

## A DETAILS FOR SECTION 3

### A.1 Generating Quantifier-Free Verification Conditions

The FWYB methodology described in previous sections shows that we can soundly reduce the problem of verifying programs with intrinsic specifications to the problem of verifying programs (with ghost code) with quantifier-free contracts. We then argue that we can reason with the latter using combinations of various quantifier-free theories including sets and maps with pointwise updates. In this section we detail some subtleties involved in the argument.

It is well-known that we can reason with scalar programs with quantifier-free contracts by generating quantifier-free verification conditions (which in turn can be handled by SMT solvers). However, this is not immediately clear for programs that dynamically manipulate heaps. In particular, commands such as allocation and function calls pose challenges in formulating quantifier-free verification conditions.

At a high level, our solution transforms the given heap program into a scalar program that explicitly encodes changes to the heap. Specifically, we show an encoding for the field mutation, allocation, and function call statements.

**Modeling Field Mutation.** As described earlier, we model the monadic maps and fields as updatable maps [18]. Formally, we introduce a map  $M_f$  (also called an *array* in SMT solvers like Z3 [17]) for every field/monadic map  $f$ . We then encode the commands for field lookup and mutation as map operations. For example, the mutation  $x.f := y$  is encoded as  $M_f[x] := y$ .

**Modeling Allocation.** We model programs in a *safe* garbage-collected programming language<sup>6</sup>. We introduce a ghost global variable *Alloc* to model the allocated set of objects in the program. We then add several assumptions (i.e., assume statements) throughout the program. Specifically, we assume for every program parameter of type *Object*, the parameter itself as well as the values of the monadic maps of type *Object/Set-of-Objects* on the parameter are all contained in *Alloc*. For example, in the case of our running example (Example 3.4), we add the assumptions  $x \in \text{Alloc}$  and  $\text{next}(x) \neq \text{nil} \Rightarrow \text{next}(x) \in \text{Alloc}$ . If we had a monadic map *hslst* corresponding to the heaplet of the sorted list, we would also add the assumption  $\text{hslst}(x) \subseteq \text{Alloc}$ . Similarly, whenever an object is dereferenced on a field of type *Object/Set-of-Objects* in the program, we add an assumption that the resulting value is contained in *Alloc*. Note that these are quantifier-free assumptions. They can be added soundly since they are valid under the semantics of the underlying language.

We then model allocation by introducing a new object to *Alloc* and ensure that the default values of the various fields on the newly allocated object belong to *Alloc*. These constraints can be expressed using a quantifier-free formula over maps.

**Modeling Heap Change Across Function Calls.** The main challenge in modeling function calls is to ensure the ability to do frame reasoning. To do this, we extend the programming language with a *modified set* annotation for methods. We require the modified set to be a term of type *Set-of-Objects* that is constructed using object variables in the current scope and monadic maps over them. In the case of our running example (Example 3.1), we would add a monadic map *hslst* of type *Set-of-Objects* corresponding to the heaplet of the sorted list and annotate the program with  $\text{hslst}(x)$  as the modified set. Figure 5 shows the full version of sorted list insertion with the modified set annotation.

Given a modified set *Mod*, we model changes to the heap across a function call by introducing new maps corresponding to the various fields (including monadic maps) after the call. We then add assumptions that the values of the new maps are equal to the values of the maps before the call on all locations that do not belong to the modified set *Mod*. Although this constrains the maps on

<sup>6</sup>this means that dereferencing an allocated object can never yield an un-allocated object.

unboundedly many objects, it can be written without quantifiers by using pointwise operators on maps [18]. Formally, for a field  $f$  modeled as a map  $M_f$ , we introduce a new map  $M'_f$  and update  $M_f$  as:

$$M_f[x] := \text{ite}(x \in \text{Mod}, M'_f[x], M_f[x])$$

The above update can be expressed using pointwise operators as  $M_f := \text{ite}(\text{Mod}, M'_f, M_f)$ , where the *ite* operator is applied pointwise over the maps  $\text{Mod}$ ,  $M_f$ , and  $M'_f$ . The value of the field  $f$  on an object  $x$  after the call will then be equal to  $x.f$  before the call if  $x$  was not modified, and a *havoc*-ed value given by  $M'_f$  otherwise.

Program verifiers like Boogie [52] offer VC generation frameworks that are amenable to the modeling described in this section. Indeed, our implementation of the IDS/FWYB methodology described in Section 5.1 uses Boogie.

## B PROOF OF THEOREM 3.6

We provide here the gist of a proof of Theorem 3.6, which states that the FWYB methodology is sound. We assume that there is only one method for simplicity.

Fix an intrinsic definition  $(\mathcal{G}, LC, \varphi)$  where  $\mathcal{G} = \{g_1, g_2, \dots, g_l\}$  and  $LC \equiv \forall z. \rho(z)$ . Let  $M$  be a method and  $P_{\mathcal{G}, Br}$  be its body such that  $\vdash_{WB} P_{\mathcal{G}, Br}$ . Let  $\psi_{pre}$  and  $\psi_{post}$  be quantifier-free formula that do not mention  $Br$  (but they can mention the maps in  $\mathcal{G}$ ).

Then, if the following triple is valid:

$$\{\varphi \wedge \psi_{pre} \wedge Br = \emptyset\} P_{\mathcal{G}, Br} \{\varphi \wedge \psi_{post} \wedge Br = \emptyset\}$$

then we must show that the following triple is valid:

$$\langle \exists g_1, g_2, \dots, g_l. (LC \wedge \varphi \wedge \psi_{pre}) \rangle P \langle \exists g_1, g_2, \dots, g_l. (LC \wedge \varphi \wedge \psi_{post}) \rangle$$

where  $P$  is the projection of  $P_{\mathcal{G}, Br}$  to user-level code.

The core of the proof is the argument that for well-behaved programs, if

$$\{\varphi \wedge \psi_{pre} \wedge Br = \emptyset\} P_{\mathcal{G}, Br} \{\varphi \wedge \psi_{post} \wedge Br = \emptyset\}$$

is valid then

$$\langle (\forall z \notin Br. \rho) \wedge \varphi \wedge \psi_{pre} \wedge Br = \emptyset \rangle P_{\mathcal{G}, Br} \langle (\forall z \notin Br. \rho) \wedge \varphi \wedge \psi_{post} \wedge Br = \emptyset \rangle$$

is valid.

If we show that the latter is valid, then we can rewrite it by removing  $Br$ , showing the validity of the following triple:

$$\langle LC \wedge \varphi \wedge \psi_{pre} \rangle P_{\mathcal{G}, Br} LC \wedge \varphi \wedge \psi_{post}$$

Observe that the specifications now do not mention  $Br$ . We then apply Proposition 3.3 to obtain the validity of the desired intrinsic triple. Therefore, we dedicate the rest of the section to showing the above property of well-behaved programs.

The proof proceeds by an induction on the nesting depth of method calls in a trace of the program  $P_{\mathcal{G}, Br}$ . We elide this level of induction here because it is routine. Importantly, given a particular execution of the program  $P$ , we must show that the claim holds, assuming it holds for all method calls occurring in the execution. We show this by structural induction on the proof of well-behavedness of  $P_{\mathcal{G}, Br}$ .

There are several base cases.

**SKIP/ASSIGNMENT/LOOKUP/RETURN** There is nothing to show for skip, assignment, lookup, or return statements. These do not change the heap at all and the rule does not update  $Br$  either,

therefore if  $\langle \alpha \rangle$  stmt  $\langle \beta \rangle$  is valid then certainly  $\langle (\forall z \notin Br. \rho) \wedge \alpha \rangle$  stmt  $\langle (\forall z \notin Br. \rho) \wedge \beta \rangle$  is valid.

**MUTATION** The claim is true for the mutation rule since by the premise of the rule we update the broken set with the impact set consisting of all potential objects where local conditions may not hold.

**FUNCTION CALL** Here we simply appeal to the induction hypothesis.

**ALLOCATION** We refer to our operational semantics, which ensures that no object points to a freshly allocated object. Therefore, the allocation of an object could have only broken the local conditions on itself at most.

**INFER LC OUTSIDE BR** There is nothing to prove for this rule as it does not alter the  $Br$  set at all.

**ASSERT LC AND REMOVE** The claim holds for this rule by construction. If  $LC$  holds everywhere outside  $Br$ , and we know that  $LC(x)$  holds, then we can conclude that  $LC$  holds everywhere outside  $Br \setminus \{x\}$ .

It only remains to show that the claim holds for larger well-behaved programs obtained by composing smaller well-behaved programs using sequencing, branching, or looping constructs. The proof here is trivial as the argument for sequencing is trivial (we can think of a loop as unboundedly many sequenced compositions of the smaller well-behaved program): we can *always* compose two well-behaved programs to obtain a well-behaved program.

## C DETAILS FOR SECTION 4

In this appendix we provide further details for the various case studies discussed in the main text and detail some other case studies not featured in the main text.

### C.1 Discussion on Sorted List Insertion (Section 4.1)

We provide the specifications and the code augmented with ghost annotations in Figure 5.

*Specifications.* The precondition states that the broken set is empty at the beginning of the program. The postcondition states that the returned object  $r$  satisfies the local conditions and satisfies the correlation formula for a sorted list (i.e.,  $prev(r) = nil$ ). However, the broken set is only empty if the input object  $x$  was the head of a sorted list, and it is  $\{prev(x)\}$  otherwise. The other conjuncts express functional specifications for insertion in terms of the length, heaplet, and set of keys. We also add a ‘modifies’ clause which enables program verifiers for heap manipulating programs to utilize frame reasoning across function calls.

*Summary.* The proof works at a high-level as follows: we recurse down the list, reaching the appropriate object  $x$  before which the new key must be inserted. This is the first branch in Figure 5, and we show the broken set at each point in the comments to the right. We create the new object  $z$  with the appropriate key and point  $z.next$  to  $x$ . We then fix the local conditions on  $x$  and  $z$ . However, these fixes break the  $LC$  on  $old(prev(x))$ . We maintain this property up the recursion, at each point fixing  $LC$  on  $x$  and breaking it on  $old(prev(x))$  in the process. This is shown in the last branch in the code. We eventually reach the head of the sorted list, whose  $prev$  in the pre state is  $nil$ , and at that point the fixes do not break anything else, i.e., the broken set is empty (as desired).

The verification engineer adds ghost code to perform these fixes as shown in blue in Figure 5. We can also see that there are essentially as many lines of ghost code as there are lines of user code; we compare these values across our benchmark suite (see Table 2) and find that this is typical for many methods. However, the verification conditions for the (augmented) program are *decidable* because they can be stated using quantifier-free formulas over decidable combinations of theories including maps, map updates, and sets.

```

1275 pre: Br = ∅
1276 post: LC(r) ∧ prev(r) = nil
1277       ∧ Br = ite(old(prev(x)) = nil, ∅, {old(prev(x))})
1278       ∧ length(r) = old(length(x)) + 1
1279       ∧ keys(r) = old(keys(x)) ∪ {k}
1280       ∧ old(hslist(x)) ⊆ hslist(r)
1281 modifies: hslist(x)
1282 sorted_list_insert(x: C, k: Int, Br: Set(C))
1283 returns r: C, Br: Set(C)
1284 {
1285   InferLCOutsideBr(x, Br);
1286   if (x.key ≥ k) then { // k inserted before x
1287     NewObj(z, Br); // {z}
1288     Mut(z, key, k, Br); // {z} since z.prev = nil
1289     Mut(z, next, x, Br); // {z} since z.next = nil
1290     Mut(z, hslist, {z} ∪ x.hslist, Br); // {z}
1291     Mut(z, length, 1 + x.length, Br); // {z}
1292     Mut(z, keys, {k} ∪ x.keys, Br); // {z}
1293     Mut(x, prev, z, Br); // {x, old(prev(x))}
1294     AssertLCAndRemove(z, Br); // {x, old(prev(x))}
1295     AssertLCAndRemove(x, Br); // {old(prev(x))}
1296     r := z;
1297   }
1298   else {
1299     if (x.next = nil) then { // one-element list
1300       NewObj(z, Br);
1301       Mut(z, key, k, Br);
1302       Mut(z, next, nil, Br);
1303       Mut(z, hslist, {z}, Br);
1304       Mut(z, length, 1, Br);
1305       Mut(z, keys, {k}, Br);
1306       Mut(x, next, z, Br);
1307     }
1308     else { // recursive case
1309       y := x.next;
1310       InferLCOutsideBr(y, Br);
1311       tmp, Br := sorted_list_insert(y, k, Br); // {x}
1312       InferLCOutsideBr(y, Br);
1313       if (y.prev = x) then {
1314         Mut(y, prev, nil, Br); // {y, x}
1315       }
1316       Mut(x, next, tmp, Br); // {y, x}
1317       AssertLCAndRemove(y, Br); // {x}
1318       Mut(tmp, prev, x, Br); // {tmp, x}
1319       AssertLCAndRemove(tmp, Br); // {x}
1320       Mut(x, hslist, {x} ∪ tmp.hslist, Br); // {x, prev(x)}
1321       Mut(x, length, 1 + tmp.length, Br); // {x, prev(x)}
1322       Mut(x, keys, {x.key} ∪ tmp.keys, Br); // {x, prev(x)}
1323       Mut(x, prev, nil, Br); // {x, old(prev(x))}
1324       AssertLCAndRemove(x, Br); // {old(prev(x))}
1325       r := x;
1326     }
1327   }
1328 }

```

Fig. 5. Code for insertion into a sorted list written in the syntactic fragment for well-behaved programs (Section 4.1). Black lines denote code written by the user, and blue lines denote lines written by the verification engineer. The comments on the right show the state of the broken set  $Br$  after the statement on the corresponding line.

## C.2 BST Right-Rotation

We now turn to another data structure and method that illustrates intrinsic definitions for trees, namely verifying a right rotate on a binary search tree. Such an operation is a common tree operation, and rotations are used widely in maintaining balanced search trees, such as AVL and Red-Black Trees, on which several of our benchmarks operate.

We augment the definition of binary trees discussed in Section 1 to include the  $min : BST \rightarrow Real$  and  $max : BST \rightarrow Real$  maps, which capture the minimum and maximum keys stored in the tree rooted at a node, to help enforce binary search tree properties locally. The local condition and the impact sets are as below:

$$\begin{aligned}
LC &\equiv \forall x. min(x) \leq key(x) \leq max(x) \\
&\wedge (p(x) \neq nil \Rightarrow l(p(x)) = x \vee r(p(x)) = x) \\
&\wedge (l(x) = nil \Rightarrow min(x) = key(x)) \\
&\wedge (l(x) \neq nil \Rightarrow p(l(x)) = x \wedge rank(l(x)) < rank(x) \\
&\quad \wedge max(l(x)) < key(x) \wedge min(x) = min(l(x))) \\
&\wedge (r(x) = nil \Rightarrow max(x) = key(x)) \\
&\wedge (r(x) \neq nil \Rightarrow p(r(x)) = x \wedge rank(r(x)) < rank(x) \\
&\quad \wedge min(r(x)) > key(x) \wedge max(x) = max(r(x)))
\end{aligned}$$

Mutated Field $f$	Impacted Objects $A_f$
$l$	$\{x, old(l(x))\}$
$r$	$\{x, old(r(x))\}$
$p$	$\{x, old(p(x))\}$
$key$	$\{x\}$
$min$	$\{x, p(x)\}$
$max$	$\{x, p(x)\}$
$rank$	$\{x, p(x)\}$

We first describe the gist of how the data structure is repaired and provide the fully annotated program below. Recall that in a BST right rotation, that there are two nodes  $x$  and  $y$  such that  $y$

is  $x$ 's left child. After the rotation is performed,  $y$  becomes the new root of the subtree, while  $x$  becomes  $y$ 's right child. Several routine updates of the monadic map  $p$  (parent) will have to be made. The most interesting update is that of the  $rank : BST \rightarrow Real$  map. Since  $y$  is now the root of the affected subtree, its rank must be greater than all its children. One way of doing this is to increase  $y$ 's rank to something greater than  $x$ 's rank. This works if  $y$  has no parent, but not in general. To solve this issue, we use the density of the Reals to set the rank of  $y$  to  $(rank(x) + rank(p(y)))/2$ . Note that there are a fixed number of ghost map updates, as the various monadic maps for distant ancestors and descendents of  $x, y$  do not change (the min/max of subtrees of such nodes do not change).

We present the fully annotated program below, with comments displaying the state of the broken set  $Br$  at the corresponding point in the program.

```

1324  is  $x$ 's left child. After the rotation is performed,  $y$  becomes the new root of the subtree, while  $x$ 
1325  becomes  $y$ 's right child. Several routine updates of the monadic map  $p$  (parent) will have to be made.
1326  The most interesting update is that of the  $rank : BST \rightarrow Real$  map. Since  $y$  is now the root of the
1327  affected subtree, its rank must be greater than all its children. One way of doing this is to increase
1328   $y$ 's rank to something greater than  $x$ 's rank. This works if  $y$  has no parent, but not in general. To
1329  solve this issue, we use the density of the Reals to set the rank of  $y$  to  $(rank(x) + rank(p(y)))/2$ .
1330  Note that there are a fixed number of ghost map updates, as the various monadic maps for distant
1331  ancestors and descendents of  $x, y$  do not change (the min/max of subtrees of such nodes do not
1332  change).
1333
1334  We present the fully annotated program below, with comments displaying the state of the broken
1335  set  $Br$  at the corresponding point in the program.
1336
1337  pre:  $Br = \emptyset \wedge l(x) \neq nil \wedge p(x) = xp$ 
1338  post:  $Br' = \emptyset \wedge p(ret) = xp$ 
1339        $\wedge l(ret) = old(l(l(x))) \wedge ret = old(l(x)) \wedge r(ret) = x$ 
1340        $\wedge l(r(ret)) = old(r(l(x))) \wedge r(r(ret)) = old(r(x))$ 
1341  bst_right_rotate( $x: BST, xp: BST?, Br: Set(BST)$ )
1342  returns  $ret: BST, Br: Set(BST)$ 
1343  {
1344    LCOutsideBr( $x, Br$ );
1345    if ( $xp \neq nil$ ) then {
1346      LCOutsideBr( $xp, Br$ );
1347    }
1348    if ( $x.l \neq nil$ ) then {
1349      LCOutsideBr( $x.l, Br$ );
1350    }
1351    if ( $x.l \neq nil \wedge x.l.r \neq nil$ ) then {
1352      LCOutsideBr( $x.l.r, Br$ );
1353    }
1354    var  $y := x.l$ ; // {}
1355    Mut( $x, l, y.r, Br$ ); // { $x, y$ }
1356    if ( $xp \neq nil$ ) then {
1357      if ( $x = xp.l$ ) then {
1358        Mut( $xp, l, y, Br$ ); // { $xp, x, y$ }
1359      }
1360      else {
1361        Mut( $xp, r, y, Br$ ); // { $xp, x, y$ }
1362      }
1363    }
1364    Mut( $y, r, x, Br$ ); // { $xp, x, y, x.l$ } (Note:  $x.l == old(y.r)$ )
1365    // (1): Repairing  $x.l$ 
1366    if ( $x.l \neq nil$ ) then {
1367      Mut( $x.l, p, x, Br$ ); // { $xp, x, y, x.l$ }
1368    }
1369    // (2): Repairing  $x$ 
1370    Mut( $x, p, y, Br$ ); // { $xp, x, y, x.l$ }
1371    Mut( $x, min, if x = nil then x.k else x.l.min, Br$ ); // { $xp, x, y, x.l$ }
1372    // (3): Repairing  $y$ 
1373    Mut( $y, p, xp, Br$ ); // { $xp, x, y, x.l$ }
1374    Mut( $y, max, x.max, Br$ ); // { $xp, x, y, x.l$ }
1375    Mut( $y, rank, if xp = nil then x.rank+1 else (xp.rank+x.rank)/2, Br$ ); // { $xp, x, y, x.l$ }
1376    AssertLCAndRemove( $x.l, Br$ ); // { $xp, x, y$ }
1377    AssertLCAndRemove( $x, Br$ ); // { $xp, y$ }
1378    AssertLCAndRemove( $y, Br$ ); // { $xp$ }
1379    AssertLCAndRemove( $xp, Br$ ); // {}
1380    ret := y // return y

```

1373 }

### 1374 C.3 Discussion on Sorted List Reversal (Section 4.2)

1375 What follows are the complete local conditions and impact sets for Sorted List Reverse:

$$\begin{aligned}
 LC \equiv & \forall x. \text{prev}(x) \neq \text{nil} \Rightarrow \text{next}(\text{prev}(x)) = x \\
 & \wedge \text{next}(x) \neq \text{nil} \Rightarrow \text{prev}(\text{next}(x)) = x \\
 & \wedge \text{length}(x) = \text{length}(\text{next}(x)) + 1 \\
 & \wedge \text{keys}(x) = \text{keys}(\text{next}(x)) \cup \{\text{key}(x)\} \\
 & \wedge \text{hslist}(x) = \text{hslist}(\text{next}(x)) \uplus \{x\} \\
 & \wedge \text{sorted}(x) \Rightarrow \text{key}(x) \leq \text{key}(\text{next}(x)) \\
 & \wedge \text{sorted}(x) = \text{sorted}(\text{next}(x)) \\
 & \wedge \text{rev\_sorted}(x) \Rightarrow \text{key}(x) \geq \text{key}(\text{next}(x)) \\
 & \wedge \text{rev\_sorted}(x) = \text{rev\_sorted}(\text{next}(x)) \\
 & \wedge (\text{next}(x) = \text{nil} \Rightarrow \text{length}(x) = 1 \wedge \text{keys}(x) = \{x\} \wedge \text{hslist}(x) = \{x\})
 \end{aligned}$$

Fig. 7. Full local condition for lists for Sorted List Reverse

Mutated Field $f$	Impacted Objects $A_f$
<i>next</i>	$\{x, \text{old}(\text{next}(x))\}$
<i>key</i>	$\{x, \text{prev}(x)\}$
<i>prev</i>	$\{x, \text{old}(\text{prev}(x))\}$
<i>length</i>	$\{x, \text{prev}(x)\}$
<i>keys</i>	$\{x, \text{prev}(x)\}$
<i>hslist</i>	$\{x, \text{prev}(x)\}$
<i>sorted</i>	$\{x, \text{prev}(x)\}$
<i>rev_sorted</i>	$\{x, \text{prev}(x)\}$

Table 3. Full impact sets for lists for Sorted List Reverse

The following program reverses a sorted list as defined by the local condition above. We annotate this program with comments on the current composition of the broken set according to the rules of Table 3.

```

1411 pre: Br = ∅ ∧ φ(x) ∧ sorted(x)
1412 post: Br' = ∅ ∧ φ(ret) ∧ rev_sorted(ret) ∧ keys(ret) = old(keys(x)) ∧ hslist(ret) = old(hslist(x))
1413 sorted_list_reverse(x: C, Br: Set(C))
1414 returns ret: C, Br: Set(C)
1415 {
1416   LCOutsideBr(x, Br);
1417   var cur := x;
1418   ret := null;
1419   while (cur ≠ nil)
1420     invariant cur ≠ nil ⇒ LC(cur) ∧ sorted(cur) ∧ φ(cur)
1421     invariant ret ≠ nil ⇒ LC(ret) ∧ rev_sorted(ret) ∧ φ(ret)
1422     invariant cur ≠ nil ∧ ret ≠ nil ⇒ key(ret) ≤ key(cur)

```

```

1422 invariant old(keys(x)) = ite(cur = nil, 0, keys(cur)) ∪ ite(ret = nil, 0, keys(ret))
1423 invariant old(hslist(x)) = ite(cur = nil, 0, hslist(cur)) ∪ ite(ret = nil, 0, hslist(ret))
1424 invariant Br = 0
1425 decreases ite(cur ≠ nil, 0, length(cur))
1426 {
1427   var tmp := cur.next;           // {}
1428   if (tmp ≠ nil) then {
1429     LCOutsideBr(tmp, Br);        // {}
1430     Mut(tmp, p, nil, Br);        // {cur, tmp}
1431   }
1432   Mut(cur, next, ret, Br);       // {cur, tmp}
1433   if (ret ≠ nil) then {
1434     Mut(ret, p, cur, Br);        // {cur, tmp, ret}
1435   }
1436   Mut(cur, keys,
1437     {cur.k} ∪ (if cur.next=nil then ∅ else cur.next.keys), Br); // {cur, tmp, ret}
1438   Mut(cur, hslist,
1439     {cur} ∪ (if cur.next=nil then ∅ else cur.next.hslist), Br); // {cur, tmp, ret}
1440   if (cur.next ≠ nil ∧ (cur.key > cur.next.key ∨ ¬cur.next.sorted)) {
1441     Mut(cur, sorted, false, Br); // {cur, tmp, ret}
1442   }
1443   Mut(cur, rev_sorted, true, Br); // {cur, tmp, ret}
1444   AssertLCAndRemove(cur, Br);    // {tmp, ret}
1445   AssertLCAndRemove(ret, Br);    // {tmp}
1446   AssertLCAndRemove(tmp, Br);    // {}
1447   ret := cur;
1448   cur := tmp;
1449 }
1450 // The current value of ret is returned
1451 }

```

#### C.4 Merging Sorted Lists

We demonstrate the ability of intrinsic definitions to handle multiple data structures at once, using the example of in-place merging of two sorted lists. The method merges the two lists by reusing the two lists' elements, which is a natural pattern for imperative code. Once again, we extend the definition of sorted lists from Case Study 4.1. We add the predicates  $list1 : C \rightarrow Bool$ ,  $list2 : C \rightarrow Bool$ , and  $list3 : C \rightarrow Bool$ , to indicate disjoint classes of lists. The relevant local condition and impact sets are:

$$\begin{aligned}
& (list1(x) \vee list2(x) \vee list3(x)) \\
& \wedge \neg(list1(x) \wedge list2(x)) \wedge \neg(list2(x) \wedge list3(x)) \\
& \wedge \neg(list1(x) \wedge list3(x)) \\
& \wedge (list1(x) \Rightarrow (next(x) \neq nil \Rightarrow list1(next(x)))) \\
& \wedge (list2(x) \Rightarrow (next(x) \neq nil \Rightarrow list2(next(x)))) \\
& \wedge (list3(x) \Rightarrow (next(x) \neq nil \Rightarrow list3(next(x))))
\end{aligned}$$

Mutated Field $f$	Impacted Objects $A_f$
$list1$	$\{x, prev(x)\}$
$list2$	$\{x, prev(x)\}$
$list3$	$\{x, prev(x)\}$

Disjointness of the three lists is ensured by insisting that every object has at most one of the three list predicates hold.

We give a gist of the proof of the merge method. The recursive program compares the keys at the heads of the first and second sorted lists, and adds the appropriate node to the front of the third list. It turns out that we can easily update the ghost maps for this node (making it belong to the third list, and updating its parent pointer and key set) as well as updating the parent pointer of the head of the list where the node is removed from. When one of the lists is empty, we append the third list

to the non-empty list using a single pointer mutation and then, using a ghost loop, we update the nodes in the appended list to make *list3* true (this needs a loop invariant involving the broken set). We provide below the full local conditions and impact sets.

$$\begin{aligned}
LC \equiv & \forall x. (list1(x) \vee list2(x) \vee list3(x)) \\
& \wedge \neg(list1(x) \wedge list2(x)) \wedge \neg(list2(x) \wedge list3(x)) \\
& \wedge \neg(list1(x) \wedge list3(x)) \\
& \wedge (prev(x) \neq nil \Rightarrow next(prev(x)) = x) \\
& \wedge (next(x) \neq nil \Rightarrow prev(next(x)) = x) \\
& \quad \wedge length(x) = length(next(x)) + 1 \\
& \quad \wedge keys(x) = keys(next(x)) \cup \{key(x)\} \\
& \quad \wedge hslis(x) = hslis(next(x)) \uplus \{x\} \quad (\text{disjoint union}) \\
& \quad \wedge key(x) \leq key(next(x)) \\
& \wedge (next(x) = nil \Rightarrow length(x) = 1 \wedge keys(x) = \{key(x)\} \wedge hslis(x) = \{x\}) \\
& \wedge (list1(x) \Rightarrow (next(x) \neq nil \Rightarrow list1(next(x)))) \\
& \wedge (list2(x) \Rightarrow (next(x) \neq nil \Rightarrow list2(next(x)))) \\
& \wedge (list3(x) \Rightarrow (next(x) \neq nil \Rightarrow list3(next(x))))
\end{aligned} \tag{3}$$

Fig. 9. Full local condition for lists for Sorted List Reverse

Note that we also have a variation of the local condition  $LC_{NC}$ , used in ghost loop invariants, which is similar to Equation 3, except the final three conjuncts (those enforcing closure on *list1*, *list2*, *list3*) are removed. This is done when converting an entire list from one class to another (i.e., converting from *list1* to *list3*). The following are the full impact sets for all fields of this data structure.

Mutated Field $f$	Impacted Objects $A_f$
<i>next</i>	$\{x, old(next(x))\}$
<i>key</i>	$\{x, prev(x)\}$
<i>prev</i>	$\{x, old(prev(x))\}$
<i>length</i>	$\{x, prev(x)\}$
<i>keys</i>	$\{x, prev(x)\}$
<i>hslis</i>	$\{x, prev(x)\}$
<i>list1</i>	$\{x, prev(x)\}$
<i>list2</i>	$\{x, prev(x)\}$
<i>list3</i>	$\{x, prev(x)\}$

Fig. 10. Full impact sets for disjoint sorted lists