### CS420

#### Introduction to the Theory of Computation

Lecture 1: Introduction; finite automata

Tiago Cogumbreiro

#### About the course



- Tiago (蒂亚戈) Cogumbreiro
- Tuesday & Thursday
   5:30pm to 6:45pm at W-02-0158, Wheatley
- Tuesday & Thursday
   4:00pm to 5:30pm at S-3-183, Science Center

# A birdseye view of CS420

What are the limits of computers?

### Limits of computing

UMASS BOSTON

- Different classes of machines
- The limits of each of these classes
- What the limits of a class entail





- Different classes of machines
- The limits of each of these classes
- What the limits of a class entail

#### Classes of machines

Finite Automata	Parse regular expressions
Pushdown Automata	Parse structured data (programs)
Turing Machines	Any program



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- We need to parse some data; do we need a regex or a grammar?
- Can we know if a program terminates without running it?
- Are two machines/programs equal?
- Can a given algorithm give an answer for all inputs?



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Structure concurrency/parallelism/User Interfaces; UML diagrams



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- (regex)
   String matching rules



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- Theory of computation



- Structure concurrency/parallelism/User Interfaces; UML diagrams
- (regex)
   String matching rules
- Data specification; Parsing data
- Theory of computation
- Formal proofs.

### CS420



- Study and
- Theoretical study of the

# Finite state automata

### Today we will learn...



- Finite automata theory
- State diagram
- Implementation of a finite automaton
- Formal definition of a finite automaton
- Language of a finite automaton

Section 1.1

### Decision problem



- We will study : yes/no answer
- The set of inputs the problems answers yes are called the

## Finite Automata

a.k.a. finite state machine

#### A turnstile controller

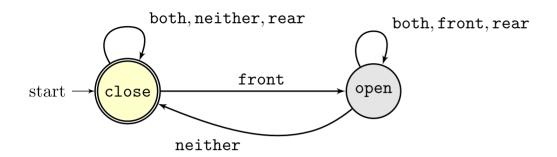


Allows one-directional passage. Opens when the front sensor is triggered. It should remain open while any sensor is triggered, and then close once neither is triggered.

- open, close
- front, rear, both, neither

### State Diagram





Each state must have exactly one transition per element of the alphabet (all states must have same transition count)

. State

is an

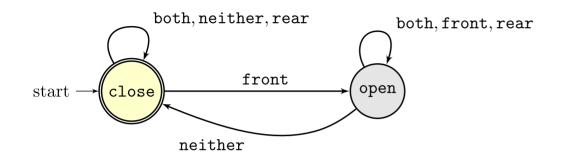
#### Definition

- Graph-based diagram
- called states; annotated with a name (Distinct names!)
- called transitions; annotated with inputs
- Initial state has an incoming edge (only one)
- Accepted nodes have a double circle (zero or more)
- Multiple inputs are comma separated

state. State is also the state

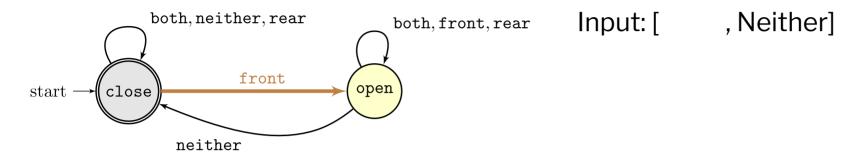
In the example: Two states:



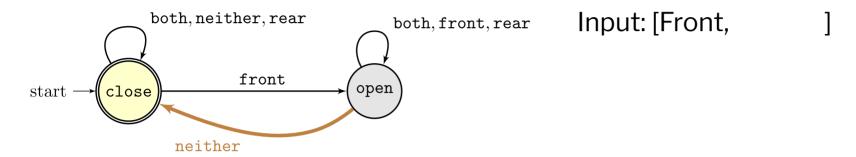


Input: [Front, Neither]

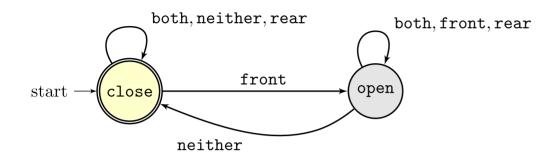






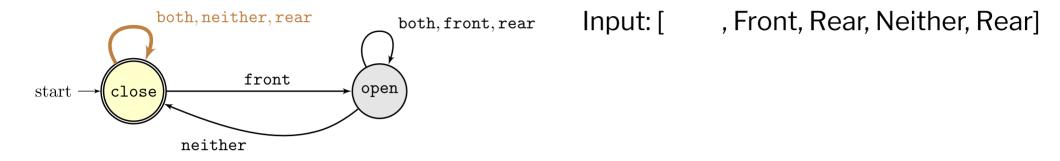




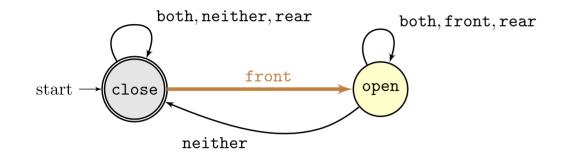


Input: [Rear, Front, Rear, Neither, Rear]





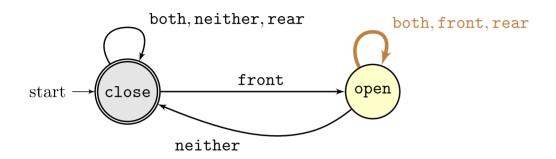




Input: [Rear,

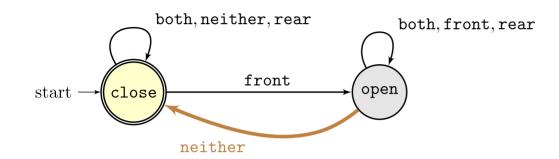
, Rear, Neither, Rear]





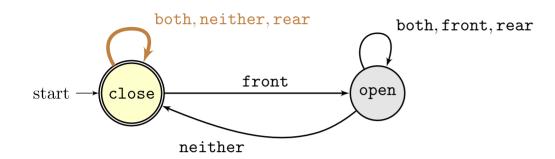
Input: [Rear, Front, , Neither, Rear]





Input: [Rear, Front, Rear, , Rear]





Input: [Rear, Front, Rear, Neither,

### The controller of a turnstile



#### State transition

```
close close close open open
```

#### An automaton



An automaton receives a sequence of inputs, processes them, and outputs whether it accepts the sequence.

- a string of inputs, and an initial state
- or

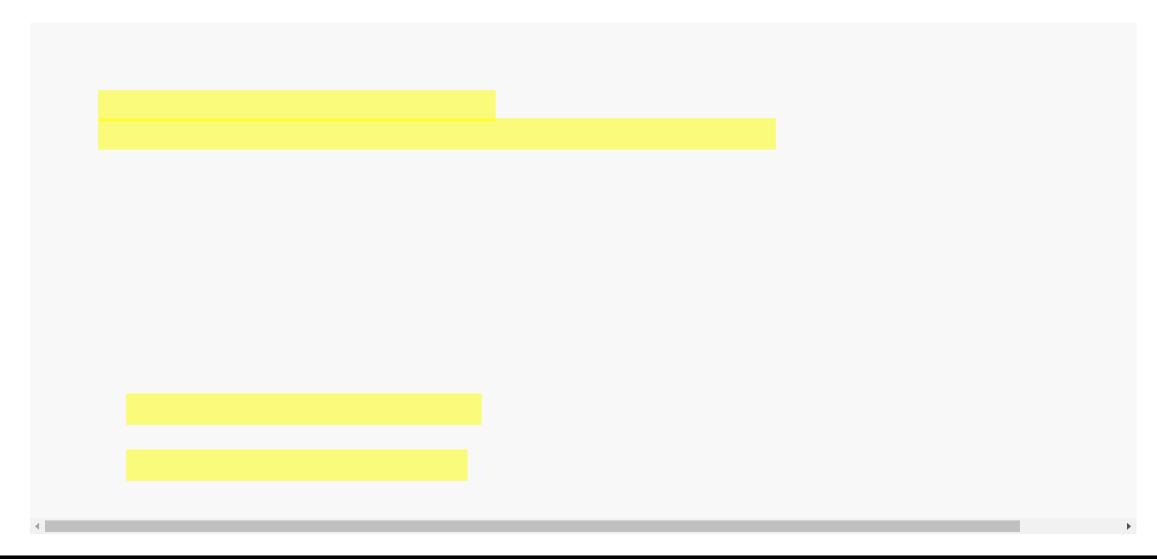
#### Implementation example

### An automaton acceptance examples



## Creating an Automaton library





### Finite automaton library example





# Strings

## Alphabet



Let  $\Sigma$  represent a set of some elements.

#### Examples

- bits:  $\Sigma = \{0,1\}$
- ullet vowels:  $\Sigma=\{\mathtt{a},\mathtt{e},\mathtt{i},\mathtt{o},\mathtt{u}\}$  or, perhaps  $\Sigma=\{\mathtt{a},\mathtt{e},\mathtt{i},\mathtt{o},\mathtt{u},\mathtt{y}\}$

## String



A string (also known as a word) over an alphabet  $\Sigma$  is a finite and possibly empty sequence of elements of  $\Sigma$ .

#### Examples

- [], [0,0], [0,1,0,0] are strings of  $\Sigma=\{0,1\}$
- [a, a, e], [a, e, i], [u, a, i, e, e, e, e] are all strings of  $\Sigma = \{a, e, i, o, u\}$

## String type



We use  $\Sigma^*$  to denote the type of a string, whose elements are strings over alphabet  $\Sigma$ .

#### Examples

Let 
$$\Sigma = \{0, 1\}$$
.

- ullet  $[] \in \Sigma^{\star}$
- $[0,0]\in \Sigma^{\star}$
- $[0,1,0,0] \in \Sigma^{\star}$

#### Notes

- The string type is a parametric type. The type of strings is parametric on the type of the alphabet, much like a list is parametric on the type of its contents. Unlike programmers, mathematicians favour short notations over more verbose names, so  $\Sigma^*$  is preferred over  $\operatorname{String}\langle\Sigma\rangle$ .
- In this course we use the word type and set as synonyms.

#### Formally define a string



$$w ::= [] \mid c :: w$$

We use the following notation to represent a string

$$[c_1, c_2, ..., c_n] \equiv c_1 :: c_2 :: \cdots :: c_n :: []$$

We may also omit the brackets and commas when there is no ambiguity

$$[c_1,c_2,c_3]=c_1c_2c_3$$

## Operations on strings



Length

$$|[]|=0 \ |c::w|=1+|w|$$

Example

$$|[1,2]| = 2$$

The proof follows by applying the definition of the length function.

$$|1::2::[]| = 1 + |2::[]| = 1 + 1 + |[]| = 1 + 1 + 0 = 2$$

## Operations on strings



#### Concatenation

Attaches two strings together in a new string.

$$[]\cdot w=w \ c_1::w_1\cdot w_2=c_1::(w_1\cdot w_2)$$

Example

$$w\cdot \lceil 
ceil = w$$

## Operations on strings



#### Concatenation

Attaches two strings together in a new string.

$$[]\cdot w=w \ c_1::w_1\cdot w_2=c_1::(w_1\cdot w_2)$$

#### Example

$$[w\cdot []=w$$

The proof follows by induction on the structure of w.

- 1. Case w=[], we have to show  $[]\cdot[]=[]$ , which follows by unfolding the definition of concatenation.
- 2. Case w=c::w', we have to show  $(c::w')\cdot[]=c::w'$  and our I.H. is that  $w'\cdot[]=w'$ . By using the definition of concatenation, our goal is to show that  $c::(w'\cdot[])=c::w'$ . We can conclude our proof by using the I.H. to rewrite our goal and noticing we have c::w'=c::w'.

### Exponent



The exponent concatenates n copies of the same string.

$$w^0 = [] \ w^{n+1} = w \cdot w^n$$

#### Prefix



	$w_1  ext{ prefix } w_2$
$\overline{[]} \ \mathrm{prefix} \ \overline{w}$	$\overline{c :: w_1  ext{ prefix } c :: w_2}$

# Languages

#### Language



A language L is a set of strings of type  $\Sigma^{\star}$ , formally  $L \subseteq \Sigma^{\star}$  .

#### Examples

- {||} is a language that only contains the empty string
- $\{[c]\}$  is a language that only contains a string with a single character c
- $\{[1,1,1]\}$  is a language that only contains string [1,1,1]
- $\{w \mid w \in \Sigma^{\star} \land \text{ ends with } 1\}$  is a language whose strings' last character is 1
- $\{w \mid w \in \Sigma^{\star} \land |w| \text{ is even}\}$  is a language whose strings' sizes are even numbers

#### Operations on languages



- Union:  $L \cup M = \{w \mid w \in L \lor m \in M\}$
- Intersection:  $L \cap M = \{w \mid w \in L \land m \in M\}$
- Subtraction:  $L-M=\{w\mid w\in L\wedge m\notin M\}$
- ullet Complementation:  $\overline{L}=\Sigma^{\star}-L$