Genericity through Dependent Types

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Abstract

Genericity is a key requirement for modern object-oriented languages. In this paper, we describe a design for generic types in the programming language X10. X10 has an expressive and powerful dependent type system in which types are specified by constraining the immutable state of objects. The immutable state of an object is represented by its *properties*, public final fields of the object. A *constrained type* then, is a defined by a class type and a boolean predicate on the properties of the class.

Generic types are defined in a natural extension of the dependent type system by first introducing *type properties* into the language, and then constraining those properties using subtyping constraints.

The type system presented here subsumes the expressive power of Java's generic types, virtual types, and generalized constraints proposed for C#. The system also admits an efficient implementation and eschews the pitfalls of a type erasure semantics. We describe also a local type inference algorithm for constrained types that permits type annotations and constraints to be elided by the programmer.

1. Introduction

X10 is a statically typed object-oriented language designed for high-performance computing [19]. The language extends a class-based sequential core language similar to Java with constructs for distribution and fine-grained concurrency. However, X10 does not yet support generic types, a standard feature of modern object-oriented languages. This paper presents a design for generics that is a natural extension of the language's core dependent type system.

The sequential semantics of X10 are similar to Java's X10 programs and execute on a Java virtual machine. After evaluating several existing proposals for generic types in Java-like languages [9, 23, 4, 17, 3, 21, 1, 2, 6, 7, 16], we concluded that these proposals were insufficient for our needs.

A problem with many of these proposals, and in particular with Java5 [9] and Scala [16], is that generic types are implemented via type erasure. Our design is not implemented via type erasure and, in addition, supports run-time introspection of generic types.

Another problem with many of these proposals is inadequate support for primitive types, especially arrays. The performance of primitive arrays is critical for the high-performance applications for which X10 is intended. These proposals introduce unnecessary boxing and unboxing of primitives. Our design does not require primitives be boxed.

The design of generics in X10 and is based on its existing dependent type system [19, 18]. To rule out large classes of errors statically, X10 provides *constrained types*, a form of dependent type defined on predicates over the immutable state of objects [19, 18]. The immutable state of an object is captured by its *value properties*: public final fields of the object. For instance, the following

class declares a two-dimensional point with properties x and y of type float:

```
class Point(x: float, y: float) { }
```

A constrained type is a type $C\{e\}$, where C is a class and e is a boolean predicate on the properties of C and the final variables in scope at the type. For example, given the above class definition, the type $Point\{x^*x+y^*y<1\}$ is the type of all points within the unit circle.

To support genericity these types are generalized to allow *type properties* and constraints on these properties. Like a value property, a type property is an instance member. The type properties of an object are bound to concrete types when the object is created. Types may be defined by constraining the type properties as well as the value properties of a class.

The following code declares a class Cell with a type property named T.

```
class Cell[T] {
    var x: T;
    def get(): T = x;
    def set(x: T) = { this.x = x: }
}
```

The class has a mutable field x, and has get and set methods for accessing the field.

This example shows that type properties are in many ways similar to type parameters as provided in object-oriented languages such as Java and Scala. Type properties are types in their own right: they may be used in any context a type may be used, including in instanceof and cast expressions. However, the key semantic distinction between type properties and type parameters is that type properties are instance members. Thus, for an expression e of type Cell, e.T is a type, equivalent to the concrete type to which T was initialized when the object e was instantiated. To ensure soundness, e is restricted to final access paths. Within the body of a class, a property name T resolves to this.T (or to C.this.T if T is a property of an enclosing class C), just as value properties are resolved.

As with value properties, type properties may be constrained by predicates to produce new types. X10 supports equality constraints, written $T_1 == T_2$, and subtyping constraints, written $T_1 <: T_2$. For instance, the type Cell{T==String} is the type of all Cells that contain a String.

In general, the syntax of a constrained type is C{c}, where C is a base class and C is a predicate on the properties of C. For brevity, a constraint can be written as a comma-separated list of conjuncts; that is, the constraint c1 && c2 can be written c1, c2.

Constraints on properties induce a natural subtyping relationship: $C\{c\}$ is a subtype of $D\{d\}$ if C is a subclass of D and c entails

We consider here only constraints on type properties. See Nystrom et al. [18] for a more thorough presentation of constrained types in X10. The following are legal types:

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• Cell. This type has no constraints on T. Any type that constrains T, those below, is a subtype of Cell. The type Cell is equivalent to Cell{true}. For a Cell c, the return type of the get method is c.T. Since the property T is unconstrained, the caller can only assign the return value of get to a variable of type c.T or of type Object. In the following code, y cannot be passed to the set method because it is not known if Object is a subtype of c.T.

```
val x: c.T = c.get();
val y: Object = c.get();
c.set(x); // legal
c.set(y); // illegal
```

• Cell{T==float}. The type property T is bound to float. Assuming c has this type, the following code is legal:

```
val x: float = c.get();
c.set(1.0);
```

The type of c.get() is c.T, which is equivalent to float. Similarly, the set method takes a float as argument.

Cell{T<:int}. This type constrains T to be a subtype of int.
 All instances of this type must bind T to a subtype of int. The following expressions have this type:

```
new Cell[int](1);
new Cell[int{self==3}](3);
```

The cell in the first expression may contain any int. The cell in the second expression may contain only 3. If c has the type Cell{T<:int}, then c.get() has type c.T, which is an unknown but fixed subtype of int. The set method of c can only be called with an object of type c.T.

• Cell{T:>String}. This type bounds the type property T from below. The set method may be called with any supertype of String; the return type of the get method is known to be a supertype of String (and implicitly a subtype of Object).

For brevity, the constraint may be omitted and interpreted as true. The syntax C[T1,...,Tm] (e1,...,en) is sugar for $C\{X1==T1,...,Xm==Tm,x1==e1,...,xn==en\}$ where Xi are the type properties and xi are the value properties of C. If either list of properties is empty, it may be omitted.

In this shortened syntax, a type argument T used may also be annotated with a *use-site variance tag*, either + or -: if X is a type property, then the syntax C[+T] is sugar $C\{X<:T\}$ and C[-T] is sugar $C\{X:T\}$; of course, C[T] is sugar $C\{X=T\}$.

The rest of the paper...

2. Overview of X10 syntax

Before describing the generic type system, we present an overview of X10's syntax and semantics. X10 is a class-based language similar to Java or Scala. Superficially, the language may be thought of as sequential Java with some elements of Scala syntax and with new constructs for concurrency and distribution. Like Java, the language provides both classes and interfaces; it does not yet support traits, as found in Scala.

Both classes and interfaces may define properties. Value properties may be considered to be public final fields. Whereas Java supports only static fields in interfaces, X10 allows interfaces to define value properties. Any class implementing an interface must declare, and initialize in its constructor, the properties inherited from the interface.

Classes may define fields, methods, and constructors. The declaration syntax, illustrated in the Cell example above, is similar to

```
class C[\overline{X}](\overline{x}; \overline{T})\{c\}
class
                           ::=
                                       extends T { \overline{K} \overline{M} \overline{F} }
                          ::=
                                   C
type
                                   e.X
                                   T{c}
                   K
                                   def this[\overline{X}](\overline{x}; \overline{T})\{c\}: T = e
constructor
                          ::=
method
                    M
                           ::=
                                   def m[\overline{X}](\overline{x}:\overline{T})\{c\}:T=e
field
                    F
                                   val x\{c\}: T = e
                           ::=
                                   var x\{c\}: T = e
constraint
                          ::=
                    C
expression
                    e
                           ::=
                                   true
                                   Х
                                   e<sub>1</sub> && e<sub>2</sub>
                                   e_1 == e_2
                                   T_1 <: T_2
                                   T_1 == T_2
```

Figure 1. X10 grammar

Scala's. Fields may be declared either val or var. A val is n *final* and must be assigned exactly once. Methods are declared with a def keyword. As in Java, methods may be declared static, however fields cannot. Constructor syntax is similar to method syntax and X10 adopts Scala's convention of using the name this for constructors. In X10, constructors have a return type, which constrains the properties of the new object.

A subset of the grammar for X10 is shown in Figure 1. We elide features of the language not relevant to this paper. In the grammar $[\alpha]$ denotes an optional occurrence of the sequence of symbols α , α^* denotes zero or more occurrences of α , and α^+ denotes one or more occurrences of α .

3. Generic types

3.1 Use-site variance

3.2 Subtyping

 $C\{c\}$ is a subtype of $D\{d\}$ if C is a subclass of D and c entails d.

4. Generic classes

Classes may be declared with any number of type properties and value properties. These properties can be constrained with a *class invariant*, specified by a where clause, a predicate on the properties of any instance of the class. The general form of a class definition is:

```
class C[X_1, ..., X_p](x_1: T_1, ..., x_k: T_k)

where c

extends B\{c_0\}

implements I_1\{c_1\}, ..., I_n\{c_n\} {...}
```

4.1 Definition-site variance

In a class definition, a type property may be declared with a *definition-site variance tag*, either + or -. A + tag indicates that the class is covariant on the property; that is, given a definition Cell[+T], if A <: B, then Cell[A] <: Cell[B]. Similarly, Cell[-T] indicates that T is contravariant in Cell; that is, if A <: B, then Cell[B] <: Cell[A].

A definition-site variance tag changes the meaning of the syntactic sugar for the type Cell[A]. If the property is covariant (i.e., is declared as +T), Cell[A] is sugar for $Cell\{T<:A\}$. If the property is contravariant (-T), then Cell[A] is sugar for $Cell\{T:>A\}$.

Otherwise, the property is invariant and Cell[A] is sugar for $Cell{T==A}.$

The compiler should issue a warning if a covariant property is used in a negative position (e.g., in a method parameter type) in its class definition, or if a contravariant property is used in a positive position (e.g., in a method return type). Without these restrictions, methods or fields with types dependent on the property would be safe, but not be accessible using the default instantiation (e.g., Cell[int]).

4.2 Class invariant

4.3 Method parameters

Methods and constructors may have type parameters. For instance, the List class below defines a map method that maps each element of a list of T to a value of another type S, constructing a new list of

```
class List[T] {
    val array: Array[T];
    def map[S](f: T \Rightarrow S): List[S] {
        val newArray = new Array[S](array.length);
        for (i in [0:array.length-1]) {
            newArray(i) = f(array(i));
        return new List(newArray);
    }
}
```

A parameterized method can is invoked by giving type arguments before the expression arguments. For example, the following code takes a list of Strings and returns a list of string lengths of type int

```
xs: List[String] = ...;
ys: List[int] = xs.map[int]((x: String) => x.length());
```

4.4 Method where clauses

Method and constructor parameters, both value parameters and type parameters, can be constrained with a where clause on the method. For type parameters, this feature is similar to generalized constraints proposed for C# [7]. In the following code, the T parameter is covariant and so the append methods below are illegal:

```
class List[+T] {
   def append(other: T): List[T] = { ... }
   def append(other: List[T]): List[T] = { ... }
```

However, one can introduce a method parameter and then constrain the parameter from below by the class's parameter: For example, in the following code,

```
class List[+T] {
                               def append[U](other: U): List[U] where T <: U = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be: } T = {The analogous code for Cons using type parameters would be analogous code for Cons using type parameters would be analogous code for Cons using type parameters would be analogous code for Cons using type parameters would be analogous code for Cons using type parameters would be analogous code for Cons using type parameters would be analogous code for Cons using type parameters would be analogous code for Cons using type parameters would be analogous code for Cons using type parameters would be analogous code for Cons using type parameters would be analogous code for Cons using type parameters would be analogous code for Cons using type parameters would be analogous code for Cons using type parameters would be ana
```

The constraints must be satisfied by the callers of append. For example, in the following code:

```
xs: List[Number];
ys: List[Integer];
xs = ys; // ok
xs.append(1.0); // legal
ys.append(1.0); // illegal
```

the call to xs. append is allowed and the result type is List [Number], but the call to ys. append is not allowed because the caller cannot show that Number <: Double.

4.5 Method overriding

Legal if any call to super method can call sub method. covariant return contravaraint args weaker where clause

4.6 Constructor definitions

Constructors are defined using the syntax def this:

```
def this [ [ TypeParameters ] ] ( [Formals] ) [ :
ConstructorDef .
                 ::=
                          [where Constraint] = Expression;
```

Constructors must ensure that all properties of the new object are initialized and that the class invariants of the object's class and its superclasses and superinterfaces hold.

Properties are initialized with a property statement. For instance, the constructor for Cell ensures that the type property T is bound.

```
def this[T](x: T) = { property[T](); this.x = x; }
```

The property statement is used to set all the properties of the new object simultaneously; the syntax is similar to a super constructor call.

If the property statement is omitted, the compiler implicitly initailizes the properties from the formal type and value parameters of the constructor. The property statement for Cell's constructor, for example, could have been omitted.

Constructors have "return types" that can specify an invariant satisfied by the object being constructed. The compiler verifies that the constructor return type and the class invariant are implied by the property statement and any super or this calls in the constructor body.

Classes that do not declare a constructor have a default constructor with a type parameter for each type property and a value parameter for each value property.

5. Discussion

5.1 Type properties versus type parameters

Type properties are similar, but not identical to type parameters. The differences may potentially confuse programmers used to Java generics or C++ templates. The key difference is that type properties are instance members and are thus accessible through access paths: e.T is a legal type.

Type properties, unlike type parameters, are inherited. For example gin the following code, T is defined in List and inherited //into Cons. The property need not be declared by the Cons class.

```
class List[T] { }
class Cons extends List {
    def head(): T = { \dots }
    def tail(): List[T] = { ... }
```

```
def head(): T = { \dots }
def tail(): List[T] = { ... }
```

We can make the type system behave as if type properties were type parameters very simply. We need only make the syntax e.T illegal and permit type properties to be accessible only from within the body of their class definition via the implicit this qualifier.

5.2 Wildcards

Wildcards in Java [9, 23] were motivated by the following example (rewritten in X10 syntax) from [23]. Sometimes a class needs a field or method that is a list, but we don't care what the element type is. For methods, one can give the method a type parameter:

```
def aMethod[T](list: List[T]) = { ... }
```

This method can then be called on any List object. However, there is no way to do this for fields since they cannot be parameterized. Java introduced wildcards to allow such fields to be typed:

```
List<?> list;
```

In X10, a similar effect is achieved by not constraining the type property of List. One can write the following:

```
list: List;
```

Similarly, the method can be written without type parameters by not constraining List:

```
def aMethod(list: List) = { ... }
```

In X10, List is a supertype of List[T] for any T, just as in Java List<?> is a supertype of List<T> for any T. This follows directly from the definition of the type List as List{true}, and the type List[T] as List{X==T}, and the definition of subtyping.

Wildcards in Java can also be bounded. We achieve the same effect in X10 by using type constraints. For instance, the following Java declarations:

```
void aMethod(List<? extends Number> list) { ... }
<T extends Number> void aParameterizedMethod(List<T
```

may be written as follows in X10:

```
def aMethod(list: List{T <: Number}) = { ... }</pre>
def aParameterizedMethod[T{self <: Number}](list: Li</pre>
```

Wildcard bounds may be covariant, as in the following example:

```
List<? extends Number> list = new ArrayList<Integer>
Number num = list.get(0);
                              // legal
list.set(0, new Double(0.0)); // illegal
list.set(0, list.get(1));
                              // illegal
```

This can also be written in X10, but with an important difference:

```
list: List{T <: Number} = new ArrayList[Integer]();</pre>
num: Number = list.get(0); // legal
list.set(0, new Double(0.0)); // illegal
list.set(0, list.get(1));
                              // legal! (when list is final)
```

Note because list.get has return type list.T, the last call in above is well-typed in X10; the analogous call in Java is not welltyped.

Finally, one can also specify lower bounds on types. These are useful for comparators:

```
class TreeSet[T] {
    def this[T](cmp: Comparator{T :> this.T}) { ...
```

Here, the comparator for any supertype of T can be used as to compare TreeSet elements.

Another use of lower bounds is for list operations. The map method below takes a function that maps a supertype of the class parameter T to the method type parameter S:

```
class List[T] {
    def map[S](fun: Object{self :> T} => S) : List[S] = { ...de} add(element: T) = { ... }
```

5.3 Proper abstraction

Consider the following example adapted from [23]:

```
def shuffle[T](list: List[T]) = {
    for (i: int in [0..list.size()-1]) {
        val xi: T = list(i);
        val j: int = Math.random(list.size());
        list(i) = list(j);
        list(j) = xi;
}
```

The method is parameterized on T because the method body needs the element type to declare the variable xi.

However, the method parameter can be omitted by using the type list.T for xi. Thus, the method can be declared with the signature:

```
def shuffle(list: List) { ... }
```

This is called *proper abstraction*.

This example illustrates a key difference between type properties and type parameters: A type property is a member of its class, whereas a type parameter is not. The names of type properties are visible outside the body of their class declaration.

In Java, Wildcard capture allows the parameterized method to be called with any List, regardless of its parameter type. However, the method parameter cannot be omitted: declaring a parameterless version of shuffle requires delegating to a private parameterized version that "opens up" the parameter.

5.4 Virtual types

Type properties share many similarities with virtual types [13, 12], particularly with sound formulations of virtual types using pathdependent types, as found in gbeta [8], Scala [16], and J& [15]. Constrained types are more expressive than virtual types since they can be constrained at the use-site, can be refined on a per-object basis without explicit subclassing, and can be refined contravariantly as well as covariantly.

Thorup [21] proposed adding genericity to Java using virtual types. For example, a generic List class can be written as follows:

```
abstract class List {
   abstract typedef T;
   void add(T element) { ... }
    T get(int i) { ... }
```

This class can be refined by bounding the virtual type T above:

```
abstract class NumberList extends List {
    abstract typedef T as Number;
```

And this abstract class can be further refined to final bind T to a particular type:

```
class IntList extends NumberList {
    final typedef T as Integer;
```

These classes are related by subtyping: IntList <: NumberList <: $\label{list.only classes where T is final bound can be non-abstract.}$

In X10, an analogous List class would be written as follows:

```
class List[T] {
   def get(i: int): T = { ... }
```

Figure 2. Grammar for structural constraints

NumberList and IntList can be written as follows:

```
class NumberList extends List{T<:Number} { }
class IntList extends NumberList{T==Integer} { }</pre>
```

However, note that X10's List is not abstract. Instances of List can instantiate T with a particular type and there is no need to declared classes for NumberList and IntList. Instead, one can use the types List[+Number] and List[Integer].

Unlike virtual types, type properties can be refined contravariantly. For instance, one can write the type List[-Integer], and even List{Integer<:T, T<:Number}.

6. Constraint system

7. Structural constraints

The type system is general enough to support not only subtyping constraints, but also structural constraints on types. The type system need not change except by extending the constraint system. The syntax for structural constraints is shown in Figure 2.

Structural constraints on types are found in many languages. Haskell [11] supports type classes. In Modula-3, type equivalence and subtyping are structural rather than nominal as in object-oriented languages of the C family such as C++, Java, Scala, and X10. The language PolyJ [3] allows type parameters to be bounded using structural where clauses, a form of F-bounded polymorphism [5]. For example, a sorted list class in PolyJ can be written as follows:

```
class SortedList[T] where T { int compare(T) } {
    void add(T x) { ... x.compare(y) ... }
}
```

The where clause states that the type parameter T must have a method compare with the given signatures.

To support this, X10 provides structural constraints on types. The analogous X10 code for SortedList is:

```
class SortedList[T] where T has compare(T): int {
   def add(x: T) = { ... x.compare(y) ... }
}
```

A structural constraint is of the form *Type* has *Signature*. A constraint is satisfied if the type has a member of the appropriate name and with a compatible type. The constraint X has f(T1): T2 is satisfied by a type T if it has a method f whose type is a subtype of $(T1 \Rightarrow T2)[T/X]$. As an example, the constraint X has equals (X): boolean is satisfied by all three of the following classes:

```
class C { def equals(x: C): boolean; }
class D extends C { }
class E { def equals(x: Object): boolean; }
```

By using function types and where clauses on constructors, X10 can go further than PolyJ. Unlike in PolyJ, where the compare method must be provided by T, in X10 the compare function can be external to T. This is achieved as follows:

```
class SortedList[T] {
   val compare: (T,T) => int;
   def this(cmp: (T,T) => int) = { compare = cmp; }
   def add(x: T) = { ... compare(x,y) ... }
}
```

This permits **SortedList** to be instantiated using different compare functions:

But, a problem with this approach is that the compare function must be provided to the constructor at each instantiation of SortedList. The problem can be resolved by using constructors with different structural constraints:

```
class SortedList[T] {
   val compare: (T,T) => int;
   def this[T]() where T has compareTo(T): int = {
        this[T](T.compareTo.(S));
   }
   def this[T](cmp: (T,T) => int) = { compare = cmp; }
   def add(x: T) = { ... compare(x,y) ... }
}
```

Now, SortedList can be instantiated with any type that has a compareTo method without explicitly specifying the method at each constructor call.

8. Type inference

Because constrained types can be verbose, X10 supports type inference to reduce the type annotation burden on the programmer.

The type inference algorithm allows types to be omitted altogether from many declarations and from method and constructor invocations. The algorithm also allows programmers to write a partially constrained type or just the base type in a declaration and to have a more precise constrained type inferred. For instance, it infers the type int{self==3} for the local variables x, y, z in the following code:

```
val x = 3;
val y: int = x;
val z: int{self>0} = x;
```

The algorithm is local: method and constructor parameter types, as well as the types of mutable fields, must be declared explicitly. For non-private members, this requirement is essential for enabling separate compilation. Limiting the scope of inference also eliminates one cause of potentially confusing error messages when types cannot be inferred.

The algorithm uses the subtyping constraint system described in Section ??.

In general, an expression may have more than one satisfying type.

One requirement of the algorithm is that it report not only that there exists a satisfying assignment, but also reports the assignment itself. The algorithm chooses the most precise assignment.

Because methods can be overridden, the inferred return type may be too precise, preventing subclasses from overriding the method.

$$\begin{split} & \Gamma \vdash e_0 : C\{c\} \\ & \Gamma \vdash \textit{mtype}(C, m) = [X_1, \dots, X_k](x_1 : T_1, \dots, x_n : T_n)\{d\} \rightarrow T \\ & \frac{\Gamma \vdash_C \exists \mathsf{this} : C\{c\}. \exists x_i : T_i.S_i <: T_i \land d}{\Gamma \vdash_{e_0}.m(e_1, \dots, e_n) : T} \end{split}$$

```
Need to materialize the constraints.

    uniformitial on equality constraints
    solve the subtyping constraints -- collapse cycles into union find z.

Finally, in this snippet, the algorithm should infer the type Collection{T
if SCC has a contradiction complain
1. union-find on equality constraints
         if SCC has a contradiction, complain
3. materialize bounds
impl: use XConstraint for union-find
         - T <: S
         - whenever we ask if T <: S, ask TypeSystem, then add <:(T,S) def union[T](a: Set[T], b: Set[T]) : Set[T];
            to constraint if true
         - whenever we ask if T == S, ask TypeSystem, the Thadhio (The thood cannot be called with just arguments of type
            to constraint if true
Based on Henglein, TAPOS 99
lower bound of X
         union type of types Ti with Ti --> X
upper bound of X
         interesection type of types Ti with X --> Ti
C\{c\} \& D\{d\} = (C\&D)\{c||d\}
C\{c\} \mid D\{d\} = (C|D)\{c\&d\}
C\&D = gcd(C,D)
C|D = lca(C,D)
X <: int ||
X <: float
-->
X <: int|float
-->
X <: number
int <: X || float <: X
int&float <: X
-->
void <: X
p==q || p==q
p==q || p==r
                 -->
                      true
S<:T || S<:U -->
                      S<: (T|U)
T<:S || U<:S -->
                      (T&U)<:S
```

Types can be inferred if the constraints are satisfied.

A key difference is that X10 supports where clauses that constrain method and constructor type and value arguments. The algorithm should infer not only the base type of a constrained type, but also the type and value constraints.

X10 should perform type inference of local variable types and of type arguments for method and constructor calls.

Consider the following method from [23]:

```
def choose[T](a: T, b: T): T { ... }
```

In the following snippet, the algorithm should infer the type Collection for x.

```
intSet: Set[int];
stringList: List[String];
val x = choose(intSet, stringList);
```

for y.

```
intSet: Set[int];
intList: List[int];
val y = choose(intSet, intList);
```

```
intSet: Set[int];
numList: List{T <: Number};</pre>
val z = choose(intSet, numList);
```

The inference algorithm for Java 5 produces analogous results.

Now, consider the following example:

```
set1: Set;
set2: Set;
val a = union(set1, set2);
```

This is illegal because the type system cannot demonstrate that set1.T and set2.T are equal. The following, however, is accept-

```
set1: Set;
set2: Set[set1.T];
val a = union(set1, set2);
```

As another example from [23], consider the following method signature:

```
def unmodifiableSet[T](set: Set[T]): Set[T];
```

In Java, this method could be called with an argument of type Set<?>. This instantiates the method on ?; that is, the wildcard is captured by the call, since any element type will be safe. A type variable can capture only one wildcard.

In X10, the method can be called with just a Set because there are no constraints on T. Using desugared syntax, the method is equivalent to:

```
def unmodifiableSet[T](set: Set{self.T==T}): Set{self.T==T}
```

Any Set can be passed in: for an argument e, the method is instantiated on e.T. Note that if this method were defined as:

```
def unmodifiableSet(set: Set): Set;
```

then the connection between the element types of the argument and of the return types would be broken. However, in X10, one could write use the following signature to keep the connection:

```
def unmodifiableSet(set: Set): Set[set.T];
```

9. Semantics

To illustrate the concepts behind constrained type-checking, we formalize the type system in a small calculus CFGJ based on Featherweight Java [10]. The calculus is an extension of Constrained Featherweight Java [18].

This section has not been checked carefully and probably contains many errors of omission and comission.

The language is functional in that assignment is not admitted. However, it is not difficult to introduce the notion of mutable fields, and assignment to such fields. Since constrained types may only refer to immutable state, the validity of these types is not compromised by the introduction of state. Further, we do not formalize overloading of methods. Rather, as with FJ, we simply require that the input program be such that the class name C and method name m uniquely select the associated method on the class. We do model And in this snippet, the algorithm should infer the type Collection[int]properties, constrained clauses, class invariants, where clauses in methods and constructors, and dependent type casts.

```
Constraint term
                                                      t
                                                                  ::=
Constraint
                                                 c, d
                                                                  ::=
Class
                                                      L
                                                                  ::=
Method
                                                      M
                                                                  ::=
                                                                                 \operatorname{\mathtt{def}} \operatorname{\mathtt{m}}[\overline{\mathtt{X}}](\overline{\mathtt{x}}:\overline{\mathtt{T}}): \operatorname{\mathtt{T}} \operatorname{\mathtt{where}} \operatorname{\mathtt{c}} = \operatorname{\mathtt{e}}
Expression
                                                       e
                                                                  ::=
                                                                                 C\{d\} \mid X \mid t.X
Type
                                           S. T. U
```

Figure 3. CFGJ Syntax

9.1 Constraint system

Constraints are assumed to be drawn from a fixed constraint system, C, with inference relation \vdash_C [20]. All constraint systems are required to support the trivial constraint true, conjunction, existential quantification and equality on constraint terms. Constraint terms include final variables (including this), the special variable self (which may occur only in constraints c and not in expressions e), field selections t.f, type variables, and type selections t.X.

The syntax for the language is specified in Figure 3. In the syntax, "," binds tighter than ";". We use the syntax (x: T) c for the constraint obtained by existentially quantifying the variable x of type T in c, and the syntax [X] c for the constraint obtained by existentially quantifying the type variable X in c. p ranges over the collection of predicates supplied by the underlying constraint system, and g over the collection of functions.

A class declaration class $C(\overline{f} : \overline{T})$ where c extends $D\{d\} \{\overline{M}\}$ declares a class C with the fields \overline{f} (of type \overline{T}), a declared class invariant c, a superclass invariant d and a collection of methods $\overline{\mathbf{M}}$. The constraints c and d are true for all instances of the class C (this is verified in the rule for type-checking constructors, T-NEW). In these constraints, this may be used to reference the current object; self does not have any meaning and must not be used.

A method declaration def $m[\overline{X}](\overline{x}:\overline{T}):T_0$ where c $\{\ldots\}$ specifies the type of the arguments and the result, as usual. The formal type parameters \overline{X} and formal value parameters \overline{X} may occur in the argument types \overline{T} and the return type T_0 . The constraint c specifies additional constraints on the parameters and on this that must hold for a method invocation to be legal. Note that self does not make sense in c (since no type is being defined), and must not occur in c.

A type is taken to be of the form $C\{c\}$ where C is the name of a class or interface and c is a constraint; we say that C is the base of the type $C\{c\}$. We use the following shorthand for types: we write $(x : S) C\{c\}$ for $C\{(x : S) c\}$, $[X] C\{c\}$ for $C\{[X] c\}$, and d, $C\{c\}$ for $C\{d,c\}$.

We denote the application of the substitution $\theta = \lceil \overline{t} / \overline{x} \rceil$ to a constraint c by $c[\overline{t}/\overline{x}]$. Application of substitutions is extended to types by: $C\{c\}\theta = C\{c\theta\}$.

We summarize here properties of constraint systems described in [20] that are needed for the proofs: constraint systems may be thought of as presented via an intuitionistic Gentzen proof system supporting identity; affine and exchange on the left; existential quantification and conjunction on the left and right; and closure under substitution of terms. All constraint systems are required to satisfy: new $C[\overline{T}](\overline{t}).f_i = t_i$ and new $C[\overline{T}](\overline{t}).X_i = T_i$ provided that $fields(C) = \overline{f} : \overline{S}$ (for some sequence of types \overline{S}) and $types(C) = \overline{X}$.

9.2 Static semantics

Typing judgments, shown in Figure 4 are of the form $\Gamma \vdash e : T$ where Γ is a multiset of type assertions x : T, kind assertions X, and constraints c. When Γ is empty, it is omitted.

A type assertion $x : C\{c\}$ constrains the variable x to contain references to only those objects o that are instances of (subclasses of) C and for which the constraint c is true provided that occurrences of self in c are replaced by o. Thus in the constraint c of

 $self \mid x \mid t.f \mid X \mid t.X \mid new C[\overline{T}](\overline{t})a constrained type C[c], self may be used to reference the object$ true |p[T](t)| t = t | c, c | (x:T) c whissetype is being specified. Note that self is distinct from this: ${\tt class} \ C[\overline{X}](\overline{x}:\overline{T}) \ {\tt where} \ c \ {\tt extends} \ T \ \{\overline{{\tt M}}\} \qquad {\tt this} \ is \ permitted \ to \ occur \ in \ the \ clause \ of \ a \ type \ T \ only \ if \ T \ occurs$ in an instance field declaration or instance method declaration of $x \mid e.f \mid e.m[\overline{T}](\overline{e}) \mid new \ C[\overline{T}](\overline{e}) \mid e \ as \ T \ a \ class; \ as \ usual, \ this \ is \ considered \ bound \ to \ the \ instance \ of \ the$ class to which the field or method declaration applies.

The definition of mtype(C,m) (the signature of a method named m in class C), mbody(C,m), (the body associated with method m in type C) and fields(C) (the sequence of fields and their types inherited or defined at C) is essentially as specified in FJ [10] with the difference that the method of a signature is taken to be of the form $[\overline{X}](\overline{x}:\overline{S}) \rightarrow_{C} T$. The variables x and type variables X are permitted to occur in the types \overline{S} and T, and in the constraint c, and are considered bound, and subject to alpha-renaming. The definitions of mtype, mbody, fields are extended to apply to constrained types by ignoring the constraint. For a substitution θ we define $mtype(T, m, \theta)$ as the signature obtained by applying θ to mtype(T, m), renaming bound variables as necessary. Similarly, for a substitution θ we define $fields(T, \theta)$ to be $\overline{f} : \overline{S}\theta$, if the sequence of inherited and defined fields of the class underlying the type T is \overline{f} : \overline{S} . We let fields(T, x)stand for fields(T, [x/self])).

We define $\sigma(\Gamma)$ to be the set of constraints obtained from Γ by replacing each type assertion $x : C\{d\}$ in Γ with d[x/self], inv(C,x)and retaining any constraint in Γ .

T-VAR extends the identity rule $(\Gamma, x : C \vdash x : C)$ of FJ to take into account the constraint entailment relation.

T-CAST encapsulates the three inference rules of FJ: T-UCAST, T-DCAST and T-SCAST for upwards cast, downwards cast, and "stupid" cast respectively.

This paragraph is out-of-date. In T-FIELD, we postulate the existence of a receiver object o of the given static type (T_0) . $fields(T_0, o)$ is the set of typed fields for T_0 with all occurrences of this replaced by o. We record in the resulting constraint that $o.f_i = self.$ This permits transfer of information that may have been recorded in T_0 about the field f_i .

This paragraph is out-of-date. Similarly, in T-INVK we postulate the existence of a receiver object o of the given static type. For any type T, object o of type T and method name m, let mtype(T, m, o) be a copy of the signature of the method with this replaced by o. We establish (under the assumption that the formals (\overline{z}) have the static type of the actuals)² that actual types are subtypes of the formal types, and the method constraint is satisfied. This permits us to record the constraint d on the return type, with the formal variables \overline{z} existentially quantified.³

This paragraph is out-of-date. In T-NEW, similarly, we establish that the static types of the actual arguments to the constructor are subtypes of the declared types of the field, and contain enough information to satisfy the class invariant, c. The declared types (and c) contain references to this.f; these must be replaced by the formals f, which carry information about the static type of the actuals. Note that the object o we hypothesized in an analogous situation in T-INVK does not exist; it will exist on successful invocation of the constructor. The constrained clause of the new expression contains all the information that can be gleaned from the static types of the actuals by assigning them to the corresponding fields of the object being created.

¹ A new name o is necessary to name this object since e cannot be used. Arbitrary term expressions e are not permitted in constraints; the functions used in e may not be known to the constraint system, and e may have sideeffects.

² This is stronger than assuming \overline{Z} .

³ Recall that the \overline{z} may occur in d but must not occur in a type in the calling environment; hence they must be existentially quantified in the resulting constraint.

$$\frac{\Gamma + e : U}{\Gamma, \mathbf{x} : \mathsf{C}\{e\}} \vdash \mathbf{x} : \mathsf{C}\{d\}}{\Gamma, \mathbf{x} : \mathsf{C}\{e\}} \vdash \mathbf{x} : \mathsf{C}\{d\}}$$
 (T-VAR)
$$\frac{\Gamma \vdash e : \mathsf{U}}{\Gamma \vdash e \text{ as } \mathsf{T} : \mathsf{T}}$$
 (T-CAST)
$$\frac{\Gamma \vdash e : \mathsf{T}_0 \quad \mathit{fields}(\mathsf{T}_0, \mathsf{z}_0) = \bar{\mathbf{f}} : \bar{\mathsf{U}} \quad (\mathsf{z}_0 \text{ fresh})}{\Gamma \vdash e . f_i : ((\mathsf{z}_0 : \mathsf{T}_0) \ \mathsf{z}_0 = \text{this}, \mathsf{z}_0 . f_i = \text{sel} f) \ \mathsf{U}_i}$$
 (T-Field)
$$\frac{\Gamma \vdash e : \mathsf{T}_0 \quad \mathit{fields}(\mathsf{T}_0, \mathsf{z}_0) = \bar{\mathbf{f}} : \bar{\mathsf{U}} \quad (\mathsf{z}_0 : \mathsf{T}_0) + \mathsf{v}_0 : \mathsf{C}}{\mathit{mtype}(\mathsf{c}, \mathsf{mt}, \mathsf{x}_0) = [\bar{\mathbf{x}}] (\bar{\mathbf{x}} : \bar{\mathsf{U}}) \to \mathsf{U}}$$
 (T-Field)
$$\frac{\Gamma \vdash e : \mathsf{T}}{\Gamma \vdash \mathsf{T}} \vdash \mathsf{T} : \bar{\mathsf{U}} \quad \mathsf{G}(\Gamma) \vdash \mathsf{p}_0 = (\mathsf{x}_0, \bar{\mathsf{x}}) \, \mathsf{fresh})}{\Gamma \vdash e_0 \, \mathsf{m}[\bar{\mathsf{S}}] (\bar{e}) : [\bar{\mathsf{X}}] (\mathsf{x}_0, \bar{\mathsf{x}} : \mathsf{T}_0, \bar{\mathsf{T}}) \, \mathsf{U}}$$
 (T-Invk)
$$\frac{\Gamma \vdash \bar{e} : \bar{\mathsf{T}}}{\Gamma \vdash \mathsf{T} : \bar{\mathsf{U}}} \quad \mathsf{G}(\mathsf{T}, \mathsf{x}_0) : (\mathsf{x}_0 : \mathsf{T}_0, \bar{\mathsf{T}}_0) \, \mathsf{U}}$$
 (T-Invk)
$$\frac{\Gamma \vdash \bar{e} : \bar{\mathsf{T}}}{\Gamma \vdash \mathsf{E}_0 \, \mathsf{m}[\bar{\mathsf{S}}] (\bar{e}) : [\bar{\mathsf{X}}] \, \mathsf{x}_0, \bar{\mathsf{x}} : \mathsf{T}_0, \bar{\mathsf{T}}_0) \, \mathsf{U}}$$
 (T-New)
$$\frac{\Gamma \vdash \bar{e} : \bar{\mathsf{T}}}{\Gamma, \mathsf{X}, \bar{\mathsf{T}}, \mathsf{x}, \mathsf{x}_0 : (\mathsf{x}, \bar{\mathsf{x}}, \bar{\mathsf{T}}_0) \, \mathsf{U}_0}$$
 (T-New)
$$\frac{\Gamma \vdash \bar{\mathsf{E}} : \bar{\mathsf{U}}}{\Gamma \vdash \mathsf{nev}(\mathsf{C}[\bar{\mathsf{S}}] (\bar{e}) : (\bar{\mathsf{C}}(\bar{\mathsf{x}}, \bar{\mathsf{T}}_0) \, \mathsf{U}_0, \bar{\mathsf{U}}_0)}$$
 (T-New)
$$\frac{\Gamma \vdash \bar{\mathsf{E}} : \bar{\mathsf{U}}}{\Gamma \vdash \mathsf{nev}(\mathsf{C}[\bar{\mathsf{S}}] (\bar{e}) : (\bar{\mathsf{C}}(\bar{\mathsf{x}}, \bar{\mathsf{T}}_0) \, \mathsf{U}_0, \bar{\mathsf{U}}_0)}$$
 (T-New)
$$\frac{\Gamma \vdash \bar{\mathsf{E}} : \bar{\mathsf{U}}}{\Gamma \vdash \mathsf{nev}(\mathsf{C}[\bar{\mathsf{S}}]) : (\bar{\mathsf{C}}(\bar{\mathsf{X}}, \bar{\mathsf{T}}_0) \, \mathsf{U}_0, \bar{\mathsf{U}}_0)}$$
 (T-New)
$$\frac{\Gamma \vdash \bar{\mathsf{E}} : \bar{\mathsf{U}}}{\Gamma \vdash \mathsf{e}, \mathsf{U}} \quad \mathsf{U}_0 \vdash \mathsf{U}_0 : \bar{\mathsf{U}}_0 + \bar{\mathsf{U}}_0 +$$

Figure 4. CFGJ semantics

 $\sigma(\Gamma, x : C\{c\}) \vdash_{\mathcal{C}} d[x/self]$

$$\frac{\mathit{fields}(C) = \overline{f} : \overline{U}}{(\mathsf{new}\,C[\overline{T}](\overline{e})).f_i \to e_i} \tag{R-Field}$$

$$\frac{\vdash \mathsf{C} <: \mathsf{T}[\mathsf{new} \ \mathsf{C}[\overline{\mathsf{T}}](\overline{\mathsf{e}})/\mathsf{self}]}{\mathsf{new} \ \mathsf{C}[\overline{\mathsf{T}}](\overline{\mathsf{e}}) \ \mathsf{as} \ \mathsf{T} \to \mathsf{new} \ \mathsf{C}[\overline{\mathsf{T}}](\overline{\mathsf{e}})} \tag{R-CAST}$$

$$\frac{\textit{mbody}(\textbf{m},\textbf{C}) = \overline{x}.\textbf{e}_0}{(\texttt{new C}[\overline{\textbf{T}}](\overline{\textbf{e}})).\textbf{m}(\overline{\textbf{d}}) \rightarrow \textbf{e}_0[\overline{\textbf{d}}/\overline{\textbf{x}},\texttt{new C}[\overline{\textbf{T}}](\overline{\textbf{e}})/\texttt{this}]} \tag{R-Invk}$$

$$\frac{e \rightarrow e'}{e.f_i \rightarrow e'.f_i} \tag{RC-Field}$$

$$\frac{e \rightarrow e'}{e \text{ as } T \rightarrow e' \text{ as } T} \tag{RC-CAST}$$

$$\frac{{\sf e}_0 \to {\sf e}_0{'}}{{\sf e}_0.{\tt m}(\overline{\sf e}) \to {\sf e}_0{'}.{\tt m}(\overline{\sf e})} \tag{RC-Invk-Recv}$$

$$\frac{\mathbf{e}_{i} \rightarrow \mathbf{e}_{i}'}{\mathbf{e}_{0}.\mathtt{m}(\ldots, \mathbf{e}_{i}, \ldots) \rightarrow \mathbf{e}_{0}.\mathtt{m}(\ldots, \mathbf{e}_{i}', \ldots)}$$
(RC-Invk-Arg)

$$\frac{\mathbf{e}_i \to \mathbf{e}_i'}{\mathsf{new}\,\mathsf{C}[\overline{\mathbf{T}}](\dots,\mathbf{e}_i,\dots) \to \mathsf{new}\,\mathsf{C}[\overline{\mathbf{T}}](\dots,\mathbf{e}_i',\dots)} \tag{RC-New-Arg}$$

Figure 5. CFGJ semantics

Let C be a class declared as

class
$$C(\overline{f} : \overline{T})$$
 where c extends $D\{d\}\{\overline{M}\}$.

Let θ be a substitution and the type T be based on C. We define $inv(T,\theta)$ as the conjunction $c\theta,d\theta$ and (recursively) $inv(D,\theta)$. We bottom out with $inv(Object,\theta) = true$. For a variable x, we use the shorthand inv(C,x) to mean inv(C,[x/self]).

We add two subtyping rule to the rules of FJ. The first, S-DEP, requires that the subtype constraint entail the supertype constraint. Whenever we state an assumption of the form "x is fresh" in a rule we mean it is not free in the consequent of the rule. The second rule, S-Constraint, states that one type is a subtype of another if the constraint system says so.

The operational semantics are essentially those of FJ.

10. Implementation

This section describes a possible implementation approach that performs run-time instantiation of classes, similar to the implementation of parameterized classes in NextGen [1, 2]. We describe only the translation to Java; an obvious translation strategy for the C++ backend would use templates, but the details have not been worked out. Another possible translation, based on the implementation of PolyJ [3], uses *adapter objects* to allow generic code to invoke methods of instances of the its type properties.

The translation is described by example. A more thorough and complete description will be given after some experience with the actual implementation.

Consider the code in Figure 6. It contains most of the features of generics that have to be translated.

10.1 Eliminating method type parameters

The first step in translation is to remove method parameters by introducing generic inner class for each generic method. Constructor type parameters are not changed. In addition, function type T => S is translated to Fun1[T, S]. This step produces the code in Figure 7: At this point, the code consists only of generic classes. The remaining translation introduces a run-time representation for the type properties of these classes.

10.2 Run-time instantiation

In this translation the type properties are represented as instances of a Type class, analogous to java.lang.Class. Each generic class has a Type-typed field for each of its type properties initialized by the class's constructor. The Type objects are used to implement instanceof and cast operations.

```
interface Type {
   boolean instanceof$(Object x);
   <T> T cast$(Object x);
}
```

In this translation, which is partially based on the NextGen [1, 2] translation, a generic class is translated into a *base interface* and a *template class* that implements the base interface. At runtime, the first time a generic class is instantiated a class loader loads *template class*, rewriting the bytecode to instantiate the type properties as appropriate.

For example, the code for class C above is translated into the template class in Figure 8 with supporting classes Figure 9. When instantiating the template, the string " $\{0\}$ " is substituted with the

```
class C[T] {
    var x: T;
    def this[T](x: T) { this.x = x; }
    def set(x: T) { this.x = x; }
    def get(): T { return this.x; }
    def map[S](f: T => S): S { return f(this.x); }
    def d() { return new D[T](); }
    def t() { return new T(); }
    def isa(y: Object): boolean { return y instanceof T; }
}

val x : C = new C[String]();
val y : C[int] = new C[int]();
val z : C{T <: Array} = new C[Array[int]]();
x.map[int](f);
new C[int{self==3}]() instanceof C[int{self<4}];</pre>
```

Figure 6. Code to translate

```
class C[T] where T has T() {
    var x: T;
    def this[T](x: T) { this.x = x; }
    def set(x: T) { this.x = x; }
    def get(): T { return this.x; }
    def d() { return new D[T](); }
    def t() { return new T(); }
   def isa(y: Object): boolean { return y instanceof T; }
    // Translation of map to an inner class
   class map$[T,S] {
        def apply(c: C[T], f: Fun1[T,S]) { return f(c.x); }
}
val x : C = new C[String]();
val y : C[int] = new C[int]();
val z : C{T <: Array} = new C[Array[int]]();</pre>
new map$[x.T,int]().apply(x,f);
new C[int{self==3}]() instanceof C[int{self<4}];</pre>
```

Figure 7. After removing method parameters

name of the actual type property. Since methods of C can be called in a context where the property instantiation is not known, each method in the template class has to be implemented twice: once with an Object interface and once with an instantiated interface.

We translate instanceof and cast operations to calls to methods of a Type because the actual implementation of the operation may require run-time constraint solving or other complex code that cannot be easily substituted in when rewriting the bytecode during instantiation.

11. Related work

[22] [23] [7] [14] [3] [2] [1] [12] [13] [21]

12. Conclusions

We have presented a preliminary design for supporting genericity in X10 using type properties. This type system generalizes the existing X10 type system. The use of constraints on type properties allows the design to capture many features of generics in languages like Java 5 and C# and then to extend these features with new more expressive power. We expect that the design admits an efficient implementation and intend to implement the design shortly.

⁴ In a real implementation, the names would be mangled as appropriate.

```
class C{0} implements C {
    final Type T = \{0\}$Type.it;
    C{0}({0} x) { this.x = x; }
    void set$(Object x) { set(({0}) x); }
    void set(\{0\} x) \{ this.x = x; \}
    Object get$() { return ({0}) get(); }
    {0} get() { return this.x; }
    D d$() { return d(); }
    D{0} d() { return new D{0}(); }
    Object t$() { return t(); }
    {0} t() { return new {0}(); }
    boolean isa(Object y) { return T.instanceof$(y); }
    static class map$Type extends Type {
        static map$Type instantiate$(Type T, Type S) { ... }
    static class map$Type{0}{1} extends Map$Type {
        map$ new$() { return new map${0}{1}(); }
    interface map$ {
        Object apply$(C c, Fun1 f);
    class map${0}{1} implements map$ {
        final Type T = {0}$Type.it;
        final Type S = {1}$Type.it;
        Object apply$(C c, Fun1 f)
          { return apply((C{0}) c, (Fun1{0}{1}) f); }
        {1} apply(C{0} c, Fun1{0}{1} f) { return f(c.x); }
    }
}
C x = new C$String();
C$int y = new C$int();
C z = new C$Array$int();
C.map$Type.instantiate$(x.T, int$Type.it).new$().apply$(x,f);
C$int$self$lt$4.instanceof$(new C$int$self$eqeq$3());
```

Figure 8. Translation to Java

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```
class C$Type implements Type {
    static Type it = new C$Type();
    boolean instanceof$(Object x) { return x instanceof C; }
    static Map<Type,Type> instantiations;
    static Type instantiate$(Type T) {
        instantiations.get(T);
}
class C{0}$Type implements Type {
    static Type it = new C{0}$Type();
    boolean instanceof$(Object x) { return x instanceof C{0}; }
interface C {
   void set$(Object x);
    Object get$();
    D d$();
   Object t$();
    boolean isa$(Object y);
```

Figure 9. Translation to Java

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