem

In the Knapsack problem, a person wants to choose some item in a bag (knapsack) from the store, such that the cost of the bag is maximum.

- It is of two types -

0/1 Knapsack - Here each item must be either taken or left behind.  
The optimal sol<sup>r</sup> is achieved by Dynamic Programming.

Fractional Knapsack - Here the person can take fractions of item, rather than having to make a binary choice for any item. This can be solved using Greedy Alg<sup>m</sup>.

## Fraction Knapsack Problem

Let  $i = 1, 2, 3, \dots, n$  be the  $n$  items present in the store.

$v_i$  ← Value of the  $i^{\text{th}}$  item

$w_i$  ← Weight of the  $i^{\text{th}}$  item

$x_i$  ← Fractional amount of the  $i^{\text{th}}$  item where  $0 \leq x_i \leq 1$

$k$  ← Maximum capacity of the Knapsack

So, we need Maximise Profit =  $\sum_{i=1}^n v_i x_i$ , which is subject to  
 $\sum_{i=1}^n w_i x_i \leq k, 0 \leq x_i \leq 1, 1 \leq i \leq n$

Eg. Let  $W = \{40, 10, 30, 10, 40\}$ , & the corresponding cost  
 $V = \{200, 60, 120, 10, 80\}$ ,  $k$  is given as 5

Item	1	2	3	4	5
Weight ( $w_i$ )	40	10	30	10	40
Cost ( $v_i$ )	200	60	120	10	80
Cost/Unit ( $v_i/w_i$ )	5	6	4	1	2

Then, arrange  $(v_i/w_i)$  in descending order

Item	2	1	3	5	4
$(w_i)$	10	40	30	40	10
$(v_i)$	60	200	120	80	10
$(v_i/w_i)$	6	5	4	2	1

Item No	$W_i \leq k$	$x_i$	$k$	Total cost
2	$10 \leq 85$	1	$85-10 = 75$	$1 * 60 = 60$
1	$40 \leq 75$	1	$75-40 = 35$	$1 * 200 = 200$
3	$30 \leq 35$	1	$35-30 = 5$	$1 * 120 = 120$
5	$40 \leq 5$ (False)	$\frac{5}{40} = \frac{1}{8}$	$5-5=0$	$\frac{1}{8} * 80 = 10$
				<u>390</u>

$$\therefore V = \{25, 14, 15\} \quad W = \{18, 15, 10\}, k=20$$

Item	1	2	3
W:	18	15	10
V:	25	14	15
$V_i/W_i$ :	1.38	0.93	1.50

Item	3	1	2
W:	10	18	15
V:	15	25	14
$V_i/W_i$ :	1.50	1.38	0.93

Item No	$W_i \leq k$	$x_i$	$k$	Total Cost
3	$10 \leq 20$	1	$20-10=10$	$1 * 15 = 15$
1	$18 \leq 10$	$\frac{10}{18} = \frac{5}{9}$	$10-10=0$	$\frac{5}{9} * 25 = 13.8$
				<u>28.8</u>

Alg<sup>m</sup> Knapsack - Fractional ( $W, V, n, k$ )

$W \rightarrow$  Array represents weight     $V \rightarrow$  capacity of knapsack  
 $n \rightarrow$  no. of items     $k \rightarrow$  capacity of knapsack

1. Compute  $V_i/W_i$ ;  
Arrange in descending order
2. for  $i \leftarrow 1$  to  $n$ 
  - 2.1  $x_i \leftarrow 0$
3. weight  $\leftarrow 0$ ,  $i \leftarrow 1$ , cost  $\leftarrow 0$
4. while (weight +  $w_i \leq k$ )
  - 4.1. do '  $x_i \leftarrow 1$ '
  - 4.2. weight  $\leftarrow$  weight +  $w_i$
  - 4.3.  $i \leftarrow i+1$
5.  $x_i \leftarrow (k - \text{weight})/w_i$
6. for  $i \leftarrow 1$  to  $n$ 
  - 6.1. cost  $\leftarrow$  cost + ( $x_i * v_i$ )
7. Return cost
8. Stop & Exit.

$O(n \log n)$

### Activity Selection Problem

Let  $A = \{a_1, a_2, \dots, a_n\}$  is a set of activities.

Let  $S = \{s_1, s_2, \dots, s_n\}$  is the starting time of activities

&  $F = \{f_1, f_2, \dots, f_n\}$  is the finishing time of activities

Activities  $a_i$  &  $a_j$  are compatible, if the time interval are not overlapped i.e.  $s_i < f_j$  &  $s_j > f_i$

The activity selection problem is a problem to select maximum no. of compatible activities.

Steps to solve the problem:

S1: Arrange all the activities in increasing order of finish time.

S2: Select the first activity.

S3: Select next activity whose start time  $\geq$  finish time of previously selected activity

S4: Repeat S3 until all activities are checked.

$$\text{Ex: } S_i = \{1, 0, 3, 3, 5, 5, 6, 8, 8, 2, 12\}$$

$$F_i = \{4, 6, 5, 8, 7, 9, 10, 11, 12, 13, 14\}$$

Sol: Arrange all the activities in increasing order of finish time

$$A_i: a_1, a_2, a_3, a_4, a_5, a_6, a_7, a_8, a_9, a_{10}, a_{11}$$

$$S_i: 1, 3, 0, 5, 3, 5, 6, 8, 8, 2, 12$$

$$F_i: 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14$$

$$\text{Selection: } \checkmark, \times, \times, \checkmark, \times, \times, \times, \checkmark, \times, \times, \checkmark$$

$$\langle a_1, a_4, a_8, a_{11} \rangle$$

### Minimum No. of platform problem

Arrival & Departure times of all trains are given to reach a railway station. The task is to find the minimum no. of platforms required so that no train needs to wait.

Eg.

	900	940	950	1100	1500	1800
Arrival Time						
Departure Time	910	1200	1120	1130	1900	2000

900	A	1
910	D	0
940	A	1
950	A	2
1100	A	3
1120	D	2
1130	D	1
1200	D	0
1500	A	1
1800	A	2
1900	D	1
2000	D	0

3 is highest

No. of platforms needed = 3

- Sort all the times in increasing order

- If Arrival +1 else -1

## Huffman Code (Encoding technique)

There are two encoding techniques :-

Fixed length code

Variable length code

Fixed Length Code:-

In a string for eg. we have abaab....., a is present 800 times & b is present 200 times. If it is represented in bits then we need  $(8 \times 1000)$  bits of space. It can be reduced if a & b can be represented as 1 & 0. Then instead of 8000 bits, now we need only 1000 bits. So, if there are n different characters, then no. of bits required to represent 1 character is  $\lceil \log_2 n \rceil$ .

Variable Length Code:-

1. Here we assign different no. of bits to each character.
2. But there should not be any ambiguity i.e. let the string is ab a a b c c

$$a=0, b=01, c=10$$

0 01 00 01 10 10

then the encoded data may have lot of ambiguity while decoding.

3. The code word where no code word is a prefix of some other word code is called prefix code.

0, 101, 100, 111, 1101, 1100

Huffman Code follows prefix code properties.

Huffman Code is generated from Huffman tree.

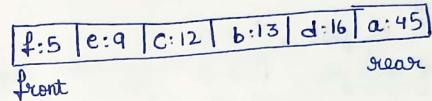
Huffman tree is a binary tree in which 0 represents go to left & 1 represents go to right.

Eg.

$$a=45, b=13, c=12, d=16, e=9, f=5$$

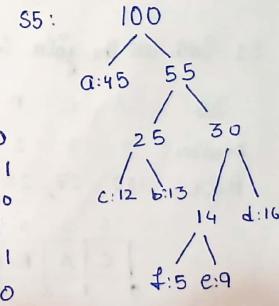
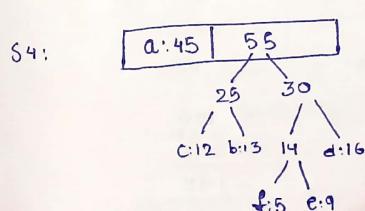
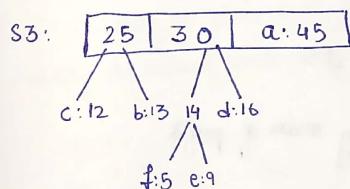
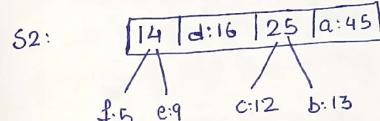
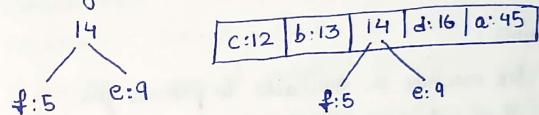
Arrange all characters in the ascending order of frequency & store it in a queue

fn:-



S1: Select the two smallest frequencies & combine it to one.

After combining



### Job Sequencing Problem:

Given an array of jobs, where every job has a deadline & associated profit if job is finished by the deadline  
 Every job takes a single unit of time i.e. maximum possible deadline for any job is 1 time unit.  
 Our objective is to maximize profit.

n: set of jobs

d<sub>i</sub>: deadline of job, d<sub>i</sub> ≥ 0

P<sub>i</sub>: Profit of each job, P<sub>i</sub> > 0

For any job i, profit P<sub>i</sub> is earned if and only if, the job is completed by deadline.

Constraints:

(i) One machine is available to process job

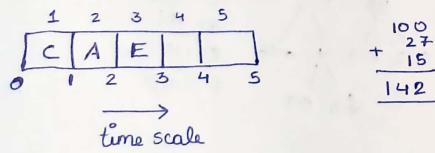
(ii) Each job takes single unit of time to complete

Eg.

Job	A	B	C	D	E
Deadline	2	1	2	1	3
Profit	100	19	27	25	15

S1. Sort all the jobs in descending order of profit

Job	A	C	D	B	E
Deadline	2	2	1	1	3
Profit	100	27	25	19	15



$$\begin{array}{r} 100 \\ + 27 \\ \hline 142 \end{array}$$

- s1. Sort the jobs in decreasing order of their profits.
- s2. Select the job with the highest profit
- s3. Find the deadline of highest profit & put it in the index equivalent to deadline, if the slot is empty.
- eg:
- s4. If it is not empty, then put it in the previous one slot. If the index is greater than 0.
- s5. Repeat from S2, until all the jobs have been processed.

### Longest Substring without repeating character

Given a string S, find the length of longest substring without repeating characters.

eg. S = <abcabcbb>, ans = 3, i.e. abc

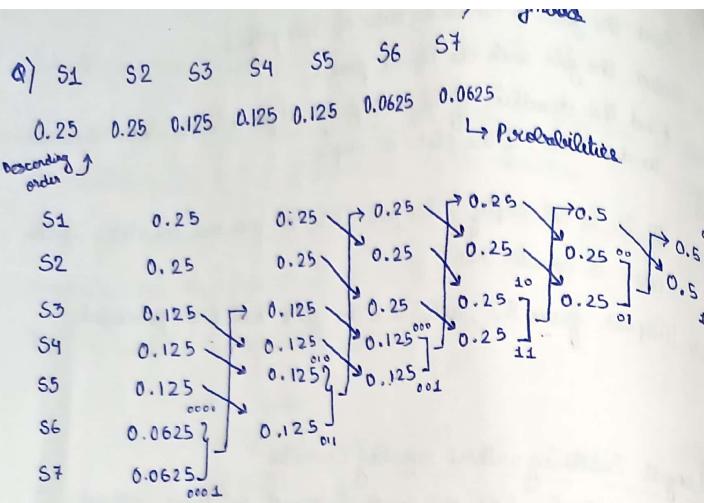
S = <bbbbbb>, ans = 1, i.e. b

S = <pwwkew> ans = 3 i.e. wke or kew

We will be using two pointers i & j which are initially at index 0. We will be using frequency table or array which is used to store frequency of each char in string.

As there are a total of 256 char in extended ASCII codes, so we will be using an array count[256]. COUNT[256] will be using an array count[256]. COUNT[256] Initially the value of count array is initialized to zero.

```
i=0; j=0; ans=0;
for (j=0; j< s.size(); ++j){
    count[s[j]]++;
    while(count[s[j]] > 1){
        count[s[i]]--;
        i++;
    }
    ans = max(ans, j-i+1);
}
```



$S_1 - 10$   
 $S_2 - 11$   
 $S_3 - 001$   
 $S_4 - 010$   
 $S_5 - 011$   
 $S_6 - 0000$   
 $S_7 - 0001$

Length

$S_1 - 0.25 - 10 - 2$
$S_2 - 0.25 - 11 - 2$
$S_3 - 0.125 - 001 - 3$
$S_4 - 0.125 - 010 - 3$
$S_5 - 0.125 - 011 - 3$
$S_6 - 0.0625 - 0000 - 4$
$S_7 - 0.0625 - 0001 - 4$

$$L(\text{Avg code length}) = \sum_{k=1}^K P_k L_k = (0.25 \times 2) + (0.25 \times 2) + (0.125 \times 3) + (0.125 \times 3) + (0.0625 \times 4) + (0.0625 \times 4)$$

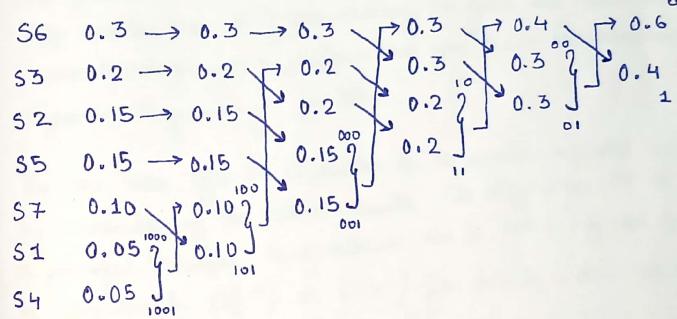
$$= 2.625 \text{ bits/symbol}$$

$$\begin{aligned} H(x) &= - \left( \sum_{k=1}^K P_k \log_2 P_k \right) \quad // -ve has no value \\ &= P_1 \log_2 P_1 + P_2 \log_2 P_2 + \dots + (P_7 \log_2 P_7) \\ &= (0.25 \log_2 0.25) + \dots + (0.0625 \log_2 0.0625) \\ &= 2.625 \text{ bits/symbol} \end{aligned}$$

$$\text{Efficiency} = \frac{H}{L} \times 100 = \frac{2.625}{2.625} \times 100 = 100\%$$

Q. Apply Huffman code procedure for the following symbols & find the efficiency

$$P = \langle 0.05, 0.15, 0.2, 0.05, 0.15, 0.3, 0.1 \rangle$$



$$H(x) = 2.571$$

$$L = 2.6$$

$S_1 - 1000$

$S_2 - 000$

$S_3 - 11$

$S_4 - 1001$

$S_5 - 001$

$S_6 - 01$

$S_7 - 1001$

$$\text{Efficiency} = \frac{H(x)}{L} \times 100$$

$$= \frac{2.571}{2.6} \times 100$$

$$= 98.85\%$$

## Huffman (C)

1.  $n = |C|$  // 6 no. of characters
2. Build MinHeap  $Q$  with  $C$
3. for  $i \leftarrow 1$  to  $(n-1)$ 
  - 3.1 Allocate a new node  $z$
  - 3.2  $z \rightarrow \text{left} = x = \text{Extract-min}(Q)$
  - 3.3  $z \rightarrow \text{right} = y = \text{Extract-min}(Q)$
  - 3.4  $z \rightarrow \text{freq} = x \rightarrow \text{freq} + y \rightarrow \text{freq}$
  - 3.5 Insert  $(Q, z)$
- 4 Return  $\text{Extract-min}(Q)$

## DYNAMIC PROGRAMMING

"Those who can not remember the past are condemned to repeat it"

"Is repeating the things for which you already have the answer, A Good thing?"

A programmer would disagree. That's what Dynamic Programming is about to always remember answers to the subproblem that you have already solved.

Given a problem which can be broken down into smaller sub-problems & smaller sub-problems can still be broken into smaller ones & if you manage to find out there are some overlapping sub-problem then you have encountered a Dynamic Programming problem.

The core idea of a DP problem is to avoid repeated work by remembering the partial results. In algorithm, DP is a powerful technique that allows one to solve different types of problem in  $O(n^2)$  or  $O(n^3)$  for which a naive approach would take exponential time.

eg.  $1+1+1+1+1$  on a paper, the answer is 5 here. Now write  $+1$  in the left. So the new answer is now 6. Here we do not require recount because we remembered their were 5 no. of ones.

eg. Fibonacci series

$$\text{fib}(n) = 1, \text{ if } n=0$$

$$\text{fib}(n) = 1, \text{ if } n=1$$

$$\text{fib}(n) = \text{fib}(n-1) + \text{fib}(n-2)$$