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**Conference of the Parties serving as the meeting  
of the Parties to the Kyoto Protocol**

Report of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol on its twelfth session, held in Marrakech from 7 to 18 November 2016

Addendum

Part two: Action taken by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its twelfth session

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Decision 1/CMP.12

Third Review of the Adaptation Fund

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol*,

*Recalling* decisions 1/CMP.3 and 6/CMP.6 on the three-year review cycle for the Adaptation Fund,

*Also recalling* decisions 2/CMP.9 and 2/CMP.10,

*Further recalling* decision 1/CP.21,

1. *Decides* that the third review of the Adaptation Fund will be undertaken in accordance with the terms of reference contained in the annex;

2. *Requests* the Adaptation Fund Board to make available in its report to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its thirteenth session (November 2017) information on the financial status of the Adaptation Fund with a view to the third review of the Adaptation Fund being finalized at the same session;

3. *Invites* Parties and observer organizations, as well as other interested international organizations, stakeholders and non-governmental organizations involved in the activities of the Adaptation Fund and implementing entities accredited by the Adaptation Fund Board, to submit by 30 April 2017 their views on the third review of the Adaptation Fund based on the terms of reference contained in the annex, for consideration by the Subsidiary Body for Implementation at its forty-sixth session (May 2017);[[1]](#footnote-1)

4. *Requests* the secretariat, in collaboration with the Adaptation Fund Board secretariat, to prepare a technical paper on the third review of the Adaptation Fund, in accordance with the terms of reference contained in the annex, taking into account the deliberations and conclusions of the Subsidiary Body for Implementation at its forty-sixth session and the views referred to in paragraph 3 above, for consideration by the Subsidiary Body for Implementation at its forty-seventh session (November 2017);

5. *Also requests* the Subsidiary Body for Implementation to complete its work on the third review of the Adaptation Fund at its forty-seventh session with a view to recommending a draft decision on the matter for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its thirteenth session.

Annex

**Terms of reference for the third review of the Adaptation Fund**

I. Objective

1. The objective of the third review of the Adaptation Fund is to ensure the effectiveness, sustainability and adequacy of the fund and its operations with a view to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) adopting a decision on the matter at CMP 13 (November 2017).

II. Scope

2. The scope of the review will cover the progress made to date and lessons learned in the operationalization and implementation of the fund and will focus on, inter alia:

(a) The provision of sustainable, predictable and adequate financial resources and the mobilization of financial resources to fund concrete adaptation projects and programmes that are country-driven and based on the needs, views and priorities of eligible developing country Parties;

(b) Lessons learned from:

(i) The application of the access modalities of the Adaptation Fund, including its operational policies and guidelines, including its Streamlined Accreditation Process;

(ii) The project approval procedures of the Adaptation Fund;

(iii) The results and impacts of approved adaptation projects and programmes;

(iv) The readiness programme for direct access to climate finance, including the component aimed at increasing South–South cooperation between accredited national implementing entities and those seeking accreditation;

(v) The pilot programme for regional projects;

(c) Programming and project coherence and complementarity between the Adaptation Fund and other institutions funding adaptation projects and programmes, in particular institutions under the Convention and the operating entities of the Financial Mechanism and its specialized funds;

(d) The institutional arrangements for the Adaptation Fund, in particular the arrangements with the interim secretariat and the interim trustee.

III. Sources of information

3. The review shall draw upon, inter alia, the following sources of information:

(a) Submissions from Parties to the Kyoto Protocol, observer organizations, other interested international organizations, stakeholders and non-governmental organizations involved in the activities of the Adaptation Fund and implementing entities accredited by the Adaptation Fund Board on their experiences regarding the Adaptation Fund;

(b) The annual reports of the Global Environment Facility (GEF) to the Conference of the Parties (COP) on its activities as an operating entity of the Financial Mechanism, including the information on the Least Developed Countries Fund and the Special Climate Change Fund, and other relevant GEF policy, information and evaluation documents;

(c) The annual reports of the Green Climate Fund (GCF) to the COP on its activities as an operating entity of the Financial Mechanism and other relevant GCF policy and information documents;

(d) The report of the Adaptation Fund Board to the CMP, the Adaptation Fund annual performance report for the most recent fiscal year and the outcomes of the initial and second reviews of the Adaptation Fund;

(e) The outcomes and reports emanating from United Nations processes, relevant bilateral and multilateral funding institutions and other intergovernmental and non-governmental organizations dealing with climate change financing;

(f) The reports of the Standing Committee on Finance;

(g) The reports on the work programme on long-term finance;[[2]](#footnote-2)

(h) The reports of the Least Developed Countries Expert Group, the Adaptation Committee and the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention;

(i) The technical paper and summary for policymakers arising from the technical examination process on adaptation in 2016;

(j) The report on the independent evaluation of the Adaptation Fund (stage 1).[[3]](#footnote-3)

*9th plenary meeting*

*17 November 2016*

Decision 2/CMP.12

Report of the Adaptation Fund Board

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol*,

*Recalling* decisions 1/CMP.3, 2/CMP.10 and 1/CMP.11,

*Also recalling* decision 1/CP.21,

*Noting* the annual report of the Adaptation Fund Board,[[4]](#footnote-4)

1. *Notes* the following information, actions and decisions relating to the Adaptation Fund contained in the report of the Adaptation Fund Board and the oral report provided by the Chair of the Adaptation Fund Board in November 2016:

(a) The accreditation of 25 national implementing entities that can access resources from the Adaptation Fund directly, including 4 entities accredited during the reporting period, and the accreditation of 6 regional implementing entities, including 2 accredited during the reporting period;

(b) Cumulative project and programme approvals reaching USD 358 million as at 9 November 2016;

(c) Funds available for new funding approvals amounting to USD 230.5 million as at 17 November 2016;

(d) The approval of six project/programme proposals, totalling USD 36.8 million, submitted by implementing entities, including four proposals submitted by national implementing entities totalling USD 19.2 million;

(e) The cumulative receipts of USD 546.9 million into the Adaptation Trust Fund;

(f) The institutionalization of the readiness programme for direct access as a permanent component of the Adaptation Fund’s operations and the approval of South–South cooperation grants for Guinea, Malawi, Sierra Leone and Zimbabwe totalling USD 242,347, and technical assistance grants for Benin, Costa Rica, Micronesia (the Federated States of), Panama, Senegal and South Africa totalling USD 118,000;

(g) The endorsement of the first concepts and pre-concepts under the pilot programme for regional projects/programmes, as well as the decision of the Adaptation Fund Board to extend the opportunity to submit regional proposals beyond the pilot programme;

(h) The approval of the gender policy and action plan of the Adaptation Fund;

(i) The ongoing discussion on linkages between the Adaptation Fund and the Green Climate Fund;

(j) The fact that, in the light of the early entry into force of the Paris Agreement, the timelines given in paragraphs 59 and 60 of decision 1/CP.21 and paragraphs 8 and 9 of decision 1/CMP.11 may not align;

2. *Decides* to renew the interim institutional arrangements with the Global Environment Facility as the interim secretariat of the Adaptation Fund Board for an additional three years, from 30 May 2017 to 30 May 2020;

3. *Also decides* to restate the terms and conditions of the services to be provided by the International Bank for Reconstruction and Development (the World Bank) as the interim trustee of the Adaptation Fund and to extend the term of the trustee’s services for an additional three years, from 30 May 2017 to 30 May 2020;

4. *Welcomes* the financial pledges and contributions made to the Adaptation Fund by the Governments of Germany, Italy, Sweden and the Flemish and Walloon Regions of Belgium, amounting to USD 81 million;

5. *Takes note* ofthe resource mobilization strategy of the Adaptation Fund Board;

6. *Notes* *with concern* issues related to the sustainability, adequacy and predictability of funding for the Adaptation Fund based on the current uncertainty on the prices of certified emission reductions, assigned amount units and emission reduction units;

7. *Notes* that the scale of funding required for projects in the active pipeline is estimated to be of the scale of USD 233.5 million and that the current available funds for new projects amount to USD 230.5 million, resulting in a current funding gap of USD 3 million;

8. *Encourages* developed country Parties to scale up financial resources for the implementation of adaptation projects in the active pipeline of the Adaptation Fund;

9. *Also encourages* the provision of voluntary support that is additional to the share of proceeds from clean development mechanism project activities in order to support the resource mobilization efforts of the Adaptation Fund Board, with a view to strengthening the Adaptation Fund;

10. *Further encourages* the Adaptation Fund Board, in implementing its resource mobilization strategy, to further consider all potential sources of funding;

11. *Encourages* the Adaptation Fund Board to continue its consideration of linkages between the Adaptation Fund and other funds, including the Green Climate Fund, and to report on its findings to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its thirteenth session (November 2017);

12. *Highlights* the findings of the first stage of an independent overall evaluation of the Adaptation Fund,[[5]](#footnote-5) including that:

(a) The Adaptation Fund has pioneered several issues related to adaptation finance and is meeting developing countries’ needs, including those related to direct access;

(b) The design of the Adaptation Fund and its operational processes are efficient and largely coherent with guidance under the Convention and national adaptation priorities;

(c) The Adaptation Fund has allowed countries to advance the implementation of important measures at the national and subnational levels, with links to national policymaking;

(d) The policies adopted by the Adaptation Fund have created a solid foundation for operational success;

13. *Takes note* of the information provided by the Adaptation Fund Board on the added value of the Adaptation Fund for the operationalization of the Paris Agreement, as contained in the addendum to annex I to the report of the Adaptation Fund Board;

14. *Invites* the Conference of the Parties to bring the information referred to in paragraph 13 above to the attention of the Ad Hoc Working Group on the Paris Agreement.

*9th plenary meeting*

*17 November 2016*

Decision 3/CMP.12

Guidance relating to the clean development mechanism

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol*,

*Recalling* the provisions of Articles 3 and 12 of the Kyoto Protocol and decision 1/CMP.6,

*Cognizant* of decision 3/CMP.1 and subsequent guidance provided by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol relating to the clean development mechanism,

*Noting* decision 1/CP.19, on the promotion of the voluntary cancellation of certified emission reductions as a means of closing the pre-2020 ambition gap,

*Urging* Parties to deposit with the Depositary their instruments of acceptance in respect of the Doha Amendment pursuant to Article 20 of the Kyoto Protocol with a view to expediting its entry into force,[[6]](#footnote-6)

**I. General**

1. *Takes note* ofthe report for 2015–2016 of the Executive Board of the clean development mechanism (hereinafter referred to as the Executive Board);[[7]](#footnote-7)

2. *Acknowledges* the work undertaken by the Executive Board over the past year;

3. *Notes* that the clean development mechanism of the Kyoto Protocol, to date, has been responsible for:[[8]](#footnote-8)

(a) Over 7,700 project activities being registered in over 95 countries;

(b) Over 1,900 component project activities being included in over 290 programmes of activities registered in over 80 countries;

(c) Over 1.7 billion certified emission reductions being issued and over USD 300 billion being invested;

(d) Over 15 million certified emission reductions voluntarily cancelled;

(e) Over 34 million certified emission reductions being transferred through the share of proceeds to the Adaptation Fund;

(f) Over USD 195 million of revenue for the Adaptation Fund from the sale of certified emission reductions;

(g) A total of 78 loans under the CDM Loan Scheme being approved and over USD 6.2 million of total commitment;[[9]](#footnote-9)

(h) A total of 37 sustainable development co-benefit description reports being published using the voluntary sustainable development tool;

4. *Encourages* the Executive Board to continue its activities in response to decision 6/CMP.11, paragraphs 7 and 8;

5. *Also encourages* the Executive Board to continue the simplification of the clean development mechanism, with the aim of further simplifying and streamlining, in particular the registration and issuance processes, and methodologies, while maintaining environmental integrity;

6. *Requests* the Executive Board to analyse the overall cost for designated operational entities and to report back to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its thirteenth session (November 2017);

7. *Designates* as operational entities those entities that have been accredited, and provisionally designated, as operational entities by the Executive Board to carry out the sector-specific validation functions and/or sector-specific verification functions described in the annex;

**II. Baseline and monitoring methodologies**

8. *Encourages* the Executive Board to explore possibilities for reducing the transaction costs of monitoring by expanding the use of tiered approaches offering a choice between conservative default values and direct measurements;

9. *Also encourages* the Executive Board to continue its activities in response to decision 6/CMP.11, paragraph 14;

**III. Regional and subregional distribution**

10. *Invites* the Executive Board to further work on the development of simplified clean development mechanism methodologies and standardized baselines, while maintaining environmental integrity, in collaboration with regional collaboration centres;

**IV. CDM Loan Scheme**

11. *Recalls* the purpose of the CDM Loan Scheme, which is to increase the participation of underrepresented countries in the clean development mechanism;

12. *Takes note* of the report on the evaluation of the CDM Loan Scheme, implemented pursuant to decision 2/CMP.5, paragraphs 49 and 50, and decision 3/CMP.6, paragraphs 64 and 67, and annex III;

13. *Recognizes* the implications for the CDM Loan Scheme of the current low price of certified emission reductions and, in particular, the implications for the recipients of CDM Loan Scheme funds with regard to potential difficulties in the repayment of loans;

14. *Decides* that the implementing agency of the CDM Loan Scheme, after consultation with the secretariat, may write off amounts disbursed under individual loans on a case-by-case basis, where it becomes evident that it will not be feasible for the loan recipient to repay the disbursed funds;

15. *Requests* the implementing agency and the secretariat to work closely with loan recipients that wish to continue under the CDM Loan Scheme to help those recipients to identify ways to progress through the project cycle, including making adjustments to loan agreement terms, if appropriate;

16. *Decides* that the secretariat should not seek a new implementing agency after the expiry of the term of the current contract as required by decision 3/CMP.6, annex III, paragraph 8;

17. *Also decides* that other changes to the CDM Loan Scheme are not required at this time;

**V. Resources for work on the clean development mechanism**

18. *Requests* the Executive Board to continue to ensure the prudent management of the resources of the clean development mechanism and its ability to perform its duties in maintaining and developing the mechanism up to the end of the true-up period of the second commitment period of the Kyoto Protocol.

**Annex**

**Designation of operational entities by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its twelfth session and changes in accreditation status of entities during the reporting period of the Executive Board (17 October 2015 to 17 September 2016)**

| *Name of entity* | *Sectoral scopes (validation and verification)* |
| --- | --- |
| DNV Climate Change Services AS (DNV)*a* | 1, 3, 5 and 13 |
| ERM Certification and Verification Services Limited (ERM CVS)*b* | 1, 3–5, 8–10 and 13 |
| Foundation for Industrial Development (MASCI)*c* | 1, 3, 4, 9, 10, 13 and 15 |
| Foundation for Industrial Development (MASCI)*b* | 1 and 13 |
| Foundation for Industrial Development (MASCI)*d* | 1 and 13 |
| Germanischer Lloyd Certification GmbH (GLC)*a* | 1, 3 and 13 |
| Hong Kong Quality Assurance Agency (HKQAA)*e* | 1 |
| Japan Quality Assurance Organisation (JQA)*b* | 1, 3–5, 10, 13 and 14 |
| Korea Energy Agency (KEA)*f*  (transfer of accreditation from Korea Energy Management Corporation (KEMCO)) | 1, 3–5, 7, 9 and 11–15 |
| Northeast Audit Co. Ltd (NAC)*a* | 1–13 and 15 |
| RINA Services S.p.A. (RINA)*d* | 6 and 7 |
| SGS United Kingdom Limited (SGS)*b* | 1, 4, 7, 10 and 13 |
| SIRIM QAS INTERNATIONAL SDN.BHD (SIRIM)*b* | 1 and 13 |

*a* Voluntary withdrawal of accreditation in its entirety.

*b* Voluntary withdrawal of accreditation; the remaining sectoral scopes are indicated.

*c* Entity provisionally suspended; only the suspended sectoral scopes are indicated.

*d* Lifting of suspension; only the suspended sectoral scopes to be lifted are indicated.

*e* Accreditation granted for five years.

*f* Transfer of accreditation from another legal entity.

*9th plenary meeting*

*17 November 2016*

Decision 4/CMP.12

Guidance on the implementation of Article 6 of the Kyoto Protocol

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol*,

*Recalling* the provisions of Articles 3 and 6 of the Kyoto Protocol,

*Cognizant* of decision 9/CMP.1 and subsequent guidance provided by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol relating to joint implementation,

*Urging* Parties to deposit with the Depositary their instruments of acceptance in respect of the Doha Amendment[[10]](#footnote-10) pursuant to Article 20 of the Kyoto Protocol with a view to expediting its entry into force,

1. *Takes note* of the outcomes of joint implementation in the period 2006–2016, accounting for 548 Track 1 projects,[[11]](#footnote-11) 52 Track 2 projects[[12]](#footnote-12) and over 871 million emission reduction units issued for emission reductions;

2. *Also takes note* of the report of the Joint Implementation Supervisory Committee for 2015–2016;[[13]](#footnote-13)

3. *Notes with appreciation* the work by the Joint Implementation Supervisory Committee[[14]](#footnote-14) on the reflections on and analysis of experiences and lessons learned from joint implementation;[[15]](#footnote-15)

4. *Reiterates* its concern regarding the difficult market situation currently faced by participants in joint implementation, with projects declining to a point where the activity under the mechanism is practically non-existent;

5. *Also reiterates* its request to the Joint Implementation Supervisory Committee to ensure sufficient infrastructure and capacity for the mechanism’s use by Parties for as long as is needed, making necessary adjustments to ensure the efficient, cost-effective and transparent functioning of joint implementation;

6. *Decides* that, in order to continue the prudent management of resources, the Joint Implementation Supervisory Committee shall meet at least once each year;

7. *Affirms* that the Joint Implementation Supervisory Committee may conduct its meetings using virtual participation[[16]](#footnote-16) and electronic consultation and decision-making;

8. *Decides* that, with regard to the meetings referred to in the rules of procedure of the Joint Implementation Supervisory Committee, the virtual participation of members or alternates acting as members in its meetings counts towards a quorum and that virtual meetings of the Joint Implementation Supervisory Committee are meetings of the committee;

9. *Also decides* that electronic submission of the signed oath of service by members and alternates of the Joint Implementation Supervisory Committee is sufficient to fulfil the requirements of the rules of procedure.

*9th plenary meeting*

*17 November 2016*

Decision 5/CMP.12

Review of the joint implementation guidelines

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol*,

*Recalling* decision 9/CMP.1, which sets out the guidelines for the implementation of Article 6 of the Kyoto Protocol (hereinafter referred to as the joint implementation guidelines), and decisions 4/CMP.6, 11/CMP.7 and 6/CMP.8 in relation to the review of the joint implementation guidelines,

*Recognizing* that the level of activity in relation to joint implementation has significantly decreased,

1. *Commends* the work done by the Subsidiary Body for Implementation and the Joint Implementation Supervisory Committee over the past years in responding to the requests of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol pursuant to decision 6/CMP.8, paragraphs 14 and 15, and subsequent decisions, in relation to the review of the joint implementation guidelines;

2. *Decides* to conclude its review of the joint implementation guidelines without adopting any revisions to them;

3. *Notes* that the draft conclusions of the Subsidiary Body for Implementation contained in document FCCC/SBI/2016/L.8 represent experience gained and lessons learned from joint implementation in relation to the review of the joint implementation guidelines.

*9th plenary meeting*

*17 November 2016*

Decision 6/CMP.12

Third comprehensive review of the implementation of the framework for capacity-building in developing countries under the Kyoto Protocol

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

Recalling decisions 29/CMP.1, 6/CMP.4, 15/CMP.7, 2/CP.7 and 2/CP.17,

1. *Recognizes* that, while the objective and scope of the needs and priority areas identified in the framework for capacity-building in developing countries established under decision 2/CP.7 and the priority areas for capacity-building relating to the participation of developing countries in project activities under the clean development mechanism as contained in decision 29/CMP.1 are still relevant, current and emerging areas should also be taken into consideration in the further implementation of capacity-building activities in developing countries;

2. *Invites* Parties to continue to implement the framework for capacity-building in developing countries under the Kyoto Protocol by:

(a) Enhancing consultations with all stakeholders throughout the development of projects;

(b) Enhancing the capacity of stakeholders to identify, attract, apply for and manage different types of public and private financial resources;

(c) Strengthening networking and information sharing, including among developing countries, especially through South–South cooperation;

(d) Strengthening the capacity of designated national authorities through regional collaboration centers;

3. *Also invites* Parties to consider how to enhance existing reporting on the impacts of capacity-building activities, good practices and lessons learned and on how they are fed back into relevant processes to enhance the implementation of capacity-building activities;

4. *Further invites* all Parties to cooperate to enhance the capacity of developing country Parties to implement the Kyoto Protocol, and developed country Parties to enhance support for capacity-building actions in developing country Parties;

5. *Invites* relevant intergovernmental and non-governmental organizations, as well as the private sector, academia and other stakeholders, to continue incorporating into their work programmes the scope of capacity-building needs as contained in decisions 29/CMP.1 and 6/CMP.4;

6. *Decides* to conclude the third comprehensive review of the implementation of the framework for capacity-building in developing countries under the Kyoto Protocol and to initiate the fourth comprehensive review thereof at the fifty-second session of the Subsidiary Body for Implementation, with a view to completing that review at the seventeenth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol;

7. *Invites* Parties, observers and other stakeholders to submit, by 9 March 2017, their views on the fourth review of the implementation of the framework for capacity-building in countries with economies in transition established under decision 3/CP.7, to be conducted at the forty-sixth session of the Subsidiary Body for Implementation (May 2017) and concluded at the thirteenth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (November 2017);[[17]](#footnote-17), [[18]](#footnote-18)

8. *Also invites* Parties and observers to submit, by 9 March 2017, suggestions for potential topics related to the Kyoto Protocol for the 6th meeting of the Durban Forum;[[19]](#footnote-19)

9. *Requests* that the actions of the secretariat called for in this decision be undertaken subject to the availability of financial resources.

*9th plenary meeting*

*17 November 2016*

Decision 7/CMP.12

Financial and budgetary matters

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol*,

*Taking note* of decision 22/CP.22,

*Having considered* the proposed revised staffing table for the biennium 2016–2017,

*Endorses* decision 22/CP.22 on the revised staffing table for the biennium 2016–2017 within the programme budget approved for this biennium.

*9th plenary meeting*

*17 November 2016*

Decision 8/CMP.12

Administrative, financial and institutional matters

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol*,

*Recalling* Article 13, paragraph 5, of the Kyoto Protocol,

*Also recalling* paragraph 11 of the financial procedures of the Conference of the Parties, which also applies to the Kyoto Protocol,[[20]](#footnote-20)

*Taking note* of decision 23/CP.22,[[21]](#footnote-21)

*Having considered* the *information* contained in the documents prepared by the secretariat on administrative, financial and institutional matters,[[22]](#footnote-22)

I. Budget performance for the biennium 2016–2017

1. *Takes note* of the information contained in the report on budget performance for the period 1 January 2016 to 30 June 2016,[[23]](#footnote-23) the note on the status of contributions as at 21 October 2016[[24]](#footnote-24) to the trust funds administered by the secretariat and the note on the revised indicative contributions for the biennium 2016–2017;[[25]](#footnote-25)

2. *Expresses its appreciation* to Parties that have made contributions to the core budget and the international transaction log in a timely manner;

3. *Expresses concern* regarding the high level of outstanding contributions to the core budget for the current and previous bienniums, which has resulted in difficulties with cash flow;

4. *Strongly urges* Parties that have not made contributions in full to the core budget to do so without further delay;

5. *Calls upon* Parties to make their contributions to the core budget and the international transaction log for the year 2017 in a timely manner, bearing in mind that contributions are due on 1 January of each year in accordance with the financial procedures;

6. *Requests* the secretariat to explore options on ways to address outstanding contributions to the core budget for consideration by the Subsidiary Body for Implementation at its forty-sixth session (May 2017)*;*

7. *Expresses its appreciation* for the contributions received from Parties to the Trust Fund for Participation in the UNFCCC Process and to the Trust Fund for Supplementary Activities;

8. *Urges* Parties to further contribute to the Trust Fund for Participation in the UNFCCC Process, in order to ensure the widest possible participation in the negotiations, and to the Trust Fund for Supplementary Activities;

9. *Requests* the secretariat to explore options for increasing the flexibility of the funds in the Trust Fund for Supplementary Activities for consideration by the Subsidiary Body for Implementation at its forty-sixth session;

10. *Reiterates its appreciation* to the Government of Germany for its annual voluntary contribution to the core budget of EUR 766,938 and its special contribution of EUR 1,789,522 as Host Government of the secretariat;

11. *Adopts* the revised scale of contributions for 2016–2017 contained in the annex;

II. Audit report and financial statements for 2015

12. *Takes note* of the audit report of the United Nations Board of Auditors[[26]](#footnote-26) and the financial statements for 2015, which include recommendations, and the comments of the secretariat thereon;

13. *Expresses* its appreciation to the United Nations for arranging the audits of the accounts of the Convention and for the valuable observations and recommendations of the auditors;

14. *Urges* the Executive Secretary to implement the recommendations of the auditors, as appropriate;

III. Other financial matters

15. *Endorses* decision 23/CP.22[[27]](#footnote-27) on administrative, financial and institutional matters as it applies to the Kyoto Protocol, in particular the provisions contained in section III.

Annex

[English only]

**Trust Fund for the Core Budget of the UNFCCC (Kyoto Protocol): revised indicative contributions for the biennium 2016–2017 in euros**

| *Party* | *United Nations revised scale of assessments  2016–2018* | *UNFCCC revised indicative scale of contributions for 2016* | *UNFCCC revised indicative scale of contributions for 2017* |
| --- | --- | --- | --- |
| Afghanistan | 0.006*a* | 0.008 | 0.008 |
| Albania | 0.008 | 0.010 | 0.010 |
| Algeria | 0.161 | 0.209 | 0.209 |
| Angola | 0.010 | 0.013 | 0.013 |
| Antigua and Barbuda | 0.002 | 0.003 | 0.003 |
| Argentina | 0.892 | 1.159 | 1.159 |
| Armenia | 0.006 | 0.008 | 0.008 |
| Australia | 2.337 | 3.036 | 3.035 |
| Austria | 0.720 | 0.935 | 0.935 |
| Azerbaijan | 0.060 | 0.078 | 0.078 |
| Bahamas | 0.014 | 0.018 | 0.018 |
| Bahrain | 0.044 | 0.057 | 0.057 |
| Bangladesh | 0.010 | 0.013 | 0.013 |
| Barbados | 0.007 | 0.009 | 0.009 |
| Belarus | 0.056 | 0.073 | 0.073 |
| Belgium | 0.885 | 1.150 | 1.150 |
| Belize | 0.001 | 0.001 | 0.001 |
| Benin | 0.003 | 0.004 | 0.004 |
| Bhutan | 0.001 | 0.001 | 0.001 |
| Bolivia (Plurinational State of) | 0.012 | 0.016 | 0.016 |
| Bosnia and Herzegovina | 0.013 | 0.017 | 0.017 |
| Botswana | 0.014 | 0.018 | 0.018 |
| Brazil | 3.823 | 4.966 | 4.966 |
| Brunei Darussalam | 0.029 | 0.038 | 0.038 |
| Bulgaria | 0.045 | 0.058 | 0.058 |
| Burkina Faso | 0.004 | 0.005 | 0.005 |
| Burundi | 0.001 | 0.001 | 0.001 |
| Cabo Verde | 0.001 | 0.001 | 0.001 |
| Cambodia | 0.004 | 0.005 | 0.005 |
| Cameroon | 0.010 | 0.013 | 0.013 |
| Central African Republic | 0.001 | 0.001 | 0.001 |
| Chad | 0.005 | 0.006 | 0.006 |
| Chile | 0.399 | 0.518 | 0.518 |
| China | 7.921 | 10.289 | 10.288 |
| Colombia | 0.322 | 0.418 | 0.418 |
| Comoros | 0.001 | 0.001 | 0.001 |
| Congo | 0.006 | 0.008 | 0.008 |
| Cook Islands | 0.001 | 0.001 | 0.001 |
| Costa Rica | 0.047 | 0.061 | 0.061 |
| Côte d’Ivoire | 0.009 | 0.012 | 0.012 |
| Croatia | 0.099 | 0.129 | 0.129 |
| Cuba | 0.065 | 0.084 | 0.084 |
| Cyprus | 0.043 | 0.056 | 0.056 |
| Czechia | 0.344 | 0.447 | 0.447 |
| Democratic People’s Republic of Korea | 0.005 | 0.006 | 0.006 |
| Democratic Republic of the Congo | 0.008 | 0.010 | 0.010 |
| Denmark | 0.584 | 0.759 | 0.759 |
| Djibouti | 0.001 | 0.001 | 0.001 |
| Dominica | 0.001 | 0.001 | 0.001 |
| Dominican Republic | 0.046 | 0.060 | 0.060 |
| Ecuador | 0.067 | 0.087 | 0.087 |
| Egypt | 0.152 | 0.197 | 0.197 |
| El Salvador | 0.014 | 0.018 | 0.018 |
| Equatorial Guinea | 0.010 | 0.013 | 0.013 |
| Eritrea | 0.001 | 0.001 | 0.001 |
| Estonia | 0.038 | 0.049 | 0.049 |
| Ethiopia | 0.010 | 0.013 | 0.013 |
| European Union | 2.500 | 2.500 | 2.500 |
| Fiji | 0.003 | 0.004 | 0.004 |
| Finland | 0.456 | 0.592 | 0.592 |
| France | 4.859 | 6.311 | 6.311 |
| Gabon | 0.017 | 0.022 | 0.022 |
| Gambia | 0.001 | 0.001 | 0.001 |
| Georgia | 0.008 | 0.010 | 0.010 |
| Germany | 6.389 | 8.299 | 8.299 |
| Ghana | 0.016 | 0.021 | 0.021 |
| Greece | 0.471 | 0.612 | 0.612 |
| Grenada | 0.001 | 0.001 | 0.001 |
| Guatemala | 0.028 | 0.036 | 0.036 |
| Guinea | 0.002 | 0.003 | 0.003 |
| Guinea-Bissau | 0.001 | 0.001 | 0.001 |
| Guyana | 0.002 | 0.003 | 0.003 |
| Haiti | 0.003 | 0.004 | 0.004 |
| Honduras | 0.008 | 0.010 | 0.010 |
| Hungary | 0.161 | 0.209 | 0.209 |
| Iceland | 0.023 | 0.030 | 0.030 |
| India | 0.737 | 0.957 | 0.957 |
| Indonesia | 0.504 | 0.655 | 0.655 |
| Iran (Islamic Republic of) | 0.471 | 0.612 | 0.612 |
| Iraq | 0.129 | 0.168 | 0.168 |
| Ireland | 0.335 | 0.435 | 0.435 |
| Israel | 0.430 | 0.559 | 0.559 |
| Italy | 3.748 | 4.868 | 4.868 |
| Jamaica | 0.009 | 0.012 | 0.012 |
| Japan | 9.680 | 12.573 | 12.573 |
| Jordan | 0.020 | 0.026 | 0.026 |
| Kazakhstan | 0.191 | 0.248 | 0.248 |
| Kenya | 0.018 | 0.023 | 0.023 |
| Kiribati | 0.001 | 0.001 | 0.001 |
| Kuwait | 0.285 | 0.370 | 0.370 |
| Kyrgyzstan | 0.002 | 0.003 | 0.003 |
| Lao People’s Democratic Republic | 0.003 | 0.004 | 0.004 |
| Latvia | 0.050 | 0.065 | 0.065 |
| Lebanon | 0.046 | 0.060 | 0.060 |
| Lesotho | 0.001 | 0.001 | 0.001 |
| Liberia | 0.001 | 0.001 | 0.001 |
| Libya | 0.125 | 0.162 | 0.162 |
| Liechtenstein | 0.007 | 0.009 | 0.009 |
| Lithuania | 0.072 | 0.094 | 0.094 |
| Luxembourg | 0.064 | 0.083 | 0.083 |
| Madagascar | 0.003 | 0.004 | 0.004 |
| Malawi | 0.002 | 0.003 | 0.003 |
| Malaysia | 0.322 | 0.418 | 0.418 |
| Maldives | 0.002 | 0.003 | 0.003 |
| Mali | 0.003 | 0.004 | 0.004 |
| Malta | 0.016 | 0.021 | 0.021 |
| Marshall Islands | 0.001 | 0.001 | 0.001 |
| Mauritania | 0.002 | 0.003 | 0.003 |
| Mauritius | 0.012 | 0.016 | 0.016 |
| Mexico | 1.435 | 1.864 | 1.864 |
| Micronesia (Federated States of) | 0.001 | 0.001 | 0.001 |
| Monaco | 0.010 | 0.013 | 0.013 |
| Mongolia | 0.005 | 0.006 | 0.006 |
| Montenegro | 0.004 | 0.005 | 0.005 |
| Morocco | 0.054 | 0.070 | 0.070 |
| Mozambique | 0.004 | 0.005 | 0.005 |
| Myanmar | 0.010 | 0.013 | 0.013 |
| Namibia | 0.010 | 0.013 | 0.013 |
| Nauru | 0.001 | 0.001 | 0.001 |
| Nepal | 0.006 | 0.008 | 0.008 |
| Netherlands | 1.482 | 1.925 | 1.925 |
| New Zealand | 0.268 | 0.348 | 0.348 |
| Nicaragua | 0.004 | 0.005 | 0.005 |
| Niger | 0.002 | 0.003 | 0.003 |
| Nigeria | 0.209 | 0.271 | 0.271 |
| Niue | 0.001 | 0.001 | 0.001 |
| Norway | 0.849 | 1.103 | 1.103 |
| Oman | 0.113 | 0.147 | 0.147 |
| Pakistan | 0.093 | 0.121 | 0.121 |
| Palau | 0.001 | 0.001 | 0.001 |
| Panama | 0.034 | 0.044 | 0.044 |
| Papua New Guinea | 0.004 | 0.005 | 0.005 |
| Paraguay | 0.014 | 0.018 | 0.018 |
| Peru | 0.136 | 0.177 | 0.177 |
| Philippines | 0.165 | 0.214 | 0.214 |
| Poland | 0.841 | 1.092 | 1.092 |
| Portugal | 0.392 | 0.509 | 0.509 |
| Qatar | 0.269 | 0.349 | 0.349 |
| Republic of Korea | 2.039 | 2.648 | 2.648 |
| Republic of Moldova | 0.004 | 0.005 | 0.005 |
| Romania | 0.184 | 0.239 | 0.239 |
| Russian Federation | 3.088 | 4.011 | 4.011 |
| Rwanda | 0.002 | 0.003 | 0.003 |
| Saint Kitts and Nevis | 0.001 | 0.001 | 0.001 |
| Saint Lucia | 0.001 | 0.001 | 0.001 |
| Saint Vincent and the Grenadines | 0.001 | 0.001 | 0.001 |
| Samoa | 0.001 | 0.001 | 0.001 |
| San Marino | 0.003 | 0.004 | 0.004 |
| Sao Tome and Principe | 0.001 | 0.001 | 0.001 |
| Saudi Arabia | 1.146 | 1.489 | 1.489 |
| Senegal | 0.005 | 0.006 | 0.006 |
| Serbia | 0.032 | 0.042 | 0.042 |
| Seychelles | 0.001 | 0.001 | 0.001 |
| Sierra Leone | 0.001 | 0.001 | 0.001 |
| Singapore | 0.447 | 0.581 | 0.581 |
| Slovakia | 0.160 | 0.208 | 0.208 |
| Slovenia | 0.084 | 0.109 | 0.109 |
| Solomon Islands | 0.001 | 0.001 | 0.001 |
| Somalia | 0.001 | 0.001 | 0.001 |
| South Africa | 0.364 | 0.473 | 0.473 |
| Spain | 2.443 | 3.173 | 3.173 |
| Sri Lanka | 0.031 | 0.040 | 0.040 |
| Sudan | 0.010 | 0.013 | 0.013 |
| Suriname | 0.006 | 0.008 | 0.008 |
| Swaziland | 0.002 | 0.003 | 0.003 |
| Sweden | 0.956 | 1.242 | 1.242 |
| Switzerland | 1.140 | 1.481 | 1.481 |
| Syrian Arab Republic | 0.024 | 0.031 | 0.031 |
| Tajikistan | 0.004 | 0.005 | 0.005 |
| Thailand | 0.291 | 0.378 | 0.378 |
| The former Yugoslav Republic of Macedonia | 0.007 | 0.009 | 0.009 |
| Timor-Leste | 0.003 | 0.004 | 0.004 |
| Togo | 0.001 | 0.001 | 0.001 |
| Tonga | 0.001 | 0.001 | 0.001 |
| Trinidad and Tobago | 0.034 | 0.044 | 0.044 |
| Tunisia | 0.028 | 0.036 | 0.036 |
| Turkey | 1.018 | 1.322 | 1.322 |
| Turkmenistan | 0.026 | 0.034 | 0.034 |
| Tuvalu | 0.001 | 0.001 | 0.001 |
| Uganda | 0.009 | 0.012 | 0.012 |
| Ukraine | 0.103 | 0.134 | 0.134 |
| United Arab Emirates | 0.604 | 0.785 | 0.785 |
| United Kingdom of Great Britain and Northern Ireland | 4.463 | 5.797 | 5.797 |
| United Republic of Tanzania | 0.010 | 0.013 | 0.013 |
| Uruguay | 0.079 | 0.103 | 0.103 |
| Uzbekistan | 0.023 | 0.030 | 0.030 |
| Vanuatu | 0.001 | 0.001 | 0.001 |
| Venezuela (Bolivarian Republic of) | 0.571 | 0.742 | 0.742 |
| Viet Nam | 0.058 | 0.075 | 0.075 |
| Yemen | 0.010 | 0.013 | 0.013 |
| Zambia | 0.007 | 0.009 | 0.009 |
| Zimbabwe | 0.004 | 0.005 | 0.005 |
| **Total** | **102.509** | **100.000** | **100.000** |

*a* For presentation purposes, all figures of the United Nations revised scale of assessments and of the UNFCCC revised indicative scale of contributions are given to three decimal places.

*9th plenary meeting*

*17 November 2016*

Resolution 1/CMP.12

Expression of gratitude to the Government of the Kingdom of Morocco and the people of Marrakech

Draft resolution submitted by Fiji

*The Conference of the Parties, the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol and the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement*,

*Having met* in Marrakech from 7 to 18 November 2016 at the invitation of the Government of the Kingdom of Morocco,

1. *Express* *their profound gratitude* to the Government of the Kingdom of Morocco for having made it possible for the twenty-second session of the Conference of the Parties, the twelfth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol and the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement to be held in Marrakech;

2. *Request* the Government of the Kingdom of Morocco to convey to the city and people of Marrakech the gratitude of the Conference of the Parties, the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol and the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement for the hospitality and warmth extended to the participants.

*10th plenary meeting*

*18 November 2016*

1. Parties should submit their views via the submissions portal at <http://www.unfccc.int/5900>.  
   Observer organizations should e-mail their submissions to <secretariat@unfccc.int>. [↑](#footnote-ref-1)
2. FCCC/CP/2012/3 and FCCC/CP/2013/7. [↑](#footnote-ref-2)
3. Available at <https://www.adaptation-fund.org/wp-content/uploads/2015/09/AFB.EFC\_.17.3-Evaluation-of-the-Fund-stage-I.pdf>. [↑](#footnote-ref-3)
4. FCCC/KP/CMP/2016/2. [↑](#footnote-ref-4)
5. Available at <https://www.adaptation-fund.org/document/independent-evaluation-of-the-adaptation-fund-first-phase-evaluation-report/>. [↑](#footnote-ref-5)
6. Decision 1/CMP.8. [↑](#footnote-ref-6)
7. FCCC/KP/CMP/2016/4. [↑](#footnote-ref-7)
8. See document FCCC/KP/CMP/2016/4 and <http://cdm.unfccc.int/>. [↑](#footnote-ref-8)
9. To date, 62 loan agreements have been entered into. [↑](#footnote-ref-9)
10. Decision 1/CMP.8. [↑](#footnote-ref-10)
11. Decision 9/CMP.1, annex, paragraph 23. [↑](#footnote-ref-11)
12. The verification procedure under the Joint Implementation Supervisory Committee, defined in decision 9/CMP.1, annex, paragraphs 30–45. [↑](#footnote-ref-12)
13. FCCC/KP/CMP/2016/5. [↑](#footnote-ref-13)
14. As requested through decision 7/CMP.11, paragraphs 6–8. [↑](#footnote-ref-14)
15. FCCC/KP/CMP/2016/5, annex I. [↑](#footnote-ref-15)
16. As reflected in Joint Implementation Supervisory Committee document JI-JISC39-AA-A02, paragraphs 16–20, available at <http://ji.unfccc.int/MeetingInfo/DB/C0BRXFOZM7K843E/view>. [↑](#footnote-ref-16)
17. As per decision 11/CMP.8, paragraph 4. [↑](#footnote-ref-17)
18. Parties should submit their views via the submission portal at <http://www.unfccc.int/5900>. Observers and other stakeholders should e-mail their submissions to <secretariat@unfccc.int>. [↑](#footnote-ref-18)
19. As footnote 2 above [↑](#footnote-ref-19)
20. Decision 15/CP.1, annex I. [↑](#footnote-ref-20)
21. Draft decision proposed for adoption under agenda item 17(a–c) of the Subsidiary Body for Implementation. [↑](#footnote-ref-21)
22. FCCC/SBI/2016/13, FCCC/SBI/2016/INF.12 and Add.1, FCCC/SBI/2016/INF.14, FCCC/SBI/2016/INF.15 and FCCC/SBI/2016/INF.19. [↑](#footnote-ref-22)
23. FCCC/SBI/2016/13. [↑](#footnote-ref-23)
24. FCCC/SBI/2016/INF.19. [↑](#footnote-ref-24)
25. FCCC/SBI/2016/INF.15. [↑](#footnote-ref-25)
26. FCCC/SBI/2016/INF.12 and Add.1. [↑](#footnote-ref-26)
27. As footnote 2 above. [↑](#footnote-ref-27)