#### **Abstract**

Notes on a formal semantics for GFX.

#### 1. Semantics

We now describe the semantics of languages in the FX family.

Each language  $\mathcal{L}$  in the family is defined over a given input constraint system  $\mathcal{X}$ . Given a program P, we now show how to build a larger constraint system  $O(\mathcal{X})$  on top of  $\mathcal{X}$  which captures constraints related to the object-oriented structure of P. O is sensitive to  $\mathcal{X}$  only in that O depends on the types defined by  $\mathcal{L}$ , and these may depend on  $\mathcal{X}$ .

The static and dynamic semantics of  $\mathcal{L}$  rests on  $\mathcal{O}(X)$ .

## 1.1 The Object constraint system, O

Given  $\mathcal{L}$ , its input constraint system  $\mathcal{X}$  we now show how to define  $\mathcal{O}$ . The inference relation for  $\mathcal{O}$  depends on the object-oriented structure of the input program  $\mathcal{P}$  in  $\mathcal{L}$ . For some members of  $\mathcal{L}$ , viz. the generic languages,  $\mathcal{X}$  itself may use some of the constraints defined by  $\mathcal{O}$ . Thus we should think of  $\mathcal{X}$  and  $\mathcal{O}$  as being defined simultaneously and recursively.

The constraints of O are given by:

Intuitively,  $S \subseteq T$  is intended to hold if it can be established that S is extends T. For a variable x, fields(x) is intended to specify the (complete) set of typed fields available to x. x has M is intended to specify that the member M (field or method) is available to x.

O satisfies the following axioms and inference rules:

$$\frac{\mathsf{class}\,\mathsf{C}(\overline{\mathsf{f}}\,;\overline{\mathsf{V}})\,\,\mathsf{extends}\,\mathsf{D}\,\ldots\in\mathsf{P}}{\vdash_{O}\,\mathsf{class}(\mathsf{C}),\mathsf{C}\,\!\triangleleft\!\,\mathsf{D}} \tag{CLASS}$$

$$\vdash_{\mathcal{O}} T \unlhd T$$
 (V-ID)

$$\frac{\Gamma \vdash_{\mathcal{O}} T_1 <: T_2, T_2 <: T_3}{\Gamma \vdash_{\mathcal{O}} T_1 <: T_3} \tag{S-Trans}$$

$$\frac{\Gamma \vdash_{\mathcal{O}} \mathsf{t} \stackrel{\mathcal{}}{\leq} \mathsf{T}}{\Gamma \vdash_{\mathcal{O}} \mathsf{t} \stackrel{\mathcal{}}{<:} \mathsf{T}} \tag{Sub-X}$$

$$x: Object \vdash_{\mathcal{O}} fields(x) = \bullet$$
 (FIELDS-B)

$$\frac{\Gamma, \mathbf{x} : \mathsf{D} \vdash_{\mathcal{O}} \mathtt{fields}(\mathbf{x}) = \overline{g} : \overline{\mathsf{V}} \qquad \mathtt{class} \ \mathsf{C}(\overline{\mathbf{f}} : \overline{\mathbb{U}})\{c\} \ \mathtt{extends} \ \mathsf{D}\{\overline{\mathbb{M}}\} \in \mathsf{C}}{\Gamma, \mathbf{x} : \mathsf{C} \vdash \mathtt{fields}(\mathbf{x}) = \overline{g} : \overline{\mathsf{V}}, \overline{\mathbf{f}} : \overline{\mathbb{U}}[\overline{\mathbf{x}/\mathtt{this}}]} \qquad (\mathsf{Fields-I})$$

$$\begin{split} &\frac{\Gamma, \mathbf{x} : \mathsf{S} \vdash_{\mathcal{O}} \mathtt{fields}(\mathbf{x}) = \overline{\mathbf{f}} : \overline{\mathtt{V}}}{\Gamma, \mathbf{x} : \mathsf{S}\{\mathsf{d}\} \vdash_{\mathcal{O}} \mathtt{fields}(\mathbf{x}) = \overline{\mathbf{f}} : \overline{\mathtt{V}\{\mathtt{d}[\mathbf{x}/\mathtt{self}]\}}} \\ &\Gamma, \mathbf{x} : (\mathbf{y} : \mathtt{U}; \mathtt{S}) \vdash_{\mathcal{O}} \mathtt{fields}(\mathbf{x}) = \overline{\mathbf{f}} : (\mathbf{y} : \mathtt{U}; \mathtt{V})} \end{split}$$
 (FIELDS-C,E)

$$\frac{\Gamma \vdash_{\mathcal{O}} \mathtt{fields}(\mathtt{x}) = \overline{\mathtt{f}} : \overline{\mathtt{V}}}{\Gamma \vdash_{\mathcal{O}} \mathtt{x} \ \mathtt{has} \ \mathtt{f}_{\mathtt{i}} : \mathtt{V}_{\mathtt{i}}} \tag{has-F}$$

$$\vdash_{\mathcal{O}} \mathsf{new} \, \mathtt{D}(\overline{\mathtt{t}}).\mathtt{f_i} = \mathtt{t_i} \tag{SEL}$$

$$\frac{\Gamma, \mathbf{x} : \mathsf{C} \vdash_{\mathcal{O}} \mathsf{class}(\mathsf{C}) \qquad \theta = [\mathbf{x} / \mathsf{this}] \qquad \mathsf{def} \ \mathsf{m}(\overline{\mathbf{z}} : \overline{\mathbf{V}}) \{\mathsf{c}\} : \mathsf{T} = \mathsf{e} \in \mathit{P}}{\Gamma, \mathbf{x} : \mathsf{C} \vdash_{\mathcal{O}} \mathbf{x} \ \mathsf{has} \ (\mathsf{m}(\overline{\mathbf{z}} : \overline{\mathbf{V}}\overline{\boldsymbol{\theta}}) \{\mathsf{c}\boldsymbol{\theta}\} : \mathsf{T}\boldsymbol{\theta} = \mathsf{e})} \qquad (\mathsf{Method-B})$$

$$\frac{\Gamma, \mathbf{x} : \mathsf{D} \vdash_{\mathcal{O}} \mathbf{x} \text{ has } m(\overline{\mathbf{z}} : \overline{\mathbf{V}})\{c\} : T = e \qquad \text{class } \mathsf{C}(\ldots) \text{ extends } \mathsf{D}\{\overline{\mathtt{M}}\} \qquad \mathsf{m} \not\in \overline{\mathtt{M}}}{\Gamma, \mathbf{x} : \mathsf{C} \vdash_{\mathcal{O}} \mathbf{x} \text{ has } m(\overline{\mathbf{z}} : \overline{\mathbf{V}})\{c\} : T = e}$$

$$\begin{split} \frac{\Gamma, x : S \vdash_{\mathcal{O}} x \text{ has } m(\overline{z} : \overline{V})\{c\} : T = e}{\Gamma, x : S\{d\} \vdash_{\mathcal{O}} x \text{ has } m(\overline{z} : \overline{V})\{c\} : T\{d[x/self]\} = e} \\ \Gamma, x : (y : U; S) \vdash_{\mathcal{O}} x \text{ has } m(\overline{z} : \overline{V})\{c\} : (y : U; T) = e} \end{split}$$

*Note: Figure out whether consistency checks need to be added.* 

# 1.2 Extensions of FX

### **1.2.1** FX(G)

Generics as in FGJ are supported by adding the following productions:

and the following rule:

$$\frac{\Gamma \vdash p : T \qquad \Gamma, x : T \vdash x \text{ has } X : \text{ type}}{\Gamma \vdash p.X \text{ type}} \tag{PATH}$$

$$\Gamma, X: \mathsf{type} \vdash X \; \mathsf{type}$$
 (Type Var)

$$\frac{\Gamma \vdash_{\mathcal{O}} p \unlhd T \qquad \Gamma, x : T \vdash_{\mathcal{O}} x \text{ has M}}{\Gamma, x : p \vdash_{\mathcal{O}} x \text{ has M}} \tag{Inh-p}$$

$$\frac{\Gamma \vdash_{\mathcal{O}} \mathbf{X} \underline{\lhd} \mathbf{T} \qquad \Gamma, \mathbf{x} : \mathbf{T} \vdash_{\mathcal{O}} \mathbf{x} \text{ has } \mathbf{M}}{\Gamma, \mathbf{x} : \mathbf{X} \vdash_{\mathcal{O}} \mathbf{x} \text{ has } \mathbf{M}} \qquad \qquad (\textbf{Inh-X})$$

## 1.2.2 FX(D(A))

Only the following rules are needed; no additional rules are needed for sub-typing, type-equivalence, expression typing or dynamic semantics. Below, q(f) ranges over all predicates (functions) specified by  $\mathcal{C}$ :

We need the following rules:

$$\frac{p(\overline{T}) : o \in C \qquad \Gamma \vdash \overline{t} : \overline{T}}{\Gamma \vdash p(\overline{T}) : o}$$
 (PRED)

$$\frac{\mathtt{f}(\overline{T}): T \in \mathcal{C} \qquad \Gamma \vdash \overline{\mathtt{t}}: \overline{T}}{\Gamma \vdash \mathtt{f}(\overline{T}): T} \tag{Fun}$$

$$\begin{array}{ccc} \Gamma \vdash \textbf{t}_{0}: T_{0} & \Gamma \vdash \textbf{t}_{1}: T_{1} \\ \underline{(\Gamma \vdash T_{0} <: T_{1} \lor \Gamma \vdash T_{1} <: T_{0})} \\ \hline \Gamma \vdash \textbf{t}_{0} = \textbf{t}_{1}: o \end{array}$$
 (EQUALS)

## **1.2.3** FX(G,D(A),P)

Only the following rules are needed beyond those of FX(G) and FX(D(A)).

## 1.3 Judgements

1

In the following  $\Gamma$  is a *well-typed context*, i.e. a (finite, possibly empty) sequence of formulas x : T, T type and constraints c satisfying:

1. for any formula  $\phi$  in the sequence all variables x (X) occurring in  $\phi$  are defined by a declaration x: T (X type) in the sequence to the left of  $\phi$ .

2008/7/14

#### **FX productions:**

### FX sub-typing and type-equivalence rules:

Γ⊢C type

$$\frac{\text{class C}(\ldots) \text{ extends D}\{\ldots\} \in P}{\vdash C <: D} \quad \left(S\text{-EXTENDS}\right) \qquad \frac{\Gamma, c \vdash S <: T}{\Gamma \vdash S \{c\} <: T} \quad \left(S\text{-CONST-L}\right) \qquad \frac{\Gamma, \text{self}: S \vdash c \qquad \Gamma \vdash S <: T}{\Gamma \vdash S <: T \{c\}} \quad \left(S\text{-CONST-R}\right) \\ \frac{\text{Gamma} \vdash U \text{ type} \qquad \Gamma \vdash S <: T \qquad (x \text{ fresh})}{\Gamma \vdash x : U; S <: T} \qquad \frac{\text{Gamma} \vdash t : U \qquad \Gamma \vdash S <: T[t/x]}{\Gamma \vdash S <: x; U : T} \quad \left(S\text{-EXISTS-R}\right) \qquad \frac{\Gamma \vdash S <: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{YPE-EQUIV}\right) \\ \frac{\Gamma \vdash S <: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right) \\ \frac{\Gamma \vdash S <: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right) \\ \frac{\Gamma \vdash S <: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right) \\ \frac{\Gamma \vdash S <: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right) \\ \frac{\Gamma \vdash S <: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right) \\ \frac{\Gamma \vdash S <: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right) \\ \frac{\Gamma \vdash S <: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right) \\ \frac{\Gamma \vdash S =: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right) \\ \frac{\Gamma \vdash S =: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right) \\ \frac{\Gamma \vdash S =: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right) \\ \frac{\Gamma \vdash S =: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right) \\ \frac{\Gamma \vdash S =: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right) \\ \frac{\Gamma \vdash S =: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right) \\ \frac{\Gamma \vdash S =: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right) \\ \frac{\Gamma \vdash S =: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right) \\ \frac{\Gamma \vdash S =: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right) \\ \frac{\Gamma \vdash S =: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right) \\ \frac{\Gamma \vdash S =: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right) \\ \frac{\Gamma \vdash S =: T \qquad \Gamma \vdash T <: S}{\Gamma \vdash S \equiv T} \quad \left(T\text{TPE-EQUIV}\right)$$

(DEP)

#### Type judgement rules:

$$\begin{split} \Gamma, \mathbf{x} : \mathsf{T} \vdash \mathbf{x} : \mathsf{T} \big\{ \mathsf{self} == \mathbf{x} \big\} \, (\mathsf{T}\text{-}\mathsf{VAR}) & \frac{\Gamma \vdash e : \mathsf{U} \quad \Gamma \vdash \mathsf{T} \, \mathsf{type}}{\Gamma \vdash e \, \mathsf{as} \, \mathsf{T} : \mathsf{T}} \, (\mathsf{T}\text{-}\mathsf{CAST}) & \frac{\Gamma \vdash e : \mathsf{S} \quad \Gamma, \mathsf{z} : \mathsf{S} \vdash \mathsf{z} \, \mathsf{has} \, \mathsf{f} : \mathsf{T} \quad (\mathsf{z} \, \mathsf{fresh})}{\Gamma \vdash e \, \mathsf{f} : (\mathsf{z} : \mathsf{S}; \mathsf{T})} \, (\mathsf{T}\text{-}\mathsf{FIELD}) \\ & \frac{\Gamma \vdash e : \mathsf{T}, \overline{\mathsf{e}} : \overline{\mathsf{T}}}{\Gamma \vdash \mathsf{e} \, \mathsf{has} \, (\mathsf{m}(\overline{\mathsf{v}} : \overline{\mathsf{V}}), \mathsf{c} \to \mathsf{U}), \overline{\mathsf{T}} <: \overline{\mathsf{V}}, \mathsf{c} \quad (\mathsf{v}, \overline{\mathsf{v}} \, \mathsf{fresh})}{\Gamma \vdash \mathsf{e} \, \mathsf{m}(\overline{\mathsf{e}}) : (\mathsf{v} : \mathsf{T}; \overline{\mathsf{v}} : \overline{\mathsf{T}}; \mathsf{U})} \, (\mathsf{T}\text{-}\mathsf{INVK}) \\ & \frac{\Gamma, \mathsf{v} : \mathsf{C} \vdash \mathsf{fields}(\mathsf{v}) = \overline{\mathsf{f}} : \overline{\mathsf{V}}}{\Gamma, \mathsf{v} : \mathsf{C}, \overline{\mathsf{v}} : \overline{\mathsf{T}}, \mathsf{v} : \overline{\mathsf{f}} = \overline{\mathsf{v}} \vdash \overline{\mathsf{T}} <: \overline{\mathsf{V}}, \mathsf{inv}(\mathsf{C}, \mathsf{v})}{\Gamma \vdash \mathsf{new} \, \mathsf{C}(\overline{\mathsf{e}}) : C\{\overline{\mathsf{v}} : \overline{\mathsf{T}}; \mathsf{self}. \overline{\mathsf{f}} = \overline{\mathsf{v}}, \mathsf{inv}(\mathsf{C}, \mathsf{self})\}} \, (\mathsf{T}\text{-}\mathsf{NEW}) \\ & \frac{\mathsf{this} : \mathsf{C}, \overline{\mathsf{x}} : \overline{\mathsf{V}}, \mathsf{c} \vdash \mathsf{T} \, \mathsf{type}, \overline{\mathsf{V}} \, \mathsf{type}, \mathsf{e} : \mathsf{U}, \mathsf{U} <: \mathsf{T}}{\mathsf{def} \, \mathsf{m}(\overline{\mathsf{x}} : \overline{\mathsf{V}}) \{\mathsf{c}\} : \mathsf{T} = \mathsf{e}; \, \mathsf{OK} \, \mathsf{in} \, C} \, (\mathsf{METHOD} \, \mathsf{OK}) \\ & \frac{\overline{\mathsf{M}} \, \mathsf{OK} \, \mathsf{in} \, \mathsf{C} \quad \mathsf{this} : \mathsf{C}, \mathsf{c} \vdash \overline{\mathsf{V}} \, \mathsf{type}, \mathsf{N} \, \mathsf{type}}{\mathsf{class} \, \mathsf{OK}} \, (\mathsf{CLASS} \, \mathsf{OK}) \\ & \frac{\overline{\mathsf{M}} \, \mathsf{OK} \, \mathsf{in} \, \mathsf{C} \quad \mathsf{class} \, \mathsf{C}(\overline{\mathsf{f}} : \overline{\mathsf{V}}) \{\mathsf{c}\} \, \mathsf{extends} \, \mathsf{N}\{\overline{\mathsf{M}}\} \, \mathsf{OK} \, (\mathsf{CLASS} \, \mathsf{OK}) \\ & \frac{\mathsf{N}[\mathsf{M}]}{\mathsf{C}} \, \mathsf{C}(\mathsf{m}(\mathsf{m}(\mathsf{u})) \cap \mathsf{C}) \, \mathsf{C}(\mathsf{m}(\mathsf{u})) \, \mathsf{C}(\mathsf{u}) \, \mathsf{C}(\mathsf{u$$

## **Transition Rules:**

Figure 1. Semantics of FX

2 2008/7/14 2. for any variable x (X), there is at most one formula x : T (X type) in  $\Gamma$ .

The judgements of interest are:

Type well-formedness  $\Gamma \vdash T$  type

**Subtyping**  $\Gamma \vdash S <: T$ 

**Typing**  $\Gamma \vdash e : T$ 

**Method ok**  $\Gamma \vdash M$  OK in C (method M is well-defined for the class C).

**Field ok**  $\Gamma \vdash f : T$  OK in C (field  $\mathbf{f} : \mathbf{T}$  is well-defined for the class C).

**Class ok**  $\Gamma \vdash \mathsf{Cl}\ \mathit{OK}\ (\mathsf{class}\ \mathsf{definition}\ \mathsf{Cl}\ \mathsf{is}\ \mathsf{ok}).$ 

In defining these judgements we will use  $\Gamma \vdash_{\mathcal{C}} c$ , the judgement corresponding to the underlying constraint system. For simplicity, we define  $\Gamma \vdash c$  to mean  $\sigma(\Gamma) \vdash_{\mathcal{C}} c$ , where the *constraint projection*,  $\sigma(\Gamma)$  is defined thus:

```
\sigma(\epsilon) = \text{true}
\sigma(x: C\{c\}, \Gamma) = c[x/\text{self}], \sigma(\Gamma)
\sigma(c, \Gamma) = c, \sigma(\Gamma)
```

### 1.4 Well formedness rules

We posit a special type o (traditionally the type of propositions), and regard constraints as expressions of type o. See Figure ??.

# 2. Type inference rules

## 2.1 Expression typing judgement

Now we consider the rule for method invocation. Assume that in a type environment  $\Gamma$  the expressions  $\overline{e}$  have the types  $\overline{T}$ . Since the actual values of these expressions are not known, we shall assume that they take on some fixed but unknown values  $\bar{z}$  of type  $\bar{T}$ . Now for z as receiver, let us assume that the type  $T \equiv C\{d\}$  has a method named m with signature  $\overline{z}: \overline{Z}, c \to U$ . If there is no method named m for the class C then this method invocation cannot be type-checked. Without loss of generality we may assume that the parameters of this method are named  $\overline{z}$ , since we are free to choose variable names as we wish because of  $\alpha$ -equivalence. Now in order for the method to be invocable, it must be the case that the types  $\overline{T}$  are subtypes of  $\overline{Z}$ . (Note that there are no occurrences of this in  $\overline{Z}$ ; they have been replaced by z – see Section 1.1) Further, it must be the case that for these parameter values, the constraint c is entailed. Given all these assumptions it must be the case that the return type is U — with all the parameters  $\bar{z}$  existentially quantified.

3 2008/7/14