

Genericity through Dependent Types

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Abstract

Genericity is a key requirement for modern object-oriented languages. In this paper, we describe a design for generic types in the programming language X10. X10 has an expressive and powerful dependent type system in which types are specified by constraining the immutable state of objects. Generic types are defined in a natural extension of the dependent type system.

The immutable state of an object is represented by its *properties*, public final fields of the object. A *constrained type* then, is a defined by a class type and a boolean predicate on the properties of the class. Generic types are defined by first introducing *type properties* into the language, and then constraining those properties using subtyping constraints.

The type system presented here subsumes the expressive power of Java's generic types, virtual types, and generalized constraints proposed for C#. The system also admits an efficient implementation and eschews the pitfalls of a type erasure semantics. We describe also a local type inference algorithm for constrained types that permits type annotations and constraints to be elided by the programmer.

1. Introduction

X10 is a statically typed object-oriented language designed for high-performance computing [18]. The language extends a class-based sequential core language similar to Java with constructs for distribution and fine-grained concurrency. However, X10 does not yet support generic types, a standard feature of modern object-oriented languages. This paper presents a design for generics that is a natural extension of the language's core dependent type system.

The sequential semantics of X10 are similar to Java's X10 programs and execute on a Java virtual machine. After evaluating several existing proposals for generic types in Java-like languages [9, 21, 4, 16, 3, 19, 1, 2, 6, 7, 15], we concluded that these proposals were insufficient for our needs.

A problem with many of these proposals, and in particular with Java5 [9] and Scala [15], is that generic types are implemented via type erasure. Our design is not implemented via type erasure and, in addition, supports run-time introspection of generic types.

Another problem with many of these proposals is inadequate support for primitive types, especially arrays. The performance of primitive arrays is critical for the high-performance applications for which X10 is intended. These proposals introduce unnecessary boxing and unboxing of primitives. Our design does not require primitives be boxed.

The design of generics in X10 and is based on its existing dependent type system [18, 17]. To rule out large classes of errors statically, X10 provides *constrained types*, a form of dependent type defined on predicates over the immutable state of objects [18, 17]. The immutable state of an object is captured by its *value proper-*

ties: public final fields of the object. For instance, the following class declares a two-dimensional point with properties *x* and *y* of type *float*:

```
class Point(x: float, y: float) { }
```

A constrained type is a type $C\{e\}$, where C is a class and e is a boolean predicate on the properties of C and the final variables in scope at the type. For example, given the above class definition, the type $\text{Point}\{x*x+y*y<1\}$ is the type of all points within the unit circle.

To support genericity these types are generalized to allow *type properties* and constraints on these properties. Like a value property, a type property is an instance member. The type properties of an object are bound to concrete types when the object is created. Types may be defined by constraining the type properties as well as the value properties of a class.

The following code declares a class *Cell* with a type property named *T*.

```
class Cell[T] {  
  var x: T;  
  def get(): T = x;  
  def set(x: T) = { this.x = x; }  
}
```

The class has a mutable field *x*, and has *get* and *set* methods for accessing the field.

This example shows that type properties are in many ways similar to type parameters as provided in object-oriented languages such as Java and Scala. Type properties are types in their own right: they may be used in any context a type may be used, including in *instanceof* and cast expressions. However, the key semantic distinction between type properties and type parameters is that type properties are instance members. Thus, for an expression *e* of type *Cell*, *e.T* is a type, equivalent to the concrete type to which *T* was initialized when the object *e* was instantiated. To ensure soundness, *e* is restricted to final access paths. Within the body of a class, a property name *T* resolves to *this.T* (or to *C.this.T* if *T* is a property of an enclosing class *C*), just as value properties are resolved.

As with value properties, type properties may be constrained by predicates to produce new types. X10 supports equality constraints, written $T_1==T_2$, and subtyping constraints, written $T_1<:T_2$. For instance, the type $\text{Cell}\{T==\text{String}\}$ is the type of all *Cells* that contain a *String*.

In general, the syntax of a constrained type is $C\{c\}$, where C is a base class and c is a predicate on the properties of C . For brevity, a constraint can be written as a comma-separated list of conjuncts; that is, the constraint $c_1 \&\& c_2$ can be written c_1, c_2 .

Constraints on properties induce a natural subtyping relationship: $C\{c\}$ is a subtype of $D\{d\}$ if C is a subclass of D and c entails d .

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We consider here only constraints on type properties. See Nystrom et al. [17] for a more thorough presentation of constrained types in X10. The following are legal types:

- **Cell**. This type has no constraints on T . Any type that constrains T , those below, is a subtype of **Cell**. The type **Cell** is equivalent to **Cell**{**true**}. For a **Cell** c , the return type of the **get** method is $c.T$. Since the property T is unconstrained, the caller can only assign the return value of **get** to a variable of type $c.T$ or of type **Object**. In the following code, y cannot be passed to the **set** method because it is not known if **Object** is a subtype of $c.T$.

```
val x: c.T = c.get();
val y: Object = c.get();
c.set(x); // legal
c.set(y); // illegal
```

- **Cell**{ $T == \text{float}$ }. The type property T is bound to **float**. Assuming c has this type, the following code is legal:

```
val x: float = c.get();
c.set(1.0);
```

The type of $c.get()$ is $c.T$, which is equivalent to **float**. Similarly, the **set** method takes a **float** as argument.

- **Cell**{ $T <: \text{int}$ }. This type constrains T to be a subtype of **int**. All instances of this type must bind T to a subtype of **int**. The following expressions have this type:

```
new Cell[int](1);
new Cell[int{self==3}](3);
```

The cell in the first expression may contain any **int**. The cell in the second expression may contain only 3. If c has the type **Cell**{ $T <: \text{int}$ }, then $c.get()$ has type $c.T$, which is an unknown but fixed subtype of **int**. The **set** method of c can only be called with an object of type $c.T$.

- **Cell**{ $T > \text{String}$ }. This type bounds the type property T from below. The **set** method may be called with any supertype of **String**; the return type of the **get** method is known to be a supertype of **String** (and implicitly a subtype of **Object**).

For brevity, the constraint may be omitted and interpreted as **true**. The syntax $C[T_1, \dots, T_m](e_1, \dots, e_n)$ is sugar for $C\{X_1 == T_1, \dots, X_m == T_m, x_1 == e_1, \dots, x_n == e_n\}$ where X_i are the type properties and x_i are the value properties of C . If either list of properties is empty, it may be omitted.

In this shortened syntax, a type argument T used may also be annotated with a *use-site variance tag*, either $+$ or $-$: if X is a type property, then the syntax $C[+T]$ is sugar $C\{X <: T\}$ and $C[-T]$ is sugar $C\{X > T\}$; of course, $C[T]$ is sugar $C\{X == T\}$.

The rest of the paper...

2. Overview of X10 syntax

Before describing the generic type system, we present an overview of X10's syntax and semantics. X10 is a class-based language similar to Java or Scala. Superficially, the language may be thought of as sequential Java with some elements of Scala syntax and with new constructs for concurrency and distribution. Like Java, the language provides both classes and interfaces; it does not yet support traits, as found in Scala.

Both classes and interfaces may define properties. Value properties may be considered to be public final fields. Whereas Java supports only static fields in interfaces, X10 allows interfaces to define value properties. Any class implementing an interface must declare,

class	L	$::=$	<code>class $C[\bar{X}]$ ($\bar{x}: \bar{T}$) {c}</code> <code>extends T { $\bar{K} \ \bar{M} \ \bar{F}$ }</code>
type	T	$::=$	<code>C</code> <code>$e.X$</code> <code>$T\{c\}$</code>
constructor	K	$::=$	<code>def this [\bar{X}] ($\bar{x}: \bar{T}$) {c}: $T = e$</code>
method	M	$::=$	<code>def $m[\bar{X}]$ ($\bar{x}: \bar{T}$) {c}: $T = e$</code>
field	F	$::=$	<code>val $x\{c\}$: $T = e$</code> <code>var $x\{c\}$: $T = e$</code>
constraint	c	$::=$	<code>e</code>
expression	e	$::=$	<code>true</code> <code>x</code> <code>$e_1 \ \&\& \ e_2$</code> <code>$e_1 == e_2$</code> <code>$T_1 <: T_2$</code> <code>$T_1 == T_2$</code> <code>...</code>

Figure 1. X10 grammar

and initialize in its constructor, the properties inherited from the interface.

Classes may define fields, methods, and constructors. The declaration syntax, illustrated in the **Cell** example above, is similar to Scala's. Fields may be declared either **val** or **var**. A **val** is *final* and must be assigned exactly once. Methods are declared with a **def** keyword. As in Java, methods may be declared **static**, however fields cannot. Constructor syntax is similar to method syntax and X10 adopts Scala's convention of using the name **this** for constructors. In X10, constructors have a return type, which constrains the properties of the new object.

A subset of the grammar for X10 is shown in Figure 1. We elide features of the language not relevant to this paper. In the grammar $[\alpha]$ denotes an optional occurrence of the sequence of symbols α , α^* denotes zero or more occurrences of α , and α^+ denotes one or more occurrences of α .

3. Generic types

3.1 Use-site variance

3.2 Subtyping

$C\{c\}$ is a subtype of $D\{d\}$ if C is a subclass of D and c entails d .

4. Generic classes

Classes may be declared with any number of type properties and value properties. These properties can be constrained with a *class invariant*, specified by a **where** clause, a predicate on the properties of any instance of the class. The general form of a class definition is:

```
class  $C[X_1, \dots, X_p](x_1: T_1, \dots, x_k: T_k)$ 
  where  $c$ 
  extends  $B\{c_0\}$ 
  implements  $I_1\{c_1\}, \dots, I_n\{c_n\} \{ \dots \}$ 
```

4.1 Definition-site variance

In a class definition, a type property may be declared with a *definition-site variance tag*, either $+$ or $-$. A $+$ tag indicates that the class is covariant on the property; that is, given a definition **Cell**[$+T$], if $A <: B$, then **Cell**[A] $<:$ **Cell**[B]. Similarly, **Cell**[$-T$] indicates that T is contravariant in **Cell**; that is, if $A <: B$, then **Cell**[B] $<:$ **Cell**[A].

A definition-site variance tag changes the meaning of the syntactic sugar for the type `Cell[A]`. If the property is covariant (i.e., is declared as `+T`), `Cell[A]` is sugar for `Cell{T<:A}`. If the property is contravariant (`-T`), then `Cell[A]` is sugar for `Cell{T>:A}`. Otherwise, the property is invariant and `Cell[A]` is sugar for `Cell{T==A}`.

The compiler should issue a warning if a covariant property is used in a negative position (e.g., in a method parameter type) in its class definition, or if a contravariant property is used in a positive position (e.g., in a method return type). Without these restrictions, methods or fields with types dependent on the property would be safe, but not be accessible using the default instantiation (e.g., `Cell[int]`).

4.2 Class invariant

4.3 Method parameters

Methods and constructors may have type parameters. For instance, the `List` class below defines a `map` method that maps each element of a list of `T` to a value of another type `S`, constructing a new list of `S`.

```
class List[T] {
  val array: Array[T];
  def map[S](f: T => S): List[S] {
    val newArray = new Array[S](array.length);
    for (i in [0:array.length-1]) {
      newArray(i) = f(array(i));
    }
    return new List(newArray);
  }
}
```

A parameterized method can be invoked by giving type arguments before the expression arguments. For example, the following code takes a list of `Strings` and returns a list of string lengths of type `int`

```
xs: List[String] = ...;
ys: List[int] = xs.map[int](
  (x: String) => x.length());
```

4.4 Method where clauses

Method and constructor parameters, both value parameters and type parameters, can be constrained with a where clause on the method. For type parameters, this feature is similar to generalized constraints proposed for C# [7]. In the following code, the `T` parameter is covariant and so the `append` methods below are illegal:

```
class List[+T] {
  def append(other: T): List[T] = { ... }
  // illegal
  def append(other: List[T]): List[T] = { ... }
  // illegal
}
```

However, one can introduce a method parameter and then constrain the parameter from below by the class's parameter: For example, in the following code,

```
class List[+T] {
  def append[U](other: U)
    {T <: U}: List[U] = { ... }
  def append[U](other: List[U])
    {T <: U}: List[U] = { ... }
}
```

The constraints must be satisfied by the callers of `append`. For example, in the following code:

```
xs: List[Number];
ys: List[Integer];
xs = ys; // ok
xs.append(1.0); // legal
ys.append(1.0); // illegal
```

the call to `xs.append` is allowed and the result type is `List[Number]`, but the call to `ys.append` is not allowed because the caller cannot show that `Number <: Double`.

4.5 Method overriding

Legal if any call to super method can call sub method.

covariant return contravariant args weaker where clause

4.6 Constructor definitions

Constructors are defined using the syntax `def this`, as shown in Figure 1. Constructors must ensure that all properties of the new object are initialized and that the class invariants of the object's class and its superclasses and superinterfaces hold.

Properties are initialized with a `property` statement. For instance, the constructor for `Cell` ensures that the type property `T` is bound.

```
def this[T](x: T) =
  { property[T](); this.x = x; }
```

The `property` statement is used to set all the properties of the new object simultaneously; the syntax is similar to a `super` constructor call.

If the `property` statement is omitted, the compiler implicitly initializes the properties from the formal type and value parameters of the constructor. The `property` statement for `Cell`'s constructor, for example, could have been omitted.

Constructors have “return types” that can specify an invariant satisfied by the object being constructed. The compiler verifies that the constructor return type and the class invariant are implied by the `property` statement and any `super` or `this` calls in the constructor body.

Classes that do not declare a constructor have a default constructor with a type parameter for each type property and a value parameter for each value property.

5. Constraint system

6. Constraint solver

Represent a constraint as a graph G . Each node represents a constraint term for a value or a type. The node for a path p is written v_p ; the node for a type T is written V_T . There are four kinds of edges:

1. undirected equivalence edges, $v_p \sim v_q$ and $V_S \sim V_T$,
2. type edges, $v_p \mapsto_{\text{type}} V_T$,
3. tree edges, $v_p \mapsto_f v_{p.f}$ and $v_p \mapsto_X V_{p.X}$, and
4. flow edges, $V_S \rightarrow V_T$.

First, each constraint term is mapped to a node in the graph as follows. Associate each term t with a node v_t . For each access path $p.x$, add a tree edge $v_p \mapsto_x v_{p.x}$. For each path type $p.X$, add a tree edge $v_p \mapsto_X V_{p.X}$. For each atomic formula $f(\bar{t})$, add the tree edge $v_{f(\bar{t})} \mapsto_i v_{t_i}$ for all i . If term t has type T , add $v_t \mapsto_{\text{type}} V_T$ and add $V_T \sim V_{t.\text{type}}$ to G .

Next, constraints are incorporated into the graph:

- For constraint $p==q$, add $v_p \sim v_q$ to G .
- For constraint $S==T$, add $V_S \sim V_T$ to G .

- For constraint $S <: T$, add $V_S \rightarrow V_T$ to G .

A flow-path is a path that follows flow and equivalence edges only. A type-path is a path that follows type and equivalence edges only.

Now, we saturate: If there is a type-path $v_t \mapsto_{\text{type}}^* V_{C\{c\}}$, add $c[t/\text{self}]$ to the workload.

Can saturate lazily when doing a lookup. EXCEPT: a type may have an arbitrary constraint $C\{\text{self.x}==3 \ \&\& \ y > 7\}$

The inference algorithm adds flow edges, $v \rightarrow w$, to the graph G .

For constraint $S <: T$, add the flow edge $v_S \rightarrow v_T$ to G .

TODO: self constraints TODO: existentials

Initialize W to the set of equivalence edges for the set of equational constraints $p==q$. Initialize G with flow edges only.

Merge fields. For all t in the constraint, for all $v_{t'}$ reachable by flow edges from v_t , if t has a type member X , add $v_{t.X} \sim v_{t'.X}$ to W ; if t has a field f , add $v_{t.f.type} \sim v_{t'.f.type}$ to W .

Process equational constraints. While W is non-empty, extract and remove $v_p \sim v_q$ from W . If the edge is already in G , continue with the next constraint.

If the edge connects v_S and v_T and S and T cannot be equal, fail.

If $v_{p.X} \sim v_{p.T}$ and there is a type edge from v_p to $v_{C\{c\}}$ add in $c[p/\text{self}]$.

Let $\text{src}(v)$ be the set of nodes from which v is reachable by flow and equivalence edges. These are the nodes that represent subtypes of v 's type.

Let $\text{snk}(v)$ be the set of nodes reachable from v by flow and equivalence edges. These are the nodes that represent supertypes of v 's type.

If there is a v in both $\text{src}(v_p)$ and $\text{snk}(v_q)$, add $v \sim v_p$ to W .

If there is a v in both $\text{src}(v_q)$ and $\text{snk}(v_p)$, add $v \sim v_q$ to W .

Add the edge to G and continue with W .

7. Type inference

Because constrained types can be verbose, X10 supports type inference to reduce the type annotation burden on the programmer.

The type inference algorithm allows types to be omitted altogether from many declarations and from method and constructor invocations. The algorithm also allows programmers to write a partially constrained type or just the base type in a declaration and to have a more precise constrained type inferred. For instance, it infers the type $\text{int}\{\text{self}==3\}$ for the local variables x, y, z in the following code:

```
val x = 3;
val y: int = x;
val z: int{self>0} = x;
```

The algorithm is local: method and constructor parameter types, as well as the types of mutable fields, must be declared explicitly. For non-private members, this requirement is essential for enabling separate compilation. Limiting the scope of inference also eliminates one cause of potentially confusing error messages when types cannot be inferred.

The algorithm uses the subtyping constraint system described in Section ??.

In general, an expression may have more than one satisfying type.

One requirement of the algorithm is that it report not only that there exists a satisfying assignment, but also reports the assignment itself. The algorithm chooses the most precise assignment.

Because methods can be overridden, the inferred return type may be too precise, preventing subclasses from overriding the method.

$$\frac{\begin{array}{c} \Gamma \vdash e_0 : C\{c\} \\ \Gamma \vdash mtype(C, m) = [X_1, \dots, X_k](x_1 : T_1, \dots, x_n : T_n)\{d\} \rightarrow T \\ \Gamma \vdash e_i : S_i \\ \Gamma \vdash_C \exists \text{this} : C\{c\}. \exists x_i : T_i. S_i <: T_i \wedge d \end{array}}{\Gamma \vdash e_0.m(e_1, \dots, e_n) : T}$$

Types can be inferred if the constraints are satisfied.
Need to materialize the constraints.

1. union-find on equality constraints
2. solve the subtyping constraints -- collapse cycles into union
if SCC has a contradiction, complain
3. materialize bounds

impl: use XConstraint for union-find

- $T <: S$
- whenever we ask if $T <: S$, ask `TypeSystem`, then add $<$ to constraint if true
- whenever we ask if $T == S$, ask `TypeSystem`, then add $=$ to constraint if true

Based on Henglein, TAPoS 99

lower bound of X

union type of types T_i with $T_i \rightarrow X$

upper bound of X

intersection type of types T_i with $X \rightarrow T_i$

$C\{c\} \ \& \ D\{d\} = (C\&D)\{c\mid d\}$

$C\{c\} \mid D\{d\} = (C\mid D)\{c\&d\}$

$C\&D = \text{gcd}(C, D)$

$C\mid D = \text{lca}(C, D)$

$X <: \text{int} \mid \mid$

$X <: \text{float}$

-->

$X <: \text{int} \mid \text{float}$

-->

$X <: \text{number}$

$\text{int} <: X \mid \mid \text{float} <: X$

-->

$\text{int}\&\text{float} <: X$

-->

$\text{void} <: X$

$p==q \mid \mid p==q \rightarrow p==q$

$p==q \mid \mid p==r \rightarrow \text{true}$

$S <: T \mid \mid S <: U \rightarrow S <: (T \mid U)$

$T <: S \mid \mid U <: S \rightarrow (T \&U) <: S$

A key difference is that X10 supports where clauses that constrain method and constructor type and value arguments. The algorithm should infer not only the base type of a constrained type, but also the type and value constraints.

X10 should perform type inference of local variable types and of type arguments for method and constructor calls.

Consider the following method from [21]:

```
def choose[T](a: T, b: T): T { ... }
```

In the following snippet, the algorithm should infer the type `Collection` for `x`.

```
intSet: Set[int];
stringList: List[String];
val x = choose(intSet, stringList);
```

And in this snippet, the algorithm should infer the type `Collection[int]` for `y`.

```
intSet: Set[int];
intList: List[int];
val y = choose(intSet, intList);
```

Finally, in this snippet, the algorithm should infer the type `Collection{T <: Number}` for `z`.

```
intSet: Set[int];
numList: List{T <: Number};
val z = choose(intSet, numList);
```

The inference algorithm for Java 5 produces analogous results. Now, consider the following example:

```
def union[T](a: Set[T], b: Set[T]) : Set[T];
```

The union method cannot be called with just arguments of type `Set`.

```
set1: Set;
set2: Set;
val a = union(set1, set2);
```

This is illegal because the type system cannot demonstrate that `set1.T` and `set2.T` are equal. The following, however, is acceptable:

```
set1: Set;
set2: Set[set1.T];
val a = union(set1, set2);
```

As another example from [21], consider the following method signature:

```
def unmodifiableSet[T](set: Set[T]): Set[T];
```

In Java, this method could be called with an argument of type `Set<?>`. This instantiates the method on `?`; that is, the wildcard is captured by the call, since any element type will be safe. A type variable can capture only one wildcard.

In X10, the method can be called with just a `Set` because there are no constraints on `T`. Using desugared syntax, the method is equivalent to:

```
def unmodifiableSet[T](set: Set{self.T==T}): Set{self.T==T};
```

Any `Set` can be passed in: for an argument `e`, the method is instantiated on `e.T`. Note that if this method were defined as:

```
def unmodifiableSet(set: Set): Set;
```

then the connection between the element types of the argument and of the return types would be broken. However, in X10, one could write use the following signature to keep the connection:

```
def unmodifiableSet(set: Set): Set[set.T];
```

8. Semantics

8.1 TODO

Explain: main difference between CFJ and CFGJ. Treat subclassing as a constraint. Subtyping rule now:

$$\frac{\Gamma \vdash_C T_1 <: T_2}{\Gamma \vdash T_1 <: T_2}$$

Baked in constraints:

$$\frac{\text{class } C[\bar{X}] (\bar{x}:\bar{T}) \{c\} \text{ extends } T \{...\}}{\vdash_C C <: T}$$

Add method overriding rules: covariant return, contravariant args, weaker constraints

8.2 Grammar

$$\begin{array}{lcl} \text{environment } \Gamma & ::= & \epsilon \\ & | & \Gamma, c \\ & | & \Gamma, x: T \end{array}$$

8.3 Well-typedness rules

Now we come to a reformulation of the rules.

First, for a type environment Γ , we define the *constraint projection*, $\sigma(\Gamma)$ thus:

$$\begin{aligned} \sigma(\epsilon) &= \text{true} \\ \sigma(\Gamma, c) &= \sigma(\Gamma), c \\ \sigma(\Gamma, x: C\{c\}) &= \sigma(\Gamma), (\text{self} : C; x == \text{self}, c) \end{aligned}$$

Discussion: Why is this different from the paper? I am trying to avoid explicit use of substitutions, in favor of just conjunction and existential quantification. (Thus: $(\text{self}:C; x == \text{self}, c)$ vs $c[x/\text{self}]$.)

In general, existential quantification is more general than substitution, and since we have to use it anyway, we may as well avoid using substitutions.

8.4 Judgements

The following judgements will be defined:

- The type T is well-formed, given the assumptions Γ :
 $\Gamma \vdash T : \text{type}$
- The type S is a subtype of T , under the assumption Γ :
 $\Gamma \vdash S <: T$
- The expression e is of type T , given the assumptions Γ :
 $\Gamma \vdash e : T$
- The method M is well-defined for the class C given assumptions Γ :
 $\Gamma \vdash M \text{ OK in } C$
- The field $f: T$ is well defined for the class C given assumptions Γ :
 $\Gamma \vdash f : T \text{ OK in } C$
- The class definition Cl is well defined given assumptions Γ :
 $\Gamma \vdash L \text{ OK}$

In what follows we will sometimes think of the family of five judgements as a single judgement $\Gamma \vdash \phi$ where ϕ ranges over the formulas $T : \text{type}$, $S <: T$, $e : T$, $M \text{ OK in } C$, $f : T \text{ OK in } C$, and $L \text{ OK}$.

Now, these judgements need to satisfy certain properties:

1. $\Gamma \vdash T : \text{type}$ whenever $\Gamma \vdash e : T$; that is, if we can conclude that e has type T (under certain assumptions), then under those assumptions we must be able to conclude that T is well-defined.
2. $\Gamma \vdash S : \text{type}$ and $\Gamma \vdash T : \text{type}$ whenever $\Gamma \vdash S <: T$.

3. If $\Gamma \vdash e : T$ and if x is a variable occurring free in $e : T$, then for some type U , $\Gamma \vdash x : U$. That is, all free variables on the right-hand side of the judgement are actually defined on the left-hand side.

Keeping in mind these requirements, the rules are as follows. Below, whenever we use the assertion “ x free” in the antecedent of a rule we mean that x is not free in the consequent of the rule.

8.5 Structural and Logical Rules

First, we present the structural rules for \vdash . The judgement $\Gamma \vdash e : T$ is intuitionistic. That is, Γ is considered a multiset of assertions, and the judgement possesses the inference rules:

$$\frac{\Gamma \vdash e : T \quad \Gamma \vdash S : \text{type} \quad x \text{ not in } \text{var}(\Gamma)}{\Gamma, x : S \vdash e : T}$$

$$\frac{\Gamma \vdash e : T \quad \Gamma \vdash c : o \text{ XXX}}{\Gamma, c \vdash e : T}$$

We also assume the following rule for conjunctions on the left and right:

$$\frac{\Gamma, \phi_1, \phi_2 \vdash \phi}{\Gamma, (\phi_1, \phi_2) \vdash \phi}$$

$$\frac{\Gamma \vdash \phi_1 \quad \Gamma \vdash \phi_2}{\Gamma \vdash (\phi_1, \phi_2)}$$

Existential quantification is governed by the following standard rules, specialized for the particular kinds of formulas we are dealing with:

$$\frac{\Gamma \vdash e : T[t/x] \quad \Gamma \vdash t : S}{\Gamma \vdash e : (x : S; T)}$$

$$\frac{\Gamma, x : S, c \vdash e : T \quad x \text{ fresh}}{\Gamma, (x : S; c) \vdash e : T} \text{ (EXISTS L-CONST)}$$

$$\frac{\Gamma, x : S, y : C\{c\} \vdash e : T \quad x \text{ fresh}}{\Gamma, y : C\{x : S; c\} \vdash e : T} \text{ (EXISTS L-CONST)}$$

8.6 Type inference rules

8.6.1 Expression typing judgement

Question: why bake in subsumption here

$$\frac{\sigma(\Gamma), \text{self} : C, c \vdash_C d \quad \Gamma \vdash C\{c\} : \text{type} \quad \Gamma \vdash C\{d\} : \text{type}}{\Gamma, x : C\{c\} \vdash x : C\{d\}} \text{ (T-VAR)}$$

This rule is different from the rule in the paper in that we explicitly require that $C\{c\}$ and $C\{d\}$ be well-formed types.

$$\frac{\Gamma \vdash e : U \quad \Gamma \vdash T : \text{type}}{\Gamma \vdash e \text{ as } T : T} \text{ (T-CAST)}$$

This rule differs from the rule in the paper in that there is no need to substitute a fresh variable for the receiver. Note that `this` may be free in S —that would be a reference to the current object in the code in which $e.f$ occurs, not a reference to the receiver of the $e.f$ field selection (i.e., the object obtained by evaluating e).

$$\frac{\Gamma \vdash e : S \quad \text{fields}(S) = \bar{f} : \bar{U}}{\Gamma \vdash e.f_i : (\text{this} : S; U_i)} \text{ (T-FIELD)}$$

TODO: type parameters!

Now we consider the rule for method invocation. Assume that in a type environment Γ the expressions e_0, \dots, e_n have the types T_0, \dots, T_n . Since the actual values of these expressions are not known, we shall assume that they take on some fixed but unknown values z_0, \dots, z_n of types T_0, \dots, T_n . Now, for z_0 as receiver, let us assume that the type T_0 has a method named m with signature $[\bar{Z}](\bar{z} : \bar{S})\{c\} \rightarrow U$ (Let $T_0 = C\{d\}$. If there is no method named m for the class C then this method invocation cannot be type-checked. Without loss of generality, we may assume that the type parameters of this method are named Z_1, \dots, Z_k , and the value parameters are named z_1, \dots, z_n since we are free to choose variable names as we wish.) Now, for the method to be invocable, it must be the case that the types T_1, \dots, T_n are subtypes of S_1, \dots, S_n . (Note that there may be no occurrences of `this` in S_1, \dots, S_n —they have been replaced by z_0 .) Further, it must be the case that for these parameter values, the constraint c is entailed. Given all these assumptions it must be the case that the return type is U , with all the parameters z_0, \dots, z_n existentially quantified.

$$\frac{\Gamma \vdash e_0 : T_0 \quad \Gamma \vdash \bar{e} : \bar{T} \quad \text{mtype}(T_0, m, z_0) = [\bar{Z}](\bar{z} : \bar{S})\{c\} \rightarrow U \quad z_0, \bar{z}, \bar{Z} \text{ fresh} \quad \Gamma' = \Gamma, \bar{Z} : \text{type}, z_0 : T_0, \bar{z} : \bar{T} \quad \sigma(\Gamma') \vdash_C (c, \bar{v} == \bar{Z}, \bar{T} <: \bar{S})}{\Gamma \vdash e_0.m[\bar{v}](\bar{e}) : (\bar{Z} : \text{type}; z_0 : T_0; \bar{z} : \bar{T}; U)} \text{ (T-INVK)}$$

$$\frac{\bar{Y} \text{ fresh} \quad \Gamma, \bar{Y} : \text{type} \vdash e_0.m[\bar{Y}](\bar{e}) : (\bar{Z} : \text{type}; z_0 : T_0; \bar{z} : \bar{T}; U)}{\Gamma \vdash e_0.m(\bar{e}) : (\bar{Z} : \text{type}; z_0 : T_0; \bar{z} : \bar{T}; U)} \text{ (T-INVK-INFERRED)}$$

$$\frac{\Gamma \vdash \bar{e} : \bar{T} \quad \text{fields}(C, z_0) = \bar{f} : \bar{S} \quad z_0, \bar{z}, \bar{Z} \text{ fresh} \quad \Gamma' = \Gamma, \bar{Z} : \text{type}, z_0 : C, \bar{z} : \bar{T}, z_0.\bar{f} == \bar{z} \quad \sigma(\Gamma') \vdash_C (\text{inv}(C, z_0), \bar{v} == \bar{Z}, \bar{T} <: \bar{S}) \quad \sigma(\Delta) \vdash_C}{\Gamma \vdash \text{new } C[\bar{v}](\bar{e}) : C\{z_0 : C; \bar{z} : \bar{T}; z_0.\bar{f} == \bar{z}, \text{self} == z_0\}} \text{ (T-NEW)}$$

8.6.2 Class OK judgement

The following rule is modified from what we had in the paper to ensure that all the types are well-formed (under the assumption `this : C`). Note that the variables \bar{x} are permitted to occur in the types T_0, \bar{T} , hence their typing assertions must be added to Γ .

$$\frac{\Gamma = \text{this} : C, \bar{x} : \bar{T} \quad \Gamma, c \vdash e : U \quad \Gamma, c \vdash U <: T}{\text{def } m[\bar{x}](\bar{x} : \bar{T})\{c\} : T = e \text{ OK in } C} \text{ (METHOD OK)}$$

This rule did not exist in our submission. This is necessary to ensure that the types of fields are well-formed.

$$\frac{\text{this} : C \vdash T : \text{type}}{\text{var } f\{c\} : T \text{ OK in } C} \text{ (FIELD OK)}$$

This rule is now modified to ensure that all the types and methods in the body of the class are well-formed.

$$\frac{\begin{array}{c} \bar{K} \text{ OK in } C \\ \bar{M} \text{ OK in } C \\ \bar{F} \text{ OK in } C \\ \text{this} : C \vdash T : \text{type} \end{array}}{\text{class } C[\bar{X}] (\bar{x} : \bar{T}) \{c\} \text{ extends } T \{ \bar{K} \bar{M} \bar{F} \} \text{ OK}} \quad (\text{CLASS OK})$$

8.6.3 Subtype judgement

$$\frac{\sigma(\Gamma) \vdash_C T_1 <: T_2}{\Gamma \vdash T_1 <: T_2}$$

9. Odds and ends

static methods cannot mention T

interfaces can have static methods; a property can implement I, allowing T.m() static calls

10. Implementation

This section describes an implementation approach for constrained types on a Java virtual machine. We describe the implementation as a translation to Java.

The design is a hybrid design based on the implementation of parameterized classes in NextGen [1, 2] and the implementation of PolyJ [3]. Generic classes are translated into template classes that are instantiated on demand at run time by binding the type properties to concrete types. To implement run-time type checking (e.g., casts), type properties are represented at run time using *adapter objects*.

This design, appropriately extended to handle language features not described in this paper, has been implemented in the X10 compiler. The X10 compiler is built on the Polyglot framework and translates X10 source to Java source¹

10.1 Method parameters

The first step in translation is to remove method parameters by introducing a generic member class for each generic method. The member class is static iff the method is static. Constructor type parameters are left unchanged. After this step, the code consists only of generic classes. The remaining translation introduces a run-time representation for the type properties of these classes.

10.2 Classes

Each class is translated into a *template class*. The template class is compiled by a Java compiler (e.g., javac) to produce a class file.

For each property T, a static member class named T is introduced. References to the type T continue to refer to T.

Constraints are erased from type references.

Constructors are translated to static methods of their enclosing class. Constructor calls are translated to calls to static methods.

Consider the code in Figure 2. It contains most of the features of generics that have to be translated.

10.3 Eliminating method type parameters

10.4 Run-time instantiation

In this translation the type properties are represented as instances of a *Type* class, analogous to `java.lang.Class`. Each generic class has a *Type*-typed field for each of its type properties initialized by the class's constructor. The *Type* objects are used to implement `instanceof` and cast operations.

¹ There is also a translation from X10 to C++ source, not described here.

```

expressions    e ::= ...
                |  T has Sig
signatures     Sig ::= def this[ $\bar{X}$ ] ( $\bar{x} : \bar{T}$ ) {c}: T
                |  def m[ $\bar{X}$ ] ( $\bar{x} : \bar{T}$ ) {c}: T
                |  val x{c}: T
                |  var x{c}: T

```

Figure 6. Grammar for structural constraints

```

interface Type {
    boolean instanceof$(Object x);
    <T> T cast$(Object x);
}

```

In this translation, which is partially based on the NextGen [1, 2] translation, a generic class is translated into a *base interface* and a *template class* that implements the base interface. At runtime, the first time a generic class is instantiated a class loader loads *template class*, rewriting the bytecode to instantiate the type properties as appropriate.

For example, the code for class C above is translated into the template class in Figure 4 with supporting classes Figure 5. When instantiating the template, the string “{0}” is substituted with the name of the actual type property.² Since methods of C can be called in a context where the property instantiation is not known, each method in the template class has to be implemented twice: once with an *Object* interface and once with an instantiated interface.

We translate `instanceof` and cast operations to calls to methods of a *Type* because the actual implementation of the operation may require run-time constraint solving or other complex code that cannot be easily substituted in when rewriting the bytecode during instantiation.

11. Structural constraints

XXX this is an extension of the type system

The type system is general enough to support not only subtyping constraints, but also structural constraints on types. The type system need not change except by extending the constraint system. The syntax for structural constraints is shown in Figure 6.

Structural constraints on types are found in many languages. Haskell [10] supports type classes. In Modula-3, type equivalence and subtyping are structural rather than nominal as in object-oriented languages of the C family such as C++, Java, Scala, and X10. The language PolyJ [3] allows type parameters to be bounded using structural where clauses, a form of F-bounded polymorphism [5]. For example, a sorted list class in PolyJ can be written as follows:

```

class SortedList[T] where T { int compare(T) } {
    void add(T x) { ... x.compare(y) ... }
}

```

The where clause states that the type parameter T must have a method `compare` with the given signatures.

To support this, X10 provides structural constraints on types. The analogous X10 code for `SortedList` is:

```

class SortedList[T] where T has compare(T): int {
    def add(x: T) = { ... x.compare(y) ... }
}

```

A structural constraint is of the form *Type* has *Signature*. A constraint is satisfied if the type has a member of the appropriate

² In a real implementation, the names would be mangled as appropriate.

```

class C[T] {
  var x: T;
  def this[T](x: T) { this.x = x; }
  def set(x: T) { this.x = x; }
  def get(): T { return this.x; }
  def map[S](f: T => S): S { return f(this.x); }
  def d() { return new D[T](); }
  def t() { return new T(); }
  def isa(y: Object): boolean { return y instanceof T; }
}

val x : C = new C[String]();
val y : C[int] = new C[int]();
val z : C{T <: Array} = new C[Array[int]]();
x.map[int](f);
new C[int{self==3}]() instanceof C[int{self<4}];

```

Figure 2. Code to translate

```

class C[T] where T has T() {
  var x: T;

  def this[T](x: T) { this.x = x; }

  def set(x: T) { this.x = x; }
  def get(): T { return this.x; }

  def d() { return new D[T](); }
  def t() { return new T(); }

  def isa(y: Object): boolean { return y instanceof T; }

  // Translation of map to an inner class
  class map$[T,S] {
    def apply(c: C[T], f: Fun1[T,S]) { return f(c.x); }
  }
}

val x : C = new C[String]();
val y : C[int] = new C[int]();
val z : C{T <: Array} = new C[Array[int]]();
new map$[x.T,int]().apply(x,f);
new C[int{self==3}]() instanceof C[int{self<4}];

```

Figure 3. After removing method parameters

name and with a compatible type. The constraint **X** has $f(T1): T2$ is satisfied by a type T if it has a method f whose type is a subtype of $(T1 \Rightarrow T2)[T/X]$. As an example, the constraint **X** has `equals(X): boolean` is satisfied by all three of the following classes:

```

class C { def equals(x: C): boolean; }
class D extends C { }
class E { def equals(x: Object): boolean; }

```

By using function types and where clauses on constructors, X10 can go further than PolyJ. Unlike in PolyJ, where the `compare` method must be provided by T , in X10 the `compare` function can be external to T . This is achieved as follows:

```

class SortedList[T] {
  val compare: (T,T) => int;
  def this(cmp: (T,T) => int) = { compare = cmp; }

```

```

  def add(x: T) = { ... compare(x,y) ... }
}

```

This permits `SortedList` to be instantiated using different compare functions:

```

val unixFiles    = new SortedList[String]
                  (String.compareTo.(String));
val windowsFiles = new SortedList[String]
                  (String.compareToIgnoreCase.(String));

```

But, a problem with this approach is that the `compare` function must be provided to the constructor at each instantiation of `SortedList`. The problem can be resolved by using constructors with different structural constraints:

```

class SortedList[T] {
  val compare: (T,T) => int;
  def this[T]() where T has compareTo(T): int = {

```



```

class C{0} implements C {
    final Type T = {0}$Type.it;
    {0} x;
    C{0}({0} x) { this.x = x; }

    void set$(Object x) { set(({0}) x); }
    void set({0} x) { this.x = x; }

    Object get$() { return ({0}) get(); }
    {0} get() { return this.x; }

    D d$() { return d(); }
    D{0} d() { return new D{0}(); }

    Object t$() { return t(); }
    {0} t() { return new {0}(); }

    boolean isa(Object y) { return T instanceof$(y); }

    static class map$Type extends Type {
        ...
        static map$Type instantiate$(Type T, Type S) { ... }
    }

    static class map$Type{0}{1} extends Map$Type {
        map$ new$() { return new map${0}{1}(); }
    }

    interface map$ {
        Object apply$(C c, Fun1 f);
    }

    class map${0}{1} implements map$ {
        final Type T = {0}$Type.it;
        final Type S = {1}$Type.it;
        Object apply$(C c, Fun1 f)
            { return apply((C{0}) c, (Fun1{0}{1}) f); }
        {1} apply(C{0} c, Fun1{0}{1} f) { return f(c.x); }
    }
}

C x = new C$string();
C$int y = new C$int();
C z = new C$array$int();
C.map$Type.instantiate$(x.T, int$Type.it).new$().apply$(x,f);
C$int$self$lt$4 instanceof$(new C$int$self$eqeq$3());

```

Figure 4. Translation to Java

```

    this[T](T.compareTo.(S));
}
def this[T](cmp: (T,T) => int) = { compare = cmp
def add(x: T) = { ... compare(x,y) ... }
}

```

Now, SortedList can be instantiated with any type that has a `compareTo` method without explicitly specifying the method at each constructor call.

12. Discussion

12.1 Type properties versus type parameters

Type properties are similar, but not identical to type parameters. The differences may potentially confuse programmers used to Java

generics or C++ templates. The key difference is that type properties are instance members and are thus accessible through access paths: `e.T` is a legal type.

Type properties, unlike type parameters, are inherited. For example, in the following code, `T` is defined in `List` and inherited into `Cons`. The property need not be declared by the `Cons` class.

```

class List[T] { }
class Cons extends List {
    def head(): T = { ... }
    def tail(): List[T] = { ... }
}

```

The analogous code for `Cons` using type parameters would be:

```

class Cons[T] extends List[T] {

```

```

class C$Type implements Type {
    static Type it = new C$Type();
    boolean instanceof$(Object x) { return x instanceof C; }

    static Map<Type,Type> instantiations;

    static Type instantiate$(Type T) {
        instantiations.get(T);
    }
}

class C{0}$Type implements Type {
    static Type it = new C{0}$Type();
    boolean instanceof$(Object x) { return x instanceof C{0}; }
}

interface C {
    void set$(Object x);
    Object get$();
    D d$();
    Object t$();
    boolean isa$(Object y);
}

```

Figure 5. Translation to Java

```

def head(): T = { ... }
def tail(): List[T] = { ... }
}

```

We can make the type system behave as if type properties were type parameters very simply. We need only make the syntax `e.T` illegal and permit type properties to be accessible only from within the body of their class definition via the implicit `this` qualifier.

12.2 Wildcards

Wildcards in Java [9, 21] were motivated by the following example (rewritten in X10 syntax) from [21]. Sometimes a class needs a field or method that is a list, but we don't care what the element type is. For methods, one can give the method a type parameter:

```
def aMethod[T](list: List[T]) = { ... }
```

This method can then be called on any `List` object. However, there is no way to do this for fields since they cannot be parameterized. Java introduced wildcards to allow such fields to be typed:

```
List<?> list;
```

In X10, a similar effect is achieved by not constraining the type property of `List`. One can write the following:

```
list: List;
```

Similarly, the method can be written without type parameters by not constraining `List`:

```
def aMethod(list: List) = { ... }
```

In X10, `List` is a supertype of `List[T]` for any `T`, just as in Java `List<?>` is a supertype of `List<T>` for any `T`. This follows directly from the definition of the type `List` as `List{true}`, and the type `List[T]` as `List{X==T}`, and the definition of subtyping.

Wildcards in Java can also be bounded. We achieve the same effect in X10 by using type constraints. For instance, the following Java declarations:

```

void aMethod(List<? extends Number> list) { ... }
<T extends Number> void aParameterizedMethod(List<T> list) { ... }

```

may be written as follows in X10:

```

def aMethod(list: List{T <: Number}) = { ... }
def aParameterizedMethod[T{self <: Number}](list: List[T])

```

Wildcard bounds may be covariant, as in the following example:

```

List<? extends Number> list = new ArrayList<Integer>();
Number num = list.get(0); // legal
list.set(0, new Double(0.0)); // illegal
list.set(0, list.get(1)); // illegal

```

This can also be written in X10, but with an important difference:

```

list: List{T <: Number} = new ArrayList[Integer]();
num: Number = list.get(0); // legal
list.set(0, new Double(0.0)); // illegal
list.set(0, list.get(1)); // legal! (when list is final)

```

Note because `list.get` has return type `list.T`, the last call in above is well-typed in X10; the analogous call in Java is not well-typed.

Finally, one can also specify lower bounds on types. These are useful for comparators:

```

class TreeSet[T] {
    def this[T](cmp: Comparator{T => this.T}) { ... }
}

```

Here, the comparator for any supertype of `T` can be used as to compare `TreeSet` elements.

Another use of lower bounds is for list operations. The map method below takes a function that maps a supertype of the class parameter `T` to the method type parameter `S`:

```

class List[T] {
    def map[S](fun: Object{self :> T} => S) : List[S] = { ... }
}

```

12.3 Proper abstraction

Consider the following example adapted from [21]:

```
def shuffle[T](list: List[T]) = {
  for (i: int in [0..list.size()-1]) {
    val xi: T = list(i);
    val j: int = Math.random(list.size());
    list(i) = list(j);
    list(j) = xi;
  }
}
```

The method is parameterized on T because the method body needs the element type to declare the variable xi.

However, the method parameter can be omitted by using the type list.T for xi. Thus, the method can be declared with the signature:

```
def shuffle(list: List) { ... }
```

This is called *proper abstraction*.

This example illustrates a key difference between type properties and type parameters: A type property is a member of its class, whereas a type parameter is not. The names of type properties are visible outside the body of their class declaration.

In Java, Wildcard capture allows the parameterized method to be called with any List, regardless of its parameter type. However, the method parameter cannot be omitted: declaring a parameterless version of shuffle requires delegating to a private parameterized version that “opens up” the parameter.

12.4 Virtual types

Type properties share many similarities with virtual types [12, 11], particularly with sound formulations of virtual types using path-dependent types, as found in gbeta [8], Scala [15], and J& [14]. Constrained types are more expressive than virtual types since they can be constrained at the use-site, can be refined on a per-object basis without explicit subclassing, and can be refined contravariantly as well as covariantly.

Thorup [19] proposed adding genericity to Java using virtual types. For example, a generic List class can be written as follows:

```
abstract class List {
  abstract typedef T;
  void add(T element) { ... }
  T get(int i) { ... }
}
```

This class can be refined by bounding the virtual type T above:

```
abstract class NumberList extends List {
  abstract typedef T as Number;
}
```

And this abstract class can be further refined to *final bind* T to a particular type:

```
class IntList extends NumberList {
  final typedef T as Integer;
}
```

These classes are related by subtyping: `IntList <: NumberList <: List`. Only classes where T is final bound can be non-abstract.

In X10, an analogous List class would be written as follows:

```
class List[T] {
  def add(element: T) = { ... }
  def get(i: int): T = { ... }
}
```

NumberList and IntList can be written as follows:

```
class NumberList extends List{T<:Number} { }
class IntList extends NumberList{T==Integer} { }
```

However, note that X10’s List is not abstract. Instances of List can instantiate T with a particular type and there is no need to declared classes for NumberList and IntList. Instead, one can use the types List[+Number] and List[Integer].

Unlike virtual types, type properties can be refined contravariantly. For instance, one can write the type List[-Integer], and even List{Integer<:T, T<:Number}.

13. Related work

[20] [21] [7] [13] [3] [2] [1] [11] [12] [19]

14. Conclusions

We have presented a preliminary design for supporting genericity in X10 using type properties. This type system generalizes the existing X10 type system. The use of constraints on type properties allows the design to capture many features of generics in languages like Java 5 and C# and then to extend these features with new more expressive power. We expect that the design admits an efficient implementation and intend to implement the design shortly.

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