### CS420

Introduction to the Theory of Computation

Lecture 23: Undecidable problems

Tiago Cogumbreiro

### Today we will learn...



#### Decidability of

- The Halting Problem
- Emptiness for TM
- Regularity
- Equality
- Section 5.1

## Recap



#### Decidable languages:

•  $A_{DFA}$ ,  $A_{REX}$ ,  $A_{NFA}$ ,  $A_{CFG}$ 

ullet  $E_{DFA}$ ,  $E_{CFG}$ 

•  $EQ_{DFA}$ 

 $A_{DFA} = \{\langle D, w 
angle \mid D ext{ accepts } w\}$ 

$$E_{DFA} = \{\langle D 
angle \mid L(D) = \emptyset \}$$

$$EQ_{DFA} = \{\langle N_1, N_2 
angle \mid L(N_1) = L(N_2) \}$$



Prove or falsify the following statement:  $EQ_{REX}$  is undecidable.



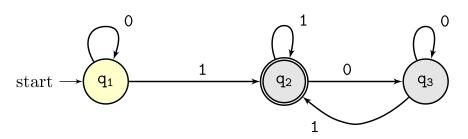
Prove or falsify the following statement:  $EQ_{REX}$  is undecidable.

**Proof.** False.  $EQ_{REX}$  is decidable, as given by the following pseudo code, where EQ\_DFA is the decider of  $EQ_{DFA}$  and REX\_TO\_DFA is the conversion from a regular expression into a DFA.

```
def EQ_REX(R1, R2):
    return EQ_DFA(REX_TO_DFA(R1), REX_TO_DFA(R2))
```



#### Let D be the DFA below



def A\_DFA(D, w): return D accept w
def E\_DFA(D): return L(D) == {}
def EQ\_DFA(D1, D2): return L(D1) == L(D2)

- ullet Exercise 2.1: Is  $\langle D,0100
  angle \in A_{DFA}$ ?
- Exercise 2.2: Is  $\langle D, 101 
  angle \in A_{DFA}$ ?
- Exercise 2.3: Is  $\langle D \rangle \in A_{DFA}$ ?

- Exercise 2.4: Is  $\langle D, 101 \rangle \in A_{REX}$ ?
- Exercise 2.5: Is  $\langle D \rangle \in E_{DFA}$ ?
- Exercise 2.6: Is  $\langle D,D 
  angle \in EQ_{DFA}$ ?
- Exercise 2.7: Is  $101 \in A_{REX}$ ?



Recall that DFAs are closed under  $\cap$ . Prove the following statement.

If A is regular, then  $X_A$  decidable.

$$X_A = \{\langle D \rangle \mid D \text{ is a DFA} \land L(D) \cap A \neq \emptyset\}$$



Recall that DFAs are closed under  $\cap$ . Prove the following statement.

If A is regular, then  $X_A$  decidable.

$$X_A = \{\langle D \rangle \mid D \text{ is a DFA} \land L(D) \cap A \neq \emptyset\}$$

**Proof.** If A is regular, then let C be the DFA that recognizes A. Let intersect be the implementation of  $\cap$  and E\_DFA the decider of  $E_{DFA}$ . The following is the decider of  $X_A$ .

```
def X_A(D):
   return not E_DFA(intersect(C, D))
```

# Theorem 4.22

L decidable iff L recognizable and L co-recognizable

### Theorem 4.22



#### L decidable iff L recognizable and L co-recognizable

**Proof.** We can divide the above theorem in the following three results.

- 1. If L decidable, then L is recognizable. (**Proved.**)
- 2. If L decidable, then L is co-recognizable. (**Proved.**)
- 3. If L recognizable and L co-recognizable, then L decidable.

### Part 3. If $oldsymbol{L}$ recognizable and $oldsymbol{L}$ recognizable, then $oldsymbol{L}$ decidable.



We need to extend our mini-language of TMs

```
plet b \leftarrow P1 \\ P2 in P3
Runs P1 and P2 in parallel.
```

- If P1 and P2 loop, the whole computation loops
- If P1 halts and P2 halts, pass the success of both to P3
- If P1 halts and P2 loops, pass the success of P1 to P3
- If P1 loops and P2 halts, pass the success of P2 to p3

```
Inductive par_result :=
 pleft: bool → par_result
 pright: bool → par_result
 pboth: bool → bool → par_result.
```



#### Proof.

- 1. Let  $M_1$  recognize L from assumption L recognizable
- 2. Let  $M_2$  recognize  $\overline{L}$  from assumption  $\overline{L}$  recognizable
- 3. Build the following machine

```
Definition par_run M1 M2 w :=
    plet b ← Call M1 w \\ Call M2 w in
    match b with
    | pleft true ⇒ ACCEPT
    | pboth true _ ⇒ ACCEPT
    | pright false ⇒ ACCEPT
    | _ ⇒ REJECT
    end.
(* M1 and M2 are parameters of the machine *)

(* Call M1 with w and M2 with w in parallel *)

(* If M1 accepts w, accept *)
    (* If M2 rejects w, accept *)
    (* Otherwise, reject *)
```

4. Show that par\_run M1 M2 recognizes L and is a decider.



Point 4: Show that par\_run M1 M2 recognizes  $m{L}$  and is a decider.

- ullet 1. Show that par\_run M1 M2 recognizes L: par\_run M1 M2 accepts w iff L(w)
- ullet 1.1. par\_run M1 M2 accepts w, then  $w\in L$
- ullet 1.2.  $w\in L$ , then <code>par\_run M1 M2</code> accepts w case analysis on run M2 with w

```
Definition par_run M1 M2 w :=
  plet b ← Call M1 w \\ Call M2 w in
  match b with
  | pleft true
  | pright false
  | pboth true _ ⇒ ACCEPT
  | _ ⇒ REJECT
  end.
```

- M1 recognizes L
- ullet M2 recognizes  $\overline{L}$
- Lemma par\_mach\_lang



Point 4: Show that par\_run M1 M2 recognizes  $m{L}$  and is a decider.

- 1. Show that par\_run M1 M2 recognizes L: par\_run M1 M2 accepts w iff L(w)
  - 1. If par\_run M1 M2 accepts w, then  $w \in L$  by case analysis on Call M1 w  $\setminus \setminus$  Call M2 w:
    - ullet M1 halts and M2 loops. M1 must accept, thus  $w\in L$
    - M2 halts and M1 loops. M2 must reject, but both cannot reject (contradiction).
    - M1 and M2 halt. M1 must accept, thus \$w \n L\$.
  - 2.  $w \in L$ , then par\_run M1 M2 accepts w. M1 accepts w. Case analysis call M2 with w.
    - M2 accept w: both cannot accept, contradiction.
    - M2 reject w: par-call yields pboth true false, returns Accept.
    - M2 loops w: par-call yields bleft true, returns Accept

(1) understand execution of a program by observing its output; (2) understand execution by observing its input



Point 4: Show that par\_run M1 M2 recognizes  $m{L}$  and is a decider.

2. Show that par\_run M1 M2 decides L (Walk through the proof of recognizable\_co\_recognizable\_to\_decidable...)

# Homework 7 tutorial

# Basic definitions

### Run, recognizes



#### Running a Turing Machine

Use run to let a Turing m execute input i. Returns a result.

```
Inductive result := Accept | Reject | Loop.
```

### Run, recognizes



#### Running a Turing Machine

Use run to let a Turing m execute input i. Returns a result.

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```

#### Recognizes

A Turing machine m recognizes a language L if m accepts the same inputs as those in language L.

```
Definition Recognizes m L := forall i, run m i = Accept ←→ L i.
```

• Use constructor recognizes\_def to build Recognizes m L

### Recognizable



#### Definition 3.5: Recognizable

Call a language Turing-recognizable if some Turing machine recognizes it.

```
Definition Recognizable L := exists m, Recognizes m L.
```

• Use constructor recognizable\_def to build Recognizable L

### Decides



A Turing machine m decides a language L if:

- 1. m recognizes L
- 2. m is a decider

```
Definition Decides m L := Recognizes m L /\ Decider m.
```

• Use decides\_def to build Decides m L

### Decider



A Turing machine that never loops for all possible inputs.

```
Definition Decider m := forall i, run d i <> Loop.
```

• Use decider\_def to build Decider m

### Decidable



#### Definition 3.6

Call a language Turing-decidable or simply decidable if some Turing machine decides it.

```
Definition Decidable L := exists m, Decides m L.
```

Use decidable\_def to build Decidable L

# Summary



Term	Usage	Coq	Constructor
Run	run a TM with a given input i	run m i	N/A
Recognizes	a TM recognizes a language	Recognizes m L	recognizes_def
Recognizable	a language is recognizable	Recognizable L	recognizable_def
Decides	a TM decides a language	Decides m L	decides_def
Decider	a TM is a <mark>decide</mark> r	Decider m	decider_def
Decidable	a language is decidable	Decidable L	decidable_def

# Prog

A DSL for composing Turing Machines

# Specifying TMs with Prog



- Prog is a **domain-specific** language (DSL) that allow us to compose Turing machines
- Prog gives an unique opportunity for CS420 students to study complex Theoretical Computer Science problems in a (hopefully) intuitive framework
- All theorems studied in this course are fully proved; students can see all details at their own time, interactively
- The proofs follow the structure of the book as close as possible

#### Did you know?

- gitlab.com/cogumbreiro/turing is a research project that stemmed from trying to teach CS420 in a more compelling way (project-based, + interactive, + student-autonomous)
- This semester we are pushing the state-of-the-art of teaching Theoretical Computer Science
- Your input matters!

### Turing programs Prog



```
Inductive Prog :=
   Seq : Prog → (bool → Prog) → Prog
   | Call : machine → input → Prog
   | Ret : result → Prog.
```

- Seq combines two programs
- Call runs a Turing machine on a given input
- Ret loops/rejects/accepts (pick one) for all inputs

# Turing programs Prog



#### **Notations**

We use 3 notations to write shorter programs:

```
mlet x ← p1 in p2 := Seq p1 (fun x ⇒ p2)

ACCEPT := Ret Accept

REJECT := Ret Reject

LOOP := Ret Loop
```

### P-run (part 1)



1. Rule run\_ret: the result of returning r (with Ret r) is r

$$\overline{ ext{Run} ( ext{Ret } r) \ r}$$

2. The result of calling a TM m is given by calling run m i.

$$rac{ ext{run}(m,i) = r}{ ext{Run}( ext{Call } m \ i) \ r}$$

## P-run (part 2)



3. If we run program p and get a result  $r_1$  and p terminates with b and we run (p b) and get a result  $r_2$ , then sequencing p with q returns result  $r_2$ 

$$rac{ ext{Run } p \; r_1 \qquad ext{Dec } r_1 \; b \qquad ext{Run } (q \; b) \; r_2}{ ext{Run } ( ext{Seq } p \; q) \; r_2}$$

4. If program p loops, then running p followed by q also loops:

$$\frac{\text{Run } p \text{ Loop}}{\text{Run } (\text{Seq } p \text{ } q) \text{ Loop}}$$

### P-run in Coq



```
Inductive Run: Prog → result → Prop :=
run_ret:
 forall r,
 Run (Ret r) r
 run_call:
 Run (Call m i) (run m i)
 run_seq_cont:
 forall p q b r1 r2,
 Run p r1 \rightarrow
 Dec r1 b \rightarrow
 Run (q b) r2 \rightarrow
 Run (Seq p q) r2
 run_seq_loop:
 forall p q,
 Run p Loop →
 Run (Seq p q) Loop
```

### Why do we need P-run?



- Because Prog is inductively defined, we can reason about all possible ways in which we can declare a program (induction proofs)
- Because Run is inductively defined, we can also reason about all possible ways in which we can **run** a program
- Prog is already being informally used in the book, we are just making the meta-theory more formal!
- Proofs are easier (homework assignments have less technicalities/distractions)

### P-Recognizes



Program **p** P-recognizes a language L if **p** accepts the same inputs as those in language L.

```
Definition PRecognizes p L := forall i, Run (p i) Accept ↔ L i
```

Use p\_recognizes\_def to build PRecognizes p L

### P-Recognizable



- Call a language P-recognizable if some Progrecognizes it.
  - There is no definition PRecognizable! We use Recognizable still.
  - Use p\_recognizable\_def to build Recognizable L with a program!

### P-Decides



A program p P-decides a language L if:

- 1. p P-recognizes L
- 2. p is a P-decider

```
Definition PDecides p L := PRecognizes p L /\ PDecider p.
```

• Use p\_decides\_def to build PDecides p L

### P-Decider



A program that never loops for all possible inputs.

```
Definition PDecider p := forall i, PHalts (p i).
```

• Use p\_decider\_def to build PDecider p

### P-Halts

```
Definition PHalts p := exists r : result, Run p r <math>/ \ r <> Loop
```

• Use p\_halts\_def to build PHalts p.

#### P-Decidable



- Call a program P-decidable or simply decidable if some program decides it.
  - There is no definition PDecidable! We use Decidable still.
  - Use p\_decidable\_def to build Decidable L

# Summary



Term	Usage	Coq	Constructor
P-Run	run a program with a given input i and result r	Runpir	Print Run.
P-Recognizes	a program recognizes a language	PRecognizes p L	p_recognizes_def
P- Recognizable	a language is recognizable	Recognizable L	p_recognizable_def
P-Decides	a program <mark>decides</mark> a language	PDecides p L	p_decides_def
P-Decider	a program is a <mark>decide</mark> r	PDecider p	p_decider_def
P-Decidable	a language is <mark>decidable</mark>	Decidable L	p_decidable_def