

# Cody Carroll

## Curriculum Vitae

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[codycarroll.github.io](https://github.com/codycarroll)

### Education

- 2021 **PhD in Statistics**, *University of California, Davis*.  
Dissertation Title: Intercomponent Time Dynamics for Multivariate Functional Data  
Advisor: Hans-Georg Müller
- 2017 **MS in Statistics**, *University of California, Davis*.
- 2014 **BS in Mathematics**, *University of Texas at Austin*.

### Academic Appointments

- 2022 - present **Assistant Professor**, *Department of Mathematics and Statistics / Master's in Data Science Program (joint appointment). University of San Francisco*.
- 2022 **Lecturer**, *Department of Statistics, University of California, Davis*.

### Professional Experience

- 2021 - 2022 **Data Scientist**, *Wells Fargo, Charlotte, NC*.
- Automate processes in Python for documenting credit decisioning ML models
  - Present automation workflow and model development documents to fellow quants and management in the Risk Modeling Group

### Research Interests

**Functional and longitudinal data analysis**— time dynamics of multivariate functional data; constrained functional data analysis; imputation; analysis of random objects

**Applications**— longitudinal studies; growth and development; aging and longevity

### Teaching

Instructor of Record

- Fall 2023 **Linear Regression**.  
University of San Francisco, Department of Mathematics and Statistics
- Fall 2023 **Elementary Linear Algebra**.  
University of San Francisco, Department of Mathematics and Statistics
- Spring 2023 **Linear Algebra for Data Science**.  
University of San Francisco, Master's in Data Science Program
- Spring 2023 **Advanced Machine Learning**.  
University of San Francisco, Master's in Data Science Program
- Fall 2022 **Machine Learning Laboratory**.  
University of San Francisco, Master's in Data Science Program
- Fall 2022 **Communications for Analytics**.  
University of San Francisco, Master's in Data Science Program

- Spring 2022 **Applied Statistics for Business and Economics.**  
UC Davis, Dept. of Statistics/Dept. of Economics
- Summer 2019 **Brief Course in Mathematical Statistics II.**  
UC Davis, Dept. of Statistics
- Spring 2019 **Applied Statistics for Biological Sciences.**  
UC Davis, Dept. of Statistics
- Summer 2018 **Applied Statistics for Business and Economics.**  
UC Davis, Dept. of Statistics/Dept. of Economics

#### Teaching Assistant

- *Applied Statistics for Biological Sciences* (Su17) UCD, Statistics
- *Applied Statistics for Business and Economics* (F19) UCD, Statistics/Economics
- *Applied Statistical Methods Regression Analysis* (F17) UCD, Statistics
- *Introduction to Probability Theory* (S18) UCD, Statistics
- *Mathematical Statistics* (W21) UCD, Statistics
- *Survival Analysis* (F18) UCD, Statistics/Biostatistics, *Grad. Level*
- *Statistical Consulting* (W18, W19, W20) UCD, Statistics/Biostatistics, *Grad. Level*

#### International Teaching

- 2014-2015 **ESL Teacher**, *Nishinomiya Imazu Senior High School*, Nishinomiya, Japan.

### Software

- Contributor/ *fdapace: Functional Data Analysis and Empirical Dynamics. R package.*  
Former **C. Carroll**, A. Gajardo, Y. Chen, X. Dai, J. Fan, P. Z. Hadjipantelis, K. Han, et al.  
Maintainer <https://CRAN.R-project.org/package=fdapace>.

### Publications and Preprints

\* indicates co-first authorship

#### Theory and Methodology

- 2023 *Latent Deformation Models for Multivariate Functional Data and Time Warping Separability*  
**C. Carroll** and H.-G. Müller. *Biometrics* 2023.
- 2022 *Learning Delay Dynamics for Multivariate Stochastic Processes, With Application to Predicting COVID-19 Case Trajectories in the United States*  
P. Dubey, Y. Chen, A. Gajardo, S. Bhattacharjee, **C. Carroll**, H. Chen, Y. Zhou, and H.-G. Müller. *Journal of Mathematical Analysis and Applications*, 2022.
- 2020 *Cross-component Registration for Multivariate Functional Data, with Application to Growth Curves*  
**C. Carroll**, H.-G. Müller, and A. Kneip, *Biometrics* 2020.

#### Interdisciplinary Applications

- 2022 *Comparison of Diagnostic Predictors of Neonatal Survivability in Non-Domestic Caprinae*  
T. N. Bliss, M. J. Marinkovich, R. E. Burns, **C. Carroll**, M. M. Clancy, and L. L. Howard.  
*Journal of Zoo and Wildlife Medicine*, 2022.
- 2021 *A Practical Method to Quantify Knowledge-Based Dose Volume Histogram Prediction Accuracy and Uncertainty with Reference Cohorts*  
B. Covele, **C. Carroll**, K. Moore, *Journal of Applied Clinical Medical Physics*, 2022.

- 2020 *Time Dynamics of COVID-19*  
**C. Carroll**, S. Bhattacharjee, Y. Chen, P. Dubey, J. Fan, A. Gajardo, X. Zhou, H.-G. Müller, J.-L. Wang, *Scientific Reports* 2020.
- 2020 *Mountaineers on Mount Everest: effects of age, sex, experience, and crowding on rates of success and death*  
 R. B. Huey\*, **C. Carroll\***, R. Salisbury, J.-L. Wang, *PLoS One* 2020.  
 ◦ received media coverage from *The Economist*, *Reuters*, & *The London Times*

### Ongoing Projects

- 2023+ *Seasonality Patterns of Northern Californian Birds through Citizen Science and Functional Data Analysis*  
**C. Carroll**, D. Govil, 2023.
- 2023 *An Automated Workflow for Satellite-based Monitoring of Field Flooding*  
 X. Wang, W.-C. Liao, **C. Carroll**, 2023.

## Mentoring Experience

- 2022-2023 **Practicum Mentor**, *Data Institute, USF*.  
 Advising Master's Practicums and Research  
 ◦ Devendra Govil and Vichitra Kumar, **Dept. of Ophthalmology, Stanford University**, with Dr. Sophia Ying Wang  
 ◦ Xinyi Jessica Wang and Wan-Chun Elena Liao, **The Nature Conservancy**, with Kirk Klausmeyer  
 ◦ Mohana Medisetty and Yu-Hsin Wang, **Salk Institute**, with Dr. Uri Manor  
 ◦ Xin Ai and Sharon Dodda, **CA Dept. of Wildlife and Fisheries**, with Dr. Alex Heeren
- 2017-2020 **NSF Research Training Group**, *Dept. of Statistics, UC Davis*.  
 Advising Undergraduate Research  
 ◦ *Warping methods for wearable device data*, with Hainiu Xu  
 ◦ *Functional regression for wearable device data*, with Phoebe Biying Li  
 ◦ *Functional clustering for wearable device data*, with Weiyi Chen  
 ◦ *Geographic trends for functional housing price data*, with Yunbai Zhang  
 ◦ *Functional data analysis of global temperature extrema*, with Cynthia Lai
- 2020 **Mentor for Undergraduate Honors Thesis**.  
*Warping methods for wearable device data*, by Hainiu Xu

## Presentations

### Invited Talks

- 2022 **Department of Mathematics and Computer Science, Cal Poly Humboldt**.  
 Mixed-Effect Warping Models for Multivariate Human Growth Curves
- 2022 **Department of Mathematics and Computer Science, Cal Poly Humboldt**.  
 Case Study in Data Science Pedagogy: k-Nearest Neighbors Regression
- 2022 **Halicioğlu Data Science Institute, UC San Diego**.  
 Latent Transport Models for Multivariate Functional Data
- 2022 **Halicioğlu Data Science Institute, UC San Diego**.  
 Case Study in Data Science Pedagogy: k-Nearest Neighbors Regression

- 2022 **Department of Mathematics and Statistics, San Diego State University.**  
Intercomponent Time Dynamics for Multivariate Functional Data
- 2022 **Department of Mathematics and Statistics, University of San Francisco.**  
Nonparametric Regression on Mt. Everest
- 2022 **Data Institute, University of San Francisco.**  
Intercomponent Time Dynamics for Multivariate Functional Data
- 2022 **Department of Probability and Applied Statistics, UC Santa Barbara.**  
Teaching Statistics *Here and Now*
- 2022 **Department of Statistics, UC Berkeley.**  
Case Study in Data Science Pedagogy: Linear Association and Correlation
- 2021 **Department of Applied Statistics, Lawrence Livermore National Lab.**  
Latent Transport Models for Multivariate Functional Data
- 2020 **ECHO Lab, Bill and Melinda Gates Foundation.**  
Longitudinal Data Analysis with *fdapace*
- 2020 **Department of Statistics, UC Davis.**  
Shift-Warping for Multivariate Functional Data
- 2019 **NSF RTG Statistics Workshop Series, UC Davis.**  
Deception and Coin Flips: Statistical Intuition through Lies and Games
- 2018 **NSF RTG Symposium: Modern Tools for Statistics, UC Davis.**  
A Practical Introduction to Functional Data Analysis
- 2018 **Department of Statistics, UC Davis.**  
Time Warping for Human Growth Curves
- Posters
- 2023 *An Automated Workflow for Satellite-based Monitoring of Field Flooding, Creative Activity and Research Day, USF.*
- 2020 *Benchmarking Dose-Volume Histogram Prediction Accuracy Between Different Knowledge-Based Models, Vancouver, BC, Canada, Joint AAPM/COMP Meeting*

## Awards and Fellowships

- 2021 **Peter Hall Graduate Research Award.**  
Awarded once yearly to a graduating PhD student for excellence in statistical research during degree, *Dept. of Statistics, UC Davis*
- 2021 **Alan Fenech Service Award.**  
*Dept. of Statistics, UC Davis*
- 2020 **Outstanding Graduate Teaching Award.**  
Awarded to 10 graduate students university-wide, *Grad. Studies, UC Davis*
- 2020 **Excellence in Teaching Award.**  
Awarded to one student instructor in the department on special occasion, *Dept. of Statistics, UC Davis*
- 2015-2020 **NSF Research Training Grant Recipient.**
- 2016-2019 **Summer Statistics Research Fellowship Award.**  
*Dept. of Statistics, UC Davis*
- 2017-2019 **TA Recognition Award.**  
*Dept. of Statistics, UC Davis*

2015-2018 **UC Davis Graduate Scholars Fellowship.**  
*Grad. Studies, UC Davis*

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## Technical skills

Advanced R, Python, git,  $\text{\LaTeX}$   
Intermediate SQL, Julia, Travis-CI

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## Professional Service

### Editorial Review

- Annals of Statistics
- Journal of the American Statistical Association
- Biometrika
- Computational Statistics and Data Analysis
- Computer Methods and Programs in Biomedicine

### UC Davis

- Graduate Student Representative, Dept. of Statistics
- Coordinator, Guerilla Queer Bar, Davis