

# Fundamentals of Data Communications CSCI 5010

**OSI Model** 

Levi Perigo, Ph.D.
University of Colorado Boulder
Department of Computer Science
Network Engineering



## Review



#### OSI Model

- Names and Numbers
- Focus on Layers 1-4
- Encapsulation & Decapsulation
- Protocol Datagram Units (PDU)
  - Layers 1-4
- Devices at each layer (1-3)

#### TCP & UDP

- Connectionless vs connection
  - Pro/con

#### ARP

- Process (in depth)
- MAC
- IP Address
- Default Gateway

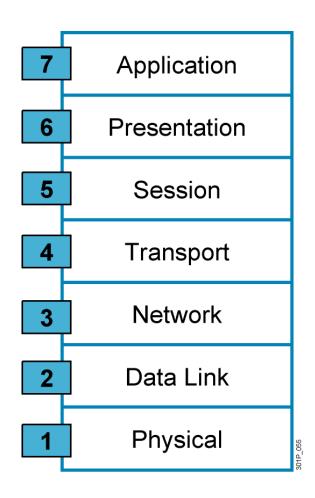
## Understanding Host-to-Host Communications



- Theoretical model that specifies how data gets from the application on one machine, across a network, to the application on another machine.
- Older model
  - Proprietary
  - Application and combinations software controlled by one vendor
- Standards-based model
  - Multivendor software
  - Layered approach

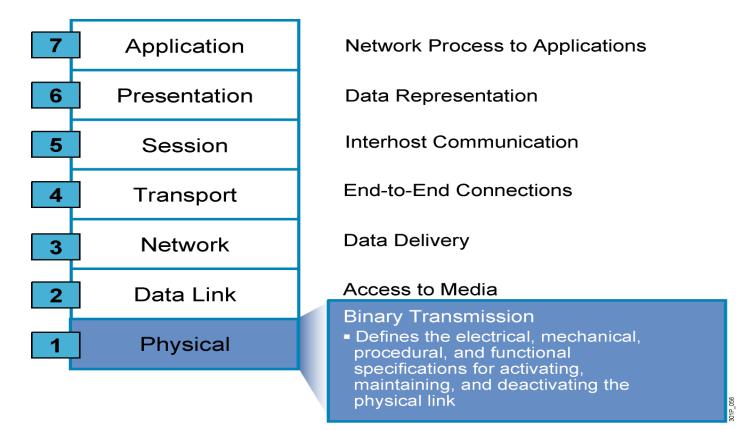


## Why a Layered Network Model?



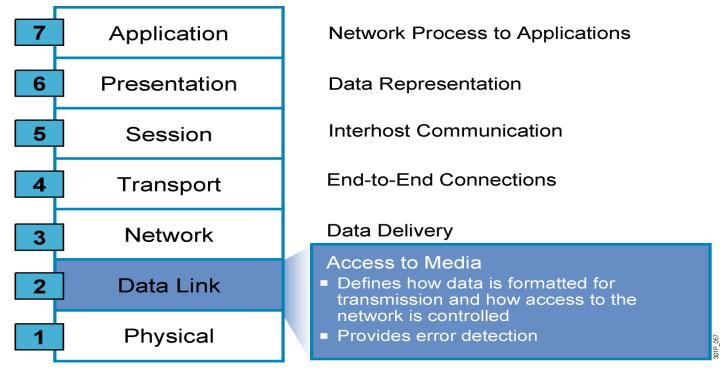
- Reduces complexity
- Standardizes interfaces
- Facilitates modular engineering
- Ensures interoperable technology
- Accelerates evolution
- Simplifies teaching and learning
- Make sure to learn the name and corresponding number for each layer!

## The Seven Layers of the OSI Model



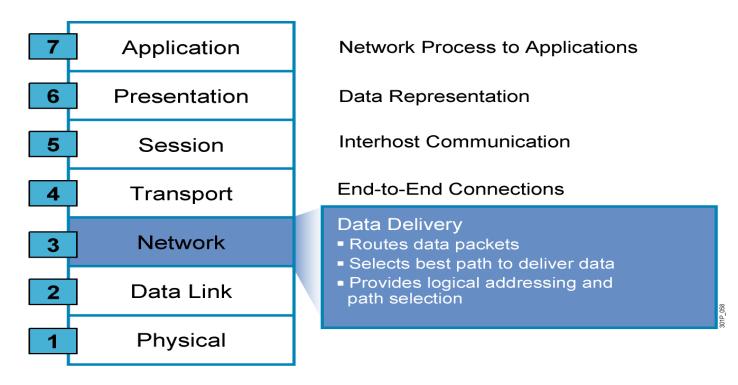
- Electrical Signals
- PDU = Bits (1s and 0s)
- Examples = Serial, Repeater, Hub, physical cabling/power, etc.





- Ethernet uses MAC addresses (physical addressing)
- PDU = Frames
- Examples = Switch, NIC, Bridge





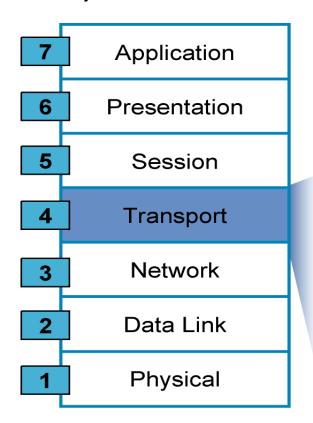
- Uses Internet Protocol (IP) / IP addresses (logical addressing)
- PDU = Packets
- Examples = Routers



### Exercise

- On your laptop
  - Find your MAC address
    - hint
  - Find your IP address
- To do this, you will need to use command prompt/terminal
  - Search > "cmd" > enter
  - ipconfig/all





**Network Process to Applications** 

**Data Representation** 

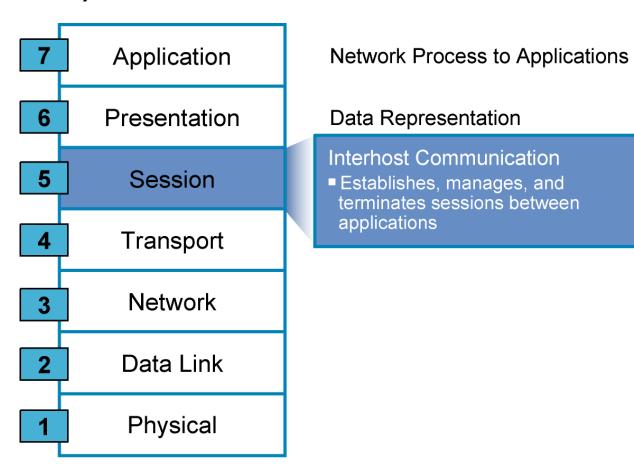
Interhost Communication

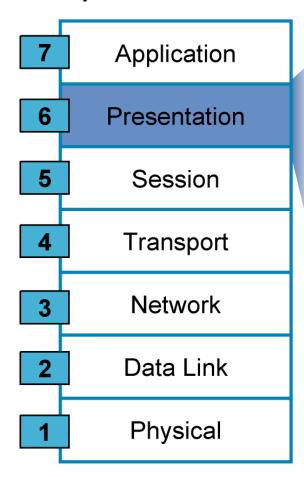
#### **End-to-End Connections**

- Handles transportation issues between hosts
- Ensures data transport reliability
- Establishes, maintains, and terminates virtual circuits
- Provides reliability through fault detection and recovery information flow control

- PDU = Segments
- Examples = TCP & UDP





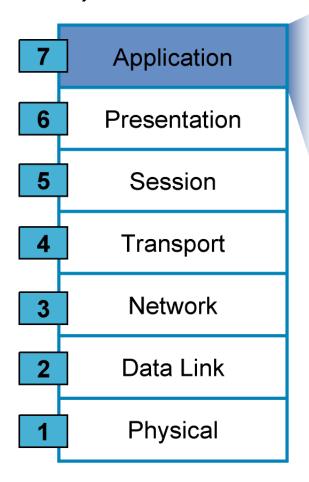


#### **Network Process to Applications**

#### Data Representation

- Ensures that data is readable by receiving system
- Formats data
- Structures data
- Negotiates data transfer syntax for application layer
- Provides encryption

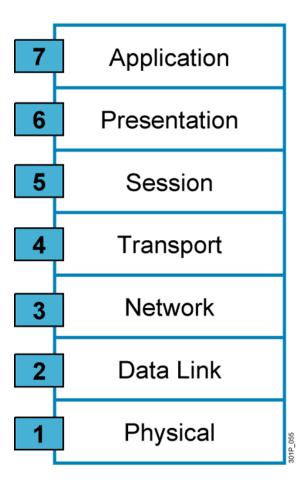




**Network Processes to Applications** 

- Provides network services to application processes (such as electronic mail, file transfer, and terminal emulation)
- Provides user authentication

- Assignment
- Perfect
- · Sam's
- Touch
- Not
- Do
- Please



## The Postal Analogy

How would the OSI compare to the regular Post Office

**Application** 

Presentation

Session

Transport

Network

Data-Link

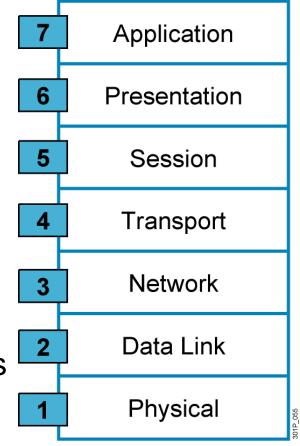
Physical

- A- Write a 20 page letter to a foreign country.
- P- Translate the letter so the receiver can read it.
- S- Insure the intended recipient can receive letter.
- T- Separate and number pages. Like registered mail, tracks delivery and requests another package if one is "lost" or "damaged" in the mail.
- N- Postal Center sorting letters by zip code to route them closer to destination.
- **D-** Local Post Office determining which vehicles to deliver letters.
- **P-** Physical Trucks, Planes, Rail, autos, etc which carry letter between stations.



## Data Encapsulation & Deencapsulation

- Data or data packets
  - The information sent on a network
- If one computer wants to send data to another computer, the data must first be packaged
  - encapsulation
- When the remote device receives a sequence of bits, the physical layer at the remote device passes the bits up the OSI model to the data link layer for manipulation
  - de-encapsulation



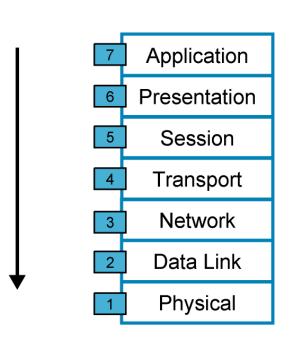


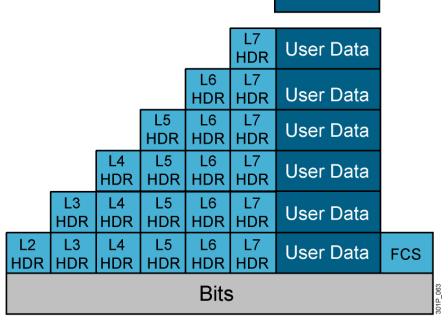
## Data Encapsulation





**User Data** 



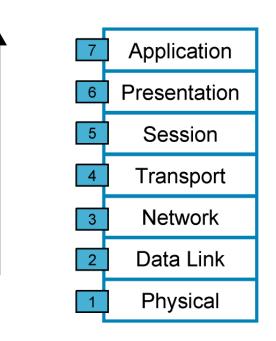


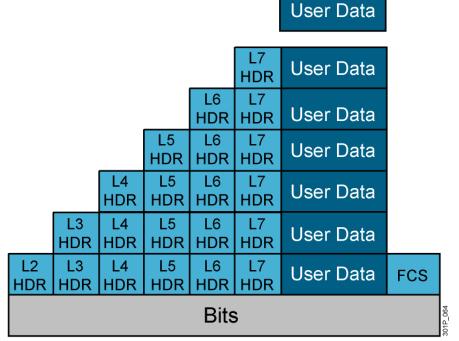
HDR = Header

## Data De-Encapsulation

#### Receiver







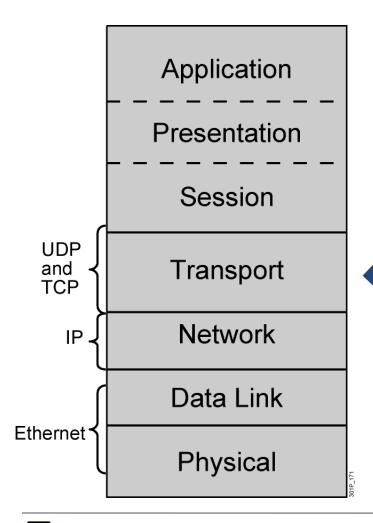
HDR = Header

## **Example Analogy**

Russian nesting doll



## Transport Layer – TCP & UDP





- Segmentation
- Flow control (when required)
- Connection-oriented (when required)
- Reliability (when required)



## Transport Layer – TCP & UDP

- Hide the network requirements from the application layer
- Connection-oriented
  - Reliable transport
  - TCP
    - connection-oriented
    - provides error checking
    - delivers data reliably
    - operates in full-duplex mode
- Connectionless
  - Best-effort transport
  - UDP
    - provides applications with access to the network layer without the overhead of the reliability mechanisms of TCP.
    - Connectionless / best-effort



## Reliable vs. Best-Effort Comparison

	Reliable	Best-Effort
Connection Type	Connection-oriented	Connectionless
Protocol	TCP	UDP
Sequencing	Yes	No
Uses	<ul><li>E-mail</li><li>File sharing</li><li>Downloading</li></ul>	<ul><li>Voice streaming</li><li>Video streaming</li></ul>

### **UDP** Characteristics

- Operates at transport layer of OSI and TCP/IP models
- Provides applications with access to the network layer without the overhead of reliability mechanisms
- Is a connectionless protocol
  - Faster
- Provides limited error checking
- Provides best-effort delivery
  - Real-time communications
    - Voice & Video
- Has no data-recovery features



### TCP Characteristics

 Transport layer of the TCP/IP stack

Error checking

Access to the network layer for applications

Sequencing of data packets

- Connection-oriented protocol
  - reliable

Acknowledgement of receipt

Full-duplex mode operation

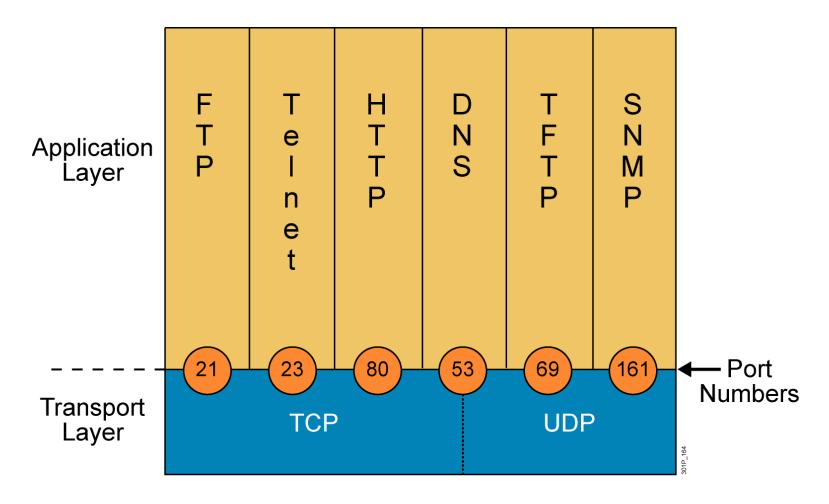
Data-recovery features

### **TCP**

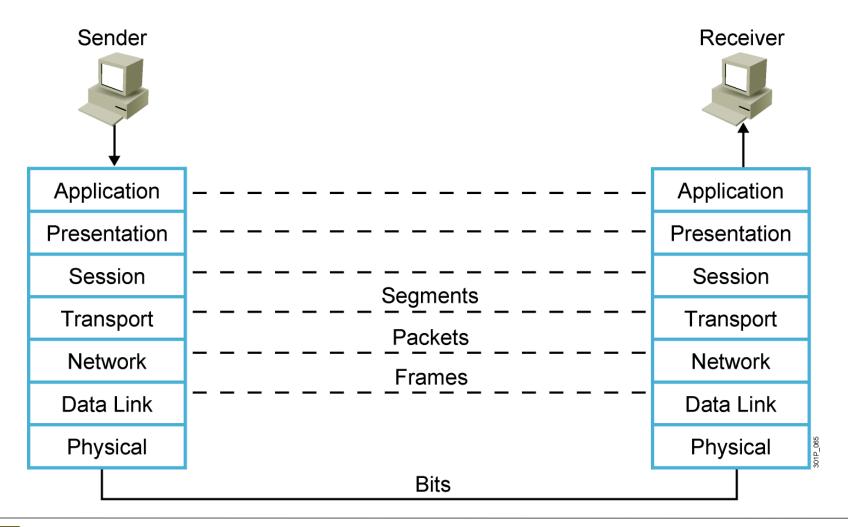
- Flow control
  - Prevents sending to a host and overflowing the buffer
    - Results in slowing down the network
- Sequencing of segments / acknowledgment
  - When a single segment is sent, receipt is acknowledged, and the next segment is then sent
  - Send <-> Ack
- Window size
  - Allows a specified number of unacknowledged segments to be sent
  - Sliding window
    - window that can change size dynamically to accommodate the flow of segments



## Mapping L4 to L7 (Applications)



### Peer-to-Peer Communication





### TCP/IP Stack

Defines four layers

Uses different names for Layers 1 through 3

Combines Layers 5
 through 7 into single
 application layer

**Application** 

**Transport** 

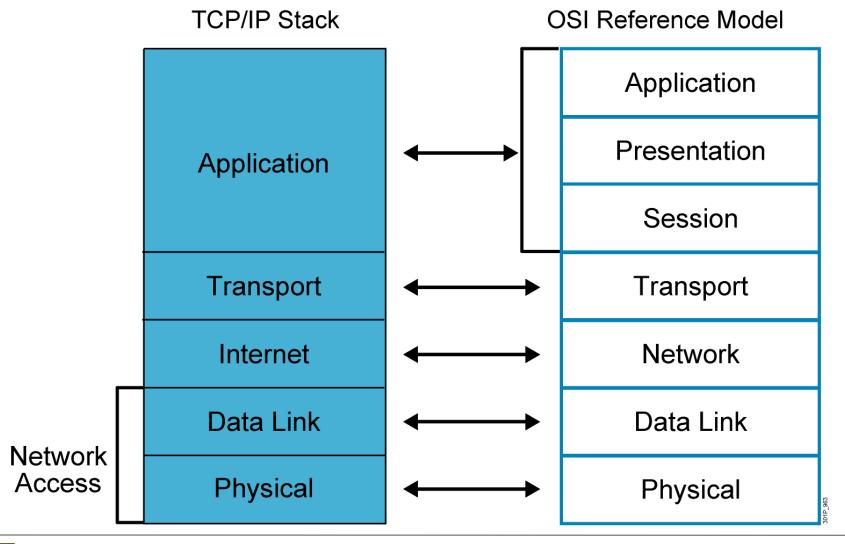
Internet

Network Access





### TCP/IP Stack vs. OSI Model





## TCP/IP Application Layer Overview

**Application** 

**Transport** 

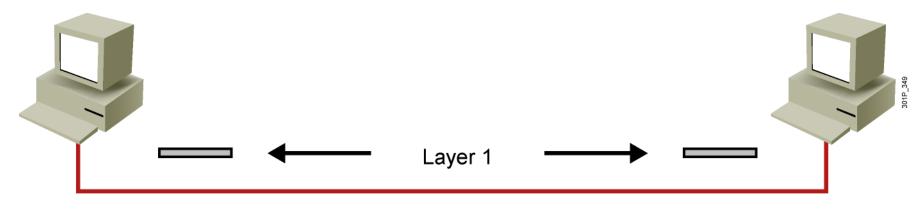
Internet

Network Access

- File transfer
  - FTP (20/21)
  - TFTP (69)
  - Secure Copy Protocol (SCP 22)
- E-mail
  - Simple Mail Transfer Protocol (SMTP 25)
- Remote login
  - Telnet (23)
  - SSH (22)
- Network management
  - Simple Network Management Protocol (SNMP - 161)
- Name management
  - Domain Name System (DNS 53)



## Layer 1 Devices

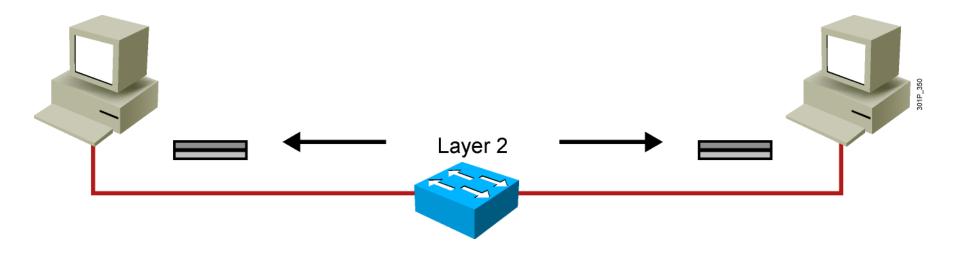


#### Ethernet

- Layer 1 provides the physical media and its encoding
- PDU = Bits 1s and 0s
- Examples:
  - Serial
  - Repeater / Hub
  - Physical interface of the NIC
  - · Electrical signals
  - Power cables



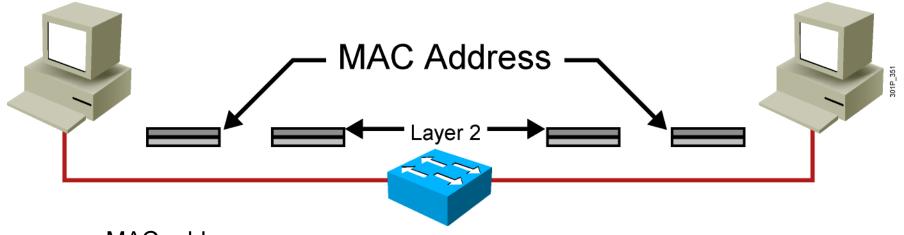
## Layer 2 Devices



- Layer 2 devices provide an interface with the physical media and physical addressing
- PDU = Frames
- Examples:
  - · NIC
  - Bridge
  - Switch



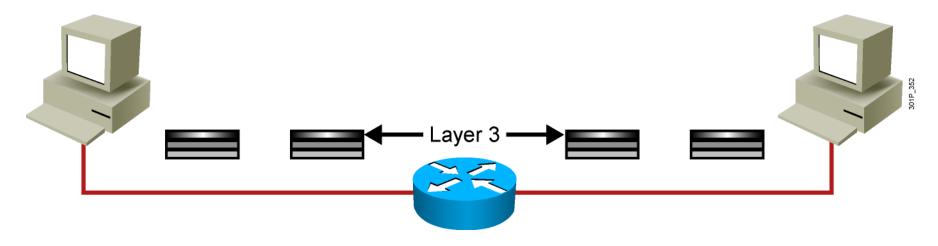
## Layer 2 Addressing



- MAC address
  - 48-bit address (in Hexadecimal)
  - 24 bits vendor specific & 24 bits host specific
- Assigned to end devices
  - Unique world-wide
- This is how devices on the <u>same segment</u> communicate!
- In class exercise
  - MAC address lookup
    - Vendor Specific



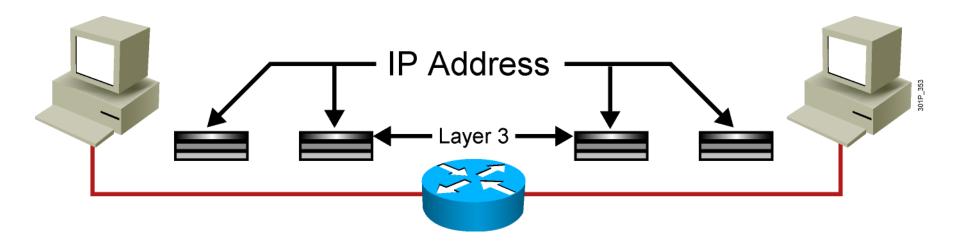
## Layer 3 Devices and Their Function



- The network layer provides connectivity and path selection between two host systems.
- In the host, this is the path between the data link layer and the upper layers.
- In the router, it is the actual path across the network (routing and forwarding).



## Layer 3 Addressing



- Each networking device has its own Layer 3 logical address (IP address)
- PDU = Packets
- Examples:
  - Routers



# Address Resolution Protocol (ARP)

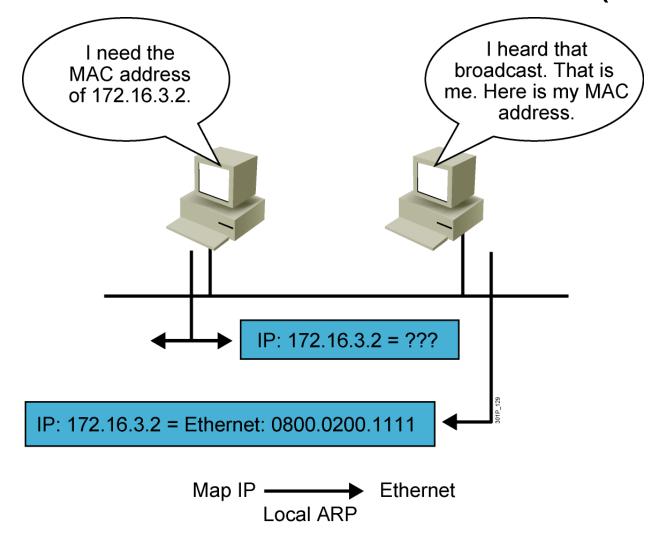
Provides a map of IP address to MAC address

 "I know the IP address (Layer 3), but I need the MAC address (Layer 2) to talk to it directly on my link (Ethernet)."

- Broadcast & Unicast
  - Same link/network



## Address Resolution Protocol (ARP)





# ARP (See Appendix B for ARP)



#### **ARP Table**

```
C:\WINNT\system32\cmd.exe
D:\>arp -a
Interface: 192.168.1.101 on Interface 0x1000003
  Internet Address
                         Physical Address
                                               Type
  192.168.1.1
                         00-04-5a-22-ec-c7
                                               dynamic
                         00-02-4b-cc-d6-d9
                                               dynamic
                         00-02-fd-65-9f-82
                                               dynamic
                         00-03-6b-09-59-29
                                               dynamic
                         00-02-4b-cc-d6-d0
                                               dynamic
  192.168.1.135
                        00-03-6d-1e-6a-a5
                                               dynamic
  192.168.1.149
                         00-50-8b-f7-cf-59
                                               dynamic
D:\>_
```

#### Review

- ARP
  - Broadcast
  - Maps known IP address to MAC address
- MAC address is how devices communicate at Layer 2

 IP address is how devices communicate at Layer 3



#### Remote Network

- What happens if you want to send traffic to a device outside of your local network?
  - IP address
  - Subnet mask
  - ARP
  - Default gateway

# **Default Gateway**

OK, I have some data to send to 192.168.3.2.

That address is not in my ARP table and I cannot use ARP because it is on a different network.

I guess I have to send the data to the default gateway and let it forward it.

Layer 3 = 10.1.1.1

Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.2

Layer 2 = 0800:0222:1111



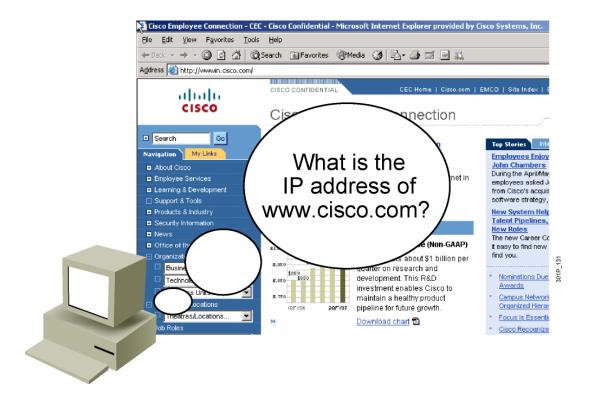
Default Gateway

# ipconfig/all (Windows) or ifconfig | more (Linux/Mac)

```
Wireless LAN adapter Wi-Fi:
  Connection-specific DNS Suffix .:
  Description . . . . . . . . . : Intel(R) Dual Band Wireless-AC 7260
  DHCP Enabled. . . . . . . . : Yes
  Autoconfiguration Enabled . . . . : Yes
  Link-local IPv6 Address . . . . . : fe80::dc91:eadf:2fc7:a4c2%15(Preferred)
  IPv4 Address. . . . . . . . . . . . . . . . 192.168.111.103(Preferred)
  Lease Obtained. . . . . . . . . . . . . Monday, August 29, 2016 8:28:21 AM
  Lease Expires . . . . . . . . . . Tuesday, August 30, 2016 8:28:20 AM
  Default Gateway . . . . . . . : 192.168.111.254
  DHCP Server . . . . . . . . . . . . . . . . 192.168.111.254
  DHCPv6 IAID . . . . . . . . . . . . 80493954
  DHCPv6 Client DUID. . . . . . . : 00-01-00-01-1C-C8-5E-5B-28-80-23-0B-6D-C4
  208.67.220.220
                                192.168.111.254
  NetBIOS over Tcpip. . . . . . : Enabled
```



#### DNS



- Application specified in the TCP/IP suite
- A way to translate human-readable names into IP addresses



# Host-Based Tools: ping

```
C:\WINDOWS\system32\cmd.exe
C:\ping example.com
Pinging example.com [192.0.34.166] with 32 bytes of data:
Reply from 192.0.34.166: bytes=32 time=19ms TTL=45
Reply from 192.0.34.166: bytes=32 time=18ms TTL=45
Reply from 192.0.34.166: bytes=32 time=19ms TTL=45
Reply from 192.0.34.166: bytes=32 time=17ms TTL=45
Ping statistics for 192.0.34.166:
    Packets: Sent = 4, Received = 4, Lost = 0 (0% loss),
Approximate round trip times in milli-seconds:
    Minimum = 17ms, Maximum = 19ms, Average = 18ms
C:\
```



#### ICMP: Internet Control Message Protocol

able
ble
stion



IP datagram causing error

bad IP header

#### Host-Based Tools: traceroute

```
C:\WINDOWS\system32\cmd.exe
                                                                                  _ 🗆 ×
Microsoft Windows XP [Version 5.1.2600]
(C) Copyright 1985-2001 Microsoft Corp.
C:\Documents and Settings\pvancil>tracert yahoo.com
Tracing route to yahoo.com [66.94.234.13]
over a maximum of 30 hops:
                           1 ms rtp-pvancil-vpn.cisco.com [10.83.2.161]
       67 ms
                 59 ms
                           57 ms
                                  rtp5-access-sdg1-t10.cisco.com [10.82.96.2]
       58 ms
                 58 ms
                           57 ms
                                  rtp5-access-gw1-vlan100.cisco.com [10.83.100.9]
                                  rtp7-bb-gw1-ge5-8.cisco.com [10.81.254.117]
rtp5-rbb-gw1-ge4-2.cisco.com [10.81.254.181]
                          57 ms
57 ms
 456789
       60 ms
                    ms
       58 ms
                 59 ms
                           60 ms
                                  rtp5-corp-gw1.cisco.com [10.81.254.194]
                                  rtp7-dmzbb-gw1.cisco.com [64.102.241.135]
rtp1-isp-gw1-g1-2.cisco.com [64.102.254.193]
       59 ms
                 58
                           58 ms
                    ms
       60 ms
                          58 ms
                 60
                    ms
       59 ms
                                  rtp5-isp-ssw1-v110.cisco.com [64.102.254.174]
                 58 ms
                           58 ms
 10
       59 ms
                 59
                   ms
                           58 ms
                                  rtp5-isp-ssw1-v151.cisco.com [64.102.254.249]
                                  rtp1-isp-gw1-v100.cisco.com [64.102.254.165]
 11
       60 ms
                 60 ms
                          59 ms
                           65 ms
                                  sl-gw20-rly-1-0.sprintlink.net [144.232.244.209]
       64 ms
                 66 ms
13
       64 ms
                 66 ms
                                  sl-bb20-rly-3-2.sprintlink.net [144.232.14.29]
14
       66 ms
                 64 ms
                           65 ms
                                  sl-bb24-rly-9-0.sprintlink.net [144.232.14.122]
 15
       66 ms
                 66 ms
                           69 ms s1-st22-ash-5-0.sprintlink.net [144.232.20.155]
                           67 ms te-4-2.car4.Washington1.Level3.net [4.68.111.169
16
       67 ms
                 68 ms
17
       67 ms
                127 ms
                           68 ms ae-2-54.bbr2.Washington1.Level3.net [4.68.121.97]
                                  as-1-0.bbr2.SanJose1.Level3.net [64.159.0.242]
      136 ms
                136 ms
      134 ms
                          133 ms
                                  ae-23-52.car3.SanJose1.Level3.net [4.68.123.45]
                135 ms
                          135 ms
                                  4.71.112.14
      133 ms
                134 ms
                          134 ms
                                  ge-3-0-0-p271.msr2.scd.yahoo.com [216.115.106.19
                135 ms
                          135 ms ten-2-3-bas1.scd.yahoo.com [66.218.82.221]
                          135 ms
                                  w2.rc.vip.scd.yahoo.com [66.94.234.13]
Trace complete.
```



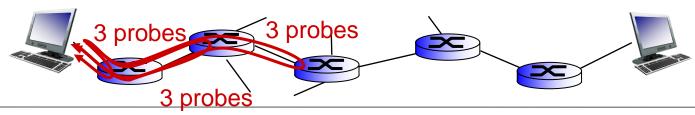
#### Traceroute and ICMP

- Source sends series of UDP (depends on OS) segments to destination
  - first set has TTL =1
  - second set has TTL=2, etc.
  - unlikely port number
- When datagram in *n*th set arrives to nth router:
  - router discards datagram and sends source ICMP message (type 11, code 0)
  - ICMP message include name of router & IP address

 When ICMP message arrives, source records RTTs

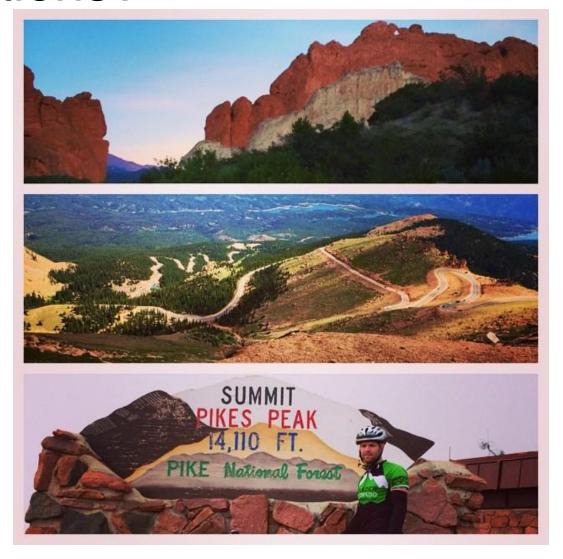
#### Stopping criteria:

- UDP segment eventually arrives at destination host
- Destination returns ICMP "port unreachable" message (type 3, code 3)
- Source stops





### Questions?



# Lab



# Appendix B - ARP



#### Host-to-Host Packet Delivery (1 of 22)

Application: Network, can you set up reliable connection to 192.168.3.2 for me?

Transport: I'll use TCP.

Transport: TCP! Set up a session to 192.168.3.2.

TCP: IP! Send this TCP SYN to 192.168.3.2.

Layer 3 = 192.168.3.1

Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.2

Layer 2 = 0800:0222:1111

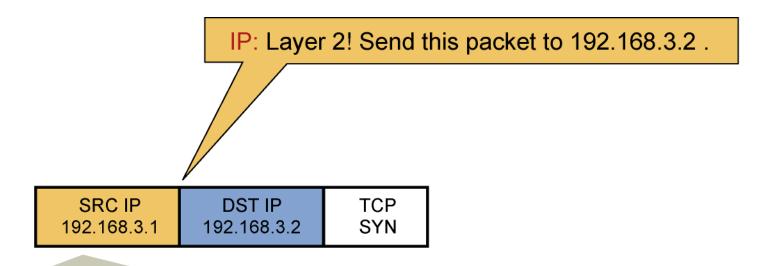






TCP SYN

#### Host-to-Host Packet Delivery (2 of 22)





Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.2





#### Host-to-Host Packet Delivery (3 of 22)

Layer 2: ARP, do you have a mapping for 192.168.3.2?

ARP: Is 192.168.3.2 in my ARP table? No, I guess Layer 2 will have to put the packet in the parking lot until I do an ARP.

SRC IP 192.168.3.1 DST IP 192.168.3.2

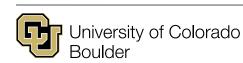
TCP SYN



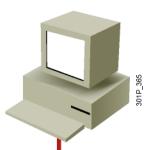
Layer 3 = 192.168.3.1

Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.2







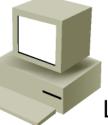
#### Host-to-Host Packet Delivery (4 of 22)

Parking Lot
Packet

ARP: First comes the ARP request. It will say that I am 192.168.3.1 with a MAC of 0800:0222:2222. Are you 192.168.3.2?

ARP Request ARP: Layer 2! Send this using our MAC as the SRC MAC and a broadcast as the DST MAC.

DST MAC Broadcast SRC MAC 0800:0222:2222 ARP Request



Layer 3 = 192.168.3.1

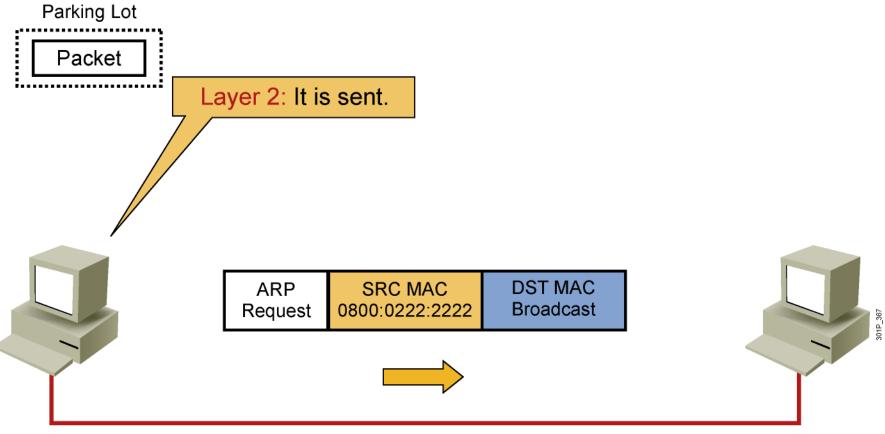
Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.2





#### Host-to-Host Packet Delivery (5 of 22)



Layer 3 = 192.168.3.1

Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.2



#### Host-to-Host Packet Delivery (6 of 22)

Parking Lot

**Packet** 

Layer 2: I just got a frame with a broadcast MAC so I'll process it. The protocol ID indicates that it belongs to ARP. Let me strip the Layer 2 header and send it to ARP.



ARP SRC MAC 0800:0222:2222

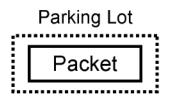
DST MAC Broadcast

Layer 3 = 192.168.3.1

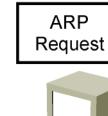
Layer 2 = 0800:0222:2222

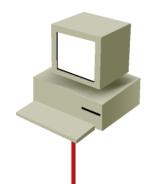
Layer 3 = 192.168.3.2

#### Host-to-Host Packet Delivery (7 of 22)



Layer 2: ARP! Here is something for you.





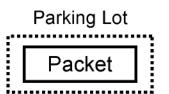
Layer 3 = 192.168.3.1

Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.2

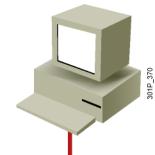


#### Host-to-Host Packet Delivery (8 of 22)



ARP: I just got an ARP request from 192.168.3.1. Let me add its IP and MAC to my ARP table. Now I can respond.





Layer 3 = 192.168.3.1

Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.2

#### Host-to-Host Packet Delivery (9 of 22)

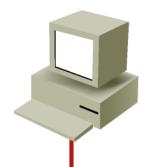
Parking Lot
Packet

ARP: The ARP reply will say that I am 192.168.3.2 with a MAC of 0800:0222:1111.

ARP: Layer 2, send this using our MAC as the SRC MAC and 0800:0222:222 as the DST MAC.

ARP Reply

DST MAC 0800:0222:2222 SRC MAC 0800:0222:1111 ARP Reply



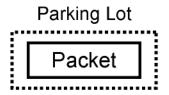


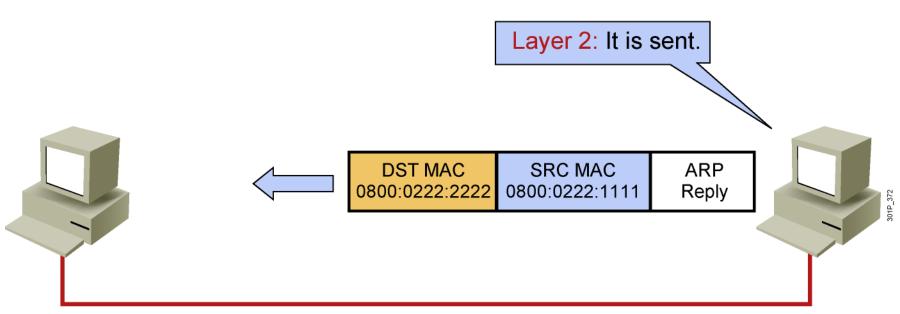
Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.2



#### Host-to-Host Packet Delivery (10 of 22)





Layer 3 = 192.168.3.1

Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.2

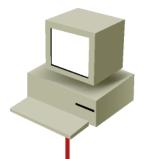


### Host-to-Host Packet Delivery (11 of 22)

Parking Lot

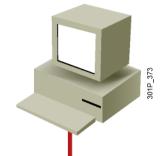
**Packet** 

Layer 2: I just got a frame with my MAC so I'll process it. The protocol ID indicates that it belongs to ARP. Let me strip the Layer 2 header and send it to ARP.



DST MAC	SRC MAC
0800:0222:2222	0800:0222:1111

ARP Reply



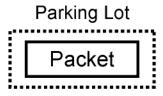
Layer 3 = 192.168.3.1

Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.2

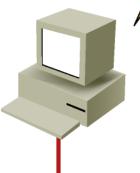


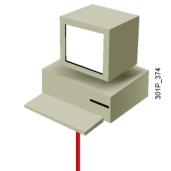
#### Host-to-Host Packet Delivery (12 of 22)



Layer 2: ARP! Here is something for you.

ARP Reply



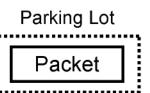


Layer 3 = 192.168.3.1

Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.2

#### Host-to-Host Packet Delivery (13 of 22)

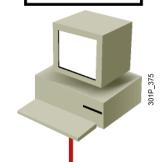


ARP: I just got an ARP reply from 192.168.3.2. Let me add its IP and MAC to my ARP table.

ARP: Layer 2! I have 192.168.3.2

mapped to 0800:0222:1111.

ARP Request



Layer 3 = 192.168.3.1

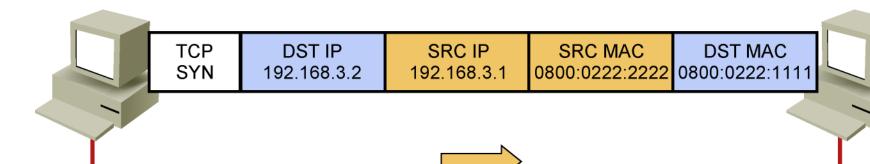
Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.2



#### Host-to-Host Packet Delivery (14 of 22)

Layer 2: I can send out that pending packet.



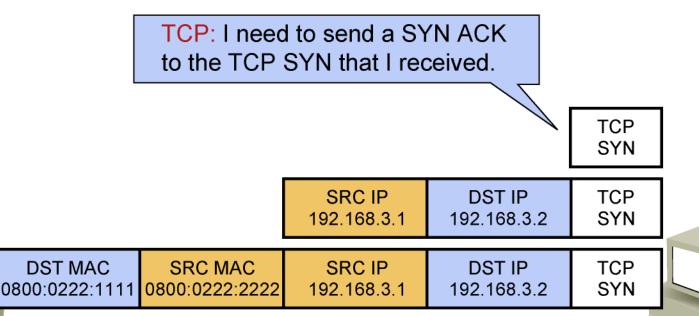
Layer 3 = 192.168.3.1

Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.2



#### Host-to-Host Packet Delivery (15 of 22)



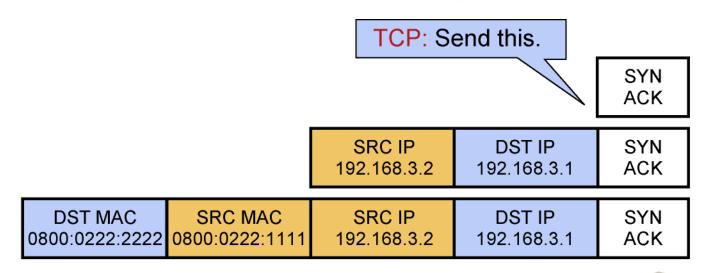
Layer 3 = 192.168.3.1

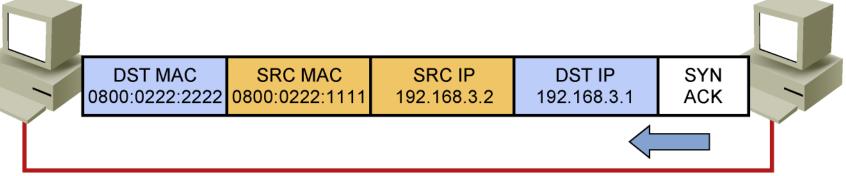
Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.2



#### Host-to-Host Packet Delivery (16 of 22)





Layer 3 = 192.168.3.1

Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.2

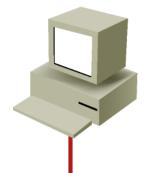


#### Host-to-Host Packet Delivery (17 of 22)

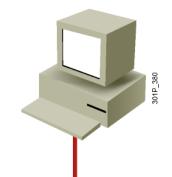
TCP: Got the ACK.

SRC IP	DST IP	SYN
192.168.3.2	192.168.3.1	ACK

	DST MAC	SRC MAC	SRC IP	DST IP	SYN
1	0800:0222:2222	0800:0222:1111	192.168.3.2	192.168.3.1	ACK



SYN ACK



Layer 3 = 192.168.3.1

Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.2



### Host-to-Host Packet Delivery (18 of 22)

TCP: I need to let the other end know I got the SYN ACK to complete the session establishment.

TCP ACK

SRC IP DST IP TCP 192.168.3.1 ACK

TCP DST IP SRC IP SRC MAC DST MAC 192.168.3.2 192.168.3.1 0800:0222:2222 0800:0222:1111

Layer 3 = 192.168.3.1

Layer 2 = 0800:0222:2222

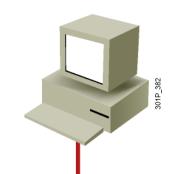
Layer 3 = 192.168.3.2



#### Host-to-Host Packet Delivery (19 of 22)

Layer 4: OK, Application, I have your session set up.

Application: OK, I'll send you some data.

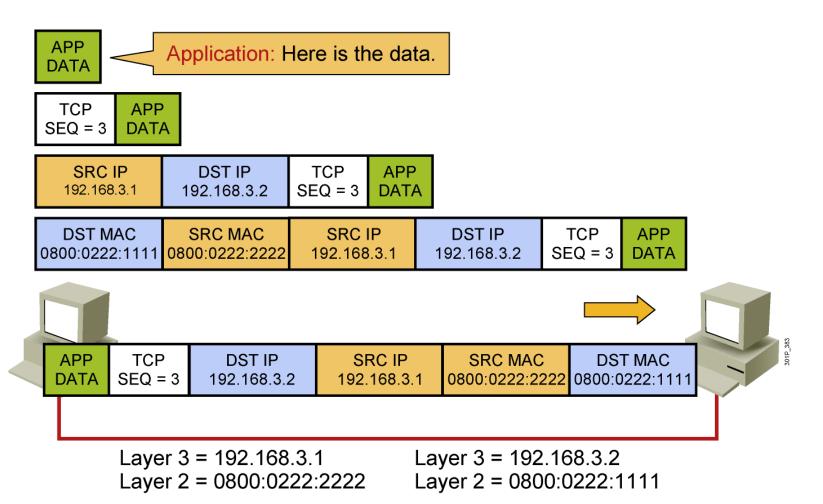


Layer 3 = 192.168.3.1

Layer 2 = 0800:0222:2222

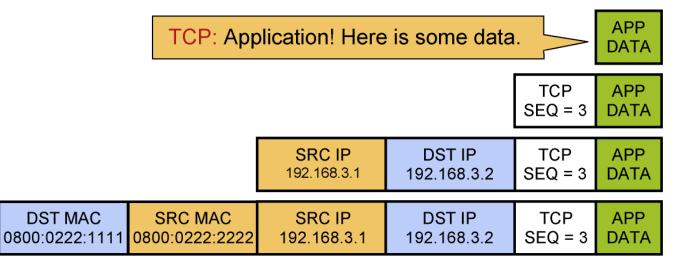
Layer 3 = 192.168.3.2

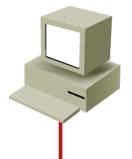
#### Host-to-Host Packet Delivery (20 of 22)

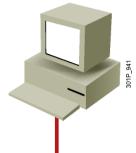




#### Host-to-Host Packet Delivery (21 of 22)







Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.1

Layer 2 = 0800:0222:1111

Layer 3 = 192.168.3.2



#### Host-to-Host Packet Delivery (22 of 22)

I need to send an ACK to the data that I received.

ACK = 4SEQ = 3

SRC IP 192.168.3.2 DST IP 192.168.3.1 ACK = 4SEQ = 3

DST MAC 0800:0222:2222

SRC MAC 0800:0222:1111 SRC IP 192.168.3.2 DST IP 192.168.3.1 ACK = 4SEQ = 3

DST MAC 0800:0222:2222

SRC MAC 0800:0222:1111 SRC IP 192.168.3.2 DST IP 192.168.3.1 ACK = 4SEQ = 3

Layer 3 = 192.168.3.1

Layer 2 = 0800:0222:2222

Layer 3 = 192.168.3.2

