

# CS420

## Introduction to the Theory of Computation

Lecture 23: Undecidable problems

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# Today we will learn...

Decidability of

- The Halting Problem
- Emptiness for TM
- Regularity
- Equality

## ■ Section 5.1

# Recap

Decidable languages:

- $A_{DFA}, A_{REG}, A_{NFA}, A_{CFG}$

```
def A_DFA(D, w):  
    return D accepts w
```

$$A_{DFA} = \{\langle D, w \rangle \mid D \text{ accepts } w\}$$

- $E_{DFA}, E_{CFG}$

```
def E_DFA(D):  
    return L(D) = {}
```

$$E_{DFA} = \{\langle D \rangle \mid L(D) = \emptyset\}$$

- $EQ_{DFA}$

```
def EQ_DFA(D1, D2):  
    return L(D1) = L(D2)
```

$$EQ_{DFA} = \{\langle N_1, N_2 \rangle \mid L(N_1) = L(N_2)\}$$

# Exercise 1

Prove or falsify the following statement:  $EQ_{REX}$  is undecidable.

# Exercise 1

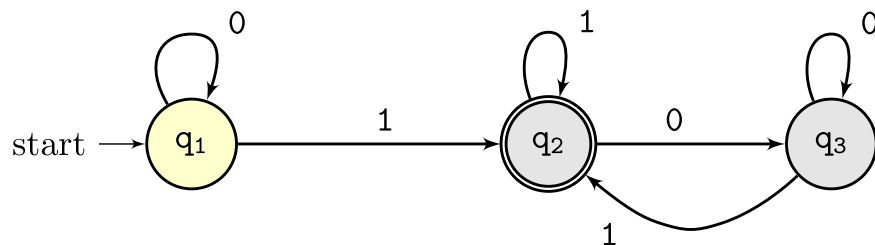
Prove or falsify the following statement:  $EQ_{REX}$  is undecidable.

**Proof. False.**  $EQ_{REX}$  is decidable, as given by the following pseudo code, where  $EQ\_DFA$  is the decider of  $EQ_{DFA}$  and  $REX\_TO\_DFA$  is the conversion from a regular expression into a DFA.

```
def EQ_REX(R1, R2):
    return EQ_DFA(REX_TO_DFA(R1), REX_TO_DFA(R2))
```

# Exercise 2

Let  $D$  be the DFA below



```
def A_DFA(D, w): return D accept w
def E_DFA(D): return L(D) == {}
def EQ_DFA(D1, D2): return L(D1) == L(D2)
```

- Exercise 2.1: Is  $\langle D, 0100 \rangle \in A_{DFA}$ ?
- Exercise 2.2: Is  $\langle D, 101 \rangle \in A_{DFA}$ ?
- Exercise 2.3: Is  $\langle D \rangle \in A_{DFA}$ ?

- Exercise 2.4: Is  $\langle D, 101 \rangle \in A_{REX}$ ?
- Exercise 2.5: Is  $\langle D \rangle \in E_{DFA}$ ?
- Exercise 2.6: Is  $\langle D, D \rangle \in EQ_{DFA}$ ?
- Exercise 2.7: Is  $101 \in A_{REX}$ ?

# Exercise 3

Recall that DFAs are closed under  $\cap$ . Prove the following statement.

If  $A$  is regular, then  $X_A$  decidable.

$$X_A = \{\langle D \rangle \mid D \text{ is a DFA} \wedge L(D) \cap A \neq \emptyset\}$$

# Exercise 3

Recall that DFAs are closed under  $\cap$ . Prove the following statement.

If  $A$  is regular, then  $X_A$  decidable.

$$X_A = \{\langle D \rangle \mid D \text{ is a DFA} \wedge L(D) \cap A \neq \emptyset\}$$

**Proof.** If  $A$  is regular, then let  $C$  be the DFA that recognizes  $A$ . Let `intersect` be the implementation of  $\cap$  and `E_DFA` the decider of  $E_{DFA}$ . The following is the decider of  $X_A$ .

```
def X_A(D):
    return not E_DFA(intersect(C, D))
```



# Theorem 4.22

$L$  decidable iff  $L$  recognizable and  $L$  co-recognizable

# Theorem 4.22

$L$  decidable iff  $L$  recognizable and  $L$  co-recognizable

**Proof.** We can divide the above theorem in the following three results.

1. If  $L$  decidable, then  $L$  is recognizable. **(Proved.)**
2. If  $L$  decidable, then  $L$  is co-recognizable. **(Proved.)**
3. If  $L$  recognizable and  $L$  co-recognizable, then  $L$  decidable.

Part 3. If  $L$  recognizable and  $\overline{L}$  recognizable, then  $L$  decidable.

We need to extend our mini-language of TMs

`plet b  $\leftarrow$  P1  $\parallel$  P2 in P3`

Runs P1 and P2 in parallel.

- If P1 and P2 loop, the whole computation loops
- If P1 halts and P2 halts, pass the success of both to P3
- If P1 halts and P2 loops, pass the success of P1 to P3
- If P1 loops and P2 halts, pass the success of P2 to p3

```
Inductive par_result :=
| pleft: bool  $\rightarrow$  par_result
| pright: bool  $\rightarrow$  par_result
| pboth: bool  $\rightarrow$  bool  $\rightarrow$  par_result.
```

Part 3. If  $L$  recognizable and  $\overline{L}$  recognizable, then  $L$  decidable.

## Proof.

1. Let  $M_1$  recognize  $L$  from assumption  $L$  recognizable
2. Let  $M_2$  recognize  $\overline{L}$  from assumption  $\overline{L}$  recognizable
3. Build the following machine

```

Definition par_run M1 M2 w :=
  plet b ← Call M1 w \ Call M2 w in
  match b with
  | pleft true   ⇒ ACCEPT
  | pboth true _ ⇒ ACCEPT
  | pright false ⇒ ACCEPT
  | _           ⇒ REJECT
end.
  
```

*(\* M1 and M2 are parameters of the machine \*)*  
*(\* Call M1 with w and M2 with w in parallel \*)*

*(\* If M1 accepts w, accept \*)*  
*(\* If M2 rejects w, accept \*)*  
*(\* Otherwise, reject \*)*

4. Show that par\_run M1 M2 recognizes  $L$  and is a decider.

Part 3. If  $L$  recognizable and  $\overline{L}$  recognizable, then  $L$  decidable.

Point 4: Show that  $\text{par\_run } M1 \ M2$  recognizes  $L$  and is a decider.

- 1. Show that  $\text{par\_run } M1 \ M2$  recognizes  $L$ :  $\text{par\_run } M1 \ M2$  accepts  $w$  iff  $L(w)$
- 1.1.  $\text{par\_run } M1 \ M2$  accepts  $w$ , then  $w \in L$
- 1.2.  $w \in L$ , then  $\text{par\_run } M1 \ M2$  accepts  $w$  case analysis on run  $M2$  with  $w$

```

Definition par_run M1 M2 w :=
  plet b ← Call M1 w \ Call M2 w in
  match b with
  | pleft true
  | pboth true _ ⇒ ACCEPT
  | _ ⇒ REJECT
end.

```

- $M1$  recognizes  $L$
- $M2$  recognizes  $\overline{L}$
- Lemma  $\text{par\_mach\_lang}$

Part 3. If  $L$  recognizable and  $\overline{L}$  recognizable, then  $L$  decidable.

Point 4: Show that  $\text{par\_run } M1 \ M2$  recognizes  $L$  and is a decider.

1. Show that  $\text{par\_run } M1 \ M2$  recognizes  $L$ :  $\text{par\_run } M1 \ M2$  accepts  $w$  iff  $L(w)$

1.  $\text{par\_run } M1 \ M2$  accepts  $w$ , then  $w \in L$  by case analysis on  $\text{Call } M1 \ w \ \backslash \ \text{Call } M2 \ w$ :

- $\text{pleft true}$  and  $M1$  accepts  $w$ : holds since  $M1$  recognizes  $L$
- $\text{pboth true}$  and  $M1$  accepts  $w$ : same as above
- otherwise: contradiction

2.  $w \in L$ , then  $\text{par\_run } M1 \ M2$  accepts  $w$  case analysis on run  $M2$  with  $w$

- $M2$  accept  $w$ :  $\text{par\_run } M1 \ M2$  accept since  $M1$  accepts with  $w$
- $M2$  loops  $w$ :  $\text{par\_run } M1 \ M2$  accept since  $M1$  accepts with  $w$
- $M2$  reject  $w$ :  $\text{par\_run } M1 \ M2$  accept since  $M1$  accepts with  $w$

Part 3. If  $L$  recognizable and  $\overline{L}$  recognizable, then  $L$  decidable.

Point 4: Show that  $\text{par\_run } M1 \ M2$  recognizes  $L$  and is a decider.

2. Show that  $\text{par\_run } M1 \ M2$  decides  $L$

*(Walk through the proof of recognizable\_co-recognizable\_to\_decidable...)*

# Homework 7 tutorial



# Basic definitions

# Run, recognizes

## Running a Turing Machine

Use `run` to let a Turing `m` execute input `i`. Returns a result.

```
Inductive result := Accept | Reject | Loop.
```

# Run, recognizes

## Running a Turing Machine

Use `run` to let a Turing `m` execute input `i`. Returns a result.

**Inductive** `result` := `Accept` | `Reject` | `Loop`.

## Recognizes

A Turing machine `m` recognizes a language `L` if `m` accepts the same inputs as those in language `L`.

**Definition** `Recognizes m L` := `forall i, run m i = Accept`  $\leftrightarrow$  `L i`.

- Use constructor `recognizes_def` to build `Recognizes m L`

# Recognizable

## Definition 3.5: Recognizable

■ Call a language Turing-recognizable if some Turing machine recognizes it.

**Definition** Recognizable  $L := \text{exists } m, \text{ Recognizes } m L.$

- Use constructor `recognizable_def` to build Recognizable  $L$

# Decides

A Turing machine  $m$  decides a language  $L$  if:

1.  $m$  recognizes  $L$
2.  $m$  is a decider

**Definition**  $\text{Decides } m \ L := \text{Recognizes } m \ L \ /\ \text{Decider } m.$

- Use `decides_def` to build  $\text{Decides } m \ L$

# Decider

A Turing machine that never loops for all possible inputs.

**Definition** `Decider m := forall i, run d i <> Loop.`

- Use `decider_def` to build `Decider m`

# Decidable

## Definition 3.6

Call a language Turing-decidable or simply decidable if some Turing machine decides it.

**Definition** Decidable  $L := \text{exists } m, \text{ Decides } m \ L.$

- Use `decidable_def` to build Decidable  $L$

# Summary

<b>Term</b>	<b>Usage</b>	<b>Coq</b>	<b>Constructor</b>
Run	<b>run</b> a TM with a given input $i$	<code>run m i</code>	N/A
Recognizes	a TM <b>recognizes</b> a language	<code>Recognizes m L</code>	<code>recognizes_def</code>
Recognizable	a language is <b>recognizable</b>	<code>Recognizable L</code>	<code>recognizable_def</code>
Decides	a TM <b>decides</b> a language	<code>Decides m L</code>	<code>decides_def</code>
Decider	a TM is a <b>decider</b>	<code>Decider m</code>	<code>decider_def</code>
Decidable	a language is <b>decidable</b>	<code>Decidable L</code>	<code>decidable_def</code>



# Prog

A DSL for composing Turing Machines

# Specifying TMs with Prog

- Prog is a **domain-specific** language (DSL) that allow us to compose Turing machines
- Prog gives an unique opportunity for CS420 students to study complex Theoretical Computer Science problems in a (hopefully) intuitive framework
- All theorems studied in this course are fully proved; students can see all details at their own time, interactively
- The proofs follow the structure of the book as close as possible

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## Did you know?

- [gitlab.com/cogumbreiro/turing](https://gitlab.com/cogumbreiro/turing) is a **research project** that stemmed from trying to teach CS420 in a more compelling way (project-based, + interactive, + student-autonomous)
- This semester we are pushing the state-of-the-art of teaching Theoretical Computer Science
- **Your input matters!**

# Turing programs Prog

```
Inductive Prog :=  
  Seq : Prog → (bool → Prog) → Prog  
| Call : machine → input → Prog  
| Ret : result → Prog.
```

- Seq combines two programs
- Call runs a Turing machine on a given input
- Ret loops/rejects/accepts (pick one) for all inputs

# Turing programs Prog

## Notations

We use 3 notations to write shorter programs:

```
mlet x ← p1 in p2 := Seq p1 (fun x ⇒ p2)
  ACCEPT := Ret Accept
  REJECT := Ret Reject
  LOOP := Ret Loop
```

# P-run (part 1)

1. Rule run\_ret: the result of returning  $r$  (with Ret  $r$ ) is  $r$

$$\frac{}{\text{Run}(\text{Ret } r) \ r}$$

2. The result of calling a TM  $m$  is given by calling run  $m \ i$ .

$$\frac{\text{run}(m, i) = r}{\text{Run}(\text{Call } m \ i) \ r}$$

## P-run (part 2)

3. If we run program  $p$  and get a result  $r_1$  and  $p$  terminates with  $b$  and we run  $(p \ b)$  and get a result  $r_2$ , then sequencing  $p$  with  $q$  returns result  $r_2$

$$\frac{\text{Run } p \ r_1 \quad \text{Dec } r_1 \ b \quad \text{Run } (q \ b) \ r_2}{\text{Run } (\text{Seq } p \ q) \ r_2}$$

4. If program  $p$  loops, then running  $p$  followed by  $q$  also loops:

$$\frac{\text{Run } p \ \text{Loop}}{\text{Run } (\text{Seq } p \ q) \ \text{Loop}}$$

# P-run in Coq

```

Inductive Run: Prog → result → Prop :=
| run_ret:
  forall r,
  Run (Ret r) r
| run_call:
  Run (Call m i) (run m i)
| run_seq_cont:
  forall p q b r1 r2,
  Run p r1 →
  Dec r1 b →
  Run (q b) r2 →
  Run (Seq p q) r2
| run_seq_loop:
  forall p q,
  Run p Loop →
  Run (Seq p q) Loop

```

# Why do we need P-run?

- Because Prog is inductively defined, we can reason about all possible ways in which we can **declare** a program (induction proofs)
- Because Run is inductively defined, we can also reason about all possible ways in which we can **run** a program
- Prog is already being informally used in the book, we are just making the meta-theory more **formal**!
- Proofs are easier (homework assignments have less technicalities/distractions)



# P-Recognizes

Program  $p$  P-recognizes a language  $L$  if  $p$  accepts the same inputs as those in language  $L$ .

**Definition**  $\text{PRecognizes } p \ L := \text{forall } i, \text{ Run } (p \ i) \text{ Accept} \iff L \ i$

- Use `p_recognizes_def` to build  $\text{PRecognizes } p \ L$

# P-Recognizable

Call a language P-recognizable if some Prog recognizes it.

- There is no definition PRecognizable! We use Recognizable still.
- Use `p-recognizable_def` to build Recognizable L with a program!

# P-Decides

A program  $p$  P-decides a language  $L$  if:

1.  $p$  P-recognizes  $L$
2.  $p$  is a P-decider

**Definition**  $PDecides\ p\ L := PRecognizes\ p\ L \wedge PDecider\ p.$

- Use `p_decides_def` to build  $PDecides\ p\ L$

# P-Decider

A program that never loops for all possible inputs.

**Definition**  $PDecider\ p := \text{forall } i, PHalts\ (p\ i).$

- Use `p_decider_def` to build `PDecider p`

# P-Halts

**Definition**  $PHalts\ p := \text{exists } r : \text{result}, \text{Run } p\ r \wedge r \neq \text{Loop}$

- Use `p_halts_def` to build `PHalts p`.

# P-Decidable

Call a program P-decidable or simply decidable if some program decides it.

- There is no definition PDecidable! We use Decidable still.
- Use `p_decidable_def` to build Decidable L

# Summary

<b>Term</b>	<b>Usage</b>	<b>Coq</b>	<b>Constructor</b>
P-Run	<b>run</b> a program with a given input $i$ and result $r$	<code>Run p i r</code>	<code>Print Run.</code>
P-Recognizes	a program <b>recognizes</b> a language	<code>PRecognizes p L</code>	<code>p_recognizes_def</code>
P-Recognizable	a language is <b>recognizable</b>	<code>Recognizable L</code>	<code>p_recognizable_def</code>
P-Decides	a program <b>decides</b> a language	<code>PDecides p L</code>	<code>p_decides_def</code>
P-Decider	a program is a <b>decider</b>	<code>PDecider p</code>	<code>p_decider_def</code>
P-Decidable	a language is <b>decidable</b>	<code>Decidable L</code>	<code>p_decidable_def</code>