

CS420

Introduction to the Theory of Computation

Lecture 1: Introduction

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About the course

- **Instructor:** Tiago (蒂亚戈) Cogumbreiro
- **Classes:** M01-0207, McCormack
4:00pm to 5:15pm, Monday, Wednesday, Friday
- **Office hours:** 1:00pm to 2:00pm, Monday, Wednesday, Friday

Course webpage

cogumbreiro.github.io/teaching/cs420/s20/

Syllabus

cogumbreiro.github.io/teaching/cs420/s20/syllabus.pdf

- Course divided into 4 modules
- 2 homework assignment + 1 mini-test per module (30mins)
- Final grade: 26% mini-tests + 70% homework + 4% participation
- **Homework grade:** average of **8 assignments** (possibly weighted)
- **Participation grade:** in-class quizzes, attendance classroom
- **Classroom attendance is required!**

Grade		Letter	
95 ≤	P		A
90 ≤	P	< 95	A-
85 ≤	P	< 90	B
75 ≤	P	< 85	B
70 ≤	P	< 75	B-
65 ≤	P	< 70	C+
55 ≤	P	< 65	C
50 ≤	P	< 55	C-
45 ≤	P	< 50	D+
35 ≤	P	< 45	D
30 ≤	P	< 35	D-
30 ≤	P		F

Course requirements

Checklist

- Install Coq 8.10: coq.inria.fr
- Register on Gradescope: www.gradescope.com/courses/81793
- Register on Piazza: piazza.com/class/k5ubsxch57r196

Heads up

- Please, **register using your UMB email address.**
- **Homework 1** is due February 10 at 11:59pm.

Course overview

Introduction to Theory of Computation

Formal Languages

■ Understanding the limits of what computers and programs

- Regular languages
- Context-Free languages
- Turing-recognizable languages

A birdseye view of CS420

What are the limits of programs?

Limits of computation

- Different classes of machines
- The limits of each of these classes
- What properties each class enjoys

Limits of computation

- Different classes of machines
- The limits of each of these classes
- What properties each class enjoys

Classes of machines

<i>Class of machine</i>	<i>Applications</i>
Finite Automata	Parse regular expressions
Pushdown Automata	Parse structured data (programs)
Turing Machines	Any program

Techniques

- **State-machines**

Structure concurrency/parallelism/User Interfaces; UML diagrams

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String matching rules

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- **Grammars**

Data specification; Parsing data

Techniques

- **State-machines**
Structure concurrency/parallelism/User Interfaces; UML diagrams
- **Regular expressions** (regex)
String matching rules
- **Grammars**
Data specification; Parsing data
- **Turing machines**
Theory of computation

Techniques

- **State-machines**
Structure concurrency/parallelism/User Interfaces; UML diagrams
- **Regular expressions** (regex)
String matching rules
- **Grammars**
Data specification; Parsing data
- **Turing machines**
Theory of computation
- **Programs are proofs**
Using a programming language to write formal proofs

Some applications of formal languages

Use Case 1: DFA/NFA

Using a DFA/NFA to structure hardware usage

Use Case 1: DFA/NFA

Using a DFA/NFA to structure hardware usage

- Arduino is an open-source hardware to design **microcontrollers**
- Programming can be difficult, because it is highly concurrent
- Finite-state-machines structures the logical states of the hardware
- **Input:** a string of hardware events
- String acceptance is not interesting in this domain

Example

■ The FSM represents the logical view of a micro-controller with a light switch

Use Case 1

Declare states

```
#include "Fsm.h"
// Connect functions to a state
State state_light_on(on_light_on_enter, NULL, &on_light_on_exit);
// Connect functions to a state
State state_light_off(on_light_off_enter, NULL, &on_light_off_exit);
// Initial state
Fsm fsm(&state_light_off);
```

Source: platformio.org/lib/show/664/arduino-fsm

Use Case 1

Declare transitions

```
// standard arduino functions
void setup() {
  Serial.begin(9600);

  fsm.add_transition(&state_light_on, &state_light_off,
                    FLIP_LIGHT_SWITCH,
                    &on_trans_light_on_light_off);
  fsm.add_transition(&state_light_off, &state_light_on,
                    FLIP_LIGHT_SWITCH,
                    &on_trans_light_off_light_on);
}
```

Source: platformio.org/lib/show/664/arduino-fsm

Use Case 1

Code that runs on before/after states

```
// Transition callback functions
void on_light_on_enter() {
    Serial.println("Entering LIGHT_ON");
}

void on_light_on_exit() {
    Serial.println("Exiting LIGHT_ON");
}

void on_light_off_enter() {
    Serial.println("Entering LIGHT_OFF");
}
// ...
```

Source: platformio.org/lib/show/664/arduino-fsm

Use Case 2

Regular Expressions: Input validation

Use Case 2

Regular Expressions: Input validation

HTML includes regular expressions to perform client-side form validation.

```
<input id="uname" name="uname" type="text"  
       pattern="_([a-z]|[A-Z]|[0-9])+" minlength="4" maxlength="10">
```

- `_[a-zA-Z0-9]+`
- `[a-zA-Z0-9]` means any character between a and z, or between A and Z, or between 0 and 9
- `R+` means repeat R one or more times
- In this case, the username must start with an underscore `_`, and have one or more letters/numbers
- `minlength` and `maxlength` further restrict the string's length

Use Case 3

Regular Expressions: Text manipulation

Use Case 3

Regular Expressions: Text manipulation

Programming languages include regular expressions for fast and powerful text manipulation.

Example (JS)

```
let txt1 = "Hello World!";  
let txt2 = txt1.replace(/[a-zA-Z]+/, "Bye"); // Replaces the first word by "Bye"  
console.log(txt2);  
// Bye World!
```

Use Case 4

Parsing JSON

Grammar for JSON

■ ANTLR is a **parser generator**.

- **Input:** a *grammar*; **Output:** a parser, and data-structures that represent the parse tree (known as a Concrete Syntax Tree)
- The HTML DOM is an example of an **Abstract** Syntax Tree

```
json: value; // initial rule  
  
obj: '{' pair (',' pair)* '}' | '{' '}' ; // a sequence of comma-separated pairs  
  
pair: STRING ':' value; // Example: "foo": 1  
  
array: '[' value (',' value)* ']' | '[' ']' ; // a sequence of comma-separated values  
  
value: STRING | NUMBER | obj | array | 'true' | 'false' | 'null';  
// ...
```

Source: raw.githubusercontent.com/antlr/grammars-v4/master/json/JSON.g4

A grammar for JSON integers

```
NUMBER: '-'? INT ('.' [0-9] +)? EXP?;
```

```
fragment INT: '0' | [1-9] [0-9]*; // fragment means do not generate code for this rule
```

```
fragment EXP : [Ee] [+|-]? INT; // fragment means do not generate code for this rule
```

Source: raw.githubusercontent.com/antlr/grammars-v4/master/json/JSON.g4

A grammar for JSON

```
> ls *.java
JSONBaseListener.java JSONParser.java JSONVisitor.java
JSONBaseVisitor.java JSONLexer.java JSONListener.java
> cat JSONBaseListener.java
// Generated from ../JSON.g4 by ANTLR 4.7.2
import org.antlr.v4.runtime.tree.ParseTreeListener;

/**
 * This interface defines a complete listener for a parse tree produced by
 * {@link JSONParser}.
 */
public interface JSONListener extends ParseTreeListener {
    /**
     * Enter a parse tree produced by {@link JSONParser#json}.
     * @param ctx the parse tree
     */
    void enterJson(JSONParser.JsonContext ctx);
    /**
     * Exit a parse tree produced by {@link JSONParser#json}.
     * @param ctx the parse tree
     */
    void exitJson(JSONParser.JsonContext ctx);
}
```

CS420

- Study **algorithms** and **abstractions**
- Theoretical study of the **boundaries of computing**

Course schedule

1. Learn Coq programming
2. Regular languages
 - Design state machines
 - Prove properties on regular languages
3. Context-free languages
 - Design pushdown automata
 - Prove properties on regular languages
4. Turing-machines
 - Prove properties on computable and non-computable languages

On studying effectively for this content

Suggestions

- **Read the chapter before the class:**

This way we can direct the class to specific details of a chapter, rather than a more topical end-to-end description of the chapter.

- **Attempt to write the exercises before the class:**

We can guide a class to cover certain details of a difficult exercise.

- **Use the office hours and our online forum:** Coq is a unusual programming language, so you will get stuck simply because you are not familiar with the IDE or a quirk of the language

Module 1

Basics.v: Part 1

A primer on the programming language Coq

We will learn the core principles behind Coq

Enumerated type

A data type where the user specifies the various distinct values that inhabit the type.

Examples?

Enumerated type

A data type where the user specifies the various distinct values that inhabit the type.

Examples?

- boolean
- 4 suits of cards
- byte
- int32
- int64

Declare an enumerated type

```
Inductive day : Type :=  
| monday : day  
| tuesday : day  
| wednesday : day  
| thursday : day  
| friday : day  
| saturday : day  
| sunday : day.
```

- Inductive defines an (enumerated) type by cases.
- The type is named day and declared as a : Type (Line 1).
- Enumerated types are delimited by the assignment operator (:=) and a dot (.).
- Type day consists of 7 cases, each of which is tagged with the type (day).

Printing to the standard output

Compute prints the result of an expression (terminated with dot):

```
Compute monday.
```

prints

```
= tuesday  
: day
```

Interacting with the outside world

- Programming in Coq is different most popular programming paradigms
- Programming is an **interactive** development process
- The IDE is very helpful: workflow similar to using a debugger
- It's a REPL on steroids!
- Compute evaluates an expression, similar to printf

Inspecting an enumerated type

```
match d with
| monday ⇒ tuesday
| tuesday ⇒ wednesday
| wednesday ⇒ thursday
| thursday ⇒ friday
| friday ⇒ monday
| saturday ⇒ monday
| sunday ⇒ monday
end
```


Inspecting an enumerated type

```
match d with
| monday ⇒ tuesday
| tuesday ⇒ wednesday
| wednesday ⇒ thursday
| thursday ⇒ friday
| friday ⇒ monday
| saturday ⇒ monday
| sunday ⇒ monday
end
```

- match performs **pattern matching** on variable d.
- Each pattern-match is called a **branch**; the branches are delimited by keywords with and end.
- Each **branch** is prefixed by a mid-bar (|) (⇒), a pattern (eg, monday), an arrow (⇒), and a return value

Pattern matching example

Compute match monday with

```
| monday ⇒ tuesday  
| tuesday ⇒ wednesday  
| wednesday ⇒ thursday  
| thursday ⇒ friday  
| friday ⇒ monday  
| saturday ⇒ monday  
| sunday ⇒ monday
```

end.

Create a function

```
Definition next_weekday (d:day) : day :=  
  match d with  
  | monday  ⇒ tuesday  
  | tuesday ⇒ wednesday  
  | wednesday ⇒ thursday  
  | thursday ⇒ friday  
  | friday   ⇒ monday  
  | saturday ⇒ monday  
  | sunday   ⇒ monday  
end.
```

Create a function

```
Definition next_weekday (d:day) : day :=  
  match d with  
  | monday  ⇒ tuesday  
  | tuesday ⇒ wednesday  
  | wednesday ⇒ thursday  
  | thursday ⇒ friday  
  | friday   ⇒ monday  
  | saturday ⇒ monday  
  | sunday   ⇒ monday  
end.
```

- Definition is used to declare a function.
- In this case next_weekday has one parameter d of type day and returns (:) a value of type day.
- Between the assignment operator (:=) and the dot (.), we have the body of the function.

Example 2

```
Compute (next_weekday friday).
```

yields (Message pane)

```
= monday  
: day
```

next_weekday friday is the same as monday (after evaluation)

Your first proof

Example `test_next_weekday:`

`next_weekday (next_weekday saturday) = tuesday.`

Proof.

`simpl.` *(* simplify left-hand side *)*

`reflexivity.` *(* use reflexivity since we have tuesday = tuesday *)*

Qed.

Your first proof

Example test_next_weekday:

```
next_weekday (next_weekday saturday) = tuesday.
```

Proof.

```
  simpl.      (* simplify left-hand side *)
```

```
  reflexivity. (* use reflexivity since we have tuesday = tuesday *)
```

Qed.

- Example prefixes the name of the proposition we want to prove.
- The return type (:) is a (logical) **proposition** stating that two values are equal (after evaluation).
- The body of function test_next_weekday uses the Ltac proof language.
- The dot (.) after the type puts us in proof mode. (Read as "defined below".)
- This is essentially a unit test.

Ltac: Coq's proof language

Ltac is **imperative**! You can step through the state with CoqIDE

Proof begins an ltac-scope, yielding

```
1 subgoal
```

```
-----(1/1)
```

```
next_weekday (next_weekday saturday) = tuesday
```

Tactic `simpl` evaluates expressions in a goal (normalizes them)

Ltac: Coq's proof language

1 subgoal

-----(1/1)

tuesday = tuesday

- reflexivity solves a goal with a pattern $?X = ?X$

No more subgoals.

- Qed ends an ltac-scope and ensures nothing is left to prove

Function types

Use `Check` to print the type of an expression:

```
Check next_weekday.
```

which outputs

```
next_weekday  
  : day → day
```

Function type `day → day` takes one value of type `day` and returns a value of type `day`.

Compound types

Enumerated types are very simple. You can think of them as a typed collection of constants. We call each enumerated value a **constructor**.

```
Inductive rgb : Type :=  
  | red : rgb  
  | green : rgb  
  | blue : rgb.
```

Compound types

Enumerated types are very simple. You can think of them as a typed collection of constants. We call each enumerated value a **constructor**.

```
Inductive rgb : Type :=  
  | red : rgb  
  | green : rgb  
  | blue : rgb.
```

A **compound type** builds on other existing types. Their constructors accept *multiple parameters*, like functions do.

```
Inductive color : Type :=  
  | black : color  
  | white : color  
  | primary : rgb → color.
```

Manipulating compound values

```
Definition monochrome (c : color) : bool :=  
  match c with  
  | black => true  
  | white => true  
  | primary p => false  
end.
```

Manipulating compound values

```
Definition monochrome (c : color) : bool :=  
  match c with  
  | black => true  
  | white => true  
  | primary p => false  
end.
```

We can use the place-holder keyword `_` to mean a variable we do not mean to use.

```
Definition monochrome (c : color) : bool :=  
  match c with  
  | black => true  
  | white => true  
  | primary _ => false  
end.
```

Compound types

Allows you to: type-tag, fixed-number of values

Inductive types

How do we describe arbitrarily large/composed values?

Inductive types

How do we describe arbitrarily large/composed values?

Here's the definition of natural numbers, as found in the standard library:

```
Inductive nat : Type :=  
  | 0 : nat  
  | S : nat → nat.
```

- 0 is a constructor of type nat.
Think of the numeral 0.
- If n is an expression of type nat, then $S\ n$ is also an expression of type nat.
Think of expression $n + 1$.

What's the difference between nat and uint32?

Recursive functions

Recursive functions are declared differently with Fixpoint, rather than Definition.

```
Fixpoint evenb (n:nat) : bool :=  
  match n with  
  | 0 => true  
  | S 0 => false  
  | S (S n') => evenb n'  
end.
```

Using Definition instead of Fixpoint will throw the following error:

The reference evenb was not found in the current environment.

Not all recursive functions can be described. Coq has to understand that one value is getting "smaller."

All functions must be total: all inputs must produce one output. ***All functions must terminate.***